

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2016 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>			
A	This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)	
	☒ a single-employer plan ☐ a DFE (specify) ____		
B	This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)	
C	If the plan is a collectively-bargained plan, check here. ☐		
D	Check box if filing under:	☒ Form 5558 ☐ special extension (enter description)	☐ automatic extension ☐ the DFVC program

Part II	Basic Plan Information —enter all requested information		
1a	Name of plan <u>TRI STATE UROLOGIC SERVICES P.S.C., INC. DEFINED BENEFIT PLAN</u>	1b	Three-digit plan number (PN) ▶ <u>003</u>
		1c	Effective date of plan <u>01/01/2002</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>TRI STATE UROLOGIC SERVICES P.S.C., INC.</u> <u>2000 JOSEPH E. SANKER BOULEVARD</u> <u>CINCINNATI, OH 45212</u>	2b	Employer Identification Number (EIN) <u>61-1257391</u>
		2c	Plan Sponsor's telephone number <u>513-841-7400</u>
		2d	Business code (see instructions) <u>621111</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2017	EARL L. WALZ
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2017	EARL L. WALZ
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 271
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 242 6a(2) 231 6b 1 6c 28 6d 260 6e 0 6f 260 6g 6h 14
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☐ A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☐ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>TRI STATE UROLOGIC SERVICES P.S.C., INC. DEFINED BENEFIT PLAN</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>TRI STATE UROLOGIC SERVICES P.S.C., INC.</u>	D Employer Identification Number (EIN) <u>61-1257391</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☒ 101-500 ☐ More than 500

Part I	Basic Information
1 Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2016</u>	
2 Assets:	
a Market value	2a <u>11952089</u>
b Actuarial value	2b <u>11952089</u>
3 Funding target/participant count breakdown	(1) Number of participants (2) Vested Funding Target (3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	<u>1</u> <u>11036</u> <u>11036</u>
b For terminated vested participants.....	<u>29</u> <u>997851</u> <u>997851</u>
c For active participants.....	<u>241</u> <u>9887127</u> <u>9926936</u>
d Total.....	<u>271</u> <u>10896014</u> <u>10935823</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b
5 Effective interest rate	5 <u>5.84 %</u>
6 Target normal cost.....	6 <u>986026</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		<u>07/06/2017</u>
	Signature of actuary	Date
<u>HANS NIENABER</u>	Type or print name of actuary	<u>17-05737</u>
<u>CUNI, RUST & STRENK, INC.</u>	Firm name	Most recent enrollment number
<u>4555 LAKE FOREST DRIVE, SUITE 620</u> <u>US CINCINNATI, OH 45242</u>	Address of the firm	<u>513-985-6164</u>
		Telephone number (including area code)

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2016
v. 160205

Part II		Beginning of Year Carryover and Prefunding Balances	
		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of _____ %.....	0	0
11	Prior year's excess contributions to be added to prefunding balance:		
a	Present value of excess contributions (line 38a from prior year)		305769
b(1)	Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.11</u> %		18682
b(2)	Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c	Total available at beginning of current plan year to add to prefunding balance		324451
d	Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III		Funding Percentages	
14	Funding target attainment percentage.....	14	109.29%
15	Adjusted funding target attainment percentage	15	109.29%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	127.95%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.....	17	%

Part IV		Contributions and Liquidity Shortfalls			
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
04/21/2016	80381		11/01/2016	44114	
05/31/2016	44919		12/05/2016	44114	
06/27/2016	44919		12/27/2016	44114	
08/01/2016	44114		02/13/2017	53294	
08/29/2016	44114		02/27/2017	53294	
09/26/2016	44113		03/27/2017	53294	
Totals ►			18(b)	756666	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:	
a Contributions allocated toward unpaid minimum required contributions from prior years.	19a 0
b Contributions made to avoid restrictions adjusted to valuation date	19b 0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c 718895
20 Quarterly contributions and liquidity shortfalls:	
a Did the plan have a "funding shortfall" for the prior year?	☐ Yes ☒ No
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner?	☐ Yes ☐ No
c If line 20a is "Yes," see instructions and complete the following table as applicable:	

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part II		Beginning of Year Carryover and Prefunding Balances	
		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)		
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)		
9	Amount remaining (line 7 minus line 8)		
10	Interest on line 9 using prior year's actual return of _____ %		
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year)		
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of _____ %		
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		
	c Total available at beginning of current plan year to add to prefunding balance		
	d Portion of (c) to be added to prefunding balance		
12	Other reductions in balances due to elections or deemed elections		
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)		

Part III		Funding Percentages	
14	Funding target attainment percentage	14	%
15	Adjusted funding target attainment percentage	15	%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV		Contributions and Liquidity Shortfalls			
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
04/24/2017	53294				
05/25/2017	53294				
06/26/2017	55294				
Totals ►			18(b)		18(c)

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:	
a Contributions allocated toward unpaid minimum required contributions from prior years	19a
b Contributions made to avoid restrictions adjusted to valuation date	19b
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c
20 Quarterly contributions and liquidity shortfalls:	
a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☐ No	
b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No	
c If line 20a is "Yes," see instructions and complete the following table as applicable:	
Liquidity shortfall as of end of quarter of this plan year	
(1) 1st	(2) 2nd
(3) 3rd	(4) 4th

Part V	Assumptions Used to Determine Funding Target and Target Normal Cost					
21	Discount rate:					
a	Segment rates:	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">1st segment: 4.43%</td> <td style="width: 33%;">2nd segment: 5.91%</td> <td style="width: 33%;">3rd segment: 6.65%</td> </tr> </table>	1st segment: 4.43%	2nd segment: 5.91%	3rd segment: 6.65%	☐ N/A, full yield curve used
1st segment: 4.43%	2nd segment: 5.91%	3rd segment: 6.65%				
b	Applicable month (enter code)	21b	0			
22	Weighted average retirement age	22	65			
23	Mortality table(s) (see instructions) ☒ Prescribed - combined ☐ Prescribed - separate ☐ Substitute					
Part VI	Miscellaneous Items					
24	Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No					
25	Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No					
26	Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No					
27	If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.	27				
Part VII	Reconciliation of Unpaid Minimum Required Contributions For Prior Years					
28	Unpaid minimum required contributions for all prior years	28	0			
29	Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0			
30	Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0			
Part VIII	Minimum Required Contribution For Current Year					
31	Target normal cost and excess assets (see instructions):					
a	Target normal cost (line 6)	31a	986026			
b	Excess assets, if applicable, but not greater than line 31a	31b	986026			
32	Amortization installments:	Outstanding Balance	Installment			
a	Net shortfall amortization installment	0	0			
b	Waiver amortization installment	0	0			
33	If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33				
34	Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	0			
		Carryover balance	Prefunding balance			
35	Balances elected for use to offset funding requirement	0	0			
36	Additional cash requirement (line 34 minus line 35)	36	0			
37	Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	718895			
38	Present value of excess contributions for current year (see instructions)					
a	Total (excess, if any, of line 37 over line 36)	38a	718895			
b	Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0			
39	Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0			
40	Unpaid minimum required contributions for all years	40	0			
Part IX	Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)					
41	If an election was made to use PRA 2010 funding relief for this plan:					
a	Schedule elected	☐ 2 plus 7 years ☐ 15 years				
b	Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011				
42	Amount of acceleration adjustment	42				
43	Excess installment acceleration amount to be carried over to future plan years	43				

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2016
		This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016	
A Name of plan TRI STATE UROLOGIC SERVICES P.S.C., INC. DEFINED BENEFIT PLAN	B Three-digit plan number (PN) ▶ 003
C Plan sponsor's name as shown on line 2a of Form 5500 TRI STATE UROLOGIC SERVICES P.S.C., INC.	D Employer Identification Number (EIN) 61-1257391

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

JOHNSON INVESTMENT COUNSEL

31-1801770

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 51	NONE	47467	Yes ☒ No ☐	Yes ☐ No ☒	128	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE H (Form 5500) Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan <u>TRI STATE UROLOGIC SERVICES P.S.C., INC. DEFINED BENEFIT PLAN</u>	B Three-digit plan number (PN) ► <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>TRI STATE UROLOGIC SERVICES P.S.C., INC.</u>	D Employer Identification Number (EIN) <u>61-1257391</u>

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)		321765
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	36224	32514
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	657142	478134
(2) U.S. Government securities	1c(2)	809523	1049833
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	2526150	2369455
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	64194	65442
(B) Common.....	1c(4)(B)	3835135	3596055
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property).....	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	3627008	3800592
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)		
(15) Other	1c(15)	396713	338765

1d Employer-related investments:		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	11952089	12052555

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h		
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	0	0

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	11952089	12052555
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Part II Income and Expense Statement

- 2** Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	756666	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B), (C), and line 2a(2)	2a(3)		756666
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	59	
(B) U.S. Government securities	2b(1)(B)	17193	
(C) Corporate debt instruments	2b(1)(C)	88900	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	11740	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		117892
(2) Dividends: (A) Preferred stock	2b(2)(A)	3315	
(B) Common stock	2b(2)(B)	85292	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	87659	
(D) Total dividends. Add lines 2b(2)(A) , (B), and (C)	2b(2)(D)		176266
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	3736194	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	3597605	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		138589
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	311760	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		311760

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		326232
c Other income.....	2c		
d Total income. Add all income amounts in column (b) and enter total.....	2d		1827405

Expenses

e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	1679430	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		1679430
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)		
(3) Investment advisory and management fees.....	2i(3)	47509	
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		47509
j Total expenses. Add all expense amounts in column (b) and enter total	2j		1726939

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		100466
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☒ Unqualified (2) ☐ Qualified (3) ☐ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☐ Yes ☒ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: RUDLER & ASSOCIATES, INC.

(2) EIN: 31-1048275

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b		X	

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		500000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			
o Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 3980815. (See instructions.)

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection.
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan <u>TRI STATE UROLOGIC SERVICES P.S.C., INC. DEFINED BENEFIT PLAN</u>	B Three-digit plan number (PN) ▶ <u>003</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>TRI STATE UROLOGIC SERVICES P.S.C., INC.</u>	D Employer Identification Number (EIN) <u>61-1257391</u>

Part I	Distributions
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All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>02-0664221</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	<u>28</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
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4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☐ N/A

Part III	Amendments
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9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☐ Both	☒ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2016
v. 160205

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:		
	a The current year	14a	
	b The plan year immediately preceding the current plan year	14b	
	c The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
	a The corresponding number for the plan year immediately preceding the current plan year	15a	
	b The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
	a Enter the number of employers who withdrew during the preceding plan year	16a	
	b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
----------------	---

18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment	☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)	
	a Enter the percentage of plan assets held as: Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%	
	b Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more	
	c What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify): _____	

Part VII	IRS Compliance Questions
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20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No
22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter / / and the serial number .	
22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter / / .	



INDEPENDENT AUDITORS' REPORT

To The Board of Trustees & Participants
Tri-State Urologic Services, P.S.C., Inc.
Defined Benefit Plan

We have audited the accompanying financial statements of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan, which comprise the statements of net assets available for benefits as of December 31, 2016 and December 31, 2015, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

CERTIFIED PUBLIC ACCOUNTANTS

p 859-331-1717 | f 859-331-4356

1881 Dixie Highway, Suite 200 | Fort Wright, KY 41011

www.rudler.com

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial status of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan as of December 31, 2016 and December 31, 2015, and the changes in its financial status for the years ended December 31, 2016 and December 31, 2015 in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year), referred to as "supplemental information," is presented for the purpose of additional analysis and is not a required part of the financial statements but is supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

A handwritten signature in black ink that reads "Rudler, PSC". The script is cursive and fluid, with the letters "R", "P", and "S" being particularly prominent.

Fort Wright, Kentucky
October 6, 2017

Plan: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PIN: 003

Attachment to Line 19(c) of the 2016 Schedule SB (Actuarial Certification)

Valuation Date 1/1/2016 5.84% Effective Discount Rate

Depost Date	Contribution	# Days	Discount Factor	Discounted Contributions
4/21/2016	80,381.44	111	0.982933714	79,010
5/31/2016	44,919.17	151	0.976855353	43,880
6/27/2016	44,919.17	178	0.972773723	43,696
8/1/2016	44,113.61	213	0.967508093	42,680
8/29/2016	44,113.61	241	0.963316118	42,495
9/26/2016	44,113.61	269	0.959142307	42,311
11/1/2016	44,113.61	305	0.953802539	42,076
12/5/2016	44,113.61	339	0.948786724	41,854
12/27/2016	44,113.61	361	0.945555261	41,712
2/13/2017	53,294.14	409	0.938542947	50,019
2/27/2017	53,294.14	423	0.936507502	49,910
3/27/2017	53,294.14	451	0.932449845	49,694
4/24/2017	53,294.14	479	0.92840977	49,479
5/25/2017	53,294.14	510	0.923957244	49,242
6/26/2017	55,294.14	542	0.919383485	50,837
	756,666			718,895

2016 Schedule SB, line 22 -- Description of Weighted Average Retirement Age
Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan
EIN: 61-1257391
PN: 003

(A)	(B)	(C)	(D)	(E)
Retirement Age	Retirement Rates	Fraction Remaining	Probability Distribution	Sum Weighted Average Age
65	100.00%	0.00000	100.00%	65.00000

Weighted Average Retirement Age

65.0

The Retirement Rates (Column B) at each Early Retirement Age (Column A) are converted to a probability distribution (Column D). The products of Column A and Column D are summed to determine the resulting Weighted Average Retirement Age.

Schedule SB, Line 26 - Schedule of Active Participant Data

Plan: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PIN: 003

Years of Plan Service

<u>Age Group</u>	<u>< 1</u>	<u>1-4</u>	<u>5-9</u>	<u>10-14</u>	<u>15-19</u>	<u>20-24</u>	<u>25-29</u>	<u>30-34</u>	<u>35 +</u>	<u>Total</u>
< 19	0	0	0	0	0	0	0	0	0	0
20-24	0	5	0	0	0	0	0	0	0	5
25-29	0	21	3	0	0	0	0	0	0	24
30-34	0	23	9	3	0	0	0	0	0	35
35-39	0	11	9	5	3	0	0	0	0	28
40-44	0	3	7	7	3	3	0	0	0	23
45-49	0	10	7	3	3	0	0	0	0	23
50-54	0	7	7	2	10	3	0	0	0	29
55-59	0	6	11	2	6	4	3	0	0	32
60-64	0	2	6	5	6	1	9	1	0	30
65-69	0	3	1	1	1	0	1	1	2	10
70 +	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1</u>	<u>2</u>
Total	0	91	60	28	33	11	13	2	3	241

2016 Schedule SB, Part V – Statement of Actuarial Assumptions/Methods
Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan
EIN: 61-1257391
PN: 003

1. Interest Rates:

Segment 1	4.43%
Segment 2	5.91%
Segment 3	6.65%
Post-retirement Lump Sum Rate	5.50%

2. Mortality Rates:

Pre-retirement: None.

Post-retirement: The Applicable Mortality Table for the 2016 Plan Year

3. Salary Scale: 0.00%.

4. Retirement Ages: Normal Retirement Age or Attained Age, if later.

2016 Schedule SB, Part V – Statement of Actuarial Assumptions/Methods
Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan
EIN: 61-1257391
PN: 003

- | | |
|--|--|
| 5. <u>Assumed Form of Benefit Payment:</u> | Lump Sum Distribution |
| 6. <u>Termination Rates:</u> | None. |
| 7. <u>Disability Rates:</u> | None. |
| 8. <u>Plan Expenses:</u> | None. |
| 9. <u>Actuarial Cost Method:</u> | Unit Credit under PPA rules. |
| 10. <u>Asset Valuation Method:</u> | Market Value. |
| 11. <u>Changes Since Last Year:</u> | Interest rates updated as mandated by PPA. |

2016 Schedule SB, Part V – Summary of Plan Provisions

Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PN: 003

1. Effective Date: January 1, 2002. Amended effective January 1, 2009 and again January 1, 2012.
2. Plan Year: January 1st through December 31st.
3. Covered Employees: All employees of Tri State Urologic Services P.S.C., Inc.
4. Eligibility: January 1st or July 1st following the attainment of age 21 and the completion of 1 year of service.
5. Average Monthly Earnings: Average annual earnings for the three highest consecutive years, divided by 12.
6. Vesting Service: One Year of Vesting Service is granted for at least 1,000 Hours of Service worked during the Plan Year. Vesting service includes service with predecessor employers.
7. Benefit Service: One Year of Benefit Service is granted for at least 1,000 Hours of Service worked during the Plan Year. No Benefit Service will be credited prior to an Employee's entry into the Plan as an Active Participant.

2016 Schedule SB, Part V – Summary of Plan Provisions

Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PN: 003

8. Normal Retirement:

- | | |
|--------------------|--|
| a. Eligibility | Later of attainment of Age 65 and the fifth anniversary of Entry Date as a Plan Participant. |
| b. Monthly Benefit | For physicians, 3.5% of Average Monthly Earnings times Years of Benefit Service with a maximum of 10 Years of Benefit Service. For the Chief Operating Office and Chief Financial Officer, 2% of Average Monthly Earnings times Years of Benefit Service with a maximum of 10 Years of Benefit Service. For all other participants, 0.5% of Average Monthly Earnings times Years of Benefit Service with a maximum of 10 Years of Benefit Service. Accrued benefit is the expected benefit at Normal Retirement Date times a fraction (not to exceed one) that is equal to the Participant's actual Years of Benefit Service as of the Participant's Normal Retirement Date or earlier Termination of Employment divided by the Participant's expected Years of Benefit Service as of Normal Retirement Date. Benefit accruals ceased effective January 1, 2009 and resumed effective January 1, 2012. |

9. Early Retirement:

- | | |
|--------------------|---|
| a. Eligibility | There is no Early Retirement Benefit under the Plan |
| b. Monthly Benefit | N/A |

2016 Schedule SB, Part V – Summary of Plan Provisions

Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PN: 003

10. Vested Retirement:

- | | |
|--------------------|---|
| a. Eligibility | 2 Years of Vesting Service. Participants vest 20% after two Years of Service plus 20% each year thereafter to 100% after six Years of Service. |
| b. Monthly Benefit | Calculated as for Normal Retirement based on Benefit Service and Average Monthly Compensation as of date of separation, times the participant's vesting percentage. |

11. Disability Retirement:

- | | |
|--------------------|---|
| a. Eligibility | Total and permanent disability. |
| b. Monthly Benefit | Actuarial Present Value of Accrued Benefit. |

12. Pre-Retirement Death:

- | | |
|--------------------|---|
| a. Eligibility | A Participant with an Accrued Benefit. |
| b. Monthly Benefit | The Actuarial Present Value of the Participant's Accrued Benefit. |

2016 Schedule SB, Part V – Summary of Plan Provisions

Plan Name: Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan

EIN: 61-1257391

PN: 003

13. Payment Forms:

- | | |
|-------------|---|
| a. Normal | Life annuity for single participants and an Actuarially Equivalent 50% Joint & Survivor annuity for married participants. |
| b. Optional | Lump sum distribution. |

14. Actuarial Equivalency:

- | | |
|-------------------------|---|
| a. Other than Lump Sums | Pre-retirement: 1994 Group Annuity Mortality Table, gender neutral blended 50% male and 50% female and 6.00%. Post-retirement: No mortality and 6.00%. |
| b. Lump Sums | The Applicable Mortality Table for the Plan Year in which the distribution occurs and the Applicable Interest Rate for the second calendar month preceding the Plan Year in which the distribution occurs, as per IRC Section 417(e). |

15. Maximum Annual Benefit:

\$210,000 per year for participants retiring at age 62 and later during the 2016 Plan Year.

16. Changes Since Last Year:

None.

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection
--	--	---

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016		
▶ Round off amounts to nearest dollar.		
▶ Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.		
A Name of plan Tri State Urologic Services P.S.C., Inc. Defined Benefit Plan	B Three-digit plan number (PN) ▶ 003	
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF Tri State Urologic Services P.S.C., Inc.	D Employer Identification Number (EIN) 61-1257391	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☒ 101-500 ☐ More than 500	

Part I Basic Information			
1 Enter the valuation date: Month 01 Day 01 Year 2016			
2 Assets:			
a Market value		2a	11,952,089
b Actuarial value		2b	11,952,089
3 Funding target/participant count breakdown:	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	1	11,036	11,036
b For terminated vested participants	29	997,851	997,851
c For active participants	241	9,887,127	9,926,936
d Total	271	10,896,014	10,935,823
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions		4a	
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor		4b	
5 Effective interest rate	5	5.84 %	
6 Target normal cost	6	986,026	

Statement by Enrolled Actuary To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.	
SIGN HERE	07/06/2017
	Date
Hans Nienaber	17-05737
Type or print name of actuary	Most recent enrollment number
Cuni, Rust & Strenk, Inc.	(513) 985-6164
Firm name	Telephone number (including area code)
4555 Lake Forest Drive, Suite 620	
US Cincinnati OH 45242	
Address of the firm	

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐	For Paperwork Reduction Act Notice, see the instructions for Form 5500 or 5500-SF.	Schedule SB (Form 5500) 2016 v. 160205
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Part II Beginning of Year Carryover and Prefunding Balances

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9 Amount remaining (line 7 minus line 8)	0	0
10 Interest on line 9 using prior year's actual return of _____ %	0	0
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year)		305,769
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.11</u> %		18,682
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		0
c Total available at beginning of current plan year to add to prefunding balance .		324,451
d Portion of (c) to be added to prefunding balance		0
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d - line 12)	0	0

Part III Funding Percentages

14 Funding target attainment percentage	14	109.29 %
15 Adjusted funding target attainment percentage	15	109.29 %
16 Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	127.95 %
17 If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls**18** Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
04/21/2016	80,381		12/27/2016	44,114	
05/31/2016	44,919		02/13/2017	53,294	
06/27/2016	44,919		02/27/2017	53,294	
08/01/2016	44,114		03/27/2017	53,294	
08/29/2016	44,114		04/24/2017	53,294	
09/26/2016	44,113		05/25/2017	53,294	
11/01/2016	44,114		06/26/2017	55,294	
12/05/2016	44,114				
Totals ▶ 18(b)				756,666	18(c) 0

19 Discounted employer contributions -- see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date ..	19c	718,895

20 Quarterly contributions and liquidity shortfalls:

- a** Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No
- b** If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used To Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 4.43 %	2nd segment: 5.91 %	3rd segment: 6.65 %
			☐ N/A, full yield curve used
b Applicable month (enter code)			21b 0
22 Weighted average retirement age			22 65
23 Mortality table(s) (see instructions)	☒ Prescribed - combined ☐ Prescribed - separate ☐ Substitute		

Part VI Miscellaneous items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	986,026	
b Excess assets, if applicable, but not greater than line 31a	31b	986,026	
32 Amortization installments:	Outstanding Balance		
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33		
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	0	
	Carryover balance	Prefunding Balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	718,895	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	718,895	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42		
43 Excess installment acceleration amount to be carried over to future plan years	43		

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
SUPPLEMENTAL INFORMATION
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Fixed Income Securities			
	American Express Company Subordinated Notes	70,000 units	\$ 71,331	\$ 70,291
	Aon Corporation Senior Unsecured Notes	50,000 units	52,080	51,878
	BB&T Corporation Senior Unsecured Notes	50,000 units	49,180	55,416
	Chubb INA Holdings Inc Senior Unsecured Notes	85,000 units	85,415	84,980
	Fifth Third Bancorp Subordinated Notes	75,000 units	80,105	77,037
	Huntington Bancshares Senior Unsecured Notes	70,000 units	69,632	67,892
	JPMorgan Chase Subordinated Notes	75,000 units	75,950	75,888
	Keycorp Senior Unsecured Notes	80,000 units	87,975	87,482
	Marsh & McLennan & Co. Senior Unsecured Notes	65,000 units	74,571	70,654
	Morgan Stanley Senior Unsecured Notes	55,000 units	55,292	55,219
	MUFG Americas (Formerly Unionbancal) Holdings	85,000 units	89,977	86,907
	Prudential Financial Inc Senior Unsecured Notes	50,000 units	56,014	53,577
	Suntrust Banks Incorporated Senior Unsecured	60,000 units	60,958	60,848
	US Bancorp Subordinated Notes	40,000 units	39,579	40,237
	Wells Fargo & Company Subordinated Notes	100,000 units	102,068	102,857
	AllState Corp Subordinated Debentures	2,600 units	64,870	65,442
	Federal Home Loan Mortgage Corp Step-Up 12/7/16	200,000 units	200,014	199,854
	Federal Home Loan Mortgage Corp Step-Up 5/8/17	160,000 units	160,014	158,901
	AT&T Incorporated Senior Unsecured Notes	60,000 units	61,086	59,414
	Becton Dickinson Senior Unsecured Notes	80,000 units	83,095	81,810
	Burlington Northern Sante Fe Senior Unsecured Notes	40,000 units	42,138	41,774
	CVS Health Corporation Senior Unsecured Notes	60,000 units	63,823	61,649
	Dover Corp Senior Unsecured Notes	75,000 units	83,034	78,611
	Eaton Corp Senior Unsecured Notes	55,000 units	55,078	54,474
	General Electric Cap Corp Senior Unsecured Notes	65,000 units	67,159	71,301
	Johnson Controls Inc Senior Unsecured Notes	40,000 units	42,754	42,920
	McDonald's Corp Senior Unsecured Notes	60,000 units	60,885	60,669
	Shell International Finance Senior Unsecured Notes	80,000 units	79,672	79,905
	United Technologies Junior Subordinated Notes	50,000 units	50,188	50,011
	Verizon Communications Senior Unsecured Notes	100,000 units	87,759	93,911
	JP Morgan Mortgage-Backed Securities Fund	31,444 units	359,543	350,597
	Kentucky State Asset Liability Commission	75,000 units	75,000	75,398
	State of OH Educational Facilities Commission	100,000 units	100,000	106,449

See independent auditors' report

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN

SUPPLEMENTAL INFORMATION

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	State of OH Major New Infranstructure Revenue	75,000 units	85,151	81,296
	West Virginia University Board of Govenors Rev	75,000 units	75,000	75,621
	Treasury Inflation Protected Security	47,311 units	44,252	43,316
	United States Treasury Bond	20,000 units	19,608	17,815
	United States Treasury Floating Rate Notes	90,000 units	90,156	90,258
	United States Treasury Floating Rate Notes	200,000 units	200,108	200,350
	United States Treasury Notes	200,000 units	205,780	200,790
	United States Treasury Notes	100,000 units	101,694	100,770
	United States Treasury Notes	40,000 units	38,212	37,780
	Berkshire Hathaway Energy Company	60,000 units	70,895	63,068
	Duke Energy Corp	55,000 units	61,755	59,292
	Enterprise Products Senior Unsecured Notes	75,000 units	73,659	75,927
	Eversource Energy Senior Unsecured Notes	40,000 units	46,184	42,457
	Gulf Power Company Senior Unsecured Notes	80,000 units	90,662	85,014
	National Rural Utilities Corp	100,000 units	99,864	100,706
	Williams Partners Senior Unsecured	50,000 units	47,408	50,934
	XCEL Energy Inc Senior Unsecured Notes	70,000 units	78,185	74,445
	Common Equity			
	Comcast Corporation - Class A	1,870 shares	112,283	129,311
	Lowes Companies, Incorporated	1,635 shares	123,205	116,281
	TJX Companies	955 shares	51,164	71,749
	VF Corporation	1,690 shares	113,935	90,162
	Coca Cola Company	2,000 shares	82,429	82,920
	CVS Corp	1,545 shares	104,533	121,916
	Nestle S A Sponsored ADR	2,465 shares	158,952	176,839
	Procter & Gamble Co	1,210 shares	69,638	101,737
	Unilever PLC	2,200 shares	88,835	89,540
	Chevron Corp	790 shares	55,272	92,983
	Royal Dutch Shell PLC - Class B	1,885 shares	128,737	109,273
	Schlumberger LTD	1,350 shares	82,789	113,333
	Chubb Limited	870 shares	89,963	114,944
	Iberiabank Corporation	2,240 shares	144,106	187,600
	Invesco Limited	2,445 shares	97,326	74,181
	Marsh & McLennan Companies, Inc	1,960 shares	71,226	132,476

See independent auditors' report

TRI-STATE UROLOGIC SERVICES P.S.C., INC.**DEFINED BENEFIT PLAN****SUPPLEMENTAL INFORMATION****SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)**

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Morningstar, Inc	510 shares	39,196	37,516
	PNC Financial Services Group, Inc	1,300 shares	107,984	152,048
	Renaissancere Holdings Limited	1,045 shares	89,768	142,350
	Abbott Laboratories	2,895 shares	106,032	111,197
	Danaher Corp	740 shares	30,773	57,602
	Medtronic PLC	1,355 shares	106,264	96,517
	Zimmer Biomet Holdings	905 shares	102,809	93,396
	Zoetis, Inc	2,380 shares	120,827	127,401
	Fortive Corporation	370 shares	9,574	19,843
	Grainger (W.W.), Incorporated	350 shares	86,688	81,288
	Paccar Incorporated	1,360 shares	77,060	86,904
	Parker Hannifin Corporation	650 shares	72,571	91,000
	Accenture PLC	330 shares	25,524	38,653
	Apple Inc	1,625 shares	88,536	188,256
	Automatic Data Processing	850 shares	77,761	87,363
	Mastercard, Inc	770 shares	73,783	79,503
	Microsoft Corp	1,965 shares	50,606	122,105
	Oracle Corp	2,635 shares	68,791	101,316
	Mutual Funds			
	First Eagle Overseas Fund	8114 shares	200,000	185,399
	Harbor International Fund	5,921 shares	350,661	345,858
	WCM Focused International Growth	26,654 shares	317,500	321,180
	Ishares Russell 1000 Growth Index Fund	2,140 shares	102,587	224,486
	Ishares Russell 1000 Value Index Fund	3,900 shares	234,786	436,917
	Ishares Russell Midcap Index	1885 shares	171,470	337,151
	Ishares Russell Midcap Value Index Fund	1,165 shares	44,841	93,701
	Ishares Core S&P Small Cap ETF	900 shares	36,649	123,768
	Thomson Horstmann& Bryant Microcap Fund	8,333 shares	100,000	138,583
	Ishares Russell 2000 Value	1,050 shares	49,206	124,887
	AT&T, Inc.	1,800 shares	64,224	76,554
	Other Investments			
	AB High Income Fund, Inc.	17,113 units	145,814	148,365
	Eaton Vance Income Fund of Boston	13,395 units	77,621	76,754
	Sprott Physical Gold Trust	6,616 units	98,195	62,124

See independent auditors' report

TRI-STATE UROLOGIC SERVICES P.S.C., INC.**DEFINED BENEFIT PLAN****SUPPLEMENTAL INFORMATION****SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)**

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Eagle MLP Strategy Fund I	22,599 units	289,432	200,000
	Tortoise MLP & Pipeline Fund	23,056 units	345,900	323,249
	Strategies Fund-Class I	30,332 units	336,484	307,571
	Cash & Equivalents			
	Accrued Interest and Dividends	32,514 units	32,514	32,514
	Cash and Equivalents	478,134 units	478,134	478,134
TOTAL ASSETS HELD FOR INVESTMENT PURPOSES			<u>\$10,599,770</u>	<u>\$ 11,730,790</u>

See independent auditors' report

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN

FINANCIAL STATEMENTS
AND SUPPLEMENTAL INFORMATION

For the Years Ended
December 31, 2016 and December 31, 2015

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INDEPENDENT AUDITORS' REPORT

To The Board of Trustees & Participants
Tri-State Urologic Services, P.S.C., Inc.
Defined Benefit Plan

We have audited the accompanying financial statements of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan, which comprise the statements of net assets available for benefits as of December 31, 2016 and December 31, 2015, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Plan's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Plan's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

CERTIFIED PUBLIC ACCOUNTANTS

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Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial status of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan as of December 31, 2016 and December 31, 2015, and the changes in its financial status for the years ended December 31, 2016 and December 31, 2015 in accordance with accounting principles generally accepted in the United States of America.

Report on Supplemental Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedule of assets (held at end of year), referred to as "supplemental information," is presented for the purpose of additional analysis and is not a required part of the financial statements but is supplemental information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Such information is the responsibility of the Plan's management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

A handwritten signature in black ink that reads "Rudler, PSC". The script is cursive and fluid, with the letters "R", "P", and "S" being particularly prominent.

Fort Wright, Kentucky
October 6, 2017

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2016 and December 31, 2015

	December 31, 2016	December 31, 2015
ASSETS		
Investments - at fair value:		
Fixed income securities	\$ 3,823,495	\$ 3,796,580
Mutual funds	3,800,592	3,627,008
Equity securities	3,596,055	3,835,135
	<u>11,220,142</u>	<u>11,258,723</u>
Receivables:		
Employer's contribution	321,765	0
Accrued interest and dividends	32,514	36,224
	<u>354,279</u>	<u>36,224</u>
Cash and cash equivalents	<u>478,134</u>	<u>657,144</u>
Total Assets	<u>12,052,555</u>	<u>11,952,091</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u><u>\$ 12,052,555</u></u>	<u><u>\$ 11,952,091</u></u>

The accompanying notes are an integral part of this statement.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
December 31, 2016 and December 31, 2015

	December 31, 2016	December 31, 2015
ADDITIONS		
Additions to net assets attributed to:		
Investment income		
Interest income	\$ 117,892	\$ 136,774
Dividend income	176,266	240,230
Net appreciation in fair value of investments	776,581	0
	<u>1,070,739</u>	<u>377,004</u>
 Total Investment Income		
	<u>1,070,739</u>	<u>377,004</u>
 Contributions		
Employer's contribution	756,666	318,221
	<u>756,666</u>	<u>318,221</u>
 Total Additions	<u>1,827,405</u>	<u>695,225</u>
 DEDUCTIONS		
Deductions from net assets attributed to:		
Benefits paid to participants	1,679,430	3,245,789
Net depreciation in investments	0	727,428
Investment expenses	47,511	59,626
	<u>1,726,941</u>	<u>4,032,843</u>
 Total Deductions	<u>1,726,941</u>	<u>4,032,843</u>
 Net Increase (Decrease)	100,464	(3,337,618)
 NET ASSETS AVAILABLE FOR BENEFITS		
BEGINNING OF YEAR	<u>11,952,091</u>	<u>15,289,709</u>
 END OF YEAR	<u><u>\$ 12,052,555</u></u>	<u><u>\$ 11,952,091</u></u>

The accompanying notes are an integral part of this statement.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.

DEFINED BENEFIT PLAN

NOTES TO FINANCIAL STATEMENTS

December 31, 2016 and December 31, 2015

NOTE 1 – PLAN DESCRIPTION

The following brief description of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan is provided for general information purposes only. It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). Participants should refer to the Plan agreement for more complete information.

General

The Plan is a defined benefit pension plan that was established January 1, 2002. The plan covers substantially all employees of Tri-State Urologic Services, P.S.C., Inc. who have reached age 21 and have completed one year of service.

Pension Benefits

Employees are entitled to their vested annual pension benefits beginning at normal retirement age (65) equal to less than 1.0%, 2.0% or 3.5% (depending on rank of service) of their average annual compensation over the three highest consecutive Plan years multiplied by number of years of benefit service (10 years maximum). Employees may elect to receive their pension benefits in the form of a single life annuity or joint and 50% survivor annuity. Employees may elect to receive the value of their accumulated plan benefits as a lump-sum distribution upon retirement or termination.

Vesting

Vesting in pension benefits is based on years of continuous service. A participant is 100% vested after six years of service, starting at 20% after two years of service and 20% additional for each year of service thereafter.

Death and Disability Benefits

If an active employee dies, a death benefit equal to the value of the employee's accumulated pension benefits is paid to the employee's beneficiary. Active employees who become totally disabled will be eligible to retire and receive annual disability benefits that are equal to the normal retirement benefits they have accumulated as of the time they become disabled.

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the Tri-State Urologic Services, P.S.C., Inc., Defined Benefit Plan have been prepared in accordance with accounting principles generally accepted in the United States of America as applied to savings plans, and in accordance with the terms of the Plan agreement.

A summary of the Plan's significant accounting policies consistently applied in the preparation of the accompanying financial statements follows.

Basis of Accounting

The accompanying financial statements are prepared on the accrual basis of accounting.

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires the plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from those estimates.

Administrative Expenses

All administrative expenses of maintaining the Plan are paid by the Company.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Adoption of New Accounting Standards

In May 2015, the FASB issued Accounting Standards Update (“ASU”) No 2015-07, “Fair Value Measurement (Topic 820) – Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent) (Subtopic 835-30)” (“ASU No. 2015-07”). ASU No. 2015-07 removes the requirement to categorize with the fair value hierarchy all investments for which fair value is measured using net asset value per share practical expedient. ASU No. 2015-07 also removes the requirement to make certain disclosures for all investments that are eligible to be measured at fair value using the net asset value per share practical expedient. Investments that calculate net asset value per share (or its equivalent), but for which the practical expedient is not applied will continued to be included in the fair value hierarchy along with the related disclosures. ASU No. 2015-07 is effective for fiscal years beginning after December 15, 2016, and is to be applied retrospectively, with early adoption permitted. The Plan adopted ASU No. 2015-07 in the Notes to Financial Statements as of December 31, 2015 and has applied the disclosure provisions of ASU No. 2015-07 to all investments measured using the net asset value per share as practical expedient.

In July 2015, the FASB issued ASU No. 2015-12, “Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), and Health and Welfare Benefit Plans Topic (965): Part (I) Fully Benefit-Responsive Investment Contracts, Part (II) Plan Investment Disclosures, Part (III) Measurement Date Practical Expedient” (“ASU No. 2015-12). ASU No. 2015-12 removes the requirement to report fully benefit-responsive investment contracts with an adjustment to contract value. Under ASU No. 2015-12 , direct investments in fully benefit-responsive investment contracts are measured, presented, and disclosed only at contract value. In addition, this amendment simplifies the investments disclosures required for employee benefit plans, including eliminating the requirements to disclose (i) individual investments that represent 5% or more of net assets available for benefits, (ii) net appreciation (depreciation) individual investment type and (iii) investment information disaggregated based on the nature, characteristics and risks. The requirement to disaggregate participant-directed investments within a self-directed brokerage account has also been eliminated. Self-directed brokerage accounts should be reported as a single type of investment. The update also allows plans to measure investments and investments-related accounts as of a month-end date that is closest to the plan’s fiscal year end, when the fiscal period does not coincide with a month-end. Parts I and II are effective for fiscal years beginning after December 15, 2015 and should be applied retrospectively, with early application permitted. Part III is effective for fiscal years beginning after December 15, 2015 and should be applied prospectively, with early application permitted. The Plan adopted Parts I and II for the year ended December 31, 2015. Part III is not applicable to this plan.

Investment Valuation and Income Recognition

Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 9 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) includes the Plan’s gains and losses on investments bought and sold as well as held during the year.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Actuarial Present Value of Accumulated Plan Benefits

Accumulated plan benefits are those future periodic payments, including lump-sum distributions, that are attributable under the Plan's provisions to the service employees have rendered. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, (b) beneficiaries of employees who have died, and (c) present employees or their beneficiaries. Benefits under the Plan are based on employees' average compensation during their three highest consecutive plan years. The accumulated plan benefits for active employees are based on their compensation for the year ending on the date as of which the benefit information is presented (the valuation date). Benefits payable under all circumstances – retirement, death, disability, and termination of employment – are included, to the extent they are deemed attributable to employee service rendered to the valuation date.

The actuarial present value of accumulated plan benefits was determined by Cuni, Rust & Strenk Inc., consulting actuaries and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal, or retirement) between the valuation date and the expected date of payment. The significant actuarial assumptions used in the valuations as of January 1, 2016 and 2015 were (a) life expectancy of participants (1994 Group Annuity Reserve Table 50% male and 50% female), (b) normal retirement age (65), and (c) investment return. The 2016 and 2015 valuation included assumed average rates of return of 6%. The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

Payment of Benefits

Benefit payments to participants are recorded upon distributions.

Reclassification

Certain accounts relating to the prior year have been reclassified to conform to the current year presentation with no effect on previously report net income.

NOTE 3 - INVESTMENTS

The Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated (depreciated) in value as follows:

Investments at Fair Value:

	December 31, 2016	December 31, 2015
Fixed Income	\$ 28,883	\$ (135,605)
Mutual Funds	297,349	(941,865)
Equity Securities	450,349	350,042
Total	<u>\$ 776,581</u>	<u>\$ (727,428)</u>

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 4 – FUNDING POLICY AND FROZEN PLAN STATUS

The Company's funding policy is to make annual contributions to the Plan in amounts that are estimated to be sufficient so that all employees' benefits will be fully provided for by the time they retire. The Company's contributions for 2016 and 2015 met the minimum funding requirements of ERISA.

The Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. Effective May 16, 2009, the Plan elected to be frozen and no additional benefits accrued after such date. As of November 26, 2012, the Plan elected to be unfrozen retroactively to January 1, 2012. As of this date, benefit accruals commenced based on the formula in the Plan as communicated in the Summary Plan Description booklet.

NOTE 5 – PLAN TERMINATION

In the event the Plan terminates, the net assets of the Plan will be allocated, as prescribed by ERISA and its related regulations, generally to provide the following benefits in the order indicated:

- A. Annuity benefits that former employees or their beneficiaries have been receiving for at least three years, or that employees eligible to retire for that three-year period would have been receiving if they had retired with benefits in the normal form of annuity under the Plan. The priority amount is limited to the lowest benefit that was payable (or would have been payable) during those three years. The amount is further limited to the lowest benefit that would be payable under Plan provisions in effect at any time during the five years preceding Plan termination.
- B. Other vested benefits insured by the Pension Benefit Guaranty Corporation (PBGC) (a U.S. government agency) up to the applicable limitations (discussed below).
- C. All other vested benefits (that is, vested benefits not insured by the PBGC).
- D. All nonvested benefits.

Certain benefits under the Plan are insured by the PBGC if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination. However, there is a statutory ceiling, which is adjusted periodically, on the amount of an individual's monthly benefit that the PBGC guarantees. That ceiling applies to those pensioners who elect to receive their benefits in the form of a single-life annuity and are at least 65 years old at the time of retirement or plan termination (whichever comes later). For younger annuitants or for those who elect to receive their benefits in some form more valuable than a single-life annuity, the corresponding ceilings are actuarially adjusted downward.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 6 – INCOME TAX STATUS

The Plan obtained its latest determination letter on July 6, 2012 in which the Internal Revenue Service stated that the Plan, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code. The Plan has been amended since receiving the determination letter. However, the Plan administrator and the Plan's tax counsel believe that the Plan is currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

The Plan administrator believes it is no longer subject to income tax examinations for the year prior to 2013. There are currently no audits for any periods in progress.

NOTE 7 – PARTY-IN-INTEREST TRANSACTIONS

Certain Plan investments are managed by Johnson Investment Counsel, Inc. Johnson Investment Counsel is the custodian as defined by the Plan and, therefore, these transactions qualify as party-in-interest. Fees paid by the Plan for the investment management services amounted to \$47,467 for the year ending December 31, 2016.

NOTE 8 – RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statement of net assets available for benefits.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that changes in these estimates and assumptions in the near term would be material to the financial statements.

NOTE 9 – FAIR VALUE MEASUREMENT

The Plan's investments are reported at fair value in the accompanying statement of net assets available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 or Level 3 inputs were available to the Plan.

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 9 - FAIR VALUE MEASUREMENT (Continued)

Level 1 Fair Value Measurements

The fair value of mutual funds is based on quoted net asset values of the shares held by the Plan at year-end. The fair value of common stocks, corporate bonds, and U.S. government securities are based on the closing price reported on the active market where the individual securities are traded.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value as of December 31, 2016 and December 31, 2015.

	<u>Fair Value</u>	<u>Quoted Prices in Active Markets for Identical Assets (Level 1)</u>
<u>December 31, 2016</u>		
Equity securities	\$ 3,596,055	\$ 3,596,055
Mutual funds	3,800,592	3,800,592
Fixed income securities	3,132,417	3,132,417
U.S. government securities	<u>691,078</u>	<u>691,078</u>
Total assets at fair value	<u>\$ 11,220,142</u>	<u>\$ 11,220,142</u>
	<u>Fair Value</u>	<u>Quoted Prices in Active Markets for Identical Assets (Level 1)</u>
<u>December 31, 2015</u>		
Equity securities	\$ 3,835,135	\$ 3,835,135
Mutual funds	3,627,008	3,627,008
Fixed income securities	3,187,155	3,187,155
U.S. government securities	<u>609,425</u>	<u>609,425</u>
Total assets at fair value	<u>\$ 11,258,723</u>	<u>\$ 11,258,723</u>

NOTE 10 - ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS

The actuarial present values of accumulated plan benefits as of January 1, 2016 and 2015 are summarized as follows:

	<u>2016</u>	<u>2015</u>
Vested benefits:		
Active employees	\$ 9,739,124	\$ 11,749,807
Retired, transferred and terminated employees	1,090,220	92,947
Non-vested	<u>45,152</u>	<u>55,339</u>
Total	<u>\$ 10,874,496</u>	<u>\$ 11,898,093</u>

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
NOTES TO FINANCIAL STATEMENTS (Continued)
December 31, 2016 and December 31, 2015

NOTE 10 - ACTUARIAL PRESENT VALUE OF ACCUMULATED PLAN BENEFITS (Continued)

The change in the actuarial present value of accumulated plan benefits for the year ended January 1, 2016 is attributable to the following:

Actuarial present value of accumulated plan benefits as of January 1, 2015	\$ <u>11,898,093</u>
Decrease in discount period	566,331
Plan experience and benefit accrual	1,655,861
Assumption changes	0
Benefits paid	<u>(3,245,789)</u>
Net decrease	<u>(1,023,597)</u>
Actuarial present value of accumulated plan benefits as of January 1, 2016	\$ <u><u>10,874,496</u></u>

NOTE 11- SUBSEQUENT EVENTS

The Plan has evaluated all subsequent events through October 6, 2017, the date the financial statements were available to be issued.

SUPPLEMENTAL INFORMATION

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN
SUPPLEMENTAL INFORMATION
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Fixed Income Securities			
	American Express Company Subordinated Notes	70,000 units	\$ 71,331	\$ 70,291
	Aon Corporation Senior Unsecured Notes	50,000 units	52,080	51,878
	BB&T Corporation Senior Unsecured Notes	50,000 units	49,180	55,416
	Chubb INA Holdings Inc Senior Unsecured Notes	85,000 units	85,415	84,980
	Fifth Third Bancorp Subordinated Notes	75,000 units	80,105	77,037
	Huntington Bancshares Senior Unsecured Notes	70,000 units	69,632	67,892
	JPMorgan Chase Subordinated Notes	75,000 units	75,950	75,888
	Keycorp Senior Unsecured Notes	80,000 units	87,975	87,482
	Marsh & McLennan & Co. Senior Unsecured Notes	65,000 units	74,571	70,654
	Morgan Stanley Senior Unsecured Notes	55,000 units	55,292	55,219
	MUFG Americas (Formerly Unionbancal) Holdings	85,000 units	89,977	86,907
	Prudential Financial Inc Senior Unsecured Notes	50,000 units	56,014	53,577
	Suntrust Banks Incorporated Senior Unsecured	60,000 units	60,958	60,848
	US Bancorp Subordinated Notes	40,000 units	39,579	40,237
	Wells Fargo & Company Subordinated Notes	100,000 units	102,068	102,857
	AllState Corp Subordinated Debentures	2,600 units	64,870	65,442
	Federal Home Loan Mortgage Corp Step-Up 12/7/16	200,000 units	200,014	199,854
	Federal Home Loan Mortgage Corp Step-Up 5/8/17	160,000 units	160,014	158,901
	AT&T Incorporated Senior Unsecured Notes	60,000 units	61,086	59,414
	Becton Dickinson Senior Unsecured Notes	80,000 units	83,095	81,810
	Burlington Northern Sante Fe Senior Unsecured Notes	40,000 units	42,138	41,774
	CVS Health Corporation Senior Unsecured Notes	60,000 units	63,823	61,649
	Dover Corp Senior Unsecured Notes	75,000 units	83,034	78,611
	Eaton Corp Senior Unsecured Notes	55,000 units	55,078	54,474
	General Electric Cap Corp Senior Unsecured Notes	65,000 units	67,159	71,301
	Johnson Controls Inc Senior Unsecured Notes	40,000 units	42,754	42,920
	McDonald's Corp Senior Unsecured Notes	60,000 units	60,885	60,669
	Shell International Finance Senior Unsecured Notes	80,000 units	79,672	79,905
	United Technologies Junior Subordinated Notes	50,000 units	50,188	50,011
	Verizon Communications Senior Unsecured Notes	100,000 units	87,759	93,911
	JP Morgan Mortgage-Backed Securities Fund	31,444 units	359,543	350,597
	Kentucky State Asset Liability Commission	75,000 units	75,000	75,398
	State of OH Educational Facilities Commission	100,000 units	100,000	106,449

See independent auditors' report

TRI-STATE UROLOGIC SERVICES P.S.C., INC.
DEFINED BENEFIT PLAN

SUPPLEMENTAL INFORMATION

SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	State of OH Major New Infranstructure Revenue	75,000 units	85,151	81,296
	West Virginia University Board of Govenors Rev	75,000 units	75,000	75,621
	Treasury Inflation Protected Security	47,311 units	44,252	43,316
	United States Treasury Bond	20,000 units	19,608	17,815
	United States Treasury Floating Rate Notes	90,000 units	90,156	90,258
	United States Treasury Floating Rate Notes	200,000 units	200,108	200,350
	United States Treasury Notes	200,000 units	205,780	200,790
	United States Treasury Notes	100,000 units	101,694	100,770
	United States Treasury Notes	40,000 units	38,212	37,780
	Berkshire Hathaway Energy Company	60,000 units	70,895	63,068
	Duke Energy Corp	55,000 units	61,755	59,292
	Enterprise Products Senior Unsecured Notes	75,000 units	73,659	75,927
	Eversource Energy Senior Unsecured Notes	40,000 units	46,184	42,457
	Gulf Power Company Senior Unsecured Notes	80,000 units	90,662	85,014
	National Rural Utilities Corp	100,000 units	99,864	100,706
	Williams Partners Senior Unsecured	50,000 units	47,408	50,934
	XCEL Energy Inc Senior Unsecured Notes	70,000 units	78,185	74,445
	Common Equity			
	Comcast Corporation - Class A	1,870 shares	112,283	129,311
	Lowes Companies, Incorporated	1,635 shares	123,205	116,281
	TJX Companies	955 shares	51,164	71,749
	VF Corporation	1,690 shares	113,935	90,162
	Coca Cola Company	2,000 shares	82,429	82,920
	CVS Corp	1,545 shares	104,533	121,916
	Nestle S A Sponsored ADR	2,465 shares	158,952	176,839
	Procter & Gamble Co	1,210 shares	69,638	101,737
	Unilever PLC	2,200 shares	88,835	89,540
	Chevron Corp	790 shares	55,272	92,983
	Royal Dutch Shell PLC - Class B	1,885 shares	128,737	109,273
	Schlumberger LTD	1,350 shares	82,789	113,333
	Chubb Limited	870 shares	89,963	114,944
	Iberiabank Corporation	2,240 shares	144,106	187,600
	Invesco Limited	2,445 shares	97,326	74,181
	Marsh & McLennan Companies, Inc	1,960 shares	71,226	132,476

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TRI-STATE UROLOGIC SERVICES P.S.C., INC.**DEFINED BENEFIT PLAN****SUPPLEMENTAL INFORMATION****SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)**

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Morningstar, Inc	510 shares	39,196	37,516
	PNC Financial Services Group, Inc	1,300 shares	107,984	152,048
	Renaissancere Holdings Limited	1,045 shares	89,768	142,350
	Abbott Laboratories	2,895 shares	106,032	111,197
	Danaher Corp	740 shares	30,773	57,602
	Medtronic PLC	1,355 shares	106,264	96,517
	Zimmer Biomet Holdings	905 shares	102,809	93,396
	Zoetis, Inc	2,380 shares	120,827	127,401
	Fortive Corporation	370 shares	9,574	19,843
	Grainger (W.W.), Incorporated	350 shares	86,688	81,288
	Paccar Incorporated	1,360 shares	77,060	86,904
	Parker Hannifin Corporation	650 shares	72,571	91,000
	Accenture PLC	330 shares	25,524	38,653
	Apple Inc	1,625 shares	88,536	188,256
	Automatic Data Processing	850 shares	77,761	87,363
	Mastercard, Inc	770 shares	73,783	79,503
	Microsoft Corp	1,965 shares	50,606	122,105
	Oracle Corp	2,635 shares	68,791	101,316
	Mutual Funds			
	First Eagle Overseas Fund	8114 shares	200,000	185,399
	Harbor International Fund	5,921 shares	350,661	345,858
	WCM Focused International Growth	26,654 shares	317,500	321,180
	Ishares Russell 1000 Growth Index Fund	2,140 shares	102,587	224,486
	Ishares Russell 1000 Value Index Fund	3,900 shares	234,786	436,917
	Ishares Russell Midcap Index	1885 shares	171,470	337,151
	Ishares Russell Midcap Value Index Fund	1,165 shares	44,841	93,701
	Ishares Core S&P Small Cap ETF	900 shares	36,649	123,768
	Thomson Horstmann& Bryant Microcap Fund	8,333 shares	100,000	138,583
	Ishares Russell 2000 Value	1,050 shares	49,206	124,887
	AT&T, Inc.	1,800 shares	64,224	76,554
	Other Investments			
	AB High Income Fund, Inc.	17,113 units	145,814	148,365
	Eaton Vance Income Fund of Boston	13,395 units	77,621	76,754
	Sprott Physical Gold Trust	6,616 units	98,195	62,124

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TRI-STATE UROLOGIC SERVICES P.S.C., INC.**DEFINED BENEFIT PLAN****SUPPLEMENTAL INFORMATION****SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR) (CONT'D)**

December 31, 2016

(a) Related Party	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
	Eagle MLP Strategy Fund I	22,599 units	289,432	200,000
	Tortoise MLP & Pipeline Fund	23,056 units	345,900	323,249
	Strategies Fund-Class I	30,332 units	336,484	307,571
	Cash & Equivalents			
	Accrued Interest and Dividends	32,514 units	32,514	32,514
	Cash and Equivalents	478,134 units	478,134	478,134
TOTAL ASSETS HELD FOR INVESTMENT PURPOSES			<u>\$10,599,770</u>	<u>\$ 11,730,790</u>

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