Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A This return/report is for:
- ☐ a multiemployer plan
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☒ a single-employer plan
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
THE GATES GROUP RETIREMENT PLAN

1b Three-digit plan number (PN) ▶ 333

1c Effective date of plan 10/01/1964

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
GATES CORPORATION

1551 WEWATTA STREET
DENVER, CO 80202

2b Employer Identification Number (EIN) 84-0857401

2c Plan Sponsor's telephone number 303-744-5599

2d Business code (see instructions) 326200

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/04/2017	MARK KLINGENMEIER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address ☐ Same as Plan Sponsor

GATES RETIREMENT BOARD

1551 WEWATTA STREET
DENVER, CO 80202

3b Administrator's EIN: 84-0857401

3c Administrator's telephone number: 303-744-5599

4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:

a Sponsor's name

4b EIN

4c PN

5	Total number of participants at the beginning of the plan year	**5**	8135
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	511
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	433
b	Retired or separated participants receiving benefits	**6b**	4781
c	Other retired or separated participants entitled to future benefits	**6c**	1458
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	6672
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	1011
f	Total. Add lines **6d** and **6e**.	**6f**	7683
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

1A 1B 1C 1D 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [X] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 2 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PRINCIPAL LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
42-0127290	61271	336130	0	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	4030570
5	Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity (3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee (3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			7b	3872677
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	137777		
	(4) Transferred from separate account	7c(4)			
	(5) Other (specify below) ▶	7c(5)			
	(6) Total additions			7c(6)	137777
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	4010454
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	65393		
	(2) Administration charge made by carrier	7e(2)	10973		
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below) ▶	7e(4)			
	(5) Total deductions			7e(5)	76366
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	3934088

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
METROPOLITAN LIFE INSURANCE COMPANY

(b) EIN	**(c)** NAIC code	**(d)** Contract or identification number	**(e)** Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	**(g)** To
13-5581829	65978	GAC163A	0	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	**(b)** Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	4	8548
5	Current value of plan's interest under this contract in separate accounts at year end	5	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	6b	
c	Premiums due but unpaid at the end of the year	6c	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	6d	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☒ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			7b	8524
c	Additions: (1) Contributions deposited during the year	7c(1)			
	(2) Dividends and credits	7c(2)			
	(3) Interest credited during the year	7c(3)	24		
	(4) Transferred from separate account	7c(4)	254436		
	(5) Other (specify below) ▶	7c(5)			
	(6) Total additions			7c(6)	254460
d	Total of balance and additions (add lines **7b** and **7c(6)**)			7d	262984
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)	245276		
	(2) Administration charge made by carrier	7e(2)	9160		
	(3) Transferred to separate account	7e(3)			
	(4) Other (specify below) ▶	7e(4)			
	(5) Total deductions			7e(5)	254436
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			7f	8548

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 01 Day 01 Year 2016

2 Assets:

a Market value	**2a**	422802791
b Actuarial value	**2b**	429181850

3 Funding target/participant count breakdown

	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	6047	337506983	337506983
b For terminated vested participants	1577	34449778	34449778
c For active participants	511	16394680	17334350
d Total	8135	388351441	389291111

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	**4a**	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	**4b**	
5 Effective interest rate	**5**	5.90 %
6 Target normal cost	**6**	1906798

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

Signature of actuary

09/21/2017
Date

THOMAS M. GIECK
Type or print name of actuary

14-07245
Most recent enrollment number

TOWERS WATSON DELAWARE INC.
Firm name

303-391-1200
Telephone number (including area code)

555 17TH STREET, SUITE 2050
DENVER, CO 80202
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	31233320	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	31233320	0
10	Interest on line 9 using prior year's actual return of -1.88%	-587186	0
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		0
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 6.11%		0
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
	c Total available at beginning of current plan year to add to prefunding balance		0
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections		0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	30646134	0

Part III Funding Percentages

14	Funding target attainment percentage	14	102.37%
15	Adjusted funding target attainment percentage	15	110.24%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	115.41%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ► 18(b)	0	18(c) 0

19	Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:		
	a Contributions allocated toward unpaid minimum required contributions from prior years.	19a	0
	b Contributions made to avoid restrictions adjusted to valuation date	19b	0
	c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

a Segment rates:

1st segment:	2nd segment:	3rd segment:	
4.43%	5.91%	6.65%	☐ N/A, full yield curve used

b Applicable month (enter code)	**21b**	4
22 Weighted average retirement age	**22**	62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):

a Target normal cost (line 6)	**31a**	1906798
b Excess assets, if applicable, but not greater than line 31a	**31b**	1906798

32 Amortization installments:

	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0
38 Present value of excess contributions for current year (see instructions)		
a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected ☐ 2 plus 7 years ☐ 15 years

b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011

42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan	**B** Three-digit plan number (PN) ▶
THE GATES GROUP RETIREMENT PLAN	333
C Plan sponsor's name as shown on line 2a of Form 5500	**D** Employer Identification Number (EIN)
GATES CORPORATION	84-0857401

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

NISA INVESTMENT ADVISORS

48-1140940

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	NONE	730707	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON DELAWARE, INC.

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 17	NONE	336653	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

NORTHERN TRUST COMPANY

36-1561860

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 28	NONE	140751	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BUCK CONSULTANTS

13-3954297

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
17	NONE	49713	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

ANTON COLLINS MITCHELL LLP

01-0724563

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NONE	36000	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PRINCIPAL LIFE INSURANCE CO

42-0127290

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 23	NONE	10973	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

METROPOLITAN LIFE INSURANCE COMPANY

13-5581829

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
13 23	NONE	8720	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

Part I Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)

(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: METLIFE CORE LAGB

b Name of sponsor of entity listed in (a): MET LIFE

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
13-5581829-377	P	2109538

a Name of MTIA, CCT, PSA, or 103-12 IE: NTGI COLLECTIVE SHORT TERM INV FUND

b Name of sponsor of entity listed in (a): NORTHERN TRUST

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
36-6036794-001	C	1415604

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	3371305	2495512
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other	1b(3)	4968363	7835371
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)	23393991	11040632
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	38024154	29272946
(B) All other	1c(3)(B)	304650393	320234693
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	1473721	1415604
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	4017654	4039118
(15) Other	1c(15)	46116942	41594364

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	426016523	417928240
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h	328270	266957
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	3077279	4229892
k	Total liabilities (add all amounts in lines 1g through1j)	1k	3405549	4496849
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	422610974	413431391

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)		
	(B) Participants	2a(1)(B)		
	(C) Others (including rollovers)	2a(1)(C)		
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		0
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	57314	
	(B) U.S. Government securities	2b(1)(B)	250863	
	(C) Corporate debt instruments	2b(1)(C)	14786143	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)	2277236	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		17371556
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		0
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	922009968	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	906789370	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		15220598
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)	3299679	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		3299679

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		68628
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		-39971
d Total income. Add all **income** amounts in column (b) and enter total	2d		35920490
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	42425880	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		42425880
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	372653	
(2) Contract administrator fees	2i(2)	19693	
(3) Investment advisory and management fees	2i(3)	921171	
(4) Other	2i(4)	1360676	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		2674193
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		45100073
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		-9179583
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: ANTON COLLINS MITCHELL LLP **(2)** EIN: 01-0724563

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4007684 . (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
THE GATES GROUP RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500
GATES CORPORATION

D Employer Identification Number (EIN)
84-0857401

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 36-1561860

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3** 120

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☒ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☒ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: 1.0 % Investment-Grade Debt: 98.0 % High-Yield Debt: 0.0 % Real Estate: 0.0 % Other: 1.0 %

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☒ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter ____/____/____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter ____/____/____.

The Gates Group Retirement Plan

Financial Statements and Supplemental Schedules

As of and for the Years Ended December 31, 2016 and 2015

ACM
Anton Collins Mitchell LLP
Accountants & Consultants

The Gates Group Retirement Plan

Contents

Independent Auditor's Report 1

Financial Statements:

Statements of Net Assets Available for Benefits - As of December 31, 2016 and 2015 3

Statements of Changes in Net Assets Available for Benefits - For the Years Ended December 31, 2016 and 2015 4

Notes to Financial Statements 5

Supplemental Schedules:

Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2016 Appendix A

Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year) for the Year Ended December 31, 2016 Appendix B

Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions for the Year Ended December 31, 2016 Appendix C

NOTE: All other schedules required by Section 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 have been omitted because they are not applicable.

ACM
Anton Collins Mitchell LLP
Accountants & Consultants

Independent Auditor's Report

To the Plan Administrator of
The Gates Group Retirement Plan
Denver, Colorado

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of The Gates Group Retirement Plan (the Plan), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by The Northern Trust Company, the trustee of the Plan, and Principal Life Insurance Company, the custodian of the unallocated insurance contract, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee and custodian hold the Plan's investment assets and execute investment transactions. The plan administrator has obtained certifications from the trustee and custodian as of December 31, 2016 and 2015, and for the years then ended, that the information provided to the plan administrator by the trustee and custodian is complete and accurate.

303 East 17th Avenue, Suite 600
Denver, Colorado 80203
303.830.1120 - Fax 303.830.8130 - www.acmllp.com

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedules of Assets (Held at End of Year) as of December 31, 2016, Assets (Acquired and Disposed of within the Plan Year) for the year ended December 31, 2016, and Reportable Transactions for the year ended December 31, 2016 are required by the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on these supplemental schedules.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee and custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Anton Collins Mitchell LLP

Denver, Colorado
October 2, 2017

The Gates Group Retirement Plan

Statements of Net Assets Available for Benefits

As of December 31,	**2016**	2015
Assets:		
Cash	**$ 2,495,512**	$ 3,371,305
Investments, at fair value	**407,597,357**	417,676,855
Receivables:		
Accrued interest receivable	**4,226,649**	4,551,424
Pending trade sales receivable	**3,608,722**	416,939
Total receivables	**7,835,371**	4,968,363
Total assets	**417,928,240**	426,016,523
Liabilities:		
Accrued administrative expenses	**(266,957)**	(328,270)
Pending trade purchases payable	**(4,229,892)**	(3,077,279)
Total liabilities	**(4,496,849)**	(3,405,549)
Net assets available for benefits	**$ 413,431,391**	$ 422,610,974

See accompanying independent auditor's report and notes to financial statements.

The Gates Group Retirement Plan

Statements of Changes in Net Assets Available for Benefits

For the Years Ended December 31,		**2016**		2015
Additions to (deductions from) net assets attributed to:				
Net investment income				
Net appreciation (depreciation) in fair value of investments	**$**	**18,548,934**	$	(26,696,784)
Interest income from investments		**17,371,556**		18,479,651
Net investment income(loss)		**35,920,490**		(8,217,133)
Benefits paid to participants		**(42,425,880)**		(45,542,474)
Administrative expenses and investment management fees		**(2,674,193)**		(2,082,789)
Net decrease in net assets available for benefits		**(9,179,583)**		(55,842,396)
Net assets available for benefits, beginning of year		**422,610,974**		478,453,370
Net assets available for benefits, end of year	$	**413,431,391**	$	422,610,974

See accompanying independent auditor's report and notes to financial statements.

1. DESCRIPTION OF THE PLAN

The following description of The Gates Group Retirement Plan (the "Plan") provides only general information. Participants and all others should refer to the Plan document and its underlying sub-documents, as described below, for a more complete description of the Plan's provisions.

General

The Gates Corporation (the "Company") maintains a non-contributory defined benefit retirement plan covering substantially all employees hired before January 1, 1990, in the domestic operations of the Company and certain subsidiaries. The Plan is an amalgamation of multiple plans within the Gates Automotive Group. The benefits, rights and features of each merged plan have been retained and are detailed in the individual sub-documents relating to specific employee groups covered. Effective July 3, 2014, the Company was acquired by The Blackstone Group L.P.

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Trustee and Administration of the Plan

The Gates Industrial & Automotive Defined Benefit Master Retirement Trust (the "Trust") Agreement governs the assets of the Plan from the Company. The Plan is the only participating plan in the Trust.

The Gates Retirement Board (the "Plan Administrator" or "Retirement Board") is the administrator of the Plan. The Plan Administrator has full power, authority and responsibility to control and manage the operations and administration of the Plan. The Retirement Board determines the appropriateness of the Plan's investments and monitors investment performance.

The Plan also has investments with Principal Life Insurance Company ("Principal" or "Custodian") through a guaranteed annuity contract, which is not held by the Trust.

Multiple Plan Provisions

The Plan is an amalgamation of several benefit formulas and merged plans, all of which are closed to new plan members and summarized below:

- Document 1 covered members of the Denver Machining Center of the Company and was closed to new members effective July 1, 2008. Additionally, benefits were frozen for current plan members effective August 13, 2008 in accordance with negotiations with the collective bargaining members;

- Document 2 covers salaried and non-union members of the Company and was closed to new members in 1990. Effective September 30, 2009, Appendix J and K of Document 2 ceased benefit accruals, freezing final average pay and credited service. However, the Core Balance (as defined by the Plan document) in the Gates Matchmaker Plan will continue to be credited with investment income and the 3% Basic Contributions (as defined by the Plan document) and, in accordance with the terms of the Plan, continue to be applied as an offset to the benefit payable by the Plan;

- Document 3 provides benefits to certain collective bargaining unit members of the Company in accordance with good faith negotiations. Future service accruals for members of the Elizabethtown Polyflex location were frozen as of December 31, 2009 and all non-vested active members of this group were provided 100% vesting as of that date. Effective April 10, 2011, accruals for collectively bargained employees represented by Galesburg Local #685, United Steelworkers of America, under Document 3 are frozen pursuant to the collective bargaining agreement;

- Document 4 covers salaried and non-union members at certain locations of the Company and is closed to new members. Effective January 1, 1989 the provisions of Document 4 were merged into Document 2 (now referred to as Appendix K members);

- Document 5 is a closed and frozen benefit for the former employees of Camel Tire Care Products. The Pension Plan of Hourly Employees of Camel Tire Care Products was merged into the Plan on December 31, 2000;

- Document 6 is a closed and frozen benefit for the former employees of Schrader Automotive. The Schrader Inc. Employees' Pension Plan was merged into the Plan on December 31, 2000;

- Document 7, formerly known as the Stant Retirement Plan for Salaried Employees, was merged into the Plan effective January 1, 2002. Document 7 provides benefits to salaried and non-union hourly employees of Stant Corporation and Trico Corporation, companies, previously within the controlled group of businesses. Effective December 31, 2002, Document 7 was closed to new members. Effective September 30, 2009, Document 7 ceased benefit accruals, freezing final average pay and credited service. However, the Core Balance (as defined in the Plan document) in the Gates Matchmaker Plan (formerly in the Tomkins Automotive 401(k) Plan (f/k/a the Stant 401(k) Plan), will continue to be credited with investment income and the 3% Basic Contributions (as defined by the Plan document) and, in accordance with the terms of the Plan, will continue to be applied as an offset to the benefit payable by the Plan;

- Document 8, formerly known as the Epicor Industries Inc. Hourly Employees Pension Plan (the "Epicor Plan"), was closed to new members effective March 1, 2003 and benefits were frozen effective February 28, 2003. The Epicor Plan was merged into the Plan on January 1, 2002;

- Document 9, formerly known as The Stant Cash Accumulation Retirement Plan (the "Stant Plan"), was established January 1, 2003. The Stant Plan covers salaried and non-union hourly employees at Stant Corporation and Trico Corporation. Effective September 30, 2009, Document 9 ceased benefit accruals with no further contribution credits. Interest credits will continue to be applied in accordance with the terms of Document 9;

- Document 10, formerly known as the Trico Hourly Pension Plan (the "Trico Plan") effectively became frozen in 2004 following the closure of the facility in Buffalo, NY between June 2003 and March 2004 in which all plan members were employed. The Trico Plan was merged into the Plan on January 1, 2006;

- Document 11, formerly known as the FEDCO Automotive Components Company Bargaining Unit Pension Plan (the "FEDCO Plan") was closed to new members effective December 28, 2002 and benefits were frozen after May 2003. There are no active employees within the FEDCO Plan and all plan members are either in pay status or have a deferred vested benefit. The FEDCO Plan was merged into the Plan on December 31, 2009.

Effective September 30, 2009, the Plan was amended to incorporate the following: (1) all plan participants actively employed as of September 30, 2009 are considered fully vested, unless a collective bargaining agreement specifies another date, and (2) active Plan members will continue to earn continuous service under the Plan for purposes of determining early retirement eligibility.

The corporate entities associated with Document 5 thru 11 are no longer part of the Gates Controlled Group. Gates Corporation is the single legal entity in Plan. The former entities have been sold prior to 2016 although the pension benefit obligations remain with Gates.

Effective January 1, 2012, the Plan document was amended and restated for the following: (1) to incorporate amendments adopted since the last restatement and (2) to add a lump sum distribution option under each sub-document, effective April 1, 2012.

Effective, January 1, 2016, the Plan document was amended and restated for the following: (1) to incorporate amendments adopted since the last restatement and (2) to reflect the legal requirements contained in the 2015 Cumulative List of Changes in Plan Qualification Requirements as issued by the Internal Revenue Service (the "IRS") in Notice 2015-84.

Eligibility

Each employee, as described above, was eligible to enter the Plan upon meeting the eligibility requirements as specified in each of the individual underlying sub-documents noted above. All benefit formulas in the Plan are closed: therefore, there were no newly eligible plan members during the years ended December 31, 2016 or 2015.

Pension Benefits and Vesting

Beginning in 1985, the Plan was amended into a "floor offset" arrangement so that, where applicable, the pension benefits otherwise payable under the terms of the Plan is offset by an amount of equivalent actuarial value of the member's hypothetical account balance under the separate terms of The Gates Matchmaker Plan (a defined contribution plan sponsored by the Company). Some of the benefit formulas are a target benefit formula. Monthly benefits and forms of benefits available depend on the location of employment. Certain early retirement options and death benefits are also available.

Information about the Plan document, the vesting and benefit provisions, and the Pension Benefit Guaranty Corporation's ("PBGC's") benefit guarantee is contained in separate summary plan descriptions ("SPDs") for each group of covered employees and former employees. Copies of these SPDs are available from the Company.

Forfeitures

Non-vested amounts attributable to terminated participants that have been forfeited shall be used to reduce the contributions due from the Company.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The accompanying financial statements have been prepared using the accrual basis of accounting.

Use of Estimates

The preparation of financial statements in accordance with accounting principles generally accepted in the United States of America ("GAAP") requires plan management to make estimates and assumptions that affect the accompanying reported amounts of assets, liabilities, and changes therein; disclosure of contingent assets and liabilities; and the actuarial present value of accumulated plan benefits at the date of the financial statements, and changes therein. Actual results could differ from those estimates and such differences could be material.

In addition, the Plan holds a limited amount of investments for which fair values have been estimated by the Trustee and Plan Administrator in absence of immediately determinable values. The information used to value these assets was the most current at the time of the valuation, and differences from the estimated value will be reported in future periods. See Note 7 *Fair Value Measurements and Disclosures*, for further disclosure regarding investment valuation and fair value measurements.

Investment Valuation and Income Recognition

The Retirement Board adheres to the Gates Industrial and Automotive Defined Benefit Trust Statement of Investment Policy (the "Investment Policy"), which is periodically reviewed and updated. Investments are managed under agreements by which the investment managers have been given the authority to make individual investment decisions within the guidelines stated in the Investment Policy.

The Plan's investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Retirement Board determines the Plan's valuation policies utilizing information provided by its investment advisors, Trustee and Custodian. As of December 31, 2016 and 2015 all assets were held in the Trust or held by the Custodian. The Plan is the 100% beneficial owner of the Trust. See Note 7, *Fair Value Measurements and Disclosures,* for a discussion of fair value measurements.

The unallocated insurance contract held by Principal is shown at fair value as determined and certified by Principal based on the contractual agreement and includes consideration of contractual interest rates, current interest rates and duration of the contract.

Interest and dividend income (including capital gain distributions) is recorded when earned or on the ex-dividend date, respectively. Net appreciation (depreciation) in the fair value of investments represents the realized and unrealized gains and losses on the investments. Purchases and sales are recorded on a trade-date basis.

Minimum Balance Requirements on Insurance Company Investments

The Trust invests in insurance company pooled separate accounts and insurance company general accounts pursuant to certain guaranteed annuity contracts with various insurance companies. These contracts contain certain minimum balance requirements and certain restrictions on termination of the contracts. The minimum balance requirement was met as of December 31, 2016 and 2015.

Administrative Expenses and Investment Management Fees

The Plan's operating, investment, and administrative expenses are generally paid as provided for in the Plan document. Expenses for trustee, investment management, actuarial, auditing, and legal fees are charged to the Plan. Other administrative functions are performed by employees of the Company or its subsidiaries at no cost to the Plan. All other costs not paid by the Plan are paid by the Company and are excluded from these financial statements.

Payment of Benefits

Benefit payments to participants are recorded upon distribution.

3. FUNDING POLICY

The Company's policy is to make contributions to meet the minimum funding requirements under Internal Revenue Code ("IRC") 412. The Company was not required to make any contributions to meet the minimum funding requirement for the 2016 or 2015 plan years.

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those estimated future periodic payments, including lump-sum distributions that are attributable under the Plan's provisions to service rendered by employees to the valuation date. Accumulated plan benefits include benefits expected to be paid to employees or their beneficiaries upon retirement, death, disability, or termination. Benefits payable under all circumstances noted above are included in the computation of the actuarial present value of accumulated plan benefits to the extent they are deemed attributable to employee service rendered to the various freeze dates or the valuation date.

The actuarial present value of accumulated plan benefits is determined by an independent actuary and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of benefit payments (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment. The effect of any Plan amendments on accumulated plan benefits is recognized during the year in which such amendments were adopted.

The significant assumptions used in the actuarial valuation as of December 31, 2015 are as follows:

Retirement age	In general age 65, however, rates vary by age and groups.
Mortality	*Non-annuitants*: RP-2015 "Employees" sex-distinct tables without collar adjustments, using Scale MP-2015 with generational projection. *Annuitants:* RP-2015 "Healthy Annuitants" sex-distinct tables without collar adjustments, using Scale MP-2015 with generational projection.
Form of payment	50% of participants are assumed to elect the normal form of payment and 50% of participants are assumed to elect the lump sum option. For single lump sum or annuity, based on groups.
Lump sum conversion factor	As required by IRC 430, lump sum benefits are valued using "annuity substitution", so that the interest rates assumed are effectively the same as described for the discount rate.
Discount rate	4.375% per annum, compounded annually. The basis chose was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market date over certain periods of time.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

The actuarial present value of accumulated plan benefits as determined by the actuary as of December 31, 2015 is as follows:

Vested benefits:	
Participants currently receiving benefits	$ 399,034,057
Other vested benefits	63,428,035
Total vested benefits	462,462,092
Nonvested benefits	983,682
Total actuarial present value of accumulated plan benefits	$ 463,445,774

The changes in the actuarial present value of the Plan's accumulated plan benefits for the year ended December 31, 2015 are as follows:

Actuarial present value of accumulated plan benefits – December 31, 2014	$ 510,241,999
Increase (decrease) during the year attributed to:	
Actuarial (gain)	(65,134)
Benefits paid[(a)]	(45,840,898)
Decrease in discount period	18,883,710
Assumption changes[(b)]	(19,773,903)
Net decrease	(46,796,225)
Actuarial present value of accumulated plan benefits – December 31, 2015	$ 463,445,774

(a) Amount does not include re-deposits into the Plan or reimbursements from MetLife.

(b) The assumed discount rate was updated from 3.875%. to 4.375%.

5. INFORMATION CERTIFIED BY THE TRUSTEE AND CUSTODIAN

The Plan Administrator has elected the method of compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, as permitted under such election and as requested by the Plan Administrator, the following information has been certified by the Trustee and Custodian, and was not subjected to any auditing procedures performed by the Plan's independent auditors, except for comparing such information to the related investment information included in the financial statements and supplemental schedules:

- Cash, investments, pending trades and accrued interest receivable as shown in the accompanying Statements of Net Assets Available for Benefits as of December 31, 2016 and 2015;

- Net appreciation (depreciation) in fair value of investments and interest income from investments, as shown in the accompanying Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2016 and 2015;

- Investment information included in Notes to Financial Statements;

- Investment information included in the accompanying supplemental schedules as of and for the year ended December 31, 2016.

6. DERIVATIVES

The Plan invests in futures contracts in order to manage market risk and to achieve overall investment portfolio objectives. These contracts involve elements of market risk in excess of amounts recognized in the statement of net assets available for benefits. The credit risk associated with these contracts is minimal because they are traded on an exchange and settled daily.

At December 31, 2016, the Plan had futures contracts to buy $321,000 of U.S. Treasury Bonds and $7.7 million of US Treasury Notes and to sell $6 million of U.S. Treasury Notes. The fair value of the futures contracts in the Statements of Net Assets Available for Benefits at December 31, 2016 is zero, because changes in value of the contracts are settled by cash payments daily. Gains (losses) on the futures contracts are included in net (depreciation) appreciation in fair value of investments on the Statements of Changes in Net Assets Available for Benefits. The total earnings for the year ended December 31, 2016 were de-minimus.

7. FAIR VALUE MEASUREMENTS AND DISCLOSURES

Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("ASC") Topic 820, *Fair Value Measurements and Disclosures*, provides the framework for measuring fair value. The framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels. Level 1 inputs are the highest priority and consist of unadjusted quoted prices in active markets the Plan has the ability to access for identical assets and liabilities. Level 2 inputs are inputs other than quoted prices that are observable for the asset or liability, either directly or indirectly (quoted prices in active markets for similar assets and liabilities, quoted prices for identical or similar instruments in markets that are not active and model-derived valuations whose inputs are observable or whose significant value drivers are observable). Level 3 inputs are the lowest priority and are unobservable and significant to the fair value measurement of an asset or liability.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for investments measured at fair value. There were no changes in the methodologies used at December 31, 2016 and 2015:

U.S. Government securities, corporate debt instruments, and other bonds: U.S. Government securities, corporate debt instruments, and other bonds are stated at fair value based on multiple pricing services, based on a bid evaluation process using observable, market-based inputs effective the last day of the plan year or last reported sale or bid date if no sale took place on the last business day of the year.

Insurance Company Pooled Separate Accounts (PSAs): PSAs are valued at NAV as determined by the PSA's designated accountants without further adjustment. Agreements with the insurance company can include provisions containing certain minimum balance requirements. NAV is calculated based on the fair value of the underlying investments, which are generally traded in an active market; however, there is a lack of transparency in pricing. NAV is used as a practical expedient to estimate fair value. This practical expedient would not be used if it is determined to be probable that the Plan will sell the investment for an amount different from the reported NAV. In determining the reasonableness of the methodology, the Retirement Board evaluates a variety of factors including review of existing contracts, economic conditions, industry and market developments, and overall credit ratings of the insurance company.

Common/Collective Trust (CCT): The units of the short-term investment fund are valued at the NAV of the underlying investments, less any liabilities, divided by the outstanding units. NAV is used as a practical expedient to estimate fair value. The investment strategy is to maintain a $1 unit value. The fund transacted at the $1 unit value as of the measurement dates.

Insurance Company General Accounts and Unallocated Insurance Contract: These investments are valued at fair value based on the contractual agreement between the Plan and the insurance company and typically include consideration of contractual interest rates, current interest rates, duration of the contract and credit-worthiness of the contract holder. There can be restrictions on the sale of such investments based on the terms of the contract. In determining the reasonableness of the methodology, the Retirement Board evaluates a variety of factors including review of existing contracts, economic conditions, industry and market developments, and overall credit ratings.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's investments, at fair value as of December 31, 2016 and 2015:

As of December 31, 2016	Level 1	Level 2	Level 3	Total
U.S. Government securities	**$ 10,445,212**	**$ 595,420**	**$ -**	**$ 11,040,631**
Corporate debt instruments	**-**	**347,398,101**	**-**	**347,398,101**
Other bonds	**-**	**41,594,365**	**-**	**41,594,365**
Insurance company general accounts	**-**	**-**	**8,548**	**8,548**
Unallocated insurance contracts	**-**	**-**	**4,030,570**	**4,030,570**
Total assets in the fair value hierarchy	**$ 10,445,212**	**$ 389,587,886**	**$ 4,039,118**	**$ 404,072,215**
Investments measured at net asset value [(1)]				**3,525,142**
Total investments at fair value				**$ 407,597,357**

As of December 31, 2015	Level 1	Level 2	Level 3	Total
U.S. Government securities	$ 21,611,265	$ 1,782,726	$ -	$ 23,393,991
Corporate debt instruments	-	340,370,104	-	340,370,104
Other bonds	-	46,116,942	-	46,116,942
Insurance company general accounts	-	-	8,524	8,524
Unallocated insurance contracts	-	-	4,009,130	4,009,130
Total assets in the fair value hierarchy	$ 21,611,265	$ 388,269,772	$ 4,017,654	413,898,691
Investments measured at net asset value [(1)]				3,778,164
Total investments at fair value				$ 417,676,855

(1) In accordance with Subtopic 820-10, certain investments that were measured at NAV value per share (or its equivalent) as a practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the Statements of Net Assets Available for Benefits.

The following tables set forth a summary of changes in the fair value of the Plan's Level 3 investments for the years ended December 31, 2016 and 2015:

	2016	
	Insurance Company General Accounts	Unallocated Insurance Contracts
Fair value, beginning of year	**$ 8,524**	**$ 4,009,130**
Interest earned	**24**	**137,777**
Realized gains [1]	**-**	**-**
Unrealized gains (losses), relating to investments still held as of the reporting date [1]	**-**	**(39,971)**
Purchases	**-**	**-**
Sales	**-**	**-**
Transfer from separate account	**254,436**	**-**
Settlements	**(254,436)**	**(76,366)**
Fair value, end of year	**$ 8,548**	**$ 4,030,570**

	2015	
	Insurance Company General Accounts	Unallocated Insurance Contracts
Fair value, beginning of year	$ 8,522	$ 4,096,817
Interest earned	2	148,157
Realized gains [1]	-	-
Unrealized gains, relating to investments still held as of the reporting date [1]	-	(135,621)
Purchases	-	-
Sales	-	-
Transfer from separate account	295,920	-
Settlements	(295,920)	(100,223)
Fair value, end of year	$ 8,524	$ 4,009,130

(1) Included in net (depreciation) appreciation in fair value of investments on the Statements of Changes in Net Assets Available for Benefits.

The availability of observable market data is monitored to assess the appropriate classification of financial statements within the fair value hierarchy. Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. For the years ended December 31, 2016 and 2015, there were no transfers between Levels 1, 2, or 3.

Quantitative Information about Significant Unobservable Inputs Used in Level 3 Fair Value Measurements

The following tables represent the Plan's significant Level 3 financial instruments, the valuation techniques used to measure the fair value of those financial instruments at December 31, 2016 and 2015, and the significant unobservable inputs and the range of values for those inputs:

As of December 31, 2016

Instrument	Fair Value	Principal Valuation Technique	Unobservable Inputs	Range of significant input values
Principal Unallocated Insurance Contract	**$ 4,030,570**	Discounted Cash Flows	Discount Rates Durations	34% - 6.10% .5 – 30 years

As of December 31, 2015

Instrument	Fair Value	Principal Valuation Technique	Unobservable Inputs	Range of significant input values
Principal Unallocated Insurance Contract	$ 4,009,130	Discounted Cash Flows	Discount Rates Durations	.34% - 6.10% .5 – 30 years

Fair Value of Investments that Calculate Net Asset Value as a Practical Expedient

The following tables summarize investments measured at fair value based on NAV per share held in the Trust as of December 31, 2016 and 2015:

As of December 31, 2016

Instrument	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Insurance Company PSA[(1)]	**$ 2,109,538**	None	Daily	1 day
Common/Collective Trust[(2)]	**$ 1,415,604**	None	Daily	1 day

As of December 31, 2015

Instrument	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Insurance Company PSA[(1)]	$ 2,304,443	None	Daily	1 day
Common/Collective Trust[(2)]	$ 1,473,721	None	Daily	1 day

(1) The following represents investments in the MetLife Separate Account, a pooled separate account. The investment utilizes Barclays Capital Aggregate Bond as an investment strategy benchmark.

(2) The following represents investments in the Northern Trust Company Collective Short Term Investment Fund. The investment strategy is to maintain a $1 unit value.

8. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right, under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event the Plan is terminated, the assets of the Plan will be allocated to Plan participants and beneficiaries in accordance with applicable provisions of ERISA and the Plan document. Should unfunded vested benefits exist, such benefits will be provided up to specified limitations as described in ERISA and by the PBGC.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivors' pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling on the amount of an individual's monthly benefit.

Whether all participants receive their benefits should the Plan terminate at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide for accumulated plan benefit obligations and may also depend on the financial condition of the plan sponsor and the level of benefits guaranteed by the PBGC.

Additional information regarding the priority of participants' claims to the assets of the Plan upon termination and benefits guaranteed by the PBGC is available from the Plan Administrator.

9. INCOME TAX STATUS

The Plan was amended and restated effective January 1, 2016 and the IRS has determined and informed the Company by a letter dated August 7, 2017, that the Plan is designed in accordance with applicable sections of the IRC. The Plan Administrator and the Company believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, they believe that the Plan is qualified and the related trust is tax exempt, thus, no provision for income taxes is included in the accompanying financial statements.

GAAP requires plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded as of December 31, 2016 and 2015, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no IRS audits for any tax periods in progress.

10. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities, in general, are subject to various risks, such as interest rate, credit and overall market volatility risk. Additionally, the value, liquidity and related income of the investment securities are sensitive to changes in the economic conditions and may be adversely affected by shifts in the market's perception of the issuers and changes in interest rates. Certain investments (such as the insurance company pooled separate accounts, common/collective trusts and unallocated insurance contracts) may be less liquid and include restrictions on their sale. Due to the level of risk associated with certain investment securities and the level of uncertainty related to changes in the value of such investment securities, it is possible that changes in the value of investment securities could occur in the near term and that such changes could materially affect the amounts reported in the Statements of Net Assets Available for Benefits and the funded status of the Plan.

Plan contributions are determined and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is possible that changes in these estimates and assumptions in the near term could impact the amounts reported in the financial statements and accompanying notes and could be material to the Plan's financial statements.

The Pension Protection Act of 2006, as amended by the Worker, Retiree and Employer Recovery Act of 2008, imposes certain benefit restrictions for qualified defined benefit plans that do not meet certain funding thresholds. A plan's funded percentage is referred to as the Adjusted Funding Target Attainment Percentage ("AFTAP"). The 2016 AFTAP for the Plan is 110.24%. Because the Plan's AFTAP equals or exceeds 80.00%, the Plan is not subject to any benefit restrictions.

11. RELATED PARTY AND EXEMPT PARTY-IN-INTEREST TRANSACTIONS

A short-term investment fund, which is included in the investments within the Trust, is managed by Northern Trust, the trustee. Certain expenses related to audit, custody, asset management and actuarial services are paid from Plan assets. These transactions qualify as party-in-interest transactions, which are exempt from the prohibited transaction rules.

12. GROUP ANNUITY CONTRACT WITH INSURANCE COMPANY

Effective January 1, 2006, the Trico Plan was merged into this Plan. In addition to their investment in the Plan, the Trico Plan also held an investment with Principal. The Company entered into an immediate participation guarantee Group Annuity Contract ("GAC") with Principal, which is an unallocated insurance contract. The contract balance as of December 31, 2016 and 2015 of $3,934,088 and $3,872,677, respectively, consists of amounts held in Principal's general account and is shown on the Statements of Net Assets Available for Benefits at fair value of $4,030,570 and $4,009,130, respectively. The contract balance must be maintained at a level sufficient to meet the contract liability or General Asset Floor ("GAF"). The GAF is the present value of the amount required to purchase annuities for benefits remaining unpaid to participants or beneficiaries. The Plan met the GAF as of December 31, 2016 and 2015.

13. SUBSEQUENT EVENTS

The Plan Administrator has evaluated subsequent events through October 2, 2017, which is the date the financial statements were available to be issued. No events or transactions discovered during this evaluation require recognition or disclosure in the financial statements, other than the event noted below:

Effective August 1, 2017, the Plan was amended to include an automatic distribution feature for terminated participants who have not elected a form of distribution, described as follows:

(1) If the present value of the participants pension determined as of his or her annuity starting date does not exceed $1,000, such present value will be distributed to the participant as soon as reasonably possible following the participant's termination of employment, without consent of the participant or participant's spouse, in a lump sum payment of equivalent actuarial value, and

(2) If the present value of the participant's pension determined as of his or her annuity starting date exceeds $1,000 but does not exceed $5,000, the Plan administrator will direct that such amount be paid, in the name of the participant, to an individual retirement account designed by the Plan administrator.

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Non-Interest Bearing Cash - USD			
USD - United States dollar	-8,392.580	-8,392.58	-8,392.58
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		**-8,392.58**	**-8,392.58**
Total Non-Interest Bearing Cash - USD		**-8,392.58**	**-8,392.58**
Receivables - Other - USD			
Pending trade sales: United States dollar	0.000	40,895.98	40,895.98
Total - all currencies		**40,895.98**	**40,895.98**
Pending trade sales: United States dollar	0.000	3,567,825.97	3,567,825.97
Total - all currencies		**3,567,825.97**	**3,567,825.97**
Total Receivables - Other - USD		**3,608,721.95**	**3,608,721.95**
Interest Bearing Cash - USD			
USD - United States dollar	2,503,904.760	2,503,904.76	2,503,904.76
Total - all currencies		**2,503,904.76**	**2,503,904.76**
Total Interest Bearing Cash - USD		**2,503,904.76**	**2,503,904.76**
U.S. Government Securities			
United States - USD			
GNMA 2011-121 REMIC PASS THRU CTF CL FA 03-16-2043 CUSIP: 38376G4V9	269,658.660	270,438.14	267,019.51
NCUA GTD NTS TR FLTG RT 1.14667% DUE 11-06-2017 SEDOL: B641YG4	328,374.220	329,453.29	328,400.16

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
U.S. Government Securities			
United States - USD			
UNITED STATES OF AMER TREAS BILLS 03-23-2017 DTD 09/22/2016 SEDOL: BDCG6L9	1,240,000.000	1,238,417.10	1,238,639.72
UNITED STATES TREAS BDS DTD 00250 2.25% DUE 08-15-2046 REG SEDOL: BZ56WJ0	1,235,000.000	1,032,134.74	1,038,412.70
UNITED STATES TREAS NTS DTD 04/30/2016 FLTG RATE .7257245% DUE 04-30-2018 REG SEDOL: BYMMK34	930,000.000	930,316.20	931,630.29
UNITED STATES TREAS NTS FRN 31/10/18 USD100 .7057245% DUE 10-31-2018 REG SEDOL: BDR0D82	1,745,000.000	1,745,168.67	1,745,151.82
UNITED STATES TREAS NTS US TREASURY N/B 1.75 11-30-2021 1.75 DUE 11-30-2021 REG SEDOL: BYYRSM2	1,655,000.000	1,632,652.34	1,642,652.05
UNITED STATES TREAS NTS WI TREASURY NOTE2.125% DUE 11-30-2023 REG SEDOL: BDR7093	150,000.000	149,601.56	149,003.85
UNITED STATES TREAS NTS WI TREASURY NOTE2% DUE 11-15-2026 REG SEDOL: BYYRSN3	3,040,000.000	2,925,981.68	2,924,929.92
USA TREASURY BILLS 0% DUE 01-26-2017 REG SEDOL: BD05986	775,000.000	774,343.72	774,791.53
Total United States - USD		**11,028,507.44**	**11,040,631.55**
Total U.S. Government Securities		**11,028,507.44**	**11,040,631.55**
Corporate Debt Instruments - Preferred			
Australia - USD			
BHP BILLITON FIN 5% DUE 09-30-2043 SEDOL: BFD3PF0	170,000.000	175,538.51	189,883.20
Total Australia - USD		**175,538.51**	**189,883.20**
China - USD			
NEXEN INC 6.4% DUE 05-15-2037 SEDOL: B1WV0T6	120,000.000	134,524.80	142,223.52
Total China - USD		**134,524.80**	**142,223.52**
United Kingdom - USD			
SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045 SEDOL: BXQ9DY6	445,000.000	463,751.67	450,774.77

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United Kingdom - USD			
Total United Kingdom - USD		**463,751.67**	**450,774.77**
United States - USD			
ACE INA HLDGS INC 2.7% DUE 03-13-2023 SEDOL: B9FGSX4	550,000.000	541,468.22	544,227.20
ACE INA HLDGS INC 4.15% DUE 03-13-2043 SEDOL: B8PNBH3	280,000.000	279,215.44	282,677.08
AMERICAN HONDA FIN MTN 1.55% 12-11-2017 CUSIP: 02665WAQ4	280,000.000	279,739.60	280,242.20
AMERIPRISE FINL 4% DUE 10-15-2023 SEDOL: BDD8L97	230,000.000	228,891.40	241,213.42
ANTHEM INC 4.65% DUE 01-15-2043 SEDOL: BLSP6D8	680,000.000	666,878.03	682,648.60
BERKSHIRE HATHAWAY 4.5% DUE 02-11-2043 SEDOL: B819DG8	70,000.000	70,795.20	74,431.77
BOEING CO 8.75% DUE 08-15-2021 SEDOL: BFNXZW7	275,000.000	362,917.50	347,763.90
BURLINGTON NORTHN SANTA FE LLC 5.15 09-01-2043 SEDOL: BD00GW4	195,000.000	211,822.01	223,946.78
CAROLINA PWR & LT 4.1 DUE 05-15-2042 SEDOL: B88N0G2	185,000.000	185,890.07	183,945.87
CAROLINA PWR & LT 4.1% DUE 03-15-2043 SEDOL: B8L5GP6	190,000.000	188,937.90	189,977.20
CATERPILLAR INC 4.75% DUE 05-15-2064 SEDOL: BMM1RV4	230,000.000	229,458.88	245,243.48
CIGNA CORP 5.375% DUE 02-15-2042 SEDOL: B5VZ138	85,000.000	95,974.35	94,691.45
CR SUISSE AG NEW 1.75% DUE 01-29-2018 SEDOL: BYZ5MS9	95,000.000	94,944.90	94,811.43
DUKE ENERGY 3.9% DUE 06-15-2021 SEDOL: B4Q37Q5	250,000.000	277,880.00	265,360.00
DUKE ENERGY 4 DUE 09-30-2042 SEDOL: B8GF9H4	120,000.000	123,597.18	119,171.88
DUKE ENERGY 4.15% DUE 12-01-2044 SEDOL: BSPCBB4	160,000.000	161,657.60	158,961.92
DUKE ENERGY 4.375% DUE 03-30-2044 SEDOL: BJZD6S6	545,000.000	544,891.00	564,745.90

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
FL PWR & LT CO 4.05% DUE 06-01-2042 SEDOL: B8C07L3	150,000.000	154,128.00	152,916.45
GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00818 6.875 DUE 01-10-2039 SEDOL: B7XW2Z0	115,000.000	165,299.85	162,143.33
GEN ELEC CAP CORP 6.15% DUE 08-07-2037 SEDOL: BCZSZ30	125,000.000	149,296.20	161,340.38
GENERAL ELEC CAP CORP MEDIUM TERM NTS 3.1 DUE 01-09-2023 SEDOL: B85PGG3	132,000.000	131,648.88	133,778.96
GENERAL ELEC CAP CORP TRANCHE # TR 005286.75% DUE 03-15-2032/03-20-2002 SEDOL: BG48W16	621,000.000	807,413.92	826,631.11
GENERAL ELEC CAP CORP 3.45 DUE 05-15-2024 REG SEDOL: BMMB3C7	605,000.000	620,578.75	621,897.65
GENERAL ELEC CO 4.125% DUE 10-09-2042 SEDOL: B7T4807	370,000.000	363,431.93	373,274.87
GENERAL ELEC CO 4.5% DUE 03-11-2044 SEDOL: BKRVHN7	125,000.000	139,829.09	134,184.38
HOME DEPOT INC 4.2% DUE 04-01-2043 SEDOL: B9N1J94	270,000.000	275,582.57	278,193.96
HOME DEPOT INC 4.875 DUE 02-15-2044 SEDOL: BDD8L53	35,000.000	39,308.85	39,325.44
HOME DEPOT INC 5.4% DUE 09-15-2040 SEDOL: B3LQNX1	175,000.000	220,179.75	208,536.83
HOME DEPOT INC 5.95% DUE 04-01-2041 SEDOL: BFN0JP9	80,000.000	106,184.00	101,701.52
INTL BUSINESS 4.7% DUE 02-19-2046 SEDOL: BYN2GX5	205,000.000	203,433.80	223,521.14
INVESCO FIN PLC 5.375% DUE 11-30-2043 SEDOL: BGJZ1G0	220,000.000	216,598.58	240,843.02
MEDTRONIC INC 1.5% DUE 03-15-2018 SEDOL: BYZ6550	315,000.000	316,115.10	315,004.73
MEDTRONIC INC 4.375% DUE 03-15-2035 SEDOL: BYMLDT8	390,000.000	392,351.55	412,591.92
MEDTRONIC INC 4.625% DUE 03-15-2045 SEDOL: BYMLDL0	220,000.000	233,481.60	237,912.62
NEVADA PWR CO 6.65% DUE 04-01-2036 SEDOL: B1G2SS0	400,000.000	531,720.00	528,183.20

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
NIKE INC 3.375% DUE 11-01-2046 SEDOL: BD5FYV9	215,000.000	207,513.70	194,149.95
NIKE INC 3.875% DUE 11-01-2045 SEDOL: BYQGY18	590,000.000	594,031.63	581,542.94
NORTHN STS PWR CO 2.2% DUE 08-15-2020 SEDOL: BYQMN08	520,000.000	523,904.70	520,799.24
NORTHN STS PWR CO 4% DUE 08-15-2045 SEDOL: BYQX516	215,000.000	211,489.05	214,180.64
ORACLE CORP 2.5% DUE 05-15-2022 SEDOL: BWTM323	730,000.000	730,256.10	724,930.15
ORACLE CORP 3.9% DUE 05-15-2035 SEDOL: BWTM367	570,000.000	555,976.10	562,197.27
ORACLE CORP 4.125% DUE 05-15-2045 SEDOL: BWTM334	275,000.000	285,152.25	266,519.00
ORACLE CORP 4.375% DUE 05-15-2055 SEDOL: BWTM356	360,000.000	363,744.58	358,063.20
ORACLE CORP 5.375 DUE 07-15-2040 SEDOL: B7FNML7	850,000.000	988,749.98	987,794.35
PEPSICO INC 3.45% DUE 10-06-2046 SEDOL: BD3VCR1	705,000.000	699,261.30	642,245.84
PEPSICO INC 5.5% DUE 01-15-2040 SEDOL: BG491M3	75,000.000	89,610.75	90,053.10
PFIZER INC NT 7.2 DUE 03-15-2039 SEDOL: B8MJDB2	520,000.000	732,070.04	751,133.24
PHILIP MORRIS INTERNATIONAL INC 3.875 BDS DUE 08-21-2042 USD1000 SEDOL: B90G0K0	240,000.000	221,950.31	222,844.80
PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043 SEDOL: BGM0MQ9	210,000.000	225,172.50	225,881.88
PHILIP MORRIS INTL 4.125% DUE 03-04-2043 SEDOL: B83KB65	240,000.000	225,779.97	232,244.64
PHILIP MORRIS INTL 4.375% DUE 11-15-2041 SEDOL: B6VMZ67	445,000.000	464,800.23	448,650.78
PRUDENTIAL FINL INC DTD 06-21-2010 5.37506-21-2020 SEDOL: BC7H8M5	270,000.000	308,169.90	295,745.31
PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00027 6 DUE 12-01-2017 SEDOL: BBVJ5X0	64,000.000	76,022.40	66,492.80

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
PRUDENTIAL FINL 5.75% DUE 07-15-2033 SEDOL: 2883384	80,000.000	92,824.00	91,345.12
PRUDENTIAL FINL 6.2% DUE 11-15-2040 SEDOL: B6913K6	120,000.000	148,909.20	146,643.12
SAN DIEGO GAS & 6.125% DUE 09-15-2037 SEDOL: B27ZL53	140,000.000	181,167.00	179,672.08
SOUTHN CAL EDISON 3.9% DUE 12-01-2041 SEDOL: B70Y066	525,000.000	525,871.50	518,438.55
SOUTHN CAL EDISON 5.95% DUE 02-01-2038 SEDOL: B2NSPS7	185,000.000	244,501.55	232,300.06
SOUTHN CAL GAS 3.75 DUE 09-15-2042 SEDOL: B8DRYG7	220,000.000	216,167.60	214,895.78
SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038 SEDOL: B2NJ7Z1	442,000.000	559,489.80	556,269.82
TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK ENTRY MTN 2.125% DUE 07-18-2019 SEDOL: BYXSHF2	85,000.000	85,143.65	85,368.82
TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK 1.75 DUE 05-22-2017 SEDOL: BFD3Q24	500,000.000	503,240.00	501,124.50
UNION PAC CORP 4.15% DUE 01-15-2045 SEDOL: BQ1KQC4	155,000.000	174,671.05	156,091.98
UNITEDHEALTH GROUP 4.625% DUE 07-15-2035 SEDOL: BYTRVR3	150,000.000	149,982.00	163,505.85
UNITEDHEALTH GROUP 6.625% DUE 11-15-2037 SEDOL: B2PPW63	518,000.000	672,273.78	677,577.15
VISA INC 3.15% DUE 12-14-2025 SEDOL: BYPHGN8	735,000.000	739,188.05	738,223.71
VISA INC 4.15% DUE 12-14-2035 SEDOL: BYPHG16	285,000.000	285,408.36	298,390.16
VISA INC 4.3% DUE 12-14-2045 SEDOL: BYPHFN1	1,140,000.000	1,245,178.09	1,203,285.96
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BKTRANCHE # SB 00009 6.6 DUE 01-15-2038 SEDOL: B7XGYR8	400,000.000	547,347.75	508,818.80
WACHOVIA BK NATL ASSN MTN SUB BKTRANCHE # SB 00007 5.85 DUE 02-01-2037 BEO SEDOL: B1PB082	70,000.000	88,108.30	82,453.49
WAL-MART STORES INC 4.75 DUE 10-02-2043 SEDOL: BF6DY94	315,000.000	331,294.88	353,222.73

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
WAL-MART STORES 5.625% DUE 04-01-2040 SEDOL: BG49714	610,000.000	762,563.52	754,602.33
WAL-MART STORES 5.625% DUE 04-15-2041 SEDOL: B654D54	340,000.000	452,411.55	422,822.64
WAL-MART STORES 5% DUE 10-25-2040 SEDOL: BG49725	375,000.000	417,947.80	431,574.38
WELLPOINT INC 4.625 DUE 05-15-2042 SEDOL: B87XCP6	415,000.000	408,095.68	416,979.14
WELLS FARGO & CO 2.55% DUE 12-07-2020 SEDOL: BZ5ZBL2	295,000.000	303,245.25	295,337.19
WELLS FARGO & CO 3.9% DUE 05-01-2045 SEDOL: BX2H0V0	595,000.000	581,707.77	564,361.67
WELLS FARGO & CO 3% DUE 10-23-2026 SEDOL: BYQ74R7	1,275,000.000	1,274,267.55	1,214,306.18
WELLS FARGO & CO 5.375% DUE 02-07-2035 SEDOL: BG496Q2	115,000.000	131,005.70	130,657.83
WELLS FARGO BK 5.95% DUE 08-26-2036 SEDOL: B1CL665	75,000.000	92,435.25	88,293.90
WESTAR ENERGY INC 4.125 DUE 03-01-2042 SEDOL: B744PD4	195,000.000	196,546.35	193,561.49
WYETH NT 5.95 DUE 04-01-2037/03-27-2007 BEO SEDOL: B91ZLT2	110,000.000	132,521.46	138,429.17
Total United States - USD		**28,658,711.63**	**28,490,064.32**
Total Corporate Debt Instruments - Preferred		**29,432,526.61**	**29,272,945.81**
Corporate Debt Instruments - Other			
Australia - USD			
BARRICK PD 5.95% DUE 10-15-2039 SEDOL: BG48MX8	400,000.000	340,302.24	423,051.60
Total Australia - USD		**340,302.24**	**423,051.60**
Belgium - USD			
ANHEUSER BUSCH 1.375% DUE 07-15-2017 SEDOL: BC7H5M4	410,000.000	411,094.70	410,357.93

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Belgium - USD			
ANHEUSER-BUSCH 3.65% DUE 02-01-2026 SEDOL: BYMLCJ1	1,765,000.000	1,858,914.54	1,791,810.35
ANHEUSER-BUSCH 4.7% DUE 02-01-2036 SEDOL: BYMLCL3	1,860,000.000	1,998,110.62	1,956,474.48
ANHEUSER-BUSCH 4.9% DUE 02-01-2046 SEDOL: BYMLGZ5	2,340,000.000	2,480,253.61	2,529,235.80
Total Belgium - USD		**6,748,373.47**	**6,687,878.56**
Brazil - USD			
VALE OVERSEAS LTD 6.25% DUE 08-10-2026 SEDOL: BD4G7B4	300,000.000	312,250.00	312,000.00
VALE OVERSEAS LTD 6.875% DUE 11-10-2039 SEDOL: B5B54D9	210,000.000	204,268.75	204,225.00
VALE OVERSEAS LTD 6.875% DUE 11-21-2036 SEDOL: B1HMKF0	200,000.000	191,862.50	197,000.00
Total Brazil - USD		**708,381.25**	**713,225.00**
Canada - USD			
AGRIUM INC 3.15 DUE 10-01-2022 SEDOL: B5L4LX5	210,000.000	212,251.20	208,779.48
AGRIUM INC 6.125% DUE 01-15-2041 SEDOL: B50L1X7	375,000.000	442,461.25	426,064.88
BARRICK GOLD CORP 5.25% DUE 04-01-2042 SEDOL: B8L1XS6	115,000.000	112,376.85	112,009.77
BURLINGTON RES FIN CO 7.2 DUE 08-15-2031/08-14-2031 BEO SEDOL: 2795036	400,000.000	553,904.00	515,606.00
CDN NAT RES LTD 5.85% DUE 02-01-2035 SEDOL: B04SDS8	365,000.000	429,780.20	388,479.72
CDN PAC RY CO NEW 6.125% DUE 09-15-2115 CUSIP: 13645RAX2	955,000.000	993,199.21	1,143,414.82
ENBRIDGE INC 5.5% DUE 12-01-2046 CUSIP: 29250NAM7	265,000.000	263,955.90	283,555.30
GOLDCORP INC NEW 3.625% DUE 06-09-2021 SEDOL: BNCBND5	215,000.000	214,722.65	218,419.79
GOLDCORP INC NEW 5.45% DUE 06-09-2044 SEDOL: BYZ5XW0	55,000.000	54,734.35	53,948.68

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Canada - USD			
METHANEX CORP 5.65% DUE 12-01-2044 CUSIP: 59151KAJ7	280,000.000	278,188.40	245,639.24
PETRO CDA 5.35% DUE 07-15-2033 SEDOL: 2919052	200,000.000	215,924.00	221,589.60
PETRO-CDA 6.8% DUE 05-15-2038 SEDOL: B2RJ2C9	365,000.000	465,521.00	473,321.78
ROGERS COMMUNICATIONS INC 4.5 DUE 03-15-2043 SEDOL: B84QCZ8	160,000.000	175,360.00	155,728.96
ROGERS 5% DUE 03-15-2044 SEDOL: BJZD8R9	160,000.000	162,720.00	171,152.16
SUNCOR ENERGY INC 7.15% DUE 02-01-2032 SEDOL: B9B2M01	125,000.000	130,281.25	159,020.63
TRANSALTA CORP 1.9% DUE 06-03-2017 SEDOL: BMQNJP4	415,000.000	414,531.05	412,925.00
TRANSALTA CORP 6.5% DUE 03-15-2040 SEDOL: B640X20	365,000.000	378,614.50	336,395.32
TRANSCANADA 6.2% DUE 10-15-2037 SEDOL: B287YY8	200,000.000	248,238.57	247,980.00
Total Canada - USD		**5,746,764.38**	**5,774,031.13**
France - USD			
DANONE 1.691% DUE 10-30-2019 SEDOL: BYMB6L1	400,000.000	400,000.00	394,992.00
ORANGE NT STEP UP 03-01-2031 02-28-2031 SEDOL: B2PPTQ2	310,000.000	446,179.90	466,092.44
PVTPL EDF S A NT 6 DUE 01-22-2114 BEO CUSIP: 268317AL8	130,000.000	126,038.90	126,127.17
Total France - USD		**972,218.80**	**987,211.61**
Germany - USD			
DAIMLER FIN N AMER 1.75% DUE 10-30-2019 SEDOL: BYMPXR8	515,000.000	514,268.70	508,412.64
SIEMENS 1.3% DUE 09-13-2019 CUSIP: 82620KAH6	395,000.000	394,490.45	387,540.82
Total Germany - USD		**908,759.15**	**895,953.46**

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Hong Kong - USD			
PVTPL AIA GROUP LTD TRANCHE # TR 00769 2.25 DUE 03-11-2019 CUSIP: 00131L2A2	520,000.000	518,096.80	521,646.32
Total Hong Kong - USD		**518,096.80**	**521,646.32**
Ireland - USD			
PERRIGO CO PLC 5.3% DUE 11-15-2043 SEDOL: BRCJNZ9	395,000.000	415,384.70	382,048.74
Total Ireland - USD		**415,384.70**	**382,048.74**
Japan - USD			
AMERN HONDA FIN 1.7% DUE 02-22-2019 SEDOL: BYXXXN7	60,000.000	59,988.00	59,754.24
MITSUBISHI UFJ FINANCIAL GROUP INC 2.19%BNDS 09-13-2021 SEDOL: BYW4290	865,000.000	864,605.20	841,179.63
MIZUHO CORP BK LTD 1.85% DUE 03-21-2018 CUSIP: 60688QAP0	750,000.000	749,557.10	748,695.00
PVTPL SUNTORY HLDGS LTD SR NT 1.65% DUE 09-29-2017 BEO SEDOL: BR3NRC5	590,000.000	589,758.10	590,238.95
SUMITOMO MITSUI BK 1.5% DUE 01-18-2018 SEDOL: BLSPB00	1,315,000.000	1,313,709.85	1,308,410.54
SUMITOMO MITSUI FIXED 2.058% DUE 07-14-2021 SEDOL: BD1DFG9	980,000.000	978,832.40	947,846.20
SUMITOMO MITSUI 1.75% DUE 01-16-2018 SEDOL: BVB39G3	275,000.000	274,879.00	274,803.10
Total Japan - USD		**4,831,329.65**	**4,770,927.66**
Luxembourg - USD			
ACTAVIS FDG SCS 3.85% DUE 06-15-2024 SEDOL: BVYPFK2	190,000.000	193,441.00	191,694.23
COVIDIEN INTL FIN 6% DUE 10-15-2017 SEDOL: B3B3L49	525,000.000	630,609.00	543,700.50
Total Luxembourg - USD		**824,050.00**	**735,394.73**

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Mexico - USD			
AMER MOVIL S A B DE C V FORMERLY AME GTDSR NT 6.125 DUE 11-01-2037 REG SEDOL: B2R62G4	205,000.000	254,973.05	231,993.79
AMER MOVIL SAB DE CV 6.125% 03-30-2040 SEDOL: B8C3GC6	105,000.000	127,402.65	119,831.57
COCA-COLA FEMSA S A B DE C V 5.25 DUE 11-26-2043 SEDOL: BJ4G4H1	200,000.000	214,661.20	215,551.60
COCA-COLA FEMSA S 3.875% DUE 11-26-2023 SEDOL: BJ4G4J3	200,000.000	197,032.00	204,136.00
GRUPO TELEVISA S A 5% DUE 05-13-2045 SEDOL: BMJ71M4	310,000.000	289,726.36	263,062.90
GRUPO TELEVISA S A 6.125% DUE 01-31-2046 SEDOL: BZ1N3D6	115,000.000	112,891.83	114,124.39
GRUPO TELEVISA S A 6.625% DUE 01-15-2040 SEDOL: BG494S0	95,000.000	115,478.92	99,548.79
PETROLEOS 6.5% DUE 06-02-2041 CUSIP: 71654QAZ5	1,345,000.000	1,348,506.57	1,259,659.75
PVTPL KIMBERLY CLARK DE MEXICO S A B DE C V NT 144A 3.8% DUE 04-08-2024 BEO SEDOL: BN40J09	230,000.000	230,031.78	227,069.80
Total Mexico - USD		**2,890,704.36**	**2,734,978.59**
Netherlands - USD			
COOPERATIEVE CENTRALE RAIFFEISEN BOERENL2.25 DUE 01-14-2019 CUSIP: 21688AAA0	1,000,000.000	1,015,180.00	1,005,354.00
COOPERATIEVE CENTRALE RAIFFEISEN 2.25% 01-14-2020 CUSIP: 21688AAD4	215,000.000	215,133.30	214,978.29
COOPERATIEVE 3.75% DUE 07-21-2026 CUSIP: 21684AAF3	605,000.000	603,759.02	593,050.04
COOPERATIEVE 4.375% DUE 08-04-2025 SEDOL: BYW41H1	150,000.000	149,772.00	153,919.20
COOPERATIEVE 5.25% DUE 05-24-2041 CUSIP: 21685WCJ4	160,000.000	196,440.85	186,221.44
DEUTSCHE TELEKOM 9.25% DUE 06-01-2032 SEDOL: 2952767	785,000.000	1,227,700.75	1,210,899.40
LYB INTL FIN B V 5.25% DUE 07-15-2043 SEDOL: BLSP7X5	145,000.000	160,027.96	155,894.72
RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG CUSIP: 21685WDF1	100,000.000	100,823.00	102,871.90

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Netherlands - USD			
RABOBANK NEDERLAND 5.25% DUE 08-04-2045 CUSIP: 21684AAD8	135,000.000	146,730.50	146,674.40
RABOBANK NEDERLAND 5.8% DUE 09-30-2110 SEDOL: B3TRWQ2	130,000.000	145,697.50	147,036.37
Total Netherlands - USD		**3,961,264.88**	**3,916,899.76**
Norway - USD			
NORSK HYDRO A S 7.25% DUE 09-23-2027 SEDOL: 7234556	190,000.000	265,955.04	253,528.02
PVTPL DEN NORSKE STATS OLJESELSKAP A S -STATO DEB 144A 6.5 DUE 12-01-2028 SEDOL: 2324601	170,000.000	212,712.50	216,177.44
STATOIL ASA 3.15 DUE 01-23-2022 SEDOL: B6RLN31	515,000.000	530,898.05	525,506.00
STATOIL ASA 3.95 DUE 05-15-2043 SEDOL: BLSP5D1	100,000.000	98,528.00	95,383.50
STATOIL ASA 5.1 DUE 08-17-2040 SEDOL: B522X65	210,000.000	237,758.60	234,009.93
Total Norway - USD		**1,345,852.19**	**1,324,604.89**
Peru - USD			
SOUTHN COPPER CORP 5.875% DUE 04-23-2045 SEDOL: BWXV3J3	215,000.000	216,446.95	211,071.09
Total Peru - USD		**216,446.95**	**211,071.09**
Switzerland - USD			
CR SUISSE GROUP 3.8% DUE 06-09-2023 CUSIP: 225433AT8	900,000.000	905,943.10	899,008.20
CR SUISSE GROUP 4.55% DUE 04-17-2026 CUSIP: 225433AR2	360,000.000	360,179.41	373,887.00
NOVARTIS CAP CORP 4% DUE 11-20-2045 SEDOL: BDCBVP3	710,000.000	762,395.42	716,522.06
PVTPL ROCHE HLDGS INC 2.875% DUE 09-29-2021 SEDOL: BQZJ939	115,000.000	116,225.90	116,975.93
PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 4.125% DUE 09-24-2025 BEO CUSIP: 90351DAB3	75,000.000	76,361.32	76,445.03

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Switzerland - USD			
UBS GROUP FDG 4.125% DUE 04-15-2026 CUSIP: 90351DAF4	90,000.000	89,852.41	92,045.16
Total Switzerland - USD		**2,310,957.56**	**2,274,883.38**
United Kingdom - USD			
AON PLC 4.25% DUE 12-12-2042 SEDOL: B9Z9KS2	165,000.000	148,110.60	154,582.56
AON PLC 4.45% DUE 05-24-2043 SEDOL: B9ZT6F1	80,000.000	79,475.20	77,134.48
AON PLC 4.6% DUE 06-14-2044 SEDOL: BN40JL0	45,000.000	44,594.13	44,456.67
AON PLC 4.75% DUE 05-15-2045 SEDOL: BXS2QD3	65,000.000	63,976.90	65,700.90
ASTRAZENECA PLC 3.375% DUE 11-16-2025 SEDOL: BYQKXG8	100,000.000	99,700.00	99,309.20
ASTRAZENECA PLC 4.375% DUE 11-16-2045 SEDOL: BYQNQH9	160,000.000	158,570.97	160,600.64
ASTRAZENECA PLC 4% DUE 09-18-2042 SEDOL: B8C0348	210,000.000	208,975.20	198,995.79
ASTRAZENECA PLC 6.45% DUE 09-15-2037 SEDOL: B7FFL50	120,000.000	160,165.20	155,242.44
AXIS SPECIALTY FIN 5.15% DUE 04-01-2045 SEDOL: BKL56D8	80,000.000	79,579.20	79,143.68
BAE SYS HLDGS INC 3.85% DUE 12-15-2025 SEDOL: BYMRMR7	360,000.000	355,752.00	367,155.72
BARCLAYS PLC 2.75% 11-08-2019 SEDOL: BSHZYB2	650,000.000	647,712.00	648,284.00
BARCLAYS PLC 3.2% DUE 08-10-2021 SEDOL: BYZDDQ8	185,000.000	182,294.10	182,852.34
BARCLAYS PLC 4.375% DUE 01-12-2026 SEDOL: BD0SY79	225,000.000	224,630.02	227,884.95
BARCLAYS PLC 5.2% DUE 05-12-2026 SEDOL: BD8ZS14	110,000.000	112,028.40	111,820.50
BP CAP MKTS P L C 3.245 DUE 05-06-2022 SEDOL: BCDPC76	330,000.000	339,929.70	336,973.89
BP CAP MKTS P L C 3.814% DUE 02-10-2024 SEDOL: BJT16F3	165,000.000	167,800.05	171,412.56

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United Kingdom - USD			
HSBC HLDGS PLC 2.65% DUE 01-05-2022 SEDOL: BDCVWX8	505,000.000	504,147.00	493,011.81
HSBC HLDGS PLC 3.9% DUE 05-25-2026 SEDOL: BD0QRM9	300,000.000	303,966.00	302,048.70
HSBC HLDGS PLC 4.375% DUE 11-23-2026 SEDOL: BYZMS48	405,000.000	404,967.60	408,006.72
HSBC HLDGS PLC 7.625% DUE 05-17-2032 SEDOL: BG5GXL6	90,000.000	115,946.65	116,649.99
LLOYDS BKG GROUP 4.582% DUE 12-10-2025 SEDOL: BDBFX83	120,000.000	119,280.00	120,580.08
LLOYDS BKG GROUP 5.3% DUE 12-01-2045 SEDOL: BD84H70	60,000.000	61,066.14	61,960.74
PVTPL BAE SYS PLC NT 5.8 DUE 10-11-2041 BEO CUSIP: 05523RAC1	85,000.000	104,347.70	96,930.86
ROYAL BK SCOTLAND 3.875% DUE 09-12-2023 SEDOL: BYYPY40	340,000.000	339,898.00	326,487.04
SANTANDER UK GROUP 2.875% DUE 10-16-2020 SEDOL: BYZDT48	310,000.000	309,513.30	307,207.21
VODAFONE GROUP PLC 2.5% DUE 09-26-2022 SEDOL: B90LVX5	200,000.000	191,908.00	191,806.00
VODAFONE GROUP PLC 4.375% DUE 02-19-2043 SEDOL: BB0RG21	430,000.000	414,197.99	380,464.86
Total United Kingdom - USD		**5,942,532.05**	**5,886,704.33**
United States - USD			
ABBOTT LABS 3.4% DUE 11-30-2023 SEDOL: BYQHX14	460,000.000	457,833.40	458,270.86
ABBOTT LABS 3.75% DUE 11-30-2026 SEDOL: BYQHX36	825,000.000	819,274.50	819,300.08
ABBOTT LABS 4.75% DUE 11-30-2036 SEDOL: BYVQ5V0	590,000.000	586,224.00	599,806.98
ABBOTT LABS 4.9% DUE 11-30-2046 SEDOL: BYQHX58	1,545,000.000	1,539,509.43	1,585,687.58
ABBVIE INC FIXED 4.5% DUE 05-14-2035 SEDOL: BXDZFY1	995,000.000	977,635.80	977,614.37
ABBVIE INC FIXED 4.7% DUE 05-14-2045 SEDOL: BXDZFZ2	145,000.000	148,415.47	142,263.85

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ABBVIE INC 2.5% 05-14-2020 SEDOL: BXDZFV8	834,000.000	846,911.99	834,217.67
ABBVIE INC 3.6% 05-14-2025 SEDOL: BXDZFX0	405,000.000	421,538.30	401,147.64
ABBVIE INC 4.3% DUE 05-14-2036 SEDOL: BYP8MR7	210,000.000	210,378.00	200,111.10
ABBVIE INC 4.4% DUE 11-06-2042 SEDOL: BCZM189	260,000.000	258,273.35	244,594.22
ABBVIE INC 4.45% DUE 05-14-2046 SEDOL: BYP8MQ6	620,000.000	612,616.35	593,666.74
ACE INA HLDGS INC 2.3% DUE 11-03-2020 SEDOL: BYSRKJ9	330,000.000	329,815.20	329,924.43
ACE INA HLDGS INC 4.35% DUE 11-03-2045 SEDOL: BYSRKF5	760,000.000	770,637.44	802,790.28
ACE INA HLDGS INC 6.7% DUE 05-15-2036 SEDOL: B14VKK5	60,000.000	78,497.40	79,786.20
ACTAVIS FDG SCS 4.55% DUE 03-15-2035 SEDOL: BWDBND7	845,000.000	836,545.17	836,374.24
ACTAVIS FDG SCS 4.85% DUE 06-15-2044 SEDOL: BT6T3K4	185,000.000	184,427.63	183,427.13
ACTAVIS INC 4.625% DUE 10-01-2042 SEDOL: BFLQBW0	495,000.000	476,123.61	479,334.74
AETNA INC NEW 2.8% DUE 06-15-2023 SEDOL: BD203G5	240,000.000	239,649.60	236,402.16
AETNA INC NEW 3.5% DUE 11-15-2024 REG SEDOL: BSLMTP8	340,000.000	359,470.70	344,947.34
AETNA INC NEW 4.25% DUE 06-15-2036 SEDOL: BDD8D24	460,000.000	461,196.90	461,216.70
AETNA INC NEW 4.375% DUE 06-15-2046 SEDOL: BD203F4	190,000.000	193,510.29	190,787.93
AETNA INC NEW 4.75% DUE 03-15-2044 SEDOL: BK1KRP2	250,000.000	262,387.25	262,996.25
AFLAC INC 3.625 BDS DUE 06-15-2023 USD1000 REG SEDOL: BBDY113	205,000.000	211,541.55	212,211.70
AGL CAP CORP 4.4% DUE 06-01-2043 SEDOL: B98CZ49	130,000.000	129,503.40	128,546.34

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ALABAMA PWR CO 3.75% DUE 03-01-2045 SEDOL: BWB92Z8	245,000.000	242,911.70	231,491.44
ALABAMA PWR CO 3.85% DUE 12-01-2042 SEDOL: B905R28	252,000.000	246,776.04	240,888.82
ALABAMA PWR CO 4.15% DUE 08-15-2044 SEDOL: BQ5C541	135,000.000	150,974.55	135,670.95
ALABAMA PWR CO 6.125% DUE 05-15-2038 SEDOL: BG493F0	130,000.000	157,232.40	162,592.43
ALLERGAN INC 3.375% DUE 09-15-2020 SEDOL: B3W6T98	35,000.000	35,869.05	35,825.16
ALLINA HEALTH SYS 4.805% DUE 11-15-2045 SEDOL: BYMYN86	190,000.000	190,000.00	202,546.65
ALLSTATE CORP 3.28% DUE 12-15-2026 SEDOL: BYNXM29	405,000.000	404,449.20	406,344.20
ALLSTATE CORP 4.2% DUE 12-15-2046 SEDOL: BDSFRM8	555,000.000	556,294.85	566,776.55
ALLSTATE CORP 4.5% DUE 06-15-2043 SEDOL: BBG7S30	115,000.000	136,447.50	121,971.19
ALLTEL CORP 7.875% DUE 07-01-2032 SEDOL: 2963543	395,000.000	609,567.95	501,516.89
ALTRIA GROUP INC 2.85 DUE 08-09-2022 REG SEDOL: B8HW1Q7	710,000.000	735,912.45	710,869.75
ALTRIA GROUP INC 3.875% DUE 09-16-2046 SEDOL: BD91617	845,000.000	827,100.02	779,844.59
ALTRIA GROUP INC 4.5% DUE 05-02-2043 SEDOL: B7ZKNH1	480,000.000	469,026.26	487,859.04
AMAZON COM INC 2.6% DUE 12-05-2019 SEDOL: BTC0J03	255,000.000	258,355.80	260,023.76
AMAZON COM INC 3.3% DUE 12-05-2021 SEDOL: BT9QDH9	350,000.000	362,372.50	362,687.50
AMAZON COM INC 4.8% DUE 12-05-2034 SEDOL: BTC0L18	395,000.000	418,801.55	434,760.70
AMAZON COM INC 4.95% DUE 12-05-2044 SEDOL: BTC0KW2	315,000.000	382,381.05	359,271.99
AMERADA HESS CORP 7.125 DUE 03-15-2033 BEO SEDOL: 2888152	330,000.000	406,734.90	376,521.09

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
AMEREN UN ELEC CO 5.1 DUE 10-01-2019 BEO SEDOL: BR17T78	230,000.000	271,597.80	248,372.40
AMERICAN EXPRESS CREDIT 2.375% DUE 05-26-2020 SEDOL: BYRBP19	195,000.000	195,725.40	194,891.00
AMERICAN HONDA FINANCE BD 144A 1.6 DUE 02-16-2018 BEO SEDOL: B9BLPR6	475,000.000	475,213.75	475,426.08
AMERISOURCEBERGEN 3.4% DUE 05-15-2024 SEDOL: BMPQNP2	160,000.000	159,302.40	160,972.32
AMERN EXPRESS CR CORP MEDIUM TERM NTS 1.125 DUE 06-05-2017 SEDOL: BN796R9	495,000.000	493,255.36	494,922.29
AMERN HONDA FIN CORP MED TERM NTS BOOK ENTRY TRANCHE # TR 29 1.2 DUE 07-12-2019 SEDOL: BDGTNP7	115,000.000	114,885.00	112,955.88
AMERN HONDA FIN CORP MED TERM NTS BOOK ENTRY TRANCHE # TR 31 1.7 DUE 09-09-2021 SEDOL: BD8F5N5	685,000.000	682,783.40	661,067.47
AMERN INTL GROUP 3.875% DUE 01-15-2035 SEDOL: BVCN939	85,000.000	78,723.60	79,843.39
AMERN INTL GROUP 3.9% DUE 04-01-2026 SEDOL: BDFXRB4	730,000.000	755,824.10	742,834.86
AMERN INTL GROUP 4.375% DUE 01-15-2055 SEDOL: BVCJNG8	365,000.000	349,731.79	334,403.15
AMERN INTL GROUP 4.7% DUE 07-10-2035 SEDOL: BYMMP95	195,000.000	195,076.33	202,102.10
AMERN INTL GROUP 4.875% DUE 06-01-2022 SEDOL: B7V7HB8	110,000.000	119,773.50	120,205.25
AMERN INTL GROUP 6.25% DUE 05-01-2036 SEDOL: B1CYG08	295,000.000	375,364.46	352,765.72
AMERN INTL GROUP 6.82% DUE 11-15-2037 SEDOL: B8BG541	155,000.000	206,050.80	195,041.15
AMERN INTL GROUP 8.175% DUE 05-15-2068 SEDOL: B606MS1	257,000.000	328,868.49	325,105.00
AMGEN INC 2.2 DUE 05-22-2019 SEDOL: BMPQNQ3	1,000,000.000	1,018,260.00	1,007,719.00
AMGEN INC 4.95% DUE 10-01-2041 CUSIP: 031162BE9	635,000.000	678,262.55	658,878.54
AMGEN INC 5.15% DUE 11-15-2041 SEDOL: B76M525	125,000.000	130,335.46	132,143.88

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ANADARKO PETE CO 6.2% DUE 03-15-2040 SEDOL: B665LR4	255,000.000	267,038.36	292,049.21
ANADARKO PETE CORP ZERO CPN 0% DUE 10-10-2036 SEDOL: BH86FD6	2,550,000.000	952,150.23	1,030,995.60
ANADARKO PETE CORP 6.45% DUE 09-15-2036 SEDOL: B1FGZM6	290,000.000	297,298.90	345,148.14
ANHEUSER BUSCH COS 6.45% DUE 09-01-2037 SEDOL: BG48QV4	145,000.000	171,813.40	184,781.48
ANHEUSER BUSCH COS 6.5% DUE 05-01-2042 SEDOL: B3D2230	250,000.000	348,475.00	318,800.00
ANHEUSER BUSCH COS 6% DUE 11-01-2041 SEDOL: 2817543	185,000.000	215,569.40	226,518.63
ANTHEM INC 4.65% DUE 08-15-2044 SEDOL: BR17WN5	460,000.000	487,972.65	465,651.56
AON CORP JR SUB DEFFERABLE INT DEB 8.205DUE 01-01-2027 SEDOL: B683Y11	400,000.000	525,920.00	512,000.00
AON CORP SR NT 6.25 DUE 09-30-2040 SEDOL: B6898J5	130,000.000	168,205.70	157,099.54
AON CORP 5% DUE 09-30-2020 SEDOL: B688TQ6	570,000.000	636,650.10	614,031.93
AON PLC 3.875% DUE 12-15-2025 SEDOL: BYZ7348	130,000.000	129,963.60	132,507.05
APACHE CORP 5.1% DUE 09-01-2040 SEDOL: B58CQJ3	25,000.000	26,579.00	26,154.53
APACHE CORP 6% DUE 01-15-2037 SEDOL: B1P8FN3	190,000.000	218,501.90	218,529.26
APPALACHIAN PWR CO 6.375% DUE 04-01-2036 SEDOL: B12JDS0	205,000.000	256,018.35	251,841.89
APPLE INC 2.4% DUE 05-03-2023 SEDOL: BC7H5P7	945,000.000	960,288.10	920,163.51
APPLE INC 3.45% DUE 02-09-2045 CUSIP: 037833BA7	595,000.000	542,810.76	525,175.56
APPLE INC 4.45% DUE 05-06-2044 SEDOL: BM6NVC5	435,000.000	487,162.90	450,432.06
APPLE INC 4.65% DUE 02-23-2046 CUSIP: 037833BX7	500,000.000	543,031.95	539,924.50

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ARCH CAP FIN LLC 4.011% DUE 12-15-2026 SEDOL: BDDW8L0	350,000.000	351,131.20	355,036.85
ARCH CAP FIN LLC 5.031% DUE 12-15-2046 SEDOL: BD3HC13	285,000.000	286,993.90	298,790.01
ARIZONA PUB SVC CO 3.75% DUE 05-15-2046 SEDOL: BYZJJZ7	300,000.000	299,139.00	281,364.00
ARIZONA PUB SVC CO 4.35% DUE 11-15-2045 SEDOL: BYZR531	165,000.000	188,760.00	169,840.28
ARIZONA PUB SVC CO 4.5% DUE 04-01-2042 SEDOL: B546Q33	195,000.000	208,944.45	204,789.00
ASCENSION HLTH 3.945% DUE 11-15-2046 SEDOL: BYYXY44	175,000.000	175,000.00	167,093.15
ASCENSION HLTH 4.847% DUE 11-15-2053 SEDOL: BBMSWS0	120,000.000	120,000.00	130,841.52
AT&T CORP USD SR NT VAR RATE DUE 11-15-2031BEO CUSIP: 001957BD0	170,000.000	252,417.70	236,322.27
AT&T INC SR NT 144A ZERO CPN CORP BD DUE11-27-2022 SEDOL: B8KHS02	2,000,000.000	1,542,500.00	1,597,170.00
AT&T INC 3.4% DUE 05-15-2025 SEDOL: BX2KBD8	145,000.000	145,165.30	139,754.34
AT&T INC 3.6% DUE 02-17-2023 SEDOL: BYMLXK9	345,000.000	362,601.50	347,939.40
AT&T INC 4.5% DUE 03-09-2048 SEDOL: BD3HCY6	2,203,000.000	2,030,008.81	1,979,556.32
AT&T INC 4.55% DUE 03-09-2049 SEDOL: BD3HCX5	976,000.000	873,301.12	881,587.62
AT&T INC 4.8% DUE 06-15-2044 SEDOL: BN8KPY1	455,000.000	434,673.78	429,935.87
AT&T WIRELESS SVCS 8.75% DUE 03-01-2031 SEDOL: BG49413	1,085,000.000	1,667,718.67	1,551,846.21
AUTO DATA 2.25% DUE 09-15-2020 SEDOL: BYNFCK3	405,000.000	404,639.55	407,093.04
AUTOZONE INC 2.5% DUE 04-15-2021 SEDOL: BWY4YH6	580,000.000	579,779.60	573,483.12
AVALONBAY CMNTYS 2.95% DUE 05-11-2026 SEDOL: BD8BS56	605,000.000	605,066.85	577,536.03

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
AVALONBAY CMNTYS 3.9% DUE 10-15-2046 SEDOL: BYW4234	130,000.000	129,473.50	120,683.16
AVALONBAY 2.9% DUE 10-15-2026 SEDOL: BDCFTQ2	110,000.000	109,657.90	104,099.16
BALT GAS & ELEC CO 6.35% DUE 10-01-2036 SEDOL: B23WTP4	75,000.000	98,318.25	95,211.90
BANK AMER CORP BDS 4 04-01-2024 SEDOL: BLBP4G4	1,255,000.000	1,323,451.70	1,294,330.45
BANK AMER CORP 2.151% DUE 11-09-2020 SEDOL: BD0NJ68	340,000.000	340,000.00	335,580.34
BANK AMER CORP 3.248% DUE 10-21-2027 SEDOL: BD5FYQ4	1,245,000.000	1,246,373.00	1,188,601.50
BANK AMER CORP 3.3% DUE 01-11-2023 SEDOL: B799RR2	385,000.000	379,102.04	386,285.90
BANK AMER CORP 4.1% DUE 07-24-2023 SEDOL: BFLRDC7	395,000.000	425,067.40	412,617.00
BANK AMER CORP 4.183% DUE 11-25-2027 SEDOL: BZ013F3	690,000.000	690,000.00	690,440.91
BANK AMER CORP 5% DUE 01-21-2044 SEDOL: BJ7B592	775,000.000	837,329.52	848,697.08
BARRICK INTL BK 6.35% DUE 10-15-2036 SEDOL: B4QBSK0	175,000.000	179,870.25	181,556.20
BAXALTA INC 3.6% DUE 06-23-2022 SEDOL: BD4FM38	530,000.000	534,299.80	534,056.09
BAXALTA INC 5.25% DUE 06-23-2045 SEDOL: BD4F5R3	710,000.000	789,088.01	757,865.36
BAYLOR SCOTT & 4.185% DUE 11-15-2045 SEDOL: BWX1XR1	245,000.000	245,000.00	239,039.89
BB&T BRH BKG & TR 3.625% DUE 09-16-2025 SEDOL: BYMYND1	360,000.000	365,608.80	366,011.64
BECTON DICKINSON & 2.675% DUE 12-15-2019 SEDOL: BTDFTZ2	61,000.000	61,000.00	61,891.27
BECTON DICKINSON & 4.875% DUE 05-15-2044 SEDOL: BXVMC54	125,000.000	124,390.32	130,146.63
BED BATH & BEYOND 5.165% DUE 08-01-2044 SEDOL: BP81YD0	485,000.000	438,566.42	441,390.26

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
BERKSHIRE HATHAWAY 1.15% DUE 08-15-2018 SEDOL: BD381H5	845,000.000	844,898.60	840,758.95
BERKSHIRE HATHAWAY 2.75% DUE 03-15-2023 SEDOL: BDB5JF2	315,000.000	318,396.57	313,817.49
BERKSHIRE HATHAWAY 3.5% DUE 02-01-2025 SEDOL: BVRZ8P5	255,000.000	258,359.64	260,052.32
BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043 SEDOL: B96WCM8	270,000.000	264,031.03	278,945.64
BERKSHIRE HATHAWAY 4.5% DUE 02-01-2045 SEDOL: BVXY748	530,000.000	526,866.39	549,832.07
BIOGEN INC 2.9% DUE 09-15-2020 SEDOL: BYNPNX3	180,000.000	179,625.60	182,295.72
BK AMER N A CHARLOTTE N C MEDIUM TERM 6.1 DUE 06-15-2017 TRANCHE # TR 00237 SEDOL: BG48PB7	1,000,000.000	1,141,324.29	1,020,332.00
BLACK HILLS CORP 4.2% DUE 09-15-2046 SEDOL: BDHDF96	210,000.000	208,855.50	200,190.90
BLUE CROSS BLUE SHIELD M 3.79% 05-01-2025 SEDOL: BX3JD38	625,000.000	624,943.75	607,783.13
BOEING CO 3.375% DUE 06-15-2046 SEDOL: BD72429	160,000.000	154,760.00	145,712.00
BOEING CO 6.875% DUE 03-15-2039 SEDOL: BC7H609	175,000.000	259,868.00	247,239.48
BORGWARNER INC 4.375% DUE 03-15-2045 SEDOL: BWC4XV5	680,000.000	666,812.60	653,605.12
BRISTOL MYERS 6.875% DUE 08-01-2097 SEDOL: 2282839	180,000.000	245,089.80	248,095.26
BRIXMOR OPER 3.25% DUE 09-15-2023 SEDOL: BD3BW48	565,000.000	564,287.10	547,760.16
BRIXMOR OPER 4.125% DUE 06-15-2026 SEDOL: BD203J8	200,000.000	198,656.00	199,395.00
BSTN PPTYS LTD 3.125% DUE 09-01-2023 SEDOL: B9N2L91	300,000.000	295,047.00	294,171.00
BSTN PPTYS LTD 3.65% DUE 02-01-2026 SEDOL: BZCR7P7	65,000.000	64,810.20	64,188.54
BUCKEYE PARTNERS L 3.95% DUE 12-01-2026 SEDOL: BZ1JM93	140,000.000	139,501.60	136,248.98

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
BUCKEYE PARTNERS L 5.85% DUE 11-15-2043 SEDOL: BGDRY29	240,000.000	233,746.45	247,445.52
BURL NORTH SANTA FIXED 4.7% DUE 09-01-2045 SEDOL: BYV3D84	230,000.000	273,039.90	251,738.22
BURL NORTHN SANTA 4.95% DUE 09-15-2041 SEDOL: B61QXW1	235,000.000	265,002.45	263,039.26
BURL NORTHN SANTA 5.4% DUE 06-01-2041 SEDOL: B4WWNH9	195,000.000	214,577.05	230,172.54
CAMPBELL SOUP CO 3.8% DUE 08-02-2042 SEDOL: B7JN3H6	445,000.000	379,037.28	401,085.18
CAP 1 BK USA 2.25 DUE 02-13-2019 SEDOL: BJVF0R3	190,000.000	190,470.26	190,848.35
CAP 1 FINL CORP 3.75% DUE 07-28-2026 SEDOL: BYYQNX5	225,000.000	224,201.25	218,113.88
CAP 1 MULTI-ASSET 1.34% DUE 04-15-2022 CUSIP: 14041NFE6	950,000.000	949,993.35	939,486.35
CAP 1 NATL ASSN 1.5% DUE 03-22-2018 SEDOL: B9780K9	750,000.000	740,362.50	746,676.00
CAP 1 NATL ASSN 1.85% DUE 09-13-2019 SEDOL: BD37K31	1,135,000.000	1,136,475.50	1,122,098.46
CAP 1 NATL ASSN 2.35% DUE 08-17-2018 SEDOL: BYV3D40	120,000.000	119,869.20	120,689.28
CAPITAL ONE BK USA NATL ASSN GLEN ALLEN 3.375 DUE 02-15-2023 REG SEDOL: B8BMVV8	170,000.000	171,519.80	168,404.04
CBL & ASSOCS LTD 5.95% DUE 12-15-2026 SEDOL: BD2B5W8	405,000.000	399,188.25	407,675.43
CELGENE CORP 3.625% DUE 05-15-2024 SEDOL: BMH9J28	595,000.000	620,416.30	597,908.36
CELGENE CORP 3.875% DUE 08-15-2025 SEDOL: BYQH778	205,000.000	216,746.50	207,933.55
CELGENE CORP 3.95% DUE 10-15-2020 SEDOL: B4P0G93	85,000.000	91,959.85	88,862.06
CELGENE CORP 4.625% DUE 05-15-2044 SEDOL: BMH4PS1	540,000.000	542,085.01	528,724.26
CELGENE CORP 5.7% DUE 10-15-2040 SEDOL: B4P5W67	305,000.000	357,850.40	339,286.27

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CELGENE CORP 5% DUE 08-15-2045 SEDOL: BYQH7V2	195,000.000	211,462.68	202,747.35
CENTERPOINT ENERGY 5.85 DUE 01-15-2041 SEDOL: B4PFJQ6	275,000.000	301,048.00	311,144.63
CHARTER 6.384% DUE 10-23-2035 SEDOL: BZ06JT4	310,000.000	350,104.70	353,967.92
CHARTER 6.484% DUE 10-23-2045 SEDOL: BYQ7R23	240,000.000	274,392.00	277,458.96
CHASE ISSUANCE TR 1.59% DUE 02-18-2020 SEDOL: BD6C9C5	965,000.000	974,612.30	967,747.36
CHEVRON CORP NEW 1.365% DUE 03-02-2018 SEDOL: BW1YZ54	485,000.000	486,091.25	484,523.73
CIN FINL CORP 6.125% DUE 11-01-2034 CUSIP: 172062AE1	270,000.000	314,077.50	313,485.93
CIN FINL CORP 6.92% DUE 05-15-2028 SEDOL: B07T3M2	220,000.000	255,989.80	275,006.16
CINGULAR WIRELESS 7.125% DUE 12-15-2031 SEDOL: 2011549	340,000.000	425,210.80	425,463.76
CINTAS CORP NO 2 3.25% DUE 06-01-2022 SEDOL: B8H3D42	450,000.000	460,854.00	455,557.50
CISCO SYS INC 1.4% DUE 09-20-2019 SEDOL: BD915S7	1,165,000.000	1,164,591.65	1,152,295.68
CISCO SYS INC 1.85% DUE 09-20-2021 SEDOL: BD8GNQ7	455,000.000	454,936.30	444,064.53
CITIBANK CR CARD 1.73% DUE 04-09-2020 SEDOL: BD6CC37	250,000.000	253,095.70	250,958.00
CITIGROUP INC 1.8% DUE 02-05-2018 SEDOL: BVCVN64	545,000.000	545,087.20	544,695.35
CITIGROUP INC 2.15% DUE 07-30-2018 SEDOL: BYTHCV4	575,000.000	579,343.85	576,902.10
CITIGROUP INC 2.9% DUE 12-08-2021 SEDOL: BYNXLZ5	1,535,000.000	1,532,375.15	1,530,858.57
CITIGROUP INC 3.2% DUE 10-21-2026 SEDOL: BYP7GJ4	665,000.000	649,614.65	635,887.63
CITIGROUP INC 4.65% DUE 07-30-2045 SEDOL: BYTHPH1	343,000.000	366,682.37	361,538.46

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CITIGROUP INC 4.75% DUE 05-18-2046 SEDOL: BDGLCL2	730,000.000	723,612.85	730,842.42
CITIGROUP INC 5.3 DUE 05-06-2044 SEDOL: BMH4H85	159,000.000	178,580.88	171,270.83
CITIGROUP INC 6.625 DUE 06-15-2032 BEO SEDOL: BG48R11	455,000.000	546,140.15	551,957.77
CITIGROUP INC 8.125% DUE 07-15-2039 SEDOL: B8G0DX3	110,000.000	166,256.80	163,452.74
CLEV CLINIC FNDTN 4.858% DUE 01-01-2114 SEDOL: BYT8J72	165,000.000	165,000.00	156,516.36
COCA COLA CO 1.375% DUE 05-30-2019 SEDOL: BDDRKG4	1,035,000.000	1,034,275.50	1,028,122.43
COMCAST CABLE 8.875% DUE 05-01-2017 SEDOL: 2125978	700,000.000	905,716.00	717,607.80
COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039 SEDOL: B614024	235,000.000	315,737.26	306,573.25
COMCAST CORP NEW 2.85% DUE 01-15-2023 SEDOL: B994FP5	420,000.000	415,386.80	418,909.68
COMCAST CORP NEW 3.4% DUE 07-15-2046 SEDOL: BDFFPY9	95,000.000	93,754.57	82,964.64
COMCAST CORP NEW 4.6% DUE 08-15-2045 CUSIP: 20030NBQ3	115,000.000	120,273.90	120,550.82
COMCAST CORP NEW 4.65% DUE 07-15-2042 SEDOL: B7TGGL0	125,000.000	143,300.00	130,140.00
COMCAST CORP NEW 4.75% DUE 03-01-2044 SEDOL: BJZXTF4	130,000.000	151,990.80	139,428.25
COMCAST CORP NEW 5.65% DUE 06-15-2035 SEDOL: B0B7ZQ0	175,000.000	198,429.00	209,150.73
COMCAST CORP NEW 6.4% DUE 05-15-2038 CUSIP: 20030NAX9	305,000.000	384,394.00	390,552.50
COMCAST CORP NEW 6.45% DUE 03-15-2037 SEDOL: B108F49	1,250,000.000	1,578,271.93	1,613,931.25
COMCAST CORP NEW 7.05% DUE 03-15-2033 SEDOL: 2470065	70,000.000	89,947.90	93,974.16
COMCAST CORP 4.5 BDS DUE 01-15-2043 REG SEDOL: B97R909	310,000.000	348,428.00	319,615.89

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
COMMONWEALTH EDISON CO 1ST MTG BD SER 113 3.8 DUE 10-01-2042 SEDOL: B8DXN80	135,000.000	129,089.35	129,518.46
COMPASS BK BIRMINGHAM ALA 2.75% DUE 09-29-2019 SEDOL: BRB3288	310,000.000	309,280.80	308,312.67
COMPASS BK 3.875% DUE 04-10-2025 SEDOL: BWTXKR0	480,000.000	475,866.35	456,787.68
COMWLTH EDISON CO 3.65% DUE 06-15-2046 SEDOL: BYMX9T6	235,000.000	240,630.60	220,844.07
CONAGRA INC NT 8.25 DUE 09-15-2030 BEO SEDOL: 2745127	220,000.000	292,518.60	294,613.88
CONS EDISON CO N Y INC 4.45 DUE 03-15-2044 REG SEDOL: BJZD6Q4	535,000.000	534,022.20	556,989.04
CONS EDISON CO N Y 2.9% DUE 12-01-2026 SEDOL: BZ2Z104	620,000.000	617,476.60	609,274.00
CONS EDISON CO N Y 4.3% DUE 12-01-2056 SEDOL: BD3GJ31	185,000.000	182,143.60	184,745.81
CONS EDISON CO N Y 4.5% DUE 12-01-2045 SEDOL: BD60YD5	245,000.000	244,755.00	259,787.47
CONS EDISON CO N Y 4.625% DUE 12-01-2054 SEDOL: BSQC9Q4	280,000.000	277,719.32	293,737.36
CONS EDISON CO N Y 6.75% DUE 04-01-2038 SEDOL: B2QQX95	230,000.000	303,126.20	311,292.81
CONSTELLATION ENERGY GROUP INC 7.6 DUE 04-01-2032 BEO SEDOL: 2014656	270,000.000	329,386.50	354,269.16
CONSTELLATION 3.7% DUE 12-06-2026 SEDOL: BDDWHX5	275,000.000	274,749.75	268,686.00
CORNING INC 1.45 DUE 11-15-2017 SEDOL: B927XW4	370,000.000	370,814.00	369,726.20
CORNING INC 7.25 DUE 08-15-2036 BEO SEDOL: BFZDDJ8	185,000.000	239,155.05	218,425.25
CSX CORP 3.8% DUE 11-01-2046 SEDOL: BYMPHS7	80,000.000	79,544.80	74,166.48
CSX CORP 4.1 DUE 03-15-2044 SEDOL: B8MZYR3	85,000.000	81,714.75	82,386.85
CSX CORP 4.25% DUE 11-01-2066 SEDOL: BD9NGT1	730,000.000	700,772.75	666,186.32

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CSX CORP 4.4 DUE 03-01-2043 REG SEDOL: B6Z3ZB1	100,000.000	98,480.58	100,898.70
CVS CAREMARK CORP 5.3 DUE 12-05-2043 SEDOL: BH458J4	350,000.000	407,605.45	396,579.75
CVS HEALTH CORP 2.25% DUE 12-05-2018 SEDOL: BH459S0	305,000.000	304,655.35	307,673.33
CVS HEALTH CORP 5.125% DUE 07-20-2045 SEDOL: BYPFC45	910,000.000	1,013,539.45	1,014,146.77
CVS HEALTH CORP 5% DUE 12-01-2024 SEDOL: BD3MNL5	620,000.000	682,084.40	678,716.48
DIGITAL RLTY TR LP 4.75% DUE 10-01-2025 SEDOL: BD9NRY3	490,000.000	507,828.40	508,579.33
DIGNITY HEALTH 2.637% DUE 11-01-2019 CUSIP: 254010AC5	235,000.000	235,000.00	237,047.32
DIGNITY HEALTH 5.267% DUE 11-01-2064 SEDOL: BYQ7PN0	85,000.000	85,000.00	82,919.80
DISNEY WALT CO NEW .875% DUE 07-12-2019 SEDOL: BDGTNN5	150,000.000	149,496.00	146,984.70
DISNEY WALT CO 4.125 DUE 12-01-2041 SEDOL: B795V01	180,000.000	176,956.20	184,602.60
DOMINION GAS HLDGS 2.5% DUE 12-15-2019 SEDOL: BT9Z2F7	865,000.000	869,006.30	874,512.41
DOMINION GAS HLDGS 4.6% DUE 12-15-2044 SEDOL: BT9Z2H9	135,000.000	134,149.50	134,320.82
DOMINION RES INC VA NEW 1.25 DUE 03-15-2017 REG SEDOL: BKWQKS3	400,000.000	399,592.00	400,029.20
DOMINION RES INC 4.05% DUE 09-15-2042 SEDOL: B8QB5D0	270,000.000	246,599.10	250,539.21
DOMINION RES INC 4.7% DUE 12-01-2044 SEDOL: BSR9GC9	250,000.000	248,037.99	257,012.25
DOMINION RESOURCES INC 4.9% 08-01-2041 SEDOL: B4XHFS8	115,000.000	115,042.55	120,726.77
DOMTAR CORP 6.25% DUE 09-01-2042 SEDOL: B84VV12	190,000.000	193,192.00	183,574.77
DOMTAR CORP 6.75 DUE 02-15-2044 SEDOL: BGPGP87	210,000.000	214,380.60	213,313.17

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
DOW CHEMICAL CO 4.375% DUE 11-15-2042 SEDOL: BFZDDH6	360,000.000	343,656.74	349,459.20
DR PEPPER SNAPPLE 3.13% DUE 12-15-2023 SEDOL: BYPL1P7	580,000.000	579,779.60	580,133.40
DR PEPPER SNAPPLE 4.42% DUE 12-15-2046 SEDOL: BYPL1N5	390,000.000	388,949.70	397,408.05
DR PEPPER SNAPPLE 7.45% DUE 05-01-2038 SEDOL: B3L5JT6	405,000.000	575,517.15	563,728.41
DUKE ENERGY FLA 1.196% DUE 03-01-2020 CUSIP: 26444GAA1	240,000.000	239,997.60	238,101.60
DUKE ENERGY FLA 1.731% DUE 09-01-2022 CUSIP: 26444GAB9	535,000.000	534,989.30	518,013.75
DUKE ENERGY FLA 2.858% DUE 03-01-2033 CUSIP: 26444GAD5	180,000.000	179,991.00	165,278.88
DUKE ENERGY FLA 3.112% DUE 09-01-2036 CUSIP: 26444GAE3	230,000.000	229,986.20	210,446.09
DUKE ENERGY 3.875% DUE 03-15-2046 SEDOL: BZ58832	110,000.000	109,611.70	107,602.66
DUKE ENERGY 4.2% DUE 08-15-2045 SEDOL: BYT32T0	142,000.000	140,926.61	144,491.96
DUKE UNIV 3.299% DUE 10-01-2046 CUSIP: 26442TAE7	195,000.000	195,000.00	176,773.94
EATON CORP OHIO 1.5 DUE 11-02-2017 SEDOL: BG6LV27	145,000.000	145,346.55	145,120.64
EDWARDS 2.875% DUE 10-15-2018 SEDOL: BF4JH73	695,000.000	691,511.10	705,438.90
EL PASO NAT GAS CO 8.375% DUE 06-15-2032 SEDOL: 2787237	655,000.000	922,515.10	812,280.57
EL PASO PIPELN 4.7% DUE 11-01-2042 SEDOL: B82B6J7	400,000.000	344,072.25	372,676.80
EL PASO PIPELN 7.5% DUE 11-15-2040 SEDOL: B3V36S8	115,000.000	147,488.65	137,511.71
ENABLE MIDSTREAM 5% DUE 05-15-2044 SEDOL: BDDVYR5	270,000.000	265,342.06	231,911.91
ENBRIDGE ENERGY 5.875% DUE 10-15-2025 SEDOL: BYN7R04	55,000.000	54,809.70	61,320.60

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ENBRIDGE ENERGY 7.375% DUE 10-15-2045 SEDOL: BZ2ZMT0	165,000.000	162,797.25	204,657.09
ENERGY TRANSFER FIXED 6.125% DUE 12-15-2045 SEDOL: BYQ24Q1	200,000.000	199,319.14	212,787.80
ENERGY TRANSFER 5.95 DUE 10-01-2043 SEDOL: BDWGZW3	405,000.000	415,628.40	417,403.94
ENERGY TRANSFER 6.05% DUE 06-01-2041 SEDOL: B4LTG94	180,000.000	187,876.80	184,816.80
ENERGY TRANSFER 6.5% DUE 02-01-2042 SEDOL: B5L3ZK7	95,000.000	95,513.17	102,635.06
ENERGY TRANSFER 7.5% DUE 07-01-2038 SEDOL: BG48VF3	70,000.000	88,120.20	81,162.62
ENTERGY ARK INC 3.05% DUE 06-01-2023 SEDOL: BB10JT7	655,000.000	653,152.90	657,426.12
ENTERPRISE PRODS OPER LLC GTD SR NT 4.85DUE 08-15-2042 SEDOL: B5LM203	580,000.000	548,542.15	579,895.60
ENTERPRISE PRODS 4.85% DUE 03-15-2044 SEDOL: B9M5X40	285,000.000	281,524.21	287,736.86
ENTERPRISE PRODS 5.75% DUE 03-01-2035 SEDOL: B0HFPV3	205,000.000	219,616.50	223,898.95
ENTERPRISE PRODS 7.55% DUE 04-15-2038 SEDOL: B57FW28	520,000.000	700,190.30	671,932.04
EOG RES INC FIXED 3.9% DUE 04-01-2035 SEDOL: BWCN5Z0	140,000.000	139,399.40	132,584.34
EOG RES INC 3.15% DUE 04-01-2025 SEDOL: BVYPFH9	505,000.000	517,870.05	495,507.52
EOG RES INC 5.1% DUE 01-15-2036 SEDOL: BYVNBN5	445,000.000	445,509.74	484,042.52
ERP OPER LTD 2.375% DUE 07-01-2019 SEDOL: BNGSVF0	595,000.000	594,405.00	599,690.98
ERP OPER LTD 2.85% DUE 11-01-2026 SEDOL: BYVQW64	275,000.000	273,415.75	259,277.15
ERP OPER LTD 4.5% DUE 07-01-2044 SEDOL: BNH7PZ4	255,000.000	259,971.65	259,122.33
ERP OPERATING LP 4.625 DUE 12-15-2021 REG SEDOL: B777Q93	177,000.000	188,181.09	192,258.46

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
EXELON CORP 3.95% DUE 06-15-2025 SEDOL: BYT16N6	865,000.000	907,218.10	889,252.01
EXELON CORP 5.1% DUE 06-15-2045 SEDOL: BYVF9K4	250,000.000	248,561.84	265,750.25
EXELON CORP 5.625% DUE 06-15-2035 SEDOL: B0LK7L8	280,000.000	312,924.20	309,825.04
EXELON GENERATION 5.6 DUE 06-15-2042 SEDOL: B715FY1	335,000.000	305,739.20	310,161.09
EXELON GENERATION 5.75% DUE 10-01-2041 SEDOL: B4LTXL5	200,000.000	217,288.00	187,712.00
EXPRESS SCRIPTS 4.5% DUE 02-25-2026 SEDOL: BYYLHT4	50,000.000	49,733.50	51,473.65
EXPRESS SCRIPTS 4.8% DUE 07-15-2046 CUSIP: 30219GAP3	490,000.000	527,240.40	469,138.74
EXXON MOBIL CORP 4.114% DUE 03-01-2046 SEDOL: BYYD0G8	495,000.000	517,512.65	507,015.63
FED RLTY INVT TR 3.625% DUE 08-01-2046 SEDOL: BYP6KW2	110,000.000	107,531.60	96,543.48
FEDEX CORP BOND 5.1 DUE 01-15-2044 SEDOL: BHZFZM5	370,000.000	406,548.03	401,463.32
FEDEX CORP 2.7% DUE 04-15-2023 SEDOL: B9HH664	115,000.000	114,689.50	113,465.90
FEDEX CORP 3.875% DUE 08-01-2042 SEDOL: B8DMKV9	150,000.000	133,633.50	136,903.65
FEDEX CORP 4.1% DUE 04-15-2043 SEDOL: B8HKM13	635,000.000	602,645.36	593,046.82
FEDEX CORP 4.5% DUE 02-01-2065 SEDOL: BV91N12	420,000.000	404,253.60	388,045.14
FL PWR & LT CO 5.25% DUE 02-01-2041 SEDOL: B4V3Q52	120,000.000	140,784.00	141,380.16
FLORIDA PWR CORP 3.85% DUE 11-15-2042 SEDOL: B8HN579	85,000.000	80,912.35	81,507.95
FLUOR CORP NEW 3.375% DUE 09-15-2021 SEDOL: B6RR072	260,000.000	273,983.20	266,670.82
FORD CR AUTO OWNER 1.28% DUE 09-15-2019 SEDOL: BYT4S17	339,496.190	339,469.17	339,630.63

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
FORD MTR CO DEL NT 4.75 01-15-2043 SEDOL: B94Q0Z1	1,415,000.000	1,410,085.75	1,343,410.91
FORD MTR CO DEL 4.346% DUE 12-08-2026 SEDOL: BYPL1T1	90,000.000	90,000.00	90,944.82
FORD MTR CO DEL 5.291% DUE 12-08-2046 SEDOL: BYPL1V3	220,000.000	220,000.00	222,857.80
FORD MTR CO DEL 6.375% DUE 02-01-2029 SEDOL: B2PJ135	55,000.000	64,875.25	62,737.02
FORD MTR CO DEL 7.45% DUE 07-16-2031 SEDOL: 2445643	255,000.000	316,667.55	320,036.48
FORD MTR CR CO LLC 1.461% DUE 03-27-2017 SEDOL: BYZ5JT9	570,000.000	566,488.80	570,091.20
FORD MTR CR CO LLC 3.336% DUE 03-18-2021 SEDOL: BDC5N26	1,350,000.000	1,381,865.00	1,359,367.65
FORD MTR CR CO LLC 5.875 DUE 08-02-2021 SEDOL: BFN0GD6	290,000.000	320,760.83	320,266.72
GA PWR CO SR NT SER 2012A 4.3 DUE 03-15-2042 SEDOL: B78K6L3	270,000.000	278,140.50	273,223.53
GE CAP INTL FDG CO 4.418% DUE 11-15-2035 SEDOL: BYNWXZ6	2,542,000.000	2,435,850.77	2,663,779.59
GEN MTRS FINL CO 4.25 DUE 05-15-2023 SEDOL: BJT3FD0	125,000.000	131,358.75	126,501.63
GEN MTRS FINL CO 4% DUE 01-15-2025 SEDOL: BYZ5MV2	375,000.000	379,797.30	365,865.38
GEN MTRS FINL CO 4% DUE 10-06-2026 SEDOL: BYQ3L96	345,000.000	345,345.25	331,724.75
GENERAL MTRS CO 4.875% DUE 10-02-2023 SEDOL: BP9DLV2	165,000.000	170,689.20	172,964.88
GENERAL MTRS CO 5% DUE 04-01-2035 SEDOL: BTN21T1	565,000.000	545,466.60	550,944.50
GENERAL MTRS CO 6.25% DUE 10-02-2043 SEDOL: BP8Y7Q3	580,000.000	620,156.23	641,177.82
GENERAL MTRS CO 6.6% DUE 04-01-2036 SEDOL: BYXXXG0	585,000.000	610,392.17	668,659.10
GENERAL MTRS FINL CO INC 3.15% 01-15-2020 SEDOL: BV9CMG3	515,000.000	517,064.90	518,229.57

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
GEORGE WASH UNIV 3.545% DUE 09-15-2046 SEDOL: BYQ4R79	225,000.000	225,000.00	192,085.88
GILEAD SCIENCES 2.55% DUE 09-01-2020 CUSIP: 375558BB8	315,000.000	326,966.85	318,319.79
GILEAD SCIENCES 3.5% DUE 02-01-2025 SEDOL: BSNBJX1	375,000.000	387,817.50	379,147.13
GILEAD SCIENCES 3.7% DUE 04-01-2024 SEDOL: BK1KRL8	305,000.000	325,789.65	313,059.63
GILEAD SCIENCES 4.15% DUE 03-01-2047 CUSIP: 375558BK8	440,000.000	427,864.05	417,913.32
GILEAD SCIENCES 4.5% DUE 02-01-2045 SEDOL: BSNBJW0	175,000.000	185,133.17	174,852.83
GILEAD SCIENCES 4.6% DUE 09-01-2035 CUSIP: 375558BG7	185,000.000	186,321.80	191,880.15
GILEAD SCIENCES 4.8% DUE 04-01-2044 SEDOL: BKHDRD3	470,000.000	503,363.93	488,032.02
GILEAD SCIENCES 4% DUE 09-01-2036 CUSIP: 375558BJ1	615,000.000	611,494.50	589,702.59
GOLDMAN SACHS CAP I 6.345 DUE 02-15-2034 BEO SEDOL: BG48W61	485,000.000	533,285.01	576,638.81
GOLDMAN SACHS 2.3% DUE 12-13-2019 SEDOL: BZCD410	990,000.000	989,257.50	988,876.35
GOLDMAN SACHS 2.35% DUE 11-15-2021 SEDOL: BDCFW85	1,560,000.000	1,557,573.10	1,515,633.60
GOLDMAN SACHS 3.5% DUE 01-23-2025 SEDOL: BVL8RW0	285,000.000	288,792.42	281,209.22
GOLDMAN SACHS 3.5% DUE 11-16-2026 SEDOL: BZ12537	570,000.000	569,921.95	556,881.45
GOLDMAN SACHS 6.125% DUE 02-15-2033 SEDOL: B7M7YX8	775,000.000	921,384.49	936,684.38
GOLDMAN SACHS 6.75% DUE 10-01-2037 SEDOL: B9CMD63	805,000.000	981,115.20	994,051.84
GRAINGER W W INC FIXED 4.6% DUE 06-15-2045 SEDOL: BYZWY62	160,000.000	190,548.80	171,301.60
GRAINGER W W INC 3.75% DUE 05-15-2046 SEDOL: BYP8NF2	115,000.000	119,821.95	107,701.87

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
HALLIBURTON CO 4.75% DUE 08-01-2043 SEDOL: BCR5XW4	200,000.000	210,129.20	205,379.40
HALLIBURTON CO 5% DUE 11-15-2045 SEDOL: BYNR4N6	300,000.000	317,392.70	323,533.50
HALLIBURTON CO 6.7% DUE 09-15-2038 SEDOL: B3D2F31	170,000.000	213,842.70	211,838.70
HARLEY-DAVIDSON INC 4.625% 07-28-2045 SEDOL: BYZ7VM2	170,000.000	170,065.84	167,927.70
HARTFORD FINL SVCS SR NT 6.625 DUE 03-30-2040 SEDOL: B836JX6	160,000.000	207,409.00	197,448.96
HARTFORD FINL SVCS 6.1% DUE 10-01-2041 SEDOL: BFN0JK4	240,000.000	296,419.20	281,637.36
HASBRO INC 6.35% DUE 03-15-2040 SEDOL: B66F4L9	375,000.000	445,182.04	437,032.50
HESS CORP 5.6% DUE 02-15-2041 SEDOL: B4TV238	225,000.000	218,693.25	227,506.28
HESS CORP 6% DUE 01-15-2040 SEDOL: B55GWZ6	185,000.000	178,534.25	190,843.23
HEWLETT PACKARD CO 6% DUE 09-15-2041 SEDOL: B6YB851	170,000.000	185,920.50	171,466.59
HEWLETT PACKARD ENTERPRISE CO NT STEP UP10-15-2025 12-23-2016 SEDOL: BD3GB57	375,000.000	397,507.50	385,805.25
HEWLETT PACKARD ENTERPRISE CO NT STEP UP10-15-2035 12-23-2016 SEDOL: BD3GB68	445,000.000	431,120.00	453,143.50
HEWLETT PACKARD STEP CPN 6.35% DUE 10-15-2045 SEDOL: BDCT8F6	125,000.000	124,616.25	126,262.50
HOME DEPOT INC 3.5% DUE 09-15-2056 SEDOL: BD886H5	320,000.000	311,707.22	280,111.68
HONDA AUTO 1.04% DUE 02-21-2019 CUSIP: 43813NAC0	469,764.160	469,692.05	469,263.86
HOSPITALITY PPTYS 4.5% DUE 03-15-2025 SEDOL: BQSNVG5	290,000.000	286,462.00	282,676.92
HOSPITALITY PPTYS 4.5% DUE 06-15-2023 SEDOL: BBFL2Q4	35,000.000	34,960.45	34,895.00
HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035 SEDOL: BG48WF0	820,000.000	929,719.01	932,281.78

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
HSBC BK USA N A 7 DUE 01-15-2039 SEDOL: BG48WG1	105,000.000	116,128.95	138,743.75
HSBC BK USA 5.875 11-01-2034 SEDOL: BFN0JH1	180,000.000	209,995.20	209,561.58
HSBC USA INC NEW 2.35% DUE 03-05-2020 SEDOL: BYZ5LW6	325,000.000	321,830.45	322,458.50
HUMANA INC 4.625 DUE 12-01-2042 SEDOL: B8VGK80	310,000.000	308,551.80	297,415.55
HUMANA INC 4.95% DUE 10-01-2044 SEDOL: BQZJCM9	270,000.000	271,549.84	283,787.55
HUNTINGTON 2.3% DUE 01-14-2022 SEDOL: BD5ZTG9	915,000.000	914,204.25	887,444.78
IL TOOL WKS INC 2.65% DUE 11-15-2026 SEDOL: BDS6DT0	335,000.000	333,944.75	320,232.87
INGERSOLL-RAND 4.65% DUE 11-01-2044 SEDOL: BRZ2H86	130,000.000	134,033.90	132,630.42
INGERSOLL-RAND 5.75% DUE 06-15-2043 SEDOL: BN8T678	205,000.000	246,459.20	242,572.81
INTEL CORP 4.1% DUE 05-19-2046 SEDOL: BDDQWX2	310,000.000	308,251.60	307,264.87
INTEL CORP 4.9% 07-29-2045 CUSIP: 458140AT7	75,000.000	74,941.50	83,901.23
INTL PAPER CO 4.4% DUE 08-15-2047 SEDOL: BYYGTQ0	395,000.000	390,174.82	373,280.53
INTL PAPER CO 4.8% DUE 06-15-2044 SEDOL: BN70J06	30,000.000	31,726.20	29,797.53
INTL PAPER CO 6 DUE 11-15-2041 SEDOL: B6ZWB98	130,000.000	155,759.50	145,991.56
INTL PAPER CO 7.3% DUE 11-15-2039 SEDOL: B3MDYN8	190,000.000	249,413.80	242,101.42
INTST PWR & LT CO 3.7% DUE 09-15-2046 SEDOL: BD1LVH6	490,000.000	486,570.00	450,781.87
J P MORGAN CHASE & CO 2.295% 08-15-2021 SEDOL: BYZDKL2	1,775,000.000	1,781,868.21	1,742,116.35
JERSEY CENT PWR & 6.15% DUE 06-01-2037 SEDOL: B2B0FC9	210,000.000	259,896.00	240,299.22

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
JERSEY CENT PWR & 7.35% DUE 02-01-2019 SEDOL: B3L9ZX4	200,000.000	255,766.00	219,062.40
JOHN SEVIER COMB CYCLE 4.626 1-15-42 SEDOL: B7KGYQ0	365,787.290	409,893.93	384,514.87
JOHNS HOPKINS HLTH 3.837% DUE 05-15-2046 SEDOL: BZ8V957	125,000.000	125,000.00	118,533.50
JOHNSON CTLS INTL PLC SR NT STEP UP 07-02-2064 12-23-2016 SEDOL: BDG0907	90,000.000	89,720.18	83,930.40
JOHNSON CTLS INTL 3.9% DUE 02-14-2026 SEDOL: BDH2HM0	205,000.000	203,333.70	211,152.87
JOHNSON CTLS INTL 5.125% DUE 09-14-2045 SEDOL: BDH2HN1	195,000.000	195,115.33	207,469.08
JPMORGAN CHASE & CO 4.85 NOTES DUE 02-01-2044 SEDOL: BLSP4R8	285,000.000	336,714.00	317,136.32
JPMORGAN CHASE & CO 4.95 DUE 03-25-2020 REG SEDOL: BG5GXQ1	260,000.000	282,425.00	280,139.08
JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000 SEDOL: B7FRRL4	70,000.000	87,746.40	82,018.30
JPMORGAN CHASE & CO 5.5 DUE 10-15-2040 SEDOL: B9L4NM6	505,000.000	600,671.53	596,513.58
JPMORGAN CHASE & 2.55% DUE 03-01-2021 SEDOL: BD31B96	655,000.000	668,844.05	652,941.34
JPMORGAN CHASE & 2.75% DUE 06-23-2020 SEDOL: BYQ27Q2	65,000.000	66,862.90	65,587.86
JPMORGAN CHASE & 2.95% DUE 10-01-2026 SEDOL: BDHBPY5	1,395,000.000	1,388,073.89	1,331,510.76
JPMORGAN CHASE & 3.2% DUE 06-15-2026 SEDOL: BYZ2G99	1,880,000.000	1,871,959.64	1,840,055.64
JPMORGAN CHASE & 5.6% DUE 07-15-2041 SEDOL: B6QKFT9	55,000.000	65,078.74	65,768.95
JPMORGAN CHASE BK N A NY N Y FORME SUB BK NT 6 DUE 07-05-2017 BEO SEDOL: BR17VK5	600,000.000	705,606.00	613,575.60
JUNIPER NETWORKS INC 5.95 DUE 03-15-2041 SEDOL: BG48XP7	85,000.000	94,843.85	86,943.02
KELLOGG CO 4.5% DUE 04-01-2046 SEDOL: BD31BB8	190,000.000	188,105.70	185,672.56

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
KENTUCKY UTILS CO 3.3% DUE 10-01-2025 SEDOL: BYTX3H5	415,000.000	419,335.95	422,044.21
KEYBANK NATL ASSN 1.7% DUE 06-01-2018 SEDOL: BYV3CH6	685,000.000	684,773.20	684,615.03
KEYBANK NATL ASSN 2.5% DUE 11-22-2021 SEDOL: BYQ4F86	535,000.000	533,774.85	531,586.17
KEYBANK NATL ASSN 3.4% DUE 05-20-2026 SEDOL: BD96MR0	130,000.000	129,682.80	126,239.23
KIMCO RLTY CORP RT 4.25% DUE 04-01-2045 SEDOL: BWGCKR0	85,000.000	79,752.10	81,745.95
KIMCO RLTY CORP 2.7% DUE 03-01-2024 SEDOL: BZ14XY0	175,000.000	174,105.75	167,258.88
KIMCO RLTY CORP 2.8% DUE 10-01-2026 SEDOL: BZC0T38	270,000.000	267,278.55	251,034.39
KIMCO RLTY CORP 3.4% DUE 11-01-2022 SEDOL: BYWM3T1	275,000.000	273,127.25	279,063.95
KIMCO RLTY CORP 4.125% DUE 12-01-2046 SEDOL: BDS6CH1	280,000.000	278,107.43	261,400.72
KINDER MORGAN INC 5.3% DUE 12-01-2034 SEDOL: BSTL4S5	200,000.000	207,506.18	202,969.00
KINDER MORGAN 6.375% DUE 03-01-2041 SEDOL: B64Q0M7	140,000.000	159,878.60	151,867.52
KRAFT FOODS GROUP 6.125% DUE 08-23-2018 SEDOL: B84TKP3	455,000.000	556,023.65	485,019.54
KRAFT FOODS GROUP 6.5% DUE 02-09-2040 SEDOL: B87MC97	40,000.000	48,658.80	48,737.64
KRAFT FOODS GROUP 6.875% DUE 01-26-2039 SEDOL: B97MM05	290,000.000	377,722.90	364,342.37
KRAFT HEINZ FOODS 3% DUE 06-01-2026 CUSIP: 50077LAD8	245,000.000	243,926.90	230,015.07
KRAFT HEINZ FOODS 4.375% DUE 06-01-2046 CUSIP: 50077LAB2	565,000.000	566,963.28	531,653.14
KROGER CO 1.5% DUE 09-30-2019 SEDOL: BDCG028	245,000.000	244,879.95	241,276.98
KROGER CO 2.65% DUE 10-15-2026 SEDOL: BDCG0L7	375,000.000	372,637.40	348,396.38

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

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Corporate Debt Instruments - Other			
United States - USD			
KROGER CO 3.875% DUE 10-15-2046 SEDOL: BYVZCX8	685,000.000	673,411.75	623,604.14
LAB CORP AMER 3.2% DUE 02-01-2022 SEDOL: BVJDNT6	550,000.000	549,554.50	554,568.85
LEGG MASON INC 4.75% DUE 03-15-2026 SEDOL: BYSVN69	610,000.000	615,857.80	631,389.04
LEGG MASON INC 5.625 DUE 01-15-2044 REG SEDOL: BJ4H805	260,000.000	265,857.55	253,186.96
LIFE TECHNOLOGIES 5% DUE 01-15-2021 SEDOL: B4XBGR6	215,000.000	240,855.90	229,977.98
LINCOLN NATL CORP 6.15 DUE 04-07-2036 BEO SEDOL: B12B2J0	4,000.000	4,619.80	4,688.68
LOCKHEED MARTIN CORP NT SER B STEP UP 06-01-2040 SEDOL: B3TZ8G8	90,000.000	107,083.80	108,701.55
LOCKHEED MARTIN 3.55% DUE 01-15-2026 SEDOL: BDCRZH1	155,000.000	168,760.90	158,365.36
LOCKHEED MARTIN 3.6% DUE 03-01-2035 SEDOL: BVYVQ29	160,000.000	150,352.00	152,000.00
LOCKHEED MARTIN 3.8% DUE 03-01-2045 SEDOL: BVYPPC4	555,000.000	521,000.19	525,596.10
LOCKHEED MARTIN 4.07% DUE 12-15-2042 SEDOL: BBD6G92	330,000.000	329,796.05	325,727.16
LOCKHEED MARTIN 4.7% DUE 05-15-2046 SEDOL: BDB6944	275,000.000	307,243.60	299,124.65
LOWES COS INC 4.25% DUE 09-15-2044 SEDOL: BQQLW68	95,000.000	111,268.75	96,351.09
LOWES COS INC 4.65 DUE 04-15-2042 SEDOL: B7B2LT9	180,000.000	189,436.74	192,364.56
LOWES COS INC 5.8% DUE 04-15-2040 SEDOL: B5VT2F9	185,000.000	222,793.85	226,775.59
LYONDELLBASELL 5.75% DUE 04-15-2024 SEDOL: BLSP7R9	75,000.000	87,759.00	85,832.10
MA ELEC CO 5.9% DUE 11-15-2039 SEDOL: B59HGR5	405,000.000	505,099.80	488,954.48
MA INST TECH 3.885% DUE 07-01-2116 SEDOL: BZB26Q7	440,000.000	440,000.00	376,447.72

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MA INST TECH 4.678% DUE 07-01-2114 SEDOL: BM7SF38	245,000.000	245,000.00	246,626.31
MACYS RETAIL HLDGS 5.125% DUE 01-15-2042 SEDOL: B7KGR83	535,000.000	460,785.00	494,307.90
MAGELLAN MIDSTREAM 5.15% DUE 10-15-2043 SEDOL: BFGCNC7	150,000.000	130,818.00	155,902.95
MARATHON PETE CORP 5.85% DUE 12-15-2045 SEDOL: BZ02G68	210,000.000	209,468.70	208,738.95
MARATHON PETE CORP 5% DUE 09-15-2054 SEDOL: BQSC311	170,000.000	166,193.42	143,944.27
MARATHON PETE CORP 6.5% DUE 03-01-2041 SEDOL: B6W1ZC9	400,000.000	457,064.54	427,838.00
MARATHON PETROLEUM CORP 3.625% DUE 09-15-2024 SEDOL: BQQGYZ6	615,000.000	613,019.25	607,373.39
MARSH & MCLENNAN COS INC SR NT 3.5 DUE 06-03-2024 SEDOL: BYVDJ53	25,000.000	24,951.75	25,439.43
MARSH & MCLENNAN COS INC 5.875 8/1/33 SEDOL: 2928456	50,000.000	56,365.00	59,268.55
MASTERCARD INC 2.95% DUE 11-21-2026 SEDOL: BD6KVY5	285,000.000	284,484.15	281,812.56
MASTERCARD INC 3.8% DUE 11-21-2046 SEDOL: BD6KVZ6	315,000.000	309,733.20	308,762.06
MATTEL INC 2.35% DUE 08-15-2021 SEDOL: BYYGTS2	270,000.000	269,681.40	263,534.58
MATTEL INC 5.45 DUE 11-01-2041 SEDOL: B604531	185,000.000	193,158.50	185,671.37
MAXIM INTEGRATED PRODS INC 2.5 DUE 11-15-2018 REG SEDOL: BGLDK54	470,000.000	467,927.30	474,219.19
MAYO CLINIC 4.128% DUE 11-15-2052 SEDOL: BYQHVB0	190,000.000	190,000.00	186,810.28
MCDONALD'S CORP 3.625 DUE 05-01-2043 SEDOL: B831BL3	150,000.000	124,708.80	131,925.30
MCDONALDS CORP MEDIUM TERM NTS 3.7 DUE 02-15-2042 SEDOL: B6T0R90	555,000.000	474,331.65	494,551.62
MCDONALDS CORP 3.7% DUE 01-30-2026 SEDOL: BYWKW76	480,000.000	484,447.02	488,686.56

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MCDONALDS CORP 4.875% DUE 07-15-2040 SEDOL: B4T8994	140,000.000	141,723.40	147,940.10
MEAD CORP 7.55% DUE 03-01-2047 SEDOL: B2QY2V4	165,000.000	189,146.10	194,637.30
MEDTRONIC INC 4.5 DUE 03-15-2042 REG SEDOL: B4M8708	70,000.000	77,135.10	73,040.24
MEMORIAL SLOAN-KETTERING CANCER CTR TAXABLE BD SER 2012A 4.125 7-1-52 SEDOL: B90DSL8	360,000.000	349,272.00	347,628.24
MERCK & CO INC 4.15% DUE 05-18-2043 SEDOL: B9D69N3	170,000.000	186,304.70	174,619.92
MERRILL LYNCH & CO 7.75% DUE 05-14-2038 SEDOL: BBMT8T6	140,000.000	198,811.20	192,752.56
METLIFE INC FIXED 2.463% DUE 12-15-2017 SEDOL: BRF1CP5	440,000.000	441,513.03	441,135.20
METLIFE INC FLTG RT 10.75% DUE 08-01-2039 SEDOL: B3Q5R19	300,000.000	456,787.50	460,500.00
METLIFE INC SR NT 4.368% SER D STEP UP 09-15-2023 09-11-2015 SEDOL: BDD9HH0	555,000.000	594,345.30	597,235.50
METLIFE INC STEP CPN 4.721% DUE 12-15-2044 SEDOL: BRF1CN3	580,000.000	600,704.35	617,200.04
METLIFE INC 4.05% DUE 03-01-2045 SEDOL: BW9JZD9	125,000.000	121,501.15	119,868.63
METLIFE INC 4.125% DUE 08-13-2042 SEDOL: B815P82	90,000.000	86,552.74	88,574.94
METLIFE INC 4.875% DUE 11-13-2043 SEDOL: BGDXK74	80,000.000	81,733.35	86,612.80
METLIFE INC 5.875% DUE 02-06-2041 SEDOL: B4Z51H1	180,000.000	222,437.25	218,655.00
METLIFE INC 6.375% DUE 06-15-2034 SEDOL: B019VJ1	150,000.000	191,455.78	188,761.95
METLIFE INC 6.4% DUE 12-15-2036 SEDOL: B1L8RP3	270,000.000	280,701.53	291,600.00
MICROSOFT CORP 1.1% DUE 08-08-2019 SEDOL: BDCBCR2	925,000.000	923,671.00	912,561.53
MICROSOFT CORP 2.375 DUE 05-01-2023 CUSIP: 594918AT1	570,000.000	575,373.30	559,543.35

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MICROSOFT CORP 2.375% DUE 02-12-2022 SEDOL: BVWXT95	246,000.000	246,769.98	245,009.85
MICROSOFT CORP 3.7% DUE 08-08-2046 CUSIP: 594918BT0	1,655,000.000	1,675,826.58	1,558,124.58
MICROSOFT CORP 3.95% DUE 08-08-2056 CUSIP: 594918BU7	470,000.000	453,667.15	443,906.54
MICROSOFT CORP 4% DUE 02-12-2055 SEDOL: BVWXT51	1,395,000.000	1,327,628.86	1,319,364.50
MIDAMERICAN ENERGY 5.95% DUE 05-15-2037 SEDOL: B2374T8	685,000.000	841,938.76	839,140.07
MOLSON COORS 2.1% DUE 07-15-2021 SEDOL: BYT4Q57	975,000.000	977,761.80	949,564.20
MOLSON COORS 4.2% DUE 07-15-2046 SEDOL: BYT4Q46	295,000.000	304,128.13	275,035.88
MONSANTO CO NEW 1.85 DUE 11-15-2018 REG SEDOL: BGCC6S5	400,000.000	399,444.00	398,758.40
MONSANTO CO NEW 2.75% DUE 07-15-2021 SEDOL: BNNVP27	655,000.000	655,668.44	654,863.11
MONSANTO CO NEW 3.6 DUE 07-15-2042 SEDOL: B7XK7B5	290,000.000	267,262.55	243,384.53
MONSANTO CO NEW 4.7% DUE 07-15-2064 SEDOL: BP4W578	770,000.000	698,930.62	693,537.46
MORGAN STANLEY 2.625% DUE 11-17-2021 SEDOL: BZ3FFF6	2,855,000.000	2,840,953.09	2,820,631.51
MORGAN STANLEY 3.125% DUE 07-27-2026 SEDOL: BYV6G28	175,000.000	167,734.00	167,190.80
MORGAN STANLEY 6.375% DUE 07-24-2042 SEDOL: B98G6S2	620,000.000	831,328.30	796,803.54
N SHORE LONG IS 6.15% DUE 11-01-2043 SEDOL: BDT7XZ8	290,000.000	289,196.70	360,108.37
NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD 2.3% DUE 11-01-2020/10-27-2015 SEDOL: BYRPP99	600,000.000	602,782.65	596,413.80
NATL RURAL UTILS 10.375% DUE 11-01-2018 CUSIP: 637432LR4	745,000.000	1,103,166.20	859,154.12
NBCUNIVERSAL MEDIA LLC SR NT 2.875 01-15-2023 SEDOL: B86PMS6	770,000.000	775,214.30	768,192.81

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043 SEDOL: B7W15J3	110,000.000	108,780.71	112,405.37
NBCUNIVERSAL MEDIA 5.95% DUE 04-01-2041 SEDOL: B56D2T0	225,000.000	291,676.50	277,220.25
NBCUNIVERSAL MEDIA 6.4% DUE 04-30-2040 SEDOL: B555Z76	290,000.000	349,592.55	375,003.64
NEVADA PWR CO 5.375% DUE 09-15-2040 SEDOL: B64G771	105,000.000	117,465.60	120,227.73
NEW YORK & 4.763% DUE 08-01-2116 SEDOL: BD8PHR3	440,000.000	439,973.60	416,008.56
NEWELL BRANDS INC 3.85% DUE 04-01-2023 SEDOL: BD3J3K5	680,000.000	715,083.55	705,368.76
NEWELL BRANDS INC 5.375% DUE 04-01-2036 SEDOL: BD3J3G1	530,000.000	608,008.31	597,361.94
NEWELL BRANDS INC 5.5% DUE 04-01-2046 SEDOL: BD3J3M7	605,000.000	655,569.82	694,555.73
NEWMONT MNG CORP 6.25% DUE 10-01-2039 SEDOL: B4MFN56	290,000.000	282,421.35	319,045.24
NISSAN AUTO 1.05% DUE 10-15-2019 CUSIP: 65477UAC4	298,216.760	298,154.08	297,744.98
NORDSTROM INC 5% DUE 01-15-2044 SEDOL: BNFX1H8	560,000.000	572,659.24	551,809.44
NORFOLK SOUTHN 4.8 DUE 08-15-2043 SEDOL: BCZZSP4	200,000.000	223,710.00	217,703.00
NORTHN STS PWR CO 2.15 DUE 08-15-2022 SEDOL: B73TH78	160,000.000	154,264.00	156,410.72
NORTHN STS PWR CO 3.4 DUE 08-15-2042 SEDOL: B8KCXG8	150,000.000	130,996.07	137,636.10
NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045 SEDOL: BVVN4R9	170,000.000	172,102.90	161,265.74
NORTHROP GRUMMAN 3.25% DUE 08-01-2023 SEDOL: BB9ZST7	1,030,000.000	1,020,558.30	1,050,037.62
NORTHROP GRUMMAN 5.05% DUE 11-15-2040 SEDOL: B5WCY73	135,000.000	152,238.15	150,387.98
NORTHWESTERN UNIV 4.643% DUE 12-01-2044 SEDOL: BFWLB79	320,000.000	320,000.00	362,627.20

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
NORTHWESTN MUT 6.063% DUE 03-30-2040 SEDOL: B58P7W2	100,000.000	124,823.00	123,138.60
NOVANT HEALTH INC 4.371% DUE 11-01-2043 SEDOL: B9GVWC5	90,000.000	90,000.00	88,662.15
NYU HOSPS CTR 4.784% DUE 07-01-2044 SEDOL: BD3DYF9	145,000.000	154,587.40	151,187.01
NYU HOSPS CTR 5.75% DUE 07-01-2043 SEDOL: BCJSQG6	210,000.000	211,545.98	244,508.67
OCCIDENTAL PETE 4.1% DUE 02-15-2047 SEDOL: BZ1C623	120,000.000	119,731.20	116,884.92
OCHSNER CLINIC 5.897% DUE 05-15-2045 SEDOL: BYNMMF9	255,000.000	255,000.00	294,877.16
OGLETHORPE PWR 4.2% DUE 12-01-2042 SEDOL: B90ZDZ3	445,000.000	432,081.65	423,223.93
OHIO PWR CO 5.375% DUE 10-01-2021 SEDOL: B4KFW84	140,000.000	158,215.40	156,399.32
ONCOR ELEC 5.25% DUE 09-30-2040 SEDOL: B3LR312	170,000.000	191,243.20	197,138.80
ONCOR ELEC 5.3 DUE 06-01-2042 SEDOL: B7ZBFD4	155,000.000	174,164.20	181,804.46
ONCOR ELEC 7.5% DUE 09-01-2038 SEDOL: B551BB0	265,000.000	363,153.35	381,691.16
ONCOR ELEC 7% DUE 09-01-2022 SEDOL: 2364704	835,000.000	1,039,760.67	1,019,175.12
ONCOR ELECTRIC DELIVERY 4.55% 12-01-2041 SEDOL: B8B7B80	140,000.000	144,548.60	149,428.72
ORACLE CORP 4.5% DUE 07-08-2044 SEDOL: BNXJBK1	450,000.000	471,030.30	459,446.40
ORACLE CORP 4% DUE 07-15-2046 SEDOL: BY7RD02	850,000.000	837,574.00	813,021.60
PAC GAS & ELEC CO 4.45 DUE 04-15-2042 SEDOL: B80L9N4	230,000.000	236,016.95	241,566.93
PAC GAS & ELEC CO 5.4% DUE 01-15-2040 SEDOL: BBMT915	425,000.000	523,850.75	497,795.70
PAC GAS & ELEC CO 5.8% DUE 03-01-2037 SEDOL: B1V4L37	840,000.000	1,026,742.20	1,024,775.64

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PACIFIC GAS & ELEC CO SR NT 3.75 DUE 02-15-2024 REG SEDOL: BJZ7ZP8	265,000.000	264,737.65	276,029.57
PACIFICORP 5.5% DUE 01-15-2019 SEDOL: B3KTWG7	720,000.000	869,959.00	771,973.20
PACIFICORP 5.75% DUE 04-01-2037 SEDOL: B1V72T9	155,000.000	196,078.10	189,885.54
PACIFICORP 6.25% DUE 10-15-2037 SEDOL: B2853J0	30,000.000	41,868.30	38,860.59
PACIFICORP 6% DUE 01-15-2039 SEDOL: B3KTPK2	150,000.000	191,542.50	190,860.90
PECO ENERGY CO 4.8 DUE 10-15-2043 BEO SEDOL: BDZDWC0	175,000.000	174,357.75	195,428.10
PERRIGO FIN PLC 3.9% DUE 12-15-2024 SEDOL: BST7803	575,000.000	589,050.05	562,879.58
PERRIGO FIN PLC 4.9% DUE 12-15-2044 SEDOL: BST7814	475,000.000	465,494.59	436,294.63
PFIZER INC 1.7% 12-15-2019 SEDOL: BD5B0H5	495,000.000	494,643.60	494,533.22
PFIZER INC 4.125% DUE 12-15-2046 SEDOL: BZ3FFH8	265,000.000	264,538.90	269,574.43
PFIZER INC 4.4% DUE 05-15-2044 SEDOL: BMMB3K5	240,000.000	264,350.40	253,501.44
PFIZER INC 4% DUE 12-15-2036 SEDOL: BZ3FFJ0	320,000.000	318,460.80	327,855.68
PFIZER INC 5.6% DUE 09-15-2040 SEDOL: BZ4CYN7	90,000.000	112,896.00	108,607.86
PHILLIPS 66 4.65% DUE 11-15-2034 SEDOL: BSPCBQ9	60,000.000	61,870.22	62,455.14
PHILLIPS 66 4.875% DUE 11-15-2044 SEDOL: BSPCC33	225,000.000	236,736.00	237,614.63
PLAINS ALL AMERN PIPELINE L P / PAA FIN 5.15 DUE 06-01-2042 REG SEDOL: B6Y16K2	225,000.000	212,307.75	208,617.30
PLAINS ALL AMERN PIPELN L P/PAA 4.9% 02-15-2045 SEDOL: BT8RSL2	325,000.000	301,136.47	300,239.88
PLAINS ALL AMERN 3.6% DUE 11-01-2024 SEDOL: BQQCCH2	505,000.000	504,145.65	483,540.03

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PLAINS ALL AMERN 3.85% DUE 10-15-2023 SEDOL: BCZTL80	120,000.000	110,000.40	118,640.28
PLAINS ALL AMERN 4.7 DUE 06-15-2044 SEDOL: BLS1272	170,000.000	128,008.30	151,475.44
PLAINS ALL AMERN 6.65% DUE 01-15-2037 SEDOL: B23G0D1	180,000.000	166,656.60	196,465.50
PNC BK NA PITT PA 1.7% DUE 12-07-2018 SEDOL: BD2B614	1,035,000.000	1,034,151.30	1,033,126.65
POTOMAC ELEC PWR 4.15% DUE 03-15-2043 SEDOL: B95XX07	565,000.000	583,818.39	575,547.99
PPL CAP FDG INC 3.1% DUE 05-15-2026 SEDOL: BDDQWQ5	645,000.000	653,408.25	617,057.31
PPL CAP FDG INC 3.95% DUE 03-15-2024 SEDOL: BKKMXH3	270,000.000	281,216.00	279,424.89
PPL CAP FDG INC 5% DUE 03-15-2044 SEDOL: BKRCD76	205,000.000	218,195.85	216,789.76
PPL ELEC UTILS 4.125% DUE 06-15-2044 SEDOL: BN7DSM0	55,000.000	54,949.95	55,740.96
PPL ELEC UTILS 4.75 DUE 07-15-2043 SEDOL: BBWX6K5	100,000.000	114,709.00	111,500.90
PRESIDENT & 3.619% DUE 10-01-2037 SEDOL: B95W553	260,000.000	260,000.00	258,081.20
PRIN FINL GROUP 3.1% DUE 11-15-2026 SEDOL: BYN1J11	235,000.000	234,656.90	227,139.02
PRIN FINL GROUP 4.3% DUE 11-15-2046 SEDOL: BYN1J33	110,000.000	109,870.20	107,774.92
PRIVATE EXPT FDG CORP 3.55 01-15-2024 SEDOL: BHZLVL4	205,000.000	204,298.90	218,342.22
PROCTER & GAMBLE 9.36% DUE 01-01-2021 SEDOL: 2704537	1,081,753.200	1,384,644.10	1,251,074.62
PROGRESS ENERGY SR NT 7.05 DUE 03-15-2019 SEDOL: B65YB05	200,000.000	253,742.00	221,086.80
PROGRESS ENERGY 7% DUE 10-30-2031 SEDOL: 2812623	170,000.000	226,281.90	220,403.13
PROVID HLTH & SVCS 2.746% DUE 10-01-2026 SEDOL: BYYHKY8	255,000.000	255,000.00	241,395.50

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PROVID HLTH & SVCS 3.744% DUE 10-01-2047 SEDOL: BYYHL01	110,000.000	110,000.00	101,499.31
PRUDENTIAL FINL FIXED 5.375% DUE 05-15-2045 SEDOL: BWX43N6	150,000.000	150,000.00	153,375.00
PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00010 5.9 3-17-2036 REG SEDOL: B11Y063	75,000.000	87,016.50	88,694.93
PRUDENTIAL FINL INC MEDIUM TERM 5.8 DUE 11-16-2041 SEDOL: B6SSDS6	155,000.000	175,254.27	182,128.57
PSEG PWR LLC 8.625% DUE 04-15-2031 SEDOL: 2828374	205,000.000	298,576.35	250,261.75
PUB SVC ELEC GAS FIXED 4.05% DUE 05-01-2045 SEDOL: BXC5W52	200,000.000	199,004.00	200,266.80
PUB SVC ELEC GAS 3.8% DUE 03-01-2046 SEDOL: BYYD0H9	240,000.000	239,487.12	232,915.68
PUBLIC SERVICE CO OF COLORADO 2.25 DUE 09-15-2022 BEO SEDOL: B8889L5	200,000.000	196,082.00	196,826.80
PUBLIC SVC CO COLO 4.3% DUE 03-15-2044 SEDOL: BJZD6P3	140,000.000	143,551.80	146,319.18
PUBLIC SVC CO COLO 6.5% DUE 08-01-2038 SEDOL: B3CH1C8	325,000.000	451,256.00	429,121.23
PUBLIC SVC ELEC GAS CO SECD MEDIUM TERM NTS 2.3 09-15-2018 SEDOL: BDT7Z63	250,000.000	249,930.00	252,719.50
PUBLIC SVC ELEC GAS CO SECD MEDIUM TERM 1.9% DUE 03-15-2021 SEDOL: BYQ7314	435,000.000	434,312.70	427,243.08
PUGET SOUND ENERGY INC 5.76 DUE 07-15-2040 CUSIP: 745332CC8	225,000.000	285,117.75	275,554.35
PUGET SOUND ENERGY 4.3% DUE 05-20-2045 SEDOL: BD3J3P0	105,000.000	104,527.50	109,145.30
PUGET SOUND ENERGY 5.795% DUE 03-15-2040 SEDOL: B64Y3G6	115,000.000	154,160.95	140,309.43
PUGET SOUND ENERGY 6.724% DUE 06-15-2036 CUSIP: 745332BW5	180,000.000	236,961.00	235,469.34
PVTPL AMGEN INC SR NT 144A 4.663% DUE 06-15-2051/06-14-2016 BEO SEDOL: BY7QJ56	381,000.000	356,819.65	366,182.15
PVTPL CF INDS INC SR SECD NT 144A 4.5% DUE 12-01-2026 BEO SEDOL: BZ124W9	215,000.000	213,677.75	211,322.00

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PVTPL COX COMMUNICATIONS INC NEW NT 4.8% DUE 02-01-2035 BEO SEDOL: BTKHKS1	250,000.000	231,655.00	232,869.00
PVTPL ERAC USA FIN LLC GTD NT 144A 2.7% DUE 11-01-2023/10-18-2016 BEO SEDOL: BYM4Z91	200,000.000	199,630.00	192,133.20
PVTPL ERAC USA FINANCE LLC 4.2% 11-01-2046 SEDOL: BYM4ZC4	630,000.000	626,677.25	575,709.12
PVTPL FORTIVE CORP SR NT 144A 2.35% DUE 06-15-2021/06-20-2016 BEO SEDOL: BZBZ097	290,000.000	289,933.30	286,121.83
PVTPL FORTIVE CORP SR NT 144A 4.3% DUE 06-15-2046/06-20-2016 BEO SEDOL: BZBZ0D1	185,000.000	186,385.59	183,177.20
PVTPL HALLIBURTON CO DEB 144A 7.6% DUE 08-15-2096 BEO SEDOL: B2QP9M7	305,000.000	442,364.10	380,147.43
PVTPL HEINZ H J FIN CO GTD NT 144A 7.125% DUE 08-01-2039 BEO SEDOL: B3VJFD4	940,000.000	1,188,630.00	1,197,544.96
PVTPL KANSAS GAS & ELECTRIC COMPANY 1ST MTG BD 4.3 DUE 07-15-2044 CUSIP: 485260BM4	230,000.000	229,418.10	234,729.49
PVTPL KEYSPAN GAS EAST CORP CORPBOND 5.819% DUE 04-01-2041 BEO SEDOL: B5NKKP6	185,000.000	221,240.17	220,585.12
PVTPL LIBERTY MUT GROUP INC SR NT 6.5 DUE 05-01-2042 BEO SEDOL: B87FCF2	125,000.000	144,631.25	148,204.00
PVTPL MASS MUT LIFE INS CO SURP NT 144A 8.875 DUE 06-01-2039 BEO SEDOL: B4YX002	40,000.000	61,304.40	60,046.36
PVTPL MASS MUT LIFE INS CO 5.375 12-1-41 SEDOL: B7C6F10	60,000.000	68,076.00	66,164.10
PVTPL MONDELEZ INTL HLDGS NETH B V NT 144A 1.625 DUE 10-28-2019/10-28-2016 BEO CUSIP: 60920LAA2	680,000.000	678,531.20	666,598.56
PVTPL MONONGAHELA PWR 5.4 DUE 12-15-2043 BEO SEDOL: BGMTTQ5	300,000.000	306,469.42	350,646.90
PVTPL MONONGAHELA PWR CO 1ST MTG BD 144A4.1% DUE 04-15-2024/11-27-2013 BEO SEDOL: BGMTTP4	329,000.000	344,699.88	344,961.76
PVTPL MOTIVA ENTERPRISES LLC SR NT 144A 5.75% DUE 01-15-2020/01-11-2010 BEO SEDOL: B5NTVF0	810,000.000	916,434.00	875,312.73
PVTPL MOTIVA ENTERPRISES LLC SR NT 144A 6.85% DUE 01-15-2040/01-11-2010 BEO SEDOL: B5MMVT4	480,000.000	616,906.46	580,289.28
PVTPL NATIONWIDE MUT INS CO SURPLUS NT 144A 9.375% DUE 08-15-2039 REG SEDOL: B3YCMZ1	95,000.000	139,307.47	143,489.81

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PVTPL PRESIDENT & FELLOWS HARVARD CG TAXABLE BD 2008D 144A 6.5 DUE 01-15-2039 SEDOL: B3KGP47	160,000.000	215,915.40	227,118.08
PVTPL TEXAS EASTN TRANSMISSION LP SR NT 2.8 DUE 10-15-2022 SEDOL: B7V9MC0	100,000.000	98,525.20	97,335.70
PVTPL VERIZON OWNER TR 2016-1 AST BACKEDNT CL A 144A 1.42 DUE 01-20-2021BEO CUSIP: 92347XAA4	615,000.000	615,678.87	610,720.22
PVTPL VERIZON OWNER TR 2016-2 AST BACKEDCTF CL A 144A 1.68 DUE 05-20-2021BEO SEDOL: BYVMJH2	850,000.000	849,911.86	846,575.35
PVTPL WESTLAKE CHEM CORP SR NT 144A 3.6%DUE 08-15-2026/08-10-2016 BEO SEDOL: BYZDJQ0	625,000.000	623,470.05	601,143.75
REGENCY ENERGY 4.5 DUE 11-01-2023 SEDOL: BHB23D7	475,000.000	489,644.25	481,994.38
REGENCY ENERGY 5% DUE 10-01-2022 SEDOL: BPC1VJ1	360,000.000	376,832.32	381,492.00
REGIONS BK 2.25% DUE 09-14-2018 SEDOL: BYY91Y1	520,000.000	519,381.20	521,706.64
REGIONS FINL CORP 7.375% DUE 12-10-2037 SEDOL: B29VJL1	80,000.000	104,561.56	98,252.00
REPUBLIC N Y CORP 7.2% DUE 07-15-2097 SEDOL: B3D5P18	125,000.000	157,485.00	166,964.63
REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035 SEDOL: BZ0W055	235,000.000	252,826.87	269,930.17
REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045 SEDOL: BZ0HGQ3	625,000.000	762,654.24	740,078.75
REYNOLDS AMERN INC 6.15% DUE 09-15-2043 SEDOL: BDWGXG3	320,000.000	399,518.20	386,119.36
RWJ BARNABAS HLTH 3.949% DUE 07-01-2046 CUSIP: 78349AAB9	225,000.000	225,000.00	207,234.45
S CAROLINA ELEC & 4.1% DUE 06-15-2046 SEDOL: BZB1G20	180,000.000	179,629.20	178,168.86
S CAROLINA ELEC & 4.5% DUE 06-01-2064 SEDOL: BMQXLY7	120,000.000	118,725.60	118,294.68
S CAROLINA ELEC & 4.6% DUE 06-15-2043 SEDOL: BBHV6D7	450,000.000	460,552.30	472,976.10
S CAROLINA ELEC & 5.1% DUE 06-01-2065 SEDOL: BY58YK4	255,000.000	252,942.15	279,589.40

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SAN DIEGO GAS & 1.914% DUE 02-01-2022 SEDOL: BWBVVS0	326,072.140	326,072.14	322,065.37
SHERWIN WILLIAMS CO 4 DUE 12-15-2042 SEDOL: B93L8Z3	90,000.000	81,125.10	81,836.64
SHERWIN WILLIAMS 4.55% DUE 08-01-2045 SEDOL: BYY8XV9	195,000.000	197,675.68	193,541.01
SHIRE ACQUISITIONS 2.4% DUE 09-23-2021 SEDOL: BD1XH63	690,000.000	690,898.80	666,531.03
SHIRE ACQUISITIONS 2.875% DUE 09-23-2023 SEDOL: BD1XH74	245,000.000	244,370.35	232,859.52
SHIRE ACQUISITIONS 3.2% DUE 09-23-2026 SEDOL: BD1XH85	880,000.000	879,374.21	822,214.80
SIMON PPTY GROUP L 3.25% DUE 11-30-2026 SEDOL: BDBGH17	640,000.000	638,316.80	628,065.93
SOUTHERN CALIF GAS CO 4.45 DUE 03-15-2044 REG SEDOL: BKR3G25	155,000.000	170,464.35	164,465.85
SOUTHERN CO 2.95% DUE 07-01-2023 SEDOL: BYT14W1	660,000.000	662,810.55	651,479.40
SOUTHERN CO 4.25% DUE 07-01-2036 SEDOL: BYT1517	480,000.000	493,929.24	477,349.44
SOUTHERN CO 4.4% DUE 07-01-2046 SEDOL: BYT1540	520,000.000	514,216.40	514,339.80
SOUTHERN PWR CO 4.95% DUE 12-15-2046 SEDOL: BZ124X0	275,000.000	271,045.50	267,970.45
SOUTHERN PWR CO 5.25% DUE 07-15-2043 SEDOL: BCB8385	265,000.000	278,018.71	266,771.26
SOUTHN BAPTIST 4.857% DUE 07-15-2045 SEDOL: BYY5G55	240,000.000	240,000.00	259,340.40
SOUTHN CAL EDISON 1.125% DUE 05-01-2017 SEDOL: BMJJMZ0	700,000.000	699,776.00	700,091.70
SOUTHN CAL EDISON 1.845% DUE 02-01-2022 CUSIP: 842400GF4	1,017,500.000	1,017,500.00	1,000,939.17
SOUTHN CAL EDISON 4.05% DUE 03-15-2042 SEDOL: B7RDY97	195,000.000	187,395.00	196,549.86
SOUTHN CAL EDISON 5.5% DUE 03-15-2040 SEDOL: B65ZDS0	145,000.000	174,526.35	174,396.58

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SOUTHN CAL EDISON 6.05% DUE 03-15-2039 SEDOL: B65HYN8	585,000.000	765,543.50	749,534.76
SOUTHN CAL GAS CO 2.6% DUE 06-15-2026 SEDOL: BDC5L55	145,000.000	144,718.70	139,205.51
SOUTHN CAL GAS CO 5.125% DUE 11-15-2040 SEDOL: B67TMY9	135,000.000	168,897.15	155,202.08
SOUTHN CO GAS CAP 3.95% DUE 10-01-2046 SEDOL: BD30007	170,000.000	169,699.10	157,303.89
SOUTHWESTN ELEC 3.9% DUE 04-01-2045 SEDOL: BWGCKS1	190,000.000	188,305.20	176,974.55
SPECTRA ENERGY 4.5% DUE 03-15-2045 SEDOL: BWC4XS2	185,000.000	186,226.55	175,907.44
ST JUDE MED INC 4.75% DUE 04-15-2043 SEDOL: B9J49Y2	170,000.000	165,233.20	166,396.17
ST PAUL TRAVELERS COS INC FORMERLY ST P ST PAUL TRAVELERS 6.75 06-20-2036 BEO SEDOL: B17M7Y8	130,000.000	169,885.30	173,721.60
STARBUCKS CORP 4.3% DUE 06-15-2045 SEDOL: BYYG2D8	135,000.000	145,332.90	141,769.04
STATE STR CORP JR SUB DEB FTG RATE DUE 06-15-2037/12-21-2016 REG SEDOL: BYX7CB0	90,000.000	73,012.50	79,537.50
STIFEL FINL CORP 3.5% DUE 12-01-2020 SEDOL: BZ9N888	255,000.000	254,015.70	255,708.90
SUNOCO LOGISTICS 3.9% DUE 07-15-2026 SEDOL: BDGTNQ8	120,000.000	119,901.40	116,152.68
SUNOCO LOGISTICS 4.25% DUE 04-01-2024 SEDOL: BL9FK91	560,000.000	583,268.00	564,327.68
SUNOCO LOGISTICS 4.95% DUE 01-15-2043 SEDOL: B8BHLQ8	525,000.000	468,964.75	480,380.78
SUNOCO LOGISTICS 5.3% DUE 04-01-2044 SEDOL: BL9FKB3	90,000.000	90,262.80	86,894.19
SUNOCO LOGISTICS 5.35% DUE 05-15-2045 SEDOL: BSN4Q84	260,000.000	250,486.83	251,071.34
SUNTRUST BANK 3.3% DUE 04-15-2026 BEO SEDOL: BDGLCV2	295,000.000	293,507.30	284,732.53
SUNTRUST BKS INC 2.7% DUE 01-27-2022 SEDOL: BYWFW26	115,000.000	114,852.80	115,048.88

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SYNCHRONY FINL 3.7% DUE 08-04-2026 SEDOL: BDCBFF1	445,000.000	442,905.35	427,765.60
SYSCO CORP 2.5% DUE 07-15-2021 SEDOL: BYQ4R24	355,000.000	361,500.05	351,211.44
SYSCO CORP 3.3% DUE 07-15-2026 SEDOL: BYQ4QL6	485,000.000	492,473.85	475,945.54
SYSCO CORP 4.5% DUE 04-01-2046 SEDOL: BYQ4R13	145,000.000	147,866.65	146,187.84
SYSCO CORP 4.85% DUE 10-01-2045 SEDOL: BZ974R1	505,000.000	525,438.24	535,660.07
TARGET CORP 3.625% DUE 04-15-2046 SEDOL: BD073D5	510,000.000	493,686.45	473,896.59
TCI COMMUNICATIONS 7.875% DUE 02-15-2026 SEDOL: 2877235	245,000.000	342,873.17	328,855.66
TEACHERS INS & 6.85% DUE 12-16-2039 SEDOL: B50WZ61	260,000.000	348,183.49	337,408.24
TEXAS HEALTH RES 4.33% DUE 11-15-2055 SEDOL: BX90B31	130,000.000	130,000.00	129,973.61
TIME WARNER CABLE 5.5% DUE 09-01-2041 SEDOL: B441BS1	470,000.000	460,339.84	477,807.17
TIME WARNER CABLE 5.875% DUE 11-15-2040 SEDOL: B66BW80	650,000.000	744,175.24	693,189.25
TIME WARNER CABLE 6.55% DUE 05-01-2037 SEDOL: BBNBG20	560,000.000	614,343.80	633,949.12
TIME WARNER CABLE 6.75% DUE 06-15-2039 SEDOL: B62X8Y8	235,000.000	241,427.83	273,206.54
TIME WARNER CABLE 6.75% DUE 07-01-2018 SEDOL: B83TSX8	390,000.000	449,311.20	416,371.80
TIME WARNER INC 6.1 07-15-2040 SEDOL: B3WWGW8	345,000.000	393,644.28	393,828.20
TIME WARNER INC 6.2% DUE 03-15-2040 SEDOL: BG494X5	390,000.000	457,204.62	450,192.21
TN GAS PIPELN CO 8.375 DUE06-15-2032 BEO SEDOL: 2978194	125,000.000	158,650.00	155,782.00
TOYOTA MTR CR CORP 1.2% DUE 04-06-2018 SEDOL: BYZ1LW4	720,000.000	719,827.20	716,801.04

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
TRANSCONTINENTAL GAS PIPE LINE CO LLC 4.45 DUE 08-01-2042 REG SEDOL: B96NB24	90,000.000	90,216.90	82,191.87
TRAVELERS COS INC 3.75% DUE 05-15-2046 SEDOL: BD8BSC3	110,000.000	109,774.44	103,796.44
TRAVELERS COS INC 4.3% 08-25-2045 SEDOL: BYXMWX7	70,000.000	72,097.90	72,509.92
TRAVELERS COS INC 5.35% DUE 11-01-2040 SEDOL: B5MHZ97	120,000.000	142,874.06	141,353.76
TRAVELERS COS INC 6.25% DUE 06-15-2037 SEDOL: B8YTZQ9	150,000.000	188,929.60	192,753.15
TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026 SEDOL: BYYWJ13	1,150,000.000	1,204,619.43	1,450,110.90
TRINITY ACQSTN PLC 4.4% DUE 03-15-2026 CUSIP: 89641UAC5	260,000.000	258,902.80	263,186.04
TRINITY ACQSTN PLC 6.125% DUE 08-15-2043 SEDOL: BCZTLB3	330,000.000	367,234.15	352,775.28
TRINITY HLTH CORP 4.125% DUE 12-01-2045 SEDOL: BVW5515	235,000.000	234,767.35	228,133.77
TUFTS UNIV TAXABLE BD SER 2012A 5.017 DUE 04-15-2112 SEDOL: B7ZWP15	80,000.000	84,466.40	83,508.80
U S BK NATL ASSN CINCINNATI 2.8% DUE 01-27-2025 SEDOL: BV9FRX4	470,000.000	467,024.90	458,654.67
UN BK N A SAN FRAN 2.625% DUE 09-26-2018 SEDOL: BF2DHX3	605,000.000	615,557.25	611,612.65
UNION BANK 2.25 DUE 05-06-2019 REG SEDOL: BMBMJL2	65,000.000	65,349.05	65,102.31
UNION ELEC CO 3.9 DUE 09-15-2042 SEDOL: B759FZ0	540,000.000	523,089.67	532,008.54
UNION ELECTRIC COMPANY 3.65% DUE 04-15-2045 SEDOL: BYV3C43	50,000.000	48,620.45	47,274.55
UNION PAC CORP 4.375% DUE 11-15-2065 SEDOL: BYQGY30	475,000.000	469,360.86	472,378.95
UNITEDHEALTH GROUP 3.45% DUE 01-15-2027 SEDOL: BZ0X5S6	430,000.000	429,552.80	436,806.90
UNITEDHEALTH GROUP 4.2% DUE 01-15-2047 SEDOL: BZ0X5W0	260,000.000	258,057.80	262,989.22

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
UTD TECHNOLOGIES 3.75% DUE 11-01-2046 SEDOL: BZ1JMC6	295,000.000	277,869.15	280,747.67
UTD TECHNOLOGIES 4.5% DUE 06-01-2042 SEDOL: B8J6DZ0	160,000.000	166,440.76	171,264.64
VA ELEC & PWR CO SR NT 2008 SER B 8.875 DUE 11-15-2038 SEDOL: B3FD1W3	925,000.000	1,548,966.18	1,472,453.85
VALERO ENERGY CORP 3.4% DUE 09-15-2026 SEDOL: BD5M8B8	255,000.000	251,554.95	244,293.57
VALERO ENERGY CORP 4.9% DUE 03-15-2045 SEDOL: BWBY7W5	470,000.000	444,988.09	468,375.21
VALERO ENERGY CORP 7.5% DUE 04-15-2032 SEDOL: 2369408	355,000.000	434,416.49	435,949.59
VALERO ENERGY 4.375% DUE 12-15-2026 SEDOL: BD4G3C7	240,000.000	239,901.60	242,150.64
VALSPAR CORP 3.95% DUE 01-15-2026 SEDOL: BYY9YM0	340,000.000	354,572.75	339,899.36
VERIZON COMMUNICATIONS 4.522% 09-15-2048 SEDOL: BYRLSF4	210,000.000	198,103.50	201,371.52
VERIZON COMMUNICATIONS 5.15 BDS DUE 09-15-2023 USD2000 REG SEDOL: BF320C9	1,840,000.000	2,038,350.89	2,034,567.12
VERIZON COMMUNICATIONS 6 DUE 04-01-2041 SEDOL: B90QPZ0	465,000.000	507,309.16	534,088.77
VERIZON GLOBAL FDG 5.85% DUE 09-15-2035 SEDOL: B84RH34	260,000.000	291,871.84	296,936.90
VERIZON 4.272% DUE 01-15-2036 SEDOL: BYRLRK2	435,000.000	410,869.16	416,349.81
VERIZON 4.672% DUE 03-15-2055 SEDOL: BYM0W21	1,460,000.000	1,383,927.89	1,371,100.60
VERIZON 5.012% DUE 08-21-2054 SEDOL: BVYRK47	2,605,000.000	2,550,894.68	2,591,355.01
VERIZON 6.25% DUE 04-01-2037 SEDOL: B1VVZP8	550,000.000	654,869.50	652,080.00
VERIZON 7.35% DUE 04-01-2039 SEDOL: BG496F1	375,000.000	508,845.00	483,897.75
VERIZON 8.95% DUE 03-01-2039 SEDOL: B3FBWF7	360,000.000	559,260.80	539,971.20

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
VIACOM INC NEW 4.85% DUE 12-15-2034 CUSIP: 92553PAZ5	220,000.000	188,491.60	196,123.84
VIRGINIA ELEC & PWR CO 4.65 DUE 08-15-2043 SEDOL: BD1NQR7	110,000.000	118,070.70	119,413.58
VODAFONE GROUP PLC 6.25% DUE 11-30-2032 SEDOL: 3235605	145,000.000	156,253.45	166,399.97
WACHOVIA CORP NEW 5.5% DUE 08-01-2035 SEDOL: BG496M8	95,000.000	108,530.85	105,188.75
WALGREENS BOOTS FIXED 4.8% DUE 11-18-2044 CUSIP: 931427AC2	110,000.000	111,446.50	113,058.99
WALGREENS BOOTS 3.45% DUE 06-01-2026 SEDOL: BYVG9S5	360,000.000	359,092.80	353,376.36
WALGREENS BOOTS 4.65% DUE 06-01-2046 SEDOL: BYVG9R4	245,000.000	241,895.85	248,619.14
WEINGARTEN RLTY 3.25% DUE 08-15-2026 SEDOL: BD378W6	200,000.000	198,310.00	188,676.40
WELLPOINT INC 3.3% DUE 01-15-2023 SEDOL: BLSP694	1,005,000.000	1,000,320.15	1,003,304.57
WELLPOINT INC 5.95% DUE 12-15-2034 SEDOL: B08X3G7	170,000.000	182,537.50	194,135.58
WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044 SEDOL: BS9FPF1	470,000.000	493,218.55	462,588.57
WELLS FARGO & CO 2.1% DUE 07-26-2021 SEDOL: BYYKPB9	220,000.000	219,632.50	214,072.10
WELLS FARGO & CO 2.5% DUE 03-04-2021 SEDOL: BYT2BY5	1,515,000.000	1,538,693.85	1,503,773.85
WELLS FARGO & CO 4.4% DUE 06-14-2046 SEDOL: BD39X50	625,000.000	620,998.98	598,158.75
WELLS FARGO & CO 4.75% DUE 12-07-2046 SEDOL: BDSFRX9	480,000.000	479,217.60	487,130.88
WELLS FARGO & CO 4.9% DUE 11-17-2045 SEDOL: BYQ29R7	765,000.000	770,882.82	785,747.57
WELLS FARGO BK N A 2.15% DUE 12-06-2019 SEDOL: BDSFRZ1	480,000.000	479,337.60	479,702.40
WELLS FARGO CAP X 5.95% DUE 12-01-2086 SEDOL: B1JNGS6	80,000.000	88,800.00	83,200.00

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
WELLTOWER INC 4.25% DUE 04-01-2026 SEDOL: BD6R618	550,000.000	591,702.50	570,122.85
WESLEYAN UNIV CONN 4.781% DUE 07-01-2116 CUSIP: 95101VAA7	175,000.000	175,000.00	166,017.95
WESTLAKE CHEM CORP 5% DUE 08-15-2046 SEDOL: BYZDJP9	545,000.000	531,189.70	538,325.39
WESTVACO CORP DEL 7.95% DUE 02-15-2031 SEDOL: 2721699	140,000.000	172,841.20	184,242.52
WI ENERGY CORP 2.45% DUE 06-15-2020 SEDOL: BYZWY17	820,000.000	818,499.40	820,533.00
WI PUB SVC CORP 3.671% DUE 12-01-2042 SEDOL: B90MCR9	140,000.000	136,301.20	132,252.26
WILLIAM MARSH RICE 3.774% DUE 05-15-2055 SEDOL: BWX1XY8	240,000.000	240,000.00	227,087.04
WILLIAMS PARTNERS 3.35 DUE 08-15-2022 SEDOL: B6ZZMP0	420,000.000	379,569.15	413,449.26
WILLIAMS PARTNERS 5.4% DUE 03-04-2044 SEDOL: BKGRXP0	535,000.000	425,514.85	518,490.44
WILLIAMS PARTNERS 6.3% DUE 04-15-2040 SEDOL: B3RZKK8	170,000.000	190,760.40	181,456.47
ZIMMER HLDGS INC 4.25% DUE 08-15-2035 SEDOL: BWC5244	32,000.000	29,765.44	29,839.97
1ST HORIZON NATL 3.5% DUE 12-15-2020 SEDOL: BYQGY07	285,000.000	284,310.30	287,595.78
21ST CENTY FOX AMER INC 3.7% DUE 09-15-2024 REG SEDOL: BV8NP80	195,000.000	194,998.05	197,448.03
21ST CENTY FOX AMER INC 5.4 NOTES DUE 10-01-2043 REG SEDOL: BJBQ4X0	160,000.000	173,987.20	172,287.84
21ST CENTY FOX 3% DUE 09-15-2022 SEDOL: BVYTWX6	645,000.000	663,228.70	641,968.50
21ST CENTY FOX 6.15% DUE 02-15-2041 SEDOL: BVGH573	150,000.000	173,371.92	177,415.20
21ST CENTY FOX 6.15% DUE 03-01-2037 SEDOL: BWXSBR8	175,000.000	199,660.13	204,796.20
21ST CENTY FOX 6.4% DUE 12-15-2035 SEDOL: BWWYN04	405,000.000	491,476.19	483,832.44

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
21ST CENTY FOX 6.65% DUE 11-15-2037 SEDOL: BWV6C71	875,000.000	1,053,811.75	1,076,735.63
21ST CENTY FOX 7.75% DUE 12-01-2045 SEDOL: BWXSBT0	135,000.000	200,700.45	187,209.77
21ST CENTY FOX 7.85% DUE 03-01-2039 SEDOL: BWXSBS9	330,000.000	452,925.00	443,299.89
5TH 3RD BK CIN OH 3.85% DUE 03-15-2026 SEDOL: BYSXRC9	135,000.000	134,866.35	135,853.61
Total United States - USD		**283,168,468.37**	**279,884,643.91**
Total Corporate Debt Instruments - Other		**321,849,886.80**	**318,125,154.76**
Value of Interest in Common/Collective Trusts			
United States - USD			
CF METLIFE SEP ACCT #377 CORE LAGB BR 107 FD CUSIP: 15499VD20	11,923.790	2,190,501.78	2,109,537.85
COLTV SHORT TERM INVT FD CUSIP: 195998AI0	1,415,604.360	1,415,604.36	1,415,604.36
Total United States - USD		**3,606,106.14**	**3,525,142.21**
Total Value of Interest in Common/Collective Trusts		**3,606,106.14**	**3,525,142.21**
Value of Funds Held in Insurance Company General Accounts			
United States - USD			
GAC METLIFE CONT.#163 RT. N/A MAT N/A CUSIP: 999501AX7	8,547.960	8,547.96	8,547.96
Total United States - USD		**8,547.96**	**8,547.96**
Total Value of Funds Held in Insurance Company Gener		**8,547.96**	**8,547.96**

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Canada - USD			
B.C PROV CDA 7.25 BD DUE 09-01-2036 BEO SEDOL: 2108258	150,000.000	212,512.50	223,965.00
HYDRO-QUE 1.375 DUE 06-19-2017 BEO CUSIP: 4488148U0	500,000.000	507,475.00	500,456.00
HYDRO-QUEBEC 8.5% DEB 1/12/2029 USD1000 8.5% DUE 12-01-2029 REG SEDOL: 2742537	440,000.000	709,029.20	638,343.64
ONTARIO PROV CDA BD 4.4% DUE 04-14-2020 REG CUSIP: 6832348K7	670,000.000	737,214.40	722,028.18
ONTARIO(PROV OF) ONTARIO PROVINCE 1.875%DUE 05-21-2020 CUSIP: 68323ADH4	130,000.000	129,944.10	129,575.03
QUEBEC PROV CDA PROVINCE DE QUEBEC GLOBAL NOTE 7.5% DUE 09-15-2029 BEO CUSIP: 748148QR7	585,000.000	875,113.20	819,702.59
Total Canada - USD		**3,171,288.40**	**3,034,070.44**
Chile - USD			
CHILE REP NT 3.625% DUE 10-30-2042 REG CUSIP: 168863BP2	130,000.000	115,700.00	120,952.00
Total Chile - USD		**115,700.00**	**120,952.00**
Colombia - USD			
COLOMBIA REP BD 7.375% DUE 09-18-2037 REG CUSIP: 195325BK0	345,000.000	412,357.50	419,175.00
COLOMBIA REP 5% DUE 06-15-2045 REG CUSIP: 195325CU7	90,000.000	83,655.00	85,387.50
COLOMBIA(REP OF) GLOBAL BD 6.125% DUE 01-18-2041/10-20-2009 REG CUSIP: 195325BM6	475,000.000	549,151.06	513,000.00
REPUBLIC OF COLOMBIA 5.625 02-26-2044 REG CUSIP: 195325BR5	225,000.000	243,495.00	231,750.00
Total Colombia - USD		**1,288,658.56**	**1,249,312.50**
Hungary - USD			
HUNGARY REP NT 7.625% DUE 03-29-2041 REG SEDOL: B7KFVM2	90,000.000	135,630.00	125,752.50
Total Hungary - USD		**135,630.00**	**125,752.50**

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Mexico - USD			
PEMEX PROJ FDG MASTER TR GTD BD 6.625% DUE 06-15-2035 REG SEDOL: B7GPR51	35,000.000	37,166.22	34,475.00
PETROLEOS MEXICANOS 144A 6.5% DUE 03-13-2027 SEDOL: BYZNB42	2,160,000.000	2,161,587.80	2,228,040.00
PETROLEOS MEXICANOS 6.375% 01-23-2045 CUSIP: 71654QBR2	785,000.000	781,125.11	714,350.00
PVTPL PETROLEOS MEXICANOS MEDIUM TERM NTS BOOK 6.75% DUE 09-21-2047 REG SEDOL: BDHDV76	1,124,000.000	1,126,358.01	1,061,955.20
PVTPL PETROLEOS MEXICANOS 144A 6.875% DUE 08-04-2026 REG SEDOL: BYPZ911	400,000.000	414,800.00	422,000.00
UNITED MEXICAN STS 4.6% DUE 01-23-2046 CUSIP: 91086QBF4	845,000.000	831,522.05	758,387.50
UNITED MEXICAN STS MEDIUM TERM BOOK 4.125% 01-21-2026 CUSIP: 91086QBG2	295,000.000	321,051.45	292,640.00
UTD MEXICAN STS TRANCHE # TR 00026 4.75 DUE 03-08-2044 CUSIP: 91086QBB3	1,535,000.000	1,549,349.99	1,395,775.50
UTD MEXICAN STS UTD MEXICAN STATES4.35 01-15-2047 REG 4.35 DUE 01-15-2047 REG CUSIP: 91087BAB6	1,180,000.000	1,131,623.84	1,011,850.00
Total Mexico - USD		**8,354,584.47**	**7,919,473.20**
Multi-National Agencies Region - USD			
ASIAN DEV BK BD ISIN US045167AY95 5.593%DUE 07-16-2018 BEO CUSIP: 045167AY9	55,000.000	67,124.20	58,225.37
ASIAN DEV BK BD 5.82% DUE 06-16-2028 REG SEDOL: 2274944	415,000.000	538,885.80	516,995.80
INTER-AMERN DEVEL BK BD 4.375 DUE 01-24-2044 BEO CUSIP: 4581X0CE6	105,000.000	103,995.15	120,912.54
Total Multi-National Agencies Region - USD		**710,005.15**	**696,133.71**
Panama - USD			
PANAMA REP GLOBAL BD 3.875% DUE 03-17-2028/03-17-2016 REG CUSIP: 698299BF0	230,000.000	227,734.50	224,825.00
PANAMA REP PANAMA GLOBAL 2029 ISIN US698299AK07 9.375% DUE 04-01-2029 BEO CUSIP: 698299AK0	640,000.000	956,785.76	902,400.00
Total Panama - USD		**1,184,520.26**	**1,127,225.00**

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Peru - USD			
PERU REP GLOBAL BD 8.75% DUE 11-21-2033 REG SEDOL: B54LGY9	510,000.000	791,887.50	744,600.00
Total Peru - USD		**791,887.50**	**744,600.00**
Philippines - USD			
PHILIPPINES REP GLOBAL BD 3.95% DUE 01-20-2040 CUSIP: 718286BZ9	145,000.000	147,130.61	142,754.10
PHILIPPINES REP GLOBAL BD 6.375% DUE 10-23-2034 REG CUSIP: 718286BG1	450,000.000	647,933.48	585,323.10
PHILIPPINES REP GLOBAL BD 9.5 DUE 02-02-2030 SEDOL: B83R9M8	135,000.000	214,910.48	212,719.64
PHILIPPINES REP NT 3.7% DUE 03-01-2041 REG CUSIP: 718286CA3	580,000.000	589,034.92	555,392.34
PHILIPPINES(REPUBLIC OF) GLOBAL BD 7.75%DUE 01-14-2031 REG CUSIP: 718286BB2	450,000.000	654,276.19	632,579.40
Total Philippines - USD		**2,253,285.68**	**2,128,768.58**
South Africa - USD			
NT 4.3% DUE 10-12-2028 REG CUSIP: 836205AU8	155,000.000	148,412.50	143,762.50
Total South Africa - USD		**148,412.50**	**143,762.50**
United States - USD			
AL ECON SETTLEMENT AUTH BP SETT TAXABLE-SER B 4.263 DUE 09-15-2032 REG CUSIP: 01026CAD3	185,000.000	185,000.00	190,139.30
BAY AREA TOLL AUTH CALIF TOLL BRDG REV 6.907% 10-01-2050 BEO TAXABLE SEDOL: B574M81	420,000.000	578,803.00	589,554.00
CALIFORNIA ST TAXABLE-BUILD AMER BDS 7.35% DUE 11-01-2039 REG TAXABLE SEDOL: B5BGSJ6	927,000.000	1,261,457.76	1,312,316.82
CALIFORNIA ST 7.5% 04-01-2034 BEO TAXABLE SEDOL: B41WH12	380,000.000	526,344.46	534,530.80
CALIFORNIA ST 7.6% 11-01-2040 BEO TAXABLE SEDOL: B3Y5CW7	352,000.000	509,156.68	529,710.72
CALIFORNIA ST 7.95 03-01-2036 BEO TAXABLE SEDOL: B58KMP5	195,000.000	226,929.30	227,309.55

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
CHICAGO ILL 5.432% 01-01-2042 BEO TAXABLE SEDOL: BYYWTX5	185,000.000	169,342.25	149,239.50
CHICAGO ILL 7.375% 01-01-2033 BEO TAXABLE SEDOL: BYT3JT9	110,000.000	120,541.30	111,096.70
COBB-MARIETTA GA COLISEUM & EXHIBIT HALLAUTH REV 4.5% 01-01-2047 BEO TAXABLE CUSIP: 190760HT8	250,000.000	249,556.90	256,990.00
COMMONWEALTH FING AUTH PA REV 4.144% 06-01-2038 BEO TAXABLE SEDOL: BZBWSF0	360,000.000	360,000.00	346,190.40
CURATORS UNIV MO SYS FACS REV 5.792% 11-01-41 BEO TAXABLE SEDOL: B54BC18	165,000.000	211,225.81	213,762.45
DALLAS CNTY TEX HOSP DIST 5.621% 08-15-2044 BEO TAXABLE SEDOL: B3ZT723	265,000.000	330,134.39	318,906.30
DALLAS TEX AREA RAPID TRAN SALES TAX REV5.999% 12-01-2044 BEO TAXABLE SEDOL: B5ZNLZ0	440,000.000	583,538.86	573,988.80
DALLAS TEX INDPT SCH DIST TAXABLE-SCH BLDG-C-BUILD AMER 6.45 DUE 2-15-2035 CUSIP: 235308RA3	395,000.000	487,605.70	454,107.80
DENVER COLO PUB SCHS CTFS PARTN 7.017% 12-15-2037 BEO TAXABLE CUSIP: 249218AK4	370,000.000	489,511.90	490,838.30
DISTRICT COLUMBIA INCOME TAX REV 5.582% 12-01-2035 BEO TAXABLE SEDOL: B4QBBJ0	265,000.000	324,074.35	324,754.85
DISTRICT COLUMBIA WTR & SWR AUTH PUB UTITAXABLE-SR LIEN-SER A 4.814 10-01-2114 CUSIP: 254845JZ4	200,000.000	199,791.61	199,742.00
FISCAL YR 2005 SECU CORP N Y SPL OBL B 4.93 DUE 04-01-2020 BEOTAXABLE MUNIBOND CUSIP: 337653AD1	555,000.000	608,674.05	584,903.40
FUT MAR 17 CBT UL T-BONDS CUSIP: 999599GH0	2.000	323,210.59	320,500.00
FUT MAR 17 CBT UL T-BONDS CUSIP: 999599GH0	-2.000	-323,210.59	-320,500.00
FUT MAR 17 CBT ULT TNOTE CUSIP: 999599GH0	-2.000	-270,000.00	-268,125.00
FUT MAR 17 CBT ULT TNOTE CUSIP: 999599GH0	2.000	270,000.00	268,125.00
FUT MAR 17 CBT 5Y T-NOTE CUSIP: 999599GH0	34.000	4,009,997.81	4,000,578.13
FUT MAR 17 CBT 5Y T-NOTE CUSIP: 999599GH0	-34.000	-4,009,997.81	-4,000,578.13

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
FUT MAR 17 US 2YR T-NOTE CUSIP: 999599GH0	-17.000	-3,687,140.63	-3,683,687.50
FUT MAR 17 US 2YR T-NOTE CUSIP: 999599GH0	17.000	3,687,140.63	3,683,687.50
FUT MAR 17 10 YR T-NOTES CUSIP: 999599GH0	-46.000	-5,748,562.50	-5,716,937.50
FUT MAR 17 10 YR T-NOTES CUSIP: 999599GH0	46.000	5,748,562.50	5,716,937.50
IL ST TAXABLE-PENSION 5.1 DUE 06-01-2033BEO TAXABLE SINKING FD 06-01-2024 N/C SEDOL: 2177258	1,010,000.000	980,748.64	902,435.00
ILLINOIS ST SALES TAX REV 4.62% 06-15-2038 BEO TAXABLE SEDOL: BKWB8T5	450,000.000	450,000.00	480,910.50
ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 5.851% 12-01-2034 BEO TAXABLE SEDOL: B4P33L3	170,000.000	219,026.30	208,491.40
ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 6.184% 01-01-2034 BEO TAXABLE SEDOL: B4QTD76	100,000.000	121,468.00	125,630.00
INDIANAPOLIS IND LOC PUB IMPT BD BK 6.116% 01-15-2040 BEO TAXABLE CUSIP: 45528S5S1	355,000.000	430,514.77	453,459.25
JOBSOHIO BEVERAGE SYS OHIO STATEWIDE LIQUOR PROFITS REV 4.532% 01-01-2035 BEO SEDOL: B9HL525	435,000.000	449,099.60	469,547.70
LOS ANGELES CALIF UNI SCH DIST 5.75% 07-01-2034 BEO TAXABLE SEDOL: B513PH4	1,320,000.000	1,586,646.15	1,627,375.20
METROPOLITAN TRANSN AUTH N Y REV 6.648% 11-15-2039 BEO TAXABLE SEDOL: B60B758	165,000.000	187,383.90	215,113.80
METROPOLITAN TRANSN AUTH N Y REV 6.814% 11-15-2040 BEO TAXABLE SEDOL: B669YV1	265,000.000	341,614.15	353,409.30
MISSOURI ST HEALTH & EDL FACS AUTH EDL FACS REV 3.651% 01-15-2046 BEO TAXABLE SEDOL: BDGNRD5	215,000.000	215,000.00	211,231.05
NEW JERSEY ST TPK AUTH TPK REV 7.102% 01-01-2041 BEO TAXABLE SEDOL: B4WN3T4	235,000.000	308,313.07	328,299.70
NEW JERSEY ST TPK AUTH TPK REV 7.414% 01-01-2040 BEO TAXABLE SEDOL: B3YD6H4	470,000.000	677,525.36	677,049.10
NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV 5.572% 11-01-2038 BEO TAXABLE SEDOL: B56SPB8	810,000.000	995,134.84	985,980.60
NEW YORK N Y TAXABLE-SER D-1-BUILD AMER BDS 5.985% DUE 12-01-2036 REG TAXABLE SEDOL: B546YZ1	260,000.000	323,330.80	326,362.40

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As of December 31, 2016
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Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
NEW YORK N Y 6.246% 06-01-2035 BEO TAXABLE CUSIP: 64966HYX2	125,000.000	134,982.50	138,917.50
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.427% 03-15-2039 BEO TAXABLE SEDOL: B51WGH8	135,000.000	163,192.05	162,585.90
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.6% 03-15-2040 BEO TAXABLE SEDOL: B5999C7	175,000.000	216,371.75	216,445.25
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.628% 03-15-2039 BEO TAXABLE SEDOL: B42KVY6	215,000.000	260,582.15	263,110.55
NEW YORK ST URBAN DEV CORP REV 5.77% 03-15-2039 BEO TAXABLE SEDOL: B40VJC5	475,000.000	530,038.25	571,429.75
NORTH TEX TWY AUTH REV 6.718% 01-01-2049BEO TAXABLE SEDOL: B3XNKQ2	295,000.000	400,223.85	411,967.50
NY ST DORM AUTH ST PERS INC TX PURP-SER H 5.389 DUE 03-15-40 SEDOL: B4Y2XV1	185,000.000	221,997.58	223,727.90
OHIO ST UNIV GEN RCPTS 3.798% 12-01-2046BEO TAXABLE CUSIP: 677632G88	130,000.000	130,000.00	125,713.90
OHIO UNIV GEN RCPTS ATHENS 5.59% 12-01-2114 BEO TAXABLE CUSIP: 677704A65	210,000.000	210,000.00	218,950.20
ORANGE CNTY CALIF LOC TRANSN AUTH SALES TAX REV 6.908% 02-15-2041 BEO TAXABLE SEDOL: B4SBQN7	290,000.000	408,804.30	389,191.60
OREGON ST DEPT TRANSN HWY USER TAX REV 5.834% 11-15-2034 BEO TAXABLE SEDOL: B5YBV22	190,000.000	236,807.96	239,230.90
PORT AUTH N Y & N J 4.81% 10-15-2065 BEOTAXABLE CUSIP: 73358WXQ2	325,000.000	325,000.00	347,512.75
PORT AUTH N Y & N J 5.647 ONE HUNDRED SIXTY(FIFTH) 11-01-40 SEDOL: B3WV4Q5	395,000.000	476,944.25	476,654.40
REGIONAL TRANSN DIST COLO SALES TAX REV 5.844% 11-01-2050 BEO TAXABLE CUSIP: 759136QP2	195,000.000	249,568.65	252,753.15
SAN ANTONIO TEX ELEC & GAS REV TAXABLE 4.427 DUE 02-01-42 TAXABLE SF02-01-31 SEDOL: B8J90T2	185,000.000	201,011.75	198,223.80
SAN ANTONIO TEX ELEC & GAS REV 5.718% 02-01-2041 BEO TAXABLE SEDOL: B4R70G7	85,000.000	103,235.90	106,501.60
SAN DIEGO CNTY CALIF REGL ARPT AUTH SPL FACS REV 5.594% 07-01-2043 BEO TAXABLE SEDOL: BJTMRB9	175,000.000	175,742.39	191,887.50
SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV 5.911% 04-01-2048 REG SEDOL: B5V2QH8	155,000.000	203,776.00	197,341.35

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As of December 31, 2016
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Plan Number: 333

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Other			
United States - USD			
SOUTHN CAL PUB PWR AUTH PWR PROJ REV TAXABLE-REF VERDE-B 6.93 DUE 5-15-2017 SEDOL: 2271020	65,000.000	76,088.35	66,342.25
TEXAS ST 4.631% 04-01-2033 BEO TAXABLE SEDOL: B4LLNX3	140,000.000	153,116.60	159,198.20
TEXAS ST 4.681% 04-01-2040 BEO TAXABLE SEDOL: B4R23P2	380,000.000	437,430.60	430,650.20
TEXAS TRANSN COMMN ST HWY FD REV 5.178% 04-01-2030 BEO TAXABLE SEDOL: B52BMP4	400,000.000	486,925.84	472,352.00
UKRAINE AID 1.471% DUE 09-29-2021 REG 1.471% DUE 09-29-2021 REG CUSIP: 903724BL5	1,050,000.000	1,050,000.00	1,025,052.00
UNIV CALIF REGTS MED CTR POOLED REV 6.548% 05-15-2048 BEO TAXABLE SEDOL: B8N3JB5	140,000.000	186,412.92	183,694.00
UNIVERSITY CALIF REVS 4.601% 05-15-2031 BEO TAXABLE SEDOL: BFG2Y91	415,000.000	415,000.00	457,110.05
UNIVERSITY CALIF REVS 4.765% 05-15-2044 BEO TAXABLE CUSIP: 91412GVC6	125,000.000	125,000.00	131,626.25
UNIVERSITY CALIF REVS 4.767% 05-15-2115 BEO TAXABLE SEDOL: BYSZTY1	380,000.000	380,000.00	361,687.80
UNIVERSITY CALIF REVS 4.858% 05-15-2112 BEO TAXABLE SEDOL: B7MQN72	55,000.000	50,619.25	53,343.40
UNIVERSITY TEX PERM UNIV FD 5.262% 07-01-2039 BEO TAXABLE SEDOL: B4MMJD7	175,000.000	205,667.97	213,025.75
UNIVERSITY VA UNIV REVS 6.2% 09-01-2039 BEO TAXABLE SEDOL: B3XQ2J8	415,000.000	547,029.42	569,317.75
WASHINGTON ST 5.14% 08-01-2040 BEO TAXABLE SEDOL: B5NV5Y3	85,000.000	101,804.50	101,382.90
WASHINGTON ST 5.481% 08-01-2039 BEO TAXABLE SEDOL: B5ZWT64	465,000.000	571,918.85	574,009.95
Total United States - USD		**24,142,787.53**	**24,304,314.69**
Total Other		**42,296,760.05**	**41,594,365.12**

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other Liabilities			
Pending trade purchases: United States dollar	0.000	-20,361.54	-20,361.54
Total - all currencies		**-20,361.54**	**-20,361.54**
Pending trade purchases: United States dollar	0.000	-4,189,908.89	-4,189,908.89
Other Payables: United States dollar	0.000	-19,621.40	-19,621.40
Total - all currencies		**-4,209,530.29**	**-4,209,530.29**
Total Other Liabilities		**-4,229,891.83**	**-4,229,891.83**

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Unallocated Insurance Contract			
United States - USD			
** PRINCIPAL LIFE INSURANCE COMPANY - CREDITING INTEREST RATE OF 2.34% AT DECEMBER 31, 2016	4,030,570.39	4,030,570.39	4,030,570.39
Total United States -		**4,030,570.39**	**4,030,570.39**
Total Unallocated Insurance Contract		**4,030,570.39**	**4,030,570.39**
TOTAL CASH, INVESTMENTS, AND PENDING TRADE PAYABLES AND RECEIVABLES			**409,471,700**

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMPT69	#REORG/CHARTER MANDATORY EXCHANGE CB144A CHARTER COMMU 4N1DADA 05-18-16				
		Acquisitions	125,000.00	-126,947.50	
		Dispositions	-125,000.00		144,611.25
SB1WF8X8	#REORG/STATE STR MANDATORY EXCHANGE STATE STR 4U1NAKB 12-21-2016				
		Acquisitions	90,000.00	-73,012.50	
		Free Delivery	-90,000.00		
SBWB9C25	ABBOTT LABS 2.55% DUE 03-15-2022				
		Acquisitions	260,000.00	-258,299.60	
		Dispositions	-260,000.00		264,768.40
SBYQHX58	ABBOTT LABS 4.9% DUE 11-30-2046				
		Acquisitions	1,700,000.00	-1,693,958.60	
		Dispositions	-155,000.00		154,728.75
SBXDZFZ2	ABBVIE INC FIXED 4.7% DUE 05-14-2045				
		Acquisitions	1,120,000.00	-1,127,763.65	
		Dispositions	-975,000.00		1,000,265.05
SBYP8MW2	ABBVIE INC 2.3% DUE 05-14-2021				
		Acquisitions	640,000.00	-638,886.40	
		Dispositions	-640,000.00		649,009.05
SBXDZFV8	ABBVIE INC 2.5% 05-14-2020				
		Acquisitions	904,000.00	-924,252.99	
		Dispositions	-620,000.00		625,957.00
SBYP8MT9	ABBVIE INC 2.85% DUE 05-14-2023				
		Acquisitions	435,000.00	-440,165.40	
		Dispositions	-435,000.00		440,514.35
SBD035B5	ABBVIE INC 2.9% DUE 11-06-2022				
		Acquisitions	55,000.00	-56,318.90	
		Dispositions	-55,000.00		56,687.95
SBCZM189	ABBVIE INC 4.4% DUE 11-06-2042				
		Acquisitions	605,000.00	-599,639.65	
		Dispositions	-345,000.00		343,501.45
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046				
		Acquisitions	930,000.00	-922,272.00	
		Dispositions	-310,000.00		300,975.05
SBYRZVC4	ACE INA HLDGS INC 3.35% DUE 05-03-2026				
		Acquisitions	240,000.00	-248,279.80	
		Dispositions	-625,000.00		649,242.30
SBWDBNB5	ACTAVIS FDG SCS FIXED 2.35% DUE 03-12-2018				
		Acquisitions	240,000.00	-241,401.60	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-240,000.00		241,687.20
SBWDBNC6	ACTAVIS FDG SCS 3.45% DUE 03-15-2022				
		Acquisitions	310,000.00	-319,120.10	
		Dispositions	-310,000.00		326,191.30
SBWDBND7	ACTAVIS FDG SCS 4.55% DUE 03-15-2035				
		Acquisitions	160,000.00	-155,772.80	
		Dispositions	-565,000.00		599,387.75
SBWDRY10	ACTAVIS FUNDING SCS FIXED 3.8% DUE 03-15-2025				
		Acquisitions	650,000.00	-690,098.50	
		Dispositions	-650,000.00		685,171.50
SBD203G5	AETNA INC NEW 2.8% DUE 06-15-2023				
		Acquisitions	715,000.00	-713,956.10	
		Dispositions	-475,000.00		482,693.00
SBDD8D24	AETNA INC NEW 4.25% DUE 06-15-2036				
		Acquisitions	540,000.00	-540,864.90	
		Dispositions	-80,000.00		82,988.00
SBD203F4	AETNA INC NEW 4.375% DUE 06-15-2046				
		Acquisitions	1,050,000.00	-1,061,393.40	
		Dispositions	-860,000.00		881,435.25
SBDFY6J8	ALABAMA PWR CO 4.3% DUE 01-02-2046				
		Acquisitions	85,000.00	-94,803.05	
		Dispositions	-85,000.00		96,306.70
SB3W6T98	ALLERGAN INC 3.375% DUE 09-15-2020				
		Acquisitions	110,000.00	-112,731.30	
		Dispositions	-75,000.00		77,707.50
SBBG7S30	ALLSTATE CORP 4.5% DUE 06-15-2043				
		Acquisitions	115,000.00	-136,447.50	
		Dispositions	-130,000.00		136,973.85
SBD8G4R5	ALTRIA GROUP INC BNDS 2.625% 09-16-2026				
		Acquisitions	80,000.00	-79,672.00	
		Dispositions	-80,000.00		80,564.00
SB8HW1Q7	ALTRIA GROUP INC 2.85 DUE 08-09-2022 REG				
		Acquisitions	710,000.00	-735,912.45	
		Dispositions	-655,000.00		652,445.50
SBD91617	ALTRIA GROUP INC 3.875% DUE 09-16-2046				
		Acquisitions	1,155,000.00	-1,133,350.45	
		Dispositions	-310,000.00		313,324.80
SB7ZKNH1	ALTRIA GROUP INC 4.5% DUE 05-02-2043				

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	120,000.00	-132,294.00	
		Dispositions	-535,000.00		569,531.35
SBG49060	ALTRIA GROUP INC 4.75% DUE 05-05-2021				
		Acquisitions	750,000.00	-822,465.00	
		Dispositions	-750,000.00		851,388.50
SBTC0KW2	AMAZON COM INC 4.95% DUE 12-05-2044				
		Acquisitions	485,000.00	-579,035.35	
		Dispositions	-275,000.00		317,303.25
SB8C3GC6	AMER MOVIL SAB DE CV 6.125% 03-30-2040				
		Acquisitions	85,000.00	-108,354.60	
		Dispositions	-290,000.00		326,649.90
SBYMTDY7	AMERICAN EXPRESS CREDIT TRANCHE # TR 00099 1.7% DUE 10-30-2019/09-29-2019				
		Acquisitions	230,000.00	-229,726.30	
		Dispositions	-230,000.00		228,003.60
SBXSSDR4	AMERICAN INTERNATIONAL GROUP INC BNDS 3.75% DUE 07-10-2025 REG				
		Acquisitions	435,000.00	-452,826.30	
		Dispositions	-435,000.00		451,721.40
SBXSSDV8	AMERICAN INTERNATIONAL GROUP INC BNDS 4.8% DUE 07-10-2045 REG				
		Acquisitions	95,000.00	-96,078.25	
		Dispositions	-255,000.00		246,899.40
SBYZ7360	AMERN INTL GROUP 3.3% DUE 03-01-2021				
		Acquisitions	145,000.00	-144,866.60	
		Dispositions	-145,000.00		148,424.90
SBVCN939	AMERN INTL GROUP 3.875% DUE 01-15-2035				
		Acquisitions	125,000.00	-111,946.40	
		Dispositions	-40,000.00		38,693.60
SBDFXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026				
		Acquisitions	1,350,000.00	-1,375,514.10	
		Dispositions	-620,000.00		647,316.00
SBVCJNG8	AMERN INTL GROUP 4.375% DUE 01-15-2055				
		Acquisitions	225,000.00	-212,740.70	
		Dispositions	-50,000.00		44,883.50
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044				
		Acquisitions	485,000.00	-440,934.40	
		Dispositions	-570,000.00		568,751.05
SB606MS1	AMERN INTL GROUP 8.175% DUE 05-15-2068				
		Acquisitions	160,000.00	-201,503.95	
		Dispositions	-130,000.00		173,800.00

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C031162CG3	AMGEN INC 1.85% DUE 08-19-2021				
		Acquisitions	635,000.00	-634,307.85	
		Dispositions	-635,000.00		634,968.25
C031162BZ2	AMGEN INC 4.4% 05-01-2045				
		Acquisitions	245,000.00	-254,226.70	
		Dispositions	-365,000.00		377,077.05
SB8488H8	AMGEN INC 5.375 DUE 05-15-2043				
		Acquisitions	235,000.00	-253,160.80	
		Dispositions	-235,000.00		269,455.70
SB665LR4	ANADARKO PETE CO 6.2% DUE 03-15-2040				
		Acquisitions	195,000.00	-201,891.20	
		Dispositions	-145,000.00		159,220.15
SBD1DGJ9	ANADARKO PETE CORP 5.55% DUE 03-15-2026				
		Acquisitions	400,000.00	-398,760.00	
		Dispositions	-400,000.00		424,140.00
SBD5FGB3	ANADARKO PETE CORP 6.6% DUE 03-15-2046				
		Acquisitions	205,000.00	-204,842.15	
		Dispositions	-205,000.00		235,211.65
SBYMJF41	ANALOG DEVICES INC 3.5% DUE 12-05-2026				
		Acquisitions	455,000.00	-452,115.30	
		Dispositions	-455,000.00		452,301.85
SBYW89Z7	ANHEUSER-BUSCH 2.65% DUE 02-01-2021				
		Acquisitions	140,000.00	-139,561.80	
		Dispositions	-140,000.00		142,147.60
SBYMLHV8	ANHEUSER-BUSCH 3.3% DUE 02-01-2023				
		Acquisitions	1,460,000.00	-1,502,165.30	
		Dispositions	-1,460,000.00		1,539,174.05
SBYMLCJ1	ANHEUSER-BUSCH 3.65% DUE 02-01-2026				
		Acquisitions	3,320,000.00	-3,427,943.10	
		Dispositions	-1,555,000.00		1,618,116.05
SBYMLCL3	ANHEUSER-BUSCH 4.7% DUE 02-01-2036				
		Acquisitions	3,750,000.00	-3,913,571.60	
		Dispositions	-1,890,000.00		2,018,917.50
SBYMLGZ5	ANHEUSER-BUSCH 4.9% DUE 02-01-2046				
		Acquisitions	5,445,000.00	-5,684,771.30	
		Dispositions	-3,105,000.00		3,517,703.35
SB7SBDV5	ANHEUSER-BUSCH 4% DUE 01-17-2043				
		Acquisitions	130,000.00	-120,851.90	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-130,000.00		130,997.10
SBLSP6D8	ANTHEM INC 4.65% DUE 01-15-2043				
		Acquisitions	140,000.00	-146,938.45	
		Dispositions	-210,000.00		225,874.70
SBR17WN5	ANTHEM INC 4.65% DUE 08-15-2044				
		Acquisitions	535,000.00	-566,255.10	
		Dispositions	-75,000.00		82,506.75
SB2PJ2V0	AOL TIME WARNER 7.625% DUE 04-15-2031				
		Acquisitions	320,000.00	-380,876.80	
		Dispositions	-570,000.00		745,244.85
SBYN2GL3	APPLE INC 2.85% DUE 02-23-2023				
		Acquisitions	810,000.00	-809,133.30	
		Dispositions	-810,000.00		838,293.30
C037833BA7	APPLE INC 3.45% DUE 02-09-2045				
		Acquisitions	440,000.00	-392,830.70	
		Dispositions	-785,000.00		703,531.95
SBM6NV92	APPLE INC 3.45% DUE 05-06-2024				
		Acquisitions	680,000.00	-724,608.00	
		Dispositions	-805,000.00		871,526.15
C037833AL4	APPLE INC 3.85% DUE 05-04-2043				
		Acquisitions	330,000.00	-311,538.75	
		Dispositions	-495,000.00		488,605.80
SBXC5WP2	APPLE INC 4.375% DUE 05-13-2045				
		Acquisitions	145,000.00	-154,113.25	
		Dispositions	-145,000.00		153,227.30
SBM6NVC5	APPLE INC 4.45% DUE 05-06-2044				
		Acquisitions	670,000.00	-739,771.30	
		Dispositions	-235,000.00		252,344.15
C037833BX7	APPLE INC 4.65% DUE 02-23-2046				
		Acquisitions	1,200,000.00	-1,296,566.05	
		Dispositions	-700,000.00		804,564.15
SBYZJJZ7	ARIZONA PUB SVC CO 3.75% DUE 05-15-2046				
		Acquisitions	470,000.00	-468,651.10	
		Dispositions	-170,000.00		180,902.10
SBYMLXK9	AT&T INC 3.6% DUE 02-17-2023				
		Acquisitions	525,000.00	-542,466.50	
		Dispositions	-180,000.00		187,086.60
SBBP3ML5	AT&T INC 4.3 DUE 12-15-2042				

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	225,000.00	-228,849.75	
		Dispositions	-535,000.00		460,257.35
SBBNC4H4	AT&T INC 4.35% DUE 06-15-2045				
		Acquisitions	515,000.00	-439,202.75	
		Dispositions	-515,000.00		479,274.20
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046				
		Acquisitions	1,195,000.00	-1,164,084.05	
		Dispositions	-1,195,000.00		1,188,104.05
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044				
		Acquisitions	590,000.00	-555,920.50	
		Dispositions	-625,000.00		642,602.05
SBYY3Z96	AT&T INC 5.15% DUE 03-15-2042				
		Dispositions	-295,000.00		310,362.70
		Free Receipt	295,000.00		
SBYMLXL0	AT&T INC 5.65% DUE 02-15-2047				
		Acquisitions	535,000.00	-536,816.65	
		Dispositions	-535,000.00		572,141.00
SBD20MH9	AT&T INC 6% DUE 08-15-2040				
		Dispositions	-495,000.00		600,128.10
		Free Receipt	495,000.00		
SBD3BW59	BALT GAS & ELEC CO 2.4% DUE 08-15-2026				
		Acquisitions	130,000.00	-129,610.00	
		Dispositions	-130,000.00		128,269.70
SBD3BW60	BALT GAS & ELEC CO 3.5% DUE 08-15-2046				
		Acquisitions	235,000.00	-234,783.80	
		Dispositions	-235,000.00		234,649.85
SBYM7C77	BANK AMER CORP SR NT 2.625% DUE 04-19-2021/04-20-2017				
		Acquisitions	940,000.00	-945,150.20	
		Dispositions	-940,000.00		947,320.90
SBYNJTN7	BANK AMER CORP 2.625% DUE 10-19-2020				
		Acquisitions	580,000.00	-578,523.60	
		Dispositions	-1,050,000.00		1,048,391.40
SB799RR2	BANK AMER CORP 3.3% DUE 01-11-2023				
		Acquisitions	555,000.00	-546,497.75	
		Dispositions	-170,000.00		173,527.50
SBYM7C88	BANK AMER CORP 3.5% DUE 04-19-2026				
		Acquisitions	1,265,000.00	-1,267,630.45	
		Dispositions	-1,265,000.00		1,283,300.60

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYTHRP3	BANK AMER CORP 3.875% DUE 08-01-2025				
		Acquisitions	1,520,000.00	-1,547,915.95	
		Dispositions	-1,520,000.00		1,557,851.75
SBWX8CH5	BANK AMER CORP 3.95% DUE 04-21-2025				
		Acquisitions	325,000.00	-311,728.85	
		Dispositions	-775,000.00		750,130.50
SBFLRDC7	BANK AMER CORP 4.1% DUE 07-24-2023				
		Acquisitions	580,000.00	-624,149.60	
		Dispositions	-335,000.00		354,809.70
SBYYD0K2	BANK AMER CORP 4.45% DUE 03-03-2026				
		Acquisitions	805,000.00	-805,000.00	
		Dispositions	-805,000.00		815,156.05
SBWX8CG4	BANK AMER CORP 4.75% DUE 04-21-2045				
		Acquisitions	395,000.00	-359,647.25	
		Dispositions	-395,000.00		433,845.70
SBLBP4H5	BANK AMER CORP 4.875% DUE 04-01-2044				
		Acquisitions	365,000.00	-395,113.50	
		Dispositions	-435,000.00		471,072.85
SBVV2M05	BANK AMER CORP 4% DUE 01-22-2025				
		Acquisitions	265,000.00	-252,378.05	
		Dispositions	-660,000.00		661,521.25
SB60SJP3	BANK AMER CORP 5.625% DUE 07-01-2020				
		Acquisitions	740,000.00	-821,887.95	
		Dispositions	-740,000.00		826,749.20
SB8WR844	BANK AMER CORP 5.875% DUE 02-07-2042				
		Acquisitions	115,000.00	-142,421.75	
		Dispositions	-550,000.00		697,527.50
SBJ7B592	BANK AMER CORP 5% DUE 01-21-2044				
		Acquisitions	1,055,000.00	-1,142,369.55	
		Dispositions	-955,000.00		1,058,290.15
SBD0SY79	BARCLAYS PLC 4.375% DUE 01-12-2026				
		Acquisitions	1,280,000.00	-1,278,748.40	
		Dispositions	-1,055,000.00		1,073,642.35
SBD8ZS14	BARCLAYS PLC 5.2% DUE 05-12-2026				
		Acquisitions	1,210,000.00	-1,216,413.40	
		Dispositions	-1,100,000.00		1,129,080.85
SBD4F5R3	BAXALTA INC 5.25% DUE 06-23-2045				
		Acquisitions	545,000.00	-630,320.70	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-90,000.00		107,224.20
SBP81YD0	BED BATH & BEYOND 5.165% DUE 08-01-2044				
		Acquisitions	320,000.00	-296,158.00	
		Dispositions	-120,000.00		116,846.40
SBDB5JF2	BERKSHIRE HATHAWAY 2.75% DUE 03-15-2023				
		Acquisitions	470,000.00	-475,067.90	
		Dispositions	-155,000.00		160,387.80
SBDB5JC9	BERKSHIRE HATHAWAY 3.125% DUE 03-15-2026				
		Acquisitions	1,275,000.00	-1,283,708.50	
		Dispositions	-1,275,000.00		1,296,042.55
SBVRZ8P5	BERKSHIRE HATHAWAY 3.5% DUE 02-01-2025				
		Acquisitions	150,000.00	-160,555.50	
		Dispositions	-535,000.00		545,352.25
SB96WCM8	BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043				
		Acquisitions	155,000.00	-148,998.40	
		Dispositions	-120,000.00		135,498.00
SBD72430	BOEING CO 2.25% DUE 06-15-2026				
		Acquisitions	240,000.00	-237,259.20	
		Dispositions	-240,000.00		238,060.80
SBD72429	BOEING CO 3.375% DUE 06-15-2046				
		Acquisitions	250,000.00	-241,812.50	
		Dispositions	-90,000.00		91,526.40
SBCDPC76	BP CAP MKTS P L C 3.245 DUE 05-06-2022				
		Acquisitions	330,000.00	-339,929.70	
		Dispositions	-250,000.00		258,380.00
SBZ6CXN8	BP CAP MKTS P L C 3.723% DUE 11-28-2028				
		Acquisitions	205,000.00	-205,000.00	
		Dispositions	-205,000.00		206,285.35
SBYYYNP1	BROADRIDGE FINL 3.4% DUE 06-27-2026				
		Acquisitions	150,000.00	-149,383.50	
		Dispositions	-150,000.00		149,547.00
SBYX0316	BROWN FORMAN CORP 4.5% DUE 07-15-2045				
		Acquisitions	250,000.00	-262,077.50	
		Dispositions	-250,000.00		275,228.95
SBZCR7P7	BSTN PPTYS LTD 3.65% DUE 02-01-2026				
		Acquisitions	245,000.00	-244,284.60	
		Dispositions	-180,000.00		192,655.80
SBGDRY29	BUCKEYE PARTNERS L 5.85% DUE 11-15-2043				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	95,000.00	-89,683.80	
		Dispositions	-280,000.00		253,103.20
SBKH0LR6	BURLINGTON NORTHN SANTA FE LLC 4.9 DUE 04-01-2044 REG				
		Acquisitions	235,000.00	-255,633.00	
		Dispositions	-235,000.00		285,731.80
SB7JN3H6	CAMPBELL SOUP CO 3.8% DUE 08-02-2042				
		Acquisitions	205,000.00	-186,565.65	
		Dispositions	-155,000.00		150,766.95
SB95BMR1	CATERPILLAR INC 3.803% DUE 08-15-2042				
		Acquisitions	110,000.00	-109,308.10	
		Dispositions	-110,000.00		107,107.00
SBMJJM94	CATERPILLAR INC 4.3% DUE 05-15-2044				
		Acquisitions	105,000.00	-104,196.75	
		Dispositions	-105,000.00		112,767.90
SBQ3FWZ2	CBS CORP NEW FIXED 4.9% DUE 08-15-2044				
		Acquisitions	275,000.00	-279,675.05	
		Dispositions	-275,000.00		298,146.75
C13645RAX2	CDN PAC RY CO NEW 6.125% DUE 09-15-2115				
		Acquisitions	215,000.00	-251,407.65	
		Dispositions	-160,000.00		198,290.00
SBYQH778	CELGENE CORP 3.875% DUE 08-15-2025				
		Acquisitions	600,000.00	-634,380.00	
		Dispositions	-395,000.00		417,953.45
SBMH4PS1	CELGENE CORP 4.625% DUE 05-15-2044				
		Acquisitions	880,000.00	-896,506.00	
		Dispositions	-760,000.00		789,045.70
SBYQH7V2	CELGENE CORP 5% DUE 08-15-2045				
		Acquisitions	565,000.00	-612,699.55	
		Dispositions	-370,000.00		405,210.50
SBYP8MX3	CHEVRON CORP NEW 2.1% DUE 05-16-2021				
		Acquisitions	835,000.00	-835,000.00	
		Dispositions	-835,000.00		844,751.55
SBB0R427	CHEVRON 2.355 DUE 12-05-2022				
		Acquisitions	300,000.00	-301,233.00	
		Dispositions	-790,000.00		795,449.10
C17275RBD3	CISCO SYS INC 2.2% DUE 02-28-2021				
		Acquisitions	325,000.00	-324,376.00	
		Dispositions	-325,000.00		326,917.50

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBVCVN64	CITIGROUP INC 1.8% DUE 02-05-2018				
		Acquisitions	545,000.00	-545,087.20	
		Dispositions	-830,000.00		827,053.50
SBD203K9	CITIGROUP INC 2.05% DUE 06-07-2019				
		Acquisitions	220,000.00	-219,885.60	
		Dispositions	-220,000.00		221,047.20
SBZ6C6M8	CITIGROUP INC 2.7% DUE 03-30-2021				
		Acquisitions	565,000.00	-564,186.40	
		Dispositions	-565,000.00		568,943.70
SBYNXLZ5	CITIGROUP INC 2.9% DUE 12-08-2021				
		Acquisitions	2,575,000.00	-2,570,596.75	
		Dispositions	-1,040,000.00		1,030,920.80
SBYP7GJ4	CITIGROUP INC 3.2% DUE 10-21-2026				
		Acquisitions	2,245,000.00	-2,228,682.45	
		Dispositions	-1,580,000.00		1,508,040.00
SBDGKYT1	CITIGROUP INC 3.4% DUE 05-01-2026				
		Acquisitions	270,000.00	-271,618.10	
		Dispositions	-270,000.00		274,779.60
SBYQ02K5	CITIGROUP INC 3.7% DUE 01-12-2026				
		Acquisitions	680,000.00	-680,410.75	
		Dispositions	-680,000.00		679,115.20
SBWH5X24	CITIGROUP INC 3.875% DUE 03-26-2025				
		Acquisitions	415,000.00	-395,499.15	
		Dispositions	-415,000.00		400,076.60
SBYYKP97	CITIGROUP INC 4.125% DUE 07-25-2028				
		Acquisitions	275,000.00	-274,150.25	
		Dispositions	-275,000.00		280,630.95
SBYZZP79	CITIGROUP INC 4.4% DUE 06-10-2025				
		Acquisitions	405,000.00	-404,088.75	
		Dispositions	-405,000.00		402,435.30
SBY7RB31	CITIGROUP INC 4.45% DUE 09-29-2027				
		Acquisitions	695,000.00	-691,914.50	
		Dispositions	-4,170,000.00		4,264,808.30
SBZ57VQ3	CITIGROUP INC 4.6% DUE 03-09-2026				
		Acquisitions	825,000.00	-842,636.55	
		Dispositions	-825,000.00		878,410.50
SBYTHPH1	CITIGROUP INC 4.65% DUE 07-30-2045				
		Acquisitions	960,000.00	-1,012,551.75	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-617,000.00		654,691.81
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046				
		Acquisitions	1,860,000.00	-1,842,669.35	
		Dispositions	-1,130,000.00		1,150,887.70
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044				
		Acquisitions	635,000.00	-682,193.05	
		Dispositions	-476,000.00		515,289.32
SB8WTN90	CITIGROUP INC 5.875 DUE 01-30-2042				
		Acquisitions	375,000.00	-449,132.15	
		Dispositions	-375,000.00		452,700.05
SBG48R11	CITIGROUP INC 6.625 DUE 06-15-2032 BEO				
		Acquisitions	170,000.00	-210,772.70	
		Dispositions	-220,000.00		274,643.10
SBDC7485	CITIGROUP INC 6.675% DUE 09-13-2043				
		Acquisitions	555,000.00	-704,994.25	
		Dispositions	-555,000.00		701,778.80
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039				
		Acquisitions	40,000.00	-63,763.20	
		Dispositions	-140,000.00		212,273.60
SBZ6VPH3	CITIZENS BK MEDIUM 2.55% DUE 05-13-2021				
		Acquisitions	445,000.00	-444,586.15	
		Dispositions	-445,000.00		445,841.05
SBD82Q99	CITIZENS FINL 2.375% DUE 07-28-2021				
		Acquisitions	320,000.00	-319,833.60	
		Dispositions	-320,000.00		321,062.40
SB614024	COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039				
		Acquisitions	105,000.00	-144,703.65	
		Dispositions	-140,000.00		199,715.60
SBDFBGN3	COMCAST CORP NEW 1.625% DUE 01-15-2022				
		Acquisitions	445,000.00	-444,559.45	
		Dispositions	-445,000.00		441,914.20
SBDFL086	COMCAST CORP NEW 2.35% DUE 01-15-2027				
		Acquisitions	235,000.00	-234,718.00	
		Dispositions	-235,000.00		236,840.05
C20030NBS9	COMCAST CORP NEW 3.15% DUE 03-01-2026				
		Acquisitions	425,000.00	-446,493.85	
		Dispositions	-425,000.00		417,600.75
SBDFL075	COMCAST CORP NEW 3.2% DUE 07-15-2036				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	485,000.00	-481,668.05	
		Dispositions	-485,000.00		451,776.75
SBDFFPY9	COMCAST CORP NEW 3.4% DUE 07-15-2046				
		Acquisitions	585,000.00	-577,330.75	
		Dispositions	-490,000.00		464,931.95
C20030NBQ3	COMCAST CORP NEW 4.6% DUE 08-15-2045				
		Acquisitions	975,000.00	-1,092,105.10	
		Dispositions	-860,000.00		959,310.70
SB7TGGL0	COMCAST CORP NEW 4.65% DUE 07-15-2042				
		Acquisitions	125,000.00	-143,300.00	
		Dispositions	-575,000.00		622,360.75
C20030NAX9	COMCAST CORP NEW 6.4% DUE 05-15-2038				
		Acquisitions	280,000.00	-352,184.00	
		Dispositions	-195,000.00		262,689.75
SB108F49	COMCAST CORP NEW 6.45% DUE 03-15-2037				
		Acquisitions	325,000.00	-408,794.75	
		Dispositions	-300,000.00		414,720.00
SBJZD6Q4	CONS EDISON CO N Y INC 4.45 DUE 03-15-2044 REG				
		Acquisitions	125,000.00	-132,387.50	
		Dispositions	-130,000.00		142,411.10
C21688AAG7	COOPERATIEVE 1.375% DUE 08-09-2019				
		Acquisitions	250,000.00	-249,277.50	
		Dispositions	-250,000.00		246,115.00
SBDFM4F4	COOPERATIEVE 2.5% DUE 01-19-2021				
		Acquisitions	485,000.00	-483,913.60	
		Dispositions	-485,000.00		493,155.80
C21684AAF3	COOPERATIEVE 3.75% DUE 07-21-2026				
		Acquisitions	735,000.00	-733,333.85	
		Dispositions	-130,000.00		130,968.35
SBYW41H1	COOPERATIEVE 4.375% DUE 08-04-2025				
		Acquisitions	250,000.00	-261,074.00	
		Dispositions	-640,000.00		662,120.80
SB3B18J7	COVIDIEN INTL FIN 6.55% DUE 10-15-2037				
		Acquisitions	175,000.00	-232,793.75	
		Dispositions	-175,000.00		242,334.75
SBY4K810	CR SUISSE GROUP FDG GUERNSEY LTD 4.875% 05-15-2045				
		Acquisitions	575,000.00	-515,764.45	
		Dispositions	-575,000.00		556,406.45

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYYCFP9	CR SUISSE GROUP 3.75% DUE 03-26-2025				
		Acquisitions	135,000.00	-125,028.90	
		Dispositions	-135,000.00		124,167.60
C225433AQ4	CR SUISSE GROUP 4.55% DUE 04-17-2026				
		Acquisitions	1,070,000.00	-1,070,533.25	
		Dispositions	-615,000.00		648,522.25
SBYMPHS7	CSX CORP 3.8% DUE 11-01-2046				
		Acquisitions	275,000.00	-273,435.25	
		Dispositions	-195,000.00		179,391.30
SBD72452	CVS HEALTH CORP BNDS 2.125% 05-25-2016				
		Acquisitions	65,000.00	-64,818.65	
		Dispositions	-65,000.00		64,641.20
SB90QJ25	CVS HEALTH CORP 2.75% DUE 12-01-2022				
		Acquisitions	55,000.00	-55,342.10	
		Dispositions	-55,000.00		56,727.00
SBD72463	CVS HEALTH CORP 2.875% DUE 06-01-2026				
		Acquisitions	160,000.00	-160,894.40	
		Dispositions	-160,000.00		164,753.60
SBYPFCH8	CVS HEALTH CORP 4.875% DUE 07-20-2035				
		Acquisitions	385,000.00	-436,228.75	
		Dispositions	-770,000.00		858,480.55
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045				
		Acquisitions	340,000.00	-417,122.20	
		Dispositions	-855,000.00		991,630.15
SBYNPP60	DANAHER CORP 4.375% DUE 09-15-2045				
		Acquisitions	375,000.00	-392,617.50	
		Dispositions	-375,000.00		422,983.25
SBYQ64Y1	DEERE JOHN CAP 2.8% DUE 03-06-2023				
		Acquisitions	1,075,000.00	-1,090,144.70	
		Dispositions	-1,075,000.00		1,101,142.15
SBYYSPQ8	DEUTSCHE BK AG 2.85% DUE 05-10-2019				
		Acquisitions	225,000.00	-224,993.25	
		Dispositions	-225,000.00		224,205.75
SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041				
		Acquisitions	425,000.00	-365,519.20	
		Dispositions	-945,000.00		776,541.85
SBYQ1PX2	DEVON ENERGY CORP 5.85% DUE 12-15-2025				
		Acquisitions	130,000.00	-123,670.30	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-325,000.00		305,239.35
SBD96MQ9	DIAMOND 1 FIN CORP 4.42% DUE 06-15-2021				
		Acquisitions	795,000.00	-794,769.45	
		Dispositions	-795,000.00		795,341.85
SBD96MG9	DIAMOND 1 FIN CORP 5.45% DUE 06-15-2023				
		Acquisitions	185,000.00	-184,920.45	
		Dispositions	-185,000.00		185,079.55
SB82CGN4	DIRECTV HLDGS LLC 5.15% DUE 03-15-2042				
		Acquisitions	295,000.00	-276,828.00	
		Dispositions	-295,000.00		295.00
SBDB5DP0	DISCOVERY 4.9% DUE 03-11-2026				
		Acquisitions	395,000.00	-393,550.35	
		Dispositions	-395,000.00		393,998.05
SBDGTNN5	DISNEY WALT CO NEW .875% DUE 07-12-2019				
		Acquisitions	790,000.00	-787,345.60	
		Dispositions	-640,000.00		634,592.00
SBD381P3	DUKE ENERGY CORP 3.75% DUE 09-01-2046				
		Acquisitions	315,000.00	-314,823.60	
		Dispositions	-315,000.00		292,661.10
SBDB6BJ3	DUKE ENERGY CORP 4.8% DUE 12-15-2045				
		Acquisitions	115,000.00	-128,295.15	
		Dispositions	-115,000.00		129,791.30
SBZ587C4	DUKE ENERGY 2.5% DUE 03-15-2023				
		Acquisitions	445,000.00	-450,096.85	
		Dispositions	-445,000.00		442,792.80
SBZ58832	DUKE ENERGY 3.875% DUE 03-15-2046				
		Acquisitions	215,000.00	-214,241.05	
		Dispositions	-105,000.00		114,464.70
SB7154K0	ECOLAB INC 5.5% DUE 12-08-2041				
		Acquisitions	160,000.00	-199,932.75	
		Dispositions	-160,000.00		198,985.60
SB5L3ZK7	ENERGY TRANSFER 6.5% DUE 02-01-2042				
		Acquisitions	325,000.00	-301,002.65	
		Dispositions	-395,000.00		430,580.65
SBYV01X6	EOG RES INC 4.15% DUE 01-15-2026				
		Acquisitions	535,000.00	-535,558.50	
		Dispositions	-535,000.00		576,270.15
SBYVNBN5	EOG RES INC 5.1% DUE 01-15-2036				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	620,000.00	-620,710.20	
		Dispositions	-175,000.00		200,817.75
SBD073L3	EXELON CORP 3.4% DUE 04-15-2026				
		Acquisitions	395,000.00	-394,763.00	
		Dispositions	-395,000.00		410,254.90
SBD073T1	EXELON CORP 4.45% DUE 04-15-2046				
		Acquisitions	110,000.00	-109,439.00	
		Dispositions	-110,000.00		114,558.40
C30219GAN8	EXPRESS SCRIPTS 3.4% DUE 03-01-2027				
		Acquisitions	195,000.00	-197,433.60	
		Dispositions	-195,000.00		194,469.60
SBZ5YJZ9	EXPRESS SCRIPTS 3% DUE 07-15-2023				
		Acquisitions	465,000.00	-464,586.15	
		Dispositions	-465,000.00		470,125.85
SBYYLHT4	EXPRESS SCRIPTS 4.5% DUE 02-25-2026				
		Acquisitions	170,000.00	-169,093.90	
		Dispositions	-120,000.00		130,740.00
SB8KMSL8	EXPRESS SCRIPTS 6.125% DUE 11-15-2041				
		Acquisitions	175,000.00	-178,988.25	
		Dispositions	-520,000.00		642,661.18
SBYYD0M4	EXXON MOBIL CORP 2.222% DUE 03-01-2021				
		Acquisitions	650,000.00	-650,000.00	
		Dispositions	-650,000.00		662,473.50
SBW9RTS6	EXXON MOBIL CORP 3.567% DUE 03-06-2045				
		Acquisitions	140,000.00	-135,336.60	
		Dispositions	-380,000.00		386,514.70
SBYYD0G8	EXXON MOBIL CORP 4.114% DUE 03-01-2046				
		Acquisitions	715,000.00	-737,512.65	
		Dispositions	-220,000.00		241,128.10
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044				
		Acquisitions	215,000.00	-254,324.00	
		Dispositions	-235,000.00		266,151.00
SBV9HFD6	FEDEX CORP 3.2% DUE 02-01-2025				
		Acquisitions	405,000.00	-395,105.85	
		Dispositions	-405,000.00		410,005.80
SBD9Y0C5	FEDEX CORP 3.25% DUE 04-01-2026				
		Acquisitions	830,000.00	-831,251.30	
		Dispositions	-830,000.00		876,591.00

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8DMKV9	FEDEX CORP 3.875% DUE 08-01-2042				
		Acquisitions	150,000.00	-133,633.50	
		Dispositions	-215,000.00		218,113.20
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045				
		Acquisitions	135,000.00	-129,628.35	
		Dispositions	-305,000.00		293,933.25
SBD9Y0B4	FEDEX CORP 4.55% DUE 04-01-2046				
		Acquisitions	295,000.00	-297,749.10	
		Dispositions	-295,000.00		306,967.15
SBD0S479	FID NATL 2.25% DUE 08-15-2021				
		Acquisitions	355,000.00	-354,950.30	
		Dispositions	-355,000.00		355,266.25
SBDCXGD2	FID NATL 3% DUE 08-15-2026				
		Acquisitions	255,000.00	-252,172.05	
		Dispositions	-255,000.00		252,628.50
C316773CH1	FIFTH 3RD BANCORP 8.25% DUE 03-01-2038				
		Acquisitions	60,000.00	-91,020.00	
		Dispositions	-310,000.00		443,473.80
SB94Q0Z1	FORD MTR CO DEL NT 4.75 01-15-2043				
		Acquisitions	1,630,000.00	-1,660,140.80	
		Dispositions	-1,015,000.00		1,041,595.55
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031				
		Acquisitions	510,000.00	-633,335.10	
		Dispositions	-255,000.00		317,778.45
SBD0SY80	FORD MTR CR CO LLC 4.389% DUE 01-08-2026				
		Acquisitions	200,000.00	-200,000.00	
		Dispositions	-200,000.00		199,914.00
SBFN0GD6	FORD MTR CR CO LLC 5.875 DUE 08-02-2021				
		Acquisitions	525,000.00	-577,946.25	
		Dispositions	-365,000.00		419,218.65
C999501AX7	GAC METLIFE CONT.#163 RT. N/A MAT N/A				
		Acquisitions	254,460.66	-254,460.66	
		Dispositions	-254,436.38		254,436.38
SBYNKFJ8	GE CAP INTL FDG CO 3.373% DUE 11-15-2025				
		Acquisitions	75,000.00	-80,609.25	
		Dispositions	-417,000.00		422,158.29
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035				
		Acquisitions	500,000.00	-573,330.00	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-425,000.00		477,073.10
SBD9Q7B9	GEN MOTORS FINL CO 3.2% DUE 07-06-2021				
		Acquisitions	140,000.00	-139,743.80	
		Dispositions	-140,000.00		142,184.00
SBWTXKZ8	GEN MOTORS FINL FIXED 3.45% DUE 04-10-2022				
		Acquisitions	530,000.00	-530,409.55	
		Dispositions	-530,000.00		541,095.50
SBYZ5N31	GEN MTRS FINL CO FIXED 4.3% DUE 07-13-2025				
		Acquisitions	230,000.00	-239,706.00	
		Dispositions	-230,000.00		238,013.20
SBD8BSG7	GEN MTRS FINL CO 3.7% DUE 05-09-2023				
		Acquisitions	360,000.00	-359,933.00	
		Dispositions	-360,000.00		365,086.80
SBD31B18	GEN MTRS FINL CO 4.2% DUE 03-01-2021				
		Acquisitions	625,000.00	-643,633.75	
		Dispositions	-625,000.00		655,838.50
SBD31B07	GEN MTRS FINL CO 5.25% DUE 03-01-2026				
		Acquisitions	200,000.00	-199,278.00	
		Dispositions	-200,000.00		217,997.05
SBG48W16	GENERAL ELEC CAP CORP TRANCHE # TR 005286.75% DUE 03-15-2032/03-20-2002				
		Acquisitions	230,000.00	-303,311.00	
		Dispositions	-310,000.00		430,733.80
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042				
		Acquisitions	65,000.00	-64,364.30	
		Dispositions	-155,000.00		164,857.45
SBKRVHN7	GENERAL ELEC CO 4.5% DUE 03-11-2044				
		Acquisitions	185,000.00	-206,947.05	
		Dispositions	-60,000.00		62,929.80
SBTN21T1	GENERAL MTRS CO 5% DUE 04-01-2035				
		Acquisitions	105,000.00	-96,938.10	
		Dispositions	-335,000.00		324,811.50
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043				
		Acquisitions	535,000.00	-567,536.90	
		Dispositions	-85,000.00		84,751.80
SBYXXXG0	GENERAL MTRS CO 6.6% DUE 04-01-2036				
		Acquisitions	950,000.00	-991,235.15	
		Dispositions	-365,000.00		440,978.40
SBYND5P3	GILEAD SCIENCES 2.5% DUE 09-01-2023				

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
			Acquisitions	360,000.00	-358,725.60	
			Dispositions	-360,000.00		363,668.40
	SBYND5S6	GILEAD SCIENCES 2.95% DUE 03-01-2027				
			Acquisitions	310,000.00	-313,539.55	
			Dispositions	-310,000.00		305,009.00
	SBK1KRL8	GILEAD SCIENCES 3.7% DUE 04-01-2024				
			Acquisitions	465,000.00	-496,462.30	
			Dispositions	-160,000.00		171,465.60
	SBSNBJW0	GILEAD SCIENCES 4.5% DUE 02-01-2045				
			Acquisitions	375,000.00	-405,516.05	
			Dispositions	-485,000.00		531,911.10
	C375558BG7	GILEAD SCIENCES 4.6% DUE 09-01-2035				
			Acquisitions	125,000.00	-129,671.25	
			Dispositions	-300,000.00		323,484.60
	C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046				
			Acquisitions	565,000.00	-589,620.30	
			Dispositions	-825,000.00		900,906.85
	SBKHDRD3	GILEAD SCIENCES 4.8% DUE 04-01-2044				
			Acquisitions	495,000.00	-538,745.75	
			Dispositions	-395,000.00		438,549.55
**	SBG48W61	GOLDMAN SACHS CAP I 6.345 DUE 02-15-2034 BEO				
			Acquisitions	365,000.00	-420,637.45	
			Dispositions	-165,000.00		191,876.25
**	SB8W7XD8	GOLDMAN SACHS GROUP INC SR NT 3.625 01-22-2023				
			Acquisitions	300,000.00	-304,908.00	
			Dispositions	-300,000.00		311,127.00
**	SBBM55R1	GOLDMAN SACHS GROUP INC 6 45 05 01 6.45 DUE 05-01-2036 BEO				
			Acquisitions	190,000.00	-215,220.60	
			Dispositions	-515,000.00		633,910.30
**	SBDCFW85	GOLDMAN SACHS 2.35% DUE 11-15-2021				
			Acquisitions	1,850,000.00	-1,847,121.95	
			Dispositions	-290,000.00		280,490.90
**	SBZ00DN8	GOLDMAN SACHS 2.625% DUE 04-25-2021				
			Acquisitions	675,000.00	-674,561.25	
			Dispositions	-675,000.00		676,463.95
**	SBYYLHY9	GOLDMAN SACHS 2.875% DUE 02-25-2021				
			Acquisitions	825,000.00	-823,630.50	
			Dispositions	-825,000.00		827,604.25

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
**	SBCDL3R1	GOLDMAN SACHS 2.9% DUE 07-19-2018				
			Acquisitions	1,605,000.00	-1,641,416.50	
			Dispositions	-1,605,000.00		1,642,858.95
**	SBVL8RW0	GOLDMAN SACHS 3.5% DUE 01-23-2025				
			Acquisitions	1,595,000.00	-1,614,751.30	
			Dispositions	-1,310,000.00		1,318,102.25
**	SBYYLHX8	GOLDMAN SACHS 3.75% DUE 02-25-2026				
			Acquisitions	495,000.00	-495,133.35	
			Dispositions	-495,000.00		500,202.55
**	SBY58YX7	GOLDMAN SACHS 3.75% DUE 05-22-2025				
			Acquisitions	205,000.00	-205,705.20	
			Dispositions	-205,000.00		205,779.00
**	SBP4W4K4	GOLDMAN SACHS 3.85% DUE 07-08-2024				
			Acquisitions	475,000.00	-494,028.50	
			Dispositions	-475,000.00		496,588.75
**	SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045				
			Acquisitions	895,000.00	-943,247.00	
			Dispositions	-1,905,000.00		2,021,091.85
**	SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044				
			Acquisitions	105,000.00	-104,899.20	
			Dispositions	-305,000.00		332,063.00
**	SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045				
			Acquisitions	310,000.00	-315,461.50	
			Dispositions	-515,000.00		528,684.25
**	SB8BDN60	GOLDMAN SACHS 5.25% DUE 07-27-2021				
			Acquisitions	380,000.00	-427,306.20	
			Dispositions	-730,000.00		822,420.40
**	SB920YP3	GOLDMAN SACHS 6.25% DUE 02-01-2041				
			Acquisitions	405,000.00	-524,680.00	
			Dispositions	-855,000.00		1,077,632.75
**	SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037				
			Acquisitions	800,000.00	-993,655.00	
			Dispositions	-825,000.00		995,113.30
	SBMJ71M4	GRUPO TELEVISA S A 5% DUE 05-13-2045				
			Acquisitions	300,000.00	-279,469.20	
			Dispositions	-75,000.00		70,413.00
	SBZ1N3D6	GRUPO TELEVISA S A 6.125% DUE 01-31-2046				
			Acquisitions	290,000.00	-284,497.35	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-175,000.00		204,234.80
SBCR5XW4	HALLIBURTON CO 4.75% DUE 08-01-2043				
		Acquisitions	650,000.00	-624,648.00	
		Dispositions	-450,000.00		476,609.00
SBYNR4N6	HALLIBURTON CO 5% DUE 11-15-2045				
		Acquisitions	455,000.00	-490,922.10	
		Dispositions	-595,000.00		600,186.30
SBYZ7VM2	HARLEY-DAVIDSON INC 4.625% 07-28-2045				
		Acquisitions	185,000.00	-184,813.15	
		Dispositions	-160,000.00		175,352.00
SBFN0JK4	HARTFORD FINL SVCS 6.1% DUE 10-01-2041				
		Acquisitions	85,000.00	-104,636.70	
		Dispositions	-80,000.00		95,592.80
SB66F4L9	HASBRO INC 6.35% DUE 03-15-2040				
		Acquisitions	195,000.00	-236,265.90	
		Dispositions	-170,000.00		200,766.60
SBD7XTG1	HESS CORP 4.3% DUE 04-01-2027				
		Acquisitions	310,000.00	-309,349.00	
		Dispositions	-310,000.00		294,087.70
SBD8CP47	HOME DEPOT INC 2.125% DUE 09-15-2026				
		Acquisitions	235,000.00	-232,424.40	
		Dispositions	-235,000.00		229,757.15
SBD886H5	HOME DEPOT INC 3.5% DUE 09-15-2056				
		Acquisitions	595,000.00	-579,563.50	
		Dispositions	-275,000.00		263,675.50
SBYNN304	HOME DEPOT INC 3% DUE 04-01-2026				
		Acquisitions	190,000.00	-188,776.40	
		Dispositions	-190,000.00		190,462.95
SBDD8L53	HOME DEPOT INC 4.875 DUE 02-15-2044				
		Acquisitions	175,000.00	-196,544.25	
		Dispositions	-140,000.00		171,858.40
SBDF0WW1	HOSPITALITY PPTYS 5.25% DUE 02-15-2026				
		Acquisitions	155,000.00	-151,303.25	
		Dispositions	-155,000.00		163,244.45
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037				
		Acquisitions	195,000.00	-228,791.95	
		Dispositions	-195,000.00		227,588.40
SB39GHK8	HSBC HLDGS PLC 6.8% DUE 06-01-2038				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	155,000.00	-171,657.85	
		Dispositions	-155,000.00		191,893.10
SBZ3CM23	HUNTINGTON 3.15% DUE 03-14-2021				
		Acquisitions	645,000.00	-643,729.35	
		Dispositions	-645,000.00		667,178.20
SBDDQWX2	INTEL CORP 4.1% DUE 05-19-2046				
		Acquisitions	430,000.00	-427,574.80	
		Dispositions	-120,000.00		123,386.40
C458140AK6	INTEL CORP 4.8% DUE 10-01-2041				
		Acquisitions	155,000.00	-185,246.70	
		Dispositions	-155,000.00		182,771.35
SBYN2GW4	INTL BUSINESS 3.45% DUE 02-19-2026				
		Acquisitions	300,000.00	-298,995.00	
		Dispositions	-300,000.00		303,585.00
SBYN2GX5	INTL BUSINESS 4.7% DUE 02-19-2046				
		Acquisitions	345,000.00	-342,364.20	
		Dispositions	-140,000.00		162,780.80
SBD1FC31	INTL PAPER CO 3% DUE 02-15-2027				
		Acquisitions	315,000.00	-313,815.60	
		Dispositions	-315,000.00		308,602.35
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047				
		Acquisitions	850,000.00	-841,409.60	
		Dispositions	-455,000.00		430,063.30
SBN70J06	INTL PAPER CO 4.8% DUE 06-15-2044				
		Acquisitions	205,000.00	-216,795.70	
		Dispositions	-175,000.00		185,309.25
SBYZDKL2	J P MORGAN CHASE & CO 2.295% 08-15-2021				
		Acquisitions	2,540,000.00	-2,547,951.65	
		Dispositions	-765,000.00		762,611.75
SBD319Y7	JOHNSON & JOHNSON 3.7% DUE 03-01-2046				
		Acquisitions	335,000.00	-332,775.60	
		Dispositions	-335,000.00		335,736.70
SBDCN728	JP MORGAN CHASE BK 1.45% DUE 09-21-2018				
		Acquisitions	355,000.00	-354,833.15	
		Dispositions	-355,000.00		355,056.80
SBYP3G69	JPMORGAN CHASE 3.9% 07-15-2025				
		Acquisitions	110,000.00	-112,068.00	
		Dispositions	-110,000.00		113,245.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB90J106	JPMORGAN CHASE & CO FORMERLY J P MORGAN NT 6.4 DUE 05-15-2038 BEO				
		Acquisitions	50,000.00	-60,965.50	
		Dispositions	-215,000.00		282,943.40
SBZ027V0	JPMORGAN CHASE & CO 4.25% 10-01-2027				
		Acquisitions	340,000.00	-344,306.00	
		Dispositions	-1,550,000.00		1,611,196.65
SB7FRRL4	JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000				
		Acquisitions	70,000.00	-87,746.40	
		Dispositions	-50,000.00		56,574.50
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040				
		Acquisitions	185,000.00	-222,777.05	
		Dispositions	-170,000.00		206,854.50
SBD035K4	JPMORGAN CHASE & CO 5.625 DUE 08-16-2043 REG				
		Acquisitions	265,000.00	-303,615.05	
		Dispositions	-265,000.00		315,925.35
SBVGC404	JPMORGAN CHASE & FIXED 2.25% DUE 01-23-2020				
		Acquisitions	155,000.00	-157,404.05	
		Dispositions	-155,000.00		157,807.05
SBYZ2G88	JPMORGAN CHASE & 2.4% DUE 06-07-2021				
		Acquisitions	1,915,000.00	-1,923,012.90	
		Dispositions	-1,915,000.00		1,946,907.30
SBD31B96	JPMORGAN CHASE & 2.55% DUE 03-01-2021				
		Acquisitions	2,165,000.00	-2,188,036.35	
		Dispositions	-1,510,000.00		1,526,638.40
SBDGLCJ0	JPMORGAN CHASE & 2.7% DUE 05-18-2023				
		Acquisitions	520,000.00	-518,289.20	
		Dispositions	-520,000.00		514,624.40
SBYQ27Q2	JPMORGAN CHASE & 2.75% DUE 06-23-2020				
		Acquisitions	1,995,000.00	-2,004,158.30	
		Dispositions	-1,930,000.00		1,945,362.80
SBDHBPY5	JPMORGAN CHASE & 2.95% DUE 10-01-2026				
		Acquisitions	4,390,000.00	-4,383,565.65	
		Dispositions	-2,995,000.00		2,998,043.20
SBYZ2G99	JPMORGAN CHASE & 3.2% DUE 06-15-2026				
		Acquisitions	3,010,000.00	-3,006,685.50	
		Dispositions	-1,130,000.00		1,147,492.25
SBD3J3C7	JPMORGAN CHASE & 3.3% DUE 04-01-2026				
		Acquisitions	1,720,000.00	-1,721,792.80	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-1,720,000.00		1,759,606.05
SB4MPT58	JPMORGAN CHASE & 4.25% DUE 10-15-2020				
		Acquisitions	1,725,000.00	-1,836,969.75	
		Dispositions	-1,725,000.00		1,870,982.75
SBYR8P32	JPMORGAN CHASE & 4.95% DUE 06-01-2045				
		Acquisitions	100,000.00	-97,043.00	
		Dispositions	-100,000.00		97,992.00
SBD31BB8	KELLOGG CO 4.5% DUE 04-01-2046				
		Acquisitions	410,000.00	-405,912.30	
		Dispositions	-220,000.00		232,669.95
SBDGSFT2	KEYBANK NATL ASSN 2.35% DUE 03-08-2019				
		Acquisitions	250,000.00	-249,877.50	
		Dispositions	-250,000.00		253,002.50
SBD96MR0	KEYBANK NATL ASSN 3.4% DUE 05-20-2026				
		Acquisitions	250,000.00	-249,390.00	
		Dispositions	-120,000.00		120,132.00
SBWGCKR0	KIMCO RLTY CORP RT 4.25% DUE 04-01-2045				
		Acquisitions	85,000.00	-79,752.10	
		Dispositions	-35,000.00		33,134.15
SBDS6CH1	KIMCO RLTY CORP 4.125% DUE 12-01-2046				
		Acquisitions	365,000.00	-362,532.90	
		Dispositions	-85,000.00		78,413.35
SBDCG028	KROGER CO 1.5% DUE 09-30-2019				
		Acquisitions	495,000.00	-494,757.45	
		Dispositions	-250,000.00		245,950.00
SBYQ6DY4	LAM RESH CORP 3.9% DUE 06-15-2026				
		Acquisitions	990,000.00	-987,465.60	
		Dispositions	-990,000.00		988,772.40
SBYSVN69	LEGG MASON INC 4.75% DUE 03-15-2026				
		Acquisitions	765,000.00	-770,786.50	
		Dispositions	-155,000.00		167,731.40
SBD2NL04	LLOYDS BANKING GROUP PLC 3.1% 07-06-2021				
		Acquisitions	460,000.00	-459,659.60	
		Dispositions	-460,000.00		467,709.60
C539439AH2	LLOYDS BANKING GROUP PLC 4.582% 12-10-2025 BEO				
		Acquisitions	820,000.00	-815,080.00	
		Dispositions	-700,000.00		699,574.00
SBDB69Y4	LOCKHEED MARTIN 2.5% DUE 11-23-2020				

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The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	350,000.00	-350,234.50	
		Dispositions	-350,000.00		351,305.50
SBVYPPC4	LOCKHEED MARTIN 3.8% DUE 03-01-2045				
		Acquisitions	255,000.00	-258,925.90	
		Dispositions	-95,000.00		97,782.55
SBDB6944	LOCKHEED MARTIN 4.7% DUE 05-15-2046				
		Acquisitions	800,000.00	-912,137.95	
		Dispositions	-525,000.00		574,664.85
SBYP9PL5	LOWES COS INC 2.5% DUE 04-15-2026				
		Acquisitions	265,000.00	-263,791.60	
		Dispositions	-265,000.00		263,399.40
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046				
		Acquisitions	565,000.00	-573,246.65	
		Dispositions	-565,000.00		549,247.60
SB7142T2	LOWES COS INC 5.125% DUE 11-15-2041				
		Acquisitions	95,000.00	-122,311.55	
		Dispositions	-95,000.00		115,118.15
SBD0BTV7	MARSH & MCLENNAN 3.3% DUE 03-14-2023				
		Acquisitions	380,000.00	-379,574.40	
		Dispositions	-380,000.00		396,708.60
SBD6KVX4	MASTERCARD INC 2% DUE 11-21-2021				
		Acquisitions	530,000.00	-526,973.70	
		Dispositions	-530,000.00		523,038.40
SB2Q1JQ9	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRY SR NT 6.3% DUE 03-01-2038				
		Acquisitions	75,000.00	-99,987.00	
		Dispositions	-280,000.00		361,175.65
SBYWKW76	MCDONALDS CORP 3.7% DUE 01-30-2026				
		Acquisitions	265,000.00	-271,857.70	
		Dispositions	-470,000.00		493,734.00
SBYWKW98	MCDONALDS CORP 4.875% DUE 12-09-2045				
		Acquisitions	130,000.00	-131,029.60	
		Dispositions	-495,000.00		525,131.35
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045				
		Acquisitions	700,000.00	-788,956.80	
		Dispositions	-1,075,000.00		1,139,705.80
SBVG7BV9	MERCK & CO INC NEW 3.7% DUE 02-10-2045				
		Acquisitions	105,000.00	-105,509.25	
		Dispositions	-495,000.00		508,138.65

** Represents party-in-interest as defined by ERISA

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description			Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
	SB9D69N3	MERCK & CO INC 4.15% DUE 05-18-2043						
					Acquisitions	320,000.00	-350,691.20	
					Dispositions	-150,000.00		171,139.50
	SB83ZNK8	MERRILL LYNCH & CO 6.11% DUE 01-29-2037						
					Acquisitions	140,000.00	-164,687.30	
					Dispositions	-360,000.00		440,620.20
	SBBMT8T6	MERRILL LYNCH & CO 7.75% DUE 05-14-2038						
					Acquisitions	175,000.00	-247,030.70	
					Dispositions	-35,000.00		48,353.20
**	SBDD9HH0	METLIFE INC SR NT 4.368% SER D STEP UP	09-15-2023	09-11-2015				
					Acquisitions	95,000.00	-105,710.30	
					Dispositions	-195,000.00		206,748.75
**	SBW9JZD9	METLIFE INC 4.05% DUE 03-01-2045						
					Acquisitions	55,000.00	-53,925.85	
					Dispositions	-100,000.00		95,066.00
**	SB815P82	METLIFE INC 4.125% DUE 08-13-2042						
					Acquisitions	75,000.00	-67,727.25	
					Dispositions	-50,000.00		50,515.00
**	SBYQ29M2	METLIFE INC 4.6% DUE 05-13-2046			**			
					Acquisitions	275,000.00	-276,899.15	
					Dispositions	-340,000.00		356,563.35
**	SB019VJ1	METLIFE INC 6.375% DUE 06-15-2034						
					Acquisitions	360,000.00	-457,344.60	
					Dispositions	-210,000.00		264,615.30
**	SB1L8RP3	METLIFE INC 6.4% DUE 12-15-2036						
					Acquisitions	425,000.00	-441,845.00	
					Dispositions	-155,000.(**		173,809.25
	C594918AX2	MICROSOFT CORP	4.875	12-15-2043				
					Acquisitions	140,000.00	-165,376.40	
					Dispositions	-140,000.00		168,203.00
	SBVWXT95	MICROSOFT CORP 2.375% DUE 02-12-2022						
					Acquisitions	246,000.00	-246,769.98	
					Dispositions	-910,000.00		923,629.90
	C594918BR4	MICROSOFT CORP 2.4% DUE 08-08-2026						
					Acquisitions	160,000.00	-159,702.40	
					Dispositions	-160,000.00		152,742.40
	C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046						
					Acquisitions	2,215,000.00	-2,242,873.65	

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-560,000.00		551,801.10
SBVWXT40	MICROSOFT CORP 3.75% DUE 02-12-2045				
		Acquisitions	190,000.00	-189,891.70	
		Dispositions	-190,000.00		189,696.00
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056				
		Acquisitions	1,135,000.00	-1,101,330.95	
		Dispositions	-665,000.00		678,107.45
SBVWXT51	MICROSOFT CORP 4% DUE 02-12-2055				
		Acquisitions	155,000.00	-136,455.80	
		Dispositions	-245,000.00		248,890.95
SB1FTHZ2	MIDAMERICAN ENERGY 6.125% DUE 04-01-2036				
		Acquisitions	95,000.00	-123,477.20	
		Dispositions	-295,000.00		390,158.15
SBYW4290	MITSUBISHI UFJ FINANCIAL GROUP INC 2.19%BNDS 09-13-2021				
		Acquisitions	1,275,000.00	-1,274,605.20	
		Dispositions	-410,000.00		406,252.60
SBD6R414	MITSUBISHI UFJ FINANCIAL GROUP INC 3.85%03-01-2026				
		Acquisitions	1,895,000.00	-1,899,702.70	
		Dispositions	-1,895,000.00		1,986,650.80
SBD6R3T5	MITSUBISHI UFJ 2.95% DUE 03-01-2021				
		Acquisitions	1,045,000.00	-1,047,114.45	
		Dispositions	-1,045,000.00		1,076,627.80
SBYT4Q57	MOLSON COORS 2.1% DUE 07-15-2021				
		Acquisitions	1,385,000.00	-1,387,606.00	
		Dispositions	-410,000.00		412,370.20
SBYT4Q68	MOLSON COORS 3% DUE 07-15-2026				
		Acquisitions	260,000.00	-262,691.00	
		Dispositions	-260,000.00		245,728.60
SBYT4Q46	MOLSON COORS 4.2% DUE 07-15-2046				
		Acquisitions	920,000.00	-948,467.40	
		Dispositions	-625,000.00		627,187.05
SBP4W578	MONSANTO CO NEW 4.7% DUE 07-15-2064				
		Acquisitions	45,000.00	-36,743.85	
		Dispositions	-225,000.00		206,790.50
SBRJZZY3	MORGAN STANLEY FIXED 3.7% DUE 10-23-2024				
		Acquisitions	905,000.00	-916,800.60	
		Dispositions	-1,470,000.00		1,509,338.00
SBYPCT01	MORGAN STANLEY 2.5% DUE 04-21-2021				

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The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	800,000.00	-797,312.00	
		Dispositions	-800,000.00		802,919.60
SBZ3FFF6	MORGAN STANLEY 2.625% DUE 11-17-2021				
		Acquisitions	3,185,000.00	-3,169,329.45	
		Dispositions	-330,000.00		326,558.10
SBYV6G28	MORGAN STANLEY 3.125% DUE 07-27-2026				
		Acquisitions	830,000.00	-818,280.00	
		Dispositions	-655,000.00		660,940.85
SBDCRXV1	MORGAN STANLEY 3.875% DUE 01-27-2026				
		Acquisitions	4,230,000.00	-4,251,207.20	
		Dispositions	-4,230,000.00		4,340,505.30
SBWXTWR8	MORGAN STANLEY 3.95% DUE 04-23-2027				
		Acquisitions	80,000.00	-80,381.60	
		Dispositions	-80,000.00		80,395.20
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045				
		Acquisitions	255,000.00	-260,567.55	
		Dispositions	-515,000.00		516,540.00
SBQSC344	MORGAN STANLEY 4.35 DUE 09-08-2026				
		Acquisitions	845,000.00	-832,468.20	
		Dispositions	-1,405,000.00		1,413,051.65
SBYTCKF9	MORGAN STANLEY 4% DUE 07-23-2025				
		Acquisitions	1,160,000.00	-1,194,204.55	
		Dispositions	-1,160,000.00		1,187,884.70
SBLSP7P7	MORGAN STANLEY 5% DUE 11-24-2025				
		Acquisitions	510,000.00	-529,311.30	
		Dispositions	-545,000.00		584,706.40
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042				
		Acquisitions	840,000.00	-1,128,516.90	
		Dispositions	-405,000.00		535,644.25
SBD8PHQ2	NEW YORK & 4.063% DUE 08-01-2056				
		Acquisitions	140,000.00	-139,994.40	
		Dispositions	-140,000.00		144,268.30
SBD3J3J4	NEWELL BRANDS INC 3.15% DUE 04-01-2021				
		Acquisitions	780,000.00	-778,713.00	
		Dispositions	-780,000.00		804,525.80
SBD3J3H2	NEWELL BRANDS INC 4.2% DUE 04-01-2026				
		Acquisitions	345,000.00	-344,303.10	
		Dispositions	-345,000.00		367,483.65

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD3J3G1	NEWELL BRANDS INC 5.375% DUE 04-01-2036				
		Acquisitions	665,000.00	-762,878.35	
		Dispositions	-135,000.00		159,194.70
SBD3J3M7	NEWELL BRANDS INC 5.5% DUE 04-01-2046				
		Acquisitions	1,140,000.00	-1,235,288.60	
		Dispositions	-535,000.00		663,029.60
SB1WV0T6	NEXEN INC 6.4% DUE 05-15-2037				
		Acquisitions	355,000.00	-397,969.20	
		Dispositions	-320,000.00		373,118.95
SBD5FYS6	NIKE INC 2.375% DUE 11-01-2026				
		Acquisitions	360,000.00	-359,488.80	
		Dispositions	-360,000.00		360,633.60
SBYQGY18	NIKE INC 3.875% DUE 11-01-2045				
		Acquisitions	335,000.00	-344,816.45	
		Dispositions	-235,000.00		239,278.15
SB3NGH48	NOBLE HLDG INTL LTD GTD SR NT 4.625 DUE 03-01-2021				
		Acquisitions	155,000.00	-105,400.00	
		Dispositions	-155,000.00		104,431.25
SBNFX1H8	NORDSTROM INC 5% DUE 01-15-2044				
		Acquisitions	610,000.00	-628,476.85	
		Dispositions	-210,000.00		210,004.45
SBYZ2G77	NORFOLK SOUTHN 2.9% DUE 06-15-2026				
		Acquisitions	245,000.00	-244,171.90	
		Dispositions	-245,000.00		244,892.20
SBYV2RZ6	NORFOLK SOUTHN 4.65% DUE 01-15-2046				
		Acquisitions	120,000.00	-117,070.80	
		Dispositions	-320,000.00		313,840.00
SBVVN4R9	NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045				
		Acquisitions	325,000.00	-329,020.25	
		Dispositions	-585,000.00		578,838.60
SBK1PN26	NOVARTIS CAP CORP 4.4% DUE 05-06-2044				
		Acquisitions	215,000.00	-229,215.80	
		Dispositions	-860,000.00		1,000,549.65
SBDCBVP3	NOVARTIS CAP CORP 4% DUE 11-20-2045				
		Acquisitions	1,000,000.00	-1,076,855.25	
		Dispositions	-495,000.00		529,345.90
SBDBFN27	OCCIDENTAL PETE 3.4% DUE 04-15-2026				
		Acquisitions	270,000.00	-269,384.40	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-270,000.00		277,624.80
SBZ1C634	OCCIDENTAL PETE 3% DUE 02-15-2027				
		Acquisitions	150,000.00	-149,368.50	
		Dispositions	-150,000.00		147,690.00
SBDBFN16	OCCIDENTAL PETE 4.4% DUE 04-15-2046				
		Acquisitions	120,000.00	-132,778.80	
		Dispositions	-120,000.00		126,002.00
SBYVGS22	ORACLE CORP 1.9% DUE 09-15-2021				
		Acquisitions	2,335,000.00	-2,333,498.35	
		Dispositions	-2,335,000.00		2,317,182.70
SBY7RCY9	ORACLE CORP 2.4% DUE 09-15-2023				
		Acquisitions	420,000.00	-423,196.20	
		Dispositions	-420,000.00		406,471.80
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045				
		Acquisitions	275,000.00	-285,152.25	
		Dispositions	-290,000.00		301,246.20
SBWTM356	ORACLE CORP 4.375% DUE 05-15-2055				
		Acquisitions	315,000.00	-324,251.55	
		Dispositions	-245,000.00		263,642.50
SBNXJBK1	ORACLE CORP 4.5% DUE 07-08-2044				
		Acquisitions	735,000.00	-782,315.85	
		Dispositions	-285,000.00		313,183.05
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046				
		Acquisitions	1,120,000.00	-1,107,525.40	
		Dispositions	-270,000.00		276,645.50
SB2853J0	PACIFICORP 6.25% DUE 10-15-2037				
		Acquisitions	160,000.00	-223,297.60	
		Dispositions	-130,000.00		180,050.00
SBZ30BC0	PEPSICO INC 4.45% DUE 04-14-2046				
		Acquisitions	400,000.00	-438,203.70	
		Dispositions	-470,000.00		530,362.85
SBG491M3	PEPSICO INC 5.5% DUE 01-15-2040				
		Acquisitions	325,000.00	-388,313.25	
		Dispositions	-250,000.00		328,256.25
SBSTCPR4	PERRIGO FIN PLC 3.5% DUE 12-15-2021				
		Acquisitions	385,000.00	-393,115.80	
		Dispositions	-800,000.00		825,568.00
SBST7814	PERRIGO FIN PLC 4.9% DUE 12-15-2044				

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The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	240,000.00	-223,221.60	
		Dispositions	-145,000.00		140,667.40
SBDB49R1	PERRIGO FIN UNLTD 3.5% DUE 03-15-2021				
		Acquisitions	430,000.00	-429,604.40	
		Dispositions	-430,000.00		441,954.00
SBDB49P9	PERRIGO FIN UNLTD 4.375% DUE 03-15-2026				
		Acquisitions	205,000.00	-204,489.55	
		Dispositions	-205,000.00		216,906.40
SBVG2RL6	PETROLEOS MEXICANOS 144A TRANCHE # TR 00028 4.5% DUE 01-23-2026 REG				
		Acquisitions	105,000.00	-91,350.00	
		Dispositions	-105,000.00		92,793.75
SBDHDPY1	PETROLEOS 4.625% DUE 09-21-2023				
		Acquisitions	740,000.00	-741,417.50	
		Dispositions	-740,000.00		706,700.00
C71654QAZ5	PETROLEOS 6.5% DUE 06-02-2041				
		Acquisitions	995,000.00	-958,683.75	
		Dispositions	-715,000.00		721,105.00
SBYZ2GB1	PFIZER INC 1.95% DUE 06-03-2021				
		Acquisitions	845,000.00	-843,757.85	
		Dispositions	-845,000.00		854,607.65
SBYZ2GC2	PFIZER INC 2.75% DUE 06-03-2026				
		Acquisitions	290,000.00	-289,924.60	
		Dispositions	-290,000.00		293,875.10
SBGM0MQ9	PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043				
		Acquisitions	210,000.00	-225,172.50	
		Dispositions	-130,000.00		158,216.50
SBYY7LD4	PHILIP MORRIS INTL 1.875% DUE 02-25-2021				
		Acquisitions	345,000.00	-342,143.40	
		Dispositions	-345,000.00		349,533.30
SBD5YM89	PHILIP MORRIS INTL 2.125% DUE 05-10-2023				
		Acquisitions	605,000.00	-599,894.50	
		Dispositions	-605,000.00		577,460.40
SBSMTK33	PHILIP MORRIS INTL 4.25% DUE 11-10-2044				
		Acquisitions	265,000.00	-261,085.95	
		Dispositions	-265,000.00		257,892.55
SB6VMZ67	PHILIP MORRIS INTL 4.375% DUE 11-15-2041				
		Acquisitions	195,000.00	-218,089.80	
		Dispositions	-275,000.00		276,900.25

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBSPCBQ9	PHILLIPS 66 4.65% DUE 11-15-2034				
		Acquisitions	365,000.00	-376,377.15	
		Dispositions	-305,000.00		318,484.05
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044				
		Acquisitions	225,000.00	-236,736.00	
		Dispositions	-800,000.00		859,125.60
SBT8RSL2	PLAINS ALL AMERN PIPELN L P/PAA 4.9% 02-15-2045				
		Acquisitions	185,000.00	-137,591.90	
		Dispositions	-390,000.00		363,962.00
SBQQCCH2	PLAINS ALL AMERN 3.6% DUE 11-01-2024				
		Acquisitions	95,000.00	-94,383.45	
		Dispositions	-275,000.00		242,508.75
SBDDQWQ5	PPL CAP FDG INC 3.1% DUE 05-15-2026				
		Acquisitions	800,000.00	-808,209.85	
		Dispositions	-155,000.00		149,365.75
SBYVCP07	PREMIER HLTH 2.911% DUE 11-15-2026				
		Acquisitions	355,000.00	-355,000.00	
		Dispositions	-355,000.00		357,105.15
SBYYHL01	PROVID HLTH & SVCS 3.744% DUE 10-01-2047				
		Acquisitions	240,000.00	-240,000.00	
		Dispositions	-130,000.00		119,927.60
SBYYD0H9	PUB SVC ELEC GAS 3.8% DUE 03-01-2046				
		Acquisitions	470,000.00	-468,995.60	
		Dispositions	-230,000.00		239,403.55
SBZ6SMQ2	PVTPL ACTIVISION BLIZZARD INC SR NT 144A2.3% DUE 09-15-2021/09-19-2016 BEO				
		Acquisitions	620,000.00	-619,243.60	
		Dispositions	-620,000.00		619,479.20
SBD5MF28	PVTPL AT&T INC GLOBAL NT 144A 4.5% DUE 03-09-2048/09-07-2016 BEO				
		Acquisitions	170,000.00	-170,329.80	
		Dispositions	-1,062,171.90		1,016,164.76
SBD5Z1K7	PVTPL AT&T INC GLOBAL NT 144A 4.55% DUE 03-09-2049/09-09-2048 BEO				
		Acquisitions	210,000.00	-197,992.20	
		Dispositions	-420,908.24		412,285.00
C05565EAF2	PVTPL BMW US CAP LLC NT 144A 2% DUE 04-11-2021/04-11-2016 BEO				
		Acquisitions	225,000.00	-224,435.25	
		Dispositions	-225,000.00		225,326.25
SBZ124W9	PVTPL CF INDS INC SR SECD NT 144A 4.5% DUE 12-01-2026 BEO				
		Acquisitions	300,000.00	-298,155.00	

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Appendix B

The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-85,000.00		84,544.40
SBYVC6Q0	PVTPL COX COMMUNICATIONS INC NEW NT 144A3.35% DUE 09-15-2026/06-15-2026 BEO				
		Acquisitions	330,000.00	-329,442.30	
		Dispositions	-330,000.00		330,306.90
C225433AS0	PVTPL CREDIT SUISSE GROUP FUNDING GTD SRNT 144A 3.8% DUE 06-09-2023 BEO				
		Acquisitions	1,025,000.00	-1,030,585.60	
		Dispositions	-125,000.00		125,052.50
SBD96MH0	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 FIN CORP 1ST LIEN NT 144A 6.02				
		Acquisitions	230,000.00	-229,889.60	
		Dispositions	-230,000.00		230,128.80
SBZBZ0D1	PVTPL FORTIVE CORP SR NT 144A 4.3% DUE 06-15-2046/06-20-2016 BEO				
		Acquisitions	295,000.00	-297,209.45	
		Dispositions	-110,000.00		112,712.60
SBYSLBD2	PVTPL HEINZ(H.J.)CO BNDS 5.2% DUE 07-15-2045 BEO				
		Acquisitions	155,000.00	-161,961.05	
		Dispositions	-155,000.00		165,436.15
SBYZFGD2	PVTPL HEWLETT PACKARD ENTERPRISE CO NT 144A 6.6% DUE 10-15-2045/10-09-2015 BEO				
		Acquisitions	125,000.00	-124,616.25	
		Dispositions	-90,000.00		77,825.70
SBDGNMW9	PVTPL KRAFT HEINZ FOODS CO SR NT 144A 4.375% DUE 06-01-2046/05-24-2016 BEO				
		Acquisitions	930,000.00	-933,231.60	
		Dispositions	-175,000.00		174,186.25
SBD1FCR5	PVTPL MASSACHUSETTS ELEC CO SR NT 144A 4.004% DUE 08-15-2046/08-05-2016 BEO				
		Acquisitions	115,000.00	-115,000.00	
		Dispositions	-115,000.00		118,293.60
SBYQRJ16	PVTPL MIZUHO FINL GROUP INC SR NT 144A 3.477% DUE 04-12-2026 BEO				
		Acquisitions	560,000.00	-560,000.00	
		Dispositions	-560,000.00		565,149.20
C71656LBE0	PVTPL PETROLEOS MEXICANOS 5.625% DUE 01-23-2046 BEO				
		Acquisitions	405,000.00	-302,760.00	
		Dispositions	-100,000.00		77,750.00
SB5KL621	PVTPL ROCHE HLDGS INC GTD NT 144A 7% DUE03-01-2039 BEO				
		Acquisitions	170,000.00	-265,319.00	
		Dispositions	-170,000.00		247,866.80
C80281LAB1	PVTPL SANTANDER UK GROUP HLDGS PLC 5.625% DUE 09-15-2045 BEO				
		Acquisitions	70,000.00	-64,775.90	
		Dispositions	-70,000.00		69,265.00
C90351DAH0	PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 2.65% DUE 02-01-2022 BEO				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	490,000.00	-489,706.00	
		Dispositions	-490,000.00		491,068.20
C90351DAB3	PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 4.125% DUE 09-24-2025 BEO				
		Acquisitions	135,000.00	-141,354.45	
		Dispositions	-625,000.00		639,479.60
C92347XAA4	PVTPL VERIZON OWNER TR 2016-1 AST BACKEDNT CL A 144A 1.42 DUE 01-20-2021BEO				
		Acquisitions	1,210,000.00	-1,211,335.67	
		Dispositions	-595,000.00		594,238.48
SBYZDJQ0	PVTPL WESTLAKE CHEM CORP SR NT 144A 3.6%DUE 08-15-2026/08-10-2016 BEO				
		Acquisitions	695,000.00	-693,120.05	
		Dispositions	-70,000.00		69,772.50
C21684AAD8	RABOBANK NEDERLAND 5.25% DUE 08-04-2045				
		Acquisitions	320,000.00	-373,487.50	
		Dispositions	-1,085,000.00		1,186,507.15
SBYVQW75	REALTY INCOME CORP 3% DUE 01-15-2027				
		Acquisitions	375,000.00	-370,016.25	
		Dispositions	-375,000.00		371,451.00
SBPC1VJ1	REGENCY ENERGY 5% DUE 10-01-2022				
		Acquisitions	150,000.00	-161,112.00	
		Dispositions	-925,000.00		968,187.00
SBZ6SK57	REGIONS FINL CORP 3.2% DUE 02-08-2021				
		Acquisitions	230,000.00	-232,918.70	
		Dispositions	-230,000.00		238,967.70
SBD20310	REINS GROUP AMER 3.95% DUE 09-15-2026				
		Acquisitions	395,000.00	-394,984.20	
		Dispositions	-395,000.00		398,266.95
SBYZCMG8	REPUBLIC SVCS INC 2.9% DUE 07-01-2026				
		Acquisitions	280,000.00	-279,398.00	
		Dispositions	-280,000.00		283,211.60
SBZ0HGS5	REYNOLDS AMERN INC FIXED 2.3% DUE 06-12-2018				
		Acquisitions	270,000.00	-274,303.80	
		Dispositions	-270,000.00		273,771.90
SBZ0W055	REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035				
		Acquisitions	60,000.00	-75,148.80	
		Dispositions	-390,000.00		433,902.70
SBZ0HGQ3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045				
		Acquisitions	1,055,000.00	-1,312,609.90	
		Dispositions	-430,000.00		552,228.95

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Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8NWTL2	RIO TINTO FIN USA 4.125 DUE 08-21-2042				
		Acquisitions	190,000.00	-166,493.20	
		Dispositions	-295,000.00		280,358.65
SBH7KDQ8	ROCKWELL COLLINS INC DEL 4.8 DUE 12-15-2043				
		Acquisitions	165,000.00	-181,838.25	
		Dispositions	-165,000.00		189,289.65
SBZB1G20	S CAROLINA ELEC & 4.1% DUE 06-15-2046				
		Acquisitions	330,000.00	-329,320.20	
		Dispositions	-150,000.00		160,873.50
SBD0SY57	SANTANDER UK GROUP 3.125% DUE 01-08-2021				
		Acquisitions	105,000.00	-104,893.95	
		Dispositions	-105,000.00		105,952.35
SBXQ9DY6	SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045				
		Acquisitions	460,000.00	-494,288.40	
		Dispositions	-780,000.00		770,595.60
SBYY8XV9	SHERWIN WILLIAMS 4.55% DUE 08-01-2045				
		Acquisitions	160,000.00	-158,676.15	
		Dispositions	-720,000.00		753,185.20
SBD1XH63	SHIRE ACQUISITIONS 2.4% DUE 09-23-2021				
		Acquisitions	975,000.00	-975,591.00	
		Dispositions	-285,000.00		284,974.35
SBD1XH85	SHIRE ACQUISITIONS 3.2% DUE 09-23-2026				
		Acquisitions	1,065,000.00	-1,064,242.65	
		Dispositions	-185,000.00		179,335.30
SBDFY6H6	SIMON PPTY GROUP L 2.5% DUE 07-15-2021				
		Acquisitions	305,000.00	-304,749.90	
		Dispositions	-305,000.00		306,717.15
SBQQLW79	SIMON PPTY GROUP L 3.375% DUE 10-01-2024				
		Acquisitions	200,000.00	-202,028.00	
		Dispositions	-200,000.00		203,064.00
SBYT1517	SOUTHERN CO 4.25% DUE 07-01-2036				
		Acquisitions	725,000.00	-746,038.95	
		Dispositions	-245,000.00		241,881.15
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046				
		Acquisitions	1,090,000.00	-1,081,263.80	
		Dispositions	-570,000.00		617,932.50
SB8FM8R9	SOUTHN COPPER CORP 5.25% DUE 11-08-2042				
		Acquisitions	75,000.00	-63,300.00	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-75,000.00		69,000.00
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045				
		Acquisitions	265,000.00	-258,696.95	
		Dispositions	-405,000.00		376,750.00
SB806KL4	SOUTHN COPPER 6.75% DUE 04-16-2040				
		Acquisitions	130,000.00	-124,588.10	
		Dispositions	-435,000.00		474,290.00
SB2NJ7Z1	SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038				
		Acquisitions	185,000.00	-247,797.75	
		Dispositions	-90,000.00		117,306.00
SB3VRKX3	SPECTRA ENERGY CAP 7.5% DUE 09-15-2038				
		Acquisitions	105,000.00	-132,286.35	
		Dispositions	-105,000.00		132,499.50
SBZ563Z3	STARBUCKS CORP 2.1% DUE 02-04-2021				
		Acquisitions	310,000.00	-309,823.30	
		Dispositions	-310,000.00		306,847.30
SBYYG2D8	STARBUCKS CORP 4.3% DUE 06-15-2045				
		Acquisitions	215,000.00	-231,456.10	
		Dispositions	-80,000.00		95,313.60
SBLSP5D1	STATOIL ASA 3.95 DUE 05-15-2043				
		Acquisitions	100,000.00	-98,528.00	
		Dispositions	-470,000.00		436,837.90
SBYQGY29	STRYKER CORP 3.375% DUE 11-01-2025				
		Acquisitions	410,000.00	-408,630.60	
		Dispositions	-410,000.00		421,213.50
SBDGSDP4	STRYKER CORP 3.5% DUE 03-15-2026				
		Acquisitions	260,000.00	-258,304.80	
		Dispositions	-260,000.00		259,584.00
SBZ78L86	STRYKER CORP 4.625% DUE 03-15-2046				
		Acquisitions	245,000.00	-242,290.30	
		Dispositions	-245,000.00		244,856.30
C86562MAH3	SUMITOMO MITSUI 2.442% DUE 10-19-2021				
		Acquisitions	245,000.00	-245,000.00	
		Dispositions	-245,000.00		246,053.50
SBDCRBQ2	SUMITOMO MITSUI 3.784% DUE 03-09-2026				
		Acquisitions	1,090,000.00	-1,090,000.00	
		Dispositions	-1,090,000.00		1,133,439.50
SBDGLCV2	SUNTRUST BANK 3.3% DUE 04-15-2026 BEO				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	505,000.00	-502,444.70	
		Dispositions	-210,000.00		210,016.80
SBYYK3C6	SUNTRUST BKS INC 2.9% DUE 03-03-2021				
		Acquisitions	480,000.00	-478,958.40	
		Dispositions	-480,000.00		491,515.20
SBYQ4R24	SYSCO CORP 2.5% DUE 07-15-2021				
		Acquisitions	545,000.00	-551,401.25	
		Dispositions	-190,000.00		194,630.30
SBD07368	TARGET CORP 2.5% DUE 04-15-2026				
		Acquisitions	200,000.00	-199,982.00	
		Dispositions	-200,000.00		200,512.00
SBD073D5	TARGET CORP 3.625% DUE 04-15-2046				
		Acquisitions	675,000.00	-657,289.50	
		Dispositions	-165,000.00		170,201.10
SB9K8J87	TARGET CORP 4% DUE 07-01-2042				
		Acquisitions	120,000.00	-127,038.00	
		Dispositions	-120,000.00		132,168.00
SBD3GSZ6	TEVA PHARMACEUTICAL INDST LTD 4.1% BDS 10-01-2046 USD2000				
		Acquisitions	245,000.00	-242,959.15	
		Dispositions	-245,000.00		239,492.40
SBD3GNW8	TEVA 1.4% DUE 07-20-2018				
		Acquisitions	525,000.00	-524,548.50	
		Dispositions	-525,000.00		520,448.25
SB4R23P2	TEXAS ST 4.681% 04-01-2040 BEO TAXABLE				
		Acquisitions	160,000.00	-187,750.40	
		Dispositions	-390,000.00		452,715.90
SB441BS1	TIME WARNER CABLE 5.5% DUE 09-01-2041				
		Acquisitions	170,000.00	-184,359.90	
		Dispositions	-210,000.00		225,596.70
SB287YY8	TRANSCANADA 6.2% DUE 10-15-2037				
		Acquisitions	135,000.00	-144,579.60	
		Dispositions	-430,000.00		536,947.65
SBD8BSC3	TRAVELERS COS INC 3.75% DUE 05-15-2046				
		Acquisitions	200,000.00	-199,589.90	
		Dispositions	-90,000.00		95,154.30
SBYYWJ13	TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026				
		Acquisitions	1,255,000.00	-1,315,654.95	
		Dispositions	-105,000.00		131,175.45

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C89641UAC5	TRINITY ACQSTN PLC 4.4% DUE 03-15-2026				
		Acquisitions	465,000.00	-463,037.70	
		Dispositions	-205,000.00		212,589.20
SBD6P612	U S BANCORP MEDIUM 2.375% DUE 07-22-2026				
		Acquisitions	420,000.00	-415,976.85	
		Dispositions	-420,000.00		396,828.60
C90351DAF4	UBS GROUP FDG 4.125% DUE 04-15-2026				
		Acquisitions	580,000.00	-579,048.85	
		Dispositions	-490,000.00		505,257.95
SBYYQNY6	UNILEVER CAP CORP 1.375% DUE 07-28-2021				
		Acquisitions	390,000.00	-386,895.60	
		Dispositions	-390,000.00		387,234.90
SBYQGY41	UNION PAC CORP 4.05% DUE 11-15-2045				
		Acquisitions	150,000.00	-168,507.00	
		Dispositions	-150,000.00		157,543.50
SBYQGY30	UNION PAC CORP 4.375% DUE 11-15-2065				
		Acquisitions	300,000.00	-305,893.70	
		Dispositions	-110,000.00		121,836.00
SBFWLBR9	UNION PAC CORP 4.75 DUE 12-15-2043				
		Acquisitions	225,000.00	-257,989.50	
		Dispositions	-450,000.00		532,012.55
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045				
		Acquisitions	175,000.00	-200,030.25	
		Dispositions	-910,000.00		1,013,265.85
SBD9XW37	US BANCORP 3.1% DUE 04-27-2026				
		Acquisitions	430,000.00	-429,668.90	
		Dispositions	-430,000.00		440,383.45
SBYQ74V1	UTD PARCEL SVC INC 2.4% DUE 11-15-2026				
		Acquisitions	245,000.00	-244,911.80	
		Dispositions	-245,000.00		228,886.35
SBYQ74Q6	UTD PARCEL SVC INC 3.4% DUE 11-15-2046				
		Acquisitions	105,000.00	-104,079.15	
		Dispositions	-105,000.00		95,698.05
SBD4G7B4	VALE OVERSEAS LTD 6.25% DUE 08-10-2026				
		Acquisitions	520,000.00	-532,250.00	
		Dispositions	-220,000.00		227,700.00
SB5B54D9	VALE OVERSEAS LTD 6.875% DUE 11-10-2039				
		Acquisitions	655,000.00	-609,357.50	

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The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-630,000.00		562,335.00
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036				
		Acquisitions	320,000.00	-269,862.50	
		Dispositions	-500,000.00		341,705.00
SBD5M8B8	VALERO ENERGY CORP 3.4% DUE 09-15-2026				
		Acquisitions	385,000.00	-381,106.45	
		Dispositions	-130,000.00		129,715.30
SB1YLTG4	VALERO ENERGY CORP 6.625% DUE 06-15-2037				
		Acquisitions	170,000.00	-175,409.40	
		Dispositions	-265,000.00		313,802.40
SBDHDQ15	VENTAS RLTY LTD 3.25% DUE 10-15-2026				
		Acquisitions	190,000.00	-189,640.90	
		Dispositions	-190,000.00		189,802.40
SBSBN5N1	VERIZON COMMUNICATIONS 4.4% DUE 11-01-2034 REG				
		Acquisitions	880,000.00	-827,388.30	
		Dispositions	-880,000.00		828,747.10
SBYRLSF4	VERIZON COMMUNICATIONS 4.522% 09-15-2048				
		Acquisitions	1,035,000.00	-1,017,980.75	
		Dispositions	-959,000.00		956,306.15
SBF320C9	VERIZON COMMUNICATIONS 5.15 BDS DUE 09-15-2023 USD2000 REG				
		Acquisitions	255,000.00	-296,909.25	
		Dispositions	-295,000.00		346,353.60
SB90QPZ0	VERIZON COMMUNICATIONS 6 DUE 04-01-2041				
		Acquisitions	145,000.00	-167,331.45	
		Dispositions	-315,000.00		400,031.10
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036				
		Acquisitions	860,000.00	-796,116.00	
		Dispositions	-865,000.00		861,444.55
SBYM0W21	VERIZON 4.672% DUE 03-15-2055				
		Acquisitions	1,170,000.00	-1,141,461.70	
		Dispositions	-1,025,000.00		994,859.05
SBVYRK47	VERIZON 5.012% DUE 08-21-2054				
		Acquisitions	740,000.00	-772,897.00	
		Dispositions	-1,605,000.00		1,703,565.70
SBKX5BV9	VERIZON 5.05% DUE 03-15-2034				
		Acquisitions	140,000.00	-158,363.80	
		Dispositions	-625,000.00		638,732.50
SBDGL609	VIACOM INC NEW 3.45% DUE 10-04-2026				

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	120,000.00	-119,377.20	
		Dispositions	-120,000.00		110,355.60
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043				
		Acquisitions	270,000.00	-228,861.60	
		Dispositions	-1,075,000.00		896,175.30
C92553PAZ5	VIACOM INC NEW 4.85% DUE 12-15-2034				
		Acquisitions	75,000.00	-67,320.75	
		Dispositions	-80,000.00		70,867.20
SBYPHFD1	VISA INC 2.2% DUE 12-14-2020				
		Acquisitions	370,000.00	-373,736.50	
		Dispositions	-790,000.00		813,775.35
SBYPHGN8	VISA INC 3.15% DUE 12-14-2025				
		Acquisitions	115,000.00	-121,457.25	
		Dispositions	-605,000.00		634,951.95
SBYPHFN1	VISA INC 4.3% DUE 12-14-2045				
		Acquisitions	1,000,000.00	-1,136,540.65	
		Dispositions	-820,000.00		920,747.55
SBG49714	WAL-MART STORES 5.625% DUE 04-01-2040				
		Acquisitions	70,000.00	-90,793.50	
		Dispositions	-140,000.00		186,081.00
SB2QVLK7	WAL-MART STORES 6.2% DUE 04-15-2038				
		Acquisitions	120,000.00	-163,909.20	
		Dispositions	-275,000.00		359,826.75
SBJ0K1P4	WAL-MART STORES 6.5% DUE 08-15-2037				
		Acquisitions	155,000.00	-203,113.55	
		Dispositions	-215,000.00		300,173.50
SB88JSW2	WALGREEN CO 4.4% DUE 09-15-2042				
		Acquisitions	185,000.00	-186,463.40	
		Dispositions	-475,000.00		464,485.75
C931427AC2	WALGREENS BOOTS FIXED 4.8% DUE 11-18-2044				
		Acquisitions	110,000.00	-111,446.50	
		Dispositions	-595,000.00		603,665.10
C931427AP3	WALGREENS BOOTS 3.1% DUE 06-01-2023				
		Acquisitions	365,000.00	-363,996.25	
		Dispositions	-365,000.00		369,458.25
SBYVG9R4	WALGREENS BOOTS 4.65% DUE 06-01-2046				
		Acquisitions	445,000.00	-441,772.00	
		Dispositions	-200,000.00		206,598.00

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

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SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044				
		Acquisitions	520,000.00	-540,598.55	
		Dispositions	-100,000.00		95,643.00
SBYQXN34	WELLS FARGO & CO NEW MEDIUM TERM SR NTS TRANCHE # TR 00155 4.3% DUE 07-22-2027				
		Acquisitions	75,000.00	-81,154.50	
		Dispositions	-75,000.00		80,213.25
SBYYKPB9	WELLS FARGO & CO 2.1% DUE 07-26-2021				
		Acquisitions	2,035,000.00	-2,032,499.10	
		Dispositions	-1,815,000.00		1,800,153.55
SBYT2BY5	WELLS FARGO & CO 2.5% DUE 03-04-2021				
		Acquisitions	5,670,000.00	-5,745,908.80	
		Dispositions	-4,155,000.00		4,221,983.75
SBZ5ZBL2	WELLS FARGO & CO 2.55% DUE 12-07-2020				
		Acquisitions	2,200,000.00	-2,233,841.20	
		Dispositions	-3,540,000.00		3,611,715.10
SBX2H0V0	WELLS FARGO & CO 3.9% DUE 05-01-2045				
		Acquisitions	260,000.00	-275,720.50	
		Dispositions	-1,905,000.00		1,887,788.25
SBD72T35	WELLS FARGO & CO 3% DUE 04-22-2026				
		Acquisitions	1,045,000.00	-1,042,706.80	
		Dispositions	-1,045,000.00		1,052,523.35
SBYQ74R7	WELLS FARGO & CO 3% DUE 10-23-2026				
		Acquisitions	1,410,000.00	-1,408,955.70	
		Dispositions	-135,000.00		130,019.85
SBD39X50	WELLS FARGO & CO 4.4% DUE 06-14-2046				
		Acquisitions	1,230,000.00	-1,226,341.60	
		Dispositions	-605,000.00		617,494.05
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046				
		Acquisitions	555,000.00	-554,095.35	
		Dispositions	-75,000.00		74,524.50
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045				
		Acquisitions	225,000.00	-232,691.25	
		Dispositions	-455,000.00		472,005.40
SBG48MR2	WELLS FARGO & CO 5.375% DUE 11-02-2043				
		Acquisitions	315,000.00	-359,766.10	
		Dispositions	-420,000.00		476,704.85
SBJTGHH7	WELLS FARGO & CO 5.606% DUE 01-15-2044				
		Acquisitions	195,000.00	-218,049.00	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-205,000.00		235,656.45
SBDCRXR7	WELLS FARGO BK N A SAN FRANCISCO CALIF MTRANCHE # TR 00841 1.65% DUE 01-22-2018				
		Acquisitions	550,000.00	-549,840.50	
		Dispositions	-550,000.00		551,980.00
SBZ6CSM2	WELLS FARGO BK NA FIXED 1.75% DUE 05-24-2019				
		Acquisitions	305,000.00	-304,868.85	
		Dispositions	-305,000.00		306,950.50
SBYZDJP9	WESTLAKE CHEM CORP 5% DUE 08-15-2046				
		Acquisitions	690,000.00	-672,515.40	
		Dispositions	-145,000.00		142,930.85
SBD2NKX0	WESTN GAS PARTNERS 4.65% DUE 07-01-2026				
		Acquisitions	175,000.00	-174,643.00	
		Dispositions	-175,000.00		176,127.00
SBZ4T836	21ST CENTY FOX 3.7% DUE 10-15-2025				
		Acquisitions	220,000.00	-218,429.20	
		Dispositions	-360,000.00		381,690.00
SBVYTWX6	21ST CENTY FOX 3% DUE 09-15-2022				
		Acquisitions	645,000.00	-663,228.70	
		Dispositions	-295,000.00		292,831.75
SBWV6C71	21ST CENTY FOX 6.65% DUE 11-15-2037				
		Acquisitions	240,000.00	-292,694.40	
		Dispositions	-100,000.00		133,362.00
SBYSXRC9	5TH 3RD BK CIN OH 3.85% DUE 03-15-2026				
		Acquisitions	700,000.00	-699,307.00	
		Dispositions	-565,000.00		580,388.55

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
THERE ARE NO REPORTABLE TRANSACTIONS									

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss

THERE ARE NO REPORTABLE TRANSACTIONS

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions by Issue in Excess of 5%

	Security Description / Asset ID		Number of Transactions	Transaction Aggregate Acquisition Price	Transaction Aggregate Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
**	COLTV SHORT TERM INVT FD CUSIP: 195998AI0	Total acquisitions	71	11,985,259.55			0.00	11,985,259.55	11,985.259.55
		Total dispositions	84		12,043,375.71		0.00	12,043,375.71	12,043.375.71
	UNITED STATES OF AMER TREAS BONDS DTD 05/15/2016 2.5% DUE 05-15-2046 REG SEDOL: BYZ2TS9	Total acquisitions	344	43,703,861.22			0.00	43,703,861.22	43,703.861.22
		Total dispositions	183		43,417,021.36		0.00	43,703,861.22	43,417.021.36
	UNITED STATES TREAS BDS DTD 00247 2.5% DUE 02-15-2046 REG SEDOL: BZ56WT0	Total acquisitions	227	30,294,603.30			0.00	30,294,603.30	30,294.603.30
		Total dispositions	143		30,650,647.83		0.00	30,294,603.30	30,650.647.83
	UNITED STATES TREAS BDS DTD 00250 2.25% DUE 08-15-2046 REG SEDOL: BZ56WJ0	Total acquisitions	96	11,779,195.28			0.00	11,779,195.28	11,779.195.28
		Total dispositions	73		10,686,679.15		0.00	10,747,060.54	10,686.679.15
	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG SEDOL: BYSJ1Z8	Total acquisitions	127	15,286,405.65			0.00	15,286,405.65	15,286.405.65
		Total dispositions	87		20,009,573.11		0.00	19,709,463.03	20,009.573.11
	UNITED STATES TREAS NTS DTD 05/31/2016 1.375% DUE 05-31-2021 REG SEDOL: BD2BWQ1	Total acquisitions	16	10,678,446.89			0.00	10,678,446.89	10,678.446.89
		Total dispositions	11		10,722,921.88		0.00	10,678,446.89	10,722.921.88
	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG SEDOL: BZ56W56	Total acquisitions	59	17,143,893.79			0.00	17,143,893.79	17,143.893.79
		Total dispositions	54		20,082,270.78		0.00	20,029,307.17	20,082.270.78
	UNITED STATES TREAS NTS DTD 683 1.625% DUE 02-15-2026 REG SEDOL: BD2C0G0	Total acquisitions	143	30,767,292.45			0.00	30,767,292.45	30,767.292.45
		Total dispositions	86		30,763,767.57		0.00	30,767,292.45	30,763.767.57
	UNITED STATES TREAS NTS WI TREASURY NOTE 2% DUE 11-15-2026 REG SEDOL: BYYRSN3	Total acquisitions	49	18,758,675.06			0.00	18,758,675.06	18,758.675.06
		Total dispositions	41		15,768,063.69		0.00	15,832,693.38	15,768.063.69

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate Acquisition Price	Transaction Aggregate Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
UNITED STATES TREAS NTS 1.5% BDS 15/08/26 USD1000 1.5% DUE 08-15-2026 RE SEDOL: BZ56W78	Total acquisitions	110	30,750,422.55			0.00	30,750,422.55	30,750.422.55
	Total dispositions	120		30,450,388.60		0.00	30,750,422.55	30,450.388.60
UNITED STATES TREAS NTS 1% DUE 12-31-2017 REG SEDOL: BDDXRC7	Total acquisitions	9	12,082,603.71			0.00	12,082,603.71	12,082.603.71
	Total dispositions	4		12,113,407.43		0.00	12,082,603.71	12,113.407.43
US TREASURY N/B 3.0% 11-15-2045 REG SEDOL: BYQP1M5	Total acquisitions	181	30,538,729.10			0.00	30,538,729.10	30,538.729.10
	Total dispositions	168		30,585,897.86		0.00	30,538,729.10	30,585.897.86
UTD STATES TREAS 1.125% DUE 09-30-2021 SEDOL: BYYB619	Total acquisitions	15	11,383,736.35			0.00	11,383,736.35	11,383.736.35
	Total dispositions	33		11,376,073.27		0.00	11,383,736.35	11,376.073.27
UTD STATES TREAS 1.625% DUE 05-15-2026 SEDOL: BYZ2XV0	Total acquisitions	112	28,440,997.15			0.00	28,440,997.15	28,440.997.15
	Total dispositions	71		28,525,310.44		0.00	28,440,997.15	28,525.310.44

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
THERE ARE NO REPORTABLE TRANSACTIONS									

** Represents party-in-interest as defined by ERISA

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 26
Schedule of Active Participant Data as of January 1, 2016

Attained Age	Years of Credited Service																			
	Under 1		1 to 4		5 to 9		10 to 14		15 to 19		20 to 24		25 to 29		30 to 34		35 to 39		40 & Over	
	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.	No.	Avg. Comp.
Under 25	0		0		0		0		0		0		0		0		0		0	
25 - 29	0		0		0		0		0		0		0		0		0		0	
30 - 34	0		1		0		0		0		0		0		0		0		0	
35 - 39	0		0		0		5		0		0		0		0		0		0	
40 - 44	0		2		4		7		2		0		0		0		0		0	
45 - 49	0		0		0		8		5		25		0		0		0		0	
50 - 54	0		1		3		6		9		72		48		5		0		0	
55 - 59	1		1		2		3		4		52		50		40		3		0	
60 - 64	0		1		3		3		4		19		38		38		12		1	
65 - 69	1		1		0		1		3		5		11		4		2		4	
70 & Over	0		0		0		0		0		0		0		0		1		0	

Plan Name: The Gates Group Retirement Plan
EIN / PN: 84-0857401 / 333
Plan Sponsor: Gates Corporation
Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Economic Assumptions

Interest rate basis

- Applicable month: September
- Interest rate basis: Segment Rates from 4th Month Preceding Valuation Date

Interest rates

	Reflecting Corridors	Not Reflecting Corridors
First segment rate	4.43%	1.34%
Second segment rate	5.91%	4.03%
Third segment rate	6.65%	5.06%
Effective interest rate	5.90%	4.03%

Compensation increases

Annual compensation increases are assumed to occur according to the following:

Attained Age	Annual Increase at Attained Age
20	11.68%
25	9.15%
30	7.60%
35	6.69%
40	5.86%
45	5.37%
50	5.12%
55	4.91%
60	4.70%

Gates matchmaker plan account balance investment return

3.00%

Gates matchmaker plan account balance conversion basis (document number 3 only)

PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) and the 1983 Group Annuity Mortality Table, male rates

Plan-related expenses

$1,906,798

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Lump sum conversion factor

Lump sums were valued using the substitution of annuity form under Proposed IRC §1.430(d)-1(f)(4) without application of generational mortality and without recognition of the phase-in of IRC §417(e)(3) assumptions.

Future increases in maximum benefits and plan compensation limitations

Accrued benefits projected to be paid in future years are limited to the maximum presently allowed under IRC Section 415. Plan compensation is limited to the maximum presently allowed under IRC Section 401(a)(17). No provision is made for future increases in the maximum annual benefit or compensation limit.

Demographic Assumptions

Inclusion date

The valuation date coincident with or next following the date on which the employee becomes a participant.

New or rehired employees

It was assumed there will be no new or rehired employees.

Mortality

- Healthy

Separate rates for non-annuitants (based on RP-2000 “Employees” table without collar or amount adjustments, projected to 2031 using Scale AA and annuitants (based on RP-2000 “Healthy Annuitants” table without collar or amount adjustments, projected to 2023 using Scale AA.

Termination

Rates varying by age

Percentage leaving during the year	
Attained Age	
20	20.00%
25	20.00%
30	20.00%
35	20.00%
40	10.00%
45	6.25%
50	5.00%
55	2.00%
60	2.00%
65 and over	1.00%

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Disability

Disabilities are assumed to occur according to the following:

Attained Age	Annual Rate Per 1,000 Participants
25	0.60
30	0.75
35	0.97
40	1.28
45	1.87
50	3.15
55	5.70
60	9.50
65	18.00

Retirement

Retirements are assumed to occur according to the following:

Attained Age	Participants Eligible for Unreduced Retirement	Participants Eligible for Reduced Retirement
50	7.50%	n/a
51	7.50%	n/a
52	7.50%	n/a
53	7.50%	n/a
54	7.50%	n/a
55	7.50%	5.00%
56	10.00%	5.00%
57	10.00%	5.00%
58	15.00%	7.50%
59	15.00%	7.50%
60	25.00%	10.00%
61	25.00%	15.00%
62	25.00%	25.00%
63	25.00%	25.00%
64	25.00%	25.00%
65	25.00%	25.00%
66	35.00%	35.00%
67	35.00%	35.00%
68	35.00%	35.00%
69	35.00%	35.00%
70	100.00%	100.00%

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Benefit commencement date:

■ Preretirement death benefit	The later of the death of the active participant or the date the participant would have attained age 65
■ Deferred vested benefit	Age 65 or current age if later
■ Disability benefit	Upon disablement
■ Retirement benefit	Active participants under Plan documents 1, 2 and 3 who have reached a minimum age of 55 years and a minimum service of 10 years or a minimum age of 50 years and a minimum service of 30 years are assumed to commence payment on the first of the month coincident or next following termination of employment. Otherwise they are assumed to commence payment on the first of the month coincident or next following the attainment of age 70.

Form of payment

For Document Numbers 1, 2, and 3, 50% of participants are assumed to elect the normal form of payment and 50% of participants are assumed to elect the lump sum option. For single participants, the normal form of payment is the life annuity with an immediate 5-year guaranteed period. For married participants, the normal form of payment is the 50% joint and survivor annuity.

For Document Numbers 5, 6, 8, 10, and 11, 50% of deferred vested participants are assumed to elect a straight-life annuity, while the other 50% are assumed to elect a lump sum.

For Document Number 7, 50% of deferred vested participants are assumed to elect a straight-life annuity with 5 years guaranteed, while the other 50% are assumed to elect a lump sum.

For Document Number 9, participants are assumed to elect a lump sum benefit rather than one of the monthly annuity payment options.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Plan compensation	Plan compensation is eligible earnings provided by the employer for the prior year adjusted by the compensation increase rate (used for the Gates Matchmaker Plan Offset).
Timing of benefit payments	Annuity payments are payable monthly at the beginning of the month and lump sum payments are payable on date of decrement.
Percent married	80% of participants. Used to value pre-retirement surviving spouse benefits and in determining the optional forms expected to be elected at commencement.
Spouse age	Male spouses are assumed to be three years older than female spouses.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Methods	
Valuation date	First day of plan year.
Funding target	Present value of accrued benefits as required by regulations under IRC §430.
Target normal cost	Present value of benefits expected to accrue during the plan year plus plan-related expenses expected to be paid from plan assets during the plan year as required by regulations under IRC §430.
Actuarial value of assets	Average of the fair market value of assets on the valuation date and 12 and 24 months preceding the valuation date, adjusted for contributions, benefits, administrative expenses and expected earnings of 3.875% in the prior year, and 4.625% in the second prior year (with such expected earnings limited as described in IRS Notice 2009-22). The average asset value must be within 10% of market value, including discounted contributions receivable (discounted using the effective interest rate for the prior plan year.) The method of computing the actuarial value of assets complies with rules governing the calculation of such values under the Pension Protection Act of 2006 (PPA). These rules produce smoothed values that reflect the underlying market value of plan assets but fluctuate less than the market value. As a result, the actuarial value of assets will be lower than the market value in some years and greater in other years. However, over the long term under PPA's smoothing rules, the method has a significant bias to produce an actuarial value of assets that is below the market value of assets.

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Benefits not valued

All benefits described in the Plan Provisions section of this report were valued based on discussions with the plan sponsor regarding the likelihood that these benefits will be paid. Willis Towers Watson has reviewed the plan provisions with the plan sponsor and, based on that review, is not aware of any significant benefits required to be valued that were not.

The plan pays small benefits (with a present value up to $5,000 in a single lump sum payment). Such lump sums are not explicitly valued; rather such participants' benefits are valued using the benefit choice assumptions described above.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Assumptions Rationale - Significant Economic Assumptions

Discount rate	The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market data over certain periods of time.
Gates matchmaker plan hypothetical account balance investment return	The plan credits interest to the Gates Matchmaker Plan Account Balance using actual investment return under the Gates Stable Value Fund of the Gates Matchmaker Plan. Our long term estimate of actual investment return under the Gates Stable Value Fund of the Gates Matchmaker Plan is 3.00%, based on a combination of current conditions, and future economic expectations.
Gates matchmaker plan hypothetical account balance conversion basis	For Document Number 3, the Gates Matchmaker Plan Offset is determined by converting the Gates Matchmaker Plan Hypothetical Account Balance to an annuity using the 1983 Group Annuity Mortality Table for males and the interest rate which shall be the greater of the PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) or the deferred interest rate structure published by the PBGC as of the last business day of the second month preceding the last day worked or the last day included in the member's Credited Service, whichever is later. The assumed annuity conversion rate is a long term estimate of the plan's conversion basis; we believe that the selected assumption does not significantly conflict with what would be reasonable.
Lump sum conversion factor	As required by IRC 430, lump sum benefits are valued using "annuity substitution", so that the interest rates assumed are effectively the same as described above for the discount rate.
Plan-related expenses	As required by regulations, plan-related expenses are calculated by estimating the expenses to be paid from the trust during the coming year (including, for example, expected PBGC premiums and actuarial, accounting, legal, administration and trustee fees to be paid from the trust).
Assumed return for asset smoothing	The assumed return of 4.375% used for asset smoothing is the expected return on assets assumption chosen by the client for the 2016 plan year under U.S. GAAP. Although determining the expected rate of return on plan assets was not within the scope of our work, it is the client's best estimate and we determined that it does not significantly conflict with what would be reasonable based on their asset allocation.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Assumptions Rationale - Significant Demographic Assumptions	
Healthy mortality	Assumptions used for funding purposes are as prescribed by IRC §430(h).
Disabled mortality	Assumptions used for funding purposes are as prescribed by IRC §430(h).
Termination	Termination rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Disability	Disability rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Retirement	Retirement rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Benefit commencement date for deferred benefits	Deferred vested participants are assumed to begin benefits based on plan sponsor expectations for the future, with periodic monitoring of gains and losses caused by commencement patterns different than assumed.
Form of payment	Assumed optional form elections are based on the best estimate of future experience.
Percent married	The percentage married assumption is based on expectations for the future.
Spouse age	The spousal age difference assumption is based on expectations for the future.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Statement of Actuarial Assumptions / Methods

Source of Prescribed Methods	
Funding methods	The methods used for funding purposes as described in Appendix A, including the method of determining plan assets, are “prescribed methods set by law”, as defined in the actuarial standards of practice (ASOPs). These methods are required by IRC §430, or were selected by the plan sponsor from a range of methods permitted by IRC §430.

Changes in Assumptions and Methods	
Change in assumptions since prior valuation	The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC §430. The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC §430. The assumed return for the Gates Matchmaker Plan Hypothetical Account Balance was updated from 4.00% to 3.00% annually based on current and future expected economic conditions.
Change in methods since prior valuation	None.

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Single Transaction in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
THERE ARE NO REPORTABLE TRANSACTIONS									

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Non-Security Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss

THERE ARE NO REPORTABLE TRANSACTIONS

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions by Issue in Excess of 5%

	Security Description / Asset ID		Number of Transactions	Transaction Aggregate Acquisition Price	Transaction Aggregate Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
**	COLTV SHORT TERM INVT FD CUSIP: 195998AI0	Total acquisitions	71	11,985,259.55			0.00	11,985,259.55	11,985.259.55
		Total dispositions	84		12,043,375.71		0.00	12,043,375.71	12,043.375.71
	UNITED STATES OF AMER TREAS BONDS DTD 05/15/2016 2.5% DUE 05-15-2046 REG SEDOL: BYZ2TS9	Total acquisitions	344	43,703,861.22			0.00	43,703,861.22	43,703.861.22
		Total dispositions	183		43,417,021.36		0.00	43,703,861.22	43,417.021.36
	UNITED STATES TREAS BDS DTD 00247 2.5% DUE 02-15-2046 REG SEDOL: BZ56WT0	Total acquisitions	227	30,294,603.30			0.00	30,294,603.30	30,294.603.30
		Total dispositions	143		30,650,647.83		0.00	30,294,603.30	30,650.647.83
	UNITED STATES TREAS BDS DTD 00250 2.25% DUE 08-15-2046 REG SEDOL: BZ56WJ0	Total acquisitions	96	11,779,195.28			0.00	11,779,195.28	11,779.195.28
		Total dispositions	73		10,686,679.15		0.00	10,747,060.54	10,686.679.15
	UNITED STATES TREAS BDS 00245 2.875% DUE 08-15-2045 REG SEDOL: BYSJ1Z8	Total acquisitions	127	15,286,405.65			0.00	15,286,405.65	15,286.405.65
		Total dispositions	87		20,009,573.11		0.00	19,709,463.03	20,009.573.11
	UNITED STATES TREAS NTS DTD 05/31/2016 1.375% DUE 05-31-2021 REG SEDOL: BD2BWQ1	Total acquisitions	16	10,678,446.89			0.00	10,678,446.89	10,678.446.89
		Total dispositions	11		10,722,921.88		0.00	10,678,446.89	10,722.921.88
	UNITED STATES TREAS NTS DTD 11/15/2015 2.25% DUE 11-15-2025 REG SEDOL: BZ56W56	Total acquisitions	59	17,143,893.79			0.00	17,143,893.79	17,143.893.79
		Total dispositions	54		20,082,270.78		0.00	20,029,307.17	20,082.270.78
	UNITED STATES TREAS NTS DTD 683 1.625% DUE 02-15-2026 REG SEDOL: BD2C0G0	Total acquisitions	143	30,767,292.45			0.00	30,767,292.45	30,767.292.45
		Total dispositions	86		30,763,767.57		0.00	30,767,292.45	30,763.767.57
	UNITED STATES TREAS NTS WI TREASURY NOTE 2% DUE 11-15-2026 REG SEDOL: BYYRSN3	Total acquisitions	49	18,758,675.06			0.00	18,758,675.06	18,758.675.06
		Total dispositions	41		15,768,063.69		0.00	15,832,693.38	15,768.063.69

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions by Issue in Excess of 5%

Security Description / Asset ID		Number of Transactions	Transaction Aggregate Acquisition Price	Transaction Aggregate Disposition Price	Lease Rental	Expenses Incurred	Cost of Asset	Current Value of Asset on Transaction
UNITED STATES TREAS NTS 1.5% BDS 15/08/26 USD1000 1.5% DUE 08-15-2026 RE SEDOL: BZ56W78	Total acquisitions	110	30,750,422.55			0.00	30,750,422.55	30,750.422.55
	Total dispositions	120		30,450,388.60		0.00	30,750,422.55	30,450.388.60
UNITED STATES TREAS NTS 1% DUE 12-31-2017 REG SEDOL: BDDXRC7	Total acquisitions	9	12,082,603.71			0.00	12,082,603.71	12,082.603.71
	Total dispositions	4		12,113,407.43		0.00	12,082,603.71	12,113.407.43
US TREASURY N/B 3.0% 11-15-2045 REG SEDOL: BYQP1M5	Total acquisitions	181	30,538,729.10			0.00	30,538,729.10	30,538.729.10
	Total dispositions	168		30,585,897.86		0.00	30,538,729.10	30,585.897.86
UTD STATES TREAS 1.125% DUE 09-30-2021 SEDOL: BYYB619	Total acquisitions	15	11,383,736.35			0.00	11,383,736.35	11,383.736.35
	Total dispositions	33		11,376,073.27		0.00	11,383,736.35	11,376.073.27
UTD STATES TREAS 1.625% DUE 05-15-2026 SEDOL: BYZ2XV0	Total acquisitions	112	28,440,997.15			0.00	28,440,997.15	28,440.997.15
	Total dispositions	71		28,525,310.44		0.00	28,440,997.15	28,525.310.44

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number: 333

Series of Transactions with Same Party in Excess of 5%

Security Description / Asset ID	Shares/Par Value	Date	Acquisition Price	Disposition Price	Lease Rental	Expenses Incurred	Cost	Current Value on Transaction Date	Net Gain/Loss
THERE ARE NO REPORTABLE TRANSACTIONS									

** Represents party-in-interest as defined by ERISA

SCHEDULE SB (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500 or 5500-SF.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of $1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan
The Gates Group Retirement Plan

B Three-digit plan number (PN) ▶ 333

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF
Gates Corporation

D Employer Identification Number (EIN)
84-0857401

E Type of plan: [X] Single [ ] Multiple-A [ ] Multiple-B

F Prior year plan size: [ ] 100 or fewer [ ] 101-500 [X] More than 500

Part I Basic Information

1 Enter the valuation date: Month 01 Day 01 Year 2016

2 Assets:

a Market value	**2a**	422,802,791
b Actuarial value	**2b**	429,181,850

3 Funding target/participant count breakdown

	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	6,047	337,506,983	337,506,983
b For terminated vested participants	1,577	34,449,778	34,449,778
c For active participants	511	16,394,680	17,334,350
d Total	8,135	388,351,441	389,291,111

4 If the plan is in at-risk status, check the box and complete lines (a) and (b) [ ]

a Funding target disregarding prescribed at-risk assumptions	**4a**	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	**4b**	
5 Effective interest rate	**5**	5.90%
6 Target normal cost	**6**	1,906,798

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE

TMG Thomas M. Gieck
Signature of actuary

09/21/2017
Date

THOMAS M. GIECK
Type or print name of actuary

1707245
Most recent enrollment number

TOWERS WATSON DELAWARE INC.
Firm name

303-391-1200
Telephone number (including area code)

555 17TH STREET, SUITE 2050
Denver CO 80202
Address of the firm

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions [ ]

Part II Beginning of Year Carryover and Prefunding Balances

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	31,233,320	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	31,233,320	0
10	Interest on line 9 using prior year's actual return of -1.88%	-587,186	0
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		0
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of 6.11%		0
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
	c Total available at beginning of current plan year to add to prefunding balance		0
	d Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	30,646,134	0

Part III Funding Percentages

14	Funding target attainment percentage	14	102.37%
15	Adjusted funding target attainment percentage	15	110.24%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	115.41%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
			Totals ▶ 18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? [ ] Yes [X] No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? [ ] Yes [ ] No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:

	1st segment:	2nd segment:	3rd segment:	
a Segment rates:	4.43 %	5.91 %	6.65%	☐ N/A, full yield curve used

b Applicable month (enter code)	**21b**	4
22 Weighted average retirement age	**22**	62

23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes	☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes	☐ No

27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	**27**	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	**28**	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	**29**	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	**30**	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):		
a Target normal cost (line 6)	**31a**	1,906,798
b Excess assets, if applicable, but not greater than line 31a	**31b**	1,906,798

32 Amortization installments:	Outstanding Balance	Installment
a Net shortfall amortization installment	0	0
b Waiver amortization installment	0	0

33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	**33**	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	**34**	0

	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0

36 Additional cash requirement (line 34 minus line 35)	**36**	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	**37**	0
38 Present value of excess contributions for current year (see instructions)		
a Total (excess, if any, of line 37 over line 36)	**38a**	0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	**38b**	0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	**39**	0
40 Unpaid minimum required contributions for all years	**40**	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:

a Schedule elected	☐ 2 plus 7 years	☐ 15 years
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011	

42 Amount of acceleration adjustment	**42**	
43 Excess installment acceleration amount to be carried over to future plan years	**43**	

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Numbers 1, 2 and 3

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

Each eligible employee becomes a member after completion of one year of Continuous Service and attainment of age 21. Effective January 1, 1990, any new employee who is not a member of a collective bargaining unit that is eligible for participation in the plan is excluded from the plan. Effective December 31, 2009, all future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month following the attainment of age 65.

Early: The first day of the month following the attainment of age 55 and the completion of 10 years of Continuous Service or the completion of 30 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

For full-time employees, service is credited using the elapsed time method. For part-time employees, service is credited for each year in which the employee works at least 1,000 hours, but always at least equal to Credited Service.

Credited Service

For full-time employees, service is credited using the elapsed time method. For part-time employees, service is credited for each year in which the employee works at least 2,080 hours, and partial years of service are credited on a prorated basis based on a total of 2,080 hours, rounded to the nearest twelfth of a year.

Schedule SB, Part V – Summary of Plan Provisions

Credited Service was frozen as of August 14, 2008 for members in Document Number 1. Credited Service was frozen as of September 30, 2009 for members in Document Number 2 Appendix J and Appendix K. Credited Service was frozen as of December 31, 2009 for members of the Elizabethtown Polyflex location and April 10, 2011 for members of the Galesburg location in Document Number 3.

Eligible Earnings

For Document Number 2, Eligible Earnings are defined as total cash remuneration paid for services rendered to the Company, including regular pay, task incentive pay, vacation pay, sick leave pay, holiday pay, instructor's pay, funeral leave pay, military reserve training pay, commissions and annual bonuses. No Eligible Earnings after September 30, 2009 will be considered for Target Benefit calculation purposes.

Gates Matchmaker Plan Hypothetical Account Balance

For Document Numbers 2 and 3, the Gates Matchmaker Plan Hypothetical Account Balance as of December 31, 1988 with actual investment return plus the member's Company basic contributions made on and after January 1, 1989 increased with actual investment return between January 1, 1989 and June 30, 1996. Effective July 1, 1996, investment return will be equal to that of the Gates Stable Value Fund of the Gates Matchmaker Plan. The Company basic contribution is currently 3% of applicable earnings.

Gates Matchmaker Plan Offset

For Document Number 2, the Gates Matchmaker Plan Offset is determined by a factor based on age and years of Continuous Service applied to the Gates Matchmaker Plan Hypothetical Account Balance as described in the Plan document.

For Document Number 3, the Gates Matchmaker Plan Offset is determined by converting the Gates Matchmaker Plan Hypothetical Account Balance to an annuity using the 1983 Group Annuity Mortality Table for males and the interest rate which shall be the greater of the PBGC deferred interest rate structure (5.00%, 4.25%, 4.00%) or the deferred interest rate structure published by the PBGC as of the last business day of the second month preceding the last day worked or the last day included in the member's Credited Service, whichever is later.

Target Benefit

For the applicable formulas in Document Numbers 2 and 3, if the Target Benefit is greater than the Gates Matchmaker Plan Offset, the excess of the Target Benefit over the Offset is payable from the Plan.

Schedule SB, Part V – Summary of Plan Provisions

Retirement Income

Normal

Document Number 1:

A monthly pension equal to $33.00 multiplied by Credited Service as of August 14, 2008.

Document Number 2:

Appendix J

1 A Target Benefit equal to the greater of (a) or (b):

a The sum of (i), (ii) and (iii):

i 1/12th of 0.8% multiplied by the Average Eligible Earnings multiplied by Credited Service prior to January 1, 1999.

ii 1/12th of 0.5% multiplied by the Average Eligible Earnings in excess of $25,000 multiplied by Credited Service prior to January 1, 1999.

iii 1/12th of 0.5% multiplied by the Average Eligible Earnings multiplied by Credited Service on and after January 1, 1999 and on and before September 30, 2009.

Average Eligible Earnings are determined to be the average Eligible Earnings during the 60 consecutive months of Continuous Service on and before September 30, 2009 which produce the highest average, limited to the applicable compensation limit, as indexed.

b $28.50 multiplied by Credited Service on and before September 30, 2009.

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of December 31, 1993.

Schedule SB, Part V – Summary of Plan Provisions

Appendix K

1 A Target Benefit equal to 1/12th of 0.6% multiplied by Career Earnings.

Career Earnings are an employee's aggregate Eligible Earnings received from the Company on or after October 1, 1979 and on or before September 30, 2009.

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to minimum accrued benefits calculated as of December 31, 1984 and as of December 31, 1993.

Document Number 3:

A Denver Print Shop

1 A Target Benefit equal to $19.50 multiplied by Credited Service.

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any subject to a minimum accrued benefit calculated as of March 31, 1989.

B Galesburg Local #685

Members may make a one-time election of either (1) or (2) below:

1 A monthly benefit equal to Credited Service as of April 10, 2011 multiplied by:

All amounts shown in US Dollars

In Service On or After	Monthly Rate
April 1, 2005	30.00
April 1, 2007	31.00
April 1, 2009	32.00

Members who elect this option will not be eligible to participate in the Gates Matchmaker Plan.

2 A monthly benefit equal to Credited Service frozen as of March 27, 1999 multiplied by $24.00. Members who elect this option will be eligible to participate in the Gates Matchmaker Plan.

Schedule SB, Part V – Summary of Plan Provisions

C Elizabethtown Belt and Hose Local #780

1 A Target Benefit equal to $20.00 multiplied by Credited Service.

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of March 31, 1992.

D Elizabethtown Polyflex Local #766

1 A Target Benefit equal to Credited Service as of December 31, 2009 with each applicable service period multiplied by the rates specified below:

All amounts shown in US Dollars

Monthly Rate	Service Period
15.00	On or before June 30, 1987
16.25	July 1, 1987 - August 31, 1988
17.00	September 1, 1988 - August 31, 1989
17.25	September 1, 1989 - August 31, 1990
18.00	September 1, 1990 - August 31, 1991
19.00	September 1, 1991 - August 31, 1992
20.00	On or after September 1, 1992

2 The monthly benefit payable is calculated as the excess of the Target Benefit over the Gates Matchmaker Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of August 13, 1990.

E Los Angeles Distribution Center

A monthly benefit payable equal to Credited Service for the applicable dates multiplied by the rates specified below:

All amounts shown in US Dollars

Monthly Rate	Service Period
13.50	On or before November 16, 1986
14.50	November 17, 1986 - November 30, 1988
15.00	December 1, 1988 - November 30, 1990
15.50	December 1, 1990 - November 30, 1991
16.00	December 1, 1991 - November 30, 1992
16.50	December 1, 1992 - November 30, 1993
17.00	On or after December 1, 1993

Schedule SB, Part V – Summary of Plan Provisions

Reduced Early

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.4% for each complete calendar month by which the annuity commencement date precedes age 62.

Unreduced Early

Except for the Los Angeles Distribution Center, if a member has at least 30 years of Continuous Service, the early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, unreduced for early commencement. For members at the Los Angeles Distribution Center, unreduced early retirement benefits require attainment of age 55 and at least 30 years of Continuous Service.

Supplemental Early

For those members retiring with at least 30 years of Continuous Service after age 55 and before age 62, a supplemental early retirement benefit is payable equal to the amount needed to bring the total benefit up to an amount specified by a combination of the member's age and service, varying by the applicable Document. This supplement is payable as a temporary life annuity to age 62 or death, if earlier. Note that members of Appendix K in Document Number 2 are not eligible for a supplement. Also note that this supplement is eliminated for all active members not eligible as of September 30, 2009 (eligibility is age 55 and 30 years of Continuous Service as of that date).

Late

A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attained age 65. All members of Document Number 2 who are actively employed as of September 30, 2009 are automatically 100% vested. All members of Document Number 3 (Elizabethtown Polyflex) who are actively employed as of December 31, 2009 are automatically 100% vested. All members of Document Number 3 (Galesburg) who are actively employed as of April 10, 2011 are automatically 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to the accrued retirement income.

Schedule SB, Part V – Summary of Plan Provisions

A former member of Document Number 1 who is entitled to a vested deferred retirement benefit may elect to receive an immediate retirement benefit as early as age at termination equal to the deferred benefit actuarially reduced for each complete calendar month that the annuity commencement date precedes Normal Retirement Date.

A former member of Document Numbers 2 and 3 who is entitled to a vested deferred retirement benefit and terminated service with at least 10 years of Continuous Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit actuarially reduced for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Disability

Disability occurring on or before September 30, 2009 (for Document Number 2), on or before December 31, 2009 (for Elizabethtown Polyflex Document Number 3), and on or before April 10, 2011 (for Galesburg Document Number 3):

> Eligibility: Total and permanent disability as determined by the Committee, and after the completion of 10 years of Continuous Service. The member must also qualify for Social Security disability benefits.
>
> Benefit: The disability retirement benefit is equal to the accrued benefit determined using Credited Service and Eligible Earnings as of the date of disability payable immediately, with no reduction for early commencement.

Disability occurring after September 30, 2009 (for Document Number 2), after December 31, 2009 (for Elizabethtown Polyflex Document Number 3), and after April 10, 2011 (for Galesburg Document Number 3):

> Active members are not eligible for disability benefits.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age, completion of at least 5 years of Continuous Service or otherwise eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

Schedule SB, Part V – Summary of Plan Provisions

If the member is covered under Document Number 2, Appendix J and dies while active after (1) the attainment of age 65, (2) the attainment of age 55 and 10 years of Continuous Service, (3) the attainment of age 50 and 20 years of Continuous Service, or (4) has at least 30 years of Continuous Service, no adjustment is made for the joint and survivor option, and the benefit will be paid as a single life annuity with a 5-year guaranteed period.

Single Sum Death Benefit

Eligibility: A member (other than a deferred vested member) covered under Document Number 1, Appendix J of Document Number 2 or Document Number 3 dies after his annuity commencement date, is currently receiving a benefit as of the date of death and is survived by a spouse to whom the member has been married for at least one year.

Benefit: The single lump sum of $750 for employees of the Los Angeles Distribution Center and $1,500 for all other covered employees, payable to the surviving spouse.

Form of Payment

Life annuity with an immediate 5-year guaranteed period
50%, 75% or 100% joint and survivor annuity
Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 5

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

Each covered employee of Camel Tire Care Products who is a member of the collective bargaining unit becomes a member immediately upon hire. Effective April 17, 1990, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month following the attainment of age 55 and the completion of 10 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

Service is credited on the basis of one year for each calendar year in which the member works at least 1,000 hours and partial years of service are credited on a prorated basis – 1/10th for each 160 hours worked.

Credited Service

Service is credited on the basis of one year for each calendar year in which the member works at least 1,280 hours and partial years of service are credited on a prorated basis based on a total of 1,280 hours.

Credited service accruals were frozen as of April 17, 1990.

Schedule SB, Part V – Summary of Plan Provisions

Retirement Income

Normal: A monthly pension equal to $11.00 multiplied by Credited Service.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.4% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attainment of Normal Retirement Date while employed.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Continuous Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit actuarially reduced for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age or completion of at least 5 years of Continuous Service with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Summary of Plan Provisions

Form of Payment

Life annuity
Life annuity with an immediate 5-year or 10-year guaranteed period
50%, 75% or 100% joint and survivor annuity
Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 6

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

Each eligible employee becomes a member after completion of 1,000 hours during either the first twelve months of employment or any succeeding plan year. Effective June 25, 1996, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month coinciding with or next following the attainment of age 60 and the completion of 10 years of Continuous Service. Hourly employees are also eligible the first day of the month following the attainment of age 62, or age 60 with at least 30 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service / Credited Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours and partial years of service are credited as follows:

Hours	Year of Service
1 – 380	¼
381 – 760	½
761 – 999	¾
1,000 +	1

Credited Service accruals were frozen as of September 30, 1996.

Schedule SB, Part V – Summary of Plan Provisions

Compensation

Total cash remuneration paid prior to September 30, 1996, including pre-tax contributions to any 401(k) or cafeteria plan.

Retirement Income

Normal:

1 Salaried Members: A monthly pension [(a) + (b) - (c)] as follows:

a 1.0% multiplied by monthly Average Final Compensation multiplied by Credited Service.

b 0.25% multiplied by monthly Average Final Compensation in excess of 1/12th of 67.4% of the Social Security wage base (for the calendar year immediately preceding the year of determination), multiplied by the minimum of Credited Service earned after September 30, 1991 and 35 years.

c Any Scoville plan deferred vested benefit.

Average Final Compensation is determined to be the average Compensation prior to September 30, 1996 and during the highest 5 consecutive plan years during the longer of either (1) the 15 plan years immediately preceding the employee's retirement or termination; or (2) the plan years from the employee's attainment of age 45 to the employee's retirement or termination.

2 Hourly Members: A monthly pension equal to $6.00 multiplied by Credited Service.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.6% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date. The early retirement benefit for hourly employees is unreduced at age 62 or at age 60 with at least 30 years of Continuous Service.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Schedule SB, Part V – Summary of Plan Provisions

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or attained Normal Retirement Date while employed. All active members became 100% vested at September 30, 1996.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to his accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Continuous Service may, on or after his attainment of age 60, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 0.6% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age, completion of at least 5 years of Continuous Service or otherwise eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but no earlier than the member's earliest early retirement date.

Form of Payment

Life annuity
Life annuity with an immediate 10-year guaranteed period
50%, 75% or 100% joint and survivor annuity
Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 7

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

Each eligible employee becomes a member after completion of one Year of Service and attainment of age 21. Effective January 1, 2003, future new members and rehired employees are excluded from the plan.

Retirement Dates

Normal: The first day of the month coincident with or next following the attainment of age 65.

Early: The first day of the month following the attainment of age 55 and the completion of 10 Years of Service. Former members under the Standard-Thomson Retirement Plan for Salaried Employees are also eligible the first day of the month following the attainment of age 60 and the completion of 5 years of Benefit Accrual Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Year of Service / Benefit Accrual Service

Service is credited on the basis of one year for each 12 months of service and partial years of service are credited on a prorated basis.

Former members in the Standard-Thomson Retirement Plan for Salaried Employees will receive Years of Service and Benefit Accrual Service credit for service credited under that plan.

Former members in the Trico Pension Plan will receive Years of Service and Benefit Accrual Service credit for service credited under that plan.

Benefit Accrual Service was frozen as of September 30, 2009.

Schedule SB, Part V – Summary of Plan Provisions

Earnings

Compensation received from the Company for services rendered as an eligible employee, including overtime, bonuses and other non-recurring compensation, limited to the applicable compensation limit as indexed. No Earnings after September 30, 2009 will be considered for Target Benefit calculation purposes.

Covered Compensation

Average of Social Security wage bases for the 35-year period ending with the earlier of the year Social Security full retirement age is attained and 2009.

Tomkins Automotive 401(k) Plan Hypothetical Account Balance

The Tomkins Automotive 401(k) Plan Hypothetical Account Balance is the sum of Company basic contributions made on or after January 1, 2003 plus deemed investment return under the Stable Value Fund of the Tomkins Automotive 401(k) Plan. The Company basic contribution is currently 3% of applicable earnings.

Tomkins Automotive 401(k) Plan Offset

The Tomkins Automotive 401(k) Plan Offset is determined by a factor based on age and Years of Service applied to the Tomkins Automotive 401(k) Plan Hypothetical Account Balance as described in the Plan Document.

Target Benefit

If the Target Benefit is greater than the Tomkins Automotive 401(k) Plan Offset, the excess of the Target Benefit over the Offset is payable from the plan.

Retirement Income

Normal:

1 A Target Benefit equal to the sum of (a) and (b):

a 1.0% multiplied by Average Eligible Earnings multiplied by years of Benefit Accrual Service as of September 30, 2009.

b 0.5% multiplied by Average Eligible Earnings over 1/12th of Covered Compensation multiplied by years of Benefit Accrual Service as of September 30, 2009.

Average Eligible Earnings are determined to be the average of the highest 60 consecutive months of Earnings on or before September 30, 2009.

Schedule SB, Part V – Summary of Plan Provisions

2 Former Trico Pension Plan members:

A Target Benefit equal to the greater of (a) or (b):

a The sum of (i) and (ii):

i The member's accrued benefit under the TRICO plan as of December 31, 1995 (paid as a 5-year certain and life annuity).

ii The member's accrued benefit under this plan, based on years of Benefit Accrual Service on or after January 1, 1996 and prior to September 30, 2009.

b The member's accrued benefit under the Trico plan as of December 31, 1996.

3 Former members under the Standard Thomson Retirement Plan for Salaried Employees

A Target Benefit equal to the greater of (a) or (b):

a The excess of (i) over (ii):

i The member's accrued benefit under the Standard-Thomson plan as of December 31, 1994.

ii The benefit attributable to accumulated employee contributions made under the Standard-Thomson Plan that have been withdrawn on or after January 1, 1995.

b The sum of (i) and (ii):

1. The member's accrued benefit under this plan.

2. The benefit attributable to the accumulated employee contributions made under the Standard-Thomson plan that have not been withdrawn.

The monthly benefit payable is calculated as the excess of the Target Benefit over the Tomkins Automotive 401(k) Plan Offset with the excess, if any, subject to a minimum accrued benefit calculated as of February 28, 2003.

Early:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Schedule SB, Part V – Summary of Plan Provisions

Former Trico Pension Plan members:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date, but not less than the member's accrued benefit as of December 31, 1996 under the Trico plan reduced by ½ of 1% for each complete calendar month by which the annuity commencement date precedes age 62.

Former members under the Standard-Thomson Retirement Plan for Salaried Employees:

The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Benefit Accrual Service at early retirement, or an immediate benefit equal to such deferred benefit reduced by 1/3 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date. For those members retiring at or after age 60 with 5 years of Benefit Accrual Service, but less than 10 Years of Service, such deferred benefit is reduced by 5/12 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date.

Late:

A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 7 Years of Service. Partial vesting occurs according to the following schedule:

3 but less than 4 years	20%
4 but less than 5 years	40%
4 but less than 5 years	60%
6 but less than 7 years	80%

Schedule SB, Part V – Summary of Plan Provisions

Effective June 29, 2007, employees who were active members and were part of the Trico divestiture are considered 100% vested.

Effective June 18, 2008, employees who were active members and were part of the Stant divestiture are considered 100% vested.

All other members who were actively employed as of September 30, 2009 are automatically 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more Years of Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 3/4 of 1% for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date up to 3 years and 5/12 of 1% for each complete calendar month that the annuity commencement date precedes age 62. Effective July 1, 2007, the 10 Years of Service requirement is eliminated from the preceding sentence.

Former members of the Standard-Thomson plan and Trico plan who are entitled to a vested deferred retirement benefit are also eligible for the early retirement benefits as described under the Early Retirement Income section above.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of Normal Retirement Age or after attaining a vested right to a benefit with a surviving spouse.

Benefit: The retirement benefit payable to the eligible spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Non-Spousal Death Benefit

Eligibility: A member without a spouse dies before his annuity commencement date, but after attaining age 55 and completing 10 Years of Service.

Benefit: The retirement benefit that would have been payable to the member if the member had retired on the day preceding his death, payable as a monthly annuity of 60 payments.

Schedule SB, Part V – Summary of Plan Provisions

Form of Payment

Life annuity with an immediate 5-year or 10-year guaranteed period
25%, 50%, 75% or 100% joint and survivor annuity
Social Security leveling income option with leveling to either age 62 or 65
Lump sum

Former members in the Trico plan will never receive less than the December 31, 1996 accrued benefit payable in any form listed above, but using the Trico plan's actuarial basis for conversion that is in effect as of December 31, 1996.

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 8

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

Each eligible employee becomes a member after completion of one Year of Service. Effective March 1, 2003, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coincident with or next following the attainment of age 65 and the completion of 5 years of participation in the plan.

Early: The first day of the month following the attainment of age 60 and the completion of 10 Years of Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Years of Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours.

Credited Service

Service is credited on the basis of one year for each calendar year during which the member worked at least 1,700 hours, and one-tenth of a year for each 170 hours during any calendar year the member worked less than 1,700 hours.

No service is credited after February 28, 2003.

Schedule SB, Part V – Summary of Plan Provisions

Retirement Income

Normal: A monthly pension equal to the appropriate benefit rate listed below multiplied by the minimum of years of Credited Service as of February 28, 2003 and the appropriate service cap:

All Amounts shown in US Dollars.

Facility	Service Cap	Benefit Rate
Granite Falls, MN	28	12.50
Dixon, IL	30	12.00
Eden Prairie, MN	25	9.00
Columbia, MO	25	9.00
St. Augustine, FL	25	10.00
Vanceboro, NC	25	9.00
Spencer, IA	30	16.00

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by the appropriate early retirement reduction factor below for each complete calendar month by which the annuity commencement date precedes Normal Retirement Date:

Facility	Early Retirement Reduction Factor
Granite Falls, MN	0.4%
Dixon, IL	0.5%
Eden Prairie, MN	0.6%
Columbia, MO	0.6%
St. Augustine, FL	0.6%
Vanceboro, NC	0.6%
Spencer, IA	0.6%

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Schedule SB, Part V – Summary of Plan Provisions

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 Years of Service or attainment of Normal Retirement Date while employed. Active members at various facilities were also 100% vested at the closing of those facilities. Effective February 28, 2003, all active members were 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more Years of Service may, on or after attainment of age 60, elect to receive an immediate retirement benefit equal to the deferred benefit reduced using the same reduction factors as listed above under the Early Retirement Income section.

Disability

Eligibility: Total and permanent disability as determined by the Committee, and after the completion of 10 Years of Service.

Benefit: The disability retirement benefit is equal to the accrued benefit determined using years of Credited Service and the appropriate service cap and benefit rate payable immediately, with no reduction for early commencement.

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age, completion of at least 5 Years of Service or eligible for a deferred vested benefit with a surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Form of Payment

Life annuity
Life annuity with an immediate 10-year guarantee period
50%, 75% or 100% joint and survivor annuity
Lump sum

Schedule SB, Part V – Summary of Plan Provisions

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 9

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

All salaried employees hired or rehired on or after January 1, 2003 become a member upon the later of the date of hire or the attainment of age 18. Effective September 30, 2009, future new members are excluded from the plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65.

Late: The first day of any month subsequent to the Normal Retirement Date.

Year of Service

A year of service is determined using the elapsed time method.

Earnings

Compensation received from the Company for service rendered as an eligible employee including overtime, bonuses and other nonrecurring compensation, limited to the applicable compensation limit as indexed. No Earnings after September 30, 2009 will be considered for pay credit accumulations to the account balance.

Schedule SB, Part V – Summary of Plan Provisions

Retirement Income

Normal / Late: A member's cash accumulation account balance is a nominal account balance determined as 2% of the member's Earnings credited for each plan year up through September 30, 2009 and interest credited on the account balance for each plan year from the last day of the preceding plan year to the last day of the current plan year using the lesser of the annual rate of interest on 30-year Treasury securities and an annual interest rate of 8%. For a member with an annuity commencement date during the year, interest is credited for the partial year.

A monthly retirement income commencing at Normal/Late Retirement Date equal to the actuarial equivalent of the cash accumulation account balance as of the member's annuity commencement date converted to a life annuity using the IRS Interest Rate and IRS Mortality Table as of such annuity commencement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 3 Years of Service or attained Normal Retirement Date while employed.

Effective June 29, 2007, employees who were active members and were part of the Trico divestiture are considered 100% vested.

Effective June 18, 2008, employees who were active members and were part of the Stant divestiture are considered 100% vested.

All other employees who were actively employed as of September 30, 2009 are considered 100% vested.

Benefit: A monthly retirement income commencing at Normal Retirement Date equal to the actuarial equivalent of the cash accumulation account balance as of the member's annuity commencement date projected to Normal Retirement Date using the IRS Interest Rate as of such annuity commencement date and converted to a life annuity using the IRS Interest Rate and IRS Mortality Table as of such annuity commencement date.

Preretirement Death Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age or after attaining a vested right to a benefit.

Benefit: If the member is married, the surviving spouse may choose either (a) a lump sum equal to the cash accumulation account balance as of the annuity commencement date; or (b) the actuarial equivalent of the member's accrued benefit payable as of Normal Retirement Date or date of death if later, determined as of the spouse's annuity commencement date.

If the member is single, the member's beneficiary will receive a lump sum payment of the member's cash accumulation account balance determined as of the annuity commencement date.

Schedule SB, Part V – Summary of Plan Provisions

Form of Payment

Life annuity
50% or 75% joint and survivor annuity
Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 10

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

First day of the month next following the date of employment. However, due to the plant closure in Buffalo, New York, there are no longer any new employees eligible to participate in this plan.

Retirement Dates

Normal: The first day of the month coinciding with or next following the attainment of age 65.

Early: The first day of the month coinciding with or next following the attainment of age 55 and the completion of 10 Years of Credited Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Credited Service

Service before September 1, 1976: Service is credited on the elapsed time basis with one year of Credited Service for each year that the member worked.

Service after August 31, 1976 and before June 1, 1979: Service is credited on the basis of one year for each calendar year during which the member worked at least 2,000 hours, and one-tenth of a year for each 200 hours during any calendar year the member worked less than 2,000 hours.

Service after May 31, 1979: Service is credited on the basis of one year for each calendar year during which the member worked at least 1,700 hours, and one-tenth of a year for each 170 hours during any calendar year the member worked less than 1,700 hours.

Credited Service ceased to accrue upon the closure of the Buffalo, New York plant.

Schedule SB, Part V – Summary of Plan Provisions

Vesting Service

Service before 1976: Service is credited on the elapsed time basis with any fractional year rounded up to a full year.

Service after 1975: Service is credited on the basis of one year for each calendar year during which the member worked at least 1,000 hours. For years in which less than 1,000 hours are worked, the fractional year is rounded up to the next higher one-tenth of a year.

Retirement Income

Normal: A monthly pension equal to Credited Service as of the plant closure date multiplied by the applicable pension rate(s) specified in the Plan document, plus $11.00.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at Normal Retirement Date equal to the normal retirement benefit based on Credited Service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.5% for each complete calendar month by which the annuity commencement date precedes age 62. The early retirement benefit is unreduced after the member has attained age 55 and has completed at least 30 years of Credited Service.

Late: A monthly pension equal to the normal retirement benefit determined as of the member's late retirement date.

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Vesting Service or attainment of Normal Retirement Date while employed. All members terminated due to the plant closure are considered fully vested.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income.

A former member who is entitled to a vested deferred retirement benefit and terminated service with 10 or more years of Credited Service may, on or after his attainment of age 55, elect to receive an immediate retirement benefit equal to the deferred benefit reduced by 0.5% for each complete calendar month by which the annuity commencement date precedes age 62.

Schedule SB, Part V – Summary of Plan Provisions

Preretirement Surviving Spouse Benefit

Eligibility: A member dies prior to his annuity commencement date, but after the attainment of normal retirement age, completion of at least 5 years of Vesting Service or otherwise eligible for a deferred vested benefit with an eligible surviving spouse.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived until his normal retirement age under the plan, begun receiving a benefit in the form of a qualified joint and survivor annuity and died the next day. The surviving spouse may elect an earlier commencement date, but not earlier than the member's earliest early retirement date.

Form of Payment

Life annuity
Life annuity with an immediate 10-year guaranteed period
50%, 75% or 100% joint and survivor annuity
Social Security leveling option with leveling to either age 62 or age 65
Lump sum

Changes in Plan Provisions Since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

Schedule SB, Part V – Summary of Plan Provisions

Applies to Document Number 11

Plan Sponsor

Gates Corporation

Effective Date

Last amended and restated effective January 1, 2012.

Participation

All employees who are members of USWA Local 1753. However, due to the sale of the plant employing all members of this plan, there will be no new entrants to the plan effective December 28, 2002.

Retirement Dates

Normal: First day of the month coincident or next following attainment of age 65.

Early: The first day of any month following the attainment of age 60 and the completion of 15 years of Continuous Service.

Late: The first day of any month subsequent to the Normal Retirement Date.

Continuous Service

Total service prior to termination or retirement. Continuous Service will be the same for vesting and benefit accrual purposes, except that service prior to age 18 will not count toward vesting.

Retirement Income

Normal: For retirements commencing on or after March 1, 2001, a monthly pension of $15.00 per year of Continuous Service not in excess of 40 years.

Early: The early retirement benefit is, at the member's option, either a deferred benefit commencing at age 65 equal to the normal retirement benefit based on service at early retirement, or an immediate benefit equal to such deferred benefit, reduced by 0.5556% for each month by which the annuity commencement date precedes Normal Retirement Date.

Late: A monthly pension equal to the normal retirement benefit determined as of the member’s late retirement date.

SCHEDULE SB ATTACHMENTS (cont.)

Schedule SB, Part V – Summary of Plan Provisions

Vesting

Eligibility: A member is 100% vested when he has completed at least 5 years of Continuous Service or if he is employed on December 27, 2002.

Benefit: A monthly retirement income commencing at his Normal Retirement Date equal to his accrued retirement income, or after attaining 60, but before 65, actuarially reduced for each month that commencement of payment precedes his Normal Retirement Date.

Pre-Retirement Surviving Spouse Benefit

Eligibility: A vested member dies before his benefit commencement date and has a spouse at time of death.

Benefit: The retirement benefit payable to the spouse is equal to the retirement benefit which would have been payable to such spouse under the Plan's joint and 50% survivor benefit if the member had terminated on his date of death, survived to the earliest date he could have retired, chose a 50% joint and survivor and died the next day.

Form of Payment

Life annuity
50%, 75% or 100% joint and survivor annuity
Lump sum

Changes in Plan Provisions since Last Actuarial Valuation

There have been no changes in the plan provisions since the last actuarial valuation that would affect current valuation results.

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Non-Interest Bearing Cash - USD			
USD - United States dollar	-8,392.580	-8,392.58	-8,392.58
USD - United States dollar	0.000	0.00	0.00
Total - all currencies		**-8,392.58**	**-8,392.58**
Total Non-Interest Bearing Cash - USD		**-8,392.58**	**-8,392.58**
Receivables - Other - USD			
Pending trade sales: United States dollar	0.000	40,895.98	40,895.98
Total - all currencies		**40,895.98**	**40,895.98**
Pending trade sales: United States dollar	0.000	3,567,825.97	3,567,825.97
Total - all currencies		**3,567,825.97**	**3,567,825.97**
Total Receivables - Other - USD		**3,608,721.95**	**3,608,721.95**
Interest Bearing Cash - USD			
USD - United States dollar	2,503,904.760	2,503,904.76	2,503,904.76
Total - all currencies		**2,503,904.76**	**2,503,904.76**
Total Interest Bearing Cash - USD		**2,503,904.76**	**2,503,904.76**
U.S. Government Securities			
United States - USD			
GNMA 2011-121 REMIC PASS THRU CTF CL FA 03-16-2043 CUSIP: 38376G4V9	269,658.660	270,438.14	267,019.51
NCUA GTD NTS TR FLTG RT 1.14667% DUE 11-06-2017 SEDOL: B641YG4	328,374.220	329,453.29	328,400.16

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
U.S. Government Securities			
United States - USD			
UNITED STATES OF AMER TREAS BILLS 03-23-2017 DTD 09/22/2016 SEDOL: BDCG6L9	1,240,000.000	1,238,417.10	1,238,639.72
UNITED STATES TREAS BDS DTD 00250 2.25% DUE 08-15-2046 REG SEDOL: BZ56WJ0	1,235,000.000	1,032,134.74	1,038,412.70
UNITED STATES TREAS NTS DTD 04/30/2016 FLTG RATE .7257245% DUE 04-30-2018 REG SEDOL: BYMMK34	930,000.000	930,316.20	931,630.29
UNITED STATES TREAS NTS FRN 31/10/18 USD100 .7057245% DUE 10-31-2018 REG SEDOL: BDR0D82	1,745,000.000	1,745,168.67	1,745,151.82
UNITED STATES TREAS NTS US TREASURY N/B 1.75 11-30-2021 1.75 DUE 11-30-2021 REG SEDOL: BYYRSM2	1,655,000.000	1,632,652.34	1,642,652.05
UNITED STATES TREAS NTS WI TREASURY NOTE2.125% DUE 11-30-2023 REG SEDOL: BDR7093	150,000.000	149,601.56	149,003.85
UNITED STATES TREAS NTS WI TREASURY NOTE2% DUE 11-15-2026 REG SEDOL: BYYRSN3	3,040,000.000	2,925,981.68	2,924,929.92
USA TREASURY BILLS 0% DUE 01-26-2017 REG SEDOL: BD05986	775,000.000	774,343.72	774,791.53
Total United States - USD		**11,028,507.44**	**11,040,631.55**
Total U.S. Government Securities		**11,028,507.44**	**11,040,631.55**
Corporate Debt Instruments - Preferred			
Australia - USD			
BHP BILLITON FIN 5% DUE 09-30-2043 SEDOL: BFD3PF0	170,000.000	175,538.51	189,883.20
Total Australia - USD		**175,538.51**	**189,883.20**
China - USD			
NEXEN INC 6.4% DUE 05-15-2037 SEDOL: B1WV0T6	120,000.000	134,524.80	142,223.52
Total China - USD		**134,524.80**	**142,223.52**
United Kingdom - USD			
SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045 SEDOL: BXQ9DY6	445,000.000	463,751.67	450,774.77

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United Kingdom - USD			
Total United Kingdom - USD		**463,751.67**	**450,774.77**
United States - USD			
ACE INA HLDGS INC 2.7% DUE 03-13-2023 SEDOL: B9FGSX4	550,000.000	541,468.22	544,227.20
ACE INA HLDGS INC 4.15% DUE 03-13-2043 SEDOL: B8PNBH3	280,000.000	279,215.44	282,677.08
AMERICAN HONDA FIN MTN 1.55% 12-11-2017 CUSIP: 02665WAQ4	280,000.000	279,739.60	280,242.20
AMERIPRISE FINL 4% DUE 10-15-2023 SEDOL: BDD8L97	230,000.000	228,891.40	241,213.42
ANTHEM INC 4.65% DUE 01-15-2043 SEDOL: BLSP6D8	680,000.000	666,878.03	682,648.60
BERKSHIRE HATHAWAY 4.5% DUE 02-11-2043 SEDOL: B819DG8	70,000.000	70,795.20	74,431.77
BOEING CO 8.75% DUE 08-15-2021 SEDOL: BFNXZW7	275,000.000	362,917.50	347,763.90
BURLINGTON NORTHN SANTA FE LLC 5.15 09-01-2043 SEDOL: BD00GW4	195,000.000	211,822.01	223,946.78
CAROLINA PWR & LT 4.1 DUE 05-15-2042 SEDOL: B88N0G2	185,000.000	185,890.07	183,945.87
CAROLINA PWR & LT 4.1% DUE 03-15-2043 SEDOL: B8L5GP6	190,000.000	188,937.90	189,977.20
CATERPILLAR INC 4.75% DUE 05-15-2064 SEDOL: BMM1RV4	230,000.000	229,458.88	245,243.48
CIGNA CORP 5.375% DUE 02-15-2042 SEDOL: B5VZ138	85,000.000	95,974.35	94,691.45
CR SUISSE AG NEW 1.75% DUE 01-29-2018 SEDOL: BYZ5MS9	95,000.000	94,944.90	94,811.43
DUKE ENERGY 3.9% DUE 06-15-2021 SEDOL: B4Q37Q5	250,000.000	277,880.00	265,360.00
DUKE ENERGY 4 DUE 09-30-2042 SEDOL: B8GF9H4	120,000.000	123,597.18	119,171.88
DUKE ENERGY 4.15% DUE 12-01-2044 SEDOL: BSPCBB4	160,000.000	161,657.60	158,961.92
DUKE ENERGY 4.375% DUE 03-30-2044 SEDOL: BJZD6S6	545,000.000	544,891.00	564,745.90

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
FL PWR & LT CO 4.05% DUE 06-01-2042 SEDOL: B8C07L3	150,000.000	154,128.00	152,916.45
GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00818 6.875 DUE 01-10-2039 SEDOL: B7XW2Z0	115,000.000	165,299.85	162,143.33
GEN ELEC CAP CORP 6.15% DUE 08-07-2037 SEDOL: BCZSZ30	125,000.000	149,296.20	161,340.38
GENERAL ELEC CAP CORP MEDIUM TERM NTS 3.1 DUE 01-09-2023 SEDOL: B85PGG3	132,000.000	131,648.88	133,778.96
GENERAL ELEC CAP CORP TRANCHE # TR 005286.75% DUE 03-15-2032/03-20-2002 SEDOL: BG48W16	621,000.000	807,413.92	826,631.11
GENERAL ELEC CAP CORP 3.45 DUE 05-15-2024 REG SEDOL: BMMB3C7	605,000.000	620,578.75	621,897.65
GENERAL ELEC CO 4.125% DUE 10-09-2042 SEDOL: B7T4807	370,000.000	363,431.93	373,274.87
GENERAL ELEC CO 4.5% DUE 03-11-2044 SEDOL: BKRVHN7	125,000.000	139,829.09	134,184.38
HOME DEPOT INC 4.2% DUE 04-01-2043 SEDOL: B9N1J94	270,000.000	275,582.57	278,193.96
HOME DEPOT INC 4.875 DUE 02-15-2044 SEDOL: BDD8L53	35,000.000	39,308.85	39,325.44
HOME DEPOT INC 5.4% DUE 09-15-2040 SEDOL: B3LQNX1	175,000.000	220,179.75	208,536.83
HOME DEPOT INC 5.95% DUE 04-01-2041 SEDOL: BFN0JP9	80,000.000	106,184.00	101,701.52
INTL BUSINESS 4.7% DUE 02-19-2046 SEDOL: BYN2GX5	205,000.000	203,433.80	223,521.14
INVESCO FIN PLC 5.375% DUE 11-30-2043 SEDOL: BGJZ1G0	220,000.000	216,598.58	240,843.02
MEDTRONIC INC 1.5% DUE 03-15-2018 SEDOL: BYZ6550	315,000.000	316,115.10	315,004.73
MEDTRONIC INC 4.375% DUE 03-15-2035 SEDOL: BYMLDT8	390,000.000	392,351.55	412,591.92
MEDTRONIC INC 4.625% DUE 03-15-2045 SEDOL: BYMLDL0	220,000.000	233,481.60	237,912.62
NEVADA PWR CO 6.65% DUE 04-01-2036 SEDOL: B1G2SS0	400,000.000	531,720.00	528,183.20

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
NIKE INC 3.375% DUE 11-01-2046 SEDOL: BD5FYV9	215,000.000	207,513.70	194,149.95
NIKE INC 3.875% DUE 11-01-2045 SEDOL: BYQGY18	590,000.000	594,031.63	581,542.94
NORTHN STS PWR CO 2.2% DUE 08-15-2020 SEDOL: BYQMN08	520,000.000	523,904.70	520,799.24
NORTHN STS PWR CO 4% DUE 08-15-2045 SEDOL: BYQX516	215,000.000	211,489.05	214,180.64
ORACLE CORP 2.5% DUE 05-15-2022 SEDOL: BWTM323	730,000.000	730,256.10	724,930.15
ORACLE CORP 3.9% DUE 05-15-2035 SEDOL: BWTM367	570,000.000	555,976.10	562,197.27
ORACLE CORP 4.125% DUE 05-15-2045 SEDOL: BWTM334	275,000.000	285,152.25	266,519.00
ORACLE CORP 4.375% DUE 05-15-2055 SEDOL: BWTM356	360,000.000	363,744.58	358,063.20
ORACLE CORP 5.375 DUE 07-15-2040 SEDOL: B7FNML7	850,000.000	988,749.98	987,794.35
PEPSICO INC 3.45% DUE 10-06-2046 SEDOL: BD3VCR1	705,000.000	699,261.30	642,245.84
PEPSICO INC 5.5% DUE 01-15-2040 SEDOL: BG491M3	75,000.000	89,610.75	90,053.10
PFIZER INC NT 7.2 DUE 03-15-2039 SEDOL: B8MJDB2	520,000.000	732,070.04	751,133.24
PHILIP MORRIS INTERNATIONAL INC 3.875 BDS DUE 08-21-2042 USD1000 SEDOL: B90G0K0	240,000.000	221,950.31	222,844.80
PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043 SEDOL: BGM0MQ9	210,000.000	225,172.50	225,881.88
PHILIP MORRIS INTL 4.125% DUE 03-04-2043 SEDOL: B83KB65	240,000.000	225,779.97	232,244.64
PHILIP MORRIS INTL 4.375% DUE 11-15-2041 SEDOL: B6VMZ67	445,000.000	464,800.23	448,650.78
PRUDENTIAL FINL INC DTD 06-21-2010 5.37506-21-2020 SEDOL: BC7H8M5	270,000.000	308,169.90	295,745.31
PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00027 6 DUE 12-01-2017 SEDOL: BBVJ5X0	64,000.000	76,022.40	66,492.80

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
PRUDENTIAL FINL 5.75% DUE 07-15-2033 SEDOL: 2883384	80,000.000	92,824.00	91,345.12
PRUDENTIAL FINL 6.2% DUE 11-15-2040 SEDOL: B6913K6	120,000.000	148,909.20	146,643.12
SAN DIEGO GAS & 6.125% DUE 09-15-2037 SEDOL: B27ZL53	140,000.000	181,167.00	179,672.08
SOUTHN CAL EDISON 3.9% DUE 12-01-2041 SEDOL: B70Y066	525,000.000	525,871.50	518,438.55
SOUTHN CAL EDISON 5.95% DUE 02-01-2038 SEDOL: B2NSPS7	185,000.000	244,501.55	232,300.06
SOUTHN CAL GAS 3.75 DUE 09-15-2042 SEDOL: B8DRYG7	220,000.000	216,167.60	214,895.78
SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038 SEDOL: B2NJ7Z1	442,000.000	559,489.80	556,269.82
TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK ENTRY MTN 2.125% DUE 07-18-2019 SEDOL: BYXSHF2	85,000.000	85,143.65	85,368.82
TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK 1.75 DUE 05-22-2017 SEDOL: BFD3Q24	500,000.000	503,240.00	501,124.50
UNION PAC CORP 4.15% DUE 01-15-2045 SEDOL: BQ1KQC4	155,000.000	174,671.05	156,091.98
UNITEDHEALTH GROUP 4.625% DUE 07-15-2035 SEDOL: BYTRVR3	150,000.000	149,982.00	163,505.85
UNITEDHEALTH GROUP 6.625% DUE 11-15-2037 SEDOL: B2PPW63	518,000.000	672,273.78	677,577.15
VISA INC 3.15% DUE 12-14-2025 SEDOL: BYPHGN8	735,000.000	739,188.05	738,223.71
VISA INC 4.15% DUE 12-14-2035 SEDOL: BYPHG16	285,000.000	285,408.36	298,390.16
VISA INC 4.3% DUE 12-14-2045 SEDOL: BYPHFN1	1,140,000.000	1,245,178.09	1,203,285.96
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BKTRANCHE # SB 00009 6.6 DUE 01-15-2038 SEDOL: B7XGYR8	400,000.000	547,347.75	508,818.80
WACHOVIA BK NATL ASSN MTN SUB BKTRANCHE # SB 00007 5.85 DUE 02-01-2037 BEO SEDOL: B1PB082	70,000.000	88,108.30	82,453.49
WAL-MART STORES INC 4.75 DUE 10-02-2043 SEDOL: BF6DY94	315,000.000	331,294.88	353,222.73

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Preferred			
United States - USD			
WAL-MART STORES 5.625% DUE 04-01-2040 SEDOL: BG49714	610,000.000	762,563.52	754,602.33
WAL-MART STORES 5.625% DUE 04-15-2041 SEDOL: B654D54	340,000.000	452,411.55	422,822.64
WAL-MART STORES 5% DUE 10-25-2040 SEDOL: BG49725	375,000.000	417,947.80	431,574.38
WELLPOINT INC 4.625 DUE 05-15-2042 SEDOL: B87XCP6	415,000.000	408,095.68	416,979.14
WELLS FARGO & CO 2.55% DUE 12-07-2020 SEDOL: BZ5ZBL2	295,000.000	303,245.25	295,337.19
WELLS FARGO & CO 3.9% DUE 05-01-2045 SEDOL: BX2H0V0	595,000.000	581,707.77	564,361.67
WELLS FARGO & CO 3% DUE 10-23-2026 SEDOL: BYQ74R7	1,275,000.000	1,274,267.55	1,214,306.18
WELLS FARGO & CO 5.375% DUE 02-07-2035 SEDOL: BG496Q2	115,000.000	131,005.70	130,657.83
WELLS FARGO BK 5.95% DUE 08-26-2036 SEDOL: B1CL665	75,000.000	92,435.25	88,293.90
WESTAR ENERGY INC 4.125 DUE 03-01-2042 SEDOL: B744PD4	195,000.000	196,546.35	193,561.49
WYETH NT 5.95 DUE 04-01-2037/03-27-2007 BEO SEDOL: B91ZLT2	110,000.000	132,521.46	138,429.17
Total United States - USD		**28,658,711.63**	**28,490,064.32**
Total Corporate Debt Instruments - Preferred		**29,432,526.61**	**29,272,945.81**
Corporate Debt Instruments - Other			
Australia - USD			
BARRICK PD 5.95% DUE 10-15-2039 SEDOL: BG48MX8	400,000.000	340,302.24	423,051.60
Total Australia - USD		**340,302.24**	**423,051.60**
Belgium - USD			
ANHEUSER BUSCH 1.375% DUE 07-15-2017 SEDOL: BC7H5M4	410,000.000	411,094.70	410,357.93

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Belgium - USD			
ANHEUSER-BUSCH 3.65% DUE 02-01-2026 SEDOL: BYMLCJ1	1,765,000.000	1,858,914.54	1,791,810.35
ANHEUSER-BUSCH 4.7% DUE 02-01-2036 SEDOL: BYMLCL3	1,860,000.000	1,998,110.62	1,956,474.48
ANHEUSER-BUSCH 4.9% DUE 02-01-2046 SEDOL: BYMLGZ5	2,340,000.000	2,480,253.61	2,529,235.80
Total Belgium - USD		**6,748,373.47**	**6,687,878.56**
Brazil - USD			
VALE OVERSEAS LTD 6.25% DUE 08-10-2026 SEDOL: BD4G7B4	300,000.000	312,250.00	312,000.00
VALE OVERSEAS LTD 6.875% DUE 11-10-2039 SEDOL: B5B54D9	210,000.000	204,268.75	204,225.00
VALE OVERSEAS LTD 6.875% DUE 11-21-2036 SEDOL: B1HMKF0	200,000.000	191,862.50	197,000.00
Total Brazil - USD		**708,381.25**	**713,225.00**
Canada - USD			
AGRIUM INC 3.15 DUE 10-01-2022 SEDOL: B5L4LX5	210,000.000	212,251.20	208,779.48
AGRIUM INC 6.125% DUE 01-15-2041 SEDOL: B50L1X7	375,000.000	442,461.25	426,064.88
BARRICK GOLD CORP 5.25% DUE 04-01-2042 SEDOL: B8L1XS6	115,000.000	112,376.85	112,009.77
BURLINGTON RES FIN CO 7.2 DUE 08-15-2031/08-14-2031 BEO SEDOL: 2795036	400,000.000	553,904.00	515,606.00
CDN NAT RES LTD 5.85% DUE 02-01-2035 SEDOL: B04SDS8	365,000.000	429,780.20	388,479.72
CDN PAC RY CO NEW 6.125% DUE 09-15-2115 CUSIP: 13645RAX2	955,000.000	993,199.21	1,143,414.82
ENBRIDGE INC 5.5% DUE 12-01-2046 CUSIP: 29250NAM7	265,000.000	263,955.90	283,555.30
GOLDCORP INC NEW 3.625% DUE 06-09-2021 SEDOL: BNCBND5	215,000.000	214,722.65	218,419.79
GOLDCORP INC NEW 5.45% DUE 06-09-2044 SEDOL: BYZ5XW0	55,000.000	54,734.35	53,948.68

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Canada - USD			
METHANEX CORP 5.65% DUE 12-01-2044 CUSIP: 59151KAJ7	280,000.000	278,188.40	245,639.24
PETRO CDA 5.35% DUE 07-15-2033 SEDOL: 2919052	200,000.000	215,924.00	221,589.60
PETRO-CDA 6.8% DUE 05-15-2038 SEDOL: B2RJ2C9	365,000.000	465,521.00	473,321.78
ROGERS COMMUNICATIONS INC 4.5 DUE 03-15-2043 SEDOL: B84QCZ8	160,000.000	175,360.00	155,728.96
ROGERS 5% DUE 03-15-2044 SEDOL: BJZD8R9	160,000.000	162,720.00	171,152.16
SUNCOR ENERGY INC 7.15% DUE 02-01-2032 SEDOL: B9B2M01	125,000.000	130,281.25	159,020.63
TRANSALTA CORP 1.9% DUE 06-03-2017 SEDOL: BMQNJP4	415,000.000	414,531.05	412,925.00
TRANSALTA CORP 6.5% DUE 03-15-2040 SEDOL: B640X20	365,000.000	378,614.50	336,395.32
TRANSCANADA 6.2% DUE 10-15-2037 SEDOL: B287YY8	200,000.000	248,238.57	247,980.00
Total Canada - USD		**5,746,764.38**	**5,774,031.13**
France - USD			
DANONE 1.691% DUE 10-30-2019 SEDOL: BYMB6L1	400,000.000	400,000.00	394,992.00
ORANGE NT STEP UP 03-01-2031 02-28-2031 SEDOL: B2PPTQ2	310,000.000	446,179.90	466,092.44
PVTPL EDF S A NT 6 DUE 01-22-2114 BEO CUSIP: 268317AL8	130,000.000	126,038.90	126,127.17
Total France - USD		**972,218.80**	**987,211.61**
Germany - USD			
DAIMLER FIN N AMER 1.75% DUE 10-30-2019 SEDOL: BYMPXR8	515,000.000	514,268.70	508,412.64
SIEMENS 1.3% DUE 09-13-2019 CUSIP: 82620KAH6	395,000.000	394,490.45	387,540.82
Total Germany - USD		**908,759.15**	**895,953.46**

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The Gates Group Retirement Plan
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As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Hong Kong - USD			
PVTPL AIA GROUP LTD TRANCHE # TR 00769 2.25 DUE 03-11-2019 CUSIP: 00131L2A2	520,000.000	518,096.80	521,646.32
Total Hong Kong - USD		**518,096.80**	**521,646.32**
Ireland - USD			
PERRIGO CO PLC 5.3% DUE 11-15-2043 SEDOL: BRCJNZ9	395,000.000	415,384.70	382,048.74
Total Ireland - USD		**415,384.70**	**382,048.74**
Japan - USD			
AMERN HONDA FIN 1.7% DUE 02-22-2019 SEDOL: BYXXXN7	60,000.000	59,988.00	59,754.24
MITSUBISHI UFJ FINANCIAL GROUP INC 2.19%BNDS 09-13-2021 SEDOL: BYW4290	865,000.000	864,605.20	841,179.63
MIZUHO CORP BK LTD 1.85% DUE 03-21-2018 CUSIP: 60688QAP0	750,000.000	749,557.10	748,695.00
PVTPL SUNTORY HLDGS LTD SR NT 1.65% DUE 09-29-2017 BEO SEDOL: BR3NRC5	590,000.000	589,758.10	590,238.95
SUMITOMO MITSUI BK 1.5% DUE 01-18-2018 SEDOL: BLSPB00	1,315,000.000	1,313,709.85	1,308,410.54
SUMITOMO MITSUI FIXED 2.058% DUE 07-14-2021 SEDOL: BD1DFG9	980,000.000	978,832.40	947,846.20
SUMITOMO MITSUI 1.75% DUE 01-16-2018 SEDOL: BVB39G3	275,000.000	274,879.00	274,803.10
Total Japan - USD		**4,831,329.65**	**4,770,927.66**
Luxembourg - USD			
ACTAVIS FDG SCS 3.85% DUE 06-15-2024 SEDOL: BVYPFK2	190,000.000	193,441.00	191,694.23
COVIDIEN INTL FIN 6% DUE 10-15-2017 SEDOL: B3B3L49	525,000.000	630,609.00	543,700.50
Total Luxembourg - USD		**824,050.00**	**735,394.73**

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Mexico - USD			
AMER MOVIL S A B DE C V FORMERLY AME GTDSR NT 6.125 DUE 11-01-2037 REG SEDOL: B2R62G4	205,000.000	254,973.05	231,993.79
AMER MOVIL SAB DE CV 6.125% 03-30-2040 SEDOL: B8C3GC6	105,000.000	127,402.65	119,831.57
COCA-COLA FEMSA S A B DE C V 5.25 DUE 11-26-2043 SEDOL: BJ4G4H1	200,000.000	214,661.20	215,551.60
COCA-COLA FEMSA S 3.875% DUE 11-26-2023 SEDOL: BJ4G4J3	200,000.000	197,032.00	204,136.00
GRUPO TELEVISA S A 5% DUE 05-13-2045 SEDOL: BMJ71M4	310,000.000	289,726.36	263,062.90
GRUPO TELEVISA S A 6.125% DUE 01-31-2046 SEDOL: BZ1N3D6	115,000.000	112,891.83	114,124.39
GRUPO TELEVISA S A 6.625% DUE 01-15-2040 SEDOL: BG494S0	95,000.000	115,478.92	99,548.79
PETROLEOS 6.5% DUE 06-02-2041 CUSIP: 71654QAZ5	1,345,000.000	1,348,506.57	1,259,659.75
PVTPL KIMBERLY CLARK DE MEXICO S A B DE C V NT 144A 3.8% DUE 04-08-2024 BEO SEDOL: BN40J09	230,000.000	230,031.78	227,069.80
Total Mexico - USD		**2,890,704.36**	**2,734,978.59**
Netherlands - USD			
COOPERATIEVE CENTRALE RAIFFEISEN BOERENL2.25 DUE 01-14-2019 CUSIP: 21688AAA0	1,000,000.000	1,015,180.00	1,005,354.00
COOPERATIEVE CENTRALE RAIFFEISEN 2.25% 01-14-2020 CUSIP: 21688AAD4	215,000.000	215,133.30	214,978.29
COOPERATIEVE 3.75% DUE 07-21-2026 CUSIP: 21684AAF3	605,000.000	603,759.02	593,050.04
COOPERATIEVE 4.375% DUE 08-04-2025 SEDOL: BYW41H1	150,000.000	149,772.00	153,919.20
COOPERATIEVE 5.25% DUE 05-24-2041 CUSIP: 21685WCJ4	160,000.000	196,440.85	186,221.44
DEUTSCHE TELEKOM 9.25% DUE 06-01-2032 SEDOL: 2952767	785,000.000	1,227,700.75	1,210,899.40
LYB INTL FIN B V 5.25% DUE 07-15-2043 SEDOL: BLSP7X5	145,000.000	160,027.96	155,894.72
RABOBANK NEDERLAND TRANCHE # TR 00078 3.95% BD DUE 11-09-2022 REG CUSIP: 21685WDF1	100,000.000	100,823.00	102,871.90

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Netherlands - USD			
RABOBANK NEDERLAND 5.25% DUE 08-04-2045 CUSIP: 21684AAD8	135,000.000	146,730.50	146,674.40
RABOBANK NEDERLAND 5.8% DUE 09-30-2110 SEDOL: B3TRWQ2	130,000.000	145,697.50	147,036.37
Total Netherlands - USD		**3,961,264.88**	**3,916,899.76**
Norway - USD			
NORSK HYDRO A S 7.25% DUE 09-23-2027 SEDOL: 7234556	190,000.000	265,955.04	253,528.02
PVTPL DEN NORSKE STATS OLJESELSKAP A S -STATO DEB 144A 6.5 DUE 12-01-2028 SEDOL: 2324601	170,000.000	212,712.50	216,177.44
STATOIL ASA 3.15 DUE 01-23-2022 SEDOL: B6RLN31	515,000.000	530,898.05	525,506.00
STATOIL ASA 3.95 DUE 05-15-2043 SEDOL: BLSP5D1	100,000.000	98,528.00	95,383.50
STATOIL ASA 5.1 DUE 08-17-2040 SEDOL: B522X65	210,000.000	237,758.60	234,009.93
Total Norway - USD		**1,345,852.19**	**1,324,604.89**
Peru - USD			
SOUTHN COPPER CORP 5.875% DUE 04-23-2045 SEDOL: BWXV3J3	215,000.000	216,446.95	211,071.09
Total Peru - USD		**216,446.95**	**211,071.09**
Switzerland - USD			
CR SUISSE GROUP 3.8% DUE 06-09-2023 CUSIP: 225433AT8	900,000.000	905,943.10	899,008.20
CR SUISSE GROUP 4.55% DUE 04-17-2026 CUSIP: 225433AR2	360,000.000	360,179.41	373,887.00
NOVARTIS CAP CORP 4% DUE 11-20-2045 SEDOL: BDCBVP3	710,000.000	762,395.42	716,522.06
PVTPL ROCHE HLDGS INC 2.875% DUE 09-29-2021 SEDOL: BQZJ939	115,000.000	116,225.90	116,975.93
PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 4.125% DUE 09-24-2025 BEO CUSIP: 90351DAB3	75,000.000	76,361.32	76,445.03

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
Switzerland - USD			
UBS GROUP FDG 4.125% DUE 04-15-2026 CUSIP: 90351DAF4	90,000.000	89,852.41	92,045.16
Total Switzerland - USD		**2,310,957.56**	**2,274,883.38**
United Kingdom - USD			
AON PLC 4.25% DUE 12-12-2042 SEDOL: B9Z9KS2	165,000.000	148,110.60	154,582.56
AON PLC 4.45% DUE 05-24-2043 SEDOL: B9ZT6F1	80,000.000	79,475.20	77,134.48
AON PLC 4.6% DUE 06-14-2044 SEDOL: BN40JL0	45,000.000	44,594.13	44,456.67
AON PLC 4.75% DUE 05-15-2045 SEDOL: BXS2QD3	65,000.000	63,976.90	65,700.90
ASTRAZENECA PLC 3.375% DUE 11-16-2025 SEDOL: BYQKXG8	100,000.000	99,700.00	99,309.20
ASTRAZENECA PLC 4.375% DUE 11-16-2045 SEDOL: BYQNQH9	160,000.000	158,570.97	160,600.64
ASTRAZENECA PLC 4% DUE 09-18-2042 SEDOL: B8C0348	210,000.000	208,975.20	198,995.79
ASTRAZENECA PLC 6.45% DUE 09-15-2037 SEDOL: B7FFL50	120,000.000	160,165.20	155,242.44
AXIS SPECIALTY FIN 5.15% DUE 04-01-2045 SEDOL: BKL56D8	80,000.000	79,579.20	79,143.68
BAE SYS HLDGS INC 3.85% DUE 12-15-2025 SEDOL: BYMRMR7	360,000.000	355,752.00	367,155.72
BARCLAYS PLC 2.75% 11-08-2019 SEDOL: BSHZYB2	650,000.000	647,712.00	648,284.00
BARCLAYS PLC 3.2% DUE 08-10-2021 SEDOL: BYZDDQ8	185,000.000	182,294.10	182,852.34
BARCLAYS PLC 4.375% DUE 01-12-2026 SEDOL: BD0SY79	225,000.000	224,630.02	227,884.95
BARCLAYS PLC 5.2% DUE 05-12-2026 SEDOL: BD8ZS14	110,000.000	112,028.40	111,820.50
BP CAP MKTS P L C 3.245 DUE 05-06-2022 SEDOL: BCDPC76	330,000.000	339,929.70	336,973.89
BP CAP MKTS P L C 3.814% DUE 02-10-2024 SEDOL: BJT16F3	165,000.000	167,800.05	171,412.56

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United Kingdom - USD			
HSBC HLDGS PLC 2.65% DUE 01-05-2022 SEDOL: BDCVWX8	505,000.000	504,147.00	493,011.81
HSBC HLDGS PLC 3.9% DUE 05-25-2026 SEDOL: BD0QRM9	300,000.000	303,966.00	302,048.70
HSBC HLDGS PLC 4.375% DUE 11-23-2026 SEDOL: BYZMS48	405,000.000	404,967.60	408,006.72
HSBC HLDGS PLC 7.625% DUE 05-17-2032 SEDOL: BG5GXL6	90,000.000	115,946.65	116,649.99
LLOYDS BKG GROUP 4.582% DUE 12-10-2025 SEDOL: BDBFX83	120,000.000	119,280.00	120,580.08
LLOYDS BKG GROUP 5.3% DUE 12-01-2045 SEDOL: BD84H70	60,000.000	61,066.14	61,960.74
PVTPL BAE SYS PLC NT 5.8 DUE 10-11-2041 BEO CUSIP: 05523RAC1	85,000.000	104,347.70	96,930.86
ROYAL BK SCOTLAND 3.875% DUE 09-12-2023 SEDOL: BYYPY40	340,000.000	339,898.00	326,487.04
SANTANDER UK GROUP 2.875% DUE 10-16-2020 SEDOL: BYZDT48	310,000.000	309,513.30	307,207.21
VODAFONE GROUP PLC 2.5% DUE 09-26-2022 SEDOL: B90LVX5	200,000.000	191,908.00	191,806.00
VODAFONE GROUP PLC 4.375% DUE 02-19-2043 SEDOL: BB0RG21	430,000.000	414,197.99	380,464.86
Total United Kingdom - USD		**5,942,532.05**	**5,886,704.33**
United States - USD			
ABBOTT LABS 3.4% DUE 11-30-2023 SEDOL: BYQHX14	460,000.000	457,833.40	458,270.86
ABBOTT LABS 3.75% DUE 11-30-2026 SEDOL: BYQHX36	825,000.000	819,274.50	819,300.08
ABBOTT LABS 4.75% DUE 11-30-2036 SEDOL: BYVQ5V0	590,000.000	586,224.00	599,806.98
ABBOTT LABS 4.9% DUE 11-30-2046 SEDOL: BYQHX58	1,545,000.000	1,539,509.43	1,585,687.58
ABBVIE INC FIXED 4.5% DUE 05-14-2035 SEDOL: BXDZFY1	995,000.000	977,635.80	977,614.37
ABBVIE INC FIXED 4.7% DUE 05-14-2045 SEDOL: BXDZFZ2	145,000.000	148,415.47	142,263.85

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ABBVIE INC 2.5% 05-14-2020 SEDOL: BXDZFV8	834,000.000	846,911.99	834,217.67
ABBVIE INC 3.6% 05-14-2025 SEDOL: BXDZFX0	405,000.000	421,538.30	401,147.64
ABBVIE INC 4.3% DUE 05-14-2036 SEDOL: BYP8MR7	210,000.000	210,378.00	200,111.10
ABBVIE INC 4.4% DUE 11-06-2042 SEDOL: BCZM189	260,000.000	258,273.35	244,594.22
ABBVIE INC 4.45% DUE 05-14-2046 SEDOL: BYP8MQ6	620,000.000	612,616.35	593,666.74
ACE INA HLDGS INC 2.3% DUE 11-03-2020 SEDOL: BYSRKJ9	330,000.000	329,815.20	329,924.43
ACE INA HLDGS INC 4.35% DUE 11-03-2045 SEDOL: BYSRKF5	760,000.000	770,637.44	802,790.28
ACE INA HLDGS INC 6.7% DUE 05-15-2036 SEDOL: B14VKK5	60,000.000	78,497.40	79,786.20
ACTAVIS FDG SCS 4.55% DUE 03-15-2035 SEDOL: BWDBND7	845,000.000	836,545.17	836,374.24
ACTAVIS FDG SCS 4.85% DUE 06-15-2044 SEDOL: BT6T3K4	185,000.000	184,427.63	183,427.13
ACTAVIS INC 4.625% DUE 10-01-2042 SEDOL: BFLQBW0	495,000.000	476,123.61	479,334.74
AETNA INC NEW 2.8% DUE 06-15-2023 SEDOL: BD203G5	240,000.000	239,649.60	236,402.16
AETNA INC NEW 3.5% DUE 11-15-2024 REG SEDOL: BSLMTP8	340,000.000	359,470.70	344,947.34
AETNA INC NEW 4.25% DUE 06-15-2036 SEDOL: BDD8D24	460,000.000	461,196.90	461,216.70
AETNA INC NEW 4.375% DUE 06-15-2046 SEDOL: BD203F4	190,000.000	193,510.29	190,787.93
AETNA INC NEW 4.75% DUE 03-15-2044 SEDOL: BK1KRP2	250,000.000	262,387.25	262,996.25
AFLAC INC 3.625 BDS DUE 06-15-2023 USD1000 REG SEDOL: BBDY113	205,000.000	211,541.55	212,211.70
AGL CAP CORP 4.4% DUE 06-01-2043 SEDOL: B98CZ49	130,000.000	129,503.40	128,546.34

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ALABAMA PWR CO 3.75% DUE 03-01-2045 SEDOL: BWB92Z8	245,000.000	242,911.70	231,491.44
ALABAMA PWR CO 3.85% DUE 12-01-2042 SEDOL: B905R28	252,000.000	246,776.04	240,888.82
ALABAMA PWR CO 4.15% DUE 08-15-2044 SEDOL: BQ5C541	135,000.000	150,974.55	135,670.95
ALABAMA PWR CO 6.125% DUE 05-15-2038 SEDOL: BG493F0	130,000.000	157,232.40	162,592.43
ALLERGAN INC 3.375% DUE 09-15-2020 SEDOL: B3W6T98	35,000.000	35,869.05	35,825.16
ALLINA HEALTH SYS 4.805% DUE 11-15-2045 SEDOL: BYMYN86	190,000.000	190,000.00	202,546.65
ALLSTATE CORP 3.28% DUE 12-15-2026 SEDOL: BYNXM29	405,000.000	404,449.20	406,344.20
ALLSTATE CORP 4.2% DUE 12-15-2046 SEDOL: BDSFRM8	555,000.000	556,294.85	566,776.55
ALLSTATE CORP 4.5% DUE 06-15-2043 SEDOL: BBG7S30	115,000.000	136,447.50	121,971.19
ALLTEL CORP 7.875% DUE 07-01-2032 SEDOL: 2963543	395,000.000	609,567.95	501,516.89
ALTRIA GROUP INC 2.85 DUE 08-09-2022 REG SEDOL: B8HW1Q7	710,000.000	735,912.45	710,869.75
ALTRIA GROUP INC 3.875% DUE 09-16-2046 SEDOL: BD91617	845,000.000	827,100.02	779,844.59
ALTRIA GROUP INC 4.5% DUE 05-02-2043 SEDOL: B7ZKNH1	480,000.000	469,026.26	487,859.04
AMAZON COM INC 2.6% DUE 12-05-2019 SEDOL: BTC0J03	255,000.000	258,355.80	260,023.76
AMAZON COM INC 3.3% DUE 12-05-2021 SEDOL: BT9QDH9	350,000.000	362,372.50	362,687.50
AMAZON COM INC 4.8% DUE 12-05-2034 SEDOL: BTC0L18	395,000.000	418,801.55	434,760.70
AMAZON COM INC 4.95% DUE 12-05-2044 SEDOL: BTC0KW2	315,000.000	382,381.05	359,271.99
AMERADA HESS CORP 7.125 DUE 03-15-2033 BEO SEDOL: 2888152	330,000.000	406,734.90	376,521.09

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
AMEREN UN ELEC CO 5.1 DUE 10-01-2019 BEO SEDOL: BR17T78	230,000.000	271,597.80	248,372.40
AMERICAN EXPRESS CREDIT 2.375% DUE 05-26-2020 SEDOL: BYRBP19	195,000.000	195,725.40	194,891.00
AMERICAN HONDA FINANCE BD 144A 1.6 DUE 02-16-2018 BEO SEDOL: B9BLPR6	475,000.000	475,213.75	475,426.08
AMERISOURCEBERGEN 3.4% DUE 05-15-2024 SEDOL: BMPQNP2	160,000.000	159,302.40	160,972.32
AMERN EXPRESS CR CORP MEDIUM TERM NTS 1.125 DUE 06-05-2017 SEDOL: BN796R9	495,000.000	493,255.36	494,922.29
AMERN HONDA FIN CORP MED TERM NTS BOOK ENTRY TRANCHE # TR 29 1.2 DUE 07-12-2019 SEDOL: BDGTNP7	115,000.000	114,885.00	112,955.88
AMERN HONDA FIN CORP MED TERM NTS BOOK ENTRY TRANCHE # TR 31 1.7 DUE 09-09-2021 SEDOL: BD8F5N5	685,000.000	682,783.40	661,067.47
AMERN INTL GROUP 3.875% DUE 01-15-2035 SEDOL: BVCN939	85,000.000	78,723.60	79,843.39
AMERN INTL GROUP 3.9% DUE 04-01-2026 SEDOL: BDFXRB4	730,000.000	755,824.10	742,834.86
AMERN INTL GROUP 4.375% DUE 01-15-2055 SEDOL: BVCJNG8	365,000.000	349,731.79	334,403.15
AMERN INTL GROUP 4.7% DUE 07-10-2035 SEDOL: BYMMP95	195,000.000	195,076.33	202,102.10
AMERN INTL GROUP 4.875% DUE 06-01-2022 SEDOL: B7V7HB8	110,000.000	119,773.50	120,205.25
AMERN INTL GROUP 6.25% DUE 05-01-2036 SEDOL: B1CYG08	295,000.000	375,364.46	352,765.72
AMERN INTL GROUP 6.82% DUE 11-15-2037 SEDOL: B8BG541	155,000.000	206,050.80	195,041.15
AMERN INTL GROUP 8.175% DUE 05-15-2068 SEDOL: B606MS1	257,000.000	328,868.49	325,105.00
AMGEN INC 2.2 DUE 05-22-2019 SEDOL: BMPQNQ3	1,000,000.000	1,018,260.00	1,007,719.00
AMGEN INC 4.95% DUE 10-01-2041 CUSIP: 031162BE9	635,000.000	678,262.55	658,878.54
AMGEN INC 5.15% DUE 11-15-2041 SEDOL: B76M525	125,000.000	130,335.46	132,143.88

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ANADARKO PETE CO 6.2% DUE 03-15-2040 SEDOL: B665LR4	255,000.000	267,038.36	292,049.21
ANADARKO PETE CORP ZERO CPN 0% DUE 10-10-2036 SEDOL: BH86FD6	2,550,000.000	952,150.23	1,030,995.60
ANADARKO PETE CORP 6.45% DUE 09-15-2036 SEDOL: B1FGZM6	290,000.000	297,298.90	345,148.14
ANHEUSER BUSCH COS 6.45% DUE 09-01-2037 SEDOL: BG48QV4	145,000.000	171,813.40	184,781.48
ANHEUSER BUSCH COS 6.5% DUE 05-01-2042 SEDOL: B3D2230	250,000.000	348,475.00	318,800.00
ANHEUSER BUSCH COS 6% DUE 11-01-2041 SEDOL: 2817543	185,000.000	215,569.40	226,518.63
ANTHEM INC 4.65% DUE 08-15-2044 SEDOL: BR17WN5	460,000.000	487,972.65	465,651.56
AON CORP JR SUB DEFFERABLE INT DEB 8.205DUE 01-01-2027 SEDOL: B683Y11	400,000.000	525,920.00	512,000.00
AON CORP SR NT 6.25 DUE 09-30-2040 SEDOL: B6898J5	130,000.000	168,205.70	157,099.54
AON CORP 5% DUE 09-30-2020 SEDOL: B688TQ6	570,000.000	636,650.10	614,031.93
AON PLC 3.875% DUE 12-15-2025 SEDOL: BYZ7348	130,000.000	129,963.60	132,507.05
APACHE CORP 5.1% DUE 09-01-2040 SEDOL: B58CQJ3	25,000.000	26,579.00	26,154.53
APACHE CORP 6% DUE 01-15-2037 SEDOL: B1P8FN3	190,000.000	218,501.90	218,529.26
APPALACHIAN PWR CO 6.375% DUE 04-01-2036 SEDOL: B12JDS0	205,000.000	256,018.35	251,841.89
APPLE INC 2.4% DUE 05-03-2023 SEDOL: BC7H5P7	945,000.000	960,288.10	920,163.51
APPLE INC 3.45% DUE 02-09-2045 CUSIP: 037833BA7	595,000.000	542,810.76	525,175.56
APPLE INC 4.45% DUE 05-06-2044 SEDOL: BM6NVC5	435,000.000	487,162.90	450,432.06
APPLE INC 4.65% DUE 02-23-2046 CUSIP: 037833BX7	500,000.000	543,031.95	539,924.50

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ARCH CAP FIN LLC 4.011% DUE 12-15-2026 SEDOL: BDDW8L0	350,000.000	351,131.20	355,036.85
ARCH CAP FIN LLC 5.031% DUE 12-15-2046 SEDOL: BD3HC13	285,000.000	286,993.90	298,790.01
ARIZONA PUB SVC CO 3.75% DUE 05-15-2046 SEDOL: BYZJJZ7	300,000.000	299,139.00	281,364.00
ARIZONA PUB SVC CO 4.35% DUE 11-15-2045 SEDOL: BYZR531	165,000.000	188,760.00	169,840.28
ARIZONA PUB SVC CO 4.5% DUE 04-01-2042 SEDOL: B546Q33	195,000.000	208,944.45	204,789.00
ASCENSION HLTH 3.945% DUE 11-15-2046 SEDOL: BYYXY44	175,000.000	175,000.00	167,093.15
ASCENSION HLTH 4.847% DUE 11-15-2053 SEDOL: BBMSWS0	120,000.000	120,000.00	130,841.52
AT&T CORP USD SR NT VAR RATE DUE 11-15-2031BEO CUSIP: 001957BD0	170,000.000	252,417.70	236,322.27
AT&T INC SR NT 144A ZERO CPN CORP BD DUE11-27-2022 SEDOL: B8KHS02	2,000,000.000	1,542,500.00	1,597,170.00
AT&T INC 3.4% DUE 05-15-2025 SEDOL: BX2KBD8	145,000.000	145,165.30	139,754.34
AT&T INC 3.6% DUE 02-17-2023 SEDOL: BYMLXK9	345,000.000	362,601.50	347,939.40
AT&T INC 4.5% DUE 03-09-2048 SEDOL: BD3HCY6	2,203,000.000	2,030,008.81	1,979,556.32
AT&T INC 4.55% DUE 03-09-2049 SEDOL: BD3HCX5	976,000.000	873,301.12	881,587.62
AT&T INC 4.8% DUE 06-15-2044 SEDOL: BN8KPY1	455,000.000	434,673.78	429,935.87
AT&T WIRELESS SVCS 8.75% DUE 03-01-2031 SEDOL: BG49413	1,085,000.000	1,667,718.67	1,551,846.21
AUTO DATA 2.25% DUE 09-15-2020 SEDOL: BYNFCK3	405,000.000	404,639.55	407,093.04
AUTOZONE INC 2.5% DUE 04-15-2021 SEDOL: BWY4YH6	580,000.000	579,779.60	573,483.12
AVALONBAY CMNTYS 2.95% DUE 05-11-2026 SEDOL: BD8BS56	605,000.000	605,066.85	577,536.03

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
AVALONBAY CMNTYS 3.9% DUE 10-15-2046 SEDOL: BYW4234	130,000.000	129,473.50	120,683.16
AVALONBAY 2.9% DUE 10-15-2026 SEDOL: BDCFTQ2	110,000.000	109,657.90	104,099.16
BALT GAS & ELEC CO 6.35% DUE 10-01-2036 SEDOL: B23WTP4	75,000.000	98,318.25	95,211.90
BANK AMER CORP BDS 4 04-01-2024 SEDOL: BLBP4G4	1,255,000.000	1,323,451.70	1,294,330.45
BANK AMER CORP 2.151% DUE 11-09-2020 SEDOL: BD0NJ68	340,000.000	340,000.00	335,580.34
BANK AMER CORP 3.248% DUE 10-21-2027 SEDOL: BD5FYQ4	1,245,000.000	1,246,373.00	1,188,601.50
BANK AMER CORP 3.3% DUE 01-11-2023 SEDOL: B799RR2	385,000.000	379,102.04	386,285.90
BANK AMER CORP 4.1% DUE 07-24-2023 SEDOL: BFLRDC7	395,000.000	425,067.40	412,617.00
BANK AMER CORP 4.183% DUE 11-25-2027 SEDOL: BZ013F3	690,000.000	690,000.00	690,440.91
BANK AMER CORP 5% DUE 01-21-2044 SEDOL: BJ7B592	775,000.000	837,329.52	848,697.08
BARRICK INTL BK 6.35% DUE 10-15-2036 SEDOL: B4QBSK0	175,000.000	179,870.25	181,556.20
BAXALTA INC 3.6% DUE 06-23-2022 SEDOL: BD4FM38	530,000.000	534,299.80	534,056.09
BAXALTA INC 5.25% DUE 06-23-2045 SEDOL: BD4F5R3	710,000.000	789,088.01	757,865.36
BAYLOR SCOTT & 4.185% DUE 11-15-2045 SEDOL: BWX1XR1	245,000.000	245,000.00	239,039.89
BB&T BRH BKG & TR 3.625% DUE 09-16-2025 SEDOL: BYMYND1	360,000.000	365,608.80	366,011.64
BECTON DICKINSON & 2.675% DUE 12-15-2019 SEDOL: BTDFTZ2	61,000.000	61,000.00	61,891.27
BECTON DICKINSON & 4.875% DUE 05-15-2044 SEDOL: BXVMC54	125,000.000	124,390.32	130,146.63
BED BATH & BEYOND 5.165% DUE 08-01-2044 SEDOL: BP81YD0	485,000.000	438,566.42	441,390.26

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
BERKSHIRE HATHAWAY 1.15% DUE 08-15-2018 SEDOL: BD381H5	845,000.000	844,898.60	840,758.95
BERKSHIRE HATHAWAY 2.75% DUE 03-15-2023 SEDOL: BDB5JF2	315,000.000	318,396.57	313,817.49
BERKSHIRE HATHAWAY 3.5% DUE 02-01-2025 SEDOL: BVRZ8P5	255,000.000	258,359.64	260,052.32
BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043 SEDOL: B96WCM8	270,000.000	264,031.03	278,945.64
BERKSHIRE HATHAWAY 4.5% DUE 02-01-2045 SEDOL: BVXY748	530,000.000	526,866.39	549,832.07
BIOGEN INC 2.9% DUE 09-15-2020 SEDOL: BYNPNX3	180,000.000	179,625.60	182,295.72
BK AMER N A CHARLOTTE N C MEDIUM TERM 6.1 DUE 06-15-2017 TRANCHE # TR 00237 SEDOL: BG48PB7	1,000,000.000	1,141,324.29	1,020,332.00
BLACK HILLS CORP 4.2% DUE 09-15-2046 SEDOL: BDHDF96	210,000.000	208,855.50	200,190.90
BLUE CROSS BLUE SHIELD M 3.79% 05-01-2025 SEDOL: BX3JD38	625,000.000	624,943.75	607,783.13
BOEING CO 3.375% DUE 06-15-2046 SEDOL: BD72429	160,000.000	154,760.00	145,712.00
BOEING CO 6.875% DUE 03-15-2039 SEDOL: BC7H609	175,000.000	259,868.00	247,239.48
BORGWARNER INC 4.375% DUE 03-15-2045 SEDOL: BWC4XV5	680,000.000	666,812.60	653,605.12
BRISTOL MYERS 6.875% DUE 08-01-2097 SEDOL: 2282839	180,000.000	245,089.80	248,095.26
BRIXMOR OPER 3.25% DUE 09-15-2023 SEDOL: BD3BW48	565,000.000	564,287.10	547,760.16
BRIXMOR OPER 4.125% DUE 06-15-2026 SEDOL: BD203J8	200,000.000	198,656.00	199,395.00
BSTN PPTYS LTD 3.125% DUE 09-01-2023 SEDOL: B9N2L91	300,000.000	295,047.00	294,171.00
BSTN PPTYS LTD 3.65% DUE 02-01-2026 SEDOL: BZCR7P7	65,000.000	64,810.20	64,188.54
BUCKEYE PARTNERS L 3.95% DUE 12-01-2026 SEDOL: BZ1JM93	140,000.000	139,501.60	136,248.98

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
BUCKEYE PARTNERS L 5.85% DUE 11-15-2043 SEDOL: BGDRY29	240,000.000	233,746.45	247,445.52
BURL NORTH SANTA FIXED 4.7% DUE 09-01-2045 SEDOL: BYV3D84	230,000.000	273,039.90	251,738.22
BURL NORTHN SANTA 4.95% DUE 09-15-2041 SEDOL: B61QXW1	235,000.000	265,002.45	263,039.26
BURL NORTHN SANTA 5.4% DUE 06-01-2041 SEDOL: B4WWNH9	195,000.000	214,577.05	230,172.54
CAMPBELL SOUP CO 3.8% DUE 08-02-2042 SEDOL: B7JN3H6	445,000.000	379,037.28	401,085.18
CAP 1 BK USA 2.25 DUE 02-13-2019 SEDOL: BJVF0R3	190,000.000	190,470.26	190,848.35
CAP 1 FINL CORP 3.75% DUE 07-28-2026 SEDOL: BYYQNX5	225,000.000	224,201.25	218,113.88
CAP 1 MULTI-ASSET 1.34% DUE 04-15-2022 CUSIP: 14041NFE6	950,000.000	949,993.35	939,486.35
CAP 1 NATL ASSN 1.5% DUE 03-22-2018 SEDOL: B9780K9	750,000.000	740,362.50	746,676.00
CAP 1 NATL ASSN 1.85% DUE 09-13-2019 SEDOL: BD37K31	1,135,000.000	1,136,475.50	1,122,098.46
CAP 1 NATL ASSN 2.35% DUE 08-17-2018 SEDOL: BYV3D40	120,000.000	119,869.20	120,689.28
CAPITAL ONE BK USA NATL ASSN GLEN ALLEN 3.375 DUE 02-15-2023 REG SEDOL: B8BMVV8	170,000.000	171,519.80	168,404.04
CBL & ASSOCS LTD 5.95% DUE 12-15-2026 SEDOL: BD2B5W8	405,000.000	399,188.25	407,675.43
CELGENE CORP 3.625% DUE 05-15-2024 SEDOL: BMH9J28	595,000.000	620,416.30	597,908.36
CELGENE CORP 3.875% DUE 08-15-2025 SEDOL: BYQH778	205,000.000	216,746.50	207,933.55
CELGENE CORP 3.95% DUE 10-15-2020 SEDOL: B4P0G93	85,000.000	91,959.85	88,862.06
CELGENE CORP 4.625% DUE 05-15-2044 SEDOL: BMH4PS1	540,000.000	542,085.01	528,724.26
CELGENE CORP 5.7% DUE 10-15-2040 SEDOL: B4P5W67	305,000.000	357,850.40	339,286.27

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CELGENE CORP 5% DUE 08-15-2045 SEDOL: BYQH7V2	195,000.000	211,462.68	202,747.35
CENTERPOINT ENERGY 5.85 DUE 01-15-2041 SEDOL: B4PFJQ6	275,000.000	301,048.00	311,144.63
CHARTER 6.384% DUE 10-23-2035 SEDOL: BZ06JT4	310,000.000	350,104.70	353,967.92
CHARTER 6.484% DUE 10-23-2045 SEDOL: BYQ7R23	240,000.000	274,392.00	277,458.96
CHASE ISSUANCE TR 1.59% DUE 02-18-2020 SEDOL: BD6C9C5	965,000.000	974,612.30	967,747.36
CHEVRON CORP NEW 1.365% DUE 03-02-2018 SEDOL: BW1YZ54	485,000.000	486,091.25	484,523.73
CIN FINL CORP 6.125% DUE 11-01-2034 CUSIP: 172062AE1	270,000.000	314,077.50	313,485.93
CIN FINL CORP 6.92% DUE 05-15-2028 SEDOL: B07T3M2	220,000.000	255,989.80	275,006.16
CINGULAR WIRELESS 7.125% DUE 12-15-2031 SEDOL: 2011549	340,000.000	425,210.80	425,463.76
CINTAS CORP NO 2 3.25% DUE 06-01-2022 SEDOL: B8H3D42	450,000.000	460,854.00	455,557.50
CISCO SYS INC 1.4% DUE 09-20-2019 SEDOL: BD915S7	1,165,000.000	1,164,591.65	1,152,295.68
CISCO SYS INC 1.85% DUE 09-20-2021 SEDOL: BD8GNQ7	455,000.000	454,936.30	444,064.53
CITIBANK CR CARD 1.73% DUE 04-09-2020 SEDOL: BD6CC37	250,000.000	253,095.70	250,958.00
CITIGROUP INC 1.8% DUE 02-05-2018 SEDOL: BVCVN64	545,000.000	545,087.20	544,695.35
CITIGROUP INC 2.15% DUE 07-30-2018 SEDOL: BYTHCV4	575,000.000	579,343.85	576,902.10
CITIGROUP INC 2.9% DUE 12-08-2021 SEDOL: BYNXLZ5	1,535,000.000	1,532,375.15	1,530,858.57
CITIGROUP INC 3.2% DUE 10-21-2026 SEDOL: BYP7GJ4	665,000.000	649,614.65	635,887.63
CITIGROUP INC 4.65% DUE 07-30-2045 SEDOL: BYTHPH1	343,000.000	366,682.37	361,538.46

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CITIGROUP INC 4.75% DUE 05-18-2046 SEDOL: BDGLCL2	730,000.000	723,612.85	730,842.42
CITIGROUP INC 5.3 DUE 05-06-2044 SEDOL: BMH4H85	159,000.000	178,580.88	171,270.83
CITIGROUP INC 6.625 DUE 06-15-2032 BEO SEDOL: BG48R11	455,000.000	546,140.15	551,957.77
CITIGROUP INC 8.125% DUE 07-15-2039 SEDOL: B8G0DX3	110,000.000	166,256.80	163,452.74
CLEV CLINIC FNDTN 4.858% DUE 01-01-2114 SEDOL: BYT8J72	165,000.000	165,000.00	156,516.36
COCA COLA CO 1.375% DUE 05-30-2019 SEDOL: BDDRKG4	1,035,000.000	1,034,275.50	1,028,122.43
COMCAST CABLE 8.875% DUE 05-01-2017 SEDOL: 2125978	700,000.000	905,716.00	717,607.80
COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039 SEDOL: B614024	235,000.000	315,737.26	306,573.25
COMCAST CORP NEW 2.85% DUE 01-15-2023 SEDOL: B994FP5	420,000.000	415,386.80	418,909.68
COMCAST CORP NEW 3.4% DUE 07-15-2046 SEDOL: BDFFPY9	95,000.000	93,754.57	82,964.64
COMCAST CORP NEW 4.6% DUE 08-15-2045 CUSIP: 20030NBQ3	115,000.000	120,273.90	120,550.82
COMCAST CORP NEW 4.65% DUE 07-15-2042 SEDOL: B7TGGL0	125,000.000	143,300.00	130,140.00
COMCAST CORP NEW 4.75% DUE 03-01-2044 SEDOL: BJZXTF4	130,000.000	151,990.80	139,428.25
COMCAST CORP NEW 5.65% DUE 06-15-2035 SEDOL: B0B7ZQ0	175,000.000	198,429.00	209,150.73
COMCAST CORP NEW 6.4% DUE 05-15-2038 CUSIP: 20030NAX9	305,000.000	384,394.00	390,552.50
COMCAST CORP NEW 6.45% DUE 03-15-2037 SEDOL: B108F49	1,250,000.000	1,578,271.93	1,613,931.25
COMCAST CORP NEW 7.05% DUE 03-15-2033 SEDOL: 2470065	70,000.000	89,947.90	93,974.16
COMCAST CORP 4.5 BDS DUE 01-15-2043 REG SEDOL: B97R909	310,000.000	348,428.00	319,615.89

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
COMMONWEALTH EDISON CO 1ST MTG BD SER 113 3.8 DUE 10-01-2042 SEDOL: B8DXN80	135,000.000	129,089.35	129,518.46
COMPASS BK BIRMINGHAM ALA 2.75% DUE 09-29-2019 SEDOL: BRB3288	310,000.000	309,280.80	308,312.67
COMPASS BK 3.875% DUE 04-10-2025 SEDOL: BWTXKR0	480,000.000	475,866.35	456,787.68
COMWLTH EDISON CO 3.65% DUE 06-15-2046 SEDOL: BYMX9T6	235,000.000	240,630.60	220,844.07
CONAGRA INC NT 8.25 DUE 09-15-2030 BEO SEDOL: 2745127	220,000.000	292,518.60	294,613.88
CONS EDISON CO N Y INC 4.45 DUE 03-15-2044 REG SEDOL: BJZD6Q4	535,000.000	534,022.20	556,989.04
CONS EDISON CO N Y 2.9% DUE 12-01-2026 SEDOL: BZ2Z104	620,000.000	617,476.60	609,274.00
CONS EDISON CO N Y 4.3% DUE 12-01-2056 SEDOL: BD3GJ31	185,000.000	182,143.60	184,745.81
CONS EDISON CO N Y 4.5% DUE 12-01-2045 SEDOL: BD60YD5	245,000.000	244,755.00	259,787.47
CONS EDISON CO N Y 4.625% DUE 12-01-2054 SEDOL: BSQC9Q4	280,000.000	277,719.32	293,737.36
CONS EDISON CO N Y 6.75% DUE 04-01-2038 SEDOL: B2QQX95	230,000.000	303,126.20	311,292.81
CONSTELLATION ENERGY GROUP INC 7.6 DUE 04-01-2032 BEO SEDOL: 2014656	270,000.000	329,386.50	354,269.16
CONSTELLATION 3.7% DUE 12-06-2026 SEDOL: BDDWHX5	275,000.000	274,749.75	268,686.00
CORNING INC 1.45 DUE 11-15-2017 SEDOL: B927XW4	370,000.000	370,814.00	369,726.20
CORNING INC 7.25 DUE 08-15-2036 BEO SEDOL: BFZDDJ8	185,000.000	239,155.05	218,425.25
CSX CORP 3.8% DUE 11-01-2046 SEDOL: BYMPHS7	80,000.000	79,544.80	74,166.48
CSX CORP 4.1 DUE 03-15-2044 SEDOL: B8MZYR3	85,000.000	81,714.75	82,386.85
CSX CORP 4.25% DUE 11-01-2066 SEDOL: BD9NGT1	730,000.000	700,772.75	666,186.32

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
CSX CORP 4.4 DUE 03-01-2043 REG SEDOL: B6Z3ZB1	100,000.000	98,480.58	100,898.70
CVS CAREMARK CORP 5.3 DUE 12-05-2043 SEDOL: BH458J4	350,000.000	407,605.45	396,579.75
CVS HEALTH CORP 2.25% DUE 12-05-2018 SEDOL: BH459S0	305,000.000	304,655.35	307,673.33
CVS HEALTH CORP 5.125% DUE 07-20-2045 SEDOL: BYPFC45	910,000.000	1,013,539.45	1,014,146.77
CVS HEALTH CORP 5% DUE 12-01-2024 SEDOL: BD3MNL5	620,000.000	682,084.40	678,716.48
DIGITAL RLTY TR LP 4.75% DUE 10-01-2025 SEDOL: BD9NRY3	490,000.000	507,828.40	508,579.33
DIGNITY HEALTH 2.637% DUE 11-01-2019 CUSIP: 254010AC5	235,000.000	235,000.00	237,047.32
DIGNITY HEALTH 5.267% DUE 11-01-2064 SEDOL: BYQ7PN0	85,000.000	85,000.00	82,919.80
DISNEY WALT CO NEW .875% DUE 07-12-2019 SEDOL: BDGTNN5	150,000.000	149,496.00	146,984.70
DISNEY WALT CO 4.125 DUE 12-01-2041 SEDOL: B795V01	180,000.000	176,956.20	184,602.60
DOMINION GAS HLDGS 2.5% DUE 12-15-2019 SEDOL: BT9Z2F7	865,000.000	869,006.30	874,512.41
DOMINION GAS HLDGS 4.6% DUE 12-15-2044 SEDOL: BT9Z2H9	135,000.000	134,149.50	134,320.82
DOMINION RES INC VA NEW 1.25 DUE 03-15-2017 REG SEDOL: BKWQKS3	400,000.000	399,592.00	400,029.20
DOMINION RES INC 4.05% DUE 09-15-2042 SEDOL: B8QB5D0	270,000.000	246,599.10	250,539.21
DOMINION RES INC 4.7% DUE 12-01-2044 SEDOL: BSR9GC9	250,000.000	248,037.99	257,012.25
DOMINION RESOURCES INC 4.9% 08-01-2041 SEDOL: B4XHFS8	115,000.000	115,042.55	120,726.77
DOMTAR CORP 6.25% DUE 09-01-2042 SEDOL: B84VV12	190,000.000	193,192.00	183,574.77
DOMTAR CORP 6.75 DUE 02-15-2044 SEDOL: BGPGP87	210,000.000	214,380.60	213,313.17

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
DOW CHEMICAL CO 4.375% DUE 11-15-2042 SEDOL: BFZDDH6	360,000.000	343,656.74	349,459.20
DR PEPPER SNAPPLE 3.13% DUE 12-15-2023 SEDOL: BYPL1P7	580,000.000	579,779.60	580,133.40
DR PEPPER SNAPPLE 4.42% DUE 12-15-2046 SEDOL: BYPL1N5	390,000.000	388,949.70	397,408.05
DR PEPPER SNAPPLE 7.45% DUE 05-01-2038 SEDOL: B3L5JT6	405,000.000	575,517.15	563,728.41
DUKE ENERGY FLA 1.196% DUE 03-01-2020 CUSIP: 26444GAA1	240,000.000	239,997.60	238,101.60
DUKE ENERGY FLA 1.731% DUE 09-01-2022 CUSIP: 26444GAB9	535,000.000	534,989.30	518,013.75
DUKE ENERGY FLA 2.858% DUE 03-01-2033 CUSIP: 26444GAD5	180,000.000	179,991.00	165,278.88
DUKE ENERGY FLA 3.112% DUE 09-01-2036 CUSIP: 26444GAE3	230,000.000	229,986.20	210,446.09
DUKE ENERGY 3.875% DUE 03-15-2046 SEDOL: BZ58832	110,000.000	109,611.70	107,602.66
DUKE ENERGY 4.2% DUE 08-15-2045 SEDOL: BYT32T0	142,000.000	140,926.61	144,491.96
DUKE UNIV 3.299% DUE 10-01-2046 CUSIP: 26442TAE7	195,000.000	195,000.00	176,773.94
EATON CORP OHIO 1.5 DUE 11-02-2017 SEDOL: BG6LV27	145,000.000	145,346.55	145,120.64
EDWARDS 2.875% DUE 10-15-2018 SEDOL: BF4JH73	695,000.000	691,511.10	705,438.90
EL PASO NAT GAS CO 8.375% DUE 06-15-2032 SEDOL: 2787237	655,000.000	922,515.10	812,280.57
EL PASO PIPELN 4.7% DUE 11-01-2042 SEDOL: B82B6J7	400,000.000	344,072.25	372,676.80
EL PASO PIPELN 7.5% DUE 11-15-2040 SEDOL: B3V36S8	115,000.000	147,488.65	137,511.71
ENABLE MIDSTREAM 5% DUE 05-15-2044 SEDOL: BDDVYR5	270,000.000	265,342.06	231,911.91
ENBRIDGE ENERGY 5.875% DUE 10-15-2025 SEDOL: BYN7R04	55,000.000	54,809.70	61,320.60

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
ENBRIDGE ENERGY 7.375% DUE 10-15-2045 SEDOL: BZ2ZMT0	165,000.000	162,797.25	204,657.09
ENERGY TRANSFER FIXED 6.125% DUE 12-15-2045 SEDOL: BYQ24Q1	200,000.000	199,319.14	212,787.80
ENERGY TRANSFER 5.95 DUE 10-01-2043 SEDOL: BDWGZW3	405,000.000	415,628.40	417,403.94
ENERGY TRANSFER 6.05% DUE 06-01-2041 SEDOL: B4LTG94	180,000.000	187,876.80	184,816.80
ENERGY TRANSFER 6.5% DUE 02-01-2042 SEDOL: B5L3ZK7	95,000.000	95,513.17	102,635.06
ENERGY TRANSFER 7.5% DUE 07-01-2038 SEDOL: BG48VF3	70,000.000	88,120.20	81,162.62
ENTERGY ARK INC 3.05% DUE 06-01-2023 SEDOL: BB10JT7	655,000.000	653,152.90	657,426.12
ENTERPRISE PRODS OPER LLC GTD SR NT 4.85DUE 08-15-2042 SEDOL: B5LM203	580,000.000	548,542.15	579,895.60
ENTERPRISE PRODS 4.85% DUE 03-15-2044 SEDOL: B9M5X40	285,000.000	281,524.21	287,736.86
ENTERPRISE PRODS 5.75% DUE 03-01-2035 SEDOL: B0HFPV3	205,000.000	219,616.50	223,898.95
ENTERPRISE PRODS 7.55% DUE 04-15-2038 SEDOL: B57FW28	520,000.000	700,190.30	671,932.04
EOG RES INC FIXED 3.9% DUE 04-01-2035 SEDOL: BWCN5Z0	140,000.000	139,399.40	132,584.34
EOG RES INC 3.15% DUE 04-01-2025 SEDOL: BVYPFH9	505,000.000	517,870.05	495,507.52
EOG RES INC 5.1% DUE 01-15-2036 SEDOL: BYVNBN5	445,000.000	445,509.74	484,042.52
ERP OPER LTD 2.375% DUE 07-01-2019 SEDOL: BNGSVF0	595,000.000	594,405.00	599,690.98
ERP OPER LTD 2.85% DUE 11-01-2026 SEDOL: BYVQW64	275,000.000	273,415.75	259,277.15
ERP OPER LTD 4.5% DUE 07-01-2044 SEDOL: BNH7PZ4	255,000.000	259,971.65	259,122.33
ERP OPERATING LP 4.625 DUE 12-15-2021 REG SEDOL: B777Q93	177,000.000	188,181.09	192,258.46

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
EXELON CORP 3.95% DUE 06-15-2025 SEDOL: BYT16N6	865,000.000	907,218.10	889,252.01
EXELON CORP 5.1% DUE 06-15-2045 SEDOL: BYVF9K4	250,000.000	248,561.84	265,750.25
EXELON CORP 5.625% DUE 06-15-2035 SEDOL: B0LK7L8	280,000.000	312,924.20	309,825.04
EXELON GENERATION 5.6 DUE 06-15-2042 SEDOL: B715FY1	335,000.000	305,739.20	310,161.09
EXELON GENERATION 5.75% DUE 10-01-2041 SEDOL: B4LTXL5	200,000.000	217,288.00	187,712.00
EXPRESS SCRIPTS 4.5% DUE 02-25-2026 SEDOL: BYYLHT4	50,000.000	49,733.50	51,473.65
EXPRESS SCRIPTS 4.8% DUE 07-15-2046 CUSIP: 30219GAP3	490,000.000	527,240.40	469,138.74
EXXON MOBIL CORP 4.114% DUE 03-01-2046 SEDOL: BYYD0G8	495,000.000	517,512.65	507,015.63
FED RLTY INVT TR 3.625% DUE 08-01-2046 SEDOL: BYP6KW2	110,000.000	107,531.60	96,543.48
FEDEX CORP BOND 5.1 DUE 01-15-2044 SEDOL: BHZFZM5	370,000.000	406,548.03	401,463.32
FEDEX CORP 2.7% DUE 04-15-2023 SEDOL: B9HH664	115,000.000	114,689.50	113,465.90
FEDEX CORP 3.875% DUE 08-01-2042 SEDOL: B8DMKV9	150,000.000	133,633.50	136,903.65
FEDEX CORP 4.1% DUE 04-15-2043 SEDOL: B8HKM13	635,000.000	602,645.36	593,046.82
FEDEX CORP 4.5% DUE 02-01-2065 SEDOL: BV91N12	420,000.000	404,253.60	388,045.14
FL PWR & LT CO 5.25% DUE 02-01-2041 SEDOL: B4V3Q52	120,000.000	140,784.00	141,380.16
FLORIDA PWR CORP 3.85% DUE 11-15-2042 SEDOL: B8HN579	85,000.000	80,912.35	81,507.95
FLUOR CORP NEW 3.375% DUE 09-15-2021 SEDOL: B6RR072	260,000.000	273,983.20	266,670.82
FORD CR AUTO OWNER 1.28% DUE 09-15-2019 SEDOL: BYT4S17	339,496.190	339,469.17	339,630.63

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
FORD MTR CO DEL NT 4.75 01-15-2043 SEDOL: B94Q0Z1	1,415,000.000	1,410,085.75	1,343,410.91
FORD MTR CO DEL 4.346% DUE 12-08-2026 SEDOL: BYPL1T1	90,000.000	90,000.00	90,944.82
FORD MTR CO DEL 5.291% DUE 12-08-2046 SEDOL: BYPL1V3	220,000.000	220,000.00	222,857.80
FORD MTR CO DEL 6.375% DUE 02-01-2029 SEDOL: B2PJ135	55,000.000	64,875.25	62,737.02
FORD MTR CO DEL 7.45% DUE 07-16-2031 SEDOL: 2445643	255,000.000	316,667.55	320,036.48
FORD MTR CR CO LLC 1.461% DUE 03-27-2017 SEDOL: BYZ5JT9	570,000.000	566,488.80	570,091.20
FORD MTR CR CO LLC 3.336% DUE 03-18-2021 SEDOL: BDC5N26	1,350,000.000	1,381,865.00	1,359,367.65
FORD MTR CR CO LLC 5.875 DUE 08-02-2021 SEDOL: BFN0GD6	290,000.000	320,760.83	320,266.72
GA PWR CO SR NT SER 2012A 4.3 DUE 03-15-2042 SEDOL: B78K6L3	270,000.000	278,140.50	273,223.53
GE CAP INTL FDG CO 4.418% DUE 11-15-2035 SEDOL: BYNWXZ6	2,542,000.000	2,435,850.77	2,663,779.59
GEN MTRS FINL CO 4.25 DUE 05-15-2023 SEDOL: BJT3FD0	125,000.000	131,358.75	126,501.63
GEN MTRS FINL CO 4% DUE 01-15-2025 SEDOL: BYZ5MV2	375,000.000	379,797.30	365,865.38
GEN MTRS FINL CO 4% DUE 10-06-2026 SEDOL: BYQ3L96	345,000.000	345,345.25	331,724.75
GENERAL MTRS CO 4.875% DUE 10-02-2023 SEDOL: BP9DLV2	165,000.000	170,689.20	172,964.88
GENERAL MTRS CO 5% DUE 04-01-2035 SEDOL: BTN21T1	565,000.000	545,466.60	550,944.50
GENERAL MTRS CO 6.25% DUE 10-02-2043 SEDOL: BP8Y7Q3	580,000.000	620,156.23	641,177.82
GENERAL MTRS CO 6.6% DUE 04-01-2036 SEDOL: BYXXXG0	585,000.000	610,392.17	668,659.10
GENERAL MTRS FINL CO INC 3.15% 01-15-2020 SEDOL: BV9CMG3	515,000.000	517,064.90	518,229.57

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
GEORGE WASH UNIV 3.545% DUE 09-15-2046 SEDOL: BYQ4R79	225,000.000	225,000.00	192,085.88
GILEAD SCIENCES 2.55% DUE 09-01-2020 CUSIP: 375558BB8	315,000.000	326,966.85	318,319.79
GILEAD SCIENCES 3.5% DUE 02-01-2025 SEDOL: BSNBJX1	375,000.000	387,817.50	379,147.13
GILEAD SCIENCES 3.7% DUE 04-01-2024 SEDOL: BK1KRL8	305,000.000	325,789.65	313,059.63
GILEAD SCIENCES 4.15% DUE 03-01-2047 CUSIP: 375558BK8	440,000.000	427,864.05	417,913.32
GILEAD SCIENCES 4.5% DUE 02-01-2045 SEDOL: BSNBJW0	175,000.000	185,133.17	174,852.83
GILEAD SCIENCES 4.6% DUE 09-01-2035 CUSIP: 375558BG7	185,000.000	186,321.80	191,880.15
GILEAD SCIENCES 4.8% DUE 04-01-2044 SEDOL: BKHDRD3	470,000.000	503,363.93	488,032.02
GILEAD SCIENCES 4% DUE 09-01-2036 CUSIP: 375558BJ1	615,000.000	611,494.50	589,702.59
GOLDMAN SACHS CAP I 6.345 DUE 02-15-2034 BEO SEDOL: BG48W61	485,000.000	533,285.01	576,638.81
GOLDMAN SACHS 2.3% DUE 12-13-2019 SEDOL: BZCD410	990,000.000	989,257.50	988,876.35
GOLDMAN SACHS 2.35% DUE 11-15-2021 SEDOL: BDCFW85	1,560,000.000	1,557,573.10	1,515,633.60
GOLDMAN SACHS 3.5% DUE 01-23-2025 SEDOL: BVL8RW0	285,000.000	288,792.42	281,209.22
GOLDMAN SACHS 3.5% DUE 11-16-2026 SEDOL: BZ12537	570,000.000	569,921.95	556,881.45
GOLDMAN SACHS 6.125% DUE 02-15-2033 SEDOL: B7M7YX8	775,000.000	921,384.49	936,684.38
GOLDMAN SACHS 6.75% DUE 10-01-2037 SEDOL: B9CMD63	805,000.000	981,115.20	994,051.84
GRAINGER W W INC FIXED 4.6% DUE 06-15-2045 SEDOL: BYZWY62	160,000.000	190,548.80	171,301.60
GRAINGER W W INC 3.75% DUE 05-15-2046 SEDOL: BYP8NF2	115,000.000	119,821.95	107,701.87

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
HALLIBURTON CO 4.75% DUE 08-01-2043 SEDOL: BCR5XW4	200,000.000	210,129.20	205,379.40
HALLIBURTON CO 5% DUE 11-15-2045 SEDOL: BYNR4N6	300,000.000	317,392.70	323,533.50
HALLIBURTON CO 6.7% DUE 09-15-2038 SEDOL: B3D2F31	170,000.000	213,842.70	211,838.70
HARLEY-DAVIDSON INC 4.625% 07-28-2045 SEDOL: BYZ7VM2	170,000.000	170,065.84	167,927.70
HARTFORD FINL SVCS SR NT 6.625 DUE 03-30-2040 SEDOL: B836JX6	160,000.000	207,409.00	197,448.96
HARTFORD FINL SVCS 6.1% DUE 10-01-2041 SEDOL: BFN0JK4	240,000.000	296,419.20	281,637.36
HASBRO INC 6.35% DUE 03-15-2040 SEDOL: B66F4L9	375,000.000	445,182.04	437,032.50
HESS CORP 5.6% DUE 02-15-2041 SEDOL: B4TV238	225,000.000	218,693.25	227,506.28
HESS CORP 6% DUE 01-15-2040 SEDOL: B55GWZ6	185,000.000	178,534.25	190,843.23
HEWLETT PACKARD CO 6% DUE 09-15-2041 SEDOL: B6YB851	170,000.000	185,920.50	171,466.59
HEWLETT PACKARD ENTERPRISE CO NT STEP UP10-15-2025 12-23-2016 SEDOL: BD3GB57	375,000.000	397,507.50	385,805.25
HEWLETT PACKARD ENTERPRISE CO NT STEP UP10-15-2035 12-23-2016 SEDOL: BD3GB68	445,000.000	431,120.00	453,143.50
HEWLETT PACKARD STEP CPN 6.35% DUE 10-15-2045 SEDOL: BDCT8F6	125,000.000	124,616.25	126,262.50
HOME DEPOT INC 3.5% DUE 09-15-2056 SEDOL: BD886H5	320,000.000	311,707.22	280,111.68
HONDA AUTO 1.04% DUE 02-21-2019 CUSIP: 43813NAC0	469,764.160	469,692.05	469,263.86
HOSPITALITY PPTYS 4.5% DUE 03-15-2025 SEDOL: BQSNVG5	290,000.000	286,462.00	282,676.92
HOSPITALITY PPTYS 4.5% DUE 06-15-2023 SEDOL: BBFL2Q4	35,000.000	34,960.45	34,895.00
HSBC BK USA N A GLOBAL MEDIUM TERM SUB BNT 5.625 DUE 08-15-2035 SEDOL: BG48WF0	820,000.000	929,719.01	932,281.78

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
HSBC BK USA N A 7 DUE 01-15-2039 SEDOL: BG48WG1	105,000.000	116,128.95	138,743.75
HSBC BK USA 5.875 11-01-2034 SEDOL: BFN0JH1	180,000.000	209,995.20	209,561.58
HSBC USA INC NEW 2.35% DUE 03-05-2020 SEDOL: BYZ5LW6	325,000.000	321,830.45	322,458.50
HUMANA INC 4.625 DUE 12-01-2042 SEDOL: B8VGK80	310,000.000	308,551.80	297,415.55
HUMANA INC 4.95% DUE 10-01-2044 SEDOL: BQZJCM9	270,000.000	271,549.84	283,787.55
HUNTINGTON 2.3% DUE 01-14-2022 SEDOL: BD5ZTG9	915,000.000	914,204.25	887,444.78
IL TOOL WKS INC 2.65% DUE 11-15-2026 SEDOL: BDS6DT0	335,000.000	333,944.75	320,232.87
INGERSOLL-RAND 4.65% DUE 11-01-2044 SEDOL: BRZ2H86	130,000.000	134,033.90	132,630.42
INGERSOLL-RAND 5.75% DUE 06-15-2043 SEDOL: BN8T678	205,000.000	246,459.20	242,572.81
INTEL CORP 4.1% DUE 05-19-2046 SEDOL: BDDQWX2	310,000.000	308,251.60	307,264.87
INTEL CORP 4.9% 07-29-2045 CUSIP: 458140AT7	75,000.000	74,941.50	83,901.23
INTL PAPER CO 4.4% DUE 08-15-2047 SEDOL: BYYGTQ0	395,000.000	390,174.82	373,280.53
INTL PAPER CO 4.8% DUE 06-15-2044 SEDOL: BN70J06	30,000.000	31,726.20	29,797.53
INTL PAPER CO 6 DUE 11-15-2041 SEDOL: B6ZWB98	130,000.000	155,759.50	145,991.56
INTL PAPER CO 7.3% DUE 11-15-2039 SEDOL: B3MDYN8	190,000.000	249,413.80	242,101.42
INTST PWR & LT CO 3.7% DUE 09-15-2046 SEDOL: BD1LVH6	490,000.000	486,570.00	450,781.87
J P MORGAN CHASE & CO 2.295% 08-15-2021 SEDOL: BYZDKL2	1,775,000.000	1,781,868.21	1,742,116.35
JERSEY CENT PWR & 6.15% DUE 06-01-2037 SEDOL: B2B0FC9	210,000.000	259,896.00	240,299.22

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
JERSEY CENT PWR & 7.35% DUE 02-01-2019 SEDOL: B3L9ZX4	200,000.000	255,766.00	219,062.40
JOHN SEVIER COMB CYCLE 4.626 1-15-42 SEDOL: B7KGYQ0	365,787.290	409,893.93	384,514.87
JOHNS HOPKINS HLTH 3.837% DUE 05-15-2046 SEDOL: BZ8V957	125,000.000	125,000.00	118,533.50
JOHNSON CTLS INTL PLC SR NT STEP UP 07-02-2064 12-23-2016 SEDOL: BDG0907	90,000.000	89,720.18	83,930.40
JOHNSON CTLS INTL 3.9% DUE 02-14-2026 SEDOL: BDH2HM0	205,000.000	203,333.70	211,152.87
JOHNSON CTLS INTL 5.125% DUE 09-14-2045 SEDOL: BDH2HN1	195,000.000	195,115.33	207,469.08
JPMORGAN CHASE & CO 4.85 NOTES DUE 02-01-2044 SEDOL: BLSP4R8	285,000.000	336,714.00	317,136.32
JPMORGAN CHASE & CO 4.95 DUE 03-25-2020 REG SEDOL: BG5GXQ1	260,000.000	282,425.00	280,139.08
JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000 SEDOL: B7FRRL4	70,000.000	87,746.40	82,018.30
JPMORGAN CHASE & CO 5.5 DUE 10-15-2040 SEDOL: B9L4NM6	505,000.000	600,671.53	596,513.58
JPMORGAN CHASE & 2.55% DUE 03-01-2021 SEDOL: BD31B96	655,000.000	668,844.05	652,941.34
JPMORGAN CHASE & 2.75% DUE 06-23-2020 SEDOL: BYQ27Q2	65,000.000	66,862.90	65,587.86
JPMORGAN CHASE & 2.95% DUE 10-01-2026 SEDOL: BDHBPY5	1,395,000.000	1,388,073.89	1,331,510.76
JPMORGAN CHASE & 3.2% DUE 06-15-2026 SEDOL: BYZ2G99	1,880,000.000	1,871,959.64	1,840,055.64
JPMORGAN CHASE & 5.6% DUE 07-15-2041 SEDOL: B6QKFT9	55,000.000	65,078.74	65,768.95
JPMORGAN CHASE BK N A NY N Y FORME SUB BK NT 6 DUE 07-05-2017 BEO SEDOL: BR17VK5	600,000.000	705,606.00	613,575.60
JUNIPER NETWORKS INC 5.95 DUE 03-15-2041 SEDOL: BG48XP7	85,000.000	94,843.85	86,943.02
KELLOGG CO 4.5% DUE 04-01-2046 SEDOL: BD31BB8	190,000.000	188,105.70	185,672.56

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
KENTUCKY UTILS CO 3.3% DUE 10-01-2025 SEDOL: BYTX3H5	415,000.000	419,335.95	422,044.21
KEYBANK NATL ASSN 1.7% DUE 06-01-2018 SEDOL: BYV3CH6	685,000.000	684,773.20	684,615.03
KEYBANK NATL ASSN 2.5% DUE 11-22-2021 SEDOL: BYQ4F86	535,000.000	533,774.85	531,586.17
KEYBANK NATL ASSN 3.4% DUE 05-20-2026 SEDOL: BD96MR0	130,000.000	129,682.80	126,239.23
KIMCO RLTY CORP RT 4.25% DUE 04-01-2045 SEDOL: BWGCKR0	85,000.000	79,752.10	81,745.95
KIMCO RLTY CORP 2.7% DUE 03-01-2024 SEDOL: BZ14XY0	175,000.000	174,105.75	167,258.88
KIMCO RLTY CORP 2.8% DUE 10-01-2026 SEDOL: BZC0T38	270,000.000	267,278.55	251,034.39
KIMCO RLTY CORP 3.4% DUE 11-01-2022 SEDOL: BYWM3T1	275,000.000	273,127.25	279,063.95
KIMCO RLTY CORP 4.125% DUE 12-01-2046 SEDOL: BDS6CH1	280,000.000	278,107.43	261,400.72
KINDER MORGAN INC 5.3% DUE 12-01-2034 SEDOL: BSTL4S5	200,000.000	207,506.18	202,969.00
KINDER MORGAN 6.375% DUE 03-01-2041 SEDOL: B64Q0M7	140,000.000	159,878.60	151,867.52
KRAFT FOODS GROUP 6.125% DUE 08-23-2018 SEDOL: B84TKP3	455,000.000	556,023.65	485,019.54
KRAFT FOODS GROUP 6.5% DUE 02-09-2040 SEDOL: B87MC97	40,000.000	48,658.80	48,737.64
KRAFT FOODS GROUP 6.875% DUE 01-26-2039 SEDOL: B97MM05	290,000.000	377,722.90	364,342.37
KRAFT HEINZ FOODS 3% DUE 06-01-2026 CUSIP: 50077LAD8	245,000.000	243,926.90	230,015.07
KRAFT HEINZ FOODS 4.375% DUE 06-01-2046 CUSIP: 50077LAB2	565,000.000	566,963.28	531,653.14
KROGER CO 1.5% DUE 09-30-2019 SEDOL: BDCG028	245,000.000	244,879.95	241,276.98
KROGER CO 2.65% DUE 10-15-2026 SEDOL: BDCG0L7	375,000.000	372,637.40	348,396.38

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
KROGER CO 3.875% DUE 10-15-2046 SEDOL: BYVZCX8	685,000.000	673,411.75	623,604.14
LAB CORP AMER 3.2% DUE 02-01-2022 SEDOL: BVJDNT6	550,000.000	549,554.50	554,568.85
LEGG MASON INC 4.75% DUE 03-15-2026 SEDOL: BYSVN69	610,000.000	615,857.80	631,389.04
LEGG MASON INC 5.625 DUE 01-15-2044 REG SEDOL: BJ4H805	260,000.000	265,857.55	253,186.96
LIFE TECHNOLOGIES 5% DUE 01-15-2021 SEDOL: B4XBGR6	215,000.000	240,855.90	229,977.98
LINCOLN NATL CORP 6.15 DUE 04-07-2036 BEO SEDOL: B12B2J0	4,000.000	4,619.80	4,688.68
LOCKHEED MARTIN CORP NT SER B STEP UP 06-01-2040 SEDOL: B3TZ8G8	90,000.000	107,083.80	108,701.55
LOCKHEED MARTIN 3.55% DUE 01-15-2026 SEDOL: BDCRZH1	155,000.000	168,760.90	158,365.36
LOCKHEED MARTIN 3.6% DUE 03-01-2035 SEDOL: BVYVQ29	160,000.000	150,352.00	152,000.00
LOCKHEED MARTIN 3.8% DUE 03-01-2045 SEDOL: BVYPPC4	555,000.000	521,000.19	525,596.10
LOCKHEED MARTIN 4.07% DUE 12-15-2042 SEDOL: BBD6G92	330,000.000	329,796.05	325,727.16
LOCKHEED MARTIN 4.7% DUE 05-15-2046 SEDOL: BDB6944	275,000.000	307,243.60	299,124.65
LOWES COS INC 4.25% DUE 09-15-2044 SEDOL: BQQLW68	95,000.000	111,268.75	96,351.09
LOWES COS INC 4.65 DUE 04-15-2042 SEDOL: B7B2LT9	180,000.000	189,436.74	192,364.56
LOWES COS INC 5.8% DUE 04-15-2040 SEDOL: B5VT2F9	185,000.000	222,793.85	226,775.59
LYONDELLBASELL 5.75% DUE 04-15-2024 SEDOL: BLSP7R9	75,000.000	87,759.00	85,832.10
MA ELEC CO 5.9% DUE 11-15-2039 SEDOL: B59HGR5	405,000.000	505,099.80	488,954.48
MA INST TECH 3.885% DUE 07-01-2116 SEDOL: BZB26Q7	440,000.000	440,000.00	376,447.72

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MA INST TECH 4.678% DUE 07-01-2114 SEDOL: BM7SF38	245,000.000	245,000.00	246,626.31
MACYS RETAIL HLDGS 5.125% DUE 01-15-2042 SEDOL: B7KGR83	535,000.000	460,785.00	494,307.90
MAGELLAN MIDSTREAM 5.15% DUE 10-15-2043 SEDOL: BFGCNC7	150,000.000	130,818.00	155,902.95
MARATHON PETE CORP 5.85% DUE 12-15-2045 SEDOL: BZ02G68	210,000.000	209,468.70	208,738.95
MARATHON PETE CORP 5% DUE 09-15-2054 SEDOL: BQSC311	170,000.000	166,193.42	143,944.27
MARATHON PETE CORP 6.5% DUE 03-01-2041 SEDOL: B6W1ZC9	400,000.000	457,064.54	427,838.00
MARATHON PETROLEUM CORP 3.625% DUE 09-15-2024 SEDOL: BQQGYZ6	615,000.000	613,019.25	607,373.39
MARSH & MCLENNAN COS INC SR NT 3.5 DUE 06-03-2024 SEDOL: BYVDJ53	25,000.000	24,951.75	25,439.43
MARSH & MCLENNAN COS INC 5.875 8/1/33 SEDOL: 2928456	50,000.000	56,365.00	59,268.55
MASTERCARD INC 2.95% DUE 11-21-2026 SEDOL: BD6KVY5	285,000.000	284,484.15	281,812.56
MASTERCARD INC 3.8% DUE 11-21-2046 SEDOL: BD6KVZ6	315,000.000	309,733.20	308,762.06
MATTEL INC 2.35% DUE 08-15-2021 SEDOL: BYYGTS2	270,000.000	269,681.40	263,534.58
MATTEL INC 5.45 DUE 11-01-2041 SEDOL: B604531	185,000.000	193,158.50	185,671.37
MAXIM INTEGRATED PRODS INC 2.5 DUE 11-15-2018 REG SEDOL: BGLDK54	470,000.000	467,927.30	474,219.19
MAYO CLINIC 4.128% DUE 11-15-2052 SEDOL: BYQHVB0	190,000.000	190,000.00	186,810.28
MCDONALD'S CORP 3.625 DUE 05-01-2043 SEDOL: B831BL3	150,000.000	124,708.80	131,925.30
MCDONALDS CORP MEDIUM TERM NTS 3.7 DUE 02-15-2042 SEDOL: B6T0R90	555,000.000	474,331.65	494,551.62
MCDONALDS CORP 3.7% DUE 01-30-2026 SEDOL: BYWKW76	480,000.000	484,447.02	488,686.56

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MCDONALDS CORP 4.875% DUE 07-15-2040 SEDOL: B4T8994	140,000.000	141,723.40	147,940.10
MEAD CORP 7.55% DUE 03-01-2047 SEDOL: B2QY2V4	165,000.000	189,146.10	194,637.30
MEDTRONIC INC 4.5 DUE 03-15-2042 REG SEDOL: B4M8708	70,000.000	77,135.10	73,040.24
MEMORIAL SLOAN-KETTERING CANCER CTR TAXABLE BD SER 2012A 4.125 7-1-52 SEDOL: B90DSL8	360,000.000	349,272.00	347,628.24
MERCK & CO INC 4.15% DUE 05-18-2043 SEDOL: B9D69N3	170,000.000	186,304.70	174,619.92
MERRILL LYNCH & CO 7.75% DUE 05-14-2038 SEDOL: BBMT8T6	140,000.000	198,811.20	192,752.56
METLIFE INC FIXED 2.463% DUE 12-15-2017 SEDOL: BRF1CP5	440,000.000	441,513.03	441,135.20
METLIFE INC FLTG RT 10.75% DUE 08-01-2039 SEDOL: B3Q5R19	300,000.000	456,787.50	460,500.00
METLIFE INC SR NT 4.368% SER D STEP UP 09-15-2023 09-11-2015 SEDOL: BDD9HH0	555,000.000	594,345.30	597,235.50
METLIFE INC STEP CPN 4.721% DUE 12-15-2044 SEDOL: BRF1CN3	580,000.000	600,704.35	617,200.04
METLIFE INC 4.05% DUE 03-01-2045 SEDOL: BW9JZD9	125,000.000	121,501.15	119,868.63
METLIFE INC 4.125% DUE 08-13-2042 SEDOL: B815P82	90,000.000	86,552.74	88,574.94
METLIFE INC 4.875% DUE 11-13-2043 SEDOL: BGDXK74	80,000.000	81,733.35	86,612.80
METLIFE INC 5.875% DUE 02-06-2041 SEDOL: B4Z51H1	180,000.000	222,437.25	218,655.00
METLIFE INC 6.375% DUE 06-15-2034 SEDOL: B019VJ1	150,000.000	191,455.78	188,761.95
METLIFE INC 6.4% DUE 12-15-2036 SEDOL: B1L8RP3	270,000.000	280,701.53	291,600.00
MICROSOFT CORP 1.1% DUE 08-08-2019 SEDOL: BDCBCR2	925,000.000	923,671.00	912,561.53
MICROSOFT CORP 2.375 DUE 05-01-2023 CUSIP: 594918AT1	570,000.000	575,373.30	559,543.35

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
MICROSOFT CORP 2.375% DUE 02-12-2022 SEDOL: BVWXT95	246,000.000	246,769.98	245,009.85
MICROSOFT CORP 3.7% DUE 08-08-2046 CUSIP: 594918BT0	1,655,000.000	1,675,826.58	1,558,124.58
MICROSOFT CORP 3.95% DUE 08-08-2056 CUSIP: 594918BU7	470,000.000	453,667.15	443,906.54
MICROSOFT CORP 4% DUE 02-12-2055 SEDOL: BVWXT51	1,395,000.000	1,327,628.86	1,319,364.50
MIDAMERICAN ENERGY 5.95% DUE 05-15-2037 SEDOL: B2374T8	685,000.000	841,938.76	839,140.07
MOLSON COORS 2.1% DUE 07-15-2021 SEDOL: BYT4Q57	975,000.000	977,761.80	949,564.20
MOLSON COORS 4.2% DUE 07-15-2046 SEDOL: BYT4Q46	295,000.000	304,128.13	275,035.88
MONSANTO CO NEW 1.85 DUE 11-15-2018 REG SEDOL: BGCC6S5	400,000.000	399,444.00	398,758.40
MONSANTO CO NEW 2.75% DUE 07-15-2021 SEDOL: BNNVP27	655,000.000	655,668.44	654,863.11
MONSANTO CO NEW 3.6 DUE 07-15-2042 SEDOL: B7XK7B5	290,000.000	267,262.55	243,384.53
MONSANTO CO NEW 4.7% DUE 07-15-2064 SEDOL: BP4W578	770,000.000	698,930.62	693,537.46
MORGAN STANLEY 2.625% DUE 11-17-2021 SEDOL: BZ3FFF6	2,855,000.000	2,840,953.09	2,820,631.51
MORGAN STANLEY 3.125% DUE 07-27-2026 SEDOL: BYV6G28	175,000.000	167,734.00	167,190.80
MORGAN STANLEY 6.375% DUE 07-24-2042 SEDOL: B98G6S2	620,000.000	831,328.30	796,803.54
N SHORE LONG IS 6.15% DUE 11-01-2043 SEDOL: BDT7XZ8	290,000.000	289,196.70	360,108.37
NATIONAL RURAL UTILS COOP FIN CORP COLL TR BD 2.3% DUE 11-01-2020/10-27-2015 SEDOL: BYRPP99	600,000.000	602,782.65	596,413.80
NATL RURAL UTILS 10.375% DUE 11-01-2018 CUSIP: 637432LR4	745,000.000	1,103,166.20	859,154.12
NBCUNIVERSAL MEDIA LLC SR NT 2.875 01-15-2023 SEDOL: B86PMS6	770,000.000	775,214.30	768,192.81

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
NBCUNIVERSAL MEDIA 4.45% DUE 01-15-2043 SEDOL: B7W15J3	110,000.000	108,780.71	112,405.37
NBCUNIVERSAL MEDIA 5.95% DUE 04-01-2041 SEDOL: B56D2T0	225,000.000	291,676.50	277,220.25
NBCUNIVERSAL MEDIA 6.4% DUE 04-30-2040 SEDOL: B555Z76	290,000.000	349,592.55	375,003.64
NEVADA PWR CO 5.375% DUE 09-15-2040 SEDOL: B64G771	105,000.000	117,465.60	120,227.73
NEW YORK & 4.763% DUE 08-01-2116 SEDOL: BD8PHR3	440,000.000	439,973.60	416,008.56
NEWELL BRANDS INC 3.85% DUE 04-01-2023 SEDOL: BD3J3K5	680,000.000	715,083.55	705,368.76
NEWELL BRANDS INC 5.375% DUE 04-01-2036 SEDOL: BD3J3G1	530,000.000	608,008.31	597,361.94
NEWELL BRANDS INC 5.5% DUE 04-01-2046 SEDOL: BD3J3M7	605,000.000	655,569.82	694,555.73
NEWMONT MNG CORP 6.25% DUE 10-01-2039 SEDOL: B4MFN56	290,000.000	282,421.35	319,045.24
NISSAN AUTO 1.05% DUE 10-15-2019 CUSIP: 65477UAC4	298,216.760	298,154.08	297,744.98
NORDSTROM INC 5% DUE 01-15-2044 SEDOL: BNFX1H8	560,000.000	572,659.24	551,809.44
NORFOLK SOUTHN 4.8 DUE 08-15-2043 SEDOL: BCZZSP4	200,000.000	223,710.00	217,703.00
NORTHN STS PWR CO 2.15 DUE 08-15-2022 SEDOL: B73TH78	160,000.000	154,264.00	156,410.72
NORTHN STS PWR CO 3.4 DUE 08-15-2042 SEDOL: B8KCXG8	150,000.000	130,996.07	137,636.10
NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045 SEDOL: BVVN4R9	170,000.000	172,102.90	161,265.74
NORTHROP GRUMMAN 3.25% DUE 08-01-2023 SEDOL: BB9ZST7	1,030,000.000	1,020,558.30	1,050,037.62
NORTHROP GRUMMAN 5.05% DUE 11-15-2040 SEDOL: B5WCY73	135,000.000	152,238.15	150,387.98
NORTHWESTERN UNIV 4.643% DUE 12-01-2044 SEDOL: BFWLB79	320,000.000	320,000.00	362,627.20

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
NORTHWESTN MUT 6.063% DUE 03-30-2040 SEDOL: B58P7W2	100,000.000	124,823.00	123,138.60
NOVANT HEALTH INC 4.371% DUE 11-01-2043 SEDOL: B9GVWC5	90,000.000	90,000.00	88,662.15
NYU HOSPS CTR 4.784% DUE 07-01-2044 SEDOL: BD3DYF9	145,000.000	154,587.40	151,187.01
NYU HOSPS CTR 5.75% DUE 07-01-2043 SEDOL: BCJSQG6	210,000.000	211,545.98	244,508.67
OCCIDENTAL PETE 4.1% DUE 02-15-2047 SEDOL: BZ1C623	120,000.000	119,731.20	116,884.92
OCHSNER CLINIC 5.897% DUE 05-15-2045 SEDOL: BYNMMF9	255,000.000	255,000.00	294,877.16
OGLETHORPE PWR 4.2% DUE 12-01-2042 SEDOL: B90ZDZ3	445,000.000	432,081.65	423,223.93
OHIO PWR CO 5.375% DUE 10-01-2021 SEDOL: B4KFW84	140,000.000	158,215.40	156,399.32
ONCOR ELEC 5.25% DUE 09-30-2040 SEDOL: B3LR312	170,000.000	191,243.20	197,138.80
ONCOR ELEC 5.3 DUE 06-01-2042 SEDOL: B7ZBFD4	155,000.000	174,164.20	181,804.46
ONCOR ELEC 7.5% DUE 09-01-2038 SEDOL: B551BB0	265,000.000	363,153.35	381,691.16
ONCOR ELEC 7% DUE 09-01-2022 SEDOL: 2364704	835,000.000	1,039,760.67	1,019,175.12
ONCOR ELECTRIC DELIVERY 4.55% 12-01-2041 SEDOL: B8B7B80	140,000.000	144,548.60	149,428.72
ORACLE CORP 4.5% DUE 07-08-2044 SEDOL: BNXJBK1	450,000.000	471,030.30	459,446.40
ORACLE CORP 4% DUE 07-15-2046 SEDOL: BY7RD02	850,000.000	837,574.00	813,021.60
PAC GAS & ELEC CO 4.45 DUE 04-15-2042 SEDOL: B80L9N4	230,000.000	236,016.95	241,566.93
PAC GAS & ELEC CO 5.4% DUE 01-15-2040 SEDOL: BBMT915	425,000.000	523,850.75	497,795.70
PAC GAS & ELEC CO 5.8% DUE 03-01-2037 SEDOL: B1V4L37	840,000.000	1,026,742.20	1,024,775.64

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PACIFIC GAS & ELEC CO SR NT 3.75 DUE 02-15-2024 REG SEDOL: BJZ7ZP8	265,000.000	264,737.65	276,029.57
PACIFICORP 5.5% DUE 01-15-2019 SEDOL: B3KTWG7	720,000.000	869,959.00	771,973.20
PACIFICORP 5.75% DUE 04-01-2037 SEDOL: B1V72T9	155,000.000	196,078.10	189,885.54
PACIFICORP 6.25% DUE 10-15-2037 SEDOL: B2853J0	30,000.000	41,868.30	38,860.59
PACIFICORP 6% DUE 01-15-2039 SEDOL: B3KTPK2	150,000.000	191,542.50	190,860.90
PECO ENERGY CO 4.8 DUE 10-15-2043 BEO SEDOL: BDZDWC0	175,000.000	174,357.75	195,428.10
PERRIGO FIN PLC 3.9% DUE 12-15-2024 SEDOL: BST7803	575,000.000	589,050.05	562,879.58
PERRIGO FIN PLC 4.9% DUE 12-15-2044 SEDOL: BST7814	475,000.000	465,494.59	436,294.63
PFIZER INC 1.7% 12-15-2019 SEDOL: BD5B0H5	495,000.000	494,643.60	494,533.22
PFIZER INC 4.125% DUE 12-15-2046 SEDOL: BZ3FFH8	265,000.000	264,538.90	269,574.43
PFIZER INC 4.4% DUE 05-15-2044 SEDOL: BMMB3K5	240,000.000	264,350.40	253,501.44
PFIZER INC 4% DUE 12-15-2036 SEDOL: BZ3FFJ0	320,000.000	318,460.80	327,855.68
PFIZER INC 5.6% DUE 09-15-2040 SEDOL: BZ4CYN7	90,000.000	112,896.00	108,607.86
PHILLIPS 66 4.65% DUE 11-15-2034 SEDOL: BSPCBQ9	60,000.000	61,870.22	62,455.14
PHILLIPS 66 4.875% DUE 11-15-2044 SEDOL: BSPCC33	225,000.000	236,736.00	237,614.63
PLAINS ALL AMERN PIPELINE L P / PAA FIN 5.15 DUE 06-01-2042 REG SEDOL: B6Y16K2	225,000.000	212,307.75	208,617.30
PLAINS ALL AMERN PIPELN L P/PAA 4.9% 02-15-2045 SEDOL: BT8RSL2	325,000.000	301,136.47	300,239.88
PLAINS ALL AMERN 3.6% DUE 11-01-2024 SEDOL: BQQCCH2	505,000.000	504,145.65	483,540.03

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PLAINS ALL AMERN 3.85% DUE 10-15-2023 SEDOL: BCZTL80	120,000.000	110,000.40	118,640.28
PLAINS ALL AMERN 4.7 DUE 06-15-2044 SEDOL: BLS1272	170,000.000	128,008.30	151,475.44
PLAINS ALL AMERN 6.65% DUE 01-15-2037 SEDOL: B23G0D1	180,000.000	166,656.60	196,465.50
PNC BK NA PITT PA 1.7% DUE 12-07-2018 SEDOL: BD2B614	1,035,000.000	1,034,151.30	1,033,126.65
POTOMAC ELEC PWR 4.15% DUE 03-15-2043 SEDOL: B95XX07	565,000.000	583,818.39	575,547.99
PPL CAP FDG INC 3.1% DUE 05-15-2026 SEDOL: BDDQWQ5	645,000.000	653,408.25	617,057.31
PPL CAP FDG INC 3.95% DUE 03-15-2024 SEDOL: BKKMXH3	270,000.000	281,216.00	279,424.89
PPL CAP FDG INC 5% DUE 03-15-2044 SEDOL: BKRCD76	205,000.000	218,195.85	216,789.76
PPL ELEC UTILS 4.125% DUE 06-15-2044 SEDOL: BN7DSM0	55,000.000	54,949.95	55,740.96
PPL ELEC UTILS 4.75 DUE 07-15-2043 SEDOL: BBWX6K5	100,000.000	114,709.00	111,500.90
PRESIDENT & 3.619% DUE 10-01-2037 SEDOL: B95W553	260,000.000	260,000.00	258,081.20
PRIN FINL GROUP 3.1% DUE 11-15-2026 SEDOL: BYN1J11	235,000.000	234,656.90	227,139.02
PRIN FINL GROUP 4.3% DUE 11-15-2046 SEDOL: BYN1J33	110,000.000	109,870.20	107,774.92
PRIVATE EXPT FDG CORP 3.55 01-15-2024 SEDOL: BHZLVL4	205,000.000	204,298.90	218,342.22
PROCTER & GAMBLE 9.36% DUE 01-01-2021 SEDOL: 2704537	1,081,753.200	1,384,644.10	1,251,074.62
PROGRESS ENERGY SR NT 7.05 DUE 03-15-2019 SEDOL: B65YB05	200,000.000	253,742.00	221,086.80
PROGRESS ENERGY 7% DUE 10-30-2031 SEDOL: 2812623	170,000.000	226,281.90	220,403.13
PROVID HLTH & SVCS 2.746% DUE 10-01-2026 SEDOL: BYYHKY8	255,000.000	255,000.00	241,395.50

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PROVID HLTH & SVCS 3.744% DUE 10-01-2047 SEDOL: BYYHL01	110,000.000	110,000.00	101,499.31
PRUDENTIAL FINL FIXED 5.375% DUE 05-15-2045 SEDOL: BWX43N6	150,000.000	150,000.00	153,375.00
PRUDENTIAL FINL INC MEDIUM TERM NTS BOOKTRANCHE # TR 00010 5.9 3-17-2036 REG SEDOL: B11Y063	75,000.000	87,016.50	88,694.93
PRUDENTIAL FINL INC MEDIUM TERM 5.8 DUE 11-16-2041 SEDOL: B6SSDS6	155,000.000	175,254.27	182,128.57
PSEG PWR LLC 8.625% DUE 04-15-2031 SEDOL: 2828374	205,000.000	298,576.35	250,261.75
PUB SVC ELEC GAS FIXED 4.05% DUE 05-01-2045 SEDOL: BXC5W52	200,000.000	199,004.00	200,266.80
PUB SVC ELEC GAS 3.8% DUE 03-01-2046 SEDOL: BYYD0H9	240,000.000	239,487.12	232,915.68
PUBLIC SERVICE CO OF COLORADO 2.25 DUE 09-15-2022 BEO SEDOL: B8889L5	200,000.000	196,082.00	196,826.80
PUBLIC SVC CO COLO 4.3% DUE 03-15-2044 SEDOL: BJZD6P3	140,000.000	143,551.80	146,319.18
PUBLIC SVC CO COLO 6.5% DUE 08-01-2038 SEDOL: B3CH1C8	325,000.000	451,256.00	429,121.23
PUBLIC SVC ELEC GAS CO SECD MEDIUM TERM NTS 2.3 09-15-2018 SEDOL: BDT7Z63	250,000.000	249,930.00	252,719.50
PUBLIC SVC ELEC GAS CO SECD MEDIUM TERM 1.9% DUE 03-15-2021 SEDOL: BYQ7314	435,000.000	434,312.70	427,243.08
PUGET SOUND ENERGY INC 5.76 DUE 07-15-2040 CUSIP: 745332CC8	225,000.000	285,117.75	275,554.35
PUGET SOUND ENERGY 4.3% DUE 05-20-2045 SEDOL: BD3J3P0	105,000.000	104,527.50	109,145.30
PUGET SOUND ENERGY 5.795% DUE 03-15-2040 SEDOL: B64Y3G6	115,000.000	154,160.95	140,309.43
PUGET SOUND ENERGY 6.724% DUE 06-15-2036 CUSIP: 745332BW5	180,000.000	236,961.00	235,469.34
PVTPL AMGEN INC SR NT 144A 4.663% DUE 06-15-2051/06-14-2016 BEO SEDOL: BY7QJ56	381,000.000	356,819.65	366,182.15
PVTPL CF INDS INC SR SECD NT 144A 4.5% DUE 12-01-2026 BEO SEDOL: BZ124W9	215,000.000	213,677.75	211,322.00

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PVTPL COX COMMUNICATIONS INC NEW NT 4.8% DUE 02-01-2035 BEO SEDOL: BTKHKS1	250,000.000	231,655.00	232,869.00
PVTPL ERAC USA FIN LLC GTD NT 144A 2.7% DUE 11-01-2023/10-18-2016 BEO SEDOL: BYM4Z91	200,000.000	199,630.00	192,133.20
PVTPL ERAC USA FINANCE LLC 4.2% 11-01-2046 SEDOL: BYM4ZC4	630,000.000	626,677.25	575,709.12
PVTPL FORTIVE CORP SR NT 144A 2.35% DUE 06-15-2021/06-20-2016 BEO SEDOL: BZBZ097	290,000.000	289,933.30	286,121.83
PVTPL FORTIVE CORP SR NT 144A 4.3% DUE 06-15-2046/06-20-2016 BEO SEDOL: BZBZ0D1	185,000.000	186,385.59	183,177.20
PVTPL HALLIBURTON CO DEB 144A 7.6% DUE 08-15-2096 BEO SEDOL: B2QP9M7	305,000.000	442,364.10	380,147.43
PVTPL HEINZ H J FIN CO GTD NT 144A 7.125% DUE 08-01-2039 BEO SEDOL: B3VJFD4	940,000.000	1,188,630.00	1,197,544.96
PVTPL KANSAS GAS & ELECTRIC COMPANY 1ST MTG BD 4.3 DUE 07-15-2044 CUSIP: 485260BM4	230,000.000	229,418.10	234,729.49
PVTPL KEYSPAN GAS EAST CORP CORPBOND 5.819% DUE 04-01-2041 BEO SEDOL: B5NKKP6	185,000.000	221,240.17	220,585.12
PVTPL LIBERTY MUT GROUP INC SR NT 6.5 DUE 05-01-2042 BEO SEDOL: B87FCF2	125,000.000	144,631.25	148,204.00
PVTPL MASS MUT LIFE INS CO SURP NT 144A 8.875 DUE 06-01-2039 BEO SEDOL: B4YX002	40,000.000	61,304.40	60,046.36
PVTPL MASS MUT LIFE INS CO 5.375 12-1-41 SEDOL: B7C6F10	60,000.000	68,076.00	66,164.10
PVTPL MONDELEZ INTL HLDGS NETH B V NT 144A 1.625 DUE 10-28-2019/10-28-2016 BEO CUSIP: 60920LAA2	680,000.000	678,531.20	666,598.56
PVTPL MONONGAHELA PWR 5.4 DUE 12-15-2043 BEO SEDOL: BGMTTQ5	300,000.000	306,469.42	350,646.90
PVTPL MONONGAHELA PWR CO 1ST MTG BD 144A4.1% DUE 04-15-2024/11-27-2013 BEO SEDOL: BGMTTP4	329,000.000	344,699.88	344,961.76
PVTPL MOTIVA ENTERPRISES LLC SR NT 144A 5.75% DUE 01-15-2020/01-11-2010 BEO SEDOL: B5NTVF0	810,000.000	916,434.00	875,312.73
PVTPL MOTIVA ENTERPRISES LLC SR NT 144A 6.85% DUE 01-15-2040/01-11-2010 BEO SEDOL: B5MMVT4	480,000.000	616,906.46	580,289.28
PVTPL NATIONWIDE MUT INS CO SURPLUS NT 144A 9.375% DUE 08-15-2039 REG SEDOL: B3YCMZ1	95,000.000	139,307.47	143,489.81

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
PVTPL PRESIDENT & FELLOWS HARVARD CG TAXABLE BD 2008D 144A 6.5 DUE 01-15-2039 SEDOL: B3KGP47	160,000.000	215,915.40	227,118.08
PVTPL TEXAS EASTN TRANSMISSION LP SR NT 2.8 DUE 10-15-2022 SEDOL: B7V9MC0	100,000.000	98,525.20	97,335.70
PVTPL VERIZON OWNER TR 2016-1 AST BACKEDNT CL A 144A 1.42 DUE 01-20-2021BEO CUSIP: 92347XAA4	615,000.000	615,678.87	610,720.22
PVTPL VERIZON OWNER TR 2016-2 AST BACKEDCTF CL A 144A 1.68 DUE 05-20-2021BEO SEDOL: BYVMJH2	850,000.000	849,911.86	846,575.35
PVTPL WESTLAKE CHEM CORP SR NT 144A 3.6%DUE 08-15-2026/08-10-2016 BEO SEDOL: BYZDJQ0	625,000.000	623,470.05	601,143.75
REGENCY ENERGY 4.5 DUE 11-01-2023 SEDOL: BHB23D7	475,000.000	489,644.25	481,994.38
REGENCY ENERGY 5% DUE 10-01-2022 SEDOL: BPC1VJ1	360,000.000	376,832.32	381,492.00
REGIONS BK 2.25% DUE 09-14-2018 SEDOL: BYY91Y1	520,000.000	519,381.20	521,706.64
REGIONS FINL CORP 7.375% DUE 12-10-2037 SEDOL: B29VJL1	80,000.000	104,561.56	98,252.00
REPUBLIC N Y CORP 7.2% DUE 07-15-2097 SEDOL: B3D5P18	125,000.000	157,485.00	166,964.63
REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035 SEDOL: BZ0W055	235,000.000	252,826.87	269,930.17
REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045 SEDOL: BZ0HGQ3	625,000.000	762,654.24	740,078.75
REYNOLDS AMERN INC 6.15% DUE 09-15-2043 SEDOL: BDWGXG3	320,000.000	399,518.20	386,119.36
RWJ BARNABAS HLTH 3.949% DUE 07-01-2046 CUSIP: 78349AAB9	225,000.000	225,000.00	207,234.45
S CAROLINA ELEC & 4.1% DUE 06-15-2046 SEDOL: BZB1G20	180,000.000	179,629.20	178,168.86
S CAROLINA ELEC & 4.5% DUE 06-01-2064 SEDOL: BMQXLY7	120,000.000	118,725.60	118,294.68
S CAROLINA ELEC & 4.6% DUE 06-15-2043 SEDOL: BBHV6D7	450,000.000	460,552.30	472,976.10
S CAROLINA ELEC & 5.1% DUE 06-01-2065 SEDOL: BY58YK4	255,000.000	252,942.15	279,589.40

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SAN DIEGO GAS & 1.914% DUE 02-01-2022 SEDOL: BWBVVS0	326,072.140	326,072.14	322,065.37
SHERWIN WILLIAMS CO 4 DUE 12-15-2042 SEDOL: B93L8Z3	90,000.000	81,125.10	81,836.64
SHERWIN WILLIAMS 4.55% DUE 08-01-2045 SEDOL: BYY8XV9	195,000.000	197,675.68	193,541.01
SHIRE ACQUISITIONS 2.4% DUE 09-23-2021 SEDOL: BD1XH63	690,000.000	690,898.80	666,531.03
SHIRE ACQUISITIONS 2.875% DUE 09-23-2023 SEDOL: BD1XH74	245,000.000	244,370.35	232,859.52
SHIRE ACQUISITIONS 3.2% DUE 09-23-2026 SEDOL: BD1XH85	880,000.000	879,374.21	822,214.80
SIMON PPTY GROUP L 3.25% DUE 11-30-2026 SEDOL: BDBGH17	640,000.000	638,316.80	628,065.93
SOUTHERN CALIF GAS CO 4.45 DUE 03-15-2044 REG SEDOL: BKR3G25	155,000.000	170,464.35	164,465.85
SOUTHERN CO 2.95% DUE 07-01-2023 SEDOL: BYT14W1	660,000.000	662,810.55	651,479.40
SOUTHERN CO 4.25% DUE 07-01-2036 SEDOL: BYT1517	480,000.000	493,929.24	477,349.44
SOUTHERN CO 4.4% DUE 07-01-2046 SEDOL: BYT1540	520,000.000	514,216.40	514,339.80
SOUTHERN PWR CO 4.95% DUE 12-15-2046 SEDOL: BZ124X0	275,000.000	271,045.50	267,970.45
SOUTHERN PWR CO 5.25% DUE 07-15-2043 SEDOL: BCB8385	265,000.000	278,018.71	266,771.26
SOUTHN BAPTIST 4.857% DUE 07-15-2045 SEDOL: BYY5G55	240,000.000	240,000.00	259,340.40
SOUTHN CAL EDISON 1.125% DUE 05-01-2017 SEDOL: BMJJMZ0	700,000.000	699,776.00	700,091.70
SOUTHN CAL EDISON 1.845% DUE 02-01-2022 CUSIP: 842400GF4	1,017,500.000	1,017,500.00	1,000,939.17
SOUTHN CAL EDISON 4.05% DUE 03-15-2042 SEDOL: B7RDY97	195,000.000	187,395.00	196,549.86
SOUTHN CAL EDISON 5.5% DUE 03-15-2040 SEDOL: B65ZDS0	145,000.000	174,526.35	174,396.58

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SOUTHN CAL EDISON 6.05% DUE 03-15-2039 SEDOL: B65HYN8	585,000.000	765,543.50	749,534.76
SOUTHN CAL GAS CO 2.6% DUE 06-15-2026 SEDOL: BDC5L55	145,000.000	144,718.70	139,205.51
SOUTHN CAL GAS CO 5.125% DUE 11-15-2040 SEDOL: B67TMY9	135,000.000	168,897.15	155,202.08
SOUTHN CO GAS CAP 3.95% DUE 10-01-2046 SEDOL: BD30007	170,000.000	169,699.10	157,303.89
SOUTHWESTN ELEC 3.9% DUE 04-01-2045 SEDOL: BWGCKS1	190,000.000	188,305.20	176,974.55
SPECTRA ENERGY 4.5% DUE 03-15-2045 SEDOL: BWC4XS2	185,000.000	186,226.55	175,907.44
ST JUDE MED INC 4.75% DUE 04-15-2043 SEDOL: B9J49Y2	170,000.000	165,233.20	166,396.17
ST PAUL TRAVELERS COS INC FORMERLY ST P ST PAUL TRAVELERS 6.75 06-20-2036 BEO SEDOL: B17M7Y8	130,000.000	169,885.30	173,721.60
STARBUCKS CORP 4.3% DUE 06-15-2045 SEDOL: BYYG2D8	135,000.000	145,332.90	141,769.04
STATE STR CORP JR SUB DEB FTG RATE DUE 06-15-2037/12-21-2016 REG SEDOL: BYX7CB0	90,000.000	73,012.50	79,537.50
STIFEL FINL CORP 3.5% DUE 12-01-2020 SEDOL: BZ9N888	255,000.000	254,015.70	255,708.90
SUNOCO LOGISTICS 3.9% DUE 07-15-2026 SEDOL: BDGTNQ8	120,000.000	119,901.40	116,152.68
SUNOCO LOGISTICS 4.25% DUE 04-01-2024 SEDOL: BL9FK91	560,000.000	583,268.00	564,327.68
SUNOCO LOGISTICS 4.95% DUE 01-15-2043 SEDOL: B8BHLQ8	525,000.000	468,964.75	480,380.78
SUNOCO LOGISTICS 5.3% DUE 04-01-2044 SEDOL: BL9FKB3	90,000.000	90,262.80	86,894.19
SUNOCO LOGISTICS 5.35% DUE 05-15-2045 SEDOL: BSN4Q84	260,000.000	250,486.83	251,071.34
SUNTRUST BANK 3.3% DUE 04-15-2026 BEO SEDOL: BDGLCV2	295,000.000	293,507.30	284,732.53
SUNTRUST BKS INC 2.7% DUE 01-27-2022 SEDOL: BYWFW26	115,000.000	114,852.80	115,048.88

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
SYNCHRONY FINL 3.7% DUE 08-04-2026 SEDOL: BDCBFF1	445,000.000	442,905.35	427,765.60
SYSCO CORP 2.5% DUE 07-15-2021 SEDOL: BYQ4R24	355,000.000	361,500.05	351,211.44
SYSCO CORP 3.3% DUE 07-15-2026 SEDOL: BYQ4QL6	485,000.000	492,473.85	475,945.54
SYSCO CORP 4.5% DUE 04-01-2046 SEDOL: BYQ4R13	145,000.000	147,866.65	146,187.84
SYSCO CORP 4.85% DUE 10-01-2045 SEDOL: BZ974R1	505,000.000	525,438.24	535,660.07
TARGET CORP 3.625% DUE 04-15-2046 SEDOL: BD073D5	510,000.000	493,686.45	473,896.59
TCI COMMUNICATIONS 7.875% DUE 02-15-2026 SEDOL: 2877235	245,000.000	342,873.17	328,855.66
TEACHERS INS & 6.85% DUE 12-16-2039 SEDOL: B50WZ61	260,000.000	348,183.49	337,408.24
TEXAS HEALTH RES 4.33% DUE 11-15-2055 SEDOL: BX90B31	130,000.000	130,000.00	129,973.61
TIME WARNER CABLE 5.5% DUE 09-01-2041 SEDOL: B441BS1	470,000.000	460,339.84	477,807.17
TIME WARNER CABLE 5.875% DUE 11-15-2040 SEDOL: B66BW80	650,000.000	744,175.24	693,189.25
TIME WARNER CABLE 6.55% DUE 05-01-2037 SEDOL: BBNBG20	560,000.000	614,343.80	633,949.12
TIME WARNER CABLE 6.75% DUE 06-15-2039 SEDOL: B62X8Y8	235,000.000	241,427.83	273,206.54
TIME WARNER CABLE 6.75% DUE 07-01-2018 SEDOL: B83TSX8	390,000.000	449,311.20	416,371.80
TIME WARNER INC 6.1 07-15-2040 SEDOL: B3WWGW8	345,000.000	393,644.28	393,828.20
TIME WARNER INC 6.2% DUE 03-15-2040 SEDOL: BG494X5	390,000.000	457,204.62	450,192.21
TN GAS PIPELN CO 8.375 DUE06-15-2032 BEO SEDOL: 2978194	125,000.000	158,650.00	155,782.00
TOYOTA MTR CR CORP 1.2% DUE 04-06-2018 SEDOL: BYZ1LW4	720,000.000	719,827.20	716,801.04

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
TRANSCONTINENTAL GAS PIPE LINE CO LLC 4.45 DUE 08-01-2042 REG SEDOL: B96NB24	90,000.000	90,216.90	82,191.87
TRAVELERS COS INC 3.75% DUE 05-15-2046 SEDOL: BD8BSC3	110,000.000	109,774.44	103,796.44
TRAVELERS COS INC 4.3% 08-25-2045 SEDOL: BYXMWX7	70,000.000	72,097.90	72,509.92
TRAVELERS COS INC 5.35% DUE 11-01-2040 SEDOL: B5MHZ97	120,000.000	142,874.06	141,353.76
TRAVELERS COS INC 6.25% DUE 06-15-2037 SEDOL: B8YTZQ9	150,000.000	188,929.60	192,753.15
TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026 SEDOL: BYYWJ13	1,150,000.000	1,204,619.43	1,450,110.90
TRINITY ACQSTN PLC 4.4% DUE 03-15-2026 CUSIP: 89641UAC5	260,000.000	258,902.80	263,186.04
TRINITY ACQSTN PLC 6.125% DUE 08-15-2043 SEDOL: BCZTLB3	330,000.000	367,234.15	352,775.28
TRINITY HLTH CORP 4.125% DUE 12-01-2045 SEDOL: BVW5515	235,000.000	234,767.35	228,133.77
TUFTS UNIV TAXABLE BD SER 2012A 5.017 DUE 04-15-2112 SEDOL: B7ZWP15	80,000.000	84,466.40	83,508.80
U S BK NATL ASSN CINCINNATI 2.8% DUE 01-27-2025 SEDOL: BV9FRX4	470,000.000	467,024.90	458,654.67
UN BK N A SAN FRAN 2.625% DUE 09-26-2018 SEDOL: BF2DHX3	605,000.000	615,557.25	611,612.65
UNION BANK 2.25 DUE 05-06-2019 REG SEDOL: BMBMJL2	65,000.000	65,349.05	65,102.31
UNION ELEC CO 3.9 DUE 09-15-2042 SEDOL: B759FZ0	540,000.000	523,089.67	532,008.54
UNION ELECTRIC COMPANY 3.65% DUE 04-15-2045 SEDOL: BYV3C43	50,000.000	48,620.45	47,274.55
UNION PAC CORP 4.375% DUE 11-15-2065 SEDOL: BYQGY30	475,000.000	469,360.86	472,378.95
UNITEDHEALTH GROUP 3.45% DUE 01-15-2027 SEDOL: BZ0X5S6	430,000.000	429,552.80	436,806.90
UNITEDHEALTH GROUP 4.2% DUE 01-15-2047 SEDOL: BZ0X5W0	260,000.000	258,057.80	262,989.22

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
UTD TECHNOLOGIES 3.75% DUE 11-01-2046 SEDOL: BZ1JMC6	295,000.000	277,869.15	280,747.67
UTD TECHNOLOGIES 4.5% DUE 06-01-2042 SEDOL: B8J6DZ0	160,000.000	166,440.76	171,264.64
VA ELEC & PWR CO SR NT 2008 SER B 8.875 DUE 11-15-2038 SEDOL: B3FD1W3	925,000.000	1,548,966.18	1,472,453.85
VALERO ENERGY CORP 3.4% DUE 09-15-2026 SEDOL: BD5M8B8	255,000.000	251,554.95	244,293.57
VALERO ENERGY CORP 4.9% DUE 03-15-2045 SEDOL: BWBY7W5	470,000.000	444,988.09	468,375.21
VALERO ENERGY CORP 7.5% DUE 04-15-2032 SEDOL: 2369408	355,000.000	434,416.49	435,949.59
VALERO ENERGY 4.375% DUE 12-15-2026 SEDOL: BD4G3C7	240,000.000	239,901.60	242,150.64
VALSPAR CORP 3.95% DUE 01-15-2026 SEDOL: BYY9YM0	340,000.000	354,572.75	339,899.36
VERIZON COMMUNICATIONS 4.522% 09-15-2048 SEDOL: BYRLSF4	210,000.000	198,103.50	201,371.52
VERIZON COMMUNICATIONS 5.15 BDS DUE 09-15-2023 USD2000 REG SEDOL: BF320C9	1,840,000.000	2,038,350.89	2,034,567.12
VERIZON COMMUNICATIONS 6 DUE 04-01-2041 SEDOL: B90QPZ0	465,000.000	507,309.16	534,088.77
VERIZON GLOBAL FDG 5.85% DUE 09-15-2035 SEDOL: B84RH34	260,000.000	291,871.84	296,936.90
VERIZON 4.272% DUE 01-15-2036 SEDOL: BYRLRK2	435,000.000	410,869.16	416,349.81
VERIZON 4.672% DUE 03-15-2055 SEDOL: BYM0W21	1,460,000.000	1,383,927.89	1,371,100.60
VERIZON 5.012% DUE 08-21-2054 SEDOL: BVYRK47	2,605,000.000	2,550,894.68	2,591,355.01
VERIZON 6.25% DUE 04-01-2037 SEDOL: B1VVZP8	550,000.000	654,869.50	652,080.00
VERIZON 7.35% DUE 04-01-2039 SEDOL: BG496F1	375,000.000	508,845.00	483,897.75
VERIZON 8.95% DUE 03-01-2039 SEDOL: B3FBWF7	360,000.000	559,260.80	539,971.20

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5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
VIACOM INC NEW 4.85% DUE 12-15-2034 CUSIP: 92553PAZ5	220,000.000	188,491.60	196,123.84
VIRGINIA ELEC & PWR CO 4.65 DUE 08-15-2043 SEDOL: BD1NQR7	110,000.000	118,070.70	119,413.58
VODAFONE GROUP PLC 6.25% DUE 11-30-2032 SEDOL: 3235605	145,000.000	156,253.45	166,399.97
WACHOVIA CORP NEW 5.5% DUE 08-01-2035 SEDOL: BG496M8	95,000.000	108,530.85	105,188.75
WALGREENS BOOTS FIXED 4.8% DUE 11-18-2044 CUSIP: 931427AC2	110,000.000	111,446.50	113,058.99
WALGREENS BOOTS 3.45% DUE 06-01-2026 SEDOL: BYVG9S5	360,000.000	359,092.80	353,376.36
WALGREENS BOOTS 4.65% DUE 06-01-2046 SEDOL: BYVG9R4	245,000.000	241,895.85	248,619.14
WEINGARTEN RLTY 3.25% DUE 08-15-2026 SEDOL: BD378W6	200,000.000	198,310.00	188,676.40
WELLPOINT INC 3.3% DUE 01-15-2023 SEDOL: BLSP694	1,005,000.000	1,000,320.15	1,003,304.57
WELLPOINT INC 5.95% DUE 12-15-2034 SEDOL: B08X3G7	170,000.000	182,537.50	194,135.58
WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044 SEDOL: BS9FPF1	470,000.000	493,218.55	462,588.57
WELLS FARGO & CO 2.1% DUE 07-26-2021 SEDOL: BYYKPB9	220,000.000	219,632.50	214,072.10
WELLS FARGO & CO 2.5% DUE 03-04-2021 SEDOL: BYT2BY5	1,515,000.000	1,538,693.85	1,503,773.85
WELLS FARGO & CO 4.4% DUE 06-14-2046 SEDOL: BD39X50	625,000.000	620,998.98	598,158.75
WELLS FARGO & CO 4.75% DUE 12-07-2046 SEDOL: BDSFRX9	480,000.000	479,217.60	487,130.88
WELLS FARGO & CO 4.9% DUE 11-17-2045 SEDOL: BYQ29R7	765,000.000	770,882.82	785,747.57
WELLS FARGO BK N A 2.15% DUE 12-06-2019 SEDOL: BDSFRZ1	480,000.000	479,337.60	479,702.40
WELLS FARGO CAP X 5.95% DUE 12-01-2086 SEDOL: B1JNGS6	80,000.000	88,800.00	83,200.00

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As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

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Corporate Debt Instruments - Other			
United States - USD			
WELLTOWER INC 4.25% DUE 04-01-2026 SEDOL: BD6R618	550,000.000	591,702.50	570,122.85
WESLEYAN UNIV CONN 4.781% DUE 07-01-2116 CUSIP: 95101VAA7	175,000.000	175,000.00	166,017.95
WESTLAKE CHEM CORP 5% DUE 08-15-2046 SEDOL: BYZDJP9	545,000.000	531,189.70	538,325.39
WESTVACO CORP DEL 7.95% DUE 02-15-2031 SEDOL: 2721699	140,000.000	172,841.20	184,242.52
WI ENERGY CORP 2.45% DUE 06-15-2020 SEDOL: BYZWY17	820,000.000	818,499.40	820,533.00
WI PUB SVC CORP 3.671% DUE 12-01-2042 SEDOL: B90MCR9	140,000.000	136,301.20	132,252.26
WILLIAM MARSH RICE 3.774% DUE 05-15-2055 SEDOL: BWX1XY8	240,000.000	240,000.00	227,087.04
WILLIAMS PARTNERS 3.35 DUE 08-15-2022 SEDOL: B6ZZMP0	420,000.000	379,569.15	413,449.26
WILLIAMS PARTNERS 5.4% DUE 03-04-2044 SEDOL: BKGRXP0	535,000.000	425,514.85	518,490.44
WILLIAMS PARTNERS 6.3% DUE 04-15-2040 SEDOL: B3RZKK8	170,000.000	190,760.40	181,456.47
ZIMMER HLDGS INC 4.25% DUE 08-15-2035 SEDOL: BWC5244	32,000.000	29,765.44	29,839.97
1ST HORIZON NATL 3.5% DUE 12-15-2020 SEDOL: BYQGY07	285,000.000	284,310.30	287,595.78
21ST CENTY FOX AMER INC 3.7% DUE 09-15-2024 REG SEDOL: BV8NP80	195,000.000	194,998.05	197,448.03
21ST CENTY FOX AMER INC 5.4 NOTES DUE 10-01-2043 REG SEDOL: BJBQ4X0	160,000.000	173,987.20	172,287.84
21ST CENTY FOX 3% DUE 09-15-2022 SEDOL: BVYTWX6	645,000.000	663,228.70	641,968.50
21ST CENTY FOX 6.15% DUE 02-15-2041 SEDOL: BVGH573	150,000.000	173,371.92	177,415.20
21ST CENTY FOX 6.15% DUE 03-01-2037 SEDOL: BWXSBR8	175,000.000	199,660.13	204,796.20
21ST CENTY FOX 6.4% DUE 12-15-2035 SEDOL: BWWYN04	405,000.000	491,476.19	483,832.44

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Corporate Debt Instruments - Other			
United States - USD			
21ST CENTY FOX 6.65% DUE 11-15-2037 SEDOL: BWV6C71	875,000.000	1,053,811.75	1,076,735.63
21ST CENTY FOX 7.75% DUE 12-01-2045 SEDOL: BWXSBT0	135,000.000	200,700.45	187,209.77
21ST CENTY FOX 7.85% DUE 03-01-2039 SEDOL: BWXSBS9	330,000.000	452,925.00	443,299.89
5TH 3RD BK CIN OH 3.85% DUE 03-15-2026 SEDOL: BYSXRC9	135,000.000	134,866.35	135,853.61
Total United States - USD		**283,168,468.37**	**279,884,643.91**
Total Corporate Debt Instruments - Other		**321,849,886.80**	**318,125,154.76**
Value of Interest in Common/Collective Trusts			
United States - USD			
CF METLIFE SEP ACCT #377 CORE LAGB BR 107 FD CUSIP: 15499VD20	11,923.790	2,190,501.78	2,109,537.85
COLTV SHORT TERM INVT FD CUSIP: 195998AI0	1,415,604.360	1,415,604.36	1,415,604.36
Total United States - USD		**3,606,106.14**	**3,525,142.21**
Total Value of Interest in Common/Collective Trusts		**3,606,106.14**	**3,525,142.21**
Value of Funds Held in Insurance Company General Accounts			
United States - USD			
GAC METLIFE CONT.#163 RT. N/A MAT N/A CUSIP: 999501AX7	8,547.960	8,547.96	8,547.96
Total United States - USD		**8,547.96**	**8,547.96**
Total Value of Funds Held in Insurance Company Gener		**8,547.96**	**8,547.96**

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Canada - USD			
B.C PROV CDA 7.25 BD DUE 09-01-2036 BEO SEDOL: 2108258	150,000.000	212,512.50	223,965.00
HYDRO-QUE 1.375 DUE 06-19-2017 BEO CUSIP: 4488148U0	500,000.000	507,475.00	500,456.00
HYDRO-QUEBEC 8.5% DEB 1/12/2029 USD1000 8.5% DUE 12-01-2029 REG SEDOL: 2742537	440,000.000	709,029.20	638,343.64
ONTARIO PROV CDA BD 4.4% DUE 04-14-2020 REG CUSIP: 6832348K7	670,000.000	737,214.40	722,028.18
ONTARIO(PROV OF) ONTARIO PROVINCE 1.875%DUE 05-21-2020 CUSIP: 68323ADH4	130,000.000	129,944.10	129,575.03
QUEBEC PROV CDA PROVINCE DE QUEBEC GLOBAL NOTE 7.5% DUE 09-15-2029 BEO CUSIP: 748148QR7	585,000.000	875,113.20	819,702.59
Total Canada - USD		**3,171,288.40**	**3,034,070.44**
Chile - USD			
CHILE REP NT 3.625% DUE 10-30-2042 REG CUSIP: 168863BP2	130,000.000	115,700.00	120,952.00
Total Chile - USD		**115,700.00**	**120,952.00**
Colombia - USD			
COLOMBIA REP BD 7.375% DUE 09-18-2037 REG CUSIP: 195325BK0	345,000.000	412,357.50	419,175.00
COLOMBIA REP 5% DUE 06-15-2045 REG CUSIP: 195325CU7	90,000.000	83,655.00	85,387.50
COLOMBIA(REP OF) GLOBAL BD 6.125% DUE 01-18-2041/10-20-2009 REG CUSIP: 195325BM6	475,000.000	549,151.06	513,000.00
REPUBLIC OF COLOMBIA 5.625 02-26-2044 REG CUSIP: 195325BR5	225,000.000	243,495.00	231,750.00
Total Colombia - USD		**1,288,658.56**	**1,249,312.50**
Hungary - USD			
HUNGARY REP NT 7.625% DUE 03-29-2041 REG SEDOL: B7KFVM2	90,000.000	135,630.00	125,752.50
Total Hungary - USD		**135,630.00**	**125,752.50**

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Mexico - USD			
PEMEX PROJ FDG MASTER TR GTD BD 6.625% DUE 06-15-2035 REG SEDOL: B7GPR51	35,000.000	37,166.22	34,475.00
PETROLEOS MEXICANOS 144A 6.5% DUE 03-13-2027 SEDOL: BYZNB42	2,160,000.000	2,161,587.80	2,228,040.00
PETROLEOS MEXICANOS 6.375% 01-23-2045 CUSIP: 71654QBR2	785,000.000	781,125.11	714,350.00
PVTPL PETROLEOS MEXICANOS MEDIUM TERM NTS BOOK 6.75% DUE 09-21-2047 REG SEDOL: BDHDV76	1,124,000.000	1,126,358.01	1,061,955.20
PVTPL PETROLEOS MEXICANOS 144A 6.875% DUE 08-04-2026 REG SEDOL: BYPZ911	400,000.000	414,800.00	422,000.00
UNITED MEXICAN STS 4.6% DUE 01-23-2046 CUSIP: 91086QBF4	845,000.000	831,522.05	758,387.50
UNITED MEXICAN STS MEDIUM TERM BOOK 4.125% 01-21-2026 CUSIP: 91086QBG2	295,000.000	321,051.45	292,640.00
UTD MEXICAN STS TRANCHE # TR 00026 4.75 DUE 03-08-2044 CUSIP: 91086QBB3	1,535,000.000	1,549,349.99	1,395,775.50
UTD MEXICAN STS UTD MEXICAN STATES4.35 01-15-2047 REG 4.35 DUE 01-15-2047 REG CUSIP: 91087BAB6	1,180,000.000	1,131,623.84	1,011,850.00
Total Mexico - USD		**8,354,584.47**	**7,919,473.20**
Multi-National Agencies Region - USD			
ASIAN DEV BK BD ISIN US045167AY95 5.593%DUE 07-16-2018 BEO CUSIP: 045167AY9	55,000.000	67,124.20	58,225.37
ASIAN DEV BK BD 5.82% DUE 06-16-2028 REG SEDOL: 2274944	415,000.000	538,885.80	516,995.80
INTER-AMERN DEVEL BK BD 4.375 DUE 01-24-2044 BEO CUSIP: 4581X0CE6	105,000.000	103,995.15	120,912.54
Total Multi-National Agencies Region - USD		**710,005.15**	**696,133.71**
Panama - USD			
PANAMA REP GLOBAL BD 3.875% DUE 03-17-2028/03-17-2016 REG CUSIP: 698299BF0	230,000.000	227,734.50	224,825.00
PANAMA REP PANAMA GLOBAL 2029 ISIN US698299AK07 9.375% DUE 04-01-2029 BEO CUSIP: 698299AK0	640,000.000	956,785.76	902,400.00
Total Panama - USD		**1,184,520.26**	**1,127,225.00**

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
Peru - USD			
PERU REP GLOBAL BD 8.75% DUE 11-21-2033 REG SEDOL: B54LGY9	510,000.000	791,887.50	744,600.00
Total Peru - USD		**791,887.50**	**744,600.00**
Philippines - USD			
PHILIPPINES REP GLOBAL BD 3.95% DUE 01-20-2040 CUSIP: 718286BZ9	145,000.000	147,130.61	142,754.10
PHILIPPINES REP GLOBAL BD 6.375% DUE 10-23-2034 REG CUSIP: 718286BG1	450,000.000	647,933.48	585,323.10
PHILIPPINES REP GLOBAL BD 9.5 DUE 02-02-2030 SEDOL: B83R9M8	135,000.000	214,910.48	212,719.64
PHILIPPINES REP NT 3.7% DUE 03-01-2041 REG CUSIP: 718286CA3	580,000.000	589,034.92	555,392.34
PHILIPPINES(REPUBLIC OF) GLOBAL BD 7.75%DUE 01-14-2031 REG CUSIP: 718286BB2	450,000.000	654,276.19	632,579.40
Total Philippines - USD		**2,253,285.68**	**2,128,768.58**
South Africa - USD			
NT 4.3% DUE 10-12-2028 REG CUSIP: 836205AU8	155,000.000	148,412.50	143,762.50
Total South Africa - USD		**148,412.50**	**143,762.50**
United States - USD			
AL ECON SETTLEMENT AUTH BP SETT TAXABLE-SER B 4.263 DUE 09-15-2032 REG CUSIP: 01026CAD3	185,000.000	185,000.00	190,139.30
BAY AREA TOLL AUTH CALIF TOLL BRDG REV 6.907% 10-01-2050 BEO TAXABLE SEDOL: B574M81	420,000.000	578,803.00	589,554.00
CALIFORNIA ST TAXABLE-BUILD AMER BDS 7.35% DUE 11-01-2039 REG TAXABLE SEDOL: B5BGSJ6	927,000.000	1,261,457.76	1,312,316.82
CALIFORNIA ST 7.5% 04-01-2034 BEO TAXABLE SEDOL: B41WH12	380,000.000	526,344.46	534,530.80
CALIFORNIA ST 7.6% 11-01-2040 BEO TAXABLE SEDOL: B3Y5CW7	352,000.000	509,156.68	529,710.72
CALIFORNIA ST 7.95 03-01-2036 BEO TAXABLE SEDOL: B58KMP5	195,000.000	226,929.30	227,309.55

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
CHICAGO ILL 5.432% 01-01-2042 BEO TAXABLE SEDOL: BYYWTX5	185,000.000	169,342.25	149,239.50
CHICAGO ILL 7.375% 01-01-2033 BEO TAXABLE SEDOL: BYT3JT9	110,000.000	120,541.30	111,096.70
COBB-MARIETTA GA COLISEUM & EXHIBIT HALLAUTH REV 4.5% 01-01-2047 BEO TAXABLE CUSIP: 190760HT8	250,000.000	249,556.90	256,990.00
COMMONWEALTH FING AUTH PA REV 4.144% 06-01-2038 BEO TAXABLE SEDOL: BZBWSF0	360,000.000	360,000.00	346,190.40
CURATORS UNIV MO SYS FACS REV 5.792% 11-01-41 BEO TAXABLE SEDOL: B54BC18	165,000.000	211,225.81	213,762.45
DALLAS CNTY TEX HOSP DIST 5.621% 08-15-2044 BEO TAXABLE SEDOL: B3ZT723	265,000.000	330,134.39	318,906.30
DALLAS TEX AREA RAPID TRAN SALES TAX REV5.999% 12-01-2044 BEO TAXABLE SEDOL: B5ZNLZ0	440,000.000	583,538.86	573,988.80
DALLAS TEX INDPT SCH DIST TAXABLE-SCH BLDG-C-BUILD AMER 6.45 DUE 2-15-2035 CUSIP: 235308RA3	395,000.000	487,605.70	454,107.80
DENVER COLO PUB SCHS CTFS PARTN 7.017% 12-15-2037 BEO TAXABLE CUSIP: 249218AK4	370,000.000	489,511.90	490,838.30
DISTRICT COLUMBIA INCOME TAX REV 5.582% 12-01-2035 BEO TAXABLE SEDOL: B4QBBJ0	265,000.000	324,074.35	324,754.85
DISTRICT COLUMBIA WTR & SWR AUTH PUB UTITAXABLE-SR LIEN-SER A 4.814 10-01-2114 CUSIP: 254845JZ4	200,000.000	199,791.61	199,742.00
FISCAL YR 2005 SECU CORP N Y SPL OBL B 4.93 DUE 04-01-2020 BEOTAXABLE MUNIBOND CUSIP: 337653AD1	555,000.000	608,674.05	584,903.40
FUT MAR 17 CBT UL T-BONDS CUSIP: 999599GH0	2.000	323,210.59	320,500.00
FUT MAR 17 CBT UL T-BONDS CUSIP: 999599GH0	-2.000	-323,210.59	-320,500.00
FUT MAR 17 CBT ULT TNOTE CUSIP: 999599GH0	-2.000	-270,000.00	-268,125.00
FUT MAR 17 CBT ULT TNOTE CUSIP: 999599GH0	2.000	270,000.00	268,125.00
FUT MAR 17 CBT 5Y T-NOTE CUSIP: 999599GH0	34.000	4,009,997.81	4,000,578.13
FUT MAR 17 CBT 5Y T-NOTE CUSIP: 999599GH0	-34.000	-4,009,997.81	-4,000,578.13

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
FUT MAR 17 US 2YR T-NOTE CUSIP: 999599GH0	-17.000	-3,687,140.63	-3,683,687.50
FUT MAR 17 US 2YR T-NOTE CUSIP: 999599GH0	17.000	3,687,140.63	3,683,687.50
FUT MAR 17 10 YR T-NOTES CUSIP: 999599GH0	-46.000	-5,748,562.50	-5,716,937.50
FUT MAR 17 10 YR T-NOTES CUSIP: 999599GH0	46.000	5,748,562.50	5,716,937.50
IL ST TAXABLE-PENSION 5.1 DUE 06-01-2033BEO TAXABLE SINKING FD 06-01-2024 N/C SEDOL: 2177258	1,010,000.000	980,748.64	902,435.00
ILLINOIS ST SALES TAX REV 4.62% 06-15-2038 BEO TAXABLE SEDOL: BKWB8T5	450,000.000	450,000.00	480,910.50
ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 5.851% 12-01-2034 BEO TAXABLE SEDOL: B4P33L3	170,000.000	219,026.30	208,491.40
ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 6.184% 01-01-2034 BEO TAXABLE SEDOL: B4QTD76	100,000.000	121,468.00	125,630.00
INDIANAPOLIS IND LOC PUB IMPT BD BK 6.116% 01-15-2040 BEO TAXABLE CUSIP: 45528S5S1	355,000.000	430,514.77	453,459.25
JOBSOHIO BEVERAGE SYS OHIO STATEWIDE LIQUOR PROFITS REV 4.532% 01-01-2035 BEO SEDOL: B9HL525	435,000.000	449,099.60	469,547.70
LOS ANGELES CALIF UNI SCH DIST 5.75% 07-01-2034 BEO TAXABLE SEDOL: B513PH4	1,320,000.000	1,586,646.15	1,627,375.20
METROPOLITAN TRANSN AUTH N Y REV 6.648% 11-15-2039 BEO TAXABLE SEDOL: B60B758	165,000.000	187,383.90	215,113.80
METROPOLITAN TRANSN AUTH N Y REV 6.814% 11-15-2040 BEO TAXABLE SEDOL: B669YV1	265,000.000	341,614.15	353,409.30
MISSOURI ST HEALTH & EDL FACS AUTH EDL FACS REV 3.651% 01-15-2046 BEO TAXABLE SEDOL: BDGNRD5	215,000.000	215,000.00	211,231.05
NEW JERSEY ST TPK AUTH TPK REV 7.102% 01-01-2041 BEO TAXABLE SEDOL: B4WN3T4	235,000.000	308,313.07	328,299.70
NEW JERSEY ST TPK AUTH TPK REV 7.414% 01-01-2040 BEO TAXABLE SEDOL: B3YD6H4	470,000.000	677,525.36	677,049.10
NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV 5.572% 11-01-2038 BEO TAXABLE SEDOL: B56SPB8	810,000.000	995,134.84	985,980.60
NEW YORK N Y TAXABLE-SER D-1-BUILD AMER BDS 5.985% DUE 12-01-2036 REG TAXABLE SEDOL: B546YZ1	260,000.000	323,330.80	326,362.40

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
NEW YORK N Y 6.246% 06-01-2035 BEO TAXABLE CUSIP: 64966HYX2	125,000.000	134,982.50	138,917.50
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.427% 03-15-2039 BEO TAXABLE SEDOL: B51WGH8	135,000.000	163,192.05	162,585.90
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.6% 03-15-2040 BEO TAXABLE SEDOL: B5999C7	175,000.000	216,371.75	216,445.25
NEW YORK ST DORM AUTH ST PERS INCOME TAXREV 5.628% 03-15-2039 BEO TAXABLE SEDOL: B42KVY6	215,000.000	260,582.15	263,110.55
NEW YORK ST URBAN DEV CORP REV 5.77% 03-15-2039 BEO TAXABLE SEDOL: B40VJC5	475,000.000	530,038.25	571,429.75
NORTH TEX TWY AUTH REV 6.718% 01-01-2049BEO TAXABLE SEDOL: B3XNKQ2	295,000.000	400,223.85	411,967.50
NY ST DORM AUTH ST PERS INC TX PURP-SER H 5.389 DUE 03-15-40 SEDOL: B4Y2XV1	185,000.000	221,997.58	223,727.90
OHIO ST UNIV GEN RCPTS 3.798% 12-01-2046BEO TAXABLE CUSIP: 677632G88	130,000.000	130,000.00	125,713.90
OHIO UNIV GEN RCPTS ATHENS 5.59% 12-01-2114 BEO TAXABLE CUSIP: 677704A65	210,000.000	210,000.00	218,950.20
ORANGE CNTY CALIF LOC TRANSN AUTH SALES TAX REV 6.908% 02-15-2041 BEO TAXABLE SEDOL: B4SBQN7	290,000.000	408,804.30	389,191.60
OREGON ST DEPT TRANSN HWY USER TAX REV 5.834% 11-15-2034 BEO TAXABLE SEDOL: B5YBV22	190,000.000	236,807.96	239,230.90
PORT AUTH N Y & N J 4.81% 10-15-2065 BEOTAXABLE CUSIP: 73358WXQ2	325,000.000	325,000.00	347,512.75
PORT AUTH N Y & N J 5.647 ONE HUNDRED SIXTY(FIFTH) 11-01-40 SEDOL: B3WV4Q5	395,000.000	476,944.25	476,654.40
REGIONAL TRANSN DIST COLO SALES TAX REV 5.844% 11-01-2050 BEO TAXABLE CUSIP: 759136QP2	195,000.000	249,568.65	252,753.15
SAN ANTONIO TEX ELEC & GAS REV TAXABLE 4.427 DUE 02-01-42 TAXABLE SF02-01-31 SEDOL: B8J90T2	185,000.000	201,011.75	198,223.80
SAN ANTONIO TEX ELEC & GAS REV 5.718% 02-01-2041 BEO TAXABLE SEDOL: B4R70G7	85,000.000	103,235.90	106,501.60
SAN DIEGO CNTY CALIF REGL ARPT AUTH SPL FACS REV 5.594% 07-01-2043 BEO TAXABLE SEDOL: BJTMRB9	175,000.000	175,742.39	191,887.50
SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV 5.911% 04-01-2048 REG SEDOL: B5V2QH8	155,000.000	203,776.00	197,341.35

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other			
United States - USD			
SOUTHN CAL PUB PWR AUTH PWR PROJ REV TAXABLE-REF VERDE-B 6.93 DUE 5-15-2017 SEDOL: 2271020	65,000.000	76,088.35	66,342.25
TEXAS ST 4.631% 04-01-2033 BEO TAXABLE SEDOL: B4LLNX3	140,000.000	153,116.60	159,198.20
TEXAS ST 4.681% 04-01-2040 BEO TAXABLE SEDOL: B4R23P2	380,000.000	437,430.60	430,650.20
TEXAS TRANSN COMMN ST HWY FD REV 5.178% 04-01-2030 BEO TAXABLE SEDOL: B52BMP4	400,000.000	486,925.84	472,352.00
UKRAINE AID 1.471% DUE 09-29-2021 REG 1.471% DUE 09-29-2021 REG CUSIP: 903724BL5	1,050,000.000	1,050,000.00	1,025,052.00
UNIV CALIF REGTS MED CTR POOLED REV 6.548% 05-15-2048 BEO TAXABLE SEDOL: B8N3JB5	140,000.000	186,412.92	183,694.00
UNIVERSITY CALIF REVS 4.601% 05-15-2031 BEO TAXABLE SEDOL: BFG2Y91	415,000.000	415,000.00	457,110.05
UNIVERSITY CALIF REVS 4.765% 05-15-2044 BEO TAXABLE CUSIP: 91412GVC6	125,000.000	125,000.00	131,626.25
UNIVERSITY CALIF REVS 4.767% 05-15-2115 BEO TAXABLE SEDOL: BYSZTY1	380,000.000	380,000.00	361,687.80
UNIVERSITY CALIF REVS 4.858% 05-15-2112 BEO TAXABLE SEDOL: B7MQN72	55,000.000	50,619.25	53,343.40
UNIVERSITY TEX PERM UNIV FD 5.262% 07-01-2039 BEO TAXABLE SEDOL: B4MMJD7	175,000.000	205,667.97	213,025.75
UNIVERSITY VA UNIV REVS 6.2% 09-01-2039 BEO TAXABLE SEDOL: B3XQ2J8	415,000.000	547,029.42	569,317.75
WASHINGTON ST 5.14% 08-01-2040 BEO TAXABLE SEDOL: B5NV5Y3	85,000.000	101,804.50	101,382.90
WASHINGTON ST 5.481% 08-01-2039 BEO TAXABLE SEDOL: B5ZWT64	465,000.000	571,918.85	574,009.95
Total United States - USD		**24,142,787.53**	**24,304,314.69**
Total Other		**42,296,760.05**	**41,594,365.12**

** Represents party-in-interest as defined by ERISA

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The Gates Group Retirement Plan
5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year)
As of December 31, 2016
EIN: 84-0857401
Plan Number: 333

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Other Liabilities			
Pending trade purchases: United States dollar	0.000	-20,361.54	-20,361.54
Total - all currencies		**-20,361.54**	**-20,361.54**
Pending trade purchases: United States dollar	0.000	-4,189,908.89	-4,189,908.89
Other Payables: United States dollar	0.000	-19,621.40	-19,621.40
Total - all currencies		**-4,209,530.29**	**-4,209,530.29**
Total Other Liabilities		**-4,229,891.83**	**-4,229,891.83**

Security Description / Asset ID	Shares/Par Value	Historical Cost	Current Value
Unallocated Insurance Contract			
United States - USD			
** PRINCIPAL LIFE INSURANCE COMPANY - CREDITING INTEREST RATE OF 2.34% AT DECEMBER 31, 2016	4,030,570.39	4,030,570.39	4,030,570.39
Total United States -		**4,030,570.39**	**4,030,570.39**
Total Unallocated Insurance Contract		**4,030,570.39**	**4,030,570.39**
TOTAL CASH, INVESTMENTS, AND PENDING TRADE PAYABLES AND RECEIVABLES			**409,471,700**

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYMPT69	#REORG/CHARTER MANDATORY EXCHANGE CB144A CHARTER COMMU 4N1DADA 05-18-16				
		Acquisitions	125,000.00	-126,947.50	
		Dispositions	-125,000.00		144,611.25
SB1WF8X8	#REORG/STATE STR MANDATORY EXCHANGE STATE STR 4U1NAKB 12-21-2016				
		Acquisitions	90,000.00	-73,012.50	
		Free Delivery	-90,000.00		
SBWB9C25	ABBOTT LABS 2.55% DUE 03-15-2022				
		Acquisitions	260,000.00	-258,299.60	
		Dispositions	-260,000.00		264,768.40
SBYQHX58	ABBOTT LABS 4.9% DUE 11-30-2046				
		Acquisitions	1,700,000.00	-1,693,958.60	
		Dispositions	-155,000.00		154,728.75
SBXDZFZ2	ABBVIE INC FIXED 4.7% DUE 05-14-2045				
		Acquisitions	1,120,000.00	-1,127,763.65	
		Dispositions	-975,000.00		1,000,265.05
SBYP8MW2	ABBVIE INC 2.3% DUE 05-14-2021				
		Acquisitions	640,000.00	-638,886.40	
		Dispositions	-640,000.00		649,009.05
SBXDZFV8	ABBVIE INC 2.5% 05-14-2020				
		Acquisitions	904,000.00	-924,252.99	
		Dispositions	-620,000.00		625,957.00
SBYP8MT9	ABBVIE INC 2.85% DUE 05-14-2023				
		Acquisitions	435,000.00	-440,165.40	
		Dispositions	-435,000.00		440,514.35
SBD035B5	ABBVIE INC 2.9% DUE 11-06-2022				
		Acquisitions	55,000.00	-56,318.90	
		Dispositions	-55,000.00		56,687.95
SBCZM189	ABBVIE INC 4.4% DUE 11-06-2042				
		Acquisitions	605,000.00	-599,639.65	
		Dispositions	-345,000.00		343,501.45
SBYP8MQ6	ABBVIE INC 4.45% DUE 05-14-2046				
		Acquisitions	930,000.00	-922,272.00	
		Dispositions	-310,000.00		300,975.05
SBYRZVC4	ACE INA HLDGS INC 3.35% DUE 05-03-2026				
		Acquisitions	240,000.00	-248,279.80	
		Dispositions	-625,000.00		649,242.30
SBWDBNB5	ACTAVIS FDG SCS FIXED 2.35% DUE 03-12-2018				
		Acquisitions	240,000.00	-241,401.60	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-240,000.00		241,687.20
SBWDBNC6	ACTAVIS FDG SCS 3.45% DUE 03-15-2022				
		Acquisitions	310,000.00	-319,120.10	
		Dispositions	-310,000.00		326,191.30
SBWDBND7	ACTAVIS FDG SCS 4.55% DUE 03-15-2035				
		Acquisitions	160,000.00	-155,772.80	
		Dispositions	-565,000.00		599,387.75
SBWDRY10	ACTAVIS FUNDING SCS FIXED 3.8% DUE 03-15-2025				
		Acquisitions	650,000.00	-690,098.50	
		Dispositions	-650,000.00		685,171.50
SBD203G5	AETNA INC NEW 2.8% DUE 06-15-2023				
		Acquisitions	715,000.00	-713,956.10	
		Dispositions	-475,000.00		482,693.00
SBDD8D24	AETNA INC NEW 4.25% DUE 06-15-2036				
		Acquisitions	540,000.00	-540,864.90	
		Dispositions	-80,000.00		82,988.00
SBD203F4	AETNA INC NEW 4.375% DUE 06-15-2046				
		Acquisitions	1,050,000.00	-1,061,393.40	
		Dispositions	-860,000.00		881,435.25
SBDFY6J8	ALABAMA PWR CO 4.3% DUE 01-02-2046				
		Acquisitions	85,000.00	-94,803.05	
		Dispositions	-85,000.00		96,306.70
SB3W6T98	ALLERGAN INC 3.375% DUE 09-15-2020				
		Acquisitions	110,000.00	-112,731.30	
		Dispositions	-75,000.00		77,707.50
SBBG7S30	ALLSTATE CORP 4.5% DUE 06-15-2043				
		Acquisitions	115,000.00	-136,447.50	
		Dispositions	-130,000.00		136,973.85
SBD8G4R5	ALTRIA GROUP INC BNDS 2.625% 09-16-2026				
		Acquisitions	80,000.00	-79,672.00	
		Dispositions	-80,000.00		80,564.00
SB8HW1Q7	ALTRIA GROUP INC 2.85 DUE 08-09-2022 REG				
		Acquisitions	710,000.00	-735,912.45	
		Dispositions	-655,000.00		652,445.50
SBD91617	ALTRIA GROUP INC 3.875% DUE 09-16-2046				
		Acquisitions	1,155,000.00	-1,133,350.45	
		Dispositions	-310,000.00		313,324.80
SB7ZKNH1	ALTRIA GROUP INC 4.5% DUE 05-02-2043				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	120,000.00	-132,294.00	
		Dispositions	-535,000.00		569,531.35
SBG49060	ALTRIA GROUP INC 4.75% DUE 05-05-2021				
		Acquisitions	750,000.00	-822,465.00	
		Dispositions	-750,000.00		851,388.50
SBTC0KW2	AMAZON COM INC 4.95% DUE 12-05-2044				
		Acquisitions	485,000.00	-579,035.35	
		Dispositions	-275,000.00		317,303.25
SB8C3GC6	AMER MOVIL SAB DE CV 6.125% 03-30-2040				
		Acquisitions	85,000.00	-108,354.60	
		Dispositions	-290,000.00		326,649.90
SBYMTDY7	AMERICAN EXPRESS CREDIT TRANCHE # TR 00099 1.7% DUE 10-30-2019/09-29-2019				
		Acquisitions	230,000.00	-229,726.30	
		Dispositions	-230,000.00		228,003.60
SBXSSDR4	AMERICAN INTERNATIONAL GROUP INC BNDS 3.75% DUE 07-10-2025 REG				
		Acquisitions	435,000.00	-452,826.30	
		Dispositions	-435,000.00		451,721.40
SBXSSDV8	AMERICAN INTERNATIONAL GROUP INC BNDS 4.8% DUE 07-10-2045 REG				
		Acquisitions	95,000.00	-96,078.25	
		Dispositions	-255,000.00		246,899.40
SBYZ7360	AMERN INTL GROUP 3.3% DUE 03-01-2021				
		Acquisitions	145,000.00	-144,866.60	
		Dispositions	-145,000.00		148,424.90
SBVCN939	AMERN INTL GROUP 3.875% DUE 01-15-2035				
		Acquisitions	125,000.00	-111,946.40	
		Dispositions	-40,000.00		38,693.60
SBDFXRB4	AMERN INTL GROUP 3.9% DUE 04-01-2026				
		Acquisitions	1,350,000.00	-1,375,514.10	
		Dispositions	-620,000.00		647,316.00
SBVCJNG8	AMERN INTL GROUP 4.375% DUE 01-15-2055				
		Acquisitions	225,000.00	-212,740.70	
		Dispositions	-50,000.00		44,883.50
SBP8G1N4	AMERN INTL GROUP 4.5% DUE 07-16-2044				
		Acquisitions	485,000.00	-440,934.40	
		Dispositions	-570,000.00		568,751.05
SB606MS1	AMERN INTL GROUP 8.175% DUE 05-15-2068				
		Acquisitions	160,000.00	-201,503.95	
		Dispositions	-130,000.00		173,800.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C031162CG3	AMGEN INC 1.85% DUE 08-19-2021				
		Acquisitions	635,000.00	-634,307.85	
		Dispositions	-635,000.00		634,968.25
C031162BZ2	AMGEN INC 4.4% 05-01-2045				
		Acquisitions	245,000.00	-254,226.70	
		Dispositions	-365,000.00		377,077.05
SB8488H8	AMGEN INC 5.375 DUE 05-15-2043				
		Acquisitions	235,000.00	-253,160.80	
		Dispositions	-235,000.00		269,455.70
SB665LR4	ANADARKO PETE CO 6.2% DUE 03-15-2040				
		Acquisitions	195,000.00	-201,891.20	
		Dispositions	-145,000.00		159,220.15
SBD1DGJ9	ANADARKO PETE CORP 5.55% DUE 03-15-2026				
		Acquisitions	400,000.00	-398,760.00	
		Dispositions	-400,000.00		424,140.00
SBD5FGB3	ANADARKO PETE CORP 6.6% DUE 03-15-2046				
		Acquisitions	205,000.00	-204,842.15	
		Dispositions	-205,000.00		235,211.65
SBYMJF41	ANALOG DEVICES INC 3.5% DUE 12-05-2026				
		Acquisitions	455,000.00	-452,115.30	
		Dispositions	-455,000.00		452,301.85
SBYW89Z7	ANHEUSER-BUSCH 2.65% DUE 02-01-2021				
		Acquisitions	140,000.00	-139,561.80	
		Dispositions	-140,000.00		142,147.60
SBYMLHV8	ANHEUSER-BUSCH 3.3% DUE 02-01-2023				
		Acquisitions	1,460,000.00	-1,502,165.30	
		Dispositions	-1,460,000.00		1,539,174.05
SBYMLCJ1	ANHEUSER-BUSCH 3.65% DUE 02-01-2026				
		Acquisitions	3,320,000.00	-3,427,943.10	
		Dispositions	-1,555,000.00		1,618,116.05
SBYMLCL3	ANHEUSER-BUSCH 4.7% DUE 02-01-2036				
		Acquisitions	3,750,000.00	-3,913,571.60	
		Dispositions	-1,890,000.00		2,018,917.50
SBYMLGZ5	ANHEUSER-BUSCH 4.9% DUE 02-01-2046				
		Acquisitions	5,445,000.00	-5,684,771.30	
		Dispositions	-3,105,000.00		3,517,703.35
SB7SBDV5	ANHEUSER-BUSCH 4% DUE 01-17-2043				
		Acquisitions	130,000.00	-120,851.90	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-130,000.00		130,997.10
SBLSP6D8	ANTHEM INC 4.65% DUE 01-15-2043				
		Acquisitions	140,000.00	-146,938.45	
		Dispositions	-210,000.00		225,874.70
SBR17WN5	ANTHEM INC 4.65% DUE 08-15-2044				
		Acquisitions	535,000.00	-566,255.10	
		Dispositions	-75,000.00		82,506.75
SB2PJ2V0	AOL TIME WARNER 7.625% DUE 04-15-2031				
		Acquisitions	320,000.00	-380,876.80	
		Dispositions	-570,000.00		745,244.85
SBYN2GL3	APPLE INC 2.85% DUE 02-23-2023				
		Acquisitions	810,000.00	-809,133.30	
		Dispositions	-810,000.00		838,293.30
C037833BA7	APPLE INC 3.45% DUE 02-09-2045				
		Acquisitions	440,000.00	-392,830.70	
		Dispositions	-785,000.00		703,531.95
SBM6NV92	APPLE INC 3.45% DUE 05-06-2024				
		Acquisitions	680,000.00	-724,608.00	
		Dispositions	-805,000.00		871,526.15
C037833AL4	APPLE INC 3.85% DUE 05-04-2043				
		Acquisitions	330,000.00	-311,538.75	
		Dispositions	-495,000.00		488,605.80
SBXC5WP2	APPLE INC 4.375% DUE 05-13-2045				
		Acquisitions	145,000.00	-154,113.25	
		Dispositions	-145,000.00		153,227.30
SBM6NVC5	APPLE INC 4.45% DUE 05-06-2044				
		Acquisitions	670,000.00	-739,771.30	
		Dispositions	-235,000.00		252,344.15
C037833BX7	APPLE INC 4.65% DUE 02-23-2046				
		Acquisitions	1,200,000.00	-1,296,566.05	
		Dispositions	-700,000.00		804,564.15
SBYZJJZ7	ARIZONA PUB SVC CO 3.75% DUE 05-15-2046				
		Acquisitions	470,000.00	-468,651.10	
		Dispositions	-170,000.00		180,902.10
SBYMLXK9	AT&T INC 3.6% DUE 02-17-2023				
		Acquisitions	525,000.00	-542,466.50	
		Dispositions	-180,000.00		187,086.60
SBBP3ML5	AT&T INC 4.3 DUE 12-15-2042				

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	225,000.00	-228,849.75	
		Dispositions	-535,000.00		460,257.35
SBBNC4H4	AT&T INC 4.35% DUE 06-15-2045				
		Acquisitions	515,000.00	-439,202.75	
		Dispositions	-515,000.00		479,274.20
SBX2H2X6	AT&T INC 4.75% DUE 05-15-2046				
		Acquisitions	1,195,000.00	-1,164,084.05	
		Dispositions	-1,195,000.00		1,188,104.05
SBN8KPY1	AT&T INC 4.8% DUE 06-15-2044				
		Acquisitions	590,000.00	-555,920.50	
		Dispositions	-625,000.00		642,602.05
SBYY3Z96	AT&T INC 5.15% DUE 03-15-2042				
		Dispositions	-295,000.00		310,362.70
		Free Receipt	295,000.00		
SBYMLXL0	AT&T INC 5.65% DUE 02-15-2047				
		Acquisitions	535,000.00	-536,816.65	
		Dispositions	-535,000.00		572,141.00
SBD20MH9	AT&T INC 6% DUE 08-15-2040				
		Dispositions	-495,000.00		600,128.10
		Free Receipt	495,000.00		
SBD3BW59	BALT GAS & ELEC CO 2.4% DUE 08-15-2026				
		Acquisitions	130,000.00	-129,610.00	
		Dispositions	-130,000.00		128,269.70
SBD3BW60	BALT GAS & ELEC CO 3.5% DUE 08-15-2046				
		Acquisitions	235,000.00	-234,783.80	
		Dispositions	-235,000.00		234,649.85
SBYM7C77	BANK AMER CORP SR NT 2.625% DUE 04-19-2021/04-20-2017				
		Acquisitions	940,000.00	-945,150.20	
		Dispositions	-940,000.00		947,320.90
SBYNJTN7	BANK AMER CORP 2.625% DUE 10-19-2020				
		Acquisitions	580,000.00	-578,523.60	
		Dispositions	-1,050,000.00		1,048,391.40
SB799RR2	BANK AMER CORP 3.3% DUE 01-11-2023				
		Acquisitions	555,000.00	-546,497.75	
		Dispositions	-170,000.00		173,527.50
SBYM7C88	BANK AMER CORP 3.5% DUE 04-19-2026				
		Acquisitions	1,265,000.00	-1,267,630.45	
		Dispositions	-1,265,000.00		1,283,300.60

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYTHRP3	BANK AMER CORP 3.875% DUE 08-01-2025				
		Acquisitions	1,520,000.00	-1,547,915.95	
		Dispositions	-1,520,000.00		1,557,851.75
SBWX8CH5	BANK AMER CORP 3.95% DUE 04-21-2025				
		Acquisitions	325,000.00	-311,728.85	
		Dispositions	-775,000.00		750,130.50
SBFLRDC7	BANK AMER CORP 4.1% DUE 07-24-2023				
		Acquisitions	580,000.00	-624,149.60	
		Dispositions	-335,000.00		354,809.70
SBYYD0K2	BANK AMER CORP 4.45% DUE 03-03-2026				
		Acquisitions	805,000.00	-805,000.00	
		Dispositions	-805,000.00		815,156.05
SBWX8CG4	BANK AMER CORP 4.75% DUE 04-21-2045				
		Acquisitions	395,000.00	-359,647.25	
		Dispositions	-395,000.00		433,845.70
SBLBP4H5	BANK AMER CORP 4.875% DUE 04-01-2044				
		Acquisitions	365,000.00	-395,113.50	
		Dispositions	-435,000.00		471,072.85
SBVV2M05	BANK AMER CORP 4% DUE 01-22-2025				
		Acquisitions	265,000.00	-252,378.05	
		Dispositions	-660,000.00		661,521.25
SB60SJP3	BANK AMER CORP 5.625% DUE 07-01-2020				
		Acquisitions	740,000.00	-821,887.95	
		Dispositions	-740,000.00		826,749.20
SB8WR844	BANK AMER CORP 5.875% DUE 02-07-2042				
		Acquisitions	115,000.00	-142,421.75	
		Dispositions	-550,000.00		697,527.50
SBJ7B592	BANK AMER CORP 5% DUE 01-21-2044				
		Acquisitions	1,055,000.00	-1,142,369.55	
		Dispositions	-955,000.00		1,058,290.15
SBD0SY79	BARCLAYS PLC 4.375% DUE 01-12-2026				
		Acquisitions	1,280,000.00	-1,278,748.40	
		Dispositions	-1,055,000.00		1,073,642.35
SBD8ZS14	BARCLAYS PLC 5.2% DUE 05-12-2026				
		Acquisitions	1,210,000.00	-1,216,413.40	
		Dispositions	-1,100,000.00		1,129,080.85
SBD4F5R3	BAXALTA INC 5.25% DUE 06-23-2045				
		Acquisitions	545,000.00	-630,320.70	

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-90,000.00		107,224.20
SBP81YD0	BED BATH & BEYOND 5.165% DUE 08-01-2044				
		Acquisitions	320,000.00	-296,158.00	
		Dispositions	-120,000.00		116,846.40
SBDB5JF2	BERKSHIRE HATHAWAY 2.75% DUE 03-15-2023				
		Acquisitions	470,000.00	-475,067.90	
		Dispositions	-155,000.00		160,387.80
SBDB5JC9	BERKSHIRE HATHAWAY 3.125% DUE 03-15-2026				
		Acquisitions	1,275,000.00	-1,283,708.50	
		Dispositions	-1,275,000.00		1,296,042.55
SBVRZ8P5	BERKSHIRE HATHAWAY 3.5% DUE 02-01-2025				
		Acquisitions	150,000.00	-160,555.50	
		Dispositions	-535,000.00		545,352.25
SB96WCM8	BERKSHIRE HATHAWAY 4.3% DUE 05-15-2043				
		Acquisitions	155,000.00	-148,998.40	
		Dispositions	-120,000.00		135,498.00
SBD72430	BOEING CO 2.25% DUE 06-15-2026				
		Acquisitions	240,000.00	-237,259.20	
		Dispositions	-240,000.00		238,060.80
SBD72429	BOEING CO 3.375% DUE 06-15-2046				
		Acquisitions	250,000.00	-241,812.50	
		Dispositions	-90,000.00		91,526.40
SBCDPC76	BP CAP MKTS P L C 3.245 DUE 05-06-2022				
		Acquisitions	330,000.00	-339,929.70	
		Dispositions	-250,000.00		258,380.00
SBZ6CXN8	BP CAP MKTS P L C 3.723% DUE 11-28-2028				
		Acquisitions	205,000.00	-205,000.00	
		Dispositions	-205,000.00		206,285.35
SBYYYNP1	BROADRIDGE FINL 3.4% DUE 06-27-2026				
		Acquisitions	150,000.00	-149,383.50	
		Dispositions	-150,000.00		149,547.00
SBYX0316	BROWN FORMAN CORP 4.5% DUE 07-15-2045				
		Acquisitions	250,000.00	-262,077.50	
		Dispositions	-250,000.00		275,228.95
SBZCR7P7	BSTN PPTYS LTD 3.65% DUE 02-01-2026				
		Acquisitions	245,000.00	-244,284.60	
		Dispositions	-180,000.00		192,655.80
SBGDRY29	BUCKEYE PARTNERS L 5.85% DUE 11-15-2043				

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	95,000.00	-89,683.80	
		Dispositions	-280,000.00		253,103.20
SBKH0LR6	BURLINGTON NORTHN SANTA FE LLC 4.9 DUE 04-01-2044 REG				
		Acquisitions	235,000.00	-255,633.00	
		Dispositions	-235,000.00		285,731.80
SB7JN3H6	CAMPBELL SOUP CO 3.8% DUE 08-02-2042				
		Acquisitions	205,000.00	-186,565.65	
		Dispositions	-155,000.00		150,766.95
SB95BMR1	CATERPILLAR INC 3.803% DUE 08-15-2042				
		Acquisitions	110,000.00	-109,308.10	
		Dispositions	-110,000.00		107,107.00
SBMJJM94	CATERPILLAR INC 4.3% DUE 05-15-2044				
		Acquisitions	105,000.00	-104,196.75	
		Dispositions	-105,000.00		112,767.90
SBQ3FWZ2	CBS CORP NEW FIXED 4.9% DUE 08-15-2044				
		Acquisitions	275,000.00	-279,675.05	
		Dispositions	-275,000.00		298,146.75
C13645RAX2	CDN PAC RY CO NEW 6.125% DUE 09-15-2115				
		Acquisitions	215,000.00	-251,407.65	
		Dispositions	-160,000.00		198,290.00
SBYQH778	CELGENE CORP 3.875% DUE 08-15-2025				
		Acquisitions	600,000.00	-634,380.00	
		Dispositions	-395,000.00		417,953.45
SBMH4PS1	CELGENE CORP 4.625% DUE 05-15-2044				
		Acquisitions	880,000.00	-896,506.00	
		Dispositions	-760,000.00		789,045.70
SBYQH7V2	CELGENE CORP 5% DUE 08-15-2045				
		Acquisitions	565,000.00	-612,699.55	
		Dispositions	-370,000.00		405,210.50
SBYP8MX3	CHEVRON CORP NEW 2.1% DUE 05-16-2021				
		Acquisitions	835,000.00	-835,000.00	
		Dispositions	-835,000.00		844,751.55
SBB0R427	CHEVRON 2.355 DUE 12-05-2022				
		Acquisitions	300,000.00	-301,233.00	
		Dispositions	-790,000.00		795,449.10
C17275RBD3	CISCO SYS INC 2.2% DUE 02-28-2021				
		Acquisitions	325,000.00	-324,376.00	
		Dispositions	-325,000.00		326,917.50

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBVCVN64	CITIGROUP INC 1.8% DUE 02-05-2018				
		Acquisitions	545,000.00	-545,087.20	
		Dispositions	-830,000.00		827,053.50
SBD203K9	CITIGROUP INC 2.05% DUE 06-07-2019				
		Acquisitions	220,000.00	-219,885.60	
		Dispositions	-220,000.00		221,047.20
SBZ6C6M8	CITIGROUP INC 2.7% DUE 03-30-2021				
		Acquisitions	565,000.00	-564,186.40	
		Dispositions	-565,000.00		568,943.70
SBYNXLZ5	CITIGROUP INC 2.9% DUE 12-08-2021				
		Acquisitions	2,575,000.00	-2,570,596.75	
		Dispositions	-1,040,000.00		1,030,920.80
SBYP7GJ4	CITIGROUP INC 3.2% DUE 10-21-2026				
		Acquisitions	2,245,000.00	-2,228,682.45	
		Dispositions	-1,580,000.00		1,508,040.00
SBDGKYT1	CITIGROUP INC 3.4% DUE 05-01-2026				
		Acquisitions	270,000.00	-271,618.10	
		Dispositions	-270,000.00		274,779.60
SBYQ02K5	CITIGROUP INC 3.7% DUE 01-12-2026				
		Acquisitions	680,000.00	-680,410.75	
		Dispositions	-680,000.00		679,115.20
SBWH5X24	CITIGROUP INC 3.875% DUE 03-26-2025				
		Acquisitions	415,000.00	-395,499.15	
		Dispositions	-415,000.00		400,076.60
SBYYKP97	CITIGROUP INC 4.125% DUE 07-25-2028				
		Acquisitions	275,000.00	-274,150.25	
		Dispositions	-275,000.00		280,630.95
SBYZZP79	CITIGROUP INC 4.4% DUE 06-10-2025				
		Acquisitions	405,000.00	-404,088.75	
		Dispositions	-405,000.00		402,435.30
SBY7RB31	CITIGROUP INC 4.45% DUE 09-29-2027				
		Acquisitions	695,000.00	-691,914.50	
		Dispositions	-4,170,000.00		4,264,808.30
SBZ57VQ3	CITIGROUP INC 4.6% DUE 03-09-2026				
		Acquisitions	825,000.00	-842,636.55	
		Dispositions	-825,000.00		878,410.50
SBYTHPH1	CITIGROUP INC 4.65% DUE 07-30-2045				
		Acquisitions	960,000.00	-1,012,551.75	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-617,000.00		654,691.81
SBDGLCL2	CITIGROUP INC 4.75% DUE 05-18-2046				
		Acquisitions	1,860,000.00	-1,842,669.35	
		Dispositions	-1,130,000.00		1,150,887.70
SBMH4H85	CITIGROUP INC 5.3 DUE 05-06-2044				
		Acquisitions	635,000.00	-682,193.05	
		Dispositions	-476,000.00		515,289.32
SB8WTN90	CITIGROUP INC 5.875 DUE 01-30-2042				
		Acquisitions	375,000.00	-449,132.15	
		Dispositions	-375,000.00		452,700.05
SBG48R11	CITIGROUP INC 6.625 DUE 06-15-2032 BEO				
		Acquisitions	170,000.00	-210,772.70	
		Dispositions	-220,000.00		274,643.10
SBDC7485	CITIGROUP INC 6.675% DUE 09-13-2043				
		Acquisitions	555,000.00	-704,994.25	
		Dispositions	-555,000.00		701,778.80
SB8G0DX3	CITIGROUP INC 8.125% DUE 07-15-2039				
		Acquisitions	40,000.00	-63,763.20	
		Dispositions	-140,000.00		212,273.60
SBZ6VPH3	CITIZENS BK MEDIUM 2.55% DUE 05-13-2021				
		Acquisitions	445,000.00	-444,586.15	
		Dispositions	-445,000.00		445,841.05
SBD82Q99	CITIZENS FINL 2.375% DUE 07-28-2021				
		Acquisitions	320,000.00	-319,833.60	
		Dispositions	-320,000.00		321,062.40
SB614024	COMCAST CORP NEW SR NT 6.55 DUE 07-01-2039				
		Acquisitions	105,000.00	-144,703.65	
		Dispositions	-140,000.00		199,715.60
SBDFBGN3	COMCAST CORP NEW 1.625% DUE 01-15-2022				
		Acquisitions	445,000.00	-444,559.45	
		Dispositions	-445,000.00		441,914.20
SBDFL086	COMCAST CORP NEW 2.35% DUE 01-15-2027				
		Acquisitions	235,000.00	-234,718.00	
		Dispositions	-235,000.00		236,840.05
C20030NBS9	COMCAST CORP NEW 3.15% DUE 03-01-2026				
		Acquisitions	425,000.00	-446,493.85	
		Dispositions	-425,000.00		417,600.75
SBDFL075	COMCAST CORP NEW 3.2% DUE 07-15-2036				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	485,000.00	-481,668.05	
		Dispositions	-485,000.00		451,776.75
SBDFFPY9	COMCAST CORP NEW 3.4% DUE 07-15-2046				
		Acquisitions	585,000.00	-577,330.75	
		Dispositions	-490,000.00		464,931.95
C20030NBQ3	COMCAST CORP NEW 4.6% DUE 08-15-2045				
		Acquisitions	975,000.00	-1,092,105.10	
		Dispositions	-860,000.00		959,310.70
SB7TGGL0	COMCAST CORP NEW 4.65% DUE 07-15-2042				
		Acquisitions	125,000.00	-143,300.00	
		Dispositions	-575,000.00		622,360.75
C20030NAX9	COMCAST CORP NEW 6.4% DUE 05-15-2038				
		Acquisitions	280,000.00	-352,184.00	
		Dispositions	-195,000.00		262,689.75
SB108F49	COMCAST CORP NEW 6.45% DUE 03-15-2037				
		Acquisitions	325,000.00	-408,794.75	
		Dispositions	-300,000.00		414,720.00
SBJZD6Q4	CONS EDISON CO N Y INC 4.45 DUE 03-15-2044 REG				
		Acquisitions	125,000.00	-132,387.50	
		Dispositions	-130,000.00		142,411.10
C21688AAG7	COOPERATIEVE 1.375% DUE 08-09-2019				
		Acquisitions	250,000.00	-249,277.50	
		Dispositions	-250,000.00		246,115.00
SBDFM4F4	COOPERATIEVE 2.5% DUE 01-19-2021				
		Acquisitions	485,000.00	-483,913.60	
		Dispositions	-485,000.00		493,155.80
C21684AAF3	COOPERATIEVE 3.75% DUE 07-21-2026				
		Acquisitions	735,000.00	-733,333.85	
		Dispositions	-130,000.00		130,968.35
SBYW41H1	COOPERATIEVE 4.375% DUE 08-04-2025				
		Acquisitions	250,000.00	-261,074.00	
		Dispositions	-640,000.00		662,120.80
SB3B18J7	COVIDIEN INTL FIN 6.55% DUE 10-15-2037				
		Acquisitions	175,000.00	-232,793.75	
		Dispositions	-175,000.00		242,334.75
SBY4K810	CR SUISSE GROUP FDG GUERNSEY LTD 4.875% 05-15-2045				
		Acquisitions	575,000.00	-515,764.45	
		Dispositions	-575,000.00		556,406.45

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBYYCFP9	CR SUISSE GROUP 3.75% DUE 03-26-2025				
		Acquisitions	135,000.00	-125,028.90	
		Dispositions	-135,000.00		124,167.60
C225433AQ4	CR SUISSE GROUP 4.55% DUE 04-17-2026				
		Acquisitions	1,070,000.00	-1,070,533.25	
		Dispositions	-615,000.00		648,522.25
SBYMPHS7	CSX CORP 3.8% DUE 11-01-2046				
		Acquisitions	275,000.00	-273,435.25	
		Dispositions	-195,000.00		179,391.30
SBD72452	CVS HEALTH CORP BNDS 2.125% 05-25-2016				
		Acquisitions	65,000.00	-64,818.65	
		Dispositions	-65,000.00		64,641.20
SB90QJ25	CVS HEALTH CORP 2.75% DUE 12-01-2022				
		Acquisitions	55,000.00	-55,342.10	
		Dispositions	-55,000.00		56,727.00
SBD72463	CVS HEALTH CORP 2.875% DUE 06-01-2026				
		Acquisitions	160,000.00	-160,894.40	
		Dispositions	-160,000.00		164,753.60
SBYPFCH8	CVS HEALTH CORP 4.875% DUE 07-20-2035				
		Acquisitions	385,000.00	-436,228.75	
		Dispositions	-770,000.00		858,480.55
SBYPFC45	CVS HEALTH CORP 5.125% DUE 07-20-2045				
		Acquisitions	340,000.00	-417,122.20	
		Dispositions	-855,000.00		991,630.15
SBYNPP60	DANAHER CORP 4.375% DUE 09-15-2045				
		Acquisitions	375,000.00	-392,617.50	
		Dispositions	-375,000.00		422,983.25
SBYQ64Y1	DEERE JOHN CAP 2.8% DUE 03-06-2023				
		Acquisitions	1,075,000.00	-1,090,144.70	
		Dispositions	-1,075,000.00		1,101,142.15
SBYYSPQ8	DEUTSCHE BK AG 2.85% DUE 05-10-2019				
		Acquisitions	225,000.00	-224,993.25	
		Dispositions	-225,000.00		224,205.75
SB3Q7W10	DEVON ENERGY CORP 5.6 DUE 07-15-2041				
		Acquisitions	425,000.00	-365,519.20	
		Dispositions	-945,000.00		776,541.85
SBYQ1PX2	DEVON ENERGY CORP 5.85% DUE 12-15-2025				
		Acquisitions	130,000.00	-123,670.30	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-325,000.00		305,239.35
SBD96MQ9	DIAMOND 1 FIN CORP 4.42% DUE 06-15-2021				
		Acquisitions	795,000.00	-794,769.45	
		Dispositions	-795,000.00		795,341.85
SBD96MG9	DIAMOND 1 FIN CORP 5.45% DUE 06-15-2023				
		Acquisitions	185,000.00	-184,920.45	
		Dispositions	-185,000.00		185,079.55
SB82CGN4	DIRECTV HLDGS LLC 5.15% DUE 03-15-2042				
		Acquisitions	295,000.00	-276,828.00	
		Dispositions	-295,000.00		295.00
SBDB5DP0	DISCOVERY 4.9% DUE 03-11-2026				
		Acquisitions	395,000.00	-393,550.35	
		Dispositions	-395,000.00		393,998.05
SBDGTNN5	DISNEY WALT CO NEW .875% DUE 07-12-2019				
		Acquisitions	790,000.00	-787,345.60	
		Dispositions	-640,000.00		634,592.00
SBD381P3	DUKE ENERGY CORP 3.75% DUE 09-01-2046				
		Acquisitions	315,000.00	-314,823.60	
		Dispositions	-315,000.00		292,661.10
SBDB6BJ3	DUKE ENERGY CORP 4.8% DUE 12-15-2045				
		Acquisitions	115,000.00	-128,295.15	
		Dispositions	-115,000.00		129,791.30
SBZ587C4	DUKE ENERGY 2.5% DUE 03-15-2023				
		Acquisitions	445,000.00	-450,096.85	
		Dispositions	-445,000.00		442,792.80
SBZ58832	DUKE ENERGY 3.875% DUE 03-15-2046				
		Acquisitions	215,000.00	-214,241.05	
		Dispositions	-105,000.00		114,464.70
SB7154K0	ECOLAB INC 5.5% DUE 12-08-2041				
		Acquisitions	160,000.00	-199,932.75	
		Dispositions	-160,000.00		198,985.60
SB5L3ZK7	ENERGY TRANSFER 6.5% DUE 02-01-2042				
		Acquisitions	325,000.00	-301,002.65	
		Dispositions	-395,000.00		430,580.65
SBYV01X6	EOG RES INC 4.15% DUE 01-15-2026				
		Acquisitions	535,000.00	-535,558.50	
		Dispositions	-535,000.00		576,270.15
SBYVNBN5	EOG RES INC 5.1% DUE 01-15-2036				

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	620,000.00	-620,710.20	
		Dispositions	-175,000.00		200,817.75
SBD073L3	EXELON CORP 3.4% DUE 04-15-2026				
		Acquisitions	395,000.00	-394,763.00	
		Dispositions	-395,000.00		410,254.90
SBD073T1	EXELON CORP 4.45% DUE 04-15-2046				
		Acquisitions	110,000.00	-109,439.00	
		Dispositions	-110,000.00		114,558.40
C30219GAN8	EXPRESS SCRIPTS 3.4% DUE 03-01-2027				
		Acquisitions	195,000.00	-197,433.60	
		Dispositions	-195,000.00		194,469.60
SBZ5YJZ9	EXPRESS SCRIPTS 3% DUE 07-15-2023				
		Acquisitions	465,000.00	-464,586.15	
		Dispositions	-465,000.00		470,125.85
SBYYLHT4	EXPRESS SCRIPTS 4.5% DUE 02-25-2026				
		Acquisitions	170,000.00	-169,093.90	
		Dispositions	-120,000.00		130,740.00
SB8KMSL8	EXPRESS SCRIPTS 6.125% DUE 11-15-2041				
		Acquisitions	175,000.00	-178,988.25	
		Dispositions	-520,000.00		642,661.18
SBYYD0M4	EXXON MOBIL CORP 2.222% DUE 03-01-2021				
		Acquisitions	650,000.00	-650,000.00	
		Dispositions	-650,000.00		662,473.50
SBW9RTS6	EXXON MOBIL CORP 3.567% DUE 03-06-2045				
		Acquisitions	140,000.00	-135,336.60	
		Dispositions	-380,000.00		386,514.70
SBYYD0G8	EXXON MOBIL CORP 4.114% DUE 03-01-2046				
		Acquisitions	715,000.00	-737,512.65	
		Dispositions	-220,000.00		241,128.10
SBHZFZM5	FEDEX CORP BOND 5.1 DUE 01-15-2044				
		Acquisitions	215,000.00	-254,324.00	
		Dispositions	-235,000.00		266,151.00
SBV9HFD6	FEDEX CORP 3.2% DUE 02-01-2025				
		Acquisitions	405,000.00	-395,105.85	
		Dispositions	-405,000.00		410,005.80
SBD9Y0C5	FEDEX CORP 3.25% DUE 04-01-2026				
		Acquisitions	830,000.00	-831,251.30	
		Dispositions	-830,000.00		876,591.00

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8DMKV9	FEDEX CORP 3.875% DUE 08-01-2042				
		Acquisitions	150,000.00	-133,633.50	
		Dispositions	-215,000.00		218,113.20
SBV9HFG9	FEDEX CORP 4.1% DUE 02-01-2045				
		Acquisitions	135,000.00	-129,628.35	
		Dispositions	-305,000.00		293,933.25
SBD9Y0B4	FEDEX CORP 4.55% DUE 04-01-2046				
		Acquisitions	295,000.00	-297,749.10	
		Dispositions	-295,000.00		306,967.15
SBD0S479	FID NATL 2.25% DUE 08-15-2021				
		Acquisitions	355,000.00	-354,950.30	
		Dispositions	-355,000.00		355,266.25
SBDCXGD2	FID NATL 3% DUE 08-15-2026				
		Acquisitions	255,000.00	-252,172.05	
		Dispositions	-255,000.00		252,628.50
C316773CH1	FIFTH 3RD BANCORP 8.25% DUE 03-01-2038				
		Acquisitions	60,000.00	-91,020.00	
		Dispositions	-310,000.00		443,473.80
SB94Q0Z1	FORD MTR CO DEL NT 4.75 01-15-2043				
		Acquisitions	1,630,000.00	-1,660,140.80	
		Dispositions	-1,015,000.00		1,041,595.55
S2445643	FORD MTR CO DEL 7.45% DUE 07-16-2031				
		Acquisitions	510,000.00	-633,335.10	
		Dispositions	-255,000.00		317,778.45
SBD0SY80	FORD MTR CR CO LLC 4.389% DUE 01-08-2026				
		Acquisitions	200,000.00	-200,000.00	
		Dispositions	-200,000.00		199,914.00
SBFN0GD6	FORD MTR CR CO LLC 5.875 DUE 08-02-2021				
		Acquisitions	525,000.00	-577,946.25	
		Dispositions	-365,000.00		419,218.65
C999501AX7	GAC METLIFE CONT.#163 RT. N/A MAT N/A				
		Acquisitions	254,460.66	-254,460.66	
		Dispositions	-254,436.38		254,436.38
SBYNKFJ8	GE CAP INTL FDG CO 3.373% DUE 11-15-2025				
		Acquisitions	75,000.00	-80,609.25	
		Dispositions	-417,000.00		422,158.29
SBYNWXZ6	GE CAP INTL FDG CO 4.418% DUE 11-15-2035				
		Acquisitions	500,000.00	-573,330.00	

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-425,000.00		477,073.10
SBD9Q7B9	GEN MOTORS FINL CO 3.2% DUE 07-06-2021				
		Acquisitions	140,000.00	-139,743.80	
		Dispositions	-140,000.00		142,184.00
SBWTXKZ8	GEN MOTORS FINL FIXED 3.45% DUE 04-10-2022				
		Acquisitions	530,000.00	-530,409.55	
		Dispositions	-530,000.00		541,095.50
SBYZ5N31	GEN MTRS FINL CO FIXED 4.3% DUE 07-13-2025				
		Acquisitions	230,000.00	-239,706.00	
		Dispositions	-230,000.00		238,013.20
SBD8BSG7	GEN MTRS FINL CO 3.7% DUE 05-09-2023				
		Acquisitions	360,000.00	-359,933.00	
		Dispositions	-360,000.00		365,086.80
SBD31B18	GEN MTRS FINL CO 4.2% DUE 03-01-2021				
		Acquisitions	625,000.00	-643,633.75	
		Dispositions	-625,000.00		655,838.50
SBD31B07	GEN MTRS FINL CO 5.25% DUE 03-01-2026				
		Acquisitions	200,000.00	-199,278.00	
		Dispositions	-200,000.00		217,997.05
SBG48W16	GENERAL ELEC CAP CORP TRANCHE # TR 005286.75% DUE 03-15-2032/03-20-2002				
		Acquisitions	230,000.00	-303,311.00	
		Dispositions	-310,000.00		430,733.80
SB7T4807	GENERAL ELEC CO 4.125% DUE 10-09-2042				
		Acquisitions	65,000.00	-64,364.30	
		Dispositions	-155,000.00		164,857.45
SBKRVHN7	GENERAL ELEC CO 4.5% DUE 03-11-2044				
		Acquisitions	185,000.00	-206,947.05	
		Dispositions	-60,000.00		62,929.80
SBTN21T1	GENERAL MTRS CO 5% DUE 04-01-2035				
		Acquisitions	105,000.00	-96,938.10	
		Dispositions	-335,000.00		324,811.50
SBP8Y7Q3	GENERAL MTRS CO 6.25% DUE 10-02-2043				
		Acquisitions	535,000.00	-567,536.90	
		Dispositions	-85,000.00		84,751.80
SBYXXXG0	GENERAL MTRS CO 6.6% DUE 04-01-2036				
		Acquisitions	950,000.00	-991,235.15	
		Dispositions	-365,000.00		440,978.40
SBYND5P3	GILEAD SCIENCES 2.5% DUE 09-01-2023				

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
			Acquisitions	360,000.00	-358,725.60	
			Dispositions	-360,000.00		363,668.40
	SBYND5S6	GILEAD SCIENCES 2.95% DUE 03-01-2027				
			Acquisitions	310,000.00	-313,539.55	
			Dispositions	-310,000.00		305,009.00
	SBK1KRL8	GILEAD SCIENCES 3.7% DUE 04-01-2024				
			Acquisitions	465,000.00	-496,462.30	
			Dispositions	-160,000.00		171,465.60
	SBSNBJW0	GILEAD SCIENCES 4.5% DUE 02-01-2045				
			Acquisitions	375,000.00	-405,516.05	
			Dispositions	-485,000.00		531,911.10
	C375558BG7	GILEAD SCIENCES 4.6% DUE 09-01-2035				
			Acquisitions	125,000.00	-129,671.25	
			Dispositions	-300,000.00		323,484.60
	C375558BD4	GILEAD SCIENCES 4.75% DUE 03-01-2046				
			Acquisitions	565,000.00	-589,620.30	
			Dispositions	-825,000.00		900,906.85
	SBKHDRD3	GILEAD SCIENCES 4.8% DUE 04-01-2044				
			Acquisitions	495,000.00	-538,745.75	
			Dispositions	-395,000.00		438,549.55
**	SBG48W61	GOLDMAN SACHS CAP I 6.345 DUE 02-15-2034 BEO				
			Acquisitions	365,000.00	-420,637.45	
			Dispositions	-165,000.00		191,876.25
**	SB8W7XD8	GOLDMAN SACHS GROUP INC SR NT 3.625 01-22-2023				
			Acquisitions	300,000.00	-304,908.00	
			Dispositions	-300,000.00		311,127.00
**	SBBM55R1	GOLDMAN SACHS GROUP INC 6 45 05 01 6.45 DUE 05-01-2036 BEO				
			Acquisitions	190,000.00	-215,220.60	
			Dispositions	-515,000.00		633,910.30
**	SBDCFW85	GOLDMAN SACHS 2.35% DUE 11-15-2021				
			Acquisitions	1,850,000.00	-1,847,121.95	
			Dispositions	-290,000.00		280,490.90
**	SBZ00DN8	GOLDMAN SACHS 2.625% DUE 04-25-2021				
			Acquisitions	675,000.00	-674,561.25	
			Dispositions	-675,000.00		676,463.95
**	SBYYLHY9	GOLDMAN SACHS 2.875% DUE 02-25-2021				
			Acquisitions	825,000.00	-823,630.50	
			Dispositions	-825,000.00		827,604.25

** Represents party-in-interest as defined by ERISA

See accompanying independent auditor's report and notes to financial statements

Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
**	SBCDL3R1	GOLDMAN SACHS 2.9% DUE 07-19-2018				
			Acquisitions	1,605,000.00	-1,641,416.50	
			Dispositions	-1,605,000.00		1,642,858.95
**	SBVL8RW0	GOLDMAN SACHS 3.5% DUE 01-23-2025				
			Acquisitions	1,595,000.00	-1,614,751.30	
			Dispositions	-1,310,000.00		1,318,102.25
**	SBYYLHX8	GOLDMAN SACHS 3.75% DUE 02-25-2026				
			Acquisitions	495,000.00	-495,133.35	
			Dispositions	-495,000.00		500,202.55
**	SBY58YX7	GOLDMAN SACHS 3.75% DUE 05-22-2025				
			Acquisitions	205,000.00	-205,705.20	
			Dispositions	-205,000.00		205,779.00
**	SBP4W4K4	GOLDMAN SACHS 3.85% DUE 07-08-2024				
			Acquisitions	475,000.00	-494,028.50	
			Dispositions	-475,000.00		496,588.75
**	SBYNZDD3	GOLDMAN SACHS 4.75% DUE 10-21-2045				
			Acquisitions	895,000.00	-943,247.00	
			Dispositions	-1,905,000.00		2,021,091.85
**	SBP4W4X7	GOLDMAN SACHS 4.8% DUE 07-08-2044				
			Acquisitions	105,000.00	-104,899.20	
			Dispositions	-305,000.00		332,063.00
**	SBY58YT3	GOLDMAN SACHS 5.15% DUE 05-22-2045				
			Acquisitions	310,000.00	-315,461.50	
			Dispositions	-515,000.00		528,684.25
**	SB8BDN60	GOLDMAN SACHS 5.25% DUE 07-27-2021				
			Acquisitions	380,000.00	-427,306.20	
			Dispositions	-730,000.00		822,420.40
**	SB920YP3	GOLDMAN SACHS 6.25% DUE 02-01-2041				
			Acquisitions	405,000.00	-524,680.00	
			Dispositions	-855,000.00		1,077,632.75
**	SB9CMD63	GOLDMAN SACHS 6.75% DUE 10-01-2037				
			Acquisitions	800,000.00	-993,655.00	
			Dispositions	-825,000.00		995,113.30
	SBMJ71M4	GRUPO TELEVISA S A 5% DUE 05-13-2045				
			Acquisitions	300,000.00	-279,469.20	
			Dispositions	-75,000.00		70,413.00
	SBZ1N3D6	GRUPO TELEVISA S A 6.125% DUE 01-31-2046				
			Acquisitions	290,000.00	-284,497.35	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-175,000.00		204,234.80
SBCR5XW4	HALLIBURTON CO 4.75% DUE 08-01-2043				
		Acquisitions	650,000.00	-624,648.00	
		Dispositions	-450,000.00		476,609.00
SBYNR4N6	HALLIBURTON CO 5% DUE 11-15-2045				
		Acquisitions	455,000.00	-490,922.10	
		Dispositions	-595,000.00		600,186.30
SBYZ7VM2	HARLEY-DAVIDSON INC 4.625% 07-28-2045				
		Acquisitions	185,000.00	-184,813.15	
		Dispositions	-160,000.00		175,352.00
SBFN0JK4	HARTFORD FINL SVCS 6.1% DUE 10-01-2041				
		Acquisitions	85,000.00	-104,636.70	
		Dispositions	-80,000.00		95,592.80
SB66F4L9	HASBRO INC 6.35% DUE 03-15-2040				
		Acquisitions	195,000.00	-236,265.90	
		Dispositions	-170,000.00		200,766.60
SBD7XTG1	HESS CORP 4.3% DUE 04-01-2027				
		Acquisitions	310,000.00	-309,349.00	
		Dispositions	-310,000.00		294,087.70
SBD8CP47	HOME DEPOT INC 2.125% DUE 09-15-2026				
		Acquisitions	235,000.00	-232,424.40	
		Dispositions	-235,000.00		229,757.15
SBD886H5	HOME DEPOT INC 3.5% DUE 09-15-2056				
		Acquisitions	595,000.00	-579,563.50	
		Dispositions	-275,000.00		263,675.50
SBYNN304	HOME DEPOT INC 3% DUE 04-01-2026				
		Acquisitions	190,000.00	-188,776.40	
		Dispositions	-190,000.00		190,462.95
SBDD8L53	HOME DEPOT INC 4.875 DUE 02-15-2044				
		Acquisitions	175,000.00	-196,544.25	
		Dispositions	-140,000.00		171,858.40
SBDF0WW1	HOSPITALITY PPTYS 5.25% DUE 02-15-2026				
		Acquisitions	155,000.00	-151,303.25	
		Dispositions	-155,000.00		163,244.45
SBFN0JF9	HSBC HLDGS PLC 6.5% DUE 09-15-2037				
		Acquisitions	195,000.00	-228,791.95	
		Dispositions	-195,000.00		227,588.40
SB39GHK8	HSBC HLDGS PLC 6.8% DUE 06-01-2038				

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	155,000.00	-171,657.85	
		Dispositions	-155,000.00		191,893.10
SBZ3CM23	HUNTINGTON 3.15% DUE 03-14-2021				
		Acquisitions	645,000.00	-643,729.35	
		Dispositions	-645,000.00		667,178.20
SBDDQWX2	INTEL CORP 4.1% DUE 05-19-2046				
		Acquisitions	430,000.00	-427,574.80	
		Dispositions	-120,000.00		123,386.40
C458140AK6	INTEL CORP 4.8% DUE 10-01-2041				
		Acquisitions	155,000.00	-185,246.70	
		Dispositions	-155,000.00		182,771.35
SBYN2GW4	INTL BUSINESS 3.45% DUE 02-19-2026				
		Acquisitions	300,000.00	-298,995.00	
		Dispositions	-300,000.00		303,585.00
SBYN2GX5	INTL BUSINESS 4.7% DUE 02-19-2046				
		Acquisitions	345,000.00	-342,364.20	
		Dispositions	-140,000.00		162,780.80
SBD1FC31	INTL PAPER CO 3% DUE 02-15-2027				
		Acquisitions	315,000.00	-313,815.60	
		Dispositions	-315,000.00		308,602.35
SBYYGTQ0	INTL PAPER CO 4.4% DUE 08-15-2047				
		Acquisitions	850,000.00	-841,409.60	
		Dispositions	-455,000.00		430,063.30
SBN70J06	INTL PAPER CO 4.8% DUE 06-15-2044				
		Acquisitions	205,000.00	-216,795.70	
		Dispositions	-175,000.00		185,309.25
SBYZDKL2	J P MORGAN CHASE & CO 2.295% 08-15-2021				
		Acquisitions	2,540,000.00	-2,547,951.65	
		Dispositions	-765,000.00		762,611.75
SBD319Y7	JOHNSON & JOHNSON 3.7% DUE 03-01-2046				
		Acquisitions	335,000.00	-332,775.60	
		Dispositions	-335,000.00		335,736.70
SBDCN728	JP MORGAN CHASE BK 1.45% DUE 09-21-2018				
		Acquisitions	355,000.00	-354,833.15	
		Dispositions	-355,000.00		355,056.80
SBYP3G69	JPMORGAN CHASE 3.9% 07-15-2025				
		Acquisitions	110,000.00	-112,068.00	
		Dispositions	-110,000.00		113,245.00

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB90J106	JPMORGAN CHASE & CO FORMERLY J P MORGAN NT 6.4 DUE 05-15-2038 BEO				
		Acquisitions	50,000.00	-60,965.50	
		Dispositions	-215,000.00		282,943.40
SBZ027V0	JPMORGAN CHASE & CO 4.25% 10-01-2027				
		Acquisitions	340,000.00	-344,306.00	
		Dispositions	-1,550,000.00		1,611,196.65
SB7FRRL4	JPMORGAN CHASE & CO 5.4 SNR NTS DUE 01-06-2042 USD1000				
		Acquisitions	70,000.00	-87,746.40	
		Dispositions	-50,000.00		56,574.50
SB9L4NM6	JPMORGAN CHASE & CO 5.5 DUE 10-15-2040				
		Acquisitions	185,000.00	-222,777.05	
		Dispositions	-170,000.00		206,854.50
SBD035K4	JPMORGAN CHASE & CO 5.625 DUE 08-16-2043 REG				
		Acquisitions	265,000.00	-303,615.05	
		Dispositions	-265,000.00		315,925.35
SBVGC404	JPMORGAN CHASE & FIXED 2.25% DUE 01-23-2020				
		Acquisitions	155,000.00	-157,404.05	
		Dispositions	-155,000.00		157,807.05
SBYZ2G88	JPMORGAN CHASE & 2.4% DUE 06-07-2021				
		Acquisitions	1,915,000.00	-1,923,012.90	
		Dispositions	-1,915,000.00		1,946,907.30
SBD31B96	JPMORGAN CHASE & 2.55% DUE 03-01-2021				
		Acquisitions	2,165,000.00	-2,188,036.35	
		Dispositions	-1,510,000.00		1,526,638.40
SBDGLCJ0	JPMORGAN CHASE & 2.7% DUE 05-18-2023				
		Acquisitions	520,000.00	-518,289.20	
		Dispositions	-520,000.00		514,624.40
SBYQ27Q2	JPMORGAN CHASE & 2.75% DUE 06-23-2020				
		Acquisitions	1,995,000.00	-2,004,158.30	
		Dispositions	-1,930,000.00		1,945,362.80
SBDHBPY5	JPMORGAN CHASE & 2.95% DUE 10-01-2026				
		Acquisitions	4,390,000.00	-4,383,565.65	
		Dispositions	-2,995,000.00		2,998,043.20
SBYZ2G99	JPMORGAN CHASE & 3.2% DUE 06-15-2026				
		Acquisitions	3,010,000.00	-3,006,685.50	
		Dispositions	-1,130,000.00		1,147,492.25
SBD3J3C7	JPMORGAN CHASE & 3.3% DUE 04-01-2026				
		Acquisitions	1,720,000.00	-1,721,792.80	

** Represents party-in-interest as defined by ERISA

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-1,720,000.00		1,759,606.05
SB4MPT58	JPMORGAN CHASE & 4.25% DUE 10-15-2020				
		Acquisitions	1,725,000.00	-1,836,969.75	
		Dispositions	-1,725,000.00		1,870,982.75
SBYR8P32	JPMORGAN CHASE & 4.95% DUE 06-01-2045				
		Acquisitions	100,000.00	-97,043.00	
		Dispositions	-100,000.00		97,992.00
SBD31BB8	KELLOGG CO 4.5% DUE 04-01-2046				
		Acquisitions	410,000.00	-405,912.30	
		Dispositions	-220,000.00		232,669.95
SBDGSFT2	KEYBANK NATL ASSN 2.35% DUE 03-08-2019				
		Acquisitions	250,000.00	-249,877.50	
		Dispositions	-250,000.00		253,002.50
SBD96MR0	KEYBANK NATL ASSN 3.4% DUE 05-20-2026				
		Acquisitions	250,000.00	-249,390.00	
		Dispositions	-120,000.00		120,132.00
SBWGCKR0	KIMCO RLTY CORP RT 4.25% DUE 04-01-2045				
		Acquisitions	85,000.00	-79,752.10	
		Dispositions	-35,000.00		33,134.15
SBDS6CH1	KIMCO RLTY CORP 4.125% DUE 12-01-2046				
		Acquisitions	365,000.00	-362,532.90	
		Dispositions	-85,000.00		78,413.35
SBDCG028	KROGER CO 1.5% DUE 09-30-2019				
		Acquisitions	495,000.00	-494,757.45	
		Dispositions	-250,000.00		245,950.00
SBYQ6DY4	LAM RESH CORP 3.9% DUE 06-15-2026				
		Acquisitions	990,000.00	-987,465.60	
		Dispositions	-990,000.00		988,772.40
SBYSVN69	LEGG MASON INC 4.75% DUE 03-15-2026				
		Acquisitions	765,000.00	-770,786.50	
		Dispositions	-155,000.00		167,731.40
SBD2NL04	LLOYDS BANKING GROUP PLC 3.1% 07-06-2021				
		Acquisitions	460,000.00	-459,659.60	
		Dispositions	-460,000.00		467,709.60
C539439AH2	LLOYDS BANKING GROUP PLC 4.582% 12-10-2025 BEO				
		Acquisitions	820,000.00	-815,080.00	
		Dispositions	-700,000.00		699,574.00
SBDB69Y4	LOCKHEED MARTIN 2.5% DUE 11-23-2020				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	350,000.00	-350,234.50	
		Dispositions	-350,000.00		351,305.50
SBVYPPC4	LOCKHEED MARTIN 3.8% DUE 03-01-2045				
		Acquisitions	255,000.00	-258,925.90	
		Dispositions	-95,000.00		97,782.55
SBDB6944	LOCKHEED MARTIN 4.7% DUE 05-15-2046				
		Acquisitions	800,000.00	-912,137.95	
		Dispositions	-525,000.00		574,664.85
SBYP9PL5	LOWES COS INC 2.5% DUE 04-15-2026				
		Acquisitions	265,000.00	-263,791.60	
		Dispositions	-265,000.00		263,399.40
SBD0SCD1	LOWES COS INC 3.7% DUE 04-15-2046				
		Acquisitions	565,000.00	-573,246.65	
		Dispositions	-565,000.00		549,247.60
SB7142T2	LOWES COS INC 5.125% DUE 11-15-2041				
		Acquisitions	95,000.00	-122,311.55	
		Dispositions	-95,000.00		115,118.15
SBD0BTV7	MARSH & MCLENNAN 3.3% DUE 03-14-2023				
		Acquisitions	380,000.00	-379,574.40	
		Dispositions	-380,000.00		396,708.60
SBD6KVX4	MASTERCARD INC 2% DUE 11-21-2021				
		Acquisitions	530,000.00	-526,973.70	
		Dispositions	-530,000.00		523,038.40
SB2Q1JQ9	MCDONALDS CORP MEDIUM TERM NTS BOOK ENTRY SR NT 6.3% DUE 03-01-2038				
		Acquisitions	75,000.00	-99,987.00	
		Dispositions	-280,000.00		361,175.65
SBYWKW76	MCDONALDS CORP 3.7% DUE 01-30-2026				
		Acquisitions	265,000.00	-271,857.70	
		Dispositions	-470,000.00		493,734.00
SBYWKW98	MCDONALDS CORP 4.875% DUE 12-09-2045				
		Acquisitions	130,000.00	-131,029.60	
		Dispositions	-495,000.00		525,131.35
SBYMLDL0	MEDTRONIC INC 4.625% DUE 03-15-2045				
		Acquisitions	700,000.00	-788,956.80	
		Dispositions	-1,075,000.00		1,139,705.80
SBVG7BV9	MERCK & CO INC NEW 3.7% DUE 02-10-2045				
		Acquisitions	105,000.00	-105,509.25	
		Dispositions	-495,000.00		508,138.65

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Appendix B

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

	Asset ID	Security Description			Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
	SB9D69N3	MERCK & CO INC 4.15% DUE 05-18-2043						
					Acquisitions	320,000.00	-350,691.20	
					Dispositions	-150,000.00		171,139.50
	SB83ZNK8	MERRILL LYNCH & CO 6.11% DUE 01-29-2037						
					Acquisitions	140,000.00	-164,687.30	
					Dispositions	-360,000.00		440,620.20
	SBBMT8T6	MERRILL LYNCH & CO 7.75% DUE 05-14-2038						
					Acquisitions	175,000.00	-247,030.70	
					Dispositions	-35,000.00		48,353.20
**	SBDD9HH0	METLIFE INC SR NT 4.368% SER D STEP UP	09-15-2023	09-11-2015				
					Acquisitions	95,000.00	-105,710.30	
					Dispositions	-195,000.00		206,748.75
**	SBW9JZD9	METLIFE INC 4.05% DUE 03-01-2045						
					Acquisitions	55,000.00	-53,925.85	
					Dispositions	-100,000.00		95,066.00
**	SB815P82	METLIFE INC 4.125% DUE 08-13-2042						
					Acquisitions	75,000.00	-67,727.25	
					Dispositions	-50,000.00		50,515.00
**	SBYQ29M2	METLIFE INC 4.6% DUE 05-13-2046			**			
					Acquisitions	275,000.00	-276,899.15	
					Dispositions	-340,000.00		356,563.35
**	SB019VJ1	METLIFE INC 6.375% DUE 06-15-2034						
					Acquisitions	360,000.00	-457,344.60	
					Dispositions	-210,000.00		264,615.30
**	SB1L8RP3	METLIFE INC 6.4% DUE 12-15-2036						
					Acquisitions	425,000.00	-441,845.00	
					Dispositions	-155,000.(**		173,809.25
	C594918AX2	MICROSOFT CORP	4.875	12-15-2043				
					Acquisitions	140,000.00	-165,376.40	
					Dispositions	-140,000.00		168,203.00
	SBVWXT95	MICROSOFT CORP 2.375% DUE 02-12-2022						
					Acquisitions	246,000.00	-246,769.98	
					Dispositions	-910,000.00		923,629.90
	C594918BR4	MICROSOFT CORP 2.4% DUE 08-08-2026						
					Acquisitions	160,000.00	-159,702.40	
					Dispositions	-160,000.00		152,742.40
	C594918BT0	MICROSOFT CORP 3.7% DUE 08-08-2046						
					Acquisitions	2,215,000.00	-2,242,873.65	

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-560,000.00		551,801.10
SBVWXT40	MICROSOFT CORP 3.75% DUE 02-12-2045				
		Acquisitions	190,000.00	-189,891.70	
		Dispositions	-190,000.00		189,696.00
C594918BU7	MICROSOFT CORP 3.95% DUE 08-08-2056				
		Acquisitions	1,135,000.00	-1,101,330.95	
		Dispositions	-665,000.00		678,107.45
SBVWXT51	MICROSOFT CORP 4% DUE 02-12-2055				
		Acquisitions	155,000.00	-136,455.80	
		Dispositions	-245,000.00		248,890.95
SB1FTHZ2	MIDAMERICAN ENERGY 6.125% DUE 04-01-2036				
		Acquisitions	95,000.00	-123,477.20	
		Dispositions	-295,000.00		390,158.15
SBYW4290	MITSUBISHI UFJ FINANCIAL GROUP INC 2.19%BNDS 09-13-2021				
		Acquisitions	1,275,000.00	-1,274,605.20	
		Dispositions	-410,000.00		406,252.60
SBD6R414	MITSUBISHI UFJ FINANCIAL GROUP INC 3.85%03-01-2026				
		Acquisitions	1,895,000.00	-1,899,702.70	
		Dispositions	-1,895,000.00		1,986,650.80
SBD6R3T5	MITSUBISHI UFJ 2.95% DUE 03-01-2021				
		Acquisitions	1,045,000.00	-1,047,114.45	
		Dispositions	-1,045,000.00		1,076,627.80
SBYT4Q57	MOLSON COORS 2.1% DUE 07-15-2021				
		Acquisitions	1,385,000.00	-1,387,606.00	
		Dispositions	-410,000.00		412,370.20
SBYT4Q68	MOLSON COORS 3% DUE 07-15-2026				
		Acquisitions	260,000.00	-262,691.00	
		Dispositions	-260,000.00		245,728.60
SBYT4Q46	MOLSON COORS 4.2% DUE 07-15-2046				
		Acquisitions	920,000.00	-948,467.40	
		Dispositions	-625,000.00		627,187.05
SBP4W578	MONSANTO CO NEW 4.7% DUE 07-15-2064				
		Acquisitions	45,000.00	-36,743.85	
		Dispositions	-225,000.00		206,790.50
SBRJZZY3	MORGAN STANLEY FIXED 3.7% DUE 10-23-2024				
		Acquisitions	905,000.00	-916,800.60	
		Dispositions	-1,470,000.00		1,509,338.00
SBYPCT01	MORGAN STANLEY 2.5% DUE 04-21-2021				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	800,000.00	-797,312.00	
		Dispositions	-800,000.00		802,919.60
SBZ3FFF6	MORGAN STANLEY 2.625% DUE 11-17-2021				
		Acquisitions	3,185,000.00	-3,169,329.45	
		Dispositions	-330,000.00		326,558.10
SBYV6G28	MORGAN STANLEY 3.125% DUE 07-27-2026				
		Acquisitions	830,000.00	-818,280.00	
		Dispositions	-655,000.00		660,940.85
SBDCRXV1	MORGAN STANLEY 3.875% DUE 01-27-2026				
		Acquisitions	4,230,000.00	-4,251,207.20	
		Dispositions	-4,230,000.00		4,340,505.30
SBWXTWR8	MORGAN STANLEY 3.95% DUE 04-23-2027				
		Acquisitions	80,000.00	-80,381.60	
		Dispositions	-80,000.00		80,395.20
SBVRZ811	MORGAN STANLEY 4.3% DUE 01-27-2045				
		Acquisitions	255,000.00	-260,567.55	
		Dispositions	-515,000.00		516,540.00
SBQSC344	MORGAN STANLEY 4.35 DUE 09-08-2026				
		Acquisitions	845,000.00	-832,468.20	
		Dispositions	-1,405,000.00		1,413,051.65
SBYTCKF9	MORGAN STANLEY 4% DUE 07-23-2025				
		Acquisitions	1,160,000.00	-1,194,204.55	
		Dispositions	-1,160,000.00		1,187,884.70
SBLSP7P7	MORGAN STANLEY 5% DUE 11-24-2025				
		Acquisitions	510,000.00	-529,311.30	
		Dispositions	-545,000.00		584,706.40
SB98G6S2	MORGAN STANLEY 6.375% DUE 07-24-2042				
		Acquisitions	840,000.00	-1,128,516.90	
		Dispositions	-405,000.00		535,644.25
SBD8PHQ2	NEW YORK & 4.063% DUE 08-01-2056				
		Acquisitions	140,000.00	-139,994.40	
		Dispositions	-140,000.00		144,268.30
SBD3J3J4	NEWELL BRANDS INC 3.15% DUE 04-01-2021				
		Acquisitions	780,000.00	-778,713.00	
		Dispositions	-780,000.00		804,525.80
SBD3J3H2	NEWELL BRANDS INC 4.2% DUE 04-01-2026				
		Acquisitions	345,000.00	-344,303.10	
		Dispositions	-345,000.00		367,483.65

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBD3J3G1	NEWELL BRANDS INC 5.375% DUE 04-01-2036				
		Acquisitions	665,000.00	-762,878.35	
		Dispositions	-135,000.00		159,194.70
SBD3J3M7	NEWELL BRANDS INC 5.5% DUE 04-01-2046				
		Acquisitions	1,140,000.00	-1,235,288.60	
		Dispositions	-535,000.00		663,029.60
SB1WV0T6	NEXEN INC 6.4% DUE 05-15-2037				
		Acquisitions	355,000.00	-397,969.20	
		Dispositions	-320,000.00		373,118.95
SBD5FYS6	NIKE INC 2.375% DUE 11-01-2026				
		Acquisitions	360,000.00	-359,488.80	
		Dispositions	-360,000.00		360,633.60
SBYQGY18	NIKE INC 3.875% DUE 11-01-2045				
		Acquisitions	335,000.00	-344,816.45	
		Dispositions	-235,000.00		239,278.15
SB3NGH48	NOBLE HLDG INTL LTD GTD SR NT 4.625 DUE 03-01-2021				
		Acquisitions	155,000.00	-105,400.00	
		Dispositions	-155,000.00		104,431.25
SBNFX1H8	NORDSTROM INC 5% DUE 01-15-2044				
		Acquisitions	610,000.00	-628,476.85	
		Dispositions	-210,000.00		210,004.45
SBYZ2G77	NORFOLK SOUTHN 2.9% DUE 06-15-2026				
		Acquisitions	245,000.00	-244,171.90	
		Dispositions	-245,000.00		244,892.20
SBYV2RZ6	NORFOLK SOUTHN 4.65% DUE 01-15-2046				
		Acquisitions	120,000.00	-117,070.80	
		Dispositions	-320,000.00		313,840.00
SBVVN4R9	NORTHROP GRUMMAN CORP 3.85% DUE 04-15-2045				
		Acquisitions	325,000.00	-329,020.25	
		Dispositions	-585,000.00		578,838.60
SBK1PN26	NOVARTIS CAP CORP 4.4% DUE 05-06-2044				
		Acquisitions	215,000.00	-229,215.80	
		Dispositions	-860,000.00		1,000,549.65
SBDCBVP3	NOVARTIS CAP CORP 4% DUE 11-20-2045				
		Acquisitions	1,000,000.00	-1,076,855.25	
		Dispositions	-495,000.00		529,345.90
SBDBFN27	OCCIDENTAL PETE 3.4% DUE 04-15-2026				
		Acquisitions	270,000.00	-269,384.40	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-270,000.00		277,624.80
SBZ1C634	OCCIDENTAL PETE 3% DUE 02-15-2027				
		Acquisitions	150,000.00	-149,368.50	
		Dispositions	-150,000.00		147,690.00
SBDBFN16	OCCIDENTAL PETE 4.4% DUE 04-15-2046				
		Acquisitions	120,000.00	-132,778.80	
		Dispositions	-120,000.00		126,002.00
SBYVGS22	ORACLE CORP 1.9% DUE 09-15-2021				
		Acquisitions	2,335,000.00	-2,333,498.35	
		Dispositions	-2,335,000.00		2,317,182.70
SBY7RCY9	ORACLE CORP 2.4% DUE 09-15-2023				
		Acquisitions	420,000.00	-423,196.20	
		Dispositions	-420,000.00		406,471.80
SBWTM334	ORACLE CORP 4.125% DUE 05-15-2045				
		Acquisitions	275,000.00	-285,152.25	
		Dispositions	-290,000.00		301,246.20
SBWTM356	ORACLE CORP 4.375% DUE 05-15-2055				
		Acquisitions	315,000.00	-324,251.55	
		Dispositions	-245,000.00		263,642.50
SBNXJBK1	ORACLE CORP 4.5% DUE 07-08-2044				
		Acquisitions	735,000.00	-782,315.85	
		Dispositions	-285,000.00		313,183.05
SBY7RD02	ORACLE CORP 4% DUE 07-15-2046				
		Acquisitions	1,120,000.00	-1,107,525.40	
		Dispositions	-270,000.00		276,645.50
SB2853J0	PACIFICORP 6.25% DUE 10-15-2037				
		Acquisitions	160,000.00	-223,297.60	
		Dispositions	-130,000.00		180,050.00
SBZ30BC0	PEPSICO INC 4.45% DUE 04-14-2046				
		Acquisitions	400,000.00	-438,203.70	
		Dispositions	-470,000.00		530,362.85
SBG491M3	PEPSICO INC 5.5% DUE 01-15-2040				
		Acquisitions	325,000.00	-388,313.25	
		Dispositions	-250,000.00		328,256.25
SBSTCPR4	PERRIGO FIN PLC 3.5% DUE 12-15-2021				
		Acquisitions	385,000.00	-393,115.80	
		Dispositions	-800,000.00		825,568.00
SBST7814	PERRIGO FIN PLC 4.9% DUE 12-15-2044				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	240,000.00	-223,221.60	
		Dispositions	-145,000.00		140,667.40
SBDB49R1	PERRIGO FIN UNLTD 3.5% DUE 03-15-2021				
		Acquisitions	430,000.00	-429,604.40	
		Dispositions	-430,000.00		441,954.00
SBDB49P9	PERRIGO FIN UNLTD 4.375% DUE 03-15-2026				
		Acquisitions	205,000.00	-204,489.55	
		Dispositions	-205,000.00		216,906.40
SBVG2RL6	PETROLEOS MEXICANOS 144A TRANCHE # TR 00028 4.5% DUE 01-23-2026 REG				
		Acquisitions	105,000.00	-91,350.00	
		Dispositions	-105,000.00		92,793.75
SBDHDPY1	PETROLEOS 4.625% DUE 09-21-2023				
		Acquisitions	740,000.00	-741,417.50	
		Dispositions	-740,000.00		706,700.00
C71654QAZ5	PETROLEOS 6.5% DUE 06-02-2041				
		Acquisitions	995,000.00	-958,683.75	
		Dispositions	-715,000.00		721,105.00
SBYZ2GB1	PFIZER INC 1.95% DUE 06-03-2021				
		Acquisitions	845,000.00	-843,757.85	
		Dispositions	-845,000.00		854,607.65
SBYZ2GC2	PFIZER INC 2.75% DUE 06-03-2026				
		Acquisitions	290,000.00	-289,924.60	
		Dispositions	-290,000.00		293,875.10
SBGM0MQ9	PHILIP MORRIS INTL INC 4.875 DUE 11-15-2043				
		Acquisitions	210,000.00	-225,172.50	
		Dispositions	-130,000.00		158,216.50
SBYY7LD4	PHILIP MORRIS INTL 1.875% DUE 02-25-2021				
		Acquisitions	345,000.00	-342,143.40	
		Dispositions	-345,000.00		349,533.30
SBD5YM89	PHILIP MORRIS INTL 2.125% DUE 05-10-2023				
		Acquisitions	605,000.00	-599,894.50	
		Dispositions	-605,000.00		577,460.40
SBSMTK33	PHILIP MORRIS INTL 4.25% DUE 11-10-2044				
		Acquisitions	265,000.00	-261,085.95	
		Dispositions	-265,000.00		257,892.55
SB6VMZ67	PHILIP MORRIS INTL 4.375% DUE 11-15-2041				
		Acquisitions	195,000.00	-218,089.80	
		Dispositions	-275,000.00		276,900.25

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The Gates Group Retirement Plan
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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBSPCBQ9	PHILLIPS 66 4.65% DUE 11-15-2034				
		Acquisitions	365,000.00	-376,377.15	
		Dispositions	-305,000.00		318,484.05
SBSPCC33	PHILLIPS 66 4.875% DUE 11-15-2044				
		Acquisitions	225,000.00	-236,736.00	
		Dispositions	-800,000.00		859,125.60
SBT8RSL2	PLAINS ALL AMERN PIPELN L P/PAA 4.9% 02-15-2045				
		Acquisitions	185,000.00	-137,591.90	
		Dispositions	-390,000.00		363,962.00
SBQQCCH2	PLAINS ALL AMERN 3.6% DUE 11-01-2024				
		Acquisitions	95,000.00	-94,383.45	
		Dispositions	-275,000.00		242,508.75
SBDDQWQ5	PPL CAP FDG INC 3.1% DUE 05-15-2026				
		Acquisitions	800,000.00	-808,209.85	
		Dispositions	-155,000.00		149,365.75
SBYVCP07	PREMIER HLTH 2.911% DUE 11-15-2026				
		Acquisitions	355,000.00	-355,000.00	
		Dispositions	-355,000.00		357,105.15
SBYYHL01	PROVID HLTH & SVCS 3.744% DUE 10-01-2047				
		Acquisitions	240,000.00	-240,000.00	
		Dispositions	-130,000.00		119,927.60
SBYYD0H9	PUB SVC ELEC GAS 3.8% DUE 03-01-2046				
		Acquisitions	470,000.00	-468,995.60	
		Dispositions	-230,000.00		239,403.55
SBZ6SMQ2	PVTPL ACTIVISION BLIZZARD INC SR NT 144A2.3% DUE 09-15-2021/09-19-2016 BEO				
		Acquisitions	620,000.00	-619,243.60	
		Dispositions	-620,000.00		619,479.20
SBD5MF28	PVTPL AT&T INC GLOBAL NT 144A 4.5% DUE 03-09-2048/09-07-2016 BEO				
		Acquisitions	170,000.00	-170,329.80	
		Dispositions	-1,062,171.90		1,016,164.76
SBD5Z1K7	PVTPL AT&T INC GLOBAL NT 144A 4.55% DUE 03-09-2049/09-09-2048 BEO				
		Acquisitions	210,000.00	-197,992.20	
		Dispositions	-420,908.24		412,285.00
C05565EAF2	PVTPL BMW US CAP LLC NT 144A 2% DUE 04-11-2021/04-11-2016 BEO				
		Acquisitions	225,000.00	-224,435.25	
		Dispositions	-225,000.00		225,326.25
SBZ124W9	PVTPL CF INDS INC SR SECD NT 144A 4.5% DUE 12-01-2026 BEO				
		Acquisitions	300,000.00	-298,155.00	

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-85,000.00		84,544.40
SBYVC6Q0	PVTPL COX COMMUNICATIONS INC NEW NT 144A3.35% DUE 09-15-2026/06-15-2026 BEO				
		Acquisitions	330,000.00	-329,442.30	
		Dispositions	-330,000.00		330,306.90
C225433AS0	PVTPL CREDIT SUISSE GROUP FUNDING GTD SRNT 144A 3.8% DUE 06-09-2023 BEO				
		Acquisitions	1,025,000.00	-1,030,585.60	
		Dispositions	-125,000.00		125,052.50
SBD96MH0	PVTPL DIAMOND 1 FIN CORP/DIAMOND 2 FIN CORP 1ST LIEN NT 144A 6.02				
		Acquisitions	230,000.00	-229,889.60	
		Dispositions	-230,000.00		230,128.80
SBZBZ0D1	PVTPL FORTIVE CORP SR NT 144A 4.3% DUE 06-15-2046/06-20-2016 BEO				
		Acquisitions	295,000.00	-297,209.45	
		Dispositions	-110,000.00		112,712.60
SBYSLBD2	PVTPL HEINZ(H.J.)CO BNDS 5.2% DUE 07-15-2045 BEO				
		Acquisitions	155,000.00	-161,961.05	
		Dispositions	-155,000.00		165,436.15
SBYZFGD2	PVTPL HEWLETT PACKARD ENTERPRISE CO NT 144A 6.6% DUE 10-15-2045/10-09-2015 BEO				
		Acquisitions	125,000.00	-124,616.25	
		Dispositions	-90,000.00		77,825.70
SBDGNMW9	PVTPL KRAFT HEINZ FOODS CO SR NT 144A 4.375% DUE 06-01-2046/05-24-2016 BEO				
		Acquisitions	930,000.00	-933,231.60	
		Dispositions	-175,000.00		174,186.25
SBD1FCR5	PVTPL MASSACHUSETTS ELEC CO SR NT 144A 4.004% DUE 08-15-2046/08-05-2016 BEO				
		Acquisitions	115,000.00	-115,000.00	
		Dispositions	-115,000.00		118,293.60
SBYQRJ16	PVTPL MIZUHO FINL GROUP INC SR NT 144A 3.477% DUE 04-12-2026 BEO				
		Acquisitions	560,000.00	-560,000.00	
		Dispositions	-560,000.00		565,149.20
C71656LBE0	PVTPL PETROLEOS MEXICANOS 5.625% DUE 01-23-2046 BEO				
		Acquisitions	405,000.00	-302,760.00	
		Dispositions	-100,000.00		77,750.00
SB5KL621	PVTPL ROCHE HLDGS INC GTD NT 144A 7% DUE03-01-2039 BEO				
		Acquisitions	170,000.00	-265,319.00	
		Dispositions	-170,000.00		247,866.80
C80281LAB1	PVTPL SANTANDER UK GROUP HLDGS PLC 5.625% DUE 09-15-2045 BEO				
		Acquisitions	70,000.00	-64,775.90	
		Dispositions	-70,000.00		69,265.00
C90351DAH0	PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 2.65% DUE 02-01-2022 BEO				

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

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		Acquisitions	490,000.00	-489,706.00	
		Dispositions	-490,000.00		491,068.20
C90351DAB3	PVTPL UBS GROUP FDG JERSEY LTD SR NT 144A 4.125% DUE 09-24-2025 BEO				
		Acquisitions	135,000.00	-141,354.45	
		Dispositions	-625,000.00		639,479.60
C92347XAA4	PVTPL VERIZON OWNER TR 2016-1 AST BACKEDNT CL A 144A 1.42 DUE 01-20-2021BEO				
		Acquisitions	1,210,000.00	-1,211,335.67	
		Dispositions	-595,000.00		594,238.48
SBYZDJQ0	PVTPL WESTLAKE CHEM CORP SR NT 144A 3.6%DUE 08-15-2026/08-10-2016 BEO				
		Acquisitions	695,000.00	-693,120.05	
		Dispositions	-70,000.00		69,772.50
C21684AAD8	RABOBANK NEDERLAND 5.25% DUE 08-04-2045				
		Acquisitions	320,000.00	-373,487.50	
		Dispositions	-1,085,000.00		1,186,507.15
SBYVQW75	REALTY INCOME CORP 3% DUE 01-15-2027				
		Acquisitions	375,000.00	-370,016.25	
		Dispositions	-375,000.00		371,451.00
SBPC1VJ1	REGENCY ENERGY 5% DUE 10-01-2022				
		Acquisitions	150,000.00	-161,112.00	
		Dispositions	-925,000.00		968,187.00
SBZ6SK57	REGIONS FINL CORP 3.2% DUE 02-08-2021				
		Acquisitions	230,000.00	-232,918.70	
		Dispositions	-230,000.00		238,967.70
SBD20310	REINS GROUP AMER 3.95% DUE 09-15-2026				
		Acquisitions	395,000.00	-394,984.20	
		Dispositions	-395,000.00		398,266.95
SBYZCMG8	REPUBLIC SVCS INC 2.9% DUE 07-01-2026				
		Acquisitions	280,000.00	-279,398.00	
		Dispositions	-280,000.00		283,211.60
SBZ0HGS5	REYNOLDS AMERN INC FIXED 2.3% DUE 06-12-2018				
		Acquisitions	270,000.00	-274,303.80	
		Dispositions	-270,000.00		273,771.90
SBZ0W055	REYNOLDS AMERN INC FIXED 5.7% DUE 08-15-2035				
		Acquisitions	60,000.00	-75,148.80	
		Dispositions	-390,000.00		433,902.70
SBZ0HGQ3	REYNOLDS AMERN INC FIXED 5.85% DUE 08-15-2045				
		Acquisitions	1,055,000.00	-1,312,609.90	
		Dispositions	-430,000.00		552,228.95

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See accompanying independent auditor's report and notes to financial statements

The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SB8NWTL2	RIO TINTO FIN USA 4.125 DUE 08-21-2042				
		Acquisitions	190,000.00	-166,493.20	
		Dispositions	-295,000.00		280,358.65
SBH7KDQ8	ROCKWELL COLLINS INC DEL 4.8 DUE 12-15-2043				
		Acquisitions	165,000.00	-181,838.25	
		Dispositions	-165,000.00		189,289.65
SBZB1G20	S CAROLINA ELEC & 4.1% DUE 06-15-2046				
		Acquisitions	330,000.00	-329,320.20	
		Dispositions	-150,000.00		160,873.50
SBD0SY57	SANTANDER UK GROUP 3.125% DUE 01-08-2021				
		Acquisitions	105,000.00	-104,893.95	
		Dispositions	-105,000.00		105,952.35
SBXQ9DY6	SHELL INTERNATIONAL FIN 4.375% DUE 05-11-2045				
		Acquisitions	460,000.00	-494,288.40	
		Dispositions	-780,000.00		770,595.60
SBYY8XV9	SHERWIN WILLIAMS 4.55% DUE 08-01-2045				
		Acquisitions	160,000.00	-158,676.15	
		Dispositions	-720,000.00		753,185.20
SBD1XH63	SHIRE ACQUISITIONS 2.4% DUE 09-23-2021				
		Acquisitions	975,000.00	-975,591.00	
		Dispositions	-285,000.00		284,974.35
SBD1XH85	SHIRE ACQUISITIONS 3.2% DUE 09-23-2026				
		Acquisitions	1,065,000.00	-1,064,242.65	
		Dispositions	-185,000.00		179,335.30
SBDFY6H6	SIMON PPTY GROUP L 2.5% DUE 07-15-2021				
		Acquisitions	305,000.00	-304,749.90	
		Dispositions	-305,000.00		306,717.15
SBQQLW79	SIMON PPTY GROUP L 3.375% DUE 10-01-2024				
		Acquisitions	200,000.00	-202,028.00	
		Dispositions	-200,000.00		203,064.00
SBYT1517	SOUTHERN CO 4.25% DUE 07-01-2036				
		Acquisitions	725,000.00	-746,038.95	
		Dispositions	-245,000.00		241,881.15
SBYT1540	SOUTHERN CO 4.4% DUE 07-01-2046				
		Acquisitions	1,090,000.00	-1,081,263.80	
		Dispositions	-570,000.00		617,932.50
SB8FM8R9	SOUTHN COPPER CORP 5.25% DUE 11-08-2042				
		Acquisitions	75,000.00	-63,300.00	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-75,000.00		69,000.00
SBWXV3J3	SOUTHN COPPER CORP 5.875% DUE 04-23-2045				
		Acquisitions	265,000.00	-258,696.95	
		Dispositions	-405,000.00		376,750.00
SB806KL4	SOUTHN COPPER 6.75% DUE 04-16-2040				
		Acquisitions	130,000.00	-124,588.10	
		Dispositions	-435,000.00		474,290.00
SB2NJ7Z1	SPCL1 GEN ELEC CAP CORP 5.875% DUE 01-14-2038				
		Acquisitions	185,000.00	-247,797.75	
		Dispositions	-90,000.00		117,306.00
SB3VRKX3	SPECTRA ENERGY CAP 7.5% DUE 09-15-2038				
		Acquisitions	105,000.00	-132,286.35	
		Dispositions	-105,000.00		132,499.50
SBZ563Z3	STARBUCKS CORP 2.1% DUE 02-04-2021				
		Acquisitions	310,000.00	-309,823.30	
		Dispositions	-310,000.00		306,847.30
SBYYG2D8	STARBUCKS CORP 4.3% DUE 06-15-2045				
		Acquisitions	215,000.00	-231,456.10	
		Dispositions	-80,000.00		95,313.60
SBLSP5D1	STATOIL ASA 3.95 DUE 05-15-2043				
		Acquisitions	100,000.00	-98,528.00	
		Dispositions	-470,000.00		436,837.90
SBYQGY29	STRYKER CORP 3.375% DUE 11-01-2025				
		Acquisitions	410,000.00	-408,630.60	
		Dispositions	-410,000.00		421,213.50
SBDGSDP4	STRYKER CORP 3.5% DUE 03-15-2026				
		Acquisitions	260,000.00	-258,304.80	
		Dispositions	-260,000.00		259,584.00
SBZ78L86	STRYKER CORP 4.625% DUE 03-15-2046				
		Acquisitions	245,000.00	-242,290.30	
		Dispositions	-245,000.00		244,856.30
C86562MAH3	SUMITOMO MITSUI 2.442% DUE 10-19-2021				
		Acquisitions	245,000.00	-245,000.00	
		Dispositions	-245,000.00		246,053.50
SBDCRBQ2	SUMITOMO MITSUI 3.784% DUE 03-09-2026				
		Acquisitions	1,090,000.00	-1,090,000.00	
		Dispositions	-1,090,000.00		1,133,439.50
SBDGLCV2	SUNTRUST BANK 3.3% DUE 04-15-2026 BEO				

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	505,000.00	-502,444.70	
		Dispositions	-210,000.00		210,016.80
SBYYK3C6	SUNTRUST BKS INC 2.9% DUE 03-03-2021				
		Acquisitions	480,000.00	-478,958.40	
		Dispositions	-480,000.00		491,515.20
SBYQ4R24	SYSCO CORP 2.5% DUE 07-15-2021				
		Acquisitions	545,000.00	-551,401.25	
		Dispositions	-190,000.00		194,630.30
SBD07368	TARGET CORP 2.5% DUE 04-15-2026				
		Acquisitions	200,000.00	-199,982.00	
		Dispositions	-200,000.00		200,512.00
SBD073D5	TARGET CORP 3.625% DUE 04-15-2046				
		Acquisitions	675,000.00	-657,289.50	
		Dispositions	-165,000.00		170,201.10
SB9K8J87	TARGET CORP 4% DUE 07-01-2042				
		Acquisitions	120,000.00	-127,038.00	
		Dispositions	-120,000.00		132,168.00
SBD3GSZ6	TEVA PHARMACEUTICAL INDST LTD 4.1% BDS 10-01-2046 USD2000				
		Acquisitions	245,000.00	-242,959.15	
		Dispositions	-245,000.00		239,492.40
SBD3GNW8	TEVA 1.4% DUE 07-20-2018				
		Acquisitions	525,000.00	-524,548.50	
		Dispositions	-525,000.00		520,448.25
SB4R23P2	TEXAS ST 4.681% 04-01-2040 BEO TAXABLE				
		Acquisitions	160,000.00	-187,750.40	
		Dispositions	-390,000.00		452,715.90
SB441BS1	TIME WARNER CABLE 5.5% DUE 09-01-2041				
		Acquisitions	170,000.00	-184,359.90	
		Dispositions	-210,000.00		225,596.70
SB287YY8	TRANSCANADA 6.2% DUE 10-15-2037				
		Acquisitions	135,000.00	-144,579.60	
		Dispositions	-430,000.00		536,947.65
SBD8BSC3	TRAVELERS COS INC 3.75% DUE 05-15-2046				
		Acquisitions	200,000.00	-199,589.90	
		Dispositions	-90,000.00		95,154.30
SBYYWJ13	TRCNTNTL GAS PIPE 7.85% DUE 02-01-2026				
		Acquisitions	1,255,000.00	-1,315,654.95	
		Dispositions	-105,000.00		131,175.45

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
C89641UAC5	TRINITY ACQSTN PLC 4.4% DUE 03-15-2026				
		Acquisitions	465,000.00	-463,037.70	
		Dispositions	-205,000.00		212,589.20
SBD6P612	U S BANCORP MEDIUM 2.375% DUE 07-22-2026				
		Acquisitions	420,000.00	-415,976.85	
		Dispositions	-420,000.00		396,828.60
C90351DAF4	UBS GROUP FDG 4.125% DUE 04-15-2026				
		Acquisitions	580,000.00	-579,048.85	
		Dispositions	-490,000.00		505,257.95
SBYYQNY6	UNILEVER CAP CORP 1.375% DUE 07-28-2021				
		Acquisitions	390,000.00	-386,895.60	
		Dispositions	-390,000.00		387,234.90
SBYQGY41	UNION PAC CORP 4.05% DUE 11-15-2045				
		Acquisitions	150,000.00	-168,507.00	
		Dispositions	-150,000.00		157,543.50
SBYQGY30	UNION PAC CORP 4.375% DUE 11-15-2065				
		Acquisitions	300,000.00	-305,893.70	
		Dispositions	-110,000.00		121,836.00
SBFWLBR9	UNION PAC CORP 4.75 DUE 12-15-2043				
		Acquisitions	225,000.00	-257,989.50	
		Dispositions	-450,000.00		532,012.55
SBYTRVP1	UNITEDHEALTH GROUP 4.75% DUE 07-15-2045				
		Acquisitions	175,000.00	-200,030.25	
		Dispositions	-910,000.00		1,013,265.85
SBD9XW37	US BANCORP 3.1% DUE 04-27-2026				
		Acquisitions	430,000.00	-429,668.90	
		Dispositions	-430,000.00		440,383.45
SBYQ74V1	UTD PARCEL SVC INC 2.4% DUE 11-15-2026				
		Acquisitions	245,000.00	-244,911.80	
		Dispositions	-245,000.00		228,886.35
SBYQ74Q6	UTD PARCEL SVC INC 3.4% DUE 11-15-2046				
		Acquisitions	105,000.00	-104,079.15	
		Dispositions	-105,000.00		95,698.05
SBD4G7B4	VALE OVERSEAS LTD 6.25% DUE 08-10-2026				
		Acquisitions	520,000.00	-532,250.00	
		Dispositions	-220,000.00		227,700.00
SB5B54D9	VALE OVERSEAS LTD 6.875% DUE 11-10-2039				
		Acquisitions	655,000.00	-609,357.50	

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The Gates Group Retirement Plan
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of within the Plan Year)
For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Dispositions	-630,000.00		562,335.00
SB1HMKF0	VALE OVERSEAS LTD 6.875% DUE 11-21-2036				
		Acquisitions	320,000.00	-269,862.50	
		Dispositions	-500,000.00		341,705.00
SBD5M8B8	VALERO ENERGY CORP 3.4% DUE 09-15-2026				
		Acquisitions	385,000.00	-381,106.45	
		Dispositions	-130,000.00		129,715.30
SB1YLTG4	VALERO ENERGY CORP 6.625% DUE 06-15-2037				
		Acquisitions	170,000.00	-175,409.40	
		Dispositions	-265,000.00		313,802.40
SBDHDQ15	VENTAS RLTY LTD 3.25% DUE 10-15-2026				
		Acquisitions	190,000.00	-189,640.90	
		Dispositions	-190,000.00		189,802.40
SBSBN5N1	VERIZON COMMUNICATIONS 4.4% DUE 11-01-2034 REG				
		Acquisitions	880,000.00	-827,388.30	
		Dispositions	-880,000.00		828,747.10
SBYRLSF4	VERIZON COMMUNICATIONS 4.522% 09-15-2048				
		Acquisitions	1,035,000.00	-1,017,980.75	
		Dispositions	-959,000.00		956,306.15
SBF320C9	VERIZON COMMUNICATIONS 5.15 BDS DUE 09-15-2023 USD2000 REG				
		Acquisitions	255,000.00	-296,909.25	
		Dispositions	-295,000.00		346,353.60
SB90QPZ0	VERIZON COMMUNICATIONS 6 DUE 04-01-2041				
		Acquisitions	145,000.00	-167,331.45	
		Dispositions	-315,000.00		400,031.10
SBYRLRK2	VERIZON 4.272% DUE 01-15-2036				
		Acquisitions	860,000.00	-796,116.00	
		Dispositions	-865,000.00		861,444.55
SBYM0W21	VERIZON 4.672% DUE 03-15-2055				
		Acquisitions	1,170,000.00	-1,141,461.70	
		Dispositions	-1,025,000.00		994,859.05
SBVYRK47	VERIZON 5.012% DUE 08-21-2054				
		Acquisitions	740,000.00	-772,897.00	
		Dispositions	-1,605,000.00		1,703,565.70
SBKX5BV9	VERIZON 5.05% DUE 03-15-2034				
		Acquisitions	140,000.00	-158,363.80	
		Dispositions	-625,000.00		638,732.50
SBDGL609	VIACOM INC NEW 3.45% DUE 10-04-2026				

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
		Acquisitions	120,000.00	-119,377.20	
		Dispositions	-120,000.00		110,355.60
SBCHWWF1	VIACOM INC NEW 4.375% DUE 03-15-2043				
		Acquisitions	270,000.00	-228,861.60	
		Dispositions	-1,075,000.00		896,175.30
C92553PAZ5	VIACOM INC NEW 4.85% DUE 12-15-2034				
		Acquisitions	75,000.00	-67,320.75	
		Dispositions	-80,000.00		70,867.20
SBYPHFD1	VISA INC 2.2% DUE 12-14-2020				
		Acquisitions	370,000.00	-373,736.50	
		Dispositions	-790,000.00		813,775.35
SBYPHGN8	VISA INC 3.15% DUE 12-14-2025				
		Acquisitions	115,000.00	-121,457.25	
		Dispositions	-605,000.00		634,951.95
SBYPHFN1	VISA INC 4.3% DUE 12-14-2045				
		Acquisitions	1,000,000.00	-1,136,540.65	
		Dispositions	-820,000.00		920,747.55
SBG49714	WAL-MART STORES 5.625% DUE 04-01-2040				
		Acquisitions	70,000.00	-90,793.50	
		Dispositions	-140,000.00		186,081.00
SB2QVLK7	WAL-MART STORES 6.2% DUE 04-15-2038				
		Acquisitions	120,000.00	-163,909.20	
		Dispositions	-275,000.00		359,826.75
SBJ0K1P4	WAL-MART STORES 6.5% DUE 08-15-2037				
		Acquisitions	155,000.00	-203,113.55	
		Dispositions	-215,000.00		300,173.50
SB88JSW2	WALGREEN CO 4.4% DUE 09-15-2042				
		Acquisitions	185,000.00	-186,463.40	
		Dispositions	-475,000.00		464,485.75
C931427AC2	WALGREENS BOOTS FIXED 4.8% DUE 11-18-2044				
		Acquisitions	110,000.00	-111,446.50	
		Dispositions	-595,000.00		603,665.10
C931427AP3	WALGREENS BOOTS 3.1% DUE 06-01-2023				
		Acquisitions	365,000.00	-363,996.25	
		Dispositions	-365,000.00		369,458.25
SBYVG9R4	WALGREENS BOOTS 4.65% DUE 06-01-2046				
		Acquisitions	445,000.00	-441,772.00	
		Dispositions	-200,000.00		206,598.00

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

Asset ID	Security Description	Transaction	Shares/Par	Cost of Acquisitions	Proceeds of Dispositions
SBS9FPF1	WELLS FARGO & CO NEW MEDIUM TERM SR 4.65% 11-04-2044				
		Acquisitions	520,000.00	-540,598.55	
		Dispositions	-100,000.00		95,643.00
SBYQXN34	WELLS FARGO & CO NEW MEDIUM TERM SR NTS TRANCHE # TR 00155 4.3% DUE 07-22-2027				
		Acquisitions	75,000.00	-81,154.50	
		Dispositions	-75,000.00		80,213.25
SBYYKPB9	WELLS FARGO & CO 2.1% DUE 07-26-2021				
		Acquisitions	2,035,000.00	-2,032,499.10	
		Dispositions	-1,815,000.00		1,800,153.55
SBYT2BY5	WELLS FARGO & CO 2.5% DUE 03-04-2021				
		Acquisitions	5,670,000.00	-5,745,908.80	
		Dispositions	-4,155,000.00		4,221,983.75
SBZ5ZBL2	WELLS FARGO & CO 2.55% DUE 12-07-2020				
		Acquisitions	2,200,000.00	-2,233,841.20	
		Dispositions	-3,540,000.00		3,611,715.10
SBX2H0V0	WELLS FARGO & CO 3.9% DUE 05-01-2045				
		Acquisitions	260,000.00	-275,720.50	
		Dispositions	-1,905,000.00		1,887,788.25
SBD72T35	WELLS FARGO & CO 3% DUE 04-22-2026				
		Acquisitions	1,045,000.00	-1,042,706.80	
		Dispositions	-1,045,000.00		1,052,523.35
SBYQ74R7	WELLS FARGO & CO 3% DUE 10-23-2026				
		Acquisitions	1,410,000.00	-1,408,955.70	
		Dispositions	-135,000.00		130,019.85
SBD39X50	WELLS FARGO & CO 4.4% DUE 06-14-2046				
		Acquisitions	1,230,000.00	-1,226,341.60	
		Dispositions	-605,000.00		617,494.05
SBDSFRX9	WELLS FARGO & CO 4.75% DUE 12-07-2046				
		Acquisitions	555,000.00	-554,095.35	
		Dispositions	-75,000.00		74,524.50
SBYQ29R7	WELLS FARGO & CO 4.9% DUE 11-17-2045				
		Acquisitions	225,000.00	-232,691.25	
		Dispositions	-455,000.00		472,005.40
SBG48MR2	WELLS FARGO & CO 5.375% DUE 11-02-2043				
		Acquisitions	315,000.00	-359,766.10	
		Dispositions	-420,000.00		476,704.85
SBJTGHH7	WELLS FARGO & CO 5.606% DUE 01-15-2044				
		Acquisitions	195,000.00	-218,049.00	

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For the Year Ended December 31, 2016
EIN: 84-0857401
Plan Number 333

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		Dispositions	-205,000.00		235,656.45
SBDCRXR7	WELLS FARGO BK N A SAN FRANCISCO CALIF MTRANCHE # TR 00841 1.65% DUE 01-22-2018				
		Acquisitions	550,000.00	-549,840.50	
		Dispositions	-550,000.00		551,980.00
SBZ6CSM2	WELLS FARGO BK NA FIXED 1.75% DUE 05-24-2019				
		Acquisitions	305,000.00	-304,868.85	
		Dispositions	-305,000.00		306,950.50
SBYZDJP9	WESTLAKE CHEM CORP 5% DUE 08-15-2046				
		Acquisitions	690,000.00	-672,515.40	
		Dispositions	-145,000.00		142,930.85
SBD2NKX0	WESTN GAS PARTNERS 4.65% DUE 07-01-2026				
		Acquisitions	175,000.00	-174,643.00	
		Dispositions	-175,000.00		176,127.00
SBZ4T836	21ST CENTY FOX 3.7% DUE 10-15-2025				
		Acquisitions	220,000.00	-218,429.20	
		Dispositions	-360,000.00		381,690.00
SBVYTWX6	21ST CENTY FOX 3% DUE 09-15-2022				
		Acquisitions	645,000.00	-663,228.70	
		Dispositions	-295,000.00		292,831.75
SBWV6C71	21ST CENTY FOX 6.65% DUE 11-15-2037				
		Acquisitions	240,000.00	-292,694.40	
		Dispositions	-100,000.00		133,362.00
SBYSXRC9	5TH 3RD BK CIN OH 3.85% DUE 03-15-2026				
		Acquisitions	700,000.00	-699,307.00	
		Dispositions	-565,000.00		580,388.55

** Represents party-in-interest as defined by ERISA

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 24
Change in Actuarial Assumptions
as of January 1, 2016

The assumed return for the Gates Matchmaker Plan Hypothetical Account Balance was updated from 4.00% to 3.00% annually based on current and future expected economic conditions.

Plan Name:	The Gates Group Retirement Plan
EIN / PN:	84-0857401 / 333
Plan Sponsor:	Gates Corporation
Valuation Date:	January 1, 2016