Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

| Part I | | rt Identification Information | 1 | | | | | | |
|---|-------------------------|--|-----------------------------|------------------------------|---|----------------------------------|--|--|--|
| For calend | dar plan year 2016 or | fiscal plan year beginning 01/01/2 | 2016 | and ending | 12/31/2016 | | | | |
| A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) | | | | | | | | | |
| | | a one-participant plan | a foreign plan | | | , | | | |
| B This ret | turn/report is | ort | | | | | | | |
| | | an amended return/report | a short plan year re | eturn/report (less than 12 r | months) | | | | |
| C Check | box if filing under: | X Form 5558 | automatic extension | on | DFVC program | | | | |
| Don't II | Dania Dian Ind | special extension (enter desc | | | | | | | |
| Part II | • | formation—enter all requested in | formation | | 1b Three-digit | <u> </u> | | | |
| 1a Name | | ECTRO INDUSTRIES/GAUGE TEC | CH 401(K) PLAN | | plan numbe | r | | | |
| | | | | | (PN) • | 002 | | | |
| | | | | | 1c Effective da | te of plan 4/01/1998 | | | |
| | | oloyer, if for a single-employer plan) |). Rawl | | ''' | entification Number 1-3583316 | | | |
| City o | r town, state or provir | oom, apt., suite no. and street, or P.C nce, country, and ZIP or foreign post | | instructions) | (EIN) 11-3583316 2c Sponsor's telephone number | | | | |
| ETELECTR | ONICS LLC | | | | 516-334-4601 | | | | |
| 1800 SHAM | ES DR | | | | 2d Business code (see instructions) | | | | |
| WESTBURY | | | | | 335900 | | | | |
| | | | | | | | | | |
| 3a Plan a | administrator's name | and address X Same as Plan Spo | nsor. | | 3b Administrate | or's EIN | | | |
| | | | | | 3c Administrate | or's telephone number | | | |
| | | | | | | · | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | the plan sponsor has changed since number from the last return/report. | the last return/report file | ed for this plan, enter the | 4b EIN | | | | |
| | sor's name | | | | 4c PN | | | | |
| 5a Total | number of participan | ts at the beginning of the plan year. | | | 5a | 9 | | | |
| | | ts at the end of the plan year | | | 5b | | | | |
| | | h account balances as of the end of | | | 5c | | | | |
| d(1) Total number of active participants at the beginning of the plan year | | | 5d(1) | 9 | | | | | |
| | | participants at the end of the plan ye | | | 5d(2) | 9 | | | |
| | | at terminated employment during the | | | 5e | | | | |
| Caution: | A penalty for the late | e or incomplete filing of this retur | n/report will be assess | sed unless reasonable ca | | | | | |
| SB or Sch | edule MB completed | other penalties set forth in the instru and signed by an enrolled actuary, a | | | | | | | |
| SIGN | Filed with authorize | d/valid electronic signature. | 08/04/2017 | STACY WINTERS | | | | | |
| HERE | Signature of plan | administrator | Date | Enter name of indivi | dual signing as plan | administrator | | | |
| SIGN | | d/valid electronic signature. | 08/04/2017 | STACY WINTERS | | | | | |
| HERE | | loyer/plan sponsor | Date | | dual signing as emp | loyer or plan sponsor | | | |
| Preparer's | name (including firm | name, if applicable) and address (in | nclude room or suite nur | mber) | Preparer's teleph | one number | | | |
| | | | | | | | | | |

Form 5500-SF 2016 Page **2**

| 62 | Ware all of the plan's coasts during the plan year invested in cligib | lo opoeto? | (Socioatruotions) | | | | | | X | es No |
|----------|---|---|-------------------------|----------|----------|-----------|----------|-----------|----------|-----------|
| | Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) | | | | | (IQPA) | | | | |
| | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | | |
| С | If the plan is a defined benefit plan, is it covered under the PBGC ir | nsurance p | rogram (see ERISA se | ection 4 | 021)? | | Yes | No | ☐ Not de | etermined |
| Pai | rt III Financial Information | , | | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning | of Year | | | (| (b) End | of Year | |
| <u>a</u> | Total plan assets | 7a | 4 | 070677 | , | 4493264 | | | | 64 |
| b | Total plan liabilities | 7b | | 0 |) | 0 | | | | |
| C | Net plan assets (subtract line 7b from line 7a) | 7c | 4070677 | | | 4493264 | | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amour | nt | | (b) Total | | | | |
| a | Contributions received or receivable from: (1) Employers | 8a(1) | | 36675 | , | | | | | |
| | (2) Participants | 8a(2) | | 324442 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | | |
| b | Other income (loss) | 8b | | 234681 | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | 595798 | | | | 98 |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 170403 | | | | | | |
| e | Certain deemed and/or corrective distributions (see instructions). | 8e | | 0 |) | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | ministrative service providers (salaries, fees, commissions) 8f | | | 3 | | | | | |
| g | | | | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | 173211 | | | | 11 |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | 4225 | 87 |
| j | j Transfers to (from) the plan (see instructions) | | | |) | | | | | |
| Par | Part IV Plan Characteristics | | | | | | | | | |
| 9a | | | | | | | | | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | es from the List of Pla | n Chara | acterist | tic Cod | des in t | he instru | uctions: | |
| Par | t V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | N/A | | Amour | ıt |
| а | Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's V | oluntary F | iduciary Correction | 10a | | X | | | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | | | |
| С | · · · · · · · · · · · · · · · · · · · | | | 10c | X | | | | | 265000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | X | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | | | 10e | | X | | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | | | |
| g | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | | | 10g | X | | | | | 106343 |
| h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | | | |

| Form | 5500 | -SF | 201 | 6 |
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| Page 3 - | 1 | |
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| Part | VI | Pension Funding Compliance | | | | | | | |
|---|--|--|-----------|---|------------------|-----------|------------------------|-----------------|--|
| 11 | | s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below) | | | | | | Yes X No | |
| | | the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | | 11a | | | | |
| 12 | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA? | | | | | | │ | Yes X No | |
| | (lf "\ | es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| | grant | aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver | /lonth _ | s, and | d enter t Day | | of the lette Year _ | er ruling | |
| If | you co | empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line | 13. | 1 | | T | | | |
| <u>b</u> | Enter | the minimum required contribution for this plan year | | | 12b | | | | |
| С | Enter | he amount contributed by the employer to the plan for this plan year | | | 12c | | | | |
| d | | act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount) | | | 12d | | | | |
| | | ne minimum funding amount reported on line 12d be met by the funding deadline? | | | | Yes | No | N/A | |
| Part | VII | Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | Has a | resolution to terminate the plan been adopted in any plan year? | | | | Yes | s X N | lo | |
| | If "Ye | s," enter the amount of any plan assets that reverted to the employer this year | | | 13a | | | | |
| b | | all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC? | | er the | | Yes 🛚 No | | | |
| С | | ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.) | ify the p | olan(s) |) to | | | | |
| | 13c(1) | Name of plan(s): | 1 | 3c(2) | EIN(s) | | 13c(3 | 3) PN(s) | |
| | | | | | | | | | |
| Part | VIII | Trust Information | | | | | | | |
| 14a | Name | of trust | | | 14b ⁻ | Trust's E | EIN | | |
| 14c Name of trustee or custodian | | | | 14d Trustee's or custodian's telephone number | | | | | |
| Par | t IX | IRS Compliance Questions | | | | | | | |
| 15a | Is the | plan a 401(k) plan? If "No," skip b | | Yes | | | No | | |
| | | | | ign-based "Prior year" ADP test | | | | | |
| | | | | "Curre | ent year test | " | N/A | | |
| 16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply: | | | entage | ge Average N/A benefit test N/A | | | | | |
| 16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? | | | | ☐ No | | | | | |
| 17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ | | | | | | | | | |
| 17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/ | | | | | | | | | |
| 18 | Were | ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e? | | rom | Ye | s [| No | | |
| 19 | Was a | any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year? | | | Ye | s [| No | | |