Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection**

For calenda	ar plan year 2016 or fi	scal plan year beginning 01/01/20	016	and ending 12	2/31/2016				
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attached by the form instruction and the									
	·	a one-participant plan	a foreign plan						
B This retu	ırn/report is	the first return/report	X the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program				
Dowt II	Decis Dien Info	special extension (enter descri	· · ·						
Part II		ermation—enter all requested info	ormation		46	1			
1a Name JOHN LUND	of plan IN PS PROFIT SHAR	ING PLAN			1b Three-digit plan number (PN) ▶	001			
					1c Effective date of plan 01/01/1979				
Mailing	address (include roo	yer, if for a single-employer plan) m, apt., suite no. and street, or P.O			2b Employer Identification Number (EIN) 91-1212263				
JOHN W. LU		e, country, and ZIP or foreign posta	ai code (if foreign, see instr	uctions)	2c Sponsor's telephone number 206-623-8346				
JOHN LUND					2d Business code (see instructions)			
2726 10TH A SEATTLE, W	VE E 'A 98102-3924	2726 10TH SEATTLE,	HAVE E WA 98102-3924		5411	10			
3a Plan a	dministrator's name ar	nd address 🛛 Same as Plan Spon	sor.		3b Administrator's EIN				
					3c Administrator's telephone number				
					Administrator s	telephone number			
4 If the r	name and/or FIN of the	e plan sponsor has changed since t	he last return/report filed fo	or this plan, enter the	4b EIN				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				a une plan, emer me					
a Sponso	or's name				4c PN				
		at the beginning of the plan year			5a	1			
b Total number of participants at the end of the plan year				5b	0				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			•	5c	0				
d(1) Tota	al number of active pa	rticipants at the beginning of the pla	an year		5d(1)	1			
d(2) Total number of active participants at the end of the plan year				5d(2)	0				
than '	100% vested	terminated employment during the			5e	0			
		or incomplete filing of this return her penalties set forth in the instruc				cable a Schodulo			
SB or Sche		nd signed by an enrolled actuary, a							
SIGN HERE	Filed with authorized/	valid electronic signature.	09/13/2017	JOHN LUNDIN	DIN				
TILICE	Signature of plan a		Date		nter name of individual signing as plan administrator				
SIGN HERE	Filed with authorized/	valid electronic signature.	09/13/2017	JOHN LUNDIN					
	Signature of emplo	oyer/plan sponsor name, if applicable) and address (in	Date		ual signing as employed Preparer's telephone				
riepaiei s	mame (including iiim i	iame, ii applicable) and address (iii	clude room or suite numbe	')	rieparei s telepiione	Humber			

Form 5500-SF 2016 Page **2**

6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Yes		
If you answered "No" to either line 6a or line 6b, the plan car C If the plan is a defined benefit plan, is it covered under the PBGC					_	-		☐ Not dete	arminad	
Part III Financial Information	ilisurarice p	Togram (see ENISA se	3CHOIT 4	021):		162	Пио	☐ Not dete	emmeu	
7 Plan Assets and Liabilities		(a) Beginning	of Vear				(h) End	of Year		
a Total plan assets	7a		522791		(b) End of Year					
b Total plan liabilities		0			0					
C Net plan assets (subtract line 7b from line 7a)		1	1522791			0				
8 Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total				
a Contributions received or receivable from:		, ,								
(1) Employers	8a(1)									
(2) Participants	8a(2)									
(3) Others (including rollovers)	` ` '									
b Other income (loss)				_						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) d Benefits paid (including direct rollovers and insurance premiums	8c									
to provide benefits)	8d	1	407634							
e Certain deemed and/or corrective distributions (see instructions).	8e									
f Administrative service providers (salaries, fees, commissions)	8f									
g Other expenses	8g		115157							
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		1522791							
i Net income (loss) (subtract line 8h from line 8c)	8i		-152279							
j Transfers to (from) the plan (see instructions)	8j									
Part IV Plan Characteristics										
9a If the plan provides pension benefits, enter the applicable pension 2C 2E 2G										
b If the plan provides welfare benefits, enter the applicable welfare	feature cod	es from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:		
Part V Compliance Questions										
10 During the plan year:				Yes	No	N/A		Amount		
Was there a failure to transmit to the plan any participant contribution described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary F	iduciary Correction	10a		X					
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X					
C Was the plan covered by a fidelity bond?	C Was the plan covered by a fidelity bond?			X					180000	
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f Has the plan failed to provide any benefit when due under the plan?			10f		X					
g Did the plan have any participant loans? (If "Yes," enter amount	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X					
h If this is an individual account plan, was there a blackout period 2520.101-3.)	•		10g 10h		X					
i If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.1			10i		X					

Form	5500	-SF	201	6

Page 3-	1	

Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)						Yes X No
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a		_	
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?							Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver.	/lonth _	s, and	d enter t Day		of the lett Year	er ruling
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		1		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				X Yes	S [] I	No
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			X Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)	to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)
-								
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custo ne numbe	
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No	
			gn-based "Prior year" ADP harbor test			ear" ADP		
				"Curre	ent year est	<u>"</u>	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	atage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) Yes for the plan year by combining this plan with any other plan under the permissive aggregation rules?			S No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the m	nost rece	ent determ	nination
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No	
19	19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?							