Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Part I Ann	nual Report Id	dentification Information						
For calendar plan	year 2016 or fisc	al plan year beginning 01/01/2	2016 and ending 12	2/31/2016				
A This return/rep	`	his box must attach a ne form instructions.)						
B This return/rep	ort is	the first return/report an amended return/report	a foreign plan the final return/report a short plan year return/report (less than 12 months)					
C Check box if fi	[Form 5558 special extension (enter description)		DFVC progra	i m			
		mation—enter all requested inf	formation	T 41				
1a Name of plan AWRENCE HOLD		<) PLAN		1b Three-dig plan numl (PN) ▶	001			
				1c Effective of	date of plan 06/21/2004			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) AWRENCE HOLDINGS, INC.			2b Employer Identification Number (EIN) 20-2255841					
			2c Sponsor's telephone number 203-949-1600					
4B BARNES INDU VALLINGFORD, C				2d Business	code (see instructions) 423500			
3a Plan adminis	trator's name and	address X Same as Plan Spor	nsor.	3b Administra	ator's EIN			
				3c Administra	ator's telephone number			
		plan sponsor has changed since per from the last return/report.	the last return/report filed for this plan, enter the	4b EIN				
a Sponsor's na	me			4c PN				
5a Total numbe	r of participants a	t the beginning of the plan year		5a	41			
b Total numbe	r of participants a	t the end of the plan year		5b	38			
	•		the plan year (only defined contribution plans	5c	35			
d(1) Total num	ber of active parti	cipants at the beginning of the pl	lan year	5d(1)				
d(2) Total num	ber of active parti	cipants at the end of the plan ye	ar	5d(2)	7			
than 100% v	vested		e plan year with accrued benefits that were less	5e	(
			n/report will be assessed unless reasonable ca					
Linder nenalties o	t natility and othe	ir nanaltiae eat torth in the inetrii	ctions I declare that I have examined this return/re	anort including if	anniicania a Schadula			

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

0.0.4	Filed with authorized/valid electronic signature.	09/20/2017	LAWRENCE BUHL				
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator				
SIGN	Filed with authorized/valid electronic signature.	09/20/2017	LAWRENCE BUHL				
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor				
Preparer's	name (including firm name, if applicable) and address (including	ude room or suite numb	er) Preparer's telephone number				

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	Were all of the plan's assets during the plan year invested in eligib		•						X Y	es No	
u	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (lunder 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					Yes No			es No		
	the plan is a defined benefit plan, is it covered under the PBGC ir						-	No	☐ Not de	etermined	
Part	III Financial Information		· ·				-	<u> </u>			
	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year		
a T	otal plan assets	7a		215979				<u> </u>	29516	73	
b T	otal plan liabilities	7b									
C N	let plan assets (subtract line 7b from line 7a)	7c	3.	215979)				29516	73	
	ncome, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total				
	Contributions received or receivable from:										
	1) Employers	8a(1)			_						
	2) Participants	8a(2)									
	3) Others (including rollovers)	8a(3)		232438							
	Other income (loss)	8b		232430					0204	20	
	otal income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							2324	38	
	denefits paid (including direct rollovers and insurance premiums of provide benefits)	8d									
	Certain deemed and/or corrective distributions (see instructions).	8e									
	dministrative service providers (salaries, fees, commissions)	8f		300)						
	Other expenses	8g									
_ -	otal expenses (add lines 8d, 8e, 8f, and 8g)	8h							4967	44	
	let income (loss) (subtract line 8h from line 8c)	8i							-2643	06	
j Transfers to (from) the plan (see instructions)											
Part	IV Plan Characteristics	8j									
9a	f the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 3D 3H	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:		
b I	f the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:		
Part	V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amour	ıt	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		Х					
	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					500000	
				10d		X					
е				10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?					X					
	Did the plan have any participant loans? (If "Yes," enter amount a	-		10g	X					24949	
	If this is an individual account plan, was there a blackout period? 2520.101-3.)	` 		10h		X					
	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							

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Part	VI P	ension Funding Compliance							
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and of 5500) and line 11a below)						Yes	X No
11a	Enter t	he unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	If a wa	iver of the minimum funding standard for a prior year is being amortized in this plan year, see ins		ns, and	_				ng
	_	g the waiver			Day	/	Yea	ar	
					12b				
	Enter tr	e minimum required contribution for this plan year				-			
		e amount contributed by the employer to the plan for this plan year			12c				
a		ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the /e amount)			12d				
		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	L N	/A
Part	VII P	Plan Terminations and Transfers of Assets							
13a	Has a ı	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No	
	If "Yes	," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought the PBGC?		er the			Yes	X No	ı
C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)) to				
	13c(1) N	ame of plan(s):		13c(2)	EIN(s)		13	c(3) PN	(s)
Part		Trust Information			4.41.				
14a	Name o	f trust			146	Trust's I	EIN		
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions							
15a	Is the p	lan a 401(k) plan? If "No," skip b		Yes			No		
15h How did the plan setisfy the pendicarimination requirements for employee deferrals under section				ign-based "Prior year" ADF harbor test				NDP	
	()(.	,		"Curre	ent year test	,,	N/A		
16a		esting method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit tes	st 🗌	N/A
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes		☐ No			
17a		an is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS		lette	r or advi	sory let	ter, ente	r the dat	e of
17b	If the pletter _	lan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the n	nost rec	ent dete	rminatio	n
18	Were a	Benefit Plan or Money Purchase Pension Plan Only: ny distributions made during the plan year to an employee who attained age 62 and had not sep ?		from	Ye	s	No		
19	Was ar	ly plan participant a 5% owner who had attained at least age 70 $^{\prime\prime}$ during the prior plan year?			Ye	s	No		