Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

	Report Identification Information							
For calendar plan year 2	2016 or fiscal plan year beginning 01/01/	2016 	and ending 12	2/31/2016				
A This return/report is f	a single-employer plan for: a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
B This return/report is								
D This retain/report is	an amended return/report	=		onths)				
C Check box if filing un	form 5558 special extension (enter desc	automatic extension		DFVC program				
Part II Basic Pla	an Information—enter all requested in	· '						
1a Name of plan	an intermation—enter an requested in	lioimation		1b Three-digit				
	TIREMENT SAVINGS PLAN			plan number (PN)	001			
				1c Effective date of plan 01/01/2016				
Mailing address (inc	e (employer, if for a single-employer plan) clude room, apt., suite no. and street, or P.			2b Employer Identification Number (EIN) 47-1422121				
MIGHTY AI, INC.	or province, country, and ZIP or foreign pos	ital code (il loreigh, see ins	structions)	2c Sponsor's telephone number 844-577-2735				
				2d Business cod	e (see instructions)			
1301 FIFTH AVENUE SUITE 1225				541990				
SEATTLE, WA 98101								
3a Plan administrator's	name and address X Same as Plan Spo	onsor.		3b Administrator's EIN				
	_			_				
				3C Administrator	's telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				4b EIN				
a Sponsor's name				4c PN				
5a Total number of participants at the beginning of the plan year				5a				
b Total number of participants at the end of the plan year				5b	17			
	ants with account balances as of the end of	f the plan year (only define	d contribution plans	5c	16			
complete this item)					11			
` '	active participants at the beginning of the p	•		5d(1)				
d(2) Total number of active participants at the end of the plan year				5d(2)	16			
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0			
Caution: A penalty for	the late or incomplete filing of this return	n/report will be assesse	d unless reasonable cau					
	ry and other penalties set forth in the instrupleted and signed by an enrolled actuary, and complete.							
	hthorized/valid electronic signature.	10/06/2017	TERESA KOTWIS					
Signature of	of plan administrator	Date	Enter name of individ	ual signing as plan a	administrator			
SIGN								
	of employer/plan sponsor	Date	Enter name of individ					
Preparer's name (includi	ing firm name, if applicable) and address (i	include room or suite numb	per)	Preparer's telepho	ne number			

Form 5500-SF 2016 Page **2**

	 Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) 									
	If you answered "No" to either line 6a or line 6b, the plan cann					_	-	_		
	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	∐No	☐ Not de	etermined
Pa	rt III Financial Information	1								
	Plan Assets and Liabilities		(a) Beginning	of Year		(b) End of Year			60	
	Total plan assets	7a 		0		173768				
	Total plan liabilities	7b	0			173768				
	Net plan assets (subtract line 7b from line 7a)	7c	() 4							-
8 a	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amour	nt			(b) Total			
	(1) Employers	8a(1)								
	(2) Participants	8a(2)		164883						
	(3) Others (including rollovers)	8a(3)		1514						
b	Other income (loss)	8b		10780						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				177177				77
d	Benefits paid (including direct rollovers and insurance premiums	0.1		3191						
	to provide benefits)	8d		3131	-					
t	Certain deemed and/or corrective distributions (see instructions).	8e		218						
	Administrative service providers (salaries, fees, commissions)	8f								
<u>g</u>	Other expenses (add lines 2d, 2s, 2f, and 2s)	8g		3409						<u> </u>
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					173768			
-	Net income (loss) (subtract line 8h from line 8c)									
	, , , , , , ,	8j								
9a	Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:									
Ja	2F 2G 2J 2T 3D 2E	reature co	des nom me List of the	an Ona	iacien	Sile Oc	Jues III	uic iiisi	ructions.	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amoun	it
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	iduciary Correction	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	X					25000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i						

ı	Form	550	0-SF	201	16

Page 3-	1	
Page 3-	1	

Part	VI	Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?					I Yes			es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			-	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
150 How did the plan esticty the pendicerimination requirements for employee deterrals under section 11.1		Desig safe h	n-based narbor	^t [errior ye test	ar" ADP			
□ "Cur			"Curre	rent year"					
				entage	atage Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?			Yes	☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				from	Ye	Yes No			
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110 1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016 X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a A This return/report is for: list of participating employer information in accordance with the form instructions.) a one-participant plan a foreign plan B This return/report is the first return/report the final return/report a short plan year return/report (less than 12 months) an amended return/report C Check box if filing under: Form 5558 automatic extension □ DFVC program special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit Spare5, Inc. 401(k) Retirement Savings plan number Plan (PN) > 001 1c Effective date of plan 01/01/2016 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 47-1422121 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) 2c Sponsor's telephone number Mighty Ai, Inc. (844)577 - 27352d Business code (see instructions) 1301 Fifth Avenue 541990 Suite 1225 Seattle 98101 3a Plan administrator's name and address K Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN 5a Total number of participants at the beginning of the plan year..... 5a 0 b Total number of participants at the end of the plan year 5b 17 Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item).... 5c 16 d(1) Total number of active participants at the beginning of the plan year..... 5d(1) 11 d(2) Total number of active participants at the end of the plan year..... 5d(2)16 Number of participants that terminated employment during the plan year with accrued benefits that were less 5e 0 Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete SIGN SIGN HERE Teresa Kotwis HERE Signature of plan administrator Date Enter name of individual signing as plan administrator

SIGN HERE

Date

Teresa Kotwis

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Signature of employer/plan sponsor

HERE