## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

| Pension Benefit Guaranty Corporation  | ▶ Complete all entries in a                      | accordance with the instructions to the Form 5  | 5500-SF.   | •   |      |  |  |  |
|---|--|---|--|---|------|--|--|--|
| Part I Annual Repor   | t Identification Information                     |   |  |   |      |  |  |  |
| For calendar plan year 2016 or  | fiscal plan year beginning 01/01/2               | 2016 and ending 1   | 12/31/2016   |   |      |  |  |  |
| A This return/report is for:  | a single-employer plan a one-participant plan    | a multiple-employer plan (not multiemployer) list of participating employer information in a a foreign plan |  |   |      |  |  |  |
| <b>B</b> This return/report is  | the first return/report an amended return/report | the final return/report a short plan year return/report (less than 12 months)                               |  |   |      |  |  |  |
| C Check box if filing under:  | Form 5558 special extension (enter descr         | automatic extension DFVC program Scription)   |  |   |      |  |  |  |
| Part II Basic Plan Inf  | ormation—enter all requested inf                 | formation   |  |   |      |  |  |  |
| <b>1a</b> Name of plan<br>275 TECHNOLOGY SOLUTIONS  | S 401 K PLAN                                     |   | (PN)   | number 001 001 001 001 001 001 001 001 001 00                             |      |  |  |  |
| 2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 275 TECHNOLOGY SOLUTIONS, INC. |  |   | 05/01/2012 <b>2b</b> Employer Identification Number (EIN) 20-5669900 <b>2c</b> Sponsor's telephone number 516-833-1333 |   |      |  |  |  |
| 35 N TYSON AVE<br>FLORAL PARK, NY 11001-1403  |  |   |  | 2d Business code (see instructions) 541990                                |      |  |  |  |
| <b>3a</b> Plan administrator's name a ERISA FIDUCIARY SERVICES IN   |  | nsor.<br>LT WHITMAN RD STE 110<br>E, NY 11747-3065  |  | uistrator's EIN<br>47-1637791<br>uistrator's telephone nu<br>631-249-0500 | mber |  |  |  |
|   | umber from the last return/report.               | the last return/report filed for this plan, enter the   | 4b EIN<br>4c PN  | 20-5669900  |      |  |  |  |
| _   |  |   | 5a   |   | 12   |  |  |  |
|   |  |   | 5b   |   | 12   |  |  |  |
| C Number of participants with   | n account balances as of the end of              | the plan year (only defined contribution plans  | 5c   |   | 12   |  |  |  |
| d(1) Total number of active p   | articipants at the beginning of the pl           | an year   | 5d(1)  |   | 1:   |  |  |  |
|   |  | ar  | 5d(2)  |   | 1:   |  |  |  |
| Number of participants that than 100% vested  | at terminated employment during the              | plan year with accrued benefits that were less  | 5e   |   |      |  |  |  |
| Coution. A nonalty for the late   | ar incomplete filing of this return              | alrament will be accessed unless researchle as  | a ia aatab   | liahad  |      |  |  |  |

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

| <u>beliet, it is t</u>  | rue, correct, and complete.                       |                          |  |      |  |  |
|---|---|--------------------------|--|------|--|--|
| 31314   | Filed with authorized/valid electronic signature. | 09/28/2017               | ANTHONY M. WARD,                                       | ESQ. |  |  |
| HERE  | Signature of plan administrator                   | Date                     | Enter name of individual signing as plan administrator |      |  |  |
| SIGN  |   |                          |  |      |  |  |
| HERE  | Signature of employer/plan sponsor                | Enter name of individual | ividual signing as employer or plan sponsor            |      |  |  |
| Preparer's name (including firm name, if applicable) and address (include room or suite number) |   |                          | Preparer's telephone number                            |      |  |  |
|   |   |                          |  |      |  |  |
|   |   |                          |  |      |  |  |
|   |   |                          |  |      |  |  |

Form 5500-SF 2016 Page **2** 

|          | <ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> </ul> |            |                          |          |          |           |          | No<br>No |               |    |  |
|----------|---|------------|--------------------------|----------|----------|-----------|----------|----------|---------------|----|--|
|          | If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.   |            |                          |          |          |           |          |          |               |    |  |
| С        | If the plan is a defined benefit plan, is it covered under the PBGC ir  | nsurance p | orogram (see ERISA se    | ection 4 | 021)?    |           | Yes      | No       | Not determine | ∍d |  |
| Pai      | t III Financial Information   |            |                          |          | -        |           |          |          |               |    |  |
| 7        | Plan Assets and Liabilities   |            | (a) Beginning            | of Year  |          |           | (        | (b) End  | of Year       |    |  |
| <u>a</u> | Total plan assets   | 7a         |                          | 366904   | ļ        |           |          | 500598   |               |    |  |
| b        | Total plan liabilities  | 7b         |                          | 0        |          |           | 0        |          |               |    |  |
| С        | Net plan assets (subtract line 7b from line 7a)   | 7c         |                          | 366904   |          | 500598    |          |          |               |    |  |
| 8        | Income, Expenses, and Transfers for this Plan Year  |            | (a) Amoun                | ıt       |          | (b) Total |          |          |               |    |  |
| а        | Contributions received or receivable from:  | 8a(1)      |                          |          |          |           |          |          |               |    |  |
|          | (1) Employers   | 8a(2)      |                          | 115955   |          |           |          |          |               |    |  |
|          | (3) Others (including rollovers)  | 8a(3)      |                          |          |          |           |          |          |               |    |  |
|          | Other income (loss)   | 8b         |                          | 35411    |          |           |          |          |               |    |  |
|          | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)  | 8c         |                          |          |          |           |          |          | 151366        |    |  |
|          | Benefits paid (including direct rollovers and insurance premiums  |            |                          |          |          |           |          |          |               |    |  |
|          | to provide benefits)  | 8d         |                          | 12242    |          |           |          |          |               |    |  |
|          | Certain deemed and/or corrective distributions (see instructions).  | 8e         |                          | 5220     |          |           |          |          |               |    |  |
| f        | Administrative service providers (salaries, fees, commissions)  | 8f         |                          | 5230     | _        |           |          |          |               |    |  |
|          | Other expenses  | 8g         |                          | 200      | _        |           |          |          | 17670         |    |  |
|          | h Total expenses (add lines 8d, 8e, 8f, and 8g)   |            |                          |          |          |           |          |          | 17672         |    |  |
|          | Net income (loss) (subtract line 8h from line 8c)   | 8i         |                          |          |          |           |          |          | 133694        |    |  |
|          | j Transfers to (from) the plan (see instructions)   |            |                          |          |          |           |          |          |               |    |  |
|          | Part IV Plan Characteristics  |            |                          |          |          |           |          |          |               |    |  |
| 9a<br>   | If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2S 2T 3D   |            |                          |          |          |           |          |          |               |    |  |
| b        | If the plan provides welfare benefits, enter the applicable welfare for   | eature cod | les from the List of Pla | n Chara  | acterist | ic Cod    | des in t | he instr | uctions:      |    |  |
| Par      | t V Compliance Questions  |            |                          |          |          |           |          |          |               |    |  |
| 10       | During the plan year:   |            |                          |          | Yes      | No        | N/A      |          | Amount        |    |  |
| а        | Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)   | oluntary F | iduciary Correction      | 10a      |          | X         |          |          |               |    |  |
| b        | Were there any nonexempt transactions with any party-in-interest reported on line 10a.)   |            |                          | 10b      |          | X         |          |          |               |    |  |
| С        | Was the plan covered by a fidelity bond?  |            |                          | 10c      |          | X         |          |          |               |    |  |
| d        | d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?  |            |                          | 10d      |          | X         |          |          |               |    |  |
| е        | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  |            |                          | 10e      |          | X         |          |          |               |    |  |
| f        | f Has the plan failed to provide any benefit when due under the plan?   |            |                          | 10f      |          | X         |          |          |               |    |  |
| g        | g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)   |            |                          | 10g      |          | X         |          |          |               |    |  |
| h        | If this is an individual account plan, was there a blackout period? 2520.101-3.)  |            |                          | 10h      |          | X         |          |          |               |    |  |
| i        | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10   | he require | d notice or one of the   | 10i      |          |           |          |          |               |    |  |

| Form | 5500 | -SF | 201 | 6 |
|------|------|-----|-----|---|
|      |      |     |     |   |

| Page <b>3</b> - | 1 |  |
|-----------------|---|--|
|-----------------|---|--|

| Part  | VI   | Pension Funding Compliance  |                                    |        |  |           |                        |                |  |
|---|--|---|------------------------------------|--------|--|-----------|------------------------|----------------|--|
| 11  |  | s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c<br>n 5500) and line 11a below)                                    |                                    |        |  |           |                        | ∕es X No       |  |
|   |  | r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40  |                                    |        | 11a  |           |                        |                |  |
| 12  | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? |   |                                    |        |  |           | <b>│</b>               | res X No       |  |
|   | (If "\   | es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)   |                                    |        |  |           |                        |                |  |
|   | grant  | raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins<br>ing the waiver   | onth _                             | s, and | d enter t<br>Day                                     |           | of the lette<br>Year _ | er ruling      |  |
| If  | you c  | ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1   | 13.                                | 1      |  | T         |                        |                |  |
| <u>b</u>  | Enter  | the minimum required contribution for this plan year  |                                    |        | 12b  |           |                        |                |  |
| С   | Enter  | the amount contributed by the employer to the plan for this plan year   |                                    |        | 12c  |           |                        |                |  |
| d   |  | ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l<br>tive amount)  |                                    |        | 12d  |           |                        |                |  |
|   |  | he minimum funding amount reported on line 12d be met by the funding deadline?  |                                    |        |  | Yes       | No                     | N/A            |  |
| Part  | VII  | Plan Terminations and Transfers of Assets   |                                    |        |  |           |                        |                |  |
| 13a   | Has a  | a resolution to terminate the plan been adopted in any plan year?   |                                    |        |  | Yes       | s X N                  | lo             |  |
|   | If "Ye   | es," enter the amount of any plan assets that reverted to the employer this year  |                                    |        | 13a  |           |                        |                |  |
| b   |  | e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?   |                                    | er the |  |           | Yes                    | No             |  |
| С   |  | ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.) | ify the p                          | lan(s) | ) to   |           |                        |                |  |
|   | 13c(1)   | Name of plan(s):  | 1                                  | 3c(2)  | EIN(s)   |           | 13c(3                  | <b>)</b> PN(s) |  |
|   |  |   |                                    |        |  |           |                        |                |  |
| Part  | VIII   | Trust Information   |                                    |        |  |           |                        |                |  |
| 14a   | Name   | of trust  |                                    |        | 14b <sup>-</sup>                                     | Trust's E | EIN                    |                |  |
| 14c Name of trustee or custodian  |  |   |                                    |        | <b>14d</b> Trustee's or custodian's telephone number |           |                        |                |  |
| Par   | t IX   | IRS Compliance Questions  |                                    |        |  |           |                        |                |  |
| 15a   | Is the   | plan a 401(k) plan? If "No," skip b   |                                    | Yes    |  |           | No                     |                |  |
|   |  | did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:                             | -  LL ;                            |        | gn-based "Prior year" ADI test                       |           |                        |                |  |
|   |  |   | ΙП '                               | "Curre | ent year<br>test                                     | "         | N/A                    |                |  |
| 16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:   |  |   | ntage Average N/A benefit test N/A |        |  | □ N/A     |                        |                |  |
| <b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? |  |   |                                    |        | S No   |           |                        |                |  |
|   | the le   |   |                                    |        |  |           |                        |                |  |
|   | letter   | plan is an individually-designed plan that received a favorable determination letter from the IRS, er   | nter the                           | date   | of the m   | nost rece | ent determi            | nation         |  |
| 18  | Were   | ed Benefit Plan or Money Purchase Pension Plan Only:<br>any distributions made during the plan year to an employee who attained age 62 and had not sepa<br>e?         |                                    | rom    | Ye   | s [       | No                     |                |  |
| 19  | Was  | any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?  |                                    |        | Ye   | s [       | No                     |                |  |