Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Parti	Annual Repor	rt identification informatio							
For calend	lar plan year 2016 or	fiscal plan year beginning 07/01	/2016	and ending 0	6/30/2017				
		a single-employer plan		plan (not multiemployer)					
A This re	turn/report is for:	employer information in a	ccordance with the	e form instructions.)					
B This ret	urn/report is	the first return/report	the final return/repo	ort					
		an amended return/report	a short plan year re	turn/report (less than 12 m	nonths)				
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC program	m			
	3	special extension (enter des		11	☐ Di ve piograf				
Part II	Basic Plan In	formation—enter all requested i							
1a Name	•	omer an requested r	inomianon		1b Three-digi	t			
LIGHTING (GROUP NORTHWES	ST 401(K) PROFIT SHARING PLAN	N		plan numb	er 001			
					(PN) 1c Effective d				
						07/01/2003			
		oloyer, if for a single-employer plan)				dentification Number			
		oom, apt., suite no. and street, or P. nce, country, and ZIP or foreign pos		nstructions)	(=:: 1)	47-0882730			
	GROUP NORTHWES		, 3 ,	,	2c Sponsor's	telephone number 6-298-9000			
					2d Business of	code (see instructions)			
5700 6TH A' STE 215	VE S				425120				
	VA 98108-2511								
3a Plan a	administrator's name	and address X Same as Plan Sp	onsor.		3b Administra	tor's EIN			
					JC Administra	tor's telephone number			
		the plan sponsor has changed since	e the last return/report file	ed for this plan, enter the	4b EIN				
	e, Env, and the plan r sor's name	number from the last return/report.			4c PN				
5a Total	number of participan	its at the beginning of the plan year			5a	35			
_		its at the end of the plan year			5b	34			
		h account balances as of the end o		•	5c	34			
	,	participants at the beginning of the			5d(1)	34			
		participants at the end of the plan y			5d(2)	54			
		at terminated employment during th			5e				
than Caution:	100% vested	e or incomplete filing of this retu	rn/roport will be assess	od unloss rozsonablo sa					
Under pen	alties of perjury and	other penalties set forth in the instr	uctions, I declare that I ha	ive examined this return/re	port, including, if	applicable, a Schedule			
	edule MB completed true, correct, and co	and signed by an enrolled actuary, molete.	as well as the electronic	version of this return/report	rt, and to the best	of my knowledge and			
SIGN		d/valid electronic signature.	09/28/2017	CHRIS BREDL					
HERE	Signature of plan	administrator	Date	Enter name of individ	dual signing as pla	n administrator			
SIGN									
HERE	Signature of emp	oloyer/plan sponsor	Date	Enter name of individ	dual signing as em	ployer or plan sponsor			
Preparer's		n name, if applicable) and address (include room or suite nur		Preparer's telep	· · · · · · · · · · · · · · · · · · ·			

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	Were all of the plan's assets during the plan year invested in eligib		•						X Ye	s No
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQP under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Ye	es No
	f the plan is a defined benefit plan, is it covered under the PBGC ir						-	No	Not de	termined
Par	t III Financial Information						_			
	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	l of Year	
a	Total plan assets	7a		874439					343504	19
b .	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	2	874439)				343504	19
8	ncome, Expenses, and Transfers for this Plan Year		(a) Amour	ıt		(b) Total				
	Contributions received or receivable from:			100000						
	(1) Employers	8a(1)		199183						
	(2) Participants	8a(2)		172363	_					
	(3) Others (including rollovers)	8a(3)		340488						
	Other income (loss)	8b		040400					91203	2.4
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				812034				
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		234062						
	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g										
h ·	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		251424				24		
i	Net income (loss) (subtract line 8h from line 8c)	8i							56061	10
j	j Transfers to (from) the plan (see instructions)									
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	tic Cod	des in t	he insti	ructions:	
Part	V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amoun	t
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?				X					5000000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	in?		10f		X				
g					X					64212
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)									
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X					

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and on 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?								
	(If "Y	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth	s, and	d enter t Day		of the lette Year	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter t	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets		1					
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s <mark>X</mark> 1	Ю	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		X Yes No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	lan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	Trust's E	EIN		
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	_ ∐ ;		n-based "Prior year" ADP test				
					ent year" N/A test				
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:					ntage Average N/A benefit test N/A			□ N/A	
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No				
	the le								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en/	nter the	date	of the m	nost rec	ent determ	ination	
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepae? 		rom	Ye	s [No		
19	Was a	any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?			Ye	s	No		

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Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

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Revenue Code (the Code).

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Pension B	lenefit Guaranty Corporation	▶ Complete all entries in a	accordance with the instructions to the Form	5500-SF.	l and mepeoden			
Part I		Identification Information						
For calend	lar plan year 2016 or fi	scal plan year beginning	07/01/2016 and ending	06	/30/2017			
		a single-employer plan	a multiple-employer plan (not multiemployer)	-				
A This re	turn/report is for:		list of participating employer information in a	ccordance v	vith the form instructions.)			
		a one-participant plan	a foreign plan					
		☐ 45-2 €44	□ #b = \$==1 ==6=== 4					
B This ret	turn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year return/report (less than 12 r	nonths)				
C Check	box if filing under:	☐ Form 5558	automatic extension	☐ DFVC p	program			
		special extension (enter descr						
Part II	Pacie Plan Info	rmation—enter all requested in						
1a Name		ination—enter all requested in	iornation	1b Thre	oo digit			
	IG GROUP NORTH	WEST 401 (K)			number			
	SHARING PLAN	WEST 401(II)		(PN)				
				1c Effec	ctive date of plan			
				07,	/01/2003			
		yer, if for a single-employer plan)		2b Emp	loyer Identification Number			
		m, apt., suite no. and street, or P.C	D. Box) ral code (if foreign, see instructions)	(EIN) 47-0882730			
,	G GROUP NORTH		ar code (ii roreign, see moducacino)	2c Sponsor's telephone number				
				(206) 298-9000				
				1	ness code (see instructions)			
5700 6T				425120				
STE 215 SEATTLE			WA 98108-2511					
		nd address K Same as Plan Spor		3b Adm	inistrator's EIN			
		G						
				3c Adm	inistrator's telephone number			
				1				
4 If the	name and/or EIN of the	e plan sponsor has changed since	the last return/report filed for this plan, enter the	4b EIN				
		mber from the last return/report.						
a Spons	or's name			4c PN				
5a Total	number of participants	at the beginning of the plan year		. 5a	35			
b Total	number of participants	at the end of the plan year		5b	34			
C Numb	er of participants with	account balances as of the end of	the plan year (only defined contribution plans	5c				
comp	lete this item)			·	34			
d(1) Tot	al number of active pa	rticipants at the beginning of the plant	an year	5d(1)	34			
d(2) Tot	al number of active pa	rticipants at the end of the plan yea	ar	5d(2)	54			
			plan year with accrued benefits that were less	5e				
		or incomplete filing of this return	n/report will be assessed unless reasonable ca		htiahad (
			tions, I declare that I have examined this return/re					
SB or Sche	edule MB completed ar	nd signed by an enrolled actuary.	well as the electronic version of this return/repo					
belief, it is	true, correct, and com	olete.			**************************************			
SIGN	40	_ / 24	, Chris Bredl					
HERE	Signature of plan a	dministrator	Date 9/27/2017 Enter name of individ	dual signing	as plan administrator			
SIGN			1					
HERE	Signature of emplo	/alan anana	Date Enter name of individ					
Prenarer's		as employer or plan sponsor stelephone number						
. Iopaioi o	(o.ading iiiii ii	ame, if applicable) and address (in	issued toom of outer manager /	l lopatel s	totophone number			
				100000000000000000000000000000000000000				