Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110

1210-0089

2016

This Form is Open to Public Inspection

Part I	Annual Repor	<u>t Identification Information</u>	1						
For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01/2	2016	and ending 1	2/31/2016				
A This retu	urn/report is for:	plan (not multiemployer) employer information in a							
a one-participant plan a foreign plan									
B This retu	rn/report is	rt							
		turn/report (less than 12 m	nonths)						
C Check b	oox if filing under:	X Form 5558	automatic extension	n	DFVC program	I			
		special extension (enter desc	ription)						
Part II	Basic Plan Inf	ormation—enter all requested ir	formation						
1a Name of ASTAVITA IN		HARING PLAN TRUST			1b Three-digit plan numbe	r 001			
					(PN) 1C Effective da				
						1/01/2015			
Mailing	address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P. nce, country, and ZIP or foreign pos		actructions)	2b Employer Identification Number (EIN) 47-2263605				
ASTAVITA IN		ice, country, and Zir or loreign pos	iai code (ii ioreigii, see ii	istractions)	2c Sponsor's telephone number 425-777-9881				
					2d Business co	de (see instructions)			
1750 112TH / D155					621510				
BELLEVUE, \	NA 98004-3727								
3a Plan ac	dministrator's name	and address 🛚 Same as Plan Spo	nsor.		3b Administrator's EIN				
					3c Administrato	or's telephone number			
					7 Administrate	n 3 telephone number			
4 If the n	ame and/or EIN of t	he plan sponsor has changed since	the last return/report file	d for this plan, enter the	4b EIN				
name, a Sponso		umber from the last return/report.			4c PN				
		ts at the beginning of the plan year.							
_		ts at the end of the plan year			5b				
C Number	er of participants with	h account balances as of the end of	the plan year (only defin	ed contribution plans	5c	2			
•	,	participants at the beginning of the p			5d(1)				
		participants at the end of the plan ye			5d(1)				
		at terminated employment during the							
than 1	00% vested	. , ,			5e				
		e or incomplete filing of this return other penalties set forth in the instru							
SB or Sche		and signed by an enrolled actuary,							
31314	Filed with authorize	d/valid electronic signature.	09/14/2017	SHIHO YOSHIDA					
HERE	Signature of plan	administrator	Date	Enter name of individ	dividual signing as plan administrator				
SIGN									
HERE		loyer/plan sponsor	Date			oloyer or plan sponsor			
Preparer's r	name (including firm	name, if applicable) and address (i	nclude room or suite nun	nber)	Preparer's teleph	one number			

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	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 							X Yes				
_	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.											
	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined											
<u> Pa</u>	rt III Financial Information	T										
	Plan Assets and Liabilities	_	(a) Beginning (of Year 29770		(b) End of Year 24300						
	Total plan liabilities	7a		23770		0						
	Total plan liabilities	7b 7c		29770			24300					
8	Income, Expenses, and Transfers for this Plan Year	76	(a) Amaum									
a	Contributions received or receivable from:		(a) Amoun	ıt		(b) Total						
	(1) Employers	8a(1)		3508								
	(2) Participants	8a(2)		10678								
	(3) Others (including rollovers)	8a(3)		0								
b	Other income (loss)	8b		1705								
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					15891					
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		21101								
е	Certain deemed and/or corrective distributions (see instructions).	8e		0								
f	Administrative service providers (salaries, fees, commissions)	8f		260	1							
q	Other expenses	8g		0								
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						21361				
ī	Net income (loss) (subtract line 8h from line 8c)	8i						-5470				
j	Transfers to (from) the plan (see instructions)	8i		C								
Pai	Part IV Plan Characteristics											
9a												
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:			
Par	t V Compliance Questions											
10	During the plan year:				Yes	No	N/A		Amount			
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X						
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X						
С	C Was the plan covered by a fidelity bond?			10c	X					20000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X						
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X						
f	f Has the plan failed to provide any benefit when due under the plan?			10f	L	X						
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X						
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X						
i												

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?						│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes 🛚 No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
				ign-based "Prior year" ADP test					
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?									
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No		
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No		