Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [X]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 002

1c Effective date of plan 01/01/1983

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
FIRSTENERGY CORP.

76 SOUTH MAIN STREET
AKRON, OH 44308

2b Employer Identification Number (EIN) 34-1843785

2c Plan Sponsor's telephone number 330-384-5100

2d Business code (see instructions) 221100

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2017	CHRISTINE L WALKER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	

5 Total number of participants at the beginning of the plan year	**5**	20043
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	15398
a(2) Total number of active participants at the end of the plan year	**6a(2)**	15407
b Retired or separated participants receiving benefits	**6b**	790
c Other retired or separated participants entitled to future benefits	**6c**	3583
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	19780
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	20
f Total. Add lines **6d** and **6e**.	**6f**	19800
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	19581
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2H 2J 2K 2R 2T 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [X] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 1 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
FIRSTENERGY CORP.

D Employer Identification Number (EIN)
34-1843785

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
VOYA RETIREMENT INSURANCE AND ANNUITY CO.

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
71-0294708	86509	060333	0		

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
0	0		

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	0
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	0

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	0
c	Premiums due but unpaid at the end of the year	**6c**	0
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	0

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	216507689
c	Additions: (1) Contributions deposited during the year	**7c(1)**	0		
	(2) Dividends and credits	**7c(2)**	0		
	(3) Interest credited during the year	**7c(3)**	0		
	(4) Transferred from separate account	**7c(4)**	0		
	(5) Other (specify below)	**7c(5)**	4417563		
	▶ INVESTMENT RETURN				
	(6)Total additions			**7c(6)**	4417563
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	220925252
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**	0		
	(2) Administration charge made by carrier	**7e(2)**	0		
	(3) Transferred to separate account	**7e(3)**	0		
	(4) Other (specify below)	**7e(4)**	0		
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	220925252

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** ☐ Health (other than dental or vision)
- **b** ☐ Dental
- **c** ☐ Vision
- **d** ☐ Life insurance
- **e** ☐ Temporary disability (accident and sickness)
- **f** ☐ Long-term disability
- **g** ☐ Supplemental unemployment
- **h** ☐ Prescription drug
- **i** ☐ Stop loss (large deductible)
- **j** ☐ HMO contract
- **k** ☐ PPO contract
- **l** ☐ Indemnity contract
- **m** ☐ Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
FIRSTENERGY CORP.

D Employer Identification Number (EIN)
34-1843785

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC ADVISORS, INC.

04-2654524

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	ADVISOR	899870	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65 71	RECORDKEEPER	267618	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
D&C INTL STOCK - BOSTON FINANCIAL P.O. BOX 8480 BOSTON, MA 02266	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GOLD SACHS MC VALUE - GOLDMAN, SAC 13-5108880	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO REAL RETURN - BOSTON FINANCI P.O. BOX 8480 BOSTON, MA 02266	0.01%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHCM INTERNATIONAL SELECT FD CL II	14 RYDER STREET RYDER COURT GROUND LONDON, GB 00SW1Y6QB GB	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WESTCORE FLEXIBLE INCOME FUND	REPUBLIC PLAZA 370 17TH STREET SUIT DENVER, CO 80202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WESTCORE PLUS BOND FUND	REPUBLIC PLAZA 370 17TH STREET SUIT DENVER, CO 80202	0.30%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO EMERGING GROWTH CL A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO LARGE CO VALUE A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ULTRA SHRT TRM INC CLAS 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ENTERPRISE CLASS A	525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO ABSOLUTERETURN ADMIN CL	525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO DIVERSIFINC BUILDER CL	525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO HIGH YLD BOND CL A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO SPECIAL SMALL CAP VALUE 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WELLS FARGO SPECIALIZED TECH A 525 MARKET ST. MAC #A0103 122 SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WEITZ PARTNERS VALUE 1125 SOUTH 103RD STREET OMAHA, NE 68124	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN WASHNTN MUTUAL INVESTRS CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH GLOBAL OPPORTUNITIES RETAIL 505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WASATCH LARGE CAP VALUE FUND	505 WAKARA WAY SUITE 300 SALT LAKE CITY, UT 84108	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VOYA RUSSIA CLASS A	7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VOYA REAL ESTATE CLASS C	7337 E. DOUBLETREE RANCH RD SCOTTSDALE, AZ 85258	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY GLOBAL NATURAL RESOURCES A 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS SMALL CAPGROWTH FUND CL 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS MID CAP GROWTH FUND CL A 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY RS SELECT GROWTH FUND CL A 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY TRIVALENT INTL SMALL CAP A 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VICTORY MUNDER MULTICAP FD CL A 127 PUBLIC SQUARE CLEVELAND, OH 44114	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
VAN ECK INTERNATNL INVESTORS GOLD	666 3RD AVENUE 9TH FL NEW YORK, NY 10017	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GOLD AND PRECIOUS METALS FUND	7900 CALLAGHAN SAN ANTONIO, TX 78229	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WORLD PRECIOUS MINERALS FUND	7900 CALLAGHAN SAN ANTONIO, TX 78229	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAMUTUALS VICE FUND INVESTOR 615 EAST MICHIGAN STREET MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA INTERMEDIATE TERM BOND ADVISER 9800 FREDERICKSBURG RD. SAN ANTONIO, TX 78288	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
USAA SCIENCE & TECHNOLOGY ADVISER 9800 FREDERICKSBURG RD. SAN ANTONIO, TX 78288	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TWEEDY BROWNE GLOBAL VALUE FUND ONE STATION PLACE 5TH FLOOR STAMFORD, CT 06902	$25.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TURNER MEDICAL SCI LONG/SHORT INVES 1205 WESTLAKES DRIVE SUITE 100 BERWYN, PA 19312	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TURNER MIDCAP GROWTHINV CL 1205 WESTLAKES DRIVE SUITE 100 BERWYN, PA 19312	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TRANSAMERICA US GROWTH CLASS I 4333 EDGEWOOD RD NE CEDAR RAPIDS, IA 52499	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TRANSAMERICA SMALL/ MID CAP VALUE C 4333 EDGEWOOD RD NE CEDAR RAPIDS, IA 52499	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOUCHSTONE SMALL CAP VALUE OPP Y 303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOUCHSTONE FOCUSED CLASS Y	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOUCHSTONE LARGE CAP FUND CL Y	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202-4203	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOCQUEVILLE GOLD FUND	40 W 57TH STREET 19TH FL NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THE DELAFIELD FUND 40 W 57TH STREET 19TH FL NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TOCQUEVILLE FUND 40 W 57TH STREET 19TH FL NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TIMOTHY PLAN ISRAEL COMMON VALUES C 80 ARKAY DRIVE SUITE 110 HAUPPAUGE, NY 11788	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG INTL VALUE CLASS C	2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG INTL VALUE CL INSTL	2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG INV INCOME BUILDER FUND C	2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THORNBURG GLOBAL OPPORTUNITIES FD A	2300 NORTH RIDGETOP RD SANTA FE, NM 87506	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THOMPSON LARGE CAP FUND	918 DEMING WAY 3RD FLOOR MADISON, WI 53717	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THOMPSON BOND FUND	918 DEMING WAY 3RD FLOOR MADISON, WI 53717	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE REAL ESTATE VALUE INVS 622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE FOCUSEDCREDIT FUND INS 622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	$16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE REAL ESTATE VALUE INST 622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THIRD AVENUE VALUE INSTL CLASS	622 THIRD AVENUE 32 FLOOR NEW YORK, NY 10017	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TANAKA GROWTH FUND CLASS R	369 LEXINGTON AVE FL 20 NEW YORK, NY 10017	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TIAA CREF LRG CAP VALUE RETAIL	730 THIRD AVENUE NEW YORK, NY 10017	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW TOTAL RETURN BOND CLASS I	865 SOUTH FIGUERA STREET 22ND FLOOR LOS ANGELES, CA 90017	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW CORE FIXED INCOME CLASS N	865 SOUTH FIGUERA STREET 22ND FLOOR LOS ANGELES, CA 90017	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TCW TOTAL RETURN BOND CLASS N	865 SOUTH FIGUERA STREET 22ND FLOOR LOS ANGELES, CA 90017	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FOCUS DIVIDEND STRAT PORTFOLIO C	3200 PLAZA 5 HARBORSIDE FINANCIAL C JERSEY CITY, NJ 07311-4007	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS ACTIVE MID CAP FUND CL A	144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMERSTEELPATHMLP ALPHA PLUS	6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMERSTEELPATHMLP INCOME CL A	6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMERSTEELPATHMLP ALPHA A	6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMERSTEELPATHMLP SELECT 40 C	6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SOUND SHORE FD INC 8 SOUND SHORE DRIVE SUITE 180 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SMEAD VALUE FUND INVESTOR CL SHARES 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN SMALL CAP WORLD CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SELECTED AMERICAN SHARES CL S	2949 E. ELVIRA RD. TUCSON, AZ 85706	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AVE MARIA RISING DIVIDEND FUND	801 W. ANN ARBOR TRAIL SUITE 244 PLYMOUTH, MI 48170	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AVE MARIA CATHOLIC VALUES	801 W. ANN ARBOR TRAIL SUITE 244 PLYMOUTH, MI 48170	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SSGA S & P 500 INDEX	ONE LINCOLN ST. STATE STREET FINANC BOSTON, MA 02111-2900	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
SSGA EMERGING MARKETS	ONE LINCOLN ST. STATE STREET FINANC BOSTON, MA 02111-2900	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX INVERSE GOVT LONG BOND STRAT	9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX NASDAQ 100 INV CLASS 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX INVERSE NASDAQ 100 STRATEGY I 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX NASDAQ 100 2X STRATEGY CL H 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX INVERSE S&P 500 STRATEGY INV 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RYDEX PRECIOUS METALS INVESTOR CL 9601 BLACKWELL RD. STE. 500 ROCKVILLE, MD 20850	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE TOTAL RETURN FD INVESTMENT CL 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE SMALLER CO GROWTH SERVICE CL 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE MICROCAP INVESTMENT CLASS 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE TOTAL RETURN FD SVCS CL SH 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE PA MUTUAL FUND SERVICE CLASS 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE SPECIAL MULTI CAP FD SERVICE 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ROYCE MICRO CAP OPPORTUNITY SERVICE 745 FIFTH AVENUE SUITE 2400 NEW YORK, NY 10151	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MID CAP VALUE 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SMALL CAP VALUE FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SCIENCE & TECHNOLOGY 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE SMALL CAP STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW INCOME 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE LATIN AMERICA FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE EMERGING MKTS STOCK	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GLOBAL STOCK	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW ASIA	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE INTL DISCOVERY FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE INTERNATIONAL BOND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE NEW HORIZONS FD INC 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MID CAP GROWTH	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE BLUE CHIP GROWTH INC	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE CAPITALAPPRECIATION AD	4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE CAP APPRECIATION 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE EQUITY INCOME 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE DIVIDEND GROWTH 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM MULTI CAP CORE FUND CL A	ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM EQUITY SPECTRUM FUND CL A	ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM CAPITAL SPECTRUM FUND CL A	ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PUTNAM EQUITY INCOMECLASS A	ONE POST OFFICE SQUARE MAILZONE G3C BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRUDENTIAL JENNISON UTILITY CLASS C	655 BROAD STREET NEWARK, NJ 07102	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ULTRA EMERGING MRKTSPRO FD INVT CL	7501 WISCONSIN AVE SUITE 1000 EAST BETHESDA, MD 20814	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
UTILITIES ULTRA SECTOR PRO FUND INV	7501 WISCONSIN AVE SUITE 1000 EAST BETHESDA, MD 20814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THE OSTERWEIS STRATEGIC INCOME FD	777 E. WISCONSIN AVE 4TH FL MILWAUKEE, WI 53202	0.06%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HODGES SMALL CAP FUND	2905 MAPLE AVENUE DALLAS, TX 75201	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AKRE FOCUS FUND RETAIL	777 E. WISCONSIN AVE. 4TH FL MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL HIGH YIELD CL C	620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRINCIPAL MID CAP FUND CLASS C	620 COOLIDGE DRIVE SUITE 300 FOLSOM, CA 95630	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY STO CK FUND 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY AGGRESSIVE GRWTH F 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PRIMECAP ODYSSEY GROWTH FUND 2020 E. FINANCIAL WAY SUITE 100 GLENDORA, CA 91741	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT 2025 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT 2035 FUND 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RETIREMENT BALANCED 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE RET 2030 FD 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE HEALTH SCIENCES 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE GROWTH STOCK 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
T ROWE PRICE MEDIA & TELECOMMUNICAT 4515 PAINTERS MILL RD OWINGS MILLS, MD 21117	0.04%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER STRATEGIC INCOME CLASS C 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER MID CAP VALUE FD CLASS Y 60 STATE STREET 17TH FL. BOSTON, MA 02109	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER HIGH YIELD CLASS A	60 STATE STREET 17TH FL. BOSTON, MA 02109	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIONEER FUNDAMENTAL GROWTH FD CL C	60 STATE STREET 17TH FL. BOSTON, MA 02109	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO STOCKSPLUS SMALL FUND D	1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INCOME FUND CL C	1633 BROADWAY NEW YORK, NY 10019	0.20%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INCOME FUND CL D	1633 BROADWAY NEW YORK, NY 10019	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO REAL ESTATE REAL RETURN STRAT	1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO INVESTMENT GRADE CORP BOND CL 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERMANENT PORTFOLIO 600 MONTGOMERY ST. SUITE 4100 SAN FRANCISCO, CA 94111-2702	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PAX WORLD BALANCED INDIVIDUAL INV C 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS CORE EQUITY INVESTOR	ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS MID CAP	ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARNASSUS ENDEAVOR FUND	ONE MARKET STREET STEUART TOWER SUI SAN FRANCISCO, CA 94105	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO TOTAL RETURN CLASS D	1633 BROADWAY NEW YORK, NY 10019	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO FOREIGN BOND US DOLLAR HEDGED	1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIMCO TOTAL RETURN CLASS A	1633 BROADWAY NEW YORK, NY 10019	0.20%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMER DEV MARKETS CLASS C 6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	$16.50

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMER GLOBAL OPPORTUNITIES CL 6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMER GOLD & SPEC MINERALS CL 6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	$16.50

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPENHEIMER INTL SMLMID COMPANY CL 6801 SOUTH TUCSON WAY ENGLEWOOD, CO 80112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OBERWEIS INTL OPPORTUNITIES FUND 3333 WARRENVILLE ROAD STE. 500 LISLE, IL 60532	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACK OAK EMERGING TECHNOLOGY FUND 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
RED OAK TECHNOLOGY SELECT 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PIN OAK EQUITY FUND 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WHITE OAK SELECT GROWTH FUND 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN INTERNATIONALGROWTH FUND CL 333 WEST WACKER DRIVE CHICAGO, IL 60606	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NUVEEN SYMPHONY CREDIT OPPORTUNTS I 333 WEST WACKER DRIVE CHICAGO, IL 60606	0.07%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HCM DIVIDEND SECTOR PLUS FUND INVES 1145 HEMBREE ROAD ROSWELL, GA 30076	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABSOLUTE CAPITAL ASSET ALLOCATOR IN 101 PENNSYLVANIA BLVD PITTSBURGH, PA 15228	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PACIFIC FINANC FLEX GRWTH INCOME CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PACIFIC FINANCIAL DYNAMIC ALLOC INV 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALTEGRIS FUTURES EVOLUTION CL I 1200 PROSPECT STREET SUITE 400 LAJOLLA, CA 92037	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG CORE EQUITY FUND INVESTOR 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG EXPLORER FUND INVESTOR CLASS 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG INTERNATIONAL FUND INVESTOR CL 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG STRATEGIC CONSERVATIVE INVST 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PFG TACTICAL FUND INVESTOR CLASS 777 108TH AVE NE STE 2100 BELLEVUE, WA 98004	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN NEW WORLD FUND CLASS A	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN NEW PERSPECTIVE CLASS A	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN NEW ECONOMYFUND CLASS A	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NEUBERGER BERMAN GENESIS TRUST CLAS 605 3RD AVENUE 2ND FLOOR NEW YORK, NY 10158	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ASG MANAGED FUTURES STRATEY FUND CL 399 BOYLSTON STREET 8TH FLOOR BOSTON, MA 02116	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN MUTUAL EUROPEAN CLASS Z 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN MUTUAL GLOBL DISCOVERY Z 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	$16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN MUTUAL BEACON CLASS Z 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	$16.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EVENTIDE HEALTHCARE AND LIFE SCIENC 80 ARKAY SUITE 110 HAUPPAUGE, NY 11788	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EVENTIDE GILEAD FUND CLASS N	80 ARKAY SUITE 110 HAUPPAUGE, NY 11788	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MOTLEY FOOL EPIC VOYAGE FUND	2000 DUKE STREET SUITE 175 ALEXANDRIA, VA 22314	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MOTLEY FOOL GREAT AMERICA FUND	2000 DUKE STREET SUITE 175 ALEXANDRIA, VA 22314	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MOTLEY FOOL INDEPENDENCE FD 2000 DUKE STREET SUITE 175 ALEXANDRIA, VA 22314	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MSIF FRONTIER EMERGING MRKTS A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STANLEY GLOBAL OPPORTUNITY A 522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MORGAN STA INST INC. INTL EQUITY CL	522 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10036	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METROPOLITAN WEST TOTAL RETURN CLAS	865 SOUTH FIGUEROA ST. #1400 LOS ANGELES, CA 90017	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METROPOLITAN WEST LOW DURATION M	865 SOUTH FIGUEROA ST. #1400 LOS ANGELES, CA 90017	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
METROPOLITAN WEST TOT RETURN BOND C 865 SOUTH FIGUEROA ST. #1400 LOS ANGELES, CA 90017	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MERIDIAN CONTRARIAN FUND LEGACY 100 FILLMORE ST. DENVER, CO 80206	0.06%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS INDIA FUND 4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS CHINA FUND	4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS ASIAN GROWTH & INCOME FUND	4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATTHEWS EMERGING ASIA FUND INVESTO	4 EMBARCADERO CENTER SUITE 550 SAN FRANCISCO, CA 94111	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO GLOBAL FUND	235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO INTERNATL OPPORTUNITIES	235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO GROWTH FUND	235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MARSICO FOCUS 235 WEST GALENA STREET MILWAUKEE, WI 53212	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MANNING & NAPIER PROBLEND MOD CL S 290 WOODCLIFF DR. FAIRPORT, NY 14450	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TORTOISE MLP & PIPELINE FD INSTL 4200 WEST 115TH ST STE 1000 LEAWOOD, KS 66211	$12.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MAINSTAY LARGE CAP GROWTH FUND CLAS	169 LACKAWANNA AVE PARSIPPANY, NJ 07454	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MAINSTAY UNCONSTRND BOND OPPORTUNIT	169 LACKAWANNA AVE PARSIPPANY, NJ 07454	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS GLOBAL EQUITY CLASS C	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS UTILITIES CLASS A	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS VALUE FUND CL R2	111 HUNTINGTON AVE BOSTON, MA 02199-7632	$11.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MFS DIVERSIFIED INCOME FD CL C	111 HUNTINGTON AVE BOSTON, MA 02199-7632	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LORD ABBETT INCOME CLASS C	90 HUDSON STREET 10TH FLOOR JERSEY CITY, NJ 07302	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES BOND RETAIL SHARES	399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES GLOBAL BOND RETAIL	399 BOYLSTON STREET 8TH FL BOSTON, MA 02116	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LOOMIS SAYLES STRATEGIC INC Y 399 BOYLSTON STREET 8TH FLOOR BOSTON, MA 02116	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LONGLEAF PARTNERS 6410 POPLAR AVENUE SUITE 900 MEMPHIS, TN 38119	$20.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLEARBRIDGE AGGRESSIVE GROWTH A 620 8TH AVENUE 49TH FL. NEW YORK, NY 10018	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
QS US SMALL CAP CAP EQ CL A	620 8TH AVENUE 49TH FL. NEW YORK, NY 10018	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LAZARD EMERGING MKTSEQUITY OPEN CL	30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LAZARD INTL STRAT EQUITY PORT OPEN	30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
LAZARD GLOBAL LISTEDINFRASTRUCTURE 30 ROCKEFELLER PLAZA 57TH FL. NEW YORK, NY 10112	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
KINETICS PARADIGM FUND 470 PARK AVENUE SOUTH NEW YORK, NY 10016	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN INVESTOR GROWTH & INCOME C 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN MID CAP GROWTH FUDN CLASS 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN CORE BOND FUND CL A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.38%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN STRATEGIC INCOME OPPS SELE 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.07%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN VALUE ADV FD CLASS L 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.07%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN SMALL CAP EQUITY CLASS A 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JPMORGAN INFLATION MANAGED BOND SEL 1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.07%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHN HANCOCK SMALL COMPANY FUND CL 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHN HANCOCK DISCPLDVALUE MID CAP C 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	$19.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHN HANCOCK INTL GROWTH CL A 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JENSEN QUALITY GROWTH FD CL J	811 E. WISCONSIN AVE 8TH FLOOR MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS ENTERPRISE CLASS C	151 DETROIT ST. DENVER, CO 80206	$14.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL REAL ESTATE FUND CL T	151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS BALANCED FUND CLASS T	151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS RESEARCH FUND CLASS T	151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS OVERSEAS FUND CLASS T	151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS ENTERPRISE FUND CLASS T SHARE 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS HIGH YIELD FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL LIFE SCIENCES FUND CL 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL TECHNOLOGY CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS CONTRARIAN FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL SELECT CL T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FLEXIBLE BOND FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERKINS MID CAP VALUE FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PERKINS SMALL CAP VALUE CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS TRITON FUND CLASS T SHARES 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS VENTURE FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS TWENTY FUND CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GLOBAL RESEARCH FD CL T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS GROWTH AND INCOME CLASS T 151 DETROIT ST. DENVER, CO 80206	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JANUS FUND CLASS T SHARES 151 DETROIT ST. DENVER, CO 80206	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JAMES ADVANTAGE SMALL CAP 1290 BROADWAY ST. SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JAMES BALANCED GOLDEN RAINBOW FUND 1290 BROADWAY ST. SUITE 1100 DENVER, CO 80203	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JACOB INTERNET 653 MANHATTAN BEACH BLVD. #J MANHATTAN BEACH, CA 90266	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EUROPAC GOLD FUND CLASS A LOTS 81 82 STREET C SUITE 204 DORADO, PR 00646	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INVESTMENT CO OF AMERICA C 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN INCOME FUND OF AMERICA CLA 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ICON NATURAL RESOURCES FD CL S 5299 DTC BLVD. SUITE 1200 GREENWOOD VILLAGE, CO 80111	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY FOCUS FUND INVESTOR CLASS 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY CORNERSTONE MID CAP 30 INS 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.12%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HENNESSY CORNERSTONEGROWTH INV CL 7250 REDWOOD BLVD. STE 200 NOVATO, CA 94945	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HEARTLAND VALUE PLUS 789 N. WATER STREET MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD MID CAP FD CLASS I 5 RADNOR CORPORATE CENTER RADNOR, PA 19087	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
THE HARTFORD BALANCED INCOME CL I 5 RADNOR CORPORATE CENTER RADNOR, PA 19087	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD BALANCED INCOME FD CL C 5 RADNOR CORPORATE CENTER RADNOR, PA 19087	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARTFORD CAPITAL APPRECIATION CL A 5 RADNOR CORPORATE CENTER RADNOR, PA 19087	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK GLOBAL FUND I 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK SELECT I 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK EQUITY & INCOME FD I 111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK INTERNATIONAL CL I	111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK FUND I	111 SOUTH WACKER DR. CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARDING LOEVNER EMERG MRKTS PORT AD	PO BOX 4766 CHICAGO, IL 60680	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR GLOBAL GROWTHINVESTOR SHARE	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR CAPITAL APPRECIATION INV CL	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR INTERNATIONALINVESTOR SHARES	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR CAPITAL APPRECIAT INSTL	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR INTERNATIONAL INSTITUTIONAL	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR BOND INST	111 S. WACKER DR 34TH FLOOR CHICAGO, IL 60606	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JOHN HANCOCK INVST GRADE BOND CL A 601 CONGRESS ST. 9TH FL. BOSTON, MA 02210	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN GROWTH FUNDOF AMERICA CLAS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GOLDMAN SACHS MLP ENERGY INFRSTCTR 71 S. WACKER DRIVE 4TH FLOOR CHICAGO, IL 60606	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GOLDMAN SACHS TECH OPPORTUNITIES CL	71 S. WACKER DRIVE 4TH FLOOR CHICAGO, IL 60606	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GLENMEDE LARGE CAP CORE	1650 MARKET ST. SUITE 1200 PHILADELPHIA, PA 19103	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GLENMEDE LARGE CAP GROWTH	1650 MARKET ST. SUITE 1200 PHILADELPHIA, PA 19103	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GLENMEDE TOTAL MARKET PORTFOLIO	1650 MARKET ST. SUITE 1200 PHILADELPHIA, PA 19103	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI UTILITIES	401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI EQUITY INCOME FUND AAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI SMALL CAP GROWTH AAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI GOLD FUND CLAAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GABELLI ASSET FUND AAA	401 THEODORE FREMD. AVE. RYE, NY 10580	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FUNDX AGGRESSIVE UPGRADER FUND	235 MONTGOMERY ST #1049 SAN FRANCISCO, CA 94104	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FUND*X UPGRADER FUND	235 MONTGOMERY ST #1049 SAN FRANCISCO, CA 94104	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDAMENTALINVESTORS CLASS	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN BIOTECH DISCOVERY FUND A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN STRATEGIC INCOME CLASS A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN SMALL MID CAP GROWTH A 100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FRANKLIN TOTAL RETURN CL A	100 FOUNTAIN PARKWAY ST. PETERSBURG, FL 33716	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
POLARIS GLOBAL VALUE	THREE CANAL PLAZA SUITE 600 PORTLAND, ME 04101	0.12%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JP MORGAN MID CAP VALUE FUND SELECT	1111 POLARIS PARKWAY COLUMBUS, OH 43240	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST EAGLE GLOBAL CLASS A	1345 AVENUE OF THE AMERICAS 43RD FL NEW YORK, NY 10105	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST EAGLE OVERSEAS CLASS I	1345 AVENUE OF THE AMERICAS 43RD FL NEW YORK, NY 10105	0.09%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FAM VALUE	384 NORTH GRAND STREET COBLESKILL, NY 12043	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FEDERATED PRUDENT BEAR FUND CLASS A 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FEDERATED PRUDENT DOLLARBEAR CL A 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FEDERATED HIGH YIELDTRUST SS 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FEDERATED KAUFMANN CLASS R 4000 ERICSSON DRIVE WARRENDALE, PA 15086-7515	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FAIRHOLME FUND 4400 BISCAYNE BOULEVARD MIAMI, FL 33137	$8.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI INTERNATIONAL 777 EAST WISCONSIN AVENUE MK WI T4M MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FMI LARGE CAP FUND	777 EAST WISCONSIN AVENUE MK WI T4M MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA CRESCENT FUND	11601 WILSHIRE BLVD SUITE 1200 LOS ANGELES, CA 90025	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE GLOBAL MACRO ABSLTE RT	TWO INTERNATIONAL PLACE BOSTON, MA 02110	$16.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE FLOATINGRATE ADVANTAGE TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
PARAMETRIC COMMODITYSTRATEGIC INV C TWO INTERNATIONAL PLACE BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS EMERGING MARKETS SM CAP GR 25 EAST ERIE STREET CHICAGO, IL 60611	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS MICRO CAP GROWTH FUND 25 EAST ERIE STREET CHICAGO, IL 60611	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DRIEHAUS EMERGING MARKETS 25 EAST ERIE STREET CHICAGO, IL 60611	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS SMALL CAP STOCK INDEX 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS S & P 500 INDEX FUND	144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.30%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS STRUCTURED MID CAP FUND CL	144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.09%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS INTER TERM INCOME A	144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE LOW DURATION CL N	777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE CORE FIXED INCOME FD CL	777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE TOTAL RT BOND FD CL N	777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE TOTAL RETURN BOND FD CL 777 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DEUTSCHE SHORT DURATION FD CL S 280 PARK AVE FINANCE PCAM CTRL 9TH NEW YORK, NY 10026	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DELAWARE HEALTHCARE FUND INSTL 2005 MARKET STREET PHILADELPHIA, PA 19103	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DAVIS GLOBAL FUND CL C	2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85756	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DAVIS NEW YORK VENTURE CLASS A	2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85756	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA GLBL ENERGY&NATRAL RESOURC	225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA BALANCED FUND CL A	225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA BALANCED FD CL C	225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA LARGE CAP GROWTH FD III CL	225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA LARGE CAP GROWTH FD III CL 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA INCOME OPPORTUNITIES CL Z 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA ACORN SELECT CLASS Z 225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA ACORN CLASS Z	225 FRANKLIN ST BX25 10320 BOSTON, MA 02110	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COHEN & STEERS REALTY SHARES	280 PARK AVENUE 10TH FLOOR NEW YORK, NY 10017	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CLIPPER	2949 EAST ELVIRA ROAD SUITE 101 TUCSON, AZ 85706	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CENTURY SMALL CAP SELECT INSTITUTIO 1290 BROADWAY SUITE 1100 DENVER, CO 80203	0.12%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CAUSEWAY GLOBAL ABSOLUTE RTN INVST P. O. BOX 1100 OAKS, PA 19456	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL WORLD GRTH & INC A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL WORLD BOND CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CAPITAL INCOME BUILDER CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT GLOBAL ENERGY SOLUTIONS A 4550 MONTGOMERY AVENUESUITE 1000 N BETHESDA, MD 20814	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT ULTRA SHORT INCOME FUND CLA	4550 MONTGOMERY AVENUESUITE 1000 N BETHESDA, MD 20814	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALAMOS GROWTH CLASSA	2020 CALAMOS COURT NAPERVILLE, IL 60563	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BUFFALO SMALL CAP GROWTH	615 E. MICHIGAN STREET MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BUFFALO DISCOVERY FUND 615 E. MICHIGAN STREET MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN CAPITAL MGT SMALL COMPANY INV 1290 BROADWAY STE 1100 DENVER, CO 80203	0.05%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN ADVISORY SMALLCAP FUNDMNTL VA 777 E. WISCONSIN AVE 4TH FLOOR MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BRIDGEWAY AGGRESSIVEINVESTOR 1 20 GREENWAY PLAZA STE 450 HOUSTON, TX 77046	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BOND FUND OF AMERICA CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WILLIAM BLAIR INTL GROWTH CLASS N 222 WEST ADAMS STREET 12TH FLOOR CHICAGO, IL 60606	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK MULTI ASSET INCOME PORT A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK STRATEGIC INC OPP PORT CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK STRATEGIC INCOME OPPRTSI 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK GLOBAL ALLOCATION FD INST 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK GLOBAL ALLOCATION FUND CL 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK HEALTH SCIENCES OPP PRT A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK HIGH YIELDBOND CLASS A 40 EAST 52ND STREET NEW YORK, NY 10022	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BLACKROCK LOW DURATION BOND INSTL 40 EAST 52ND STREET NEW YORK, NY 10022	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON EMERGING MARKETS FUND RETAIL 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON PARTNERS FUND 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BARON SMALL CAP FD 767 5TH AVENUE 49TH FLOOR NEW YORK, NY 10153	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABERDEEN SELECT INTLEQUITY FUND CL 1735 MARKET STREET 32ND FLOOR PHILADELPHIA, PA 19103	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN VALUE FUND INVESTOR CL	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN GLOBAL OPPORTUNITIES INV	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN DEVELOPING WORLD FD INVESTO	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN INTERNATL INVESTOR CLASS	875 EAST WISCONSIN AVENUE SUITE 800 MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARIEL FUND	811 E. WISCONSIN AVENUE. MK WI J8NF MILWAUKEE, WI 53202	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN MUTUAL FUNDCLASS A	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN HIGH INCOMETRUST CLASS A 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN DEVELOPING WORLD GRWTH & I 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN FUNDS INFLTLINKED BOND CL 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN US GOVT SECURITIES CLASS 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY ULTRA INVESTOR CLA 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY GROWTH INVESTOR CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY CHGAL GOLD INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY REAL ESTATE INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY SMALL CAP VALUE IN 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY MIDCAP VALUE INV S 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY VALUE INV CL 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY EQUITY INCOME INV 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.35%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMER CENTURY ZERO COUPON 2025 INVT 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMER CENTURY ZERO COUPON 2020 INVT 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN CENTURY HIGH YIELD INV FD 4400 MAIN ST 1ST FLOOR KANSAS CITY, MO 64111	0.30%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BEACON THE LONDON CO INC E 220 EAST LAS COLINAS BLVD SUITE 120 IRVING, TX 75039	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMER BEACON BRDGWAY LARGE CAP VAL I 220 EAST LAS COLINAS BLVD SUITE 120 IRVING, TX 75039	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BALANCED CLASS F1 3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	0.37%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN BEACON MID CAP INVESTOR	220 EAST LAS COLINAS BLVD SUITE 120 IRVING, TX 75039	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMERICAN AMCAP CLASS A	3500 WISEMAN BLVD SAN ANTONIO, TX 78251-4321	$18.00

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMANA MUTUAL FUND TRUST GROWTH	1300 NORTH STATE STREET BELLINGHAM, WA 98225	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMANA MUTUAL FUND TRUST INCOME 1300 NORTH STATE STREET BELLINGHAM, WA 98225	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI GLOBAL DYNAMIC ALLOCATION 1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI CONVERTIBLE CL A 1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI TECHNOLOGY CL C	1633 BROADWAY NEW YORK, NY 10019	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI TECHNOLOGY INST	1633 BROADWAY NEW YORK, NY 10019	0.10%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI HEALTH SCIENCES CLASS A	1633 BROADWAY NEW YORK, NY 10019	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ALLIANZGI NFJ SMALL CAP VALUE CL A	1633 BROADWAY NEW YORK, NY 10019	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AB SELECT US LONG SHORT PORT ADVISO	8000 IH 10 WEST SUITE 1400 SAN ANTONIO, TX 78230	0.08%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AB MUNI INCOME ARIZONA CL A	8000 IH 10 WEST SUITE 1400 SAN ANTONIO, TX 78230	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HUBER CAPITAL EQUITYINCOME FUND INV 2321 ROSECRANS AVE. SUITE 3245 EL SEGUNDO, CA 90245	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HUBER CAPITAL SMALL CAP VALUE INVES 2321 ROSECRANS AVE. SUITE 3245 EL SEGUNDO, CA 90245	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ADV PREFERRED GOLD BULLION STRAT IN 1445 RESEARCH BLVD SUITE 530 ROCKVILLE, MD 20850	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DREYFUS DYNAMIC TOTAL RETURN FD CL 144 GLENN CURTISS BLVD 8TH FLOOR UNIONDALE, NY 11556	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABERDEEN GLB UNCONSTFIXED INC INSTL 1735 MARKET STREET 32ND FLOOR PHILADELPHIA, PA 19103	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ABERDEEN U.S. SMALL CAP EQUITY INST 1735 MARKET STREET 32ND FLOOR PHILADELPHIA, PA 19103	0.10%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AQR LONG SHORT EQUITY CL N 1 GREENWICH PLAZA GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG MANAGERS LMCG SMCAP GROWTH CLAS 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG YACKTMAN FUND I 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG YACKTMAN FOCUSED S	600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG MANAGERS SKYLINESPECIAL EQUITIE	600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG MANAGERS CENTER SQUARE REAL EST	600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AMG GW&K CORE BOND FUND CLASS I 600 STEAMBOAT ROAD SUITE 300 GREENWICH, CT 06830	0.06%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO AMERICAN FRANCHISE FUND CL 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO TECHNOLOGY INV CLASS 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO GLOBAL HEALTH CARE FD INV 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVESCO SMALL CAP GROWTH INVESTOR S 11 GREENWAY PLAZA SUITE 100 HOUSTON, TX 77046	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
FIRSTENERGY CORP.

D Employer Identification Number (EIN)
34-1843785

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK ACWI EX-US IMI

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-4552994-001	C	72878171

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH RET

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST CO.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
33-1162478-001	C	89984534

a Name of MTIA, CCT, PSA, or 103-12 IE: JPM GLOBAL OPPS

b Name of sponsor of entity listed in (a): JPMORGAN CHASE BANK, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
27-0772359-001	C	11252963

a Name of MTIA, CCT, PSA, or 103-12 IE: PAL CAP SM CP GR EQ

b Name of sponsor of entity listed in (a): PALISADE CAPITAL MANAGEMENT LLC

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
45-4595065-002	C	3555308

a Name of MTIA, CCT, PSA, or 103-12 IE: MELLON MKT CMPLETION

b Name of sponsor of entity listed in (a): MELLON INSTITUTIONAL FUNDS

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
25-9078093-155	C	154916785

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2025

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
33-6371109-001	C	116933929

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2055

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
27-4255853-001	C	22091292

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2035

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371111-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 43787183

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2030

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371110-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 77544928

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2050

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371114-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 32263037

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK EQUITY INDEX

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 45-4365907-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 785035386

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2020

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371108-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 132221396

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2060

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 47-1908988-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 1610044

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2040

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371112-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 27411891

a Name of MTIA, CCT, PSA, or 103-12 IE: BLKRK LIFEPATH 2045

b Name of sponsor of entity listed in (a): BLACKROCK INST. TRUST COMPANY, N.A.

c EIN-PN 33-6371113-001	**d** Entity code C	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 26089812

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
FIRSTENERGY CORP.

D Employer Identification Number (EIN)
34-1843785

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	45387	100525
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	1102117	1195559
(2) Participant contributions	1b(2)	3201149	3549535
(3) Other	1b(3)	13732845	4882267
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	16569336	20650156
(2) U.S. Government securities	1c(2)	0	344566739
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	69356	128918
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	170235	191584
(B) Common	1c(4)(B)	40742959	46531017
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	59649938	60108033
(9) Value of interest in common/collective trusts	1c(9)	1456365792	1597576659
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	980324630	944913710
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	535294007	220925252
(15) Other	1c(15)	0	0

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)	334182094	332455868
	(2) Employer real property	1d(2)	0	0
e	Buildings and other property used in plan operation	1e	0	0
f	Total assets (add all amounts in lines 1a through 1e)	1f	3441449845	3577775822
	Liabilities			
g	Benefit claims payable	1g	0	0
h	Operating payables	1h	35464836	27200609
i	Acquisition indebtedness	1i	0	0
j	Other liabilities	1j	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	1k	35464836	27200609
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	3405985009	3550575213

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	45285310	
	(B) Participants	2a(1)(B)	133114257	
	(C) Others (including rollovers)	2a(1)(C)	8469867	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		186869434
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	26185	
	(B) U.S. Government securities	2b(1)(B)	0	
	(C) Corporate debt instruments	2b(1)(C)	4040	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	2499892	
	(F) Other	2b(1)(F)	27690093	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		30220210
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	10873	
	(B) Common stock	2b(2)(B)	15813079	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1550587	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		17374539
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	154805674	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	149730835	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		5074839
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	-10001252	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		-10001252

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		148720724
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		45846814
c Other income	2c		0
d Total income. Add all **income** amounts in column (b) and enter total	2d		424105308
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	273548141	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		273548141
f Corrective distributions (see instructions)	2f		54
g Certain deemed distributions of participant loans (see instructions)	2g		283999
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	0	
(4) Other	2i(4)	5682910	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		5682910
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		279515104
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		144590204
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [X] Unqualified **(2)** [ ] Qualified **(3)** [ ] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [ ] Yes [X] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: BOBER, MARKEY, FEDOROVICH & COMPANY **(2)** EIN: 34-1523030

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		25000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l	X		1385
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X	
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FIRSTENERGY CORP. SAVINGS PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
FIRSTENERGY CORP.

D Employer Identification Number (EIN)
34-1843785

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter _____/_____/_____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter _____/_____/_____.

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	Description of Assets	Purchase Price	Selling Price	Lease Rental	Expenses Incurred in Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
	Single							
	N/A							
	Series							
*	STATE STREET STIF *735 Transactions*	$ 581,739,656	N/A	N/A	$ —	$ 581,739,656	$ 581,739,656	N/A
*	STATE STREET STIF *919 Transactions*	N/A	$ 569,139,733	N/A	$ —	$ 569,139,733	$ 569,139,733	$ —
	JP Morgan Term Repo *1 Transaction*	$ 103,600,000	N/A	N/A	$ —	$ 103,600,000	$ 103,600,000	N/A
	JP Morgan Term Repo *1 Transaction*	N/A	$103,600,000	N/A	$ —	$ 103,600,000	$ 103,600,000	$ —
	FNMA TBA 30 YR 3 *14 Transactions*	$ 84,511,656	N/A	N/A	$ —	$ 84,511,656	$ 84,511,656	N/A
	FNMA TBA 30 YR 3 *18 Transactions*	N/A	$111,906,884	N/A	$ —	$ 112,532,249	$ 111,906,884	$ (625,365)

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	**Description of Assets**	**Purchase Price**	**Selling Price**	**Lease Rental**	**Expenses Incurred in Transaction**	**Cost of Asset**	**Current Value of Asset on Transaction Date**	**Net Gain (Loss)**
	FNMA TBA 30 YR 3 *21 Transactions*	$ 117,188,337	N/A	N/A	$ —	$ 117,188,337	$ 117,188,337	N/A
	FNMA TBA 30 YR 3 *21 Transactions*	N/A	$ 117,341,806	N/A	$ —	$ 117,188,337	$ 117,341,806	$ 153,469
	US TREASURY N/B *8 Transactions*	$ 179,061,162	N/A	N/A	$ —	$ 179,061,162	$ 179,061,162	N/A
	US TREASURY N/B *9 Transactions*	N/A	$ 160,996,094	N/A	$ —	$ 161,077,285	$ 160,996,094	$ (81,191)
	US TREASURY N/B *19 Transactions*	$ 123,379,501	N/A	N/A	$ —	$ 123,379,501	$ 123,379,501	N/A
	US TREASURY N/B 16 Transactions	N/A	$ 115,902,034	N/A	$ —	$ 116,276,715	$ 115,902,034	$ (374,681)

* Parties-in-interest

FIRSTENERGY CORP. SAVINGS PLAN

REPORT ON AUDITS OF FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION

December 31, 2016 and 2015

FirstEnergy Corp. Savings Plan
Table of Contents

	Page
Independent Auditors' Report	1 – 2
Financial Statements:	
Statements of Net Assets Available for Benefits as of December 31, 2016 and 2015	3
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2016	4
Notes to Financial Statements	5 – 11
Supplemental Schedules:	
Schedule H, line 4i – Schedule of Assets (Held at End of Year)	12 – 27
Schedule H, line 4j – Schedule of Reportable Transactions	28 – 29

All other schedules of additional financial information are omitted as they are not applicable or are not required based on the disclosure requirements of the Employee Retirement Income Security Act of 1974 and applicable regulations issued by the United States Department of Labor.

BOBER MARKEY FEDOROVICH
CERTIFIED PUBLIC ACCOUNTANTS/BUSINESS ADVISORS
A PROFESSIONAL CORPORATION

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INDEPENDENT AUDITORS' REPORT

To the Participants and Savings Plan Committee of the
FirstEnergy Corp. Savings Plan
Akron, Ohio

We have audited the accompanying financial statements of FirstEnergy Corp. Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statement of change in net assets available for benefits for the year ended December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2016 and 2015, and the changes in net assets available for benefits for the year ended December 31, 2016, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2016 and Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2016, are presented for the purpose of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Bober, Markey, Fedorovich & Company

BOBER, MARKEY, FEDOROVICH & COMPANY
Akron, Ohio

June 27, 2017

bmf

FirstEnergy Corp. Savings Plan

Statements of Net Assets Available for Benefits

	As of December 31,	
	2016	**2015**
Assets		
Investments:		
Investments, at fair value (see Note 5)	$ 2,944,601,484	$ 2,823,163,330
Investments, at contract value (see Note 6)	565,637,389	543,354,776
Total investments	3,510,238,873	3,366,518,106
Receivables:		
Accrued interest and dividends	2,014,476	2,466,671
Employer contributions	1,195,559	1,102,117
Employee contributions	3,549,535	3,201,149
Notes receivable from participants	60,108,033	59,649,938
Due from brokers	2,867,791	11,266,174
Total receivables	69,735,394	77,686,049
Total assets	3,579,974,267	3,444,204,155
Liabilities		
Administrative expenses payable	2,402,346	2,600,764
Due to brokers	24,798,263	32,864,072
Total liabilities	27,200,609	35,464,836
Net assets available for benefits	$ 3,552,773,658	$ 3,408,739,319

The accompanying notes are an integral part of these financial statements.

FirstEnergy Corp. Savings Plan

Statement of Changes in Net Assets Available for Benefits

	For the Year Ended December 31, 2016
Additions:	
Contributions	
Employer	$ 45,285,310
Participant	141,584,124
Total contributions	186,869,434
Investment Income	
Interest and dividends	47,594,749
Net appreciation in fair value of investments	186,585,368
Total investment income	234,180,117
Interest income on notes receivable from participants	2,499,892
Deductions:	
Distributions to participants	(273,832,194)
Administrative expenses	(5,682,910)
Total deductions	(279,515,104)
Increase in net assets available for benefits	144,034,339
Net assets available for benefits, beginning of year	3,408,739,319
Net assets available for benefits, end of year	$ 3,552,773,658

The accompanying notes are an integral part of these financial statements.

1. Description of the Plan

The following brief description of the FirstEnergy Corp. Savings Plan (Plan) is provided for general information purposes only. Participants should refer to the Plan documents for more complete information.

General

The Plan is a defined contribution plan and was established to provide a systematic savings program for eligible employees and to supplement such savings with employer contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA) and the Internal Revenue Code of 1986, as amended (IRC).

Administration

FirstEnergy Corp. (FirstEnergy) is the sponsor of the Plan. The FirstEnergy Savings Plan Committee is the administrator of the Plan and has responsibility for the day-to-day administration of the Plan. Plan assets are held in trust under an arrangement with State Street Bank & Trust Company (State Street). Record keeping with respect to individual participant accounts is maintained by Fidelity Investments (Fidelity).

Participation

Generally, all full-time and part-time employees of FirstEnergy and its subsidiaries not represented by a collective bargaining union are eligible to participate in the Plan. Full-time and part-time employees represented by a labor union may participate to the extent permitted by their respective collective bargaining agreement.

New employees who do not make an affirmative election to make (or not make) pre-tax contributions to the Plan are deemed to have made an election to contribute 3% of eligible earnings for each payroll period increasing by 1% each April until the employee contribution rate reaches the amount that will be subject to matching contributions. Certain union participants are automatically enrolled at 2%, Utility Workers Union of America (UWUA) Local 180 is without escalation and International Brotherhood of Electrical Workers (IBEW) Local 777 has an increase of 1% per year up to 4%. A new employee who has not made an affirmative election as to the investment of his or her account shall have his or her account invested in the age appropriate LifePath Portfolio Fund made available to Plan participants. A LifePath Portfolio Fund is an investment alternative that provides a mixture of fixed income and equity investments that are matched to an individual's age and assumed retirement date.

Contributions

Subject to IRC limitations, the Plan allows each participant to contribute 1% to 75% (22% for participants represented by UWUA Local 180) of their eligible earnings to the Plan through payroll deductions. Participant contributions may be made as before-tax, after-tax or Roth 401(k) contributions (UWUA Local 180 and IBEW Local 777 are not eligible to elect Roth 401(k) contributions). During any calendar year in which a participant attains age 50 or older, he or she may elect to make additional pre-tax contributions and/or Roth contributions, called "catch-up" contributions. In order to be eligible to make catch-up contributions, the participant must anticipate that his or her pre-tax contributions and/or Roth contributions to the Plan will reach the applicable annual IRC limit on that type of contribution.

FirstEnergy makes a matching contribution of 50% on the first 6% of eligible compensation contributed by an employee, except for IBEW Local 777 and UWUA Local 180 participants who receive a matching contribution of 80% and 60%, respectively, on the first 4% of eligible contributions. All employer matching contributions are invested in FirstEnergy common stock, except for certain IBEW Local 777 and UWUA Local 180 participants whose matching contributions are invested in cash. Catch-up contributions are not eligible for matching contributions. The number of shares of FirstEnergy common stock contributed to each participant is based on the market price of FirstEnergy common stock as of the end of each pay period. FirstEnergy matching contributions are non-cash contributions made by issuing shares of common stock. Plan participants may diversify matching contributions held in FirstEnergy common stock at any time, subject to certain limitations.

Participant Accounts

Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contributions, employer matching contributions and allocated Plan earnings. Participants are vested in the entire value of their account at all times.

Investments
Participants direct the investment of their contributions and account balances into various investment options including ten LifePath Funds that include a diversified mix of asset classes based on expected retirement dates, sixteen Plan investment options with different objectives covering a range of asset classes and risk levels and a self-managed brokerage account that offers participants the ability to invest in any security listed on the New York Stock Exchange (NYSE), the NYSE MKT LLC (formerly known as the American Stock Exchange or NYSE Amex LLC) or the NASDAQ and a wide range of mutual funds.

The Plan's investment options include a fund of shares of FirstEnergy common stock, which together with a small portion of cash maintained for liquidity purposes, are recorded on a unit basis. FirstEnergy's common shares are traded on the NYSE and participation units in the FirstEnergy Common Stock Fund are valued at the NYSE closing price on the last business day of the plan year. The valuation per share of FirstEnergy's common stock was $30.97 and $31.73 at December 31, 2016 and 2015, respectively. The valuation per unit of the FirstEnergy Common Stock Fund was $37.86 and $38.71 at December 31, 2016 and 2015, respectively, due to cash maintained in the fund for liquidity purposes.

Notes Receivable from Participants
Participants may borrow up to 50% of their total account balance, excluding their Roth 401(k) balance, not exceeding $50,000 including loans outstanding and the highest unpaid loan balance over the previous 12 months. The interest rate for new loans is adjusted each quarter to the prime rate plus 1% based on the prime rate on approximately the 15th day of the last month of the preceding quarter. The range of interest rates on outstanding loan balances during the year ended December 31, 2016 was 4.25% to 10%. Participants may have up to two loans outstanding at one time. The minimum loan amount is $1,000 and must be repaid within 6 to 60 months. If the loan is for the purchase of a principal residence, the loan repayment period can be extended up to 30 years. Principal repayments and interest are credited to the participant's account when made, generally through payroll deduction.

Payment of Benefits
Upon termination of service due to disability, retirement or any other reason, a participant may elect to receive the full value or a portion of his or her account, defer payment to a later date (not beyond age 70-1/2) or receive installment payments. In the event of a participant's death, the participant's spouse may elect to withdraw all or a portion of the former participant's account or keep the funds in the Plan in accordance with provisions of the Plan, all other beneficiaries must withdraw or rollover the former participant's account within 180 days.

Subsequent Events
Management evaluates events and transactions occurring subsequent to the date of the financial statements that affect recognition or disclosure to the financial statements.

2. Summary of Significant Accounting Policies

The accompanying financial statements have been prepared on the accrual basis of accounting. Benefits are recorded when paid. The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (GAAP) requires management to make estimates and assumptions that affect reported amounts and disclosures. Actual results may differ from these estimates.

The Plan's investments are stated at fair value. Fair value reflects the price that would be received for an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between willing market participants on the measurement date. See Note 5 for a discussion of fair value measurements. Contract value is the relevant measurement attribute for that portion of the net assets available for benefits of the Plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

Notes receivable from participants represent participant loans and are measured at their unpaid principal balance plus any accrued interest. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document. Repayments of principal and interest are recognized in the period in which payroll deductions are processed.

Investment income consists of interest and dividend income. The net appreciation (depreciation) in the fair value of investments consists of realized gains (losses) and unrealized appreciation (depreciation) on those investments.

Dividend income is accrued on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis. Realized gains and losses from security transactions are reported based on historical cost.

Expenses for the administration of the Plan are generally paid by the Plan.

3. Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update No. 2015-07, *Fair Value Measurement (Topic 820): Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent)* (ASU 2015-07). ASU 2015-07 removes the requirement to include investments in the fair value hierarchy disclosure for which fair value is measured using the net asset value per share as a practical expedient under Topic 820. The plan adopted ASU 2015-12 as of December 31, 2016. There was no impact to the plan financials.

4. Plan Termination

Although FirstEnergy has not expressed any intent to do so, it reserves the right to discontinue or terminate the Plan at any time. If the Plan were terminated, in whole or in part, participants would be entitled to withdraw the full value of their accounts, to the extent allowed by law.

5. Investments and Fair Value Measurements

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

Authoritative accounting guidance establishes a fair value hierarchy that prioritizes the inputs used to measure fair value. This hierarchy gives the highest priority to Level 1 measurements and the lowest priority to Level 3 measurements.

The three levels of the fair value hierarchy are as follows:

Level 1 – Quoted prices for identical instruments in active markets.

Level 2 – Quoted prices for similar instruments in active markets;
– quoted prices for identical or similar instruments in markets that are not active; and
– model-derived valuations for which all significant inputs are observable market data.

Models are primarily industry-standard models that consider various assumptions, including quoted forward prices for commodities, time value, volatility factors and current market and contractual prices for the underlying instruments, as well as other relevant economic measures.

Level 3 – Valuation inputs are unobservable and significant to the fair value measurement.

The Plan did not hold any Level 3 investments as of December 31, 2016 or 2015 or during the year ended December 31, 2016.

There were no changes in valuation methodologies for assets measured at fair value during 2016.

The following tables set forth, by level within the fair value hierarchy, the fair value of the Plan's investments as of December 31, 2016 and 2015:

	December 31, 2016		
	Level 1	**Level 2**	**Total**
Cash and Cash Equivalents	$ —	$ 4,944,296	$ 4,944,296
Common Collective Trusts	—	1,583,189,917	1,583,189,917
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	330,093,147	—	330,093,147
Cash and Cash Equivalents	—	1,417,891	1,417,891
Total FirstEnergy Common Stock Fund	330,093,147	1,417,891	331,511,038
Registered Investment Companies	686,285,793	229,962,736	916,248,529
Self Managed Brokerage Accounts[1]	—	108,707,704	108,707,704
Total Investments at Fair Value[2]	$ 1,016,378,940	$ 1,928,222,544	$ 2,944,601,484

[1] The brokerage account investments are directed by participants.

[2] Values do not include investments at contract value.

	December 31, 2015		
	Level 1	Level 2	Total
Cash and Cash Equivalents	$ —	$ 5,637,239	$ 5,637,239
Common Collective Trusts	—	1,443,840,631	1,443,840,631
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	331,084,391	—	331,084,391
Cash and Cash Equivalents	—	614,972	614,972
Total FirstEnergy Common Stock Fund	331,084,391	614,972	331,699,363
Registered Investment Companies	685,137,585	257,505,354	942,642,939
Self Managed Brokerage Accounts[1]	—	99,343,158	99,343,158
Total Investments at fair value [2]	$ 1,016,221,976	$ 1,806,941,354	$ 2,823,163,330

[1] The brokerage account investments are directed by participants.

[2] Values do not include investments at contract value.

6. Fully Benefit-Responsive Investment Contracts

The Plan has an interest in synthetic guaranteed investment contracts (GICs) as part of the Capital Preservation Fund, which is managed by PIMCO.

In accordance with authoritative guidance for defined contribution plans, investment contracts are generally measured at contract value rather than fair value to the extent they are fully benefit-responsive. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants transact with their investment in the Capital Preservation Fund at contract value as determined by the insurers and banks. No valuation reserve in relation to the contract value was deemed necessary. The Statement of Changes in Net Assets Available for Benefits and the Statements of Net Assets Available for Benefits present the Synthetic GIC's on a contract value basis.

A synthetic investment contract includes a wrapper contract, which is an agreement for the wrap issuer, such as a bank or insurance company, to make payments to the Plan in certain circumstances. The wrapper contract typically includes certain conditions and limitations on the underlying assets owned by the Plan.

The synthetic investment contracts held by the Plan include wrapper contracts that provide a guarantee that the credit rate will not fall below 0 percent. Cash flow volatility (for example, timing of benefit payments) as well as asset underperformance can be passed through to the Plan through adjustments to future contract crediting rates. Formulas are provided in each contract that adjusts renewal crediting rates to recognize the difference between the fair value and the book value of the underlying assets. Crediting rates are reviewed monthly for resetting.

Certain events limit the ability of the Plan to transact with the issuer at contract value. These events include closings (location, subsidiary, division), layoffs, Plan termination, bankruptcy or reorganization, corporate merger, early retirement incentive programs or similar events. The Plan Administrator does not believe any such events, of the magnitude that would limit the Plan's ability to transact at contract value, are probable. The GICs do not permit the issuers to terminate the contracts at an amount other than contract value.

The following represents the disaggregation of contract value between types of investment contracts held by the Plan at December 31, 2016 and 2015:

	2016	2015
Short-term investment fund	$ 23,933,495	$ 16,644,003
Synthetic GIC's	541,703,894	526,710,773
Total Investments at contract value	$ 565,637,389	$ 543,354,776

The synthetic GIC's are presented on Schedule H, line 4i - Schedule of Assets (Held at End of Year) at fair value as a requirement of the Form 5500. The fair value of the synthetic GICs represents the total fair value of the underlying assets plus the wrap value, which is calculated by using the discounted cash flows of the difference between the current wrap fee and the market indication wrap fee.

7. Tax Considerations

The Plan is exempt from federal, state and local income taxes. The Plan obtained its latest favorable determination letter on October 27, 2016, in which the Internal Revenue Service (IRS) stated that the Plan, as then designed, was in compliance with the applicable requirements of the IRC. The Plan has been amended since receiving the determination letter. However, the Plan Administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC.

The federal, state and local income tax treatments of distributions from the Plan depend upon when they are made and their form. The withdrawal of the principal amount of a participant's after-tax contribution is not, however, subject to tax. For tax years beginning after December 31, 1986, the Tax Reform Act of 1986 requires that an additional tax of 10% be applied to participant withdrawals from the Plan prior to death, disability, attainment of age 59-1/2 or under certain other limited circumstances. In the case of withdrawal by a participant employed by FirstEnergy prior to the attainment of age 59-1/2, the excess of the value of the withdrawal over the total amount of the participant's after-tax contributions, is taxable at ordinary income tax rates. The value of FirstEnergy's common stock withdrawn is considered to be its fair value on the date it is withdrawn.

In the case of a distribution that qualifies as a lump-sum distribution upon a participant's termination of employment with FirstEnergy or after attaining the age of 59-1/2, only the excess of the value of the lump sum distribution over the amount of the participant's after-tax contributions to the Plan (less withdrawals) is taxable at ordinary income tax rates. In determining the value of the lump-sum distribution, the FirstEnergy common stock distributed in-kind or in cash is measured at fair value on the date it is withdrawn.

The Plan is subject to audits by taxing jurisdictions. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more than likely would not be sustained upon examination by taxing authorities. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016 and 2015, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements.

8. Party-In-Interest Transactions

Certain Plan investments are shares of mutual funds managed by State Street Global Advisors. State Street, a related company, is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

PIMCO is the fund manager of the Capital Preservation Fund and invests in certain investments managed by PIMCO and therefore, these transactions qualify as party-in-interest transactions.

Certain Plan investments are shares of FirstEnergy common stock with sales and purchases of the stock commencing on an as-needed basis. The investments and transactions qualify as party-in-interest transactions.

Additionally, the Plan uses the services of other fund managers that are paid directly by the Plan.

All of the above transactions are exempt from the prohibited transaction rules of ERISA.

9. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits, investment income and interest income on notes receivable per the financial statements to Form 5500 as of December 31, 2016 and 2015, and for the year ended December 31, 2016:

	2016	2015
Net assets available for benefits per the financial statements	$ 3,552,773,658	$ 3,408,739,319
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	(2,198,445)	(2,754,310)
Net assets available for benefits per Form 5500	$ 3,550,575,213	$ 3,405,985,009

Total investment income and interest income on notes receivable from participants per the financial statements	$ 236,680,009
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	555,865
Investment income per Form 5500	$ 237,235,874

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost ($)	(e) Current Value ($)
*	STATE STREET STIF	Money market fund	**	$ 4,944,296
*	FIRSTENERGY COMMON STOCK FUND	FirstEnergy common stock	**	331,511,038
	FIDELITY PURITAN FUND	Balanced fund	**	123,932,868
	ACWI EX US - M	International stocks	**	72,760,274
	DFA US SMALL CAP VALUE PORTFOLIO	Small cap value stocks	**	135,717,146
	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	Bonds	**	15,022,966
	AMERICAN FUNDS EUROPACIFIC GROWTH FUND	International stocks	**	112,406,650
	BLACKROCK LIFEPATH INDEX RETIREMENT FUND	Blend of stocks, fixed income	**	89,337,121
	BLACKROCK LIFEPATH INDEX 2020 FUND	Blend of stocks, fixed income	**	132,113,228
	BLACKROCK LIFEPATH INDEX 2025 FUND	Blend of stocks, fixed income	**	116,557,150
	BLACKROCK LIFEPATH INDEX 2030 FUND	Blend of stocks, fixed income	**	77,617,236
	BLACKROCK LIFEPATH INDEX 2035 FUND	Blend of stocks, fixed income	**	43,684,429
	BLACKROCK LIFEPATH INDEX 2040 FUND	Blend of stocks, fixed income	**	27,315,552
	BLACKROCK LIFEPATH INDEX 2045 FUND	Blend of stocks, fixed income	**	25,969,850
	BLACKROCK LIFEPATH INDEX 2050 FUND	Blend of stocks, fixed income	**	32,085,003
	BLACKROCK LIFEPATH INDEX 2055 FUND	Blend of stocks, fixed income	**	21,918,022
	BLACKROCK LIFEPATH INDEX 2060 FUND	Blend of stocks, fixed income	**	1,582,457
	DODGE & COX INTERNATIONAL FUND	International stocks	**	38,159,103
	JP MORGAN GLOBAL OPPORTUNITIES FUND	Global Stocks	**	11,291,292
	SELF MANAGED BROKERAGE ACCOUNTS	Equities, fixed income	**	108,707,704
	TOTAL BOND MARKET INDEX	Bonds	**	98,649,624
	SMALL CAP GROWTH EQUITY	Small cap growth stocks	**	3,646,719
	MARKET COMPLETION INDEX	Blend of large, mid cap and small cap stocks	**	154,481,856
	MID CAP VALUE - I	Mid cap value stocks	**	27,537,932
	EQUITY INDEX - M	Large cap stocks	**	784,121,020
*	CAPITAL PRESERVATION FUND			
	STATE STREET STIF	Money market fund	**	23,933,495
	ABBVIE INC SR UNSEC	Corporate - 2.85%, 2023	**	194,722
	ABBVIE INC SR UNSEC	Corporate - 3.20%, 2022	**	1,004,792
	ACE INA HOLDINGS SR UNSEC	Corporate - 3.35%, 2024	**	3,577,864
	AMERICAN EXPRESS CREDIT SR UNSEC	Corporate - 1.67%, 2020	**	402,720
	AMXCA 2014-3 A	Cash Equivalent - 1.49%, 2020	**	2,406,612
	BACM 2007-1 A1A	Cash Equivalent - 5.43%, 2049	**	791,913
	BAIDU INC SR UNSEC	Corporate - 2.75%, 2019	**	3,048,486
	BANK OF AMERICA CORP SR NT	Corporate - 5.63%, 2020	**	5,136,351
	BANK OF NY MELLON CORP SRUNSEC	Corporate - 2.60%, 2020	**	2,026,705

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	BARCLAYS PLC SR UNSEC	Corporate - 3.20%, 2021	**	697,512
	BERKSHIRE HATHAWAY INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	12,798
	BK TOKYO-MITSUBISHI UFJ UNSEC 144A	Corporate - 2.35%, 2019	**	2,510,386
	BNP PARIBAS GLBL SR UNSEC BKNT	Corporate - 5.00%, 2021	**	3,866,880
	BP CAPITAL MARKETS PLC CO GTD	Corporate - 4.74%, 2021	**	2,199,555
	BPCE SA SR UNSEC	Corporate - 4.00%, 2024	**	3,659,430
	CASH COLLATERAL CME FOB USD	Cash Equivalent - 0.45%, 2060	**	217,096
	CASH COLLATERAL FUT FOB USD	Cash Equivalent - 0.45%, 2060	**	152,897
	CASH COLLATERAL ICE FOB USD	Cash Equivalent - 0.45%, 2060	**	364,080
	CASH COLLATERAL ISDA CBK USD	Cash Equivalent - 0.55%, 2060	**	100,529
	CASH COLLATERAL ISDA DUB USD	Cash Equivalent - 0.55%, 2060	**	38,883
	CASH COLLATERAL ISDA GST USD	Cash Equivalent - 0.55%, 2060	**	151,216
	CASH COLLATERAL TBA BCY USD	Cash Equivalent - 0.55%, 2060	**	43
	CASH COLLATERAL TBA BOS USD	Cash Equivalent - 0.55%, 2060	**	219,642
	CASH COLLATERAL TBA BPG USD	Cash Equivalent - 0.55%, 2060	**	8,471
	CASH COLLATERAL TBA FOB USD	Cash Equivalent - 0.55%, 2060	**	481,514
	CASH COLLATERAL TBA GSC USD	Cash Equivalent - 0.55%, 2060	**	185,891
	CASH COLLATERAL TBA NOM USD	Cash Equivalent - 0.55%, 2060	**	24
	CCCIT 2013-A7 A7	Cash Equivalent - 1.09%, 2060	**	1,757,549
	CDX IG26 5Y ICE	Credit Default Swap - 1.00%, 2021	**	29,043
	CDX IG27 5Y ICE	Credit Default Swap - 1.00%, 2021	**	31,076
	CGCMT 2007-C6 A4 WM16 WC5.7312	Cash Equivalent - 5.71%, 2049	**	1,314,937
	CGCMT 2013-GC11 AAB	Mortgage - 2.69%, 2046	**	812,655
	CGCMT 2015-GC29 AAB WM24 WC4.173211878	Mortgage - 2.98%, 2048	**	1,515,241
	CHAIT 2014-A7 A	Cash Equivalent - 1.38%, 2019	**	2,203,030
	CHAIT 2015-A5 A	Mortgage - 1.36%, 2020	**	1,299,996
	CHEVRON CORP UNSEC FRN	Cash Equivalent - 1.32%, 2019	**	1,853,427
	CMBX.NA.AAA.7 SP FBF	Credit Default Swap - 0.50%, 2047	**	(15,766)
	CMBX.NA.AAA.8 SP DUB	Credit Default Swap - 0.50%, 2057	**	(48,106)
	CMBX.NA.AAA.8 SP GST	Credit Default Swap - 0.50%, 2057	**	(76,741)
	CNOOC FINANCE 2014 ULC GLBL CO GTD	Cash Equivalent - 1.63%, 2017	**	3,230,204
	COMM 2013-CR11 ASB WM23 WC5.205219817	Mortgage - 3.66%, 2046	**	1,477,792
	COMM 2014-CR19 ASB WM14	Mortgage - 3.50%, 2047	**	1,878,105
	COMM 2014-UBS6 ASB WM14	Mortgage - 3.39%, 2047	**	1,869,076
	COMM 2015-CR23 ASB WM15	Mortgage - 3.26%, 2048	**	1,241,150
	COMM 2015-DC1 ASB WM15	Mortgage - 3.14%, 2048	**	1,693,203
	COMM 2015-LC19 ASB	Mortgage - 3.04%, 2048	**	1,330,332
	COMM 2015-LC21 ASB	Mortgage - 3.42%, 2048	**	2,277,866
	COMPASS BANK SR UNSEC	Corporate - 2.75%, 2019	**	1,898,569

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	CRED SUIS GP FUN LTD SR UNSEC	Corporate - 3.80%, 2023	**	350,555
	CREDIT SUISSE NEW YORK SR UNSEC	Corporate - 3.00%, 2021	**	2,026,402
	CSAIL 2015-C1 ASB WM15	Mortgage - 3.35%, 2050	**	1,078,329
	CSAIL 2015-C4 ASB WM25 WC4.608	Mortgage - 3.62%, 2048	**	2,380,627
	DEUTSCHE BANK AG SR UNSEC 144A	Corporate - 4.25%, 2021	**	1,818,284
	DISCOVER FINANCIAL SVS SR UNSEC	Corporate - 3.20%, 2021	**	3,042,492
	EL PASO PIPELINE PART OP SR UNSEC	Corporate - 4.30%, 2024	**	1,543,314
	ELECTRICITE DE FRANCE SA SR UNSEC 144A	Corporate - 2.35%, 2020	**	1,789,015
	EUROPEAN INVESTMENT BANK SR UNSEC	Corporate - 2.00%, 2021	**	2,749,412
	FED HOME LN BK DISC NT	Cash Equivalent - 0.00%, 2017	**	11,598,214
	FED HOME LN BK DISC NT	Cash Equivalent - 0.00%, 2017	**	12,000,000
	FHA 221D4 P4/02 GREY 54 NCP	Mortgage - 7.43%, 2023	**	231
	FHLMC GOLD 30YR #Q3-1614	Mortgage - 3.00%, 2045	**	2,703,147
	FHLMC GOLD 30YR #Q3-3509	Mortgage - 3.00%, 2045	**	750,322
	FHLMC GOLD 30YR GNT #G0-8687	Mortgage - 3.50%, 2046	**	8,272,282
	FHLMC GOLD 30YR GNT #G0-8693	Mortgage - 3.50%, 2046	**	213,149
	FHMS KJ02 A1 WM19 WC4.629891442	Cash Equivalent - 1.64%, 2019	**	624,810
	FHMS KP03 A2 WM16WC.000	Mortgage - 1.78%, 2019	**	1,596,891
	FHR 2666 OD PAC WM23 WC5.84	Mortgage - 5.50%, 2023	**	746,649
	FHR 2739 PG PAC WM33 WC5.552	Mortgage - 5.00%, 2034	**	1,020,016
	FHR 2764 UE WM32 WC5.4879	Cash Equivalent - 5.00%, 2032	**	289,890
	FHR 2950 FN 1MLIB+45	Cash Equivalent - 1.15%, 2035	**	272,819
	FHR 3185 GT SEQ WM26 WC6.43	Mortgage - 6.00%, 2026	**	252,122
	FHR 3225 HF 1MLIB+51BP	Cash Equivalent - 1.21%, 2036	**	325,783
	FHR 3311 FN 1MLIB+30BP	Cash Equivalent - 1.00%, 2037	**	116,508
	FHR 3318 FL 1MLIB+40	Cash Equivalent - 1.10%, 2037	**	335,134
	FHR 3339 FL 1MLIB+58	Cash Equivalent - 1.28%, 2037	**	205,586
	FHR 3355 BF 1MLIB+70	Cash Equivalent - 1.40%, 2037	**	359,243
	FHR 3368 AF 1MLIB+72	Cash Equivalent - 1.42%, 2037	**	47,689
	FHR 3666 FC 1MLIB+73	Cash Equivalent - 1.43%, 2040	**	188,214
	FHR 3699 FD VA 1MLIB+60	Cash Equivalent - 1.30%, 2040	**	439,621
	FHR 3740 DF 1MLIB+48	Cash Equivalent - 1.18%, 2040	**	818,267
	FHR 3743 FA 1MLIB+60	Cash Equivalent - 1.30%, 2040	**	1,395,272
	FHR 3747 WF VA 1MLIB+48	Cash Equivalent - 1.18%, 2040	**	1,146,781
	FHR 3751 FG 1MLIB+50	Cash Equivalent - 1.20%, 2050	**	97,756
	FHR 3843 FE 1MLIB+55BP	Cash Equivalent - 1.25%, 2041	**	1,757,939
	FHR 3843 FG 1MLIB+55BP	Cash Equivalent - 1.25%, 2041	**	1,757,939
	FHR 3977 FB 1MLIB+52BP	Cash Equivalent - 1.22%, 2041	**	2,063,018
	FHR 3984 DF 1M LIBOR +55BP	Cash Equivalent - 1.25%, 2042	**	2,483,483

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	FHR 4400 FA 1MLIB + 40 BP	Cash Equivalent - 1.10%, 2041	**	1,314,674
	FIN FUT EURO$ CME (RED) 03/19/18	Future - 0.00%, 2018	**	2,426
	FIN FUT EURO$ CME (WHT) 03/13/17	Future - 0.00%, 2017	**	(538)
	FIN FUT US ULTRA 30YR CBT 03/22/17	Future - 6.00%, 2017	**	(23,250)
	FL ST BRD OF ADMIN	Municipals - 2.64%, 2021	**	1,116,899
	FN ARM AL7090 H15T1Y+222.2 9.432	Cash Equivalent - 2.77%, 2037	**	1,257,744
	FNMA PASS THRU 20YR #254916	Cash Equivalent - 5.50%, 2023	**	239,480
	FNMA PASS THRU 20YR #255075	Cash Equivalent - 5.50%, 2024	**	279,502
	FNMA PASS THRU 20YR #AL6722	Cash Equivalent - 5.00%, 2030	**	3,600,985
	FNMA PASS THRU 30YR #848210	Cash Equivalent - 5.50%, 2035	**	274,321
	FNMA PASS THRU 30YR #884863	Cash Equivalent - 5.50%, 2036	**	269,471
	FNMA PASS THRU 30YR #890365	Cash Equivalent - 5.50%, 2041	**	341,251
	FNMA PASS THRU 30YR #938504	Cash Equivalent - 5.50%, 2037	**	180,581
	FNMA TBA 2.5% FEB 15YR	Mortgage - 2.50%, 2032	**	40,137
	FNMA TBA 2.5% JAN 15YR	Mortgage - 2.50%, 2032	**	(109,453)
	FNMA TBA 3.0% FEB 30YR	Mortgage - 3.00%, 2047	**	525,425
	FNMA TBA 3.0% JAN 30YR	Mortgage - 3.00%, 2047	**	(785,828)
	FNMA TBA 5.0% FEB 30YR	Cash Equivalent - 5.00%, 2047	**	(9,613)
	FNMA TBA 5.0% JAN 30YR	Cash Equivalent - 5.00%, 2047	**	(33,789)
	FNR 2005-109 PV WM32 WC6.509500	Cash Equivalent - 6.00%, 2032	**	8,241
	FNR 2005-13 FA 1MLIB+45	Cash Equivalent - 1.21%, 2035	**	810,197
	FNR 2005-29 JB WM33 WC7.0709	Mortgage - 4.50%, 2035	**	2,428
	FNR 2005-68 PC WM34 WC6.55	Mortgage - 5.50%, 2035	**	5,735
	FNR 2006-129 FM 1MLIB+25	Cash Equivalent - 1.01%, 2037	**	156,235
	FNR 2007-109 GF 1MLIB+68	Cash Equivalent - 1.44%, 2037	**	829,090
	FNR 2007-25 FB 1MLIB+33BP	Cash Equivalent - 1.09%, 2037	**	117,677
	FNR 2007-27 FA 1MLIB+31BP	Cash Equivalent - 1.07%, 2037	**	39,818
	FNR 2007-38 FC 1MLIB+42	Cash Equivalent - 1.18%, 2037	**	230,318
	FNR 2007-70 FA 1MLIB+35BP	Cash Equivalent - 1.11%, 2037	**	157,541
	FNR 2008-12 FA 1MLIB+67BP FRN	Cash Equivalent - 1.43%, 2038	**	1,236,254
	FNR 2010-111 FC 1MLIB+52	Cash Equivalent - 1.28%, 2040	**	186,496
	FNR 2010-115 FM 1MLIB+50	Cash Equivalent - 1.26%, 2040	**	1,396,269
	FNR 2010-117 FE 1MLIB+40BP	Cash Equivalent - 1.16%, 2040	**	134,037
	FNR 2010-129 FM 1MLIB+50	Cash Equivalent - 1.26%, 2040	**	332,521
	FNR 2010-135 LF 1MLIB+45	Cash Equivalent - 1.21%, 2040	**	610,736
	FNR 2010-141 FB 1MLIB+47	Cash Equivalent - 1.23%, 2040	**	183,761
	FNR 2010-2 GF 1MLIB+55BP	Cash Equivalent - 1.31%, 2049	**	489,225
	FNR 2010-46 WF 1MLIB+75BP	Cash Equivalent - 1.51%, 2040	**	1,686,088
	FNR 2010-59 FA 1MLIB+73BP	Cash Equivalent - 1.49%, 2040	**	2,588,111

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	FNR 2011-3 FA 1MLIB+68	Cash Equivalent - 1.44%, 2041	**	3,242,364
	FNR 2011-86 KF 1MLIB+55	Cash Equivalent - 1.31%, 2041	**	467,795
	FNR 2011-86 NF 1MLIB+55	Cash Equivalent - 1.31%, 2041	**	1,012,159
	FNR 2011-87 FJ 1MLIB+55BP	Cash Equivalent - 1.31%, 2041	**	1,736,074
	FNR 2012-141 FA 1MLIB+30BP	Cash Equivalent - 1.06%, 2042	**	1,210,719
	FNR 2013-39 FA 1MLIB+35BP	Cash Equivalent - 1.11%, 2043	**	1,315,105
	FNR 2015-79 FE 1MLIB+25BP	Cash Equivalent - 1.01%, 2045	**	2,969,449
	FNR 2015-87 BF 1MLIB+30BP	Cash Equivalent - 1.06%, 2045	**	1,799,170
	FNR 2016-11 CF 1MLIB+35BP	Cash Equivalent - 1.11%, 2046	**	3,404,095
	FNR 2016-40 AF 1MLIB+45BP	Cash Equivalent - 0.98%, 2046	**	4,016,377
	FNR 2016-64 KF WM38 WC3.3381	Cash Equivalent - 1.00%, 2046	**	4,843,676
	FNR 2016-82 FM 1MLIB+40BP	Cash Equivalent - 0.93%, 2046	**	5,675,019
	FNR 2016-87 AF 1MLIB+40BP	Cash Equivalent - 0.93%, 2046	**	5,900,022
	FORD MOTOR CREDIT CO LLC SR UNSEC	Corporate - 5.00%, 2018	**	4,694,606
	FORDL 2015-B A2A	Cash Equivalent - 1.04%, 2018	**	1,259,343
	FOREST LABORATORIES INC SR UNSEC 144A	Corporate - 4.38%, 2019	**	3,150,397
	GE CAPITAL INTL FUNDING SR UNSEC	Corporate - 2.34%, 2020	**	3,949,073
	GENERAL ELECTRIC CO GLBL NT	Corporate - 4.63%, 2021	**	787,071
	GENERAL ELECTRIC CO SR UNSEC	Corporate - 2.70%, 2022	**	753,109
	GNMA I TBA 4.0% JAN 30YR	Mortgage - 4.00%, 2047	**	(46,562)
	GNMA II MULTPL SGL 30YR #MA3105M	Mortgage - 3.50%, 2045	**	7,910,201
	GNR 2012-149 GF 1MLIB+30BP	Cash Equivalent - 1.04%, 2042	**	3,441,894
	GOLDCORP INC GLBL SR UNSEC	Corporate - 3.63%, 2021	**	712,724
	GOLDMAN SACHS GROUP INC GLBL SR UNSEC	Corporate - 5.25%, 2021	**	2,775,046
	GOLDMAN SACHS GROUP INC SR NT	Corporate - 5.38%, 2020	**	1,724,444
	GOLDMAN SACHS GROUP INC SR UNSEC	Corporate - 4.00%, 2024	**	2,511,764
	HAROT 2015-3 A2 WM19 WC2.133500	Cash Equivalent - 0.92%, 2017	**	409,896
	HSBC HOLDINGS PLC SR UNSEC	Corporate - 3.60%, 2023	**	1,311,339
	HSBC HOLDINGS PLC SR UNSEC	Corporate - 3.19%, 2021	**	2,095,708
	HUTCHISON WHAMPOA UNSEC 144A	Corporate - 1.63%, 2017	**	2,099,702
	INTESA SANPAOLO SPA SR UNSEC	Corporate - 2.38%, 2017	**	1,660,750
	INTESA SANPAOLO SPA UNSEC	Corporate - 3.88%, 2018	**	1,535,635
	IRS USD 1.25000 12/21/16-3Y CME	Interest Rate Swap - 1.25%, 2018	**	(261,180)
	IRS USD 1.75000 12/21/16-10Y CME	Interest Rate Swap - 1.75%, 2026	**	380,967
	ITAU CORPBANCA 144A SR UNSEC	Corporate - 3.88%, 2019	**	1,558,101
	JACKSON NATL LIFE GLOBAL SEC 144A	Corporate - 1.88%, 2018	**	1,707,826
	JPMBB 2013-C17 ASB WM23 WC4.9082	Mortgage - 3.71%, 2047	**	1,475,751
	JPMBB 2014-C22 ASB WM24 WC4.580566404	Mortgage - 3.50%, 2047	**	1,824,126
	JPMBB 2015-C27 ASB	Mortgage - 3.02%, 2048	**	1,622,915

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	JPMCC 2007-LD12 A4 WM16 WC6.0924	Cash Equivalent - 5.88%, 2051	**	2,282,108
	JPMCC 2007-LDPX A3 SEQ WM15 WC5.76	Cash Equivalent - 5.42%, 2049	**	709,750
	JPMORGAN CHASE & CO GLBL SR UNSEC	Corporate - 4.50%, 2022	**	1,635,669
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 2.55%, 2020	**	350,815
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 4.25%, 2020	**	3,261,003
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 2.30%, 2021	**	2,665,855
	KFW SR UNSEC	Corporate - 1.50%, 2019	**	1,805,915
	KINDER MORGAN ENER PART	Corporate - 3.95%, 2022	**	2,071,036
	KINDER MORGAN INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	(7,326)
	LOS ANGELES CO REDEV	Municipals - 2.00%, 2023	**	1,789,409
	MANUF & TRADERS TRUST CO SR UNSEC	Corporate - 2.25%, 2019	**	4,410,600
	MEXICO LA SP GST	Credit Default Swap - 1.00%, 2019	**	6,581
	MIZUHO FINANCIAL GROUP SR UNSEC	Corporate - 2.10%, 2021	**	3,102,986
	MOODY'S CORPORATION SR UNSEC	Corporate - 4.88%, 2024	**	3,279,780
	MORGAN STANLEY	Corporate - 5.50%, 2020	**	2,219,774
	MORGAN STANLEY NT	Corporate - 5.50%, 2020	**	4,503,900
	MSBAM 2012-C6 A2 WM21 WC4.692	Cash Equivalent - 1.87%, 2045	**	1,899,437
	MSBAM 2013-C13 ASB WM23 WC4.922172434	Mortgage - 3.56%, 2045	**	1,155,450
	MSBAM 2014-C16 A4 WM23 C4.78111	Mortgage - 3.60%, 2047	**	2,129,222
	MSBAM 2014-C18 A2 WM14	Mortgage - 3.19%, 2047	**	2,218,228
	MSBAM 2015-C20 ASB WM24 WC4.486757058	Mortgage - 3.07%, 2048	**	1,220,737
	MSC 2007-IQ13 A4 WM16 WC5.8329	Cash Equivalent - 5.36%, 2044	**	631,094
	NA DEVELOPMENT BANK GLBL SR UNSECURED	Corporate - 4.38%, 2020	**	5,156,116
	NALT 2015-B A2A	Cash Equivalent - 1.18%, 2017	**	1,007,313
	NAVSL 2015-1 A1 1MLIB+30BP	Cash Equivalent - 1.06%, 2022	**	640,096
	PENSKE TRUCK LEASING/PTL SR UNSEC 144A	Corporate - 3.05%, 2020	**	1,632,018
	PNC BANK NA SR UNSEC	Corporate - 2.45%, 2020	**	1,305,930
	PROLOGIS LP SR UNSEC	Corporate - 4.00%, 2018	**	1,443,518
	PRUDENTIAL FINANCIAL INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	17,638
	RABOBANK NEDERLAND UTREC	Corporate - 3.88%, 2022	**	3,728,881
	SANTANDER HOLDINGS USA SR UNSEC	Corporate - 4.50%, 2025	**	2,014,550
	SANTANDER UK GROUP HLDGS SR UNSEC	Corporate - 2.88%, 2021	**	1,478,187
	SBA TOWER TRUST NT SEC 144A 1A C	Corporate - 2.90%, 2044	**	2,219,794
	SINOPEC GRP OVERSEA 2014	Corporate - 2.75%, 2019	**	3,043,704
	SLCLT 2005-3 A2 3MLIB+9BP	Cash Equivalent - 1.05%, 2024	**	533,083
	SLCLT 2006-2 A5 3MLIB+10BP	Cash Equivalent - 1.06%, 2026	**	1,557,553
	SLMA 2004-1 A3	Cash Equivalent - 1.09%, 2023	**	728,517

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	SLMA 2004-3 A5 3MLIB+17	Cash Equivalent - 1.05%, 2023	**	962,914
	SLMA 2005-3 A5	Cash Equivalent - 0.97%, 2024	**	1,045,760
	SLMA 2005-6 A5A 3MLIB+11BP	Cash Equivalent - 0.99%, 2026	**	647,545
	SLMA 2005-8 A4 3MLIB **PUT REDEMPTION**	Cash Equivalent - 1.63%, 2028	**	212,164
	SLMA 2006-1 A4 3MLIB+9	Cash Equivalent - 0.97%, 2019	**	433,621
	SLMA 2006-4 A5 3MLIB+10BP	Cash Equivalent - 0.98%, 2025	**	332,792
	SOLVAY FINANCE (AMERICA) SR UNSEC 144A	Corporate - 3.40%, 2020	**	1,733,868
	SOUTH AFRICA EM SP CBK	Credit Default Swap - 1.00%, 2019	**	(13,443)
	STATE STREET STIF	Cash Equivalent - 0.64%, 2030	**	557,580
	SUMITOMO MITSUI BANKING SR UNSEC FRN	Cash Equivalent - 1.46%, 2018	**	2,407,632
	SUMITOMO MITSUI FINL GRP SR UNSEC	Corporate - 2.44%, 2021	**	2,961,704
	TAOT 2015-C A2A	Cash Equivalent - 0.92%, 2018	**	228,171
	TEVA PHARMACEUTICALS NE SR UNSEC	Corporate - 1.70%, 2019	**	888,730
	TIAA ASSET MGMT FIN LLC SR UNSEC 144A	Corporate - 2.95%, 2019	**	1,531,162
	U S TREASURY BILLS	Cash Equivalent - 0.00%, 2017	**	999
	U S TREASURY BILLS	Cash Equivalent - 0.00%, 2017	**	98,916
	U S TREASURY INFLATE PROT BD	US Treasury - 0.38%, 2025	**	1,979,884
	U S TREASURY INFLATE PROT BD	US Treasury - 0.63%, 2026	**	2,416,902
	U S TREASURY INFLATE PROT BD	US Treasury - 1.75%, 2028	**	906,362
	U S TREASURY INFLATE PROT BD	US Treasury - 2.00%, 2026	**	482,851
	U S TREASURY NOTE	Cash Equivalent - 0.88%, 2017	**	12,047,223
	U S TREASURY NOTE	Cash Equivalent - 0.88%, 2017	**	985,472
	U S TREASURY NOTE	Cash Equivalent - 1.00%, 2017	**	13,746,239
	U S TREASURY NOTE	Cash Equivalent - 0.63%, 2017	**	14,022,583
	U S TREASURY NOTE	US Treasury - 1.75%, 2021	**	2,334,867
	U S TREASURY NOTE	US Treasury - 1.00%, 2019	**	18,624,518
	U S TREASURY NOTE	US Treasury - 1.38%, 2023	**	10,297,209
	U S TREASURY NOTE	US Treasury - 1.25%, 2023	**	4,628,389
	U S TREASURY NOTE	US Treasury - 2.13%, 2021	**	20,995,641
	U S TREASURY NOTE	US Treasury - 0.75%, 2018	**	17,997,665
	U S TREASURY NOTE	US Treasury - 1.13%, 2021	**	5,423,654
	U S TREASURY REPO	Cash Equivalent - 0.60%, 2017	**	60,701,163
	UBS AG STAMFORD CT SR UNSEC	Corporate - 2.38%, 2019	**	3,536,473
	UBSBB 2013-C5 AAB WM22 WC4.120420506	Mortgage - 2.69%, 2046	**	1,214,101
	VERIZON COMMUNICATIONS SR UNSEC	Corporate - 5.15%, 2023	**	4,854,771
	VIACOM INC SR UNSEC	Corporate - 2.75%, 2019	**	1,000,098
	VM CASH CCP CME FOB USD	Cash Equivalent - 0.01%, 2060	**	46,929
	VM CASH CCP ICE FOB USD	Cash Equivalent - 0.01%, 2060	**	20,517
	VM CASH FUT DOM FOB USD	Cash Equivalent - 0.01%, 2060	**	179,140

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	VOLKSWAGEN GROUP AMERICA SR UNSEC 144A	Corporate - 1.39%, 2018	**	996,331
	WEA FINANCE LLC/WESTFIEL UNSEC 144A	Corporate - 2.70%, 2019	**	3,049,704
	WELLS FARGO & COMPANY SR UNSEC	Corporate - 1.76%, 2020	**	2,015,204
	WELLS FARGO & COMPANY SR UNSEC	Corporate - 3.55%, 2025	**	2,913,555
	WFCM 2015-C26 ASB WM15	Mortgage - 2.99%, 2048	**	1,521,654
	WFCM 2015-C29 ASB WM15	Mortgage - 3.40%, 2048	**	1,651,913
	WFCM 2015-C30 ASB WM25 WC4.53	Mortgage - 3.41%, 2058	**	1,755,285
	WFCM 2016-C35 ASB WM16 WC0.0	Mortgage - 2.79%, 2048	**	1,436,181
	WISCONSIN-A-REF-TXBL	Municipals - 1.90%, 2022	**	1,839,207
	Capital Preservation Fund Total			563,438,944
	ARTISAN MIDCAP INVESTOR FUND			
*	STATE STREET STIF	MONEY MARKET FUND	**	6,406,779
	ACTIVISION BLIZZARD INC	COMMON STOCK	**	1,758,810
	ACUITY BRANDS INC	COMMON STOCK	**	2,364,468
	ADVANCE AUTO PARTS INC	COMMON STOCK	**	2,019,462
	AMETEK INC	COMMON STOCK	**	921,796
	ARAMARK	COMMON STOCK	**	2,031,932
	ATHENAHEALTH INC	COMMON STOCK	**	1,176,011
	ATLASSIAN CORP PLC	COMMON STOCK	**	961,827
	BECTON DICKINSON AND CO	COMMON STOCK	**	2,800,278
	BLUE BUFFALO PET PRODUCTS	COMMON STOCK	**	696,150
	BOSTON SCIENTIFIC CORP	COMMON STOCK	**	5,651,378
	CBS CORP	COMMON STOCK	**	2,066,505
	CIGNA CORP	COMMON STOCK	**	3,377,702
	CIMAREX ENERGY	COMMON STOCK	**	2,106,722
	CINTAS CORP	COMMON STOCK	**	1,158,489
	CONCHO RESOURCES INC	DEPOSITORY RECEIPTS	**	3,251,087
	CTRIP.COM	COMMON STOCK	**	1,546,680
	DELPHI AUTOMOTIVE PLC	COMMON STOCK	**	2,002,787
	DEXCOM INC	COMMON STOCK	**	2,265,257
	DOLLAR TREE INC	COMMON STOCK	**	1,383,452
	ELECTRONIC ARTS INC	COMMON STOCK	**	2,660,907
	ENVISION HEALTHCARE CORP	COMMON STOCK	**	2,303,690
	EXPEDIA INC	COMMON STOCK	**	935,466
	FIDELITY NATIONAL INFO SERV	COMMON STOCK	**	2,202,334
	FORTUNE BRANDS HOME & SECURITY	COMMON STOCK	**	3,580,377
	GARTNER INC	COMMON STOCK	**	1,789,343

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	GLOBAL PAYMENTS INC	COMMON STOCK	**	4,859,255
	GUIDEWIRE SOFTWARE INC	COMMON STOCK	**	1,958,105
	HARRIS CORP	COMMON STOCK	**	1,479,667
	HD SUPPLY HOLDINGS INC	COMMON STOCK	**	2,155,895
	IHS MARKIT LTD	COMMON STOCK	**	6,443,204
	INTERCONTINENTAL EXCHANGE INC	COMMON STOCK	**	2,337,932
	INTUIT INC	COMMON STOCK	**	1,052,922
	LKQ CORP	COMMON STOCK	**	5,760,637
	MIDDLEBY CORP	COMMON STOCK	**	1,690,245
	MONSTER BEVERAGE CORP	COMMON STOCK	**	2,218,330
	MOTOROLA SOLUTIONS INC	COMMON STOCK	**	1,786,611
	NEWELL BRANDS INC	COMMON STOCK	**	1,712,953
	NIELSEN HOLDINGS PLC	COMMON STOCK	**	1,105,802
	NORTHERN TRUST CORP	COMMON STOCK	**	1,677,880
	NVIDIA CORP	COMMON STOCK	**	2,252,961
	O REILLY AUTOMOTIVE INC	COMMON STOCK	**	815,463
	PANERA BREAD COMPANY	COMMON STOCK	**	726,019
	REGENERON PHARMACEUTICAS	COMMON STOCK	**	3,933,736
	ROPER TECHNOLOGIES INC	COMMON STOCK	**	1,752,442
	RPM INTERNATIONAL INC	COMMON STOCK	**	2,152,016
	SEATTLE GENETICS INC	COMMON STOCK	**	4,856,184
	SMITH (A.O.) CORP	COMMON STOCK	**	1,023,738
	STATE STREET BANK + TRUST	COMMON STOCK	**	695,761
	SVB FINANCIAL GROUP	COMMON STOCK	**	2,677,381
	TD AMERITRADE HOLDING CORP	COMMON STOCK	**	1,412,902
	TRACTOR SUPPLY COMPANY	COMMON STOCK	**	1,359,273
	ULTA SALON COSMETICS & FRAGRANCE	COMMON STOCK	**	2,540,477
	VANTIV INC	COMMON STOCK	**	2,011,877
	VEEVA SYSTEMS INC	COMMON STOCK	**	2,131,296
	VERISK ANALYTICS INC	COMMON STOCK	**	1,461,628
	WABTEC CORP	COMMON STOCK	**	1,217,903
	WASTE CONNECTIONS INC	COMMON STOCK	**	3,640,918
	WHITEWAVE FOODS CO	COMMON STOCK	**	1,222,087
	WORKDAY INC	COMMON STOCK	**	1,316,314
	Artisan Midcap Investor Fund Total			134,859,503
	METWEST TOTAL RETURN FUND			
*	STATE STREET STIF	Money market fund	**	8,162,021

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	ABBVIE INC SR UNSECURED	CORPORATE BONDS - 4.30%, 2036	**	95,291
	ABBVIE INC SR UNSECURED	CORPORATE BONDS - 4.70%, 2045	**	318,867
	ACE SECURITIES CORP. ACE 2004 IN1	MORTGAGE - 1.00%, 2034	**	1,047,899
	ACTAVIS FUNDING SCS COMPANY GUAR	CORPORATE BONDS - 3.80%, 2025	**	450,530
	ACTAVIS FUNDING SCS COMPANY GUAR	CORPORATE BONDS - 4.55%, 2035	**	296,938
	ADJUSTABLE RATE MORTGAGE TRUST ARMT 2005 2 6M1	MORTGAGE - 1.00%, 2035	**	329,238
	AETNA INC SR UNSECURED	CORPORATE BONDS - 1.70%, 2018	**	549,490
	AMER AIRLN 13 1 A PASS T PASS THRU CE	CORPORATE BONDS - 4.00%, 2027	**	350,401
	AMERICA WEST AIR 2000 1 PASS THRU CE	CORPORATE BONDS - 8.06%, 2022	**	409,601
	AMERICAN HOME MORTGAGE INVESTM AHM 2004 4 4A	MORTGAGE - 1.00%, 2045	**	831,263
	APPLE INC SR UNSECURED	CORPORATE BONDS - 4.65%, 2046	**	313,156
	AT+T INC SR UNSECURED	CORPORATE BONDS - 4.75%, 2046	**	454,761
	AT+T INC SR UNSECURED	CORPORATE BONDS - 4.80%, 2044	**	203,157
	BABSON CLO LTD BABSN 2015 IA A 144A	OTHER ASSET BACKED - 1.00%, 2027	**	580,756
	BANC OF AMERICA MERRILL LYNCH BAMLL	MORTGAGE - 2.96%, 2030	**	221,265
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 6.88%, 2018	**	478,310
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 5.65%, 2018	**	523,881
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 4.10%, 2023	**	626,760
	BANK OF AMERICA NA SUBORDINATED	CORPORATE BONDS - 5.30%, 2017	**	1,007,745
	BAXALTA INC COMPANY GUAR	CORPORATE BONDS - 2.88%, 2020	**	424,894
	BCAP LLC TRUST BCAP 2008 IND2 A1	MORTGAGE - 1.00%, 2038	**	731,705
	BEAR STEARNS ADJUSTABLE RATE M BSARM	MORTGAGE - 1.00%, 2033	**	867,688
	BEAR STEARNS COS LLC COMPANY GUAR	CORPORATE BONDS - 7.25%, 2018	**	1,063,529
	BERKSHIRE HATHAWAY INC SR UNSECURED	CORPORATE BONDS - 3.13%, 2026	**	521,590
	CAPITAL ONE BANK USA NA SR UNSECURED	CORPORATE BONDS - 1.20%, 2017	**	749,849
	CELGENE CORP SR UNSECURED	CORPORATE BONDS - 5.00%, 2045	**	363,906
	CENTEX HOME EQUITY CXHE 2005 D M1	OTHER ASSET BACKED - 1.00%, 2035	**	191,352
	CENTEX HOME EQUITY CXHE 2005 D M2	OTHER ASSET BACKED - 1.00%, 2036	**	1,210,556
	CHARTER COMM OPT LLC/CAP SR SECURED	CORPORATE BONDS - 4.46%, 2022	**	621,793
	CHASE ISSUANCE TRUST CHAIT 2015 A6 A6	CREDIT CARD RECIVEABLE- 1.00%, 2019	**	590,211
	CITIGROUP INC SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	499,721
	CITIGROUP INC SR UNSECURED	CORPORATE BONDS - 1.75%, 2018	**	997,869
	CITIGROUP MORTGAGE LOAN TRUST CMLTI 2015 6 2A1 144A	MORTGAGE - 1.00%, 2035	**	785,725
	COLLEGIATE FUNDING SERVICES ED COELT 2005 A A4	OTHER ASSET BACKED - 1.00%, 2035	**	1,018,205
	COLUMBIA PIPELINE GROUP COMPANY GUAR	CORPORATE BONDS - 2.45, 2018	**	753,665

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	COMM MORTGAGE TRUST COMM 2013 300P A1 144A	MORTGAGE - 4.35%, 2030	**	221,844
	COMM MORTGAGE TRUST COMM 2016 787S A 144A	MORTGAGE - 3.55%, 2036	**	219,671
	CONTL AIRLINES 2009 2 PASS THRU CE	CORPORATE BONDS - 7.25%, 2021	**	675,483
	CVS HEALTH CORP SR UNSECURED	CORPORATE BONDS - 5.13%, 2045	**	445,779
	DUKE ENERGY CAROLINAS 1ST REF MORT	CORPORATE BONDS - 3.75%, 2045	**	666,349
	DUQUESNE LIGHT HOLDINGS SR UNSECURED 144A	CORPORATE BONDS - 5.90%, 2021	**	895,009
	EMERA US FINANCE LP COMPANY GUAR 144A	CORPORATE BONDS - 2.15%, 2019	**	658,909
	ENCORE CREDIT RECEIVABLES TRUS ECR 2005 4 M1	OTHER ASSET BACKED 1.00%, 2036	**	513,942
	ENERGY TRANSFER PARTNERS SR UNSECURED	CORPORATE BONDS - 5.15%, 2045	**	623,402
	ESSEX PORTFOLIO LP COMPANY GUAR	CORPORATE BONDS - 5.50%, 2017	**	453,594
	FANNIEMAE ACES FNA 2012 M11 FA	MORTGAGE - 1.00%, 2019	**	769,510
	FANNIEMAE ACES FNA 2015 M1 A2	MORTGAGE - 2.53%, 2024	**	1,131,021
	FARMERS EXCHANGE CAP III SUBORDINATED 144A	CORPORATE BONDS - 1.00%, 2054	**	701,179
	FED HM LN PC POOL G07848 FG	MORTGAGE - 3.50%, 2044	**	1,797,365
	FED HM LN PC POOL G07957 FG	MORTGAGE - 4.00%, 2045	**	1,107,871
	FED HM LN PC POOL G08676 FG	MORTGAGE - 3.50%, 2045	**	1,236,367
	FED HM LN PC POOL G08702 FG	MORTGAGE - 3.50%, 2046	**	2,796,438
	FED HM LN PC POOL G08707 FG	MORTGAGE - 4.00%, 2046	**	602,015
	FED HM LN PC POOL G08711 FG	MORTGAGE - 3.50%, 2046	**	1,444,363
	FED HM LN PC POOL G08712 FG	MORTGAGE - 4.00%, 2046	**	451,723
	FED HM LN PC POOL G08715 FG	MORTGAGE - 3.00%, 2046	**	1,544,099
	FED HM LN PC POOL G08716 FG	MORTGAGE - 3.50%, 2046	**	1,775,017
	FED HM LN PC POOL G08721 FG	MORTGAGE - 3.00%, 2046	**	850,119
	FED HM LN PC POOL G08726 FG	MORTGAGE - 3.00%, 2046	**	1,009,574
	FED HM LN PC POOL G08732 FG	MORTGAGE - 3.00%, 2046	**	1,127,485
	FED HM LN PC POOL G08741 FG	MORTGAGE - 3.00%, 2047	**	318,084
	FED HM LN PC POOL G18622 FG	MORTGAGE - 2.50%, 2031	**	1,809,570
	FED HM LN PC POOL G18626 FG	MORTGAGE - 2.50%, 2032	**	431,159
	FED HM LN PC POOL G18627 FG	MORTGAGE - 3.00%, 2032	**	215,747
	FED HM LN PC POOL G60023 FG	MORTGAGE - 3.50%, 2045	**	1,982,555
	FED HM LN PC POOL G60080 FG	MORTGAGE - 3.50%, 2045	**	2,021,216
	FED HM LN PC POOL G60238 FG	MORTGAGE - 3.50%, 2045	**	220,326
	FED HM LN PC POOL G60344 FG	MORTGAGE - 4.00%, 2045	**	1,795,989
	FEDERAL HOME LOAN BANK BONDS 06/30 VAR	GOVERNMENT ISSUES - 1.00%, 2030	**	1,185,452
	FNMA POOL 467944 FN	MORTGAGE - 4.25%, 2021	**	529,391

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	FNMA POOL 468822 FN	MORTGAGE - 4.10%, 2021	**	482,803
	FNMA POOL 471713 FN	MORTGAGE - 2.85%, 2022	**	390,146
	FNMA POOL AL0151 FN	MORTGAGE - 1.00%, 2021	**	1,058,337
	FNMA POOL AL0705 FN	MORTGAGE - 1.00%, 2020	**	212,920
	FNMA POOL AL6167 FN	MORTGAGE - 1.00%, 2044	**	998,999
	FNMA POOL AL9027 FN	MORTGAGE - 1.00%, 2046	**	1,300,227
	FNMA POOL AL9472 FN	MORTGAGE - 1.00%, 2043	**	480,645
	FNMA POOL AM0762 FN	MORTGAGE - 3.29%, 2032	**	351,095
	FNMA POOL AM2749 FN	MORTGAGE - 2.83%, 2023	**	393,197
	FNMA POOL AM4125 FN	MORTGAGE - 3.74%, 2023	**	550,655
	FNMA POOL AM4493 FN	MORTGAGE - 3.92%, 2023	**	527,791
	FNMA POOL AM7013 FN	MORTGAGE - 3.39%, 2029	**	335,826
	FNMA POOL AM8774 FN	MORTGAGE - 2.67%, 2025	**	547,184
	FNMA POOL AM9793 FN	MORTGAGE - 3.12%, 2027	**	414,073
	FNMA POOL AN2812 FN	MORTGAGE - 2.56%, 2028	**	389,566
	FNMA POOL AN2913 FN	MORTGAGE - 2.61%, 2028	**	390,854
	FNMA POOL FN0001 FN	MORTGAGE - 1.00%, 2020	**	567,795
	FNMA POOL MA2740 FN	MORTGAGE - 2.50%, 2026	**	897,163
	FNMA POOL MA2830 FN	MORTGAGE - 2.50%, 2031	**	149,492
	FNMA TBA 15 YR 2.5 SINGLE FAMILY MORTGAGE	MORTGAGE - 2.50%, 2032	**	851,345
	FNMA TBA 15 YR 3 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.00%, 2032	**	861,984
	FNMA TBA 30 YR 3 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.00%, 2047	**	1,803,046
	FNMA TBA 30 YR 3.5 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.50%, 2047	**	1,050,545
	FNMA TBA 30 YR 4 SINGLE FAMILY MORTGAGE	MORTGAGE - 4.00%, 2046	**	1,818,764
	FORD MOTOR CREDIT CO LLC SR UNSECURED	CORPORATE BONDS - 2.15%, 2018	**	200,290
	FORD MOTOR CREDIT CO LLC SR UNSECURED	CORPORATE BONDS - 6.63%, 2017	**	515,216
	GENERAL MOTORS CO SR UNSECURED	CORPORATE BONDS - 3.50%, 2018	**	356,965
	GILEAD SCIENCES INC SR UNSECURED	CORPORATE BONDS - 4.50%, 2045	**	349,706
	GNMA II POOL MA3521 G2	MORTGAGE - 3.50%, 2046	**	830,951
	GNMA II POOL MA3597 G2	MORTGAGE - 3.50%, 2046	**	895,969
	GNMA II POOL MA3736 G2	MORTGAGE - 3.50%, 2046	**	847,067
	GNMA II POOL MA3937 G2	MORTGAGE - 3.50%, 2046	**	214,339
	GNMA II POOL MA4069 G2	MORTGAGE - 3.50%, 2046	**	798,954
	GNMA II POOL MA4126 G2	MORTGAGE - 3.00%, 2046	**	1,348,578
	GNMA II TBA 30 YR 3 JUMBOS	MORTGAGE - 3.00%, 2047	**	1,199,836

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	GNMA II TBA 30 YR 3.5 JUMBOS	MORTGAGE - 3.50%, 2047	**	1,309,785
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 5.95%, 2018	**	833,394
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 6.15%, 2018	**	262,979
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 5.25%, 2021	**	301,397
	GREENPOINT MORTGAGE FUNDING TR GPMF 2005 HY1 1A1A	MORTGAGE - 1.00%, 2035	**	636,225
	HARBORVIEW MORTGAGE LOAN TRUST HVMLT 2005 9 2A1A	MORTGAGE - 1.00%, 2036	**	896,894
	HBOS PLC SUBORDINATED 144A	CORPORATE BONDS - 6.75%, 2018	**	659,061
	HCP INC SR UNSECURED	CORPORATE BONDS - 4.20%, 2024	**	788,775
	HEALTHCARE REALTY TRUST SR UNSECURED	CORPORATE BONDS - 3.86%, 2025	**	221,859
	HIGHER EDUCATION FUNDING 05/34 FRN	OTHER ASSET BACKED - 1.00%, 2034	**	969,862
	INTERNATIONAL TRANSMISSI 1ST MORTGAGE 144A	CORPORATE BONDS - 4.63%, 2043	**	638,461
	INTL LEASE FINANCE CORP SR SECURED	CORPORATE BONDS - 7.13%, 2018	**	323,250
	JP MORGAN MORTGAGE ACQUISITION JPMAC 2005 FLD1 M3	OTHER ASSET BACKED - 1.00%, 2035	**	584,402
	JPMORGAN CHASE + CO SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	400,442
	JPMORGAN CHASE + CO SR UNSECURED	CORPORATE BONDS - 4.25%, 2020	**	423,294
	KRAFT HEINZ FOODS CO COMPANY GUAR	CORPORATE BONDS - 4.38%, 2046	**	399,916
	LIBERTY STREET TRUST LBTY 2016 225L A 144A	MORTGAGE - 3.56%, 2036	**	220,378
	MAGNETITE CLO LTD MAGNE 2014 9A A1 144A	OTHER ASSET BACKED - 1.00%, 2026	**	360,100
	MAGNETITE CLO LTD MAGNE 2015 12A AR 144A	OTHER ASSET BACKED- 1.00%, 2027	**	499,999
	METROPOLITAN WEST FLOATING RAT METROPOLITAN WEST FLOAT I	MUTUAL FUNDS	**	2,560,279
	METROPOLITAN WEST HIGH YIELD B METROPOLITAN WEST H/Y BOND I	MUTUAL FUNDS	**	2,818,805
	MICROSOFT CORP SR UNSECURED	CORPORATE BONDS - 3.75%, 2045	**	328,213
	MLCC MORTGAGE INVESTORS INC MLCC	MORTGAGE - 1.00%, 2029	**	782,407
	MORGAN STANLEY SR UNSECURED	CORPORATE BONDS - 5.50%, 2020	**	219,042
	MORGAN STANLEY SR UNSECURED	CORPORATE BONDS - 5.95%, 2017	**	1,144,913
	MORTGAGEIT TRUST MHL 2005 2 1A1	MORTGAGE - 1.00%, 2035	**	658,042
	NATIONSTAR HOME EQUITY LOAN TR NSTR 2006 B AV4	OTHER ASSET BACKED - 1.00%, 2036	**	1,076,470
	NATIONWIDE MUTUAL INSURA SUBORDINATED 144A	CORPORATE BONDS- 1.00%, 2024	**	393,696

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NAVIENT STUDENT LOAN TRUST NAVSL 2015 2 A3	OTHER ASSET BACKED - 1.00%, 2040	**	1,159,335
	NELNET STUDENT LOAN TRUST NSLT 2015 2A A2 144A	OTHER ASSET BACKED - 1.00%, 2047	**	892,542
	NELNET STUDENT LOAN TRUST NSLT 2015 3A A2 144A	OTHER ASSET BACKED - 1.00%, 2051	**	1,160,184
	NEW YORK CITY NY MUNI WTR FIN NYCUTL	MUNICIPALS - 5.95%, 2042	**	870,210
	NEW YORK CITY NY TRANSITIONAL NYCGEN	MUNICIPALS - 5.57%, 2038	**	515,066
	NEW YORK ST DORM AUTH ST PERSO NYSHGR	MUNICIPALS - 5.29%, 2033	**	582,795
	NOBLE ENERGY INC SR UNSECURED	CORPORATE BONDS - 5.25%, 2043	**	76,396
	NYU HOSPITALS CENTER SECURED	CORPORATE BONDS - 4.78%, 2044	**	521,335
	PENNSYLVANIA HIGHER EDUCATION PHEAA 2015 1A A 144A	OTHER ASSET BACKED - 1.00%, 2041	**	889,746
	POTOMAC ELECTRIC POWER 1ST MORTGAGE	CORPORATE BONDS - 3.60%, 2024	**	516,971
	PROTECTIVE LIFE GLOBAL SR SECURED 144A	CORPORATE BONDS - 1.72%, 2019	**	540,639
	RAYMOND JAMES FINANCIAL SR UNSECURED	CORPORATE BONDS - 4.95%, 2046	**	218,959
	RBSCF TRUST RBSCF 2013 GSP A 144A	MORTGAGE - 1.00%, 2032	**	220,097
	RESIDENTIAL ASSET SECURITIES C RASC 2005 KS12 A3	OTHER ASSET BACKED - 1.00%, 2036	**	228,292
	SEQUOIA MORTGAGE TRUST SEMT 2003 8 A1	MORTGAGE - 1.00%, 2034	**	794,863
	SLM STUDENT LOAN TRUST SLMA 2004 10 A6A 144A	OTHER ASSET BACKED - 1.00%, 2026	**	1,194,564
	SLM STUDENT LOAN TRUST SLMA 2005 7 A4	OTHER ASSET BACKED-1.00%, 2029	**	1,207,368
	SLM STUDENT LOAN TRUST SLMA 2007 1 A5	OTHER ASSET BACKED-1.00%, 2026	**	1,013,588
	SLM STUDENT LOAN TRUST SLMA 2008 2 B	OTHER ASSET BACKED-1.00%, 2083	**	174,307
	SLM STUDENT LOAN TRUST SLMA 2008 4 B	OTHER ASSET BACKED-1.00%, 2029	**	228,965
	SLM STUDENT LOAN TRUST SLMA 2008 5 B	OTHER ASSET BACKED-1.00%, 2030	**	382,910
	SLM STUDENT LOAN TRUST SLMA 2008 8 B	OTHER ASSET BACKED-1.00%, 2029	**	221,968
	SLM STUDENT LOAN TRUST SLMA 2008 9 B	OTHER ASSET BACKED-1.00%, 2083	**	380,711
	SLM STUDENT LOAN TRUST SLMA 2012 5 A2	OTHER ASSET BACKED-1.00%, 2019	**	374,524
	SLM STUDENT LOAN TRUST SLMA 2013 4 A	OTHER ASSET BACKED-1.00%, 2027	**	722,535
	SOUTHWESTERN ELEC POWER SR UNSECURED	CORPORATE BONDS - 3.90%, 2045	**	652,012
	SPECTRA ENERGY PARTNERS SR UNSECURED	CORPORATE BONDS - 4.50%, 2045	**	190,170
	STRUCTURED ASSET MORTGAGE INVE SAMI 2004 AR2 2A	MORTGAGE - 1.00%, 2034	**	842,010
	TC PIPELINES LP SR UNSECURED	CORPORATE BONDS - 4.38%, 2025	**	554,445
	TCW EMERGING MARKETS INCOME FO TCW EMERGING MARKETS INCOME FO	LMTD PARTNRSHIP UNTS	**	1,389,331

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	TREASURY BILL	GOVERNMENT ISSUES-0.10%, 2017	**	114,848
	TSY INFL IX N/B	GOVERNMENT ISSUES-1.38%, 2044	**	854,557
	TSY INFL IX N/B	GOVERNMENT ISSUES-0.75%, 2045	**	1,313,578
	TSY INFL IX N/B	GOVERNMENT ISSUES- 0.38%, 2025	**	2,838,509
	UBS AG STAMFORD CT SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	460,318
	UBS AG STAMFORD CT SR UNSECURED	CORPORATE BONDS - 1.38%, 2017	**	349,918
	UNITED TECHNOLOGIES CORP JR SUBORDINA	CORPORATE BONDS - 1.00%, 2018	**	600,131
	UNITEDHEALTH GROUP INC SR UNSECURED	CORPORATE BONDS - 4.63%, 2035	**	386,964
	UNIV OF CALIFORNIA CA REVENUES UNVHGR	MUNICIPALS - 3.93%, 2045	**	544,638
	US 2YR NOTE (CBT) MAR17 XCBT 20170331	FUTURE - 0.00%, 2017	**	6,717,313
	US 5YR NOTE (CBT) MAR17 XCBT 20170331	FUTURE - 0.00%, 2017	**	11,295,750
	US DOLLAR	CASH	**	4,104,720
	US TREASURY N/B	GOVERNMENT ISSUES-1.50%, 2019	**	1,779,611
	US TREASURY N/B	GOVERNMENT ISSUES-1.50%, 2022	**	1,124,035
	US TREASURY N/B	GOVERNMENT ISSUES-1.88%, 2022	**	1,780,556
	US TREASURY N/B	GOVERNMENT ISSUES-0.75%, 2017	**	7,528,234
	US TREASURY N/B	GOVERNMENT ISSUES- 0.75%, 2018	**	2,814,622
	US TREASURY N/B	GOVERNMENT ISSUES-1.25%, 2021	**	6,918,724
	US TREASURY N/B	GOVERNMENT ISSUES-1.00%, 2018	**	1,609,827
	US TREASURY N/B	GOVERNMENT ISSUES-1.75%, 2021	**	6,883,258
	US TREASURY N/B	GOVERNMENT ISSUES-2.00%, 2026	**	9,053,813
	US TREASURY N/B	GOVERNMENT ISSUES-2.88%, 2046	**	5,300,425
	US TREASURY N/B	GOVERNMENT ISSUES-1.00%, 2017	**	2,371,851
	US TREASURY N/B	GOVERNMENT ISSUES-1.25%, 2018	**	2,462,499
	US TREASURY N/B	GOVERNMENT ISSUES-2.00%, 2021	**	4,852,754
	VERIZON COMMUNICATIONS SR UNSECURED	CORPORATE BONDS - 4.86%, 2046	**	486,381
	VERIZON COMMUNICATIONS SR UNSECURED	CORPORATE BONDS - 4.52%, 2048	**	239,728
	VNO MORTGAGE TRUST VNDO 2012 6AVE A 144A	MORTGAGE - 3.00%, 2030	**	242,213
	WAL MART STORES INC SR UNSECURED	CORPORATE BONDS - 4.75%, 2043	**	308,369
	WALGREENS BOOTS ALLIANCE SR UNSECURED	CORPORATE BONDS - 3.45%, 2026	**	147,240
	WALGREENS BOOTS ALLIANCE SR UNSECURED	CORPORATE BONDS - 4.80%, 2044	**	179,867
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR1 A1A	MORTGAGE - 1.00%, 2045	**	729,103
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR13 A1A2	MORTGAGE - 1.00%, 2045	**	889,326
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR2 2A1A	MORTGAGE - 1.00%, 2045	**	831,432

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	WEA FINANCE LLC/WESTFIEL COMPANY GUAR 144A	CORPORATE BONDS - 2.70%, 2019	**	657,441
	WELLS FARGO + COMPANY SR UNSECURED	CORPORATE BONDS - 2.50%, 2021	**	883,405
	WELLS FARGO + COMPANY SR UNSECURED	CORPORATE BONDS - 3.00%, 2026	**	262,414
	WELLS FARGO BANK NA SR UNSECURED	CORPORATE BONDS - 2.15%, 2019	**	439,727
	WELLS FARGO COMMERCIAL MORTGAG WFCM 2012 LC5 A3	MORTGAGE - 2.92%, 2045	**	447,765
	WELLS FARGO HOME EQUITY TRUST WFHET 2006 1 A4	OTHER ASSET BACKED - 1.00%, 2036	**	371,891
	WELLTOWER INC SR UNSECURED	CORPORATE BONDS - 3.75%, 2023	**	810,984
	Total Metwest Total Return Fund			218,671,445
		Total Investments		3,508,040,428
	*Notes receivable from participants	Loans receivable with repayment interest of 4.25% to 10%	—	$ 60,108,033
				$3,568,148,461

* Parties-in-interest

** Information is not required pursuant to Form 5500 instructions

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	Description of Assets	Purchase Price	Selling Price	Lease Rental	Expenses Incurred in Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
	Single							
	N/A							
	Series							
*	STATE STREET STIF *735 Transactions*	$ 581,739,656	N/A	N/A	$ —	$ 581,739,656	$ 581,739,656	N/A
*	STATE STREET STIF *919 Transactions*	N/A	$ 569,139,733	N/A	$ —	$ 569,139,733	$ 569,139,733	$ —
	JP Morgan Term Repo *1 Transaction*	$ 103,600,000	N/A	N/A	$ —	$ 103,600,000	$ 103,600,000	N/A
	JP Morgan Term Repo *1 Transaction*	N/A	$103,600,000	N/A	$ —	$ 103,600,000	$ 103,600,000	$ —
	FNMA TBA 30 YR 3 *14 Transactions*	$ 84,511,656	N/A	N/A	$ —	$ 84,511,656	$ 84,511,656	N/A
	FNMA TBA 30 YR 3 *18 Transactions*	N/A	$111,906,884	N/A	$ —	$ 112,532,249	$ 111,906,884	$ (625,365)

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	Description of Assets	Purchase Price	Selling Price	Lease Rental	Expenses Incurred in Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
	FNMA TBA 30 YR 3 *21 Transactions*	$ 117,188,337	N/A	N/A	$ —	$ 117,188,337	$ 117,188,337	N/A
	FNMA TBA 30 YR 3 *21 Transactions*	N/A	$ 117,341,806	N/A	$ —	$ 117,188,337	$ 117,341,806	$ 153,469
	US TREASURY N/B *8 Transactions*	$ 179,061,162	N/A	N/A	$ —	$ 179,061,162	$ 179,061,162	N/A
	US TREASURY N/B *9 Transactions*	N/A	$ 160,996,094	N/A	$ —	$ 161,077,285	$ 160,996,094	$ (81,191)
	US TREASURY N/B *19 Transactions*	$ 123,379,501	N/A	N/A	$ —	$ 123,379,501	$ 123,379,501	N/A
	US TREASURY N/B 16 Transactions	N/A	$ 115,902,034	N/A	$ —	$ 116,276,715	$ 115,902,034	$ (374,681)

* Parties-in-interest

FIRSTENERGY CORP. SAVINGS PLAN

REPORT ON AUDITS OF FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION

December 31, 2016 and 2015

FirstEnergy Corp. Savings Plan
Table of Contents

	Page
Independent Auditors' Report	1 – 2
Financial Statements:	
Statements of Net Assets Available for Benefits as of December 31, 2016 and 2015	3
Statement of Changes in Net Assets Available for Benefits for the Year Ended December 31, 2016	4
Notes to Financial Statements	5 – 11
Supplemental Schedules:	
Schedule H, line 4i – Schedule of Assets (Held at End of Year)	12 – 27
Schedule H, line 4j – Schedule of Reportable Transactions	28 – 29

All other schedules of additional financial information are omitted as they are not applicable or are not required based on the disclosure requirements of the Employee Retirement Income Security Act of 1974 and applicable regulations issued by the United States Department of Labor.

BOBER MARKEY FEDOROVICH
CERTIFIED PUBLIC ACCOUNTANTS/BUSINESS ADVISORS
A PROFESSIONAL CORPORATION

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INDEPENDENT AUDITORS' REPORT

To the Participants and Savings Plan Committee of the
FirstEnergy Corp. Savings Plan
Akron, Ohio

We have audited the accompanying financial statements of FirstEnergy Corp. Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statement of change in net assets available for benefits for the year ended December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the net assets available for benefits of the Plan as of December 31, 2016 and 2015, and the changes in net assets available for benefits for the year ended December 31, 2016, in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedules of Schedule H, Line 4i – Schedule of Assets (Held at End of Year) as of December 31, 2016 and Schedule H, Line 4j – Schedule of Reportable Transactions for the year ended December 31, 2016, are presented for the purpose of additional analysis and are not a required part of the financial statements but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Bober, Markey, Fedorovich & Company

BOBER, MARKEY, FEDOROVICH & COMPANY
Akron, Ohio

June 27, 2017

bmf

FirstEnergy Corp. Savings Plan

Statements of Net Assets Available for Benefits

	As of December 31,	
	2016	**2015**
Assets		
Investments:		
Investments, at fair value (see Note 5)	$ 2,944,601,484	$ 2,823,163,330
Investments, at contract value (see Note 6)	565,637,389	543,354,776
Total investments	3,510,238,873	3,366,518,106
Receivables:		
Accrued interest and dividends	2,014,476	2,466,671
Employer contributions	1,195,559	1,102,117
Employee contributions	3,549,535	3,201,149
Notes receivable from participants	60,108,033	59,649,938
Due from brokers	2,867,791	11,266,174
Total receivables	69,735,394	77,686,049
Total assets	3,579,974,267	3,444,204,155
Liabilities		
Administrative expenses payable	2,402,346	2,600,764
Due to brokers	24,798,263	32,864,072
Total liabilities	27,200,609	35,464,836
Net assets available for benefits	$ 3,552,773,658	$ 3,408,739,319

The accompanying notes are an integral part of these financial statements.

FirstEnergy Corp. Savings Plan

Statement of Changes in Net Assets Available for Benefits

	For the Year Ended December 31, 2016
Additions:	
Contributions	
Employer	$ 45,285,310
Participant	141,584,124
Total contributions	186,869,434
Investment Income	
Interest and dividends	47,594,749
Net appreciation in fair value of investments	186,585,368
Total investment income	234,180,117
Interest income on notes receivable from participants	2,499,892
Deductions:	
Distributions to participants	(273,832,194)
Administrative expenses	(5,682,910)
Total deductions	(279,515,104)
Increase in net assets available for benefits	144,034,339
Net assets available for benefits, beginning of year	3,408,739,319
Net assets available for benefits, end of year	$ 3,552,773,658

The accompanying notes are an integral part of these financial statements.

1. Description of the Plan

The following brief description of the FirstEnergy Corp. Savings Plan (Plan) is provided for general information purposes only. Participants should refer to the Plan documents for more complete information.

General

The Plan is a defined contribution plan and was established to provide a systematic savings program for eligible employees and to supplement such savings with employer contributions. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA) and the Internal Revenue Code of 1986, as amended (IRC).

Administration

FirstEnergy Corp. (FirstEnergy) is the sponsor of the Plan. The FirstEnergy Savings Plan Committee is the administrator of the Plan and has responsibility for the day-to-day administration of the Plan. Plan assets are held in trust under an arrangement with State Street Bank & Trust Company (State Street). Record keeping with respect to individual participant accounts is maintained by Fidelity Investments (Fidelity).

Participation

Generally, all full-time and part-time employees of FirstEnergy and its subsidiaries not represented by a collective bargaining union are eligible to participate in the Plan. Full-time and part-time employees represented by a labor union may participate to the extent permitted by their respective collective bargaining agreement.

New employees who do not make an affirmative election to make (or not make) pre-tax contributions to the Plan are deemed to have made an election to contribute 3% of eligible earnings for each payroll period increasing by 1% each April until the employee contribution rate reaches the amount that will be subject to matching contributions. Certain union participants are automatically enrolled at 2%, Utility Workers Union of America (UWUA) Local 180 is without escalation and International Brotherhood of Electrical Workers (IBEW) Local 777 has an increase of 1% per year up to 4%. A new employee who has not made an affirmative election as to the investment of his or her account shall have his or her account invested in the age appropriate LifePath Portfolio Fund made available to Plan participants. A LifePath Portfolio Fund is an investment alternative that provides a mixture of fixed income and equity investments that are matched to an individual's age and assumed retirement date.

Contributions

Subject to IRC limitations, the Plan allows each participant to contribute 1% to 75% (22% for participants represented by UWUA Local 180) of their eligible earnings to the Plan through payroll deductions. Participant contributions may be made as before-tax, after-tax or Roth 401(k) contributions (UWUA Local 180 and IBEW Local 777 are not eligible to elect Roth 401(k) contributions). During any calendar year in which a participant attains age 50 or older, he or she may elect to make additional pre-tax contributions and/or Roth contributions, called "catch-up" contributions. In order to be eligible to make catch-up contributions, the participant must anticipate that his or her pre-tax contributions and/or Roth contributions to the Plan will reach the applicable annual IRC limit on that type of contribution.

FirstEnergy makes a matching contribution of 50% on the first 6% of eligible compensation contributed by an employee, except for IBEW Local 777 and UWUA Local 180 participants who receive a matching contribution of 80% and 60%, respectively, on the first 4% of eligible contributions. All employer matching contributions are invested in FirstEnergy common stock, except for certain IBEW Local 777 and UWUA Local 180 participants whose matching contributions are invested in cash. Catch-up contributions are not eligible for matching contributions. The number of shares of FirstEnergy common stock contributed to each participant is based on the market price of FirstEnergy common stock as of the end of each pay period. FirstEnergy matching contributions are non-cash contributions made by issuing shares of common stock. Plan participants may diversify matching contributions held in FirstEnergy common stock at any time, subject to certain limitations.

Participant Accounts

Individual accounts are maintained for each Plan participant. Each participant's account is credited with the participant's contributions, employer matching contributions and allocated Plan earnings. Participants are vested in the entire value of their account at all times.

Investments
Participants direct the investment of their contributions and account balances into various investment options including ten LifePath Funds that include a diversified mix of asset classes based on expected retirement dates, sixteen Plan investment options with different objectives covering a range of asset classes and risk levels and a self-managed brokerage account that offers participants the ability to invest in any security listed on the New York Stock Exchange (NYSE), the NYSE MKT LLC (formerly known as the American Stock Exchange or NYSE Amex LLC) or the NASDAQ and a wide range of mutual funds.

The Plan's investment options include a fund of shares of FirstEnergy common stock, which together with a small portion of cash maintained for liquidity purposes, are recorded on a unit basis. FirstEnergy's common shares are traded on the NYSE and participation units in the FirstEnergy Common Stock Fund are valued at the NYSE closing price on the last business day of the plan year. The valuation per share of FirstEnergy's common stock was $30.97 and $31.73 at December 31, 2016 and 2015, respectively. The valuation per unit of the FirstEnergy Common Stock Fund was $37.86 and $38.71 at December 31, 2016 and 2015, respectively, due to cash maintained in the fund for liquidity purposes.

Notes Receivable from Participants
Participants may borrow up to 50% of their total account balance, excluding their Roth 401(k) balance, not exceeding $50,000 including loans outstanding and the highest unpaid loan balance over the previous 12 months. The interest rate for new loans is adjusted each quarter to the prime rate plus 1% based on the prime rate on approximately the 15th day of the last month of the preceding quarter. The range of interest rates on outstanding loan balances during the year ended December 31, 2016 was 4.25% to 10%. Participants may have up to two loans outstanding at one time. The minimum loan amount is $1,000 and must be repaid within 6 to 60 months. If the loan is for the purchase of a principal residence, the loan repayment period can be extended up to 30 years. Principal repayments and interest are credited to the participant's account when made, generally through payroll deduction.

Payment of Benefits
Upon termination of service due to disability, retirement or any other reason, a participant may elect to receive the full value or a portion of his or her account, defer payment to a later date (not beyond age 70-1/2) or receive installment payments. In the event of a participant's death, the participant's spouse may elect to withdraw all or a portion of the former participant's account or keep the funds in the Plan in accordance with provisions of the Plan, all other beneficiaries must withdraw or rollover the former participant's account within 180 days.

Subsequent Events
Management evaluates events and transactions occurring subsequent to the date of the financial statements that affect recognition or disclosure to the financial statements.

2. Summary of Significant Accounting Policies

The accompanying financial statements have been prepared on the accrual basis of accounting. Benefits are recorded when paid. The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America (GAAP) requires management to make estimates and assumptions that affect reported amounts and disclosures. Actual results may differ from these estimates.

The Plan's investments are stated at fair value. Fair value reflects the price that would be received for an asset or paid to transfer a liability in the principal or most advantageous market for the asset or liability in an orderly transaction between willing market participants on the measurement date. See Note 5 for a discussion of fair value measurements. Contract value is the relevant measurement attribute for that portion of the net assets available for benefits of the Plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan.

Notes receivable from participants represent participant loans and are measured at their unpaid principal balance plus any accrued interest. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan document. Repayments of principal and interest are recognized in the period in which payroll deductions are processed.

Investment income consists of interest and dividend income. The net appreciation (depreciation) in the fair value of investments consists of realized gains (losses) and unrealized appreciation (depreciation) on those investments.

Dividend income is accrued on the ex-dividend date. Purchases and sales of securities are recorded on a trade-date basis. Realized gains and losses from security transactions are reported based on historical cost.

Expenses for the administration of the Plan are generally paid by the Plan.

3. Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update No. 2015-07, *Fair Value Measurement (Topic 820): Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent)* (ASU 2015-07). ASU 2015-07 removes the requirement to include investments in the fair value hierarchy disclosure for which fair value is measured using the net asset value per share as a practical expedient under Topic 820. The plan adopted ASU 2015-12 as of December 31, 2016. There was no impact to the plan financials.

4. Plan Termination

Although FirstEnergy has not expressed any intent to do so, it reserves the right to discontinue or terminate the Plan at any time. If the Plan were terminated, in whole or in part, participants would be entitled to withdraw the full value of their accounts, to the extent allowed by law.

5. Investments and Fair Value Measurements

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the Statements of Net Assets Available for Benefits.

Authoritative accounting guidance establishes a fair value hierarchy that prioritizes the inputs used to measure fair value. This hierarchy gives the highest priority to Level 1 measurements and the lowest priority to Level 3 measurements.

The three levels of the fair value hierarchy are as follows:

Level 1 – Quoted prices for identical instruments in active markets.

Level 2 – Quoted prices for similar instruments in active markets;
– quoted prices for identical or similar instruments in markets that are not active; and
– model-derived valuations for which all significant inputs are observable market data.

Models are primarily industry-standard models that consider various assumptions, including quoted forward prices for commodities, time value, volatility factors and current market and contractual prices for the underlying instruments, as well as other relevant economic measures.

Level 3 – Valuation inputs are unobservable and significant to the fair value measurement.

The Plan did not hold any Level 3 investments as of December 31, 2016 or 2015 or during the year ended December 31, 2016.

There were no changes in valuation methodologies for assets measured at fair value during 2016.

The following tables set forth, by level within the fair value hierarchy, the fair value of the Plan's investments as of December 31, 2016 and 2015:

	December 31, 2016		
	Level 1	**Level 2**	**Total**
Cash and Cash Equivalents	$ —	$ 4,944,296	$ 4,944,296
Common Collective Trusts	—	1,583,189,917	1,583,189,917
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	330,093,147	—	330,093,147
Cash and Cash Equivalents	—	1,417,891	1,417,891
Total FirstEnergy Common Stock Fund	330,093,147	1,417,891	331,511,038
Registered Investment Companies	686,285,793	229,962,736	916,248,529
Self Managed Brokerage Accounts[1]	—	108,707,704	108,707,704
Total Investments at Fair Value[2]	$ 1,016,378,940	$ 1,928,222,544	$ 2,944,601,484

[1] The brokerage account investments are directed by participants.

[2] Values do not include investments at contract value.

	December 31, 2015		
	Level 1	Level 2	Total
Cash and Cash Equivalents	$ —	$ 5,637,239	$ 5,637,239
Common Collective Trusts	—	1,443,840,631	1,443,840,631
FirstEnergy Common Stock Fund			
FirstEnergy Common Stock	331,084,391	—	331,084,391
Cash and Cash Equivalents	—	614,972	614,972
Total FirstEnergy Common Stock Fund	331,084,391	614,972	331,699,363
Registered Investment Companies	685,137,585	257,505,354	942,642,939
Self Managed Brokerage Accounts[1]	—	99,343,158	99,343,158
Total Investments at fair value [2]	$ 1,016,221,976	$ 1,806,941,354	$ 2,823,163,330

[1] The brokerage account investments are directed by participants.

[2] Values do not include investments at contract value.

6. Fully Benefit-Responsive Investment Contracts

The Plan has an interest in synthetic guaranteed investment contracts (GICs) as part of the Capital Preservation Fund, which is managed by PIMCO.

In accordance with authoritative guidance for defined contribution plans, investment contracts are generally measured at contract value rather than fair value to the extent they are fully benefit-responsive. Contract value represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants transact with their investment in the Capital Preservation Fund at contract value as determined by the insurers and banks. No valuation reserve in relation to the contract value was deemed necessary. The Statement of Changes in Net Assets Available for Benefits and the Statements of Net Assets Available for Benefits present the Synthetic GIC's on a contract value basis.

A synthetic investment contract includes a wrapper contract, which is an agreement for the wrap issuer, such as a bank or insurance company, to make payments to the Plan in certain circumstances. The wrapper contract typically includes certain conditions and limitations on the underlying assets owned by the Plan.

The synthetic investment contracts held by the Plan include wrapper contracts that provide a guarantee that the credit rate will not fall below 0 percent. Cash flow volatility (for example, timing of benefit payments) as well as asset underperformance can be passed through to the Plan through adjustments to future contract crediting rates. Formulas are provided in each contract that adjusts renewal crediting rates to recognize the difference between the fair value and the book value of the underlying assets. Crediting rates are reviewed monthly for resetting.

Certain events limit the ability of the Plan to transact with the issuer at contract value. These events include closings (location, subsidiary, division), layoffs, Plan termination, bankruptcy or reorganization, corporate merger, early retirement incentive programs or similar events. The Plan Administrator does not believe any such events, of the magnitude that would limit the Plan's ability to transact at contract value, are probable. The GICs do not permit the issuers to terminate the contracts at an amount other than contract value.

The following represents the disaggregation of contract value between types of investment contracts held by the Plan at December 31, 2016 and 2015:

	2016	2015
Short-term investment fund	$ 23,933,495	$ 16,644,003
Synthetic GIC's	541,703,894	526,710,773
Total Investments at contract value	$ 565,637,389	$ 543,354,776

The synthetic GIC's are presented on Schedule H, line 4i - Schedule of Assets (Held at End of Year) at fair value as a requirement of the Form 5500. The fair value of the synthetic GICs represents the total fair value of the underlying assets plus the wrap value, which is calculated by using the discounted cash flows of the difference between the current wrap fee and the market indication wrap fee.

7. Tax Considerations

The Plan is exempt from federal, state and local income taxes. The Plan obtained its latest favorable determination letter on October 27, 2016, in which the Internal Revenue Service (IRS) stated that the Plan, as then designed, was in compliance with the applicable requirements of the IRC. The Plan has been amended since receiving the determination letter. However, the Plan Administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC.

The federal, state and local income tax treatments of distributions from the Plan depend upon when they are made and their form. The withdrawal of the principal amount of a participant's after-tax contribution is not, however, subject to tax. For tax years beginning after December 31, 1986, the Tax Reform Act of 1986 requires that an additional tax of 10% be applied to participant withdrawals from the Plan prior to death, disability, attainment of age 59-1/2 or under certain other limited circumstances. In the case of withdrawal by a participant employed by FirstEnergy prior to the attainment of age 59-1/2, the excess of the value of the withdrawal over the total amount of the participant's after-tax contributions, is taxable at ordinary income tax rates. The value of FirstEnergy's common stock withdrawn is considered to be its fair value on the date it is withdrawn.

In the case of a distribution that qualifies as a lump-sum distribution upon a participant's termination of employment with FirstEnergy or after attaining the age of 59-1/2, only the excess of the value of the lump sum distribution over the amount of the participant's after-tax contributions to the Plan (less withdrawals) is taxable at ordinary income tax rates. In determining the value of the lump-sum distribution, the FirstEnergy common stock distributed in-kind or in cash is measured at fair value on the date it is withdrawn.

The Plan is subject to audits by taxing jurisdictions. GAAP requires Plan management to evaluate tax positions taken by the Plan and recognize a tax liability if the Plan has taken an uncertain position that more than likely would not be sustained upon examination by taxing authorities. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016 and 2015, there are no uncertain positions taken or expected to be taken that would require recognition of a liability or disclosure in the financial statements.

8. Party-In-Interest Transactions

Certain Plan investments are shares of mutual funds managed by State Street Global Advisors. State Street, a related company, is the trustee as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

PIMCO is the fund manager of the Capital Preservation Fund and invests in certain investments managed by PIMCO and therefore, these transactions qualify as party-in-interest transactions.

Certain Plan investments are shares of FirstEnergy common stock with sales and purchases of the stock commencing on an as-needed basis. The investments and transactions qualify as party-in-interest transactions.

Additionally, the Plan uses the services of other fund managers that are paid directly by the Plan.

All of the above transactions are exempt from the prohibited transaction rules of ERISA.

9. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits, investment income and interest income on notes receivable per the financial statements to Form 5500 as of December 31, 2016 and 2015, and for the year ended December 31, 2016:

	2016	2015
Net assets available for benefits per the financial statements	$ 3,552,773,658	$ 3,408,739,319
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	(2,198,445)	(2,754,310)
Net assets available for benefits per Form 5500	$ 3,550,575,213	$ 3,405,985,009

Total investment income and interest income on notes receivable from participants per the financial statements	$ 236,680,009
Adjustment from contract value to fair value for fully-benefit responsive investment contracts	555,865
Investment income per Form 5500	$ 237,235,874

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost ($)	(e) Current Value ($)
*	STATE STREET STIF	Money market fund	**	$ 4,944,296
*	FIRSTENERGY COMMON STOCK FUND	FirstEnergy common stock	**	331,511,038
	FIDELITY PURITAN FUND	Balanced fund	**	123,932,868
	ACWI EX US - M	International stocks	**	72,760,274
	DFA US SMALL CAP VALUE PORTFOLIO	Small cap value stocks	**	135,717,146
	PIMCO REAL RETURN FUND INSTITUTIONAL CLASS	Bonds	**	15,022,966
	AMERICAN FUNDS EUROPACIFIC GROWTH FUND	International stocks	**	112,406,650
	BLACKROCK LIFEPATH INDEX RETIREMENT FUND	Blend of stocks, fixed income	**	89,337,121
	BLACKROCK LIFEPATH INDEX 2020 FUND	Blend of stocks, fixed income	**	132,113,228
	BLACKROCK LIFEPATH INDEX 2025 FUND	Blend of stocks, fixed income	**	116,557,150
	BLACKROCK LIFEPATH INDEX 2030 FUND	Blend of stocks, fixed income	**	77,617,236
	BLACKROCK LIFEPATH INDEX 2035 FUND	Blend of stocks, fixed income	**	43,684,429
	BLACKROCK LIFEPATH INDEX 2040 FUND	Blend of stocks, fixed income	**	27,315,552
	BLACKROCK LIFEPATH INDEX 2045 FUND	Blend of stocks, fixed income	**	25,969,850
	BLACKROCK LIFEPATH INDEX 2050 FUND	Blend of stocks, fixed income	**	32,085,003
	BLACKROCK LIFEPATH INDEX 2055 FUND	Blend of stocks, fixed income	**	21,918,022
	BLACKROCK LIFEPATH INDEX 2060 FUND	Blend of stocks, fixed income	**	1,582,457
	DODGE & COX INTERNATIONAL FUND	International stocks	**	38,159,103
	JP MORGAN GLOBAL OPPORTUNITIES FUND	Global Stocks	**	11,291,292
	SELF MANAGED BROKERAGE ACCOUNTS	Equities, fixed income	**	108,707,704
	TOTAL BOND MARKET INDEX	Bonds	**	98,649,624
	SMALL CAP GROWTH EQUITY	Small cap growth stocks	**	3,646,719
	MARKET COMPLETION INDEX	Blend of large, mid cap and small cap stocks	**	154,481,856
	MID CAP VALUE - I	Mid cap value stocks	**	27,537,932
	EQUITY INDEX - M	Large cap stocks	**	784,121,020
*	CAPITAL PRESERVATION FUND			
	STATE STREET STIF	Money market fund	**	23,933,495
	ABBVIE INC SR UNSEC	Corporate - 2.85%, 2023	**	194,722
	ABBVIE INC SR UNSEC	Corporate - 3.20%, 2022	**	1,004,792
	ACE INA HOLDINGS SR UNSEC	Corporate - 3.35%, 2024	**	3,577,864
	AMERICAN EXPRESS CREDIT SR UNSEC	Corporate - 1.67%, 2020	**	402,720
	AMXCA 2014-3 A	Cash Equivalent - 1.49%, 2020	**	2,406,612
	BACM 2007-1 A1A	Cash Equivalent - 5.43%, 2049	**	791,913
	BAIDU INC SR UNSEC	Corporate - 2.75%, 2019	**	3,048,486
	BANK OF AMERICA CORP SR NT	Corporate - 5.63%, 2020	**	5,136,351
	BANK OF NY MELLON CORP SRUNSEC	Corporate - 2.60%, 2020	**	2,026,705

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	BARCLAYS PLC SR UNSEC	Corporate - 3.20%, 2021	**	697,512
	BERKSHIRE HATHAWAY INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	12,798
	BK TOKYO-MITSUBISHI UFJ UNSEC 144A	Corporate - 2.35%, 2019	**	2,510,386
	BNP PARIBAS GLBL SR UNSEC BKNT	Corporate - 5.00%, 2021	**	3,866,880
	BP CAPITAL MARKETS PLC CO GTD	Corporate - 4.74%, 2021	**	2,199,555
	BPCE SA SR UNSEC	Corporate - 4.00%, 2024	**	3,659,430
	CASH COLLATERAL CME FOB USD	Cash Equivalent - 0.45%, 2060	**	217,096
	CASH COLLATERAL FUT FOB USD	Cash Equivalent - 0.45%, 2060	**	152,897
	CASH COLLATERAL ICE FOB USD	Cash Equivalent - 0.45%, 2060	**	364,080
	CASH COLLATERAL ISDA CBK USD	Cash Equivalent - 0.55%, 2060	**	100,529
	CASH COLLATERAL ISDA DUB USD	Cash Equivalent - 0.55%, 2060	**	38,883
	CASH COLLATERAL ISDA GST USD	Cash Equivalent - 0.55%, 2060	**	151,216
	CASH COLLATERAL TBA BCY USD	Cash Equivalent - 0.55%, 2060	**	43
	CASH COLLATERAL TBA BOS USD	Cash Equivalent - 0.55%, 2060	**	219,642
	CASH COLLATERAL TBA BPG USD	Cash Equivalent - 0.55%, 2060	**	8,471
	CASH COLLATERAL TBA FOB USD	Cash Equivalent - 0.55%, 2060	**	481,514
	CASH COLLATERAL TBA GSC USD	Cash Equivalent - 0.55%, 2060	**	185,891
	CASH COLLATERAL TBA NOM USD	Cash Equivalent - 0.55%, 2060	**	24
	CCCIT 2013-A7 A7	Cash Equivalent - 1.09%, 2060	**	1,757,549
	CDX IG26 5Y ICE	Credit Default Swap - 1.00%, 2021	**	29,043
	CDX IG27 5Y ICE	Credit Default Swap - 1.00%, 2021	**	31,076
	CGCMT 2007-C6 A4 WM16 WC5.7312	Cash Equivalent - 5.71%, 2049	**	1,314,937
	CGCMT 2013-GC11 AAB	Mortgage - 2.69%, 2046	**	812,655
	CGCMT 2015-GC29 AAB WM24 WC4.173211878	Mortgage - 2.98%, 2048	**	1,515,241
	CHAIT 2014-A7 A	Cash Equivalent - 1.38%, 2019	**	2,203,030
	CHAIT 2015-A5 A	Mortgage - 1.36%, 2020	**	1,299,996
	CHEVRON CORP UNSEC FRN	Cash Equivalent - 1.32%, 2019	**	1,853,427
	CMBX.NA.AAA.7 SP FBF	Credit Default Swap - 0.50%, 2047	**	(15,766)
	CMBX.NA.AAA.8 SP DUB	Credit Default Swap - 0.50%, 2057	**	(48,106)
	CMBX.NA.AAA.8 SP GST	Credit Default Swap - 0.50%, 2057	**	(76,741)
	CNOOC FINANCE 2014 ULC GLBL CO GTD	Cash Equivalent - 1.63%, 2017	**	3,230,204
	COMM 2013-CR11 ASB WM23 WC5.205219817	Mortgage - 3.66%, 2046	**	1,477,792
	COMM 2014-CR19 ASB WM14	Mortgage - 3.50%, 2047	**	1,878,105
	COMM 2014-UBS6 ASB WM14	Mortgage - 3.39%, 2047	**	1,869,076
	COMM 2015-CR23 ASB WM15	Mortgage - 3.26%, 2048	**	1,241,150
	COMM 2015-DC1 ASB WM15	Mortgage - 3.14%, 2048	**	1,693,203
	COMM 2015-LC19 ASB	Mortgage - 3.04%, 2048	**	1,330,332
	COMM 2015-LC21 ASB	Mortgage - 3.42%, 2048	**	2,277,866
	COMPASS BANK SR UNSEC	Corporate - 2.75%, 2019	**	1,898,569

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor or similar party	Description of investment, including maturity date, rate of interest, collateral, par or maturity value	Cost	Current Value
	CRED SUIS GP FUN LTD SR UNSEC	Corporate - 3.80%, 2023	**	350,555
	CREDIT SUISSE NEW YORK SR UNSEC	Corporate - 3.00%, 2021	**	2,026,402
	CSAIL 2015-C1 ASB WM15	Mortgage - 3.35%, 2050	**	1,078,329
	CSAIL 2015-C4 ASB WM25 WC4.608	Mortgage - 3.62%, 2048	**	2,380,627
	DEUTSCHE BANK AG SR UNSEC 144A	Corporate - 4.25%, 2021	**	1,818,284
	DISCOVER FINANCIAL SVS SR UNSEC	Corporate - 3.20%, 2021	**	3,042,492
	EL PASO PIPELINE PART OP SR UNSEC	Corporate - 4.30%, 2024	**	1,543,314
	ELECTRICITE DE FRANCE SA SR UNSEC 144A	Corporate - 2.35%, 2020	**	1,789,015
	EUROPEAN INVESTMENT BANK SR UNSEC	Corporate - 2.00%, 2021	**	2,749,412
	FED HOME LN BK DISC NT	Cash Equivalent - 0.00%, 2017	**	11,598,214
	FED HOME LN BK DISC NT	Cash Equivalent - 0.00%, 2017	**	12,000,000
	FHA 221D4 P4/02 GREY 54 NCP	Mortgage - 7.43%, 2023	**	231
	FHLMC GOLD 30YR #Q3-1614	Mortgage - 3.00%, 2045	**	2,703,147
	FHLMC GOLD 30YR #Q3-3509	Mortgage - 3.00%, 2045	**	750,322
	FHLMC GOLD 30YR GNT #G0-8687	Mortgage - 3.50%, 2046	**	8,272,282
	FHLMC GOLD 30YR GNT #G0-8693	Mortgage - 3.50%, 2046	**	213,149
	FHMS KJ02 A1 WM19 WC4.629891442	Cash Equivalent - 1.64%, 2019	**	624,810
	FHMS KP03 A2 WM16WC.000	Mortgage - 1.78%, 2019	**	1,596,891
	FHR 2666 OD PAC WM23 WC5.84	Mortgage - 5.50%, 2023	**	746,649
	FHR 2739 PG PAC WM33 WC5.552	Mortgage - 5.00%, 2034	**	1,020,016
	FHR 2764 UE WM32 WC5.4879	Cash Equivalent - 5.00%, 2032	**	289,890
	FHR 2950 FN 1MLIB+45	Cash Equivalent - 1.15%, 2035	**	272,819
	FHR 3185 GT SEQ WM26 WC6.43	Mortgage - 6.00%, 2026	**	252,122
	FHR 3225 HF 1MLIB+51BP	Cash Equivalent - 1.21%, 2036	**	325,783
	FHR 3311 FN 1MLIB+30BP	Cash Equivalent - 1.00%, 2037	**	116,508
	FHR 3318 FL 1MLIB+40	Cash Equivalent - 1.10%, 2037	**	335,134
	FHR 3339 FL 1MLIB+58	Cash Equivalent - 1.28%, 2037	**	205,586
	FHR 3355 BF 1MLIB+70	Cash Equivalent - 1.40%, 2037	**	359,243
	FHR 3368 AF 1MLIB+72	Cash Equivalent - 1.42%, 2037	**	47,689
	FHR 3666 FC 1MLIB+73	Cash Equivalent - 1.43%, 2040	**	188,214
	FHR 3699 FD VA 1MLIB+60	Cash Equivalent - 1.30%, 2040	**	439,621
	FHR 3740 DF 1MLIB+48	Cash Equivalent - 1.18%, 2040	**	818,267
	FHR 3743 FA 1MLIB+60	Cash Equivalent - 1.30%, 2040	**	1,395,272
	FHR 3747 WF VA 1MLIB+48	Cash Equivalent - 1.18%, 2040	**	1,146,781
	FHR 3751 FG 1MLIB+50	Cash Equivalent - 1.20%, 2050	**	97,756
	FHR 3843 FE 1MLIB+55BP	Cash Equivalent - 1.25%, 2041	**	1,757,939
	FHR 3843 FG 1MLIB+55BP	Cash Equivalent - 1.25%, 2041	**	1,757,939
	FHR 3977 FB 1MLIB+52BP	Cash Equivalent - 1.22%, 2041	**	2,063,018
	FHR 3984 DF 1M LIBOR +55BP	Cash Equivalent - 1.25%, 2042	**	2,483,483

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	FHR 4400 FA 1MLIB + 40 BP	Cash Equivalent - 1.10%, 2041	**	1,314,674
	FIN FUT EURO$ CME (RED) 03/19/18	Future - 0.00%, 2018	**	2,426
	FIN FUT EURO$ CME (WHT) 03/13/17	Future - 0.00%, 2017	**	(538)
	FIN FUT US ULTRA 30YR CBT 03/22/17	Future - 6.00%, 2017	**	(23,250)
	FL ST BRD OF ADMIN	Municipals - 2.64%, 2021	**	1,116,899
	FN ARM AL7090 H15T1Y+222.2 9.432	Cash Equivalent - 2.77%, 2037	**	1,257,744
	FNMA PASS THRU 20YR #254916	Cash Equivalent - 5.50%, 2023	**	239,480
	FNMA PASS THRU 20YR #255075	Cash Equivalent - 5.50%, 2024	**	279,502
	FNMA PASS THRU 20YR #AL6722	Cash Equivalent - 5.00%, 2030	**	3,600,985
	FNMA PASS THRU 30YR #848210	Cash Equivalent - 5.50%, 2035	**	274,321
	FNMA PASS THRU 30YR #884863	Cash Equivalent - 5.50%, 2036	**	269,471
	FNMA PASS THRU 30YR #890365	Cash Equivalent - 5.50%, 2041	**	341,251
	FNMA PASS THRU 30YR #938504	Cash Equivalent - 5.50%, 2037	**	180,581
	FNMA TBA 2.5% FEB 15YR	Mortgage - 2.50%, 2032	**	40,137
	FNMA TBA 2.5% JAN 15YR	Mortgage - 2.50%, 2032	**	(109,453)
	FNMA TBA 3.0% FEB 30YR	Mortgage - 3.00%, 2047	**	525,425
	FNMA TBA 3.0% JAN 30YR	Mortgage - 3.00%, 2047	**	(785,828)
	FNMA TBA 5.0% FEB 30YR	Cash Equivalent - 5.00%, 2047	**	(9,613)
	FNMA TBA 5.0% JAN 30YR	Cash Equivalent - 5.00%, 2047	**	(33,789)
	FNR 2005-109 PV WM32 WC6.509500	Cash Equivalent - 6.00%, 2032	**	8,241
	FNR 2005-13 FA 1MLIB+45	Cash Equivalent - 1.21%, 2035	**	810,197
	FNR 2005-29 JB WM33 WC7.0709	Mortgage - 4.50%, 2035	**	2,428
	FNR 2005-68 PC WM34 WC6.55	Mortgage - 5.50%, 2035	**	5,735
	FNR 2006-129 FM 1MLIB+25	Cash Equivalent - 1.01%, 2037	**	156,235
	FNR 2007-109 GF 1MLIB+68	Cash Equivalent - 1.44%, 2037	**	829,090
	FNR 2007-25 FB 1MLIB+33BP	Cash Equivalent - 1.09%, 2037	**	117,677
	FNR 2007-27 FA 1MLIB+31BP	Cash Equivalent - 1.07%, 2037	**	39,818
	FNR 2007-38 FC 1MLIB+42	Cash Equivalent - 1.18%, 2037	**	230,318
	FNR 2007-70 FA 1MLIB+35BP	Cash Equivalent - 1.11%, 2037	**	157,541
	FNR 2008-12 FA 1MLIB+67BP FRN	Cash Equivalent - 1.43%, 2038	**	1,236,254
	FNR 2010-111 FC 1MLIB+52	Cash Equivalent - 1.28%, 2040	**	186,496
	FNR 2010-115 FM 1MLIB+50	Cash Equivalent - 1.26%, 2040	**	1,396,269
	FNR 2010-117 FE 1MLIB+40BP	Cash Equivalent - 1.16%, 2040	**	134,037
	FNR 2010-129 FM 1MLIB+50	Cash Equivalent - 1.26%, 2040	**	332,521
	FNR 2010-135 LF 1MLIB+45	Cash Equivalent - 1.21%, 2040	**	610,736
	FNR 2010-141 FB 1MLIB+47	Cash Equivalent - 1.23%, 2040	**	183,761
	FNR 2010-2 GF 1MLIB+55BP	Cash Equivalent - 1.31%, 2049	**	489,225
	FNR 2010-46 WF 1MLIB+75BP	Cash Equivalent - 1.51%, 2040	**	1,686,088
	FNR 2010-59 FA 1MLIB+73BP	Cash Equivalent - 1.49%, 2040	**	2,588,111

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	FNR 2011-3 FA 1MLIB+68	Cash Equivalent - 1.44%, 2041	**	3,242,364
	FNR 2011-86 KF 1MLIB+55	Cash Equivalent - 1.31%, 2041	**	467,795
	FNR 2011-86 NF 1MLIB+55	Cash Equivalent - 1.31%, 2041	**	1,012,159
	FNR 2011-87 FJ 1MLIB+55BP	Cash Equivalent - 1.31%, 2041	**	1,736,074
	FNR 2012-141 FA 1MLIB+30BP	Cash Equivalent - 1.06%, 2042	**	1,210,719
	FNR 2013-39 FA 1MLIB+35BP	Cash Equivalent - 1.11%, 2043	**	1,315,105
	FNR 2015-79 FE 1MLIB+25BP	Cash Equivalent - 1.01%, 2045	**	2,969,449
	FNR 2015-87 BF 1MLIB+30BP	Cash Equivalent - 1.06%, 2045	**	1,799,170
	FNR 2016-11 CF 1MLIB+35BP	Cash Equivalent - 1.11%, 2046	**	3,404,095
	FNR 2016-40 AF 1MLIB+45BP	Cash Equivalent - 0.98%, 2046	**	4,016,377
	FNR 2016-64 KF WM38 WC3.3381	Cash Equivalent - 1.00%, 2046	**	4,843,676
	FNR 2016-82 FM 1MLIB+40BP	Cash Equivalent - 0.93%, 2046	**	5,675,019
	FNR 2016-87 AF 1MLIB+40BP	Cash Equivalent - 0.93%, 2046	**	5,900,022
	FORD MOTOR CREDIT CO LLC SR UNSEC	Corporate - 5.00%, 2018	**	4,694,606
	FORDL 2015-B A2A	Cash Equivalent - 1.04%, 2018	**	1,259,343
	FOREST LABORATORIES INC SR UNSEC 144A	Corporate - 4.38%, 2019	**	3,150,397
	GE CAPITAL INTL FUNDING SR UNSEC	Corporate - 2.34%, 2020	**	3,949,073
	GENERAL ELECTRIC CO GLBL NT	Corporate - 4.63%, 2021	**	787,071
	GENERAL ELECTRIC CO SR UNSEC	Corporate - 2.70%, 2022	**	753,109
	GNMA I TBA 4.0% JAN 30YR	Mortgage - 4.00%, 2047	**	(46,562)
	GNMA II MULTPL SGL 30YR #MA3105M	Mortgage - 3.50%, 2045	**	7,910,201
	GNR 2012-149 GF 1MLIB+30BP	Cash Equivalent - 1.04%, 2042	**	3,441,894
	GOLDCORP INC GLBL SR UNSEC	Corporate - 3.63%, 2021	**	712,724
	GOLDMAN SACHS GROUP INC GLBL SR UNSEC	Corporate - 5.25%, 2021	**	2,775,046
	GOLDMAN SACHS GROUP INC SR NT	Corporate - 5.38%, 2020	**	1,724,444
	GOLDMAN SACHS GROUP INC SR UNSEC	Corporate - 4.00%, 2024	**	2,511,764
	HAROT 2015-3 A2 WM19 WC2.133500	Cash Equivalent - 0.92%, 2017	**	409,896
	HSBC HOLDINGS PLC SR UNSEC	Corporate - 3.60%, 2023	**	1,311,339
	HSBC HOLDINGS PLC SR UNSEC	Corporate - 3.19%, 2021	**	2,095,708
	HUTCHISON WHAMPOA UNSEC 144A	Corporate - 1.63%, 2017	**	2,099,702
	INTESA SANPAOLO SPA SR UNSEC	Corporate - 2.38%, 2017	**	1,660,750
	INTESA SANPAOLO SPA UNSEC	Corporate - 3.88%, 2018	**	1,535,635
	IRS USD 1.25000 12/21/16-3Y CME	Interest Rate Swap - 1.25%, 2018	**	(261,180)
	IRS USD 1.75000 12/21/16-10Y CME	Interest Rate Swap - 1.75%, 2026	**	380,967
	ITAU CORPBANCA 144A SR UNSEC	Corporate - 3.88%, 2019	**	1,558,101
	JACKSON NATL LIFE GLOBAL SEC 144A	Corporate - 1.88%, 2018	**	1,707,826
	JPMBB 2013-C17 ASB WM23 WC4.9082	Mortgage - 3.71%, 2047	**	1,475,751
	JPMBB 2014-C22 ASB WM24 WC4.580566404	Mortgage - 3.50%, 2047	**	1,824,126
	JPMBB 2015-C27 ASB	Mortgage - 3.02%, 2048	**	1,622,915

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	JPMCC 2007-LD12 A4 WM16 WC6.0924	Cash Equivalent - 5.88%, 2051	**	2,282,108
	JPMCC 2007-LDPX A3 SEQ WM15 WC5.76	Cash Equivalent - 5.42%, 2049	**	709,750
	JPMORGAN CHASE & CO GLBL SR UNSEC	Corporate - 4.50%, 2022	**	1,635,669
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 2.55%, 2020	**	350,815
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 4.25%, 2020	**	3,261,003
	JPMORGAN CHASE & CO SR UNSEC	Corporate - 2.30%, 2021	**	2,665,855
	KFW SR UNSEC	Corporate - 1.50%, 2019	**	1,805,915
	KINDER MORGAN ENER PART	Corporate - 3.95%, 2022	**	2,071,036
	KINDER MORGAN INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	(7,326)
	LOS ANGELES CO REDEV	Municipals - 2.00%, 2023	**	1,789,409
	MANUF & TRADERS TRUST CO SR UNSEC	Corporate - 2.25%, 2019	**	4,410,600
	MEXICO LA SP GST	Credit Default Swap - 1.00%, 2019	**	6,581
	MIZUHO FINANCIAL GROUP SR UNSEC	Corporate - 2.10%, 2021	**	3,102,986
	MOODY'S CORPORATION SR UNSEC	Corporate - 4.88%, 2024	**	3,279,780
	MORGAN STANLEY	Corporate - 5.50%, 2020	**	2,219,774
	MORGAN STANLEY NT	Corporate - 5.50%, 2020	**	4,503,900
	MSBAM 2012-C6 A2 WM21 WC4.692	Cash Equivalent - 1.87%, 2045	**	1,899,437
	MSBAM 2013-C13 ASB WM23 WC4.922172434	Mortgage - 3.56%, 2045	**	1,155,450
	MSBAM 2014-C16 A4 WM23 C4.78111	Mortgage - 3.60%, 2047	**	2,129,222
	MSBAM 2014-C18 A2 WM14	Mortgage - 3.19%, 2047	**	2,218,228
	MSBAM 2015-C20 ASB WM24 WC4.486757058	Mortgage - 3.07%, 2048	**	1,220,737
	MSC 2007-IQ13 A4 WM16 WC5.8329	Cash Equivalent - 5.36%, 2044	**	631,094
	NA DEVELOPMENT BANK GLBL SR UNSECURED	Corporate - 4.38%, 2020	**	5,156,116
	NALT 2015-B A2A	Cash Equivalent - 1.18%, 2017	**	1,007,313
	NAVSL 2015-1 A1 1MLIB+30BP	Cash Equivalent - 1.06%, 2022	**	640,096
	PENSKE TRUCK LEASING/PTL SR UNSEC 144A	Corporate - 3.05%, 2020	**	1,632,018
	PNC BANK NA SR UNSEC	Corporate - 2.45%, 2020	**	1,305,930
	PROLOGIS LP SR UNSEC	Corporate - 4.00%, 2018	**	1,443,518
	PRUDENTIAL FINANCIAL INC SNR S* ICE	Credit Default Swap - 1.00%, 2021	**	17,638
	RABOBANK NEDERLAND UTREC	Corporate - 3.88%, 2022	**	3,728,881
	SANTANDER HOLDINGS USA SR UNSEC	Corporate - 4.50%, 2025	**	2,014,550
	SANTANDER UK GROUP HLDGS SR UNSEC	Corporate - 2.88%, 2021	**	1,478,187
	SBA TOWER TRUST NT SEC 144A 1A C	Corporate - 2.90%, 2044	**	2,219,794
	SINOPEC GRP OVERSEA 2014	Corporate - 2.75%, 2019	**	3,043,704
	SLCLT 2005-3 A2 3MLIB+9BP	Cash Equivalent - 1.05%, 2024	**	533,083
	SLCLT 2006-2 A5 3MLIB+10BP	Cash Equivalent - 1.06%, 2026	**	1,557,553
	SLMA 2004-1 A3	Cash Equivalent - 1.09%, 2023	**	728,517

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	SLMA 2004-3 A5 3MLIB+17	Cash Equivalent - 1.05%, 2023	**	962,914
	SLMA 2005-3 A5	Cash Equivalent - 0.97%, 2024	**	1,045,760
	SLMA 2005-6 A5A 3MLIB+11BP	Cash Equivalent - 0.99%, 2026	**	647,545
	SLMA 2005-8 A4 3MLIB **PUT REDEMPTION**	Cash Equivalent - 1.63%, 2028	**	212,164
	SLMA 2006-1 A4 3MLIB+9	Cash Equivalent - 0.97%, 2019	**	433,621
	SLMA 2006-4 A5 3MLIB+10BP	Cash Equivalent - 0.98%, 2025	**	332,792
	SOLVAY FINANCE (AMERICA) SR UNSEC 144A	Corporate - 3.40%, 2020	**	1,733,868
	SOUTH AFRICA EM SP CBK	Credit Default Swap - 1.00%, 2019	**	(13,443)
	STATE STREET STIF	Cash Equivalent - 0.64%, 2030	**	557,580
	SUMITOMO MITSUI BANKING SR UNSEC FRN	Cash Equivalent - 1.46%, 2018	**	2,407,632
	SUMITOMO MITSUI FINL GRP SR UNSEC	Corporate - 2.44%, 2021	**	2,961,704
	TAOT 2015-C A2A	Cash Equivalent - 0.92%, 2018	**	228,171
	TEVA PHARMACEUTICALS NE SR UNSEC	Corporate - 1.70%, 2019	**	888,730
	TIAA ASSET MGMT FIN LLC SR UNSEC 144A	Corporate - 2.95%, 2019	**	1,531,162
	U S TREASURY BILLS	Cash Equivalent - 0.00%, 2017	**	999
	U S TREASURY BILLS	Cash Equivalent - 0.00%, 2017	**	98,916
	U S TREASURY INFLATE PROT BD	US Treasury - 0.38%, 2025	**	1,979,884
	U S TREASURY INFLATE PROT BD	US Treasury - 0.63%, 2026	**	2,416,902
	U S TREASURY INFLATE PROT BD	US Treasury - 1.75%, 2028	**	906,362
	U S TREASURY INFLATE PROT BD	US Treasury - 2.00%, 2026	**	482,851
	U S TREASURY NOTE	Cash Equivalent - 0.88%, 2017	**	12,047,223
	U S TREASURY NOTE	Cash Equivalent - 0.88%, 2017	**	985,472
	U S TREASURY NOTE	Cash Equivalent - 1.00%, 2017	**	13,746,239
	U S TREASURY NOTE	Cash Equivalent - 0.63%, 2017	**	14,022,583
	U S TREASURY NOTE	US Treasury - 1.75%, 2021	**	2,334,867
	U S TREASURY NOTE	US Treasury - 1.00%, 2019	**	18,624,518
	U S TREASURY NOTE	US Treasury - 1.38%, 2023	**	10,297,209
	U S TREASURY NOTE	US Treasury - 1.25%, 2023	**	4,628,389
	U S TREASURY NOTE	US Treasury - 2.13%, 2021	**	20,995,641
	U S TREASURY NOTE	US Treasury - 0.75%, 2018	**	17,997,665
	U S TREASURY NOTE	US Treasury - 1.13%, 2021	**	5,423,654
	U S TREASURY REPO	Cash Equivalent - 0.60%, 2017	**	60,701,163
	UBS AG STAMFORD CT SR UNSEC	Corporate - 2.38%, 2019	**	3,536,473
	UBSBB 2013-C5 AAB WM22 WC4.120420506	Mortgage - 2.69%, 2046	**	1,214,101
	VERIZON COMMUNICATIONS SR UNSEC	Corporate - 5.15%, 2023	**	4,854,771
	VIACOM INC SR UNSEC	Corporate - 2.75%, 2019	**	1,000,098
	VM CASH CCP CME FOB USD	Cash Equivalent - 0.01%, 2060	**	46,929
	VM CASH CCP ICE FOB USD	Cash Equivalent - 0.01%, 2060	**	20,517
	VM CASH FUT DOM FOB USD	Cash Equivalent - 0.01%, 2060	**	179,140

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	VOLKSWAGEN GROUP AMERICA SR UNSEC 144A	Corporate - 1.39%, 2018	**	996,331
	WEA FINANCE LLC/WESTFIEL UNSEC 144A	Corporate - 2.70%, 2019	**	3,049,704
	WELLS FARGO & COMPANY SR UNSEC	Corporate - 1.76%, 2020	**	2,015,204
	WELLS FARGO & COMPANY SR UNSEC	Corporate - 3.55%, 2025	**	2,913,555
	WFCM 2015-C26 ASB WM15	Mortgage - 2.99%, 2048	**	1,521,654
	WFCM 2015-C29 ASB WM15	Mortgage - 3.40%, 2048	**	1,651,913
	WFCM 2015-C30 ASB WM25 WC4.53	Mortgage - 3.41%, 2058	**	1,755,285
	WFCM 2016-C35 ASB WM16 WC0.0	Mortgage - 2.79%, 2048	**	1,436,181
	WISCONSIN-A-REF-TXBL	Municipals - 1.90%, 2022	**	1,839,207
	Capital Preservation Fund Total			563,438,944
	ARTISAN MIDCAP INVESTOR FUND			
*	STATE STREET STIF	MONEY MARKET FUND	**	6,406,779
	ACTIVISION BLIZZARD INC	COMMON STOCK	**	1,758,810
	ACUITY BRANDS INC	COMMON STOCK	**	2,364,468
	ADVANCE AUTO PARTS INC	COMMON STOCK	**	2,019,462
	AMETEK INC	COMMON STOCK	**	921,796
	ARAMARK	COMMON STOCK	**	2,031,932
	ATHENAHEALTH INC	COMMON STOCK	**	1,176,011
	ATLASSIAN CORP PLC	COMMON STOCK	**	961,827
	BECTON DICKINSON AND CO	COMMON STOCK	**	2,800,278
	BLUE BUFFALO PET PRODUCTS	COMMON STOCK	**	696,150
	BOSTON SCIENTIFIC CORP	COMMON STOCK	**	5,651,378
	CBS CORP	COMMON STOCK	**	2,066,505
	CIGNA CORP	COMMON STOCK	**	3,377,702
	CIMAREX ENERGY	COMMON STOCK	**	2,106,722
	CINTAS CORP	COMMON STOCK	**	1,158,489
	CONCHO RESOURCES INC	DEPOSITORY RECEIPTS	**	3,251,087
	CTRIP.COM	COMMON STOCK	**	1,546,680
	DELPHI AUTOMOTIVE PLC	COMMON STOCK	**	2,002,787
	DEXCOM INC	COMMON STOCK	**	2,265,257
	DOLLAR TREE INC	COMMON STOCK	**	1,383,452
	ELECTRONIC ARTS INC	COMMON STOCK	**	2,660,907
	ENVISION HEALTHCARE CORP	COMMON STOCK	**	2,303,690
	EXPEDIA INC	COMMON STOCK	**	935,466
	FIDELITY NATIONAL INFO SERV	COMMON STOCK	**	2,202,334
	FORTUNE BRANDS HOME & SECURITY	COMMON STOCK	**	3,580,377
	GARTNER INC	COMMON STOCK	**	1,789,343

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	GLOBAL PAYMENTS INC	COMMON STOCK	**	4,859,255
	GUIDEWIRE SOFTWARE INC	COMMON STOCK	**	1,958,105
	HARRIS CORP	COMMON STOCK	**	1,479,667
	HD SUPPLY HOLDINGS INC	COMMON STOCK	**	2,155,895
	IHS MARKIT LTD	COMMON STOCK	**	6,443,204
	INTERCONTINENTAL EXCHANGE INC	COMMON STOCK	**	2,337,932
	INTUIT INC	COMMON STOCK	**	1,052,922
	LKQ CORP	COMMON STOCK	**	5,760,637
	MIDDLEBY CORP	COMMON STOCK	**	1,690,245
	MONSTER BEVERAGE CORP	COMMON STOCK	**	2,218,330
	MOTOROLA SOLUTIONS INC	COMMON STOCK	**	1,786,611
	NEWELL BRANDS INC	COMMON STOCK	**	1,712,953
	NIELSEN HOLDINGS PLC	COMMON STOCK	**	1,105,802
	NORTHERN TRUST CORP	COMMON STOCK	**	1,677,880
	NVIDIA CORP	COMMON STOCK	**	2,252,961
	O REILLY AUTOMOTIVE INC	COMMON STOCK	**	815,463
	PANERA BREAD COMPANY	COMMON STOCK	**	726,019
	REGENERON PHARMACEUTICAS	COMMON STOCK	**	3,933,736
	ROPER TECHNOLOGIES INC	COMMON STOCK	**	1,752,442
	RPM INTERNATIONAL INC	COMMON STOCK	**	2,152,016
	SEATTLE GENETICS INC	COMMON STOCK	**	4,856,184
	SMITH (A.O.) CORP	COMMON STOCK	**	1,023,738
	STATE STREET BANK + TRUST	COMMON STOCK	**	695,761
	SVB FINANCIAL GROUP	COMMON STOCK	**	2,677,381
	TD AMERITRADE HOLDING CORP	COMMON STOCK	**	1,412,902
	TRACTOR SUPPLY COMPANY	COMMON STOCK	**	1,359,273
	ULTA SALON COSMETICS & FRAGRANCE	COMMON STOCK	**	2,540,477
	VANTIV INC	COMMON STOCK	**	2,011,877
	VEEVA SYSTEMS INC	COMMON STOCK	**	2,131,296
	VERISK ANALYTICS INC	COMMON STOCK	**	1,461,628
	WABTEC CORP	COMMON STOCK	**	1,217,903
	WASTE CONNECTIONS INC	COMMON STOCK	**	3,640,918
	WHITEWAVE FOODS CO	COMMON STOCK	**	1,222,087
	WORKDAY INC	COMMON STOCK	**	1,316,314
	Artisan Midcap Investor Fund Total			134,859,503
	METWEST TOTAL RETURN FUND			
*	STATE STREET STIF	Money market fund	**	8,162,021

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	ABBVIE INC SR UNSECURED	CORPORATE BONDS - 4.30%, 2036	**	95,291
	ABBVIE INC SR UNSECURED	CORPORATE BONDS - 4.70%, 2045	**	318,867
	ACE SECURITIES CORP. ACE 2004 IN1	MORTGAGE - 1.00%, 2034	**	1,047,899
	ACTAVIS FUNDING SCS COMPANY GUAR	CORPORATE BONDS - 3.80%, 2025	**	450,530
	ACTAVIS FUNDING SCS COMPANY GUAR	CORPORATE BONDS - 4.55%, 2035	**	296,938
	ADJUSTABLE RATE MORTGAGE TRUST ARMT 2005 2 6M1	MORTGAGE - 1.00%, 2035	**	329,238
	AETNA INC SR UNSECURED	CORPORATE BONDS - 1.70%, 2018	**	549,490
	AMER AIRLN 13 1 A PASS T PASS THRU CE	CORPORATE BONDS - 4.00%, 2027	**	350,401
	AMERICA WEST AIR 2000 1 PASS THRU CE	CORPORATE BONDS - 8.06%, 2022	**	409,601
	AMERICAN HOME MORTGAGE INVESTM AHM 2004 4 4A	MORTGAGE - 1.00%, 2045	**	831,263
	APPLE INC SR UNSECURED	CORPORATE BONDS - 4.65%, 2046	**	313,156
	AT+T INC SR UNSECURED	CORPORATE BONDS - 4.75%, 2046	**	454,761
	AT+T INC SR UNSECURED	CORPORATE BONDS - 4.80%, 2044	**	203,157
	BABSON CLO LTD BABSN 2015 IA A 144A	OTHER ASSET BACKED - 1.00%, 2027	**	580,756
	BANC OF AMERICA MERRILL LYNCH BAMLL	MORTGAGE - 2.96%, 2030	**	221,265
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 6.88%, 2018	**	478,310
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 5.65%, 2018	**	523,881
	BANK OF AMERICA CORP SR UNSECURED	CORPORATE BONDS - 4.10%, 2023	**	626,760
	BANK OF AMERICA NA SUBORDINATED	CORPORATE BONDS - 5.30%, 2017	**	1,007,745
	BAXALTA INC COMPANY GUAR	CORPORATE BONDS - 2.88%, 2020	**	424,894
	BCAP LLC TRUST BCAP 2008 IND2 A1	MORTGAGE - 1.00%, 2038	**	731,705
	BEAR STEARNS ADJUSTABLE RATE M BSARM	MORTGAGE - 1.00%, 2033	**	867,688
	BEAR STEARNS COS LLC COMPANY GUAR	CORPORATE BONDS - 7.25%, 2018	**	1,063,529
	BERKSHIRE HATHAWAY INC SR UNSECURED	CORPORATE BONDS - 3.13%, 2026	**	521,590
	CAPITAL ONE BANK USA NA SR UNSECURED	CORPORATE BONDS - 1.20%, 2017	**	749,849
	CELGENE CORP SR UNSECURED	CORPORATE BONDS - 5.00%, 2045	**	363,906
	CENTEX HOME EQUITY CXHE 2005 D M1	OTHER ASSET BACKED - 1.00%, 2035	**	191,352
	CENTEX HOME EQUITY CXHE 2005 D M2	OTHER ASSET BACKED - 1.00%, 2036	**	1,210,556
	CHARTER COMM OPT LLC/CAP SR SECURED	CORPORATE BONDS - 4.46%, 2022	**	621,793
	CHASE ISSUANCE TRUST CHAIT 2015 A6 A6	CREDIT CARD RECIVEABLE- 1.00%, 2019	**	590,211
	CITIGROUP INC SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	499,721
	CITIGROUP INC SR UNSECURED	CORPORATE BONDS - 1.75%, 2018	**	997,869
	CITIGROUP MORTGAGE LOAN TRUST CMLTI 2015 6 2A1 144A	MORTGAGE - 1.00%, 2035	**	785,725
	COLLEGIATE FUNDING SERVICES ED COELT 2005 A A4	OTHER ASSET BACKED - 1.00%, 2035	**	1,018,205
	COLUMBIA PIPELINE GROUP COMPANY GUAR	CORPORATE BONDS - 2.45, 2018	**	753,665

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	COMM MORTGAGE TRUST COMM 2013 300P A1 144A	MORTGAGE - 4.35%, 2030	**	221,844
	COMM MORTGAGE TRUST COMM 2016 787S A 144A	MORTGAGE - 3.55%, 2036	**	219,671
	CONTL AIRLINES 2009 2 PASS THRU CE	CORPORATE BONDS - 7.25%, 2021	**	675,483
	CVS HEALTH CORP SR UNSECURED	CORPORATE BONDS - 5.13%, 2045	**	445,779
	DUKE ENERGY CAROLINAS 1ST REF MORT	CORPORATE BONDS - 3.75%, 2045	**	666,349
	DUQUESNE LIGHT HOLDINGS SR UNSECURED 144A	CORPORATE BONDS - 5.90%, 2021	**	895,009
	EMERA US FINANCE LP COMPANY GUAR 144A	CORPORATE BONDS - 2.15%, 2019	**	658,909
	ENCORE CREDIT RECEIVABLES TRUS ECR 2005 4 M1	OTHER ASSET BACKED 1.00%, 2036	**	513,942
	ENERGY TRANSFER PARTNERS SR UNSECURED	CORPORATE BONDS - 5.15%, 2045	**	623,402
	ESSEX PORTFOLIO LP COMPANY GUAR	CORPORATE BONDS - 5.50%, 2017	**	453,594
	FANNIEMAE ACES FNA 2012 M11 FA	MORTGAGE - 1.00%, 2019	**	769,510
	FANNIEMAE ACES FNA 2015 M1 A2	MORTGAGE - 2.53%, 2024	**	1,131,021
	FARMERS EXCHANGE CAP III SUBORDINATED 144A	CORPORATE BONDS - 1.00%, 2054	**	701,179
	FED HM LN PC POOL G07848 FG	MORTGAGE - 3.50%, 2044	**	1,797,365
	FED HM LN PC POOL G07957 FG	MORTGAGE - 4.00%, 2045	**	1,107,871
	FED HM LN PC POOL G08676 FG	MORTGAGE - 3.50%, 2045	**	1,236,367
	FED HM LN PC POOL G08702 FG	MORTGAGE - 3.50%, 2046	**	2,796,438
	FED HM LN PC POOL G08707 FG	MORTGAGE - 4.00%, 2046	**	602,015
	FED HM LN PC POOL G08711 FG	MORTGAGE - 3.50%, 2046	**	1,444,363
	FED HM LN PC POOL G08712 FG	MORTGAGE - 4.00%, 2046	**	451,723
	FED HM LN PC POOL G08715 FG	MORTGAGE - 3.00%, 2046	**	1,544,099
	FED HM LN PC POOL G08716 FG	MORTGAGE - 3.50%, 2046	**	1,775,017
	FED HM LN PC POOL G08721 FG	MORTGAGE - 3.00%, 2046	**	850,119
	FED HM LN PC POOL G08726 FG	MORTGAGE - 3.00%, 2046	**	1,009,574
	FED HM LN PC POOL G08732 FG	MORTGAGE - 3.00%, 2046	**	1,127,485
	FED HM LN PC POOL G08741 FG	MORTGAGE - 3.00%, 2047	**	318,084
	FED HM LN PC POOL G18622 FG	MORTGAGE - 2.50%, 2031	**	1,809,570
	FED HM LN PC POOL G18626 FG	MORTGAGE - 2.50%, 2032	**	431,159
	FED HM LN PC POOL G18627 FG	MORTGAGE - 3.00%, 2032	**	215,747
	FED HM LN PC POOL G60023 FG	MORTGAGE - 3.50%, 2045	**	1,982,555
	FED HM LN PC POOL G60080 FG	MORTGAGE - 3.50%, 2045	**	2,021,216
	FED HM LN PC POOL G60238 FG	MORTGAGE - 3.50%, 2045	**	220,326
	FED HM LN PC POOL G60344 FG	MORTGAGE - 4.00%, 2045	**	1,795,989
	FEDERAL HOME LOAN BANK BONDS 06/30 VAR	GOVERNMENT ISSUES - 1.00%, 2030	**	1,185,452
	FNMA POOL 467944 FN	MORTGAGE - 4.25%, 2021	**	529,391

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	FNMA POOL 468822 FN	MORTGAGE - 4.10%, 2021	**	482,803
	FNMA POOL 471713 FN	MORTGAGE - 2.85%, 2022	**	390,146
	FNMA POOL AL0151 FN	MORTGAGE - 1.00%, 2021	**	1,058,337
	FNMA POOL AL0705 FN	MORTGAGE - 1.00%, 2020	**	212,920
	FNMA POOL AL6167 FN	MORTGAGE - 1.00%, 2044	**	998,999
	FNMA POOL AL9027 FN	MORTGAGE - 1.00%, 2046	**	1,300,227
	FNMA POOL AL9472 FN	MORTGAGE - 1.00%, 2043	**	480,645
	FNMA POOL AM0762 FN	MORTGAGE - 3.29%, 2032	**	351,095
	FNMA POOL AM2749 FN	MORTGAGE - 2.83%, 2023	**	393,197
	FNMA POOL AM4125 FN	MORTGAGE - 3.74%, 2023	**	550,655
	FNMA POOL AM4493 FN	MORTGAGE - 3.92%, 2023	**	527,791
	FNMA POOL AM7013 FN	MORTGAGE - 3.39%, 2029	**	335,826
	FNMA POOL AM8774 FN	MORTGAGE - 2.67%, 2025	**	547,184
	FNMA POOL AM9793 FN	MORTGAGE - 3.12%, 2027	**	414,073
	FNMA POOL AN2812 FN	MORTGAGE - 2.56%, 2028	**	389,566
	FNMA POOL AN2913 FN	MORTGAGE - 2.61%, 2028	**	390,854
	FNMA POOL FN0001 FN	MORTGAGE - 1.00%, 2020	**	567,795
	FNMA POOL MA2740 FN	MORTGAGE - 2.50%, 2026	**	897,163
	FNMA POOL MA2830 FN	MORTGAGE - 2.50%, 2031	**	149,492
	FNMA TBA 15 YR 2.5 SINGLE FAMILY MORTGAGE	MORTGAGE - 2.50%, 2032	**	851,345
	FNMA TBA 15 YR 3 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.00%, 2032	**	861,984
	FNMA TBA 30 YR 3 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.00%, 2047	**	1,803,046
	FNMA TBA 30 YR 3.5 SINGLE FAMILY MORTGAGE	MORTGAGE - 3.50%, 2047	**	1,050,545
	FNMA TBA 30 YR 4 SINGLE FAMILY MORTGAGE	MORTGAGE - 4.00%, 2046	**	1,818,764
	FORD MOTOR CREDIT CO LLC SR UNSECURED	CORPORATE BONDS - 2.15%, 2018	**	200,290
	FORD MOTOR CREDIT CO LLC SR UNSECURED	CORPORATE BONDS - 6.63%, 2017	**	515,216
	GENERAL MOTORS CO SR UNSECURED	CORPORATE BONDS - 3.50%, 2018	**	356,965
	GILEAD SCIENCES INC SR UNSECURED	CORPORATE BONDS - 4.50%, 2045	**	349,706
	GNMA II POOL MA3521 G2	MORTGAGE - 3.50%, 2046	**	830,951
	GNMA II POOL MA3597 G2	MORTGAGE - 3.50%, 2046	**	895,969
	GNMA II POOL MA3736 G2	MORTGAGE - 3.50%, 2046	**	847,067
	GNMA II POOL MA3937 G2	MORTGAGE - 3.50%, 2046	**	214,339
	GNMA II POOL MA4069 G2	MORTGAGE - 3.50%, 2046	**	798,954
	GNMA II POOL MA4126 G2	MORTGAGE - 3.00%, 2046	**	1,348,578
	GNMA II TBA 30 YR 3 JUMBOS	MORTGAGE - 3.00%, 2047	**	1,199,836

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

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	GNMA II TBA 30 YR 3.5 JUMBOS	MORTGAGE - 3.50%, 2047	**	1,309,785
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 5.95%, 2018	**	833,394
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 6.15%, 2018	**	262,979
	GOLDMAN SACHS GROUP INC SR UNSECURED	CORPORATE BONDS - 5.25%, 2021	**	301,397
	GREENPOINT MORTGAGE FUNDING TR GPMF 2005 HY1 1A1A	MORTGAGE - 1.00%, 2035	**	636,225
	HARBORVIEW MORTGAGE LOAN TRUST HVMLT 2005 9 2A1A	MORTGAGE - 1.00%, 2036	**	896,894
	HBOS PLC SUBORDINATED 144A	CORPORATE BONDS - 6.75%, 2018	**	659,061
	HCP INC SR UNSECURED	CORPORATE BONDS - 4.20%, 2024	**	788,775
	HEALTHCARE REALTY TRUST SR UNSECURED	CORPORATE BONDS - 3.86%, 2025	**	221,859
	HIGHER EDUCATION FUNDING 05/34 FRN	OTHER ASSET BACKED - 1.00%, 2034	**	969,862
	INTERNATIONAL TRANSMISSI 1ST MORTGAGE 144A	CORPORATE BONDS - 4.63%, 2043	**	638,461
	INTL LEASE FINANCE CORP SR SECURED	CORPORATE BONDS - 7.13%, 2018	**	323,250
	JP MORGAN MORTGAGE ACQUISITION JPMAC 2005 FLD1 M3	OTHER ASSET BACKED - 1.00%, 2035	**	584,402
	JPMORGAN CHASE + CO SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	400,442
	JPMORGAN CHASE + CO SR UNSECURED	CORPORATE BONDS - 4.25%, 2020	**	423,294
	KRAFT HEINZ FOODS CO COMPANY GUAR	CORPORATE BONDS - 4.38%, 2046	**	399,916
	LIBERTY STREET TRUST LBTY 2016 225L A 144A	MORTGAGE - 3.56%, 2036	**	220,378
	MAGNETITE CLO LTD MAGNE 2014 9A A1 144A	OTHER ASSET BACKED - 1.00%, 2026	**	360,100
	MAGNETITE CLO LTD MAGNE 2015 12A AR 144A	OTHER ASSET BACKED- 1.00%, 2027	**	499,999
	METROPOLITAN WEST FLOATING RAT METROPOLITAN WEST FLOAT I	MUTUAL FUNDS	**	2,560,279
	METROPOLITAN WEST HIGH YIELD B METROPOLITAN WEST H/Y BOND I	MUTUAL FUNDS	**	2,818,805
	MICROSOFT CORP SR UNSECURED	CORPORATE BONDS - 3.75%, 2045	**	328,213
	MLCC MORTGAGE INVESTORS INC MLCC	MORTGAGE - 1.00%, 2029	**	782,407
	MORGAN STANLEY SR UNSECURED	CORPORATE BONDS - 5.50%, 2020	**	219,042
	MORGAN STANLEY SR UNSECURED	CORPORATE BONDS - 5.95%, 2017	**	1,144,913
	MORTGAGEIT TRUST MHL 2005 2 1A1	MORTGAGE - 1.00%, 2035	**	658,042
	NATIONSTAR HOME EQUITY LOAN TR NSTR 2006 B AV4	OTHER ASSET BACKED - 1.00%, 2036	**	1,076,470
	NATIONWIDE MUTUAL INSURA SUBORDINATED 144A	CORPORATE BONDS- 1.00%, 2024	**	393,696

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	NAVIENT STUDENT LOAN TRUST NAVSL 2015 2 A3	OTHER ASSET BACKED - 1.00%, 2040	**	1,159,335
	NELNET STUDENT LOAN TRUST NSLT 2015 2A A2 144A	OTHER ASSET BACKED - 1.00%, 2047	**	892,542
	NELNET STUDENT LOAN TRUST NSLT 2015 3A A2 144A	OTHER ASSET BACKED - 1.00%, 2051	**	1,160,184
	NEW YORK CITY NY MUNI WTR FIN NYCUTL	MUNICIPALS - 5.95%, 2042	**	870,210
	NEW YORK CITY NY TRANSITIONAL NYCGEN	MUNICIPALS - 5.57%, 2038	**	515,066
	NEW YORK ST DORM AUTH ST PERSO NYSHGR	MUNICIPALS - 5.29%, 2033	**	582,795
	NOBLE ENERGY INC SR UNSECURED	CORPORATE BONDS - 5.25%, 2043	**	76,396
	NYU HOSPITALS CENTER SECURED	CORPORATE BONDS - 4.78%, 2044	**	521,335
	PENNSYLVANIA HIGHER EDUCATION PHEAA 2015 1A A 144A	OTHER ASSET BACKED - 1.00%, 2041	**	889,746
	POTOMAC ELECTRIC POWER 1ST MORTGAGE	CORPORATE BONDS - 3.60%, 2024	**	516,971
	PROTECTIVE LIFE GLOBAL SR SECURED 144A	CORPORATE BONDS - 1.72%, 2019	**	540,639
	RAYMOND JAMES FINANCIAL SR UNSECURED	CORPORATE BONDS - 4.95%, 2046	**	218,959
	RBSCF TRUST RBSCF 2013 GSP A 144A	MORTGAGE - 1.00%, 2032	**	220,097
	RESIDENTIAL ASSET SECURITIES C RASC 2005 KS12 A3	OTHER ASSET BACKED - 1.00%, 2036	**	228,292
	SEQUOIA MORTGAGE TRUST SEMT 2003 8 A1	MORTGAGE - 1.00%, 2034	**	794,863
	SLM STUDENT LOAN TRUST SLMA 2004 10 A6A 144A	OTHER ASSET BACKED - 1.00%, 2026	**	1,194,564
	SLM STUDENT LOAN TRUST SLMA 2005 7 A4	OTHER ASSET BACKED-1.00%, 2029	**	1,207,368
	SLM STUDENT LOAN TRUST SLMA 2007 1 A5	OTHER ASSET BACKED-1.00%, 2026	**	1,013,588
	SLM STUDENT LOAN TRUST SLMA 2008 2 B	OTHER ASSET BACKED-1.00%, 2083	**	174,307
	SLM STUDENT LOAN TRUST SLMA 2008 4 B	OTHER ASSET BACKED-1.00%, 2029	**	228,965
	SLM STUDENT LOAN TRUST SLMA 2008 5 B	OTHER ASSET BACKED-1.00%, 2030	**	382,910
	SLM STUDENT LOAN TRUST SLMA 2008 8 B	OTHER ASSET BACKED-1.00%, 2029	**	221,968
	SLM STUDENT LOAN TRUST SLMA 2008 9 B	OTHER ASSET BACKED-1.00%, 2083	**	380,711
	SLM STUDENT LOAN TRUST SLMA 2012 5 A2	OTHER ASSET BACKED-1.00%, 2019	**	374,524
	SLM STUDENT LOAN TRUST SLMA 2013 4 A	OTHER ASSET BACKED-1.00%, 2027	**	722,535
	SOUTHWESTERN ELEC POWER SR UNSECURED	CORPORATE BONDS - 3.90%, 2045	**	652,012
	SPECTRA ENERGY PARTNERS SR UNSECURED	CORPORATE BONDS - 4.50%, 2045	**	190,170
	STRUCTURED ASSET MORTGAGE INVE SAMI 2004 AR2 2A	MORTGAGE - 1.00%, 2034	**	842,010
	TC PIPELINES LP SR UNSECURED	CORPORATE BONDS - 4.38%, 2025	**	554,445
	TCW EMERGING MARKETS INCOME FO TCW EMERGING MARKETS INCOME FO	LMTD PARTNRSHIP UNTS	**	1,389,331

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	TREASURY BILL	GOVERNMENT ISSUES-0.10%, 2017	**	114,848
	TSY INFL IX N/B	GOVERNMENT ISSUES-1.38%, 2044	**	854,557
	TSY INFL IX N/B	GOVERNMENT ISSUES-0.75%, 2045	**	1,313,578
	TSY INFL IX N/B	GOVERNMENT ISSUES- 0.38%, 2025	**	2,838,509
	UBS AG STAMFORD CT SR UNSECURED	CORPORATE BONDS - 1.80%, 2018	**	460,318
	UBS AG STAMFORD CT SR UNSECURED	CORPORATE BONDS - 1.38%, 2017	**	349,918
	UNITED TECHNOLOGIES CORP JR SUBORDINA	CORPORATE BONDS - 1.00%, 2018	**	600,131
	UNITEDHEALTH GROUP INC SR UNSECURED	CORPORATE BONDS - 4.63%, 2035	**	386,964
	UNIV OF CALIFORNIA CA REVENUES UNVHGR	MUNICIPALS - 3.93%, 2045	**	544,638
	US 2YR NOTE (CBT) MAR17 XCBT 20170331	FUTURE - 0.00%, 2017	**	6,717,313
	US 5YR NOTE (CBT) MAR17 XCBT 20170331	FUTURE - 0.00%, 2017	**	11,295,750
	US DOLLAR	CASH	**	4,104,720
	US TREASURY N/B	GOVERNMENT ISSUES-1.50%, 2019	**	1,779,611
	US TREASURY N/B	GOVERNMENT ISSUES-1.50%, 2022	**	1,124,035
	US TREASURY N/B	GOVERNMENT ISSUES-1.88%, 2022	**	1,780,556
	US TREASURY N/B	GOVERNMENT ISSUES-0.75%, 2017	**	7,528,234
	US TREASURY N/B	GOVERNMENT ISSUES- 0.75%, 2018	**	2,814,622
	US TREASURY N/B	GOVERNMENT ISSUES-1.25%, 2021	**	6,918,724
	US TREASURY N/B	GOVERNMENT ISSUES-1.00%, 2018	**	1,609,827
	US TREASURY N/B	GOVERNMENT ISSUES-1.75%, 2021	**	6,883,258
	US TREASURY N/B	GOVERNMENT ISSUES-2.00%, 2026	**	9,053,813
	US TREASURY N/B	GOVERNMENT ISSUES-2.88%, 2046	**	5,300,425
	US TREASURY N/B	GOVERNMENT ISSUES-1.00%, 2017	**	2,371,851
	US TREASURY N/B	GOVERNMENT ISSUES-1.25%, 2018	**	2,462,499
	US TREASURY N/B	GOVERNMENT ISSUES-2.00%, 2021	**	4,852,754
	VERIZON COMMUNICATIONS SR UNSECURED	CORPORATE BONDS - 4.86%, 2046	**	486,381
	VERIZON COMMUNICATIONS SR UNSECURED	CORPORATE BONDS - 4.52%, 2048	**	239,728
	VNO MORTGAGE TRUST VNDO 2012 6AVE A 144A	MORTGAGE - 3.00%, 2030	**	242,213
	WAL MART STORES INC SR UNSECURED	CORPORATE BONDS - 4.75%, 2043	**	308,369
	WALGREENS BOOTS ALLIANCE SR UNSECURED	CORPORATE BONDS - 3.45%, 2026	**	147,240
	WALGREENS BOOTS ALLIANCE SR UNSECURED	CORPORATE BONDS - 4.80%, 2044	**	179,867
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR1 A1A	MORTGAGE - 1.00%, 2045	**	729,103
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR13 A1A2	MORTGAGE - 1.00%, 2045	**	889,326
	WAMU MORTGAGE PASS THROUGH CER WAMU 2005 AR2 2A1A	MORTGAGE - 1.00%, 2045	**	831,432

FirstEnergy Corp. Savings Plan
Schedule H, line 4i - Schedule of Assets (Held at End of Year)
December 31, 2016
#34-1843785 Plan 002

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment, including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	WEA FINANCE LLC/WESTFIEL COMPANY GUAR 144A	CORPORATE BONDS - 2.70%, 2019	**	657,441
	WELLS FARGO + COMPANY SR UNSECURED	CORPORATE BONDS - 2.50%, 2021	**	883,405
	WELLS FARGO + COMPANY SR UNSECURED	CORPORATE BONDS - 3.00%, 2026	**	262,414
	WELLS FARGO BANK NA SR UNSECURED	CORPORATE BONDS - 2.15%, 2019	**	439,727
	WELLS FARGO COMMERCIAL MORTGAG WFCM 2012 LC5 A3	MORTGAGE - 2.92%, 2045	**	447,765
	WELLS FARGO HOME EQUITY TRUST WFHET 2006 1 A4	OTHER ASSET BACKED - 1.00%, 2036	**	371,891
	WELLTOWER INC SR UNSECURED	CORPORATE BONDS - 3.75%, 2023	**	810,984
	Total Metwest Total Return Fund			218,671,445
		Total Investments		3,508,040,428
	*Notes receivable from participants	Loans receivable with repayment interest of 4.25% to 10%	—	$ 60,108,033
				$3,568,148,461

* Parties-in-interest

** Information is not required pursuant to Form 5500 instructions

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	Description of Assets	Purchase Price	Selling Price	Lease Rental	Expenses Incurred in Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
	Single							
	N/A							
	Series							
*	STATE STREET STIF *735 Transactions*	$ 581,739,656	N/A	N/A	$ —	$ 581,739,656	$ 581,739,656	N/A
*	STATE STREET STIF *919 Transactions*	N/A	$ 569,139,733	N/A	$ —	$ 569,139,733	$ 569,139,733	$ —
	JP Morgan Term Repo *1 Transaction*	$ 103,600,000	N/A	N/A	$ —	$ 103,600,000	$ 103,600,000	N/A
	JP Morgan Term Repo *1 Transaction*	N/A	$103,600,000	N/A	$ —	$ 103,600,000	$ 103,600,000	$ —
	FNMA TBA 30 YR 3 *14 Transactions*	$ 84,511,656	N/A	N/A	$ —	$ 84,511,656	$ 84,511,656	N/A
	FNMA TBA 30 YR 3 *18 Transactions*	N/A	$111,906,884	N/A	$ —	$ 112,532,249	$ 111,906,884	$ (625,365)

FirstEnergy Corp. Savings Plan
Schedule H, line 4j - Schedule of Reportable Transactions
For the Year Ended December 31, 2016
#34-1843785 Plan 002

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of Party	Description of Assets	Purchase Price	Selling Price	Lease Rental	Expenses Incurred in Transaction	Cost of Asset	Current Value of Asset on Transaction Date	Net Gain (Loss)
	FNMA TBA 30 YR 3 *21 Transactions*	$ 117,188,337	N/A	N/A	$ —	$ 117,188,337	$ 117,188,337	N/A
	FNMA TBA 30 YR 3 *21 Transactions*	N/A	$ 117,341,806	N/A	$ —	$ 117,188,337	$ 117,341,806	$ 153,469
	US TREASURY N/B *8 Transactions*	$ 179,061,162	N/A	N/A	$ —	$ 179,061,162	$ 179,061,162	N/A
	US TREASURY N/B *9 Transactions*	N/A	$ 160,996,094	N/A	$ —	$ 161,077,285	$ 160,996,094	$ (81,191)
	US TREASURY N/B *19 Transactions*	$ 123,379,501	N/A	N/A	$ —	$ 123,379,501	$ 123,379,501	N/A
	US TREASURY N/B 16 Transactions	N/A	$ 115,902,034	N/A	$ —	$ 116,276,715	$ 115,902,034	$ (374,681)

* Parties-in-interest