## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to **Public Inspection** 

| Part I  |  | Identification Information   |  |   |   |   |  |  |  |
|---|--|--|--|---|---|---|--|--|--|
| For calenda   | ar plan year 2016 or fi  | iscal plan year beginning 01/01/2  | 2016<br>   | and ending 1  | 2/31/2016   |   |  |  |  |
| a single-employer plan a multiple-employer plan (not multiemployer) (F  A This return/report is for:  |  |  |  |   | •   |   |  |  |  |
| A This reti   | uni/report is ior.   | list of participating employer information in accordance with the form instructions.) a one-participant plan a foreign plan  |  |   |   |   |  |  |  |
| <b>B</b> This retu  | ırn/report is  | the first return/report  |  |   |   |   |  |  |  |
|   |  | an amended return/report   | ırn/report (less than 12 m   | nonths)   |   |   |  |  |  |
| C Check b   | oox if filing under:   | Form 5558  | automatic extension DFVC program   |   |   |   |  |  |  |
| D =( II   | Baata Blass Inda   | special extension (enter desc  | • •  |   |   |   |  |  |  |
| Part II   |  | ormation—enter all requested in  | nformation   |   | 1h Thurs dist   |   |  |  |  |
| 1a Name of ANDERSON   | of plan<br>LAW GROUP, PLLC   | 401(K) P/S PLAN  |  |   | <b>1b</b> Three-digit plan number (PN) ▶  | 001   |  |  |  |
|   |  |  |  |   | 1c Effective date of plan   |   |  |  |  |
| Mailing   | address (include roo   | oyer, if for a single-employer plan)<br>om, apt., suite no. and street, or P.C   |  |   | 2b Employer Identification Number<br>(EIN) 91-1956265   |   |  |  |  |
|   | town, state or province LAW GROUP, PLLC  | ce, country, and ZIP or foreign pos  | tal code (if foreign, see ins  | structions)   | <b>2c</b> Sponsor's telephone number 206-855-7983   |   |  |  |  |
|   |  |  |  |   | 2d Business code (see instructions)   |   |  |  |  |
| 732 BROADV<br>TACOMA, WA  |  |  |  |   | 541110  |   |  |  |  |
| 3a Plan ad  | dministrator's name a  | nd address X Same as Plan Spo  | onsor.   |   | <b>3b</b> Administrator   | 's FIN  |  |  |  |
|   |  | Ta addition [1] came as riam ope   |  |   |   |   |  |  |  |
|   |  |  |  |   | <b>3c</b> Administrator   | 's telephone number   |  |  |  |
|   |  |  |  |   |   |   |  |  |  |
|   |  |  |  |   |   |   |  |  |  |
|   |  |  |  |   |   |   |  |  |  |
| 4 If the n  | name and/or EIN of the   | e plan sponsor has changed since   | the last return/report filed   | for this plan, enter the  | 4b EIN  |   |  |  |  |
| name,   | EIN, and the plan nu   | e plan sponsor has changed since mber from the last return/report.   | e the last return/report filed   | for this plan, enter the  | 4b EIN  |   |  |  |  |
| name, <b>a</b> Sponso   | EIN, and the plan nu<br>or's name  | mber from the last return/report.  | ·  |   | 4c PN   | 38  |  |  |  |
| name, a Sponso 5a Total n   | EIN, and the plan nu<br>or's name<br>number of participants  | mber from the last return/report. s at the beginning of the plan year.   |  |   | 4c PN 5a  | 38  |  |  |  |
| name, a Sponso 5a Total n b Total n   | EIN, and the plan nu<br>or's name<br>number of participants<br>number of participants                                | s at the beginning of the plan year  |  |   | 4c PN 5a 5b   | 51  |  |  |  |
| name, a Sponso 5a Total n b Total n c Numbe   | EIN, and the plan nu<br>or's name<br>number of participants<br>number of participants                                | mber from the last return/report. s at the beginning of the plan year.   |  |   | 4c PN 5a 5b 5c  | 51  |  |  |  |
| name, a Sponso 5a Total n b Total n c Number complete   | EIN, and the plan nu or's name number of participants number of participants er of participants with ete this item)  | s at the beginning of the plan year  | the plan year (only define   | d contribution plans  | 4c PN 5a 5b 5c 5d(1)  | 51<br>23  |  |  |  |
| name, a Sponso 5a Total n b Total n c Numbe comple d(1) Total d(2) Total  | EIN, and the plan nu or's name number of participants or participants er of participants with ete this item)         | articipants at the end of the plan year.   | the plan year (only define   | d contribution plans  | 4c PN 5a 5b 5c  | 51<br>23<br>33  |  |  |  |
| name, a Sponso 5a Total n b Total n c Numbe comple d(1) Tota d(2) Tota e Numb   | EIN, and the plan nu or's name number of participants number of participants with ete this item)                     | articipants at the beginning of the plan year articipants at the end of the plan year  | f the plan year (only define<br>blan yearear   | ed contribution plans   | 4c PN 5a 5b 5c 5d(1) 5d(2) 5e   | 51<br>23<br>33<br>47<br>0   |  |  |  |
| name, a Sponso 5a Total n b Total n c Numbe comple d(1) Tota d(2) Tota e Numb than 1 Caution: A   | EIN, and the plan nu or's name number of participants or of participants with ete this item)                         | articipants at the beginning of the plan year articipants at the end of the plan year.  The plan year account balances as of the end of articipants at the beginning of the plan year terminated employment during the or incomplete filing of this returning the plan year incomplete filing of this returning the plan year. | the plan year (only define<br>plan yeareare plan year with accrued b   | ed contribution plans  enemotis that were less  d unless reasonable ca  | 4c PN 5a 5b 5c 5d(1) 5d(2) 5e use is established.   | 51<br>23<br>33<br>47<br>0   |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total d(2) Total e Number than 1 Caution: A Under penal SB or Schee                         | EIN, and the plan nu or's name number of participants number of participants er of participants with ete this item)  | articipants at the beginning of the plan year account balances as of the end of articipants at the end of the plan year articipants at the beginning of the participants at the end of the plan year terminated employment during the or incomplete filing of this return ther penalties set forth in the instrument signed by an enrolled actuary,  | the plan year (only define   | d contribution plans energits that were less d unless reasonable ca   | 4c PN 5a 5b 5c 5d(1) 5d(2) 5e use is established. eport, including, if ap   | 51 23 33 47 0 plicable, a Schedule  |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total d(2) Total e Number than 1 Caution: A Under penal SB or Sche belief, it is t          | EIN, and the plan nu or's name number of participants number of participants er of participants with ete this item)  | articipants at the beginning of the plan year account balances as of the end of articipants at the end of the plan year articipants at the beginning of the participants at the end of the plan year terminated employment during the or incomplete filing of this return ther penalties set forth in the instrument signed by an enrolled actuary,  | the plan year (only define   | d contribution plans energits that were less d unless reasonable ca   | 4c PN 5a 5b 5c 5d(1) 5d(2) 5e use is established. eport, including, if ap   | 51 23 33 47 0 plicable, a Schedule  |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total d(2) Total e Number than 1 Caution: A Under penar SB or Sche belief, it is t          | EIN, and the plan nu or's name number of participants number of participants er of participants with ete this item)  | at the beginning of the plan year.  at the end of the plan year  | the plan year (only define<br>plan yeareare plan year with accrued b<br>confreport will be assesse<br>actions, I declare that I hav<br>as well as the electronic v | d contribution plans enefits that were less d unless reasonable care examined this return/report  | 4c PN 5a 5b 5c 5d(1) 5d(2) 5e suse is established. aport, including, if aport, and to the best of   | 51 23 33 47 0 plicable, a Schedule my knowledge and                                 |  |  |  |
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| name, a Sponso 5a Total n b Total n c Number completed d(1) Total e Number than 1 Caution: A Under pena SB or Schebelief, it is t SIGN HERE             | EIN, and the plan number's name number of participants number of participants er of participants with ete this item) | at the beginning of the plan year.  at the end of the plan year  account balances as of the end of articipants at the beginning of the plan year terminated employment during the terminated employment during the terminated by an enrolled actuary, applete.  Available electronic signature.  | f the plan year (only define plan year   | d contribution plans  energits that were less  d unless reasonable ca e examined this return/report ersion of this return/report  GREG BOOTS  Enter name of individ | 4c PN  5a  5b  5c  5d(1)  5d(2)  5e  suse is established. aport, including, if aport, and to the best of dual signing as plan and dual signing as employed.   | 23 33 47 0 plicable, a Schedule my knowledge and administrator over or plan sponsor |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total e Number than 1 Caution: A Under pena SB or Schebelief, it is t SIGN HERE             | EIN, and the plan number's name number of participants number of participants er of participants with ete this item) | at the beginning of the plan year.  at the end of the plan year  | f the plan year (only define plan year   | d contribution plans  energits that were less  d unless reasonable ca e examined this return/report ersion of this return/report  GREG BOOTS  Enter name of individ | 4c PN  5a  5b  5c  5d(1)  5d(2)  5e  suse is established. export, including, if aprt, and to the best of dual signing as plan and appears | 23 33 47 0 plicable, a Schedule my knowledge and administrator over or plan sponsor |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total e Number than 1 Caution: A Under pena SB or Schebelief, it is t SIGN HERE             | EIN, and the plan number's name number of participants number of participants er of participants with ete this item) | at the beginning of the plan year.  at the end of the plan year  account balances as of the end of articipants at the beginning of the plan year terminated employment during the terminated employment during the terminated by an enrolled actuary, applete.  Available electronic signature.  | f the plan year (only define plan year   | d contribution plans  energits that were less  d unless reasonable ca e examined this return/report ersion of this return/report  GREG BOOTS  Enter name of individ | 4c PN  5a  5b  5c  5d(1)  5d(2)  5e  suse is established. aport, including, if aport, and to the best of dual signing as plan and dual signing as employed.   | 23 33 47 0 plicable, a Schedule my knowledge and administrator over or plan sponsor |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total e Number than 1 Caution: A Under pena SB or Schebelief, it is t SIGN HERE             | EIN, and the plan number's name number of participants number of participants er of participants with ete this item) | at the beginning of the plan year.  at the end of the plan year  account balances as of the end of articipants at the beginning of the plan year terminated employment during the terminated employment during the terminated by an enrolled actuary, applete.  Available electronic signature.  | f the plan year (only define plan year   | d contribution plans  energits that were less  d unless reasonable ca e examined this return/report ersion of this return/report  GREG BOOTS  Enter name of individ | 4c PN  5a  5b  5c  5d(1)  5d(2)  5e  suse is established. aport, including, if aport, and to the best of dual signing as plan and dual signing as employed.   | 23 33 47 0 plicable, a Schedule my knowledge and administrator over or plan sponsor |  |  |  |
| name, a Sponso 5a Total n b Total n c Number completed d(1) Total e Number than 1 Caution: A Under pena SB or Schebelief, it is t SIGN HERE             | EIN, and the plan number's name number of participants number of participants er of participants with ete this item) | at the beginning of the plan year.  at the end of the plan year  account balances as of the end of articipants at the beginning of the plan year terminated employment during the terminated employment during the terminated by an enrolled actuary, applete.  Available electronic signature.  | f the plan year (only define plan year   | d contribution plans  energits that were less  d unless reasonable ca e examined this return/report ersion of this return/report  GREG BOOTS  Enter name of individ | 4c PN  5a  5b  5c  5d(1)  5d(2)  5e  suse is established. aport, including, if aport, and to the best of dual signing as plan and dual signing as employed.   | 23 33 47 0 plicable, a Schedule my knowledge and administrator over or plan sponsor |  |  |  |

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| 6a       | Were all of the plan's assets during the plan year invested in eligib  | le assets?     | (See instructions.)      |          |          |           |          |           | X Yes     | s No    |
|----------|--|----------------|--------------------------|----------|----------|-----------|----------|-----------|-----------|---------|
| b        | <b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)                   |                |                          |          |          |           |          |           | X Yes     | s No    |
|          | If you answered "No" to either line 6a or line 6b, the plan cann   |                | ,                        |          |          |           |          |           | _         |         |
| С        | If the plan is a defined benefit plan, is it covered under the PBGC in   | nsurance p     | orogram (see ERISA se    | ection 4 | 021)?    |           | Yes      | No        | Not det   | ermined |
| Pa       | rt III Financial Information   |                |                          |          |          |           |          |           |           |         |
| 7        | Plan Assets and Liabilities  |                | (a) Beginning            | of Year  |          |           | (        | (b) End   | of Year   |         |
| a        | Total plan assets  | 7a             |                          | 354319   | )        |           |          |           | 48753     | 0       |
| b        | Total plan liabilities   | 7b             |                          |          |          |           |          |           |           |         |
| <u> </u> | Net plan assets (subtract line 7b from line 7a)  | 7c             |                          | 354319   | )        | 487530    |          |           |           |         |
| 8        | Income, Expenses, and Transfers for this Plan Year   |                | (a) Amour                | nt       |          | (b) Total |          |           |           |         |
| а        | Contributions received or receivable from:   | 90/1)          |                          | 53422    |          |           |          |           |           |         |
|          | (1) Employers  | 8a(1)<br>8a(2) |                          | 119271   |          |           |          |           |           |         |
|          | (3) Others (including rollovers)   |                |                          |          |          |           |          |           |           |         |
|          | Other income (loss)  | 8a(3)<br>8b    |                          | 40155    | ,        |           |          |           |           |         |
|          | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)   | 8c             |                          |          | -        | 212848    |          |           |           |         |
| d        | Benefits paid (including direct rollovers and insurance premiums   | 00             |                          |          |          | 212040    |          |           |           |         |
|          | to provide benefits)   | 8d             |                          | 75078    | 3        |           |          |           |           |         |
| <u>e</u> | Certain deemed and/or corrective distributions (see instructions).   | 8e             |                          |          |          |           |          |           |           |         |
| f        | Administrative service providers (salaries, fees, commissions)   | 8f             |                          | 4559     |          |           |          |           |           |         |
| g        | Other expenses   | 8g             |                          |          |          |           |          |           |           |         |
| <u>h</u> | Total expenses (add lines 8d, 8e, 8f, and 8g)  | 8h             |                          |          |          |           |          |           | 7963      | 7       |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c)  | 8i             |                          |          |          |           |          |           | 13321     | 1       |
| <u>j</u> | Transfers to (from) the plan (see instructions)  | 8j             |                          |          |          |           |          |           |           |         |
| Pa       | rt IV Plan Characteristics   |                |                          |          |          |           |          |           |           |         |
| 9a       | If the plan provides pension benefits, enter the applicable pension 2E 2J 2K 2F 2G 3D 3H   | feature co     | odes from the List of Pl | an Cha   | racteri  | stic Co   | odes in  | the inst  | ructions: |         |
| b        | If the plan provides welfare benefits, enter the applicable welfare f  | eature cod     | des from the List of Pla | n Chara  | acterist | tic Cod   | des in t | he instru | ictions:  |         |
| Par      | t V Compliance Questions   |                |                          |          |          |           |          |           |           |         |
| 10       | During the plan year:  |                |                          |          | Yes      | No        | N/A      |           | Amount    |         |
| а        | Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's VProgram)  | oluntary F     | iduciary Correction      | 10a      |          | X         |          |           |           |         |
| b        | <b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)   |                |                          | 10b      |          | X         |          |           |           |         |
|          | C Was the plan covered by a fidelity bond?   |                |                          | 10c      | X        |           |          |           |           | 20000   |
| C        | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?   |                |                          | 10d      |          | X         |          |           |           |         |
| е        | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) |                |                          | 10e      |          | X         |          |           |           |         |
| f        | <b>f</b> Has the plan failed to provide any benefit when due under the plan?   |                |                          | 10f      |          | X         |          |           |           |         |
|          | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  |                |                          | 10g      |          | X         |          |           |           |         |
| h        | <b>h</b> If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)   |                |                          | 10h      | X        |           |          |           |           |         |
| i        | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10  |                |                          | 10i      | X        |           |          |           |           |         |

| ı | Form | 550 | 0-SF | 201 | 16 |
|---|------|-----|------|-----|----|
|   |      |     |      |     |    |

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| Page 3- | 1 |  |

| Part  | VI   | Pension Funding Compliance  |         |         |  |           |               |         |
|---|--|---|---------|---------|--|-----------|---------------|---------|
| 11  | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) |   |         |         |  |           |               | es No   |
| 11a   | Ente   | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   |         |         | 11a  |           |               |         |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?   |  |   |         |         |  | f<br>     |               | es X No |
|   |  | Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst | ruotior | 20.000  | d ontor t  | ho data   | of the letter | ruling  |
|   | gran   | ting the waiver   | onth _  | 15, and | _ Day  |           | Year _        |         |
|   |  | ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1   |         |         | 406  |           |               |         |
| <u> </u>  | Enter  | the minimum required contribution for this plan year  |         |         | 12b  |           |               |         |
| С   | Enter  | the amount contributed by the employer to the plan for this plan year   |         |         | 12c  |           |               |         |
| d   |  | ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)   |         |         | 12d  |           |               | _       |
| <u>e</u>  | Will   | the minimum funding amount reported on line 12d be met by the funding deadline?   |         |         |  | Yes       | No            | N/A     |
| Part '  | VII  | Plan Terminations and Transfers of Assets   |         |         |  |           |               |         |
| 13a   | Has  | a resolution to terminate the plan been adopted in any plan year?   |         |         |  | Yes       | s X No        | )       |
|   | If "Y  | es," enter the amount of any plan assets that reverted to the employer this year  |         |         | 13a  |           |               |         |
| b   |  | e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?   |         |         |  |           | Yes X         | No      |
| С   |  | uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)            | y the p | plan(s) | ) to   |           |               |         |
| 1   | 3c(1)  | Name of plan(s):  |         | 13c(2)  | EIN(s)   |           | 13c(3)        | PN(s)   |
|   |  |   |         |         |  |           |               |         |
| Part  | VIII   | Trust Information   |         |         |  |           |               |         |
| 14a   | Name   | of trust  |         |         | 14b <sup>-</sup>                                     | Trust's E | EIN           |         |
| 14c   | Name   | e of trustee or custodian   |         |         | <b>14d</b> Trustee's or custodian's telephone number |           |               |         |
| Part  | : IX   | IRS Compliance Questions  |         |         |  |           |               |         |
| 15a   | Is the   | plan a 401(k) plan? If "No," skip b   |         | Yes     |  | [         | No            |         |
| 150 How did the plan catiety the pendicerimination requirements for employee deterrals under section 11.1   |  |   |         | ·       | ign-based "Prior year" ADF test                      |           |               | ar" ADP |
|   |  | ,,,,, p ,   |         | "Curre  | ent year<br>test                                     | ,,        | N/A           |         |
| 16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:   |  |   |         |         | entage Average N/A benefit test N/A                  |           |               |         |
| <b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? |  |   |         |         |  | ☐ No      |               |         |
| 17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/  |  |   |         |         |  |           |               |         |
|   | letter   |   | ter the | e date  | of the m   | nost rece | ent determir  | nation  |
|   | Were   | ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?                               |         | from    | Ye   | s [       | No            |         |
| 19  | Was  | any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?  |         |         | Ye   | s [       | No            |         |