Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Г	arti Annuai Kepoi	t identification information	1								
Fo	r calendar plan year 2016 or	fiscal plan year beginning 01/01/	2016	and ending 12	2/31/2016						
Α	This return/report is for:	a single-employer plan	a single-employer plan a multiple-employer plan (not multiemployer) list of participating employer information in a								
		a one-participant plan	a foreign plan	, ,		,					
В	This return/report is	the first return/report	the final return/report								
		an amended return/report	a short plan year retu	ırn/report (less than 12 m	onths)						
С	Check box if filing under:	X Form 5558	automatic extension		DFVC program						
		special extension (enter desc	' '								
Р	art II Basic Plan Inf	ormation—enter all requested in	nformation		T						
	Name of plan				1b Three-digit						
HRA	401(K) PLAN				plan number (PN) ▶	001					
					1c Effective dat						
						1/01/2015					
2a	Plan sponsor's name (emp	loyer, if for a single-employer plan)			2b Employer Identification Number						
		om, apt., suite no. and street, or P.			(EIN) 91-1708902						
НΕΔ	City or town, state or provin LTH RESEARCH ASSOCIA	nce, country, and ZIP or foreign pos	stal code (if foreign, see ins	structions)	2c Sponsor's telephone number						
IILA	ETTREBEAROTTAGGOGIA	120			425-775-6565						
					2d Business code (see instructions)						
	216TH STREET SW SUITE INTLAKE TERRACE, WA 98				541600						
wo c	777E/17E 7E/17/0E, 777/00	0.10									
3a	Plan administrator's name	and address X Same as Plan Spo	nnsor		3b Administrato	r'e FIN					
- Ou	Than administrator 3 hame	and address A came as rian ope	J1301.		OD Administrato	I S LIIV					
					3c Administrato	r's telephone number					
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				for this plan, enter the	4b EIN						
	•	umber from the last return/report.			4						
	Sponsor's name				4c PN						
5a	Total number of participan	ts at the beginning of the plan year			5a	33					
b		ts at the end of the plan year			5b	30					
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	26						
_	, , ,				Ed(1)	33					
		participants at the beginning of the p	•		5d(1)						
d(2) Total number of active participants at the end of the plan year				5d(2)	22						
е		at terminated employment during th			5e	•					
Ca		e or incomplete filing of this retu			use is established	<u> </u>					
Un	der penalties of perjury and	other penalties set forth in the instru	uctions, I declare that I hav	e examined this return/re	port, including, if ap	plicable, a Schedule					
	or Schedule MB completed ief, it is true, correct, and cor	and signed by an enrolled actuary,	as well as the electronic v	ersion of this return/repor	t, and to the best of	my knowledge and					
SIC		d/valid electronic signature.	10/04/2017	DONALD BUSHNELL							

Date

Dat<u>e</u>

Signature of plan administrator

Signature of employer/plan sponsor

Preparer's name (including firm name, if applicable) and address (include room or suite number)

HERE

SIGN HERE Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						IQPA) X Yes X			
	If you answered "No" to either line 6a or line 6b, the plan cann					_	-	_		
	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	∐No	☐ Not det	ermined
	rt III Financial Information		<u> </u>							
	Plan Assets and Liabilities		(a) Beginning			(b) End of Year			0	
	Total plan assets	7a		443229					56001	8
	Total plan liabilities	7b		443229					56001	0
	Net plan assets (subtract line 7b from line 7a)	7c								0
8 a	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amour	(a) Amount		(b) Total				
а	(1) Employers	8a(1)		36485						
	(2) Participants	8a(2)		57089						
	(3) Others (including rollovers)	8a(3)		84						
b	Other income (loss)	8b		26498						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				120156			6	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		3157						
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		210)					
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				3367				
i	Net income (loss) (subtract line 8h from line 8c)	8i					116789			9
j	j Transfers to (from) the plan (see instructions)									
Pai	Part IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amount	
a	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					67040
h	1 If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling
	gran	ting the waiver	onth _	15, and	_ Day		Year _	
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406			
<u> </u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part '	VII	Plan Terminations and Transfers of Assets						
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to			
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)
Part	VIII	Trust Information						
14a Name of trust					14b Trust's EIN			
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number			
Part	: IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No	
401(k)(3) for the plan year? Check all that apply: "Curr			·	gn-based "Prior year" ADP test			ar" ADP	
			"Curre	rent year" N/A test				
					entage	age Average N/A benefit test N/A		
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	☐ No			
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	Yes No			
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No	