

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ► Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2016 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>			
A	This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) ☒ a single-employer plan ☐ a DFE (specify) _____	
B	This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)	
C	If the plan is a collectively-bargained plan, check here. ☐		
D	Check box if filing under:	☒ Form 5558 ☐ automatic extension ☐ the DFVC program ☐ special extension (enter description)	

Part II	Basic Plan Information —enter all requested information		
1a	Name of plan <u>THE CCHS RETIREMENT PLAN</u>	1b	Three-digit plan number (PN) ► <u>001</u>
		1c	Effective date of plan <u>01/01/1941</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>THE CLEVELAND CLINIC FOUNDATION</u> <u>9500 EUCLID AVENUE AC-341</u> <u>CLEVELAND, OH 44195-0001</u>	2b	Employer Identification Number (EIN) <u>34-0714585</u>
		2c	Plan Sponsor's telephone number <u>216-448-2247</u>
		2d	Business code (see instructions) <u>622000</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/12/2017	STEVEN C. GLASS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number) <u>PAULA LEWIS</u> <u>CBIZ, INC.</u> <u>4040 EMBASSY PARKWAY #100</u> <u>AKRON, OH 44333</u>			Preparer's telephone number <u>330-983-0485</u>

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3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 35454
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 21654 6a(2) 20132 6b 4959 6c 8248 6d 33339 6e 499 6f 33838 6g 0 6h 4
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 1C 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>1</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☐ G (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <hr/> <small>Department of Labor Employee Benefits Security Administration</small> <hr/> <small>Pension Benefit Guaranty Corporation</small>	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500. ► Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 <hr/> 2016 <hr/> This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning **01/01/2016** and ending **12/31/2016**

A Name of plan THE CCHS RETIREMENT PLAN	B Three-digit plan number (PN)	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CLEVELAND CLINIC FOUNDATION	D Employer Identification Number (EIN) 34-0714585	

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
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1 Coverage Information:

(a) Name of insurance carrier
AXA EQUITABLE LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
13-5570651	62944	1573	3	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end **4** 46581**5** Current value of plan's interest under this contract in separate accounts at year end..... **5** 0**6** Contracts With Allocated Funds:**a** State the basis of premium rates ▶**b** Premiums paid to carrier**6b****c** Premiums due but unpaid at the end of the year**6c****d** If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount**6d**

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity(3) ☐ other (specify) ▶**f** If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7** Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**a** Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee(3) ☐ guaranteed investment (4) ☒ other ▶ BOOK VALUE BASIS**b** Balance at the end of the previous year **7b** 49986**c** Additions: (1) Contributions deposited during the year **7c(1)** 0(2) Dividends and credits..... **7c(2)** 0(3) Interest credited during the year..... **7c(3)** 1293(4) Transferred from separate account..... **7c(4)** 0(5) Other (specify below)..... **7c(5)** -140

▶ ADJUSTMENT

(6) Total additions **7c(6)** 1153**d** Total of balance and additions (add lines **7b** and **7c(6)**). **7d** 51139**e** Deductions:(1) Disbursed from fund to pay benefits or purchase annuities during year **7e(1)** 4558(2) Administration charge made by carrier..... **7e(2)** 0(3) Transferred to separate account..... **7e(3)** 0(4) Other (specify below)..... **7e(4)** 0(5) Total deductions **7e(5)** 4558**f** Balance at the end of the current year (subtract line **7e(5)** from line **7d**)..... **7f** 46581

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received	9a(1)		
(2) Increase (decrease) in amount due but unpaid	9a(2)		
(3) Increase (decrease) in unearned premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))		9a(4)	0
b Benefit charges (1) Claims paid	9b(1)		
(2) Increase (decrease) in claim reserves	9b(2)		
(3) Incurred claims (add (1) and (2))		9b(3)	0
(4) Claims charged		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions	9c(1)(A)		
(B) Administrative service or other fees	9c(1)(B)		
(C) Other specific acquisition costs	9c(1)(C)		
(D) Other expenses	9c(1)(D)		
(E) Taxes	9c(1)(E)		
(F) Charges for risks or other contingencies	9c(1)(F)		
(G) Other retention charges	9c(1)(G)		
(H) Total retention		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.)		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement		9d(1)	
(2) Claim reserves		9d(2)	
(3) Other reserves		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount. Specify nature of costs.	10b	

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

▶ **Round off amounts to nearest dollar.**

▶ **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan <u>THE CCHS RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF <u>THE CLEVELAND CLINIC FOUNDATION</u>	D Employer Identification Number (EIN) <u>34-0714585</u>
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information
1 Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2016</u>	
2 Assets:	
a Market value	2a <u>1222382427</u>
b Actuarial value	2b <u>1222382427</u>
3 Funding target/participant count breakdown	(1) Number of participants (2) Vested Funding Target (3) Total Funding Target
a For retired participants and beneficiaries receiving payment.....	<u>5278</u> <u>231116621</u> <u>231116621</u>
b For terminated vested participants.....	<u>8735</u> <u>237264596</u> <u>237264596</u>
c For active participants.....	<u>21464</u> <u>631233809</u> <u>649172699</u>
d Total.....	<u>35477</u> <u>1099615026</u> <u>1117553916</u>
4 If the plan is in at-risk status, check the box and complete lines (a) and (b)..... ☐	
a Funding target disregarding prescribed at-risk assumptions	4a
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b
5 Effective interest rate	5 <u>6.00 %</u>
6 Target normal cost.....	6 <u>11312075</u>

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		<u>09/21/2017</u>
	Signature of actuary	Date
<u>DAVID M HAUER</u>	Type or print name of actuary	<u>17-06576</u>
<u>TOWERS WATSON DELAWARE INC.</u>	Firm name	Most recent enrollment number
<u>1001 LAKESIDE AVENUE, SUITE 1500</u> <u>CLEVELAND, OH 44114-1172</u>	Address of the firm	<u>216-937-4000</u>
		Telephone number (including area code)

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

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Part II Beginning of Year Carryover and Prefunding Balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	0
9	Amount remaining (line 7 minus line 8)	0	0
10	Interest on line 9 using prior year's actual return of <u>0.29</u> %	0	0
11	Prior year's excess contributions to be added to prefunding balance:		
a	Present value of excess contributions (line 38a from prior year)		90279329
b(1)	Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.20</u> %		5597318
b(2)	Interest on line 38b from prior year Schedule SB, using prior year's actual return		
c	Total available at beginning of current plan year to add to prefunding balance		95876647
d	Portion of (c) to be added to prefunding balance		0
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	0

Part III Funding Percentages			
14	Funding target attainment percentage	14	109.38%
15	Adjusted funding target attainment percentage	15	109.38%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement	16	110.12%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ►			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V	Assumptions Used to Determine Funding Target and Target Normal Cost		
21 Discount rate:			
a Segment rates:		1st segment: 4.43%	2nd segment: 5.91%
		3rd segment: 6.65%	☐ N/A, full yield curve used
b Applicable month (enter code)			21b 4
22 Weighted average retirement age			22 64
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			
Part VI	Miscellaneous Items		
24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No			
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment. ☐ Yes ☒ No			
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment. ☒ Yes ☐ No			
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.			27
Part VII	Reconciliation of Unpaid Minimum Required Contributions For Prior Years		
28 Unpaid minimum required contributions for all prior years			28 0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)			29 0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)			30 0
Part VIII	Minimum Required Contribution For Current Year		
31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)			31a 11312075
b Excess assets, if applicable, but not greater than line 31a			31b 11312075
32 Amortization installments:		Outstanding Balance	Installment
a Net shortfall amortization installment		0	0
b Waiver amortization installment		0	0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount			33 0
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)			34 0
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35)			36 0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)			37 0
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)			38a 0
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances			38b 0
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)			39 0
40 Unpaid minimum required contributions for all years			40 0
Part IX	Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)		
41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected ☐ 2 plus 7 years ☐ 15 years			
b Eligible plan year(s) for which the election in line 41a was made ☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011			
42 Amount of acceleration adjustment			42 0
43 Excess installment acceleration amount to be carried over to future plan years			43 0

SCHEDULE C (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Service Provider Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110
		2016
		This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning **01/01/2016** and ending **12/31/2016**

A Name of plan THE CCHS RETIREMENT PLAN	B Three-digit plan number (PN) ► 001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CLEVELAND CLINIC FOUNDATION	
D Employer Identification Number (EIN) 34-0714585	

Part I	Service Provider Information (see instructions)
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You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☒ Yes ☐ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation ADAGE CAPITAL PARTNERS, LP
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04-3559355

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation AG CAPITAL RECOVERY PARTNERS VI

26-1556523

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation ARCM FEEDER FUND LTD	2 QUEENS ROAD HONG KONG, HONG KONG 00000 CN
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation ARCM FEEDER FUND II LTD	2 QUEENS ROAD HONG KONG, HONG KONG 00000 CN
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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ARCM FEEDER FUND III LTD

2 QUEENS ROAD
HONG KONG, HONG KONG 00000 CN

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BAIN CAPITAL FUND X, L.P.

200 CLARENDON STREET
BOSTON, MA 02116

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

EMERGING MARKETS ALPHA ADVANTAGE FU

94-3112180

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BRAHMAN PARTNERS II OFFSHORE, LTD

13-3542470

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BRIDGEPOINT EUROPE IV FUND

95 WIGMORE ST
LONDON W1U 1FB GB

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

BRIDGEWATER PURE ALPHA FUND II LTD

ONE GLENDINNING PLACE
WESTPORT, CT 06880

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CITADEL GLOBAL EQUITIES FUND LTD

68-0677644

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CONVEXITY CAPITAL OFFSHORE L.P.

78 SIR JOHN ROGERSON'S QUAY
DUBLIN, IRELAND 2 IE

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

GOLDENTREE HIGH YIELD VALUE FUND OF

300 PARK AVE 21ST FLR
NEW YORK, NY 10022

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MAGNETAR FINANCIAL LLC

04-3818748

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

REGIMENT CAPITAL SPECIAL SITUATION

45-1671868

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ETON PARK OVERSEAS FUND, LTD.

89 NEXUS WAY
CAMANA BAY, GRAND CAYMAN KY1-1205 KY

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FARALLON CAPITAL OFFSHORE INVESTORS

98-0467285

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

KLS DIVERSIFIED FUND LTD.

452 FIFTH AVENUE
22ND FLOOR
NEW YORK, NY 10018

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

KING STREET CAPITAL, LTD.

6 FRONT STREET
HAMILTON, BERMUDA HM11 BM

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY ADVISORS SERIES I

04-3250065

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MARATHON SPECIAL OPPORTUNITY FUND L

ONE BRYANT PARK
38TH FLOOR
NEW YORK, NY 10036**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MONTRUSCO BOLTON CANADIAN ALL CAP

1501 AVENUE MCGILL COLLEGE BUREAU 1200
MONTREAL, CANADA H3A 3M8 CA**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

MELLON CAPITAL MANAGEMENT CORP

50 FREMONT STREET
SUITE 3900
SAN FRANCISCO, CA 94105**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

PANAGORA STRATEGIC U.S. EQUITY EXTE

470 ATLANTIC AVENUE
8TH FLOOR
BOSTON, MA 02210**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

OZ OVERSEAS FUND II, LTD.

45 MARKET ST
CAMANA BAY, GRAND CAYMAN KY1-1103 KY**(b)** Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

ROARK CAPITAL MANAGEMENT LLC

06-1735341

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

RHO CAPITAL PARTNERS, INC.

13-3087622

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HIGHFIELDS CAPITAL IV LP

200 CLARENDON STREET 59TH FLOOR
BOSTON, MA 02116

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

TCW DIRECT LENDING LLC LP

46-5327366

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

HSBC SECURITIES SERVICES

1 GRAND CANAL SQUARE GRAND CANAL HARBOUR
DUBLIN, IRELAND 2 IE

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STRATEGIC INVESTMENT GROUP

52-1540171

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 28	CONSULTANT & INVESTMENT	1197945	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NUMERIC INVESTORS LLC

04-3068821

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	INVESTMENT MANAGEMENT	364385	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

ELLINGTON MANAGEMENT GROUP, LLC

06-1441140

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	276708	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

BOGLE INVESTMENT MANAGEMENT, L.P.

04-3479127

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	254114	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

OXAM QUANT FUND

P O BOX 472
GEORGE TOWN, GRAND CAYMAN CY1-1101 KY

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	190977	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

THE BANK OF NEW YORK MELLON

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
18 19	CUSTODIAL	171627	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WELLINGTON TRUST COMPANY

04-2767481

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	171341	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ARROWSTREET CAPITAL, LIMITED

04-3472863

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	164272	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

SERIES BB, SUB-SERIES 01-16

888 SEVENTH AVENUE
32ND FLOOR
NEW YORK, NY 10019

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	126485	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

PANAGORA ASSET MANAGEMENT

04-3063840

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	120087	Yes ☒ No ☐	Yes ☒ No ☐	4081	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

MARATHON LONDON ASSET MANAGEMENT

5 UPPER ST MARTINS LANE
LONDON, ENGLAND WC2H 9EA GB

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	97092	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LOOMIS SAYLES & COMPANY, LP

04-3200030

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	78838	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SANDS CAPITAL MANAGEMENT, LLC

20-2830751

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 99	INVESTMENT MANAGEMENT	68100	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

1607 CAPITAL PARTNERS LLC

26-0529973

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	63153	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

COLCHESTER GLOBAL INVESTORS

20 SAVILE ROW
LONDON, ENGLAND W1S3PR GB

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGER	55514	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FRANKLIN ADVISORS

94-2990534

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGER	40480	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

LSV ASSET MANAGEMENT

23-2772200

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	38511	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

ACADIAN ASSET MANAGEMENT LLC

04-2929221

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	31329	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

J P MORGAN INVESTMENT

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGER	26177	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WESTFIELD CAPITAL MANAGEMENT

30-0027188

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28	INVESTMENT MANAGEMENT	12924	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

a Name:	b EIN:
c Position:	
d Address:	e Telephone:

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">2016</div> This Form is Open to Public Inspection.
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan <u>THE CCHS RETIREMENT PLAN</u>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:80%; padding: 5px;"> B Three-digit plan number (PN) ► </td> <td style="width:20%; padding: 5px; text-align: center;"> <u>001</u> </td> </tr> <tr style="background-color: #f2f2f2;"> <td colspan="2" style="height: 20px;"></td> </tr> </table>	B Three-digit plan number (PN) ►	<u>001</u>		
B Three-digit plan number (PN) ►	<u>001</u>				
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>THE CLEVELAND CLINIC FOUNDATION</u>	D Employer Identification Number (EIN) <u>34-0714585</u>				

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>FRANKLIN TEMPLETON GLOBAL BOND PLUS</u>
b Name of sponsor of entity listed in (a):	<u>FRANKLIN TEMPLETON INSTITUTIONAL</u>
c EIN-PN <u>80-6169765-001</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>11440930</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>ACADIAN INTL SMALL CAP</u>
b Name of sponsor of entity listed in (a):	<u>ACADIAN ASSET MANAGEMENT</u>
c EIN-PN <u>20-4742534-014</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>5754472</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>ARROWSTREET INTNL EQUITY ALPHA EXT</u>
b Name of sponsor of entity listed in (a):	<u>ARROWSTREET CAPITAL LP</u>
c EIN-PN <u>45-6500555-009</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>26336357</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>COLCHESTER GLOBAL BOND FUND 130/30</u>
b Name of sponsor of entity listed in (a):	<u>COLCHESTER GLOBAL INVESTORS LIMITED</u>
c EIN-PN <u>16-6553157-005</u>	d Entity code <u>P</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>11579739</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>MARATHON-LONDON INTERNATIONAL FUND</u>
b Name of sponsor of entity listed in (a):	<u>MARATHON ASSET MANAGEMENT LIMITED</u>
c EIN-PN <u>22-3321401-001</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>20406827</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>CTF GLOBAL BOND OPPS TGT TRES</u>
b Name of sponsor of entity listed in (a):	<u>CTF GLOBAL BOND OPPS TGT TRES</u>
c EIN-PN <u>27-2783493-001</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>36383675</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE:	<u>WTC- CTF- GLOBAL OPPORTUNITIES PORT</u>
b Name of sponsor of entity listed in (a):	<u>WELLINGTON TRUST COMPANY, NA</u>
c EIN-PN <u>20-5832863-001</u>	d Entity code <u>C</u>
e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>21688768</u>	

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

a Name of MTIA, CCT, PSA, or 103-12 IE: MCM EB DV EMERGING MKTS SIF

b Name of sponsor of entity listed in (a): MELLON CAPITAL MANAGEMENT CORPORATION

c EIN-PN 25-6078093-000	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 8679033
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a Name of MTIA, CCT, PSA, or 103-12 IE: MCM EB DV INTL SIF

b Name of sponsor of entity listed in (a): MELLON CAPITAL MANAGEMENT CORPORATION

c EIN-PN 25-6078093-034	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 2989182
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a Name of MTIA, CCT, PSA, or 103-12 IE: MCM EB DV STOCK INDEX FUND

b Name of sponsor of entity listed in (a): MELLON CAPITAL MANAGEMENT CORPORATION

c EIN-PN 25-6078093-010	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 38452964
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a Name of MTIA, CCT, PSA, or 103-12 IE: BLACKROCK EMERG MKTS ALPHA TILT FD

b Name of sponsor of entity listed in (a): BLACKROCK INSTITUTIONAL TRUST

c EIN-PN 27-0793907-001	d Entity code C	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) 10664908
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	d Entity code	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
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Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE G (Form 5500) Department of Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>Financial Transaction Schedules</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2016</div> <div>This Form is Open to Public Inspection.</div>
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016	
<div>A Name of plan THE CCHS RETIREMENT PLAN</div>	<div>B Three-digit plan number (PN) ► 001</div>
<div>C Plan sponsor's name as shown on line 2a of Form 5500 THE CLEVELAND CLINIC FOUNDATION</div>	<div>D Employer Identification Number (EIN) 34-0714585</div>

<div>Part I</div>	<div>Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible</div> <div>Complete as many entries as needed to report all loans or fixed income obligations in default or classified as uncollectible. Check box (a) if obligor is known to be a party in interest. Attach Overdue Loan Explanation for each loan listed. See Instructions.</div>
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<div>(a)</div>	<div>(b) Identity and address of obligor</div>	<div>(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items</div>
<div>☐</div>	<div>1839688 ALBERTA ULC 105 WEST STREET SAULT ST MARIE ONTARIO, CANADA P6A7B4 CA</div>	<div>BOND DATED 11/14/14 MATURES 2/13/2020; 14.0% JUNIOR SECURED DEBT/THIRD LIEN</div>

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest
254407			254407	254407	

<div>(a)</div>	<div>(b) Identity and address of obligor</div>	<div>(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items</div>
<div>☐</div>		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

<div>(a)</div>	<div>(b) Identity and address of obligor</div>	<div>(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items</div>
<div>☐</div>		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor		(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items		
☐					
		Amount received during reporting year			Amount overdue
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

Part II Schedule of Leases in Default or Classified as Uncollectible

Complete as many entries as needed to report all leases in default or classified as uncollectible. Check box (a) if lessor or lessee is known to be a party in interest. Attach Overdue Lease Explanation for each lease listed. (See instructions)

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

(a) Identity of party involved	(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value	(d) Purchase price		
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction

SCHEDULE H (Form 5500) <small>Internal Revenue Service</small> <small>Department of Labor</small> <small>Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016		
A Name of plan THE CCHS RETIREMENT PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 THE CLEVELAND CLINIC FOUNDATION	D Employer Identification Number (EIN) 34-0714585	

Part I	Asset and Liability Statement
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1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	0
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions.....	1b(1)	100000000	0
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	3959468	2419724
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit).....	1c(1)	24967175	89667410
(2) U.S. Government securities	1c(2)	301369591	293852135
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other.....	1c(3)(B)	54665988	64817694
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	842958	859839
(B) Common.....	1c(4)(B)	87130028	98284912
(5) Partnership/joint venture interests	1c(5)	234124888	195131133
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans.....	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)	135328863	194376854
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities.....	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	285469284	253692274
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	49986	0
(15) Other	1c(15)	0	0

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	1227908229	1193101975

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	1518113	1978411
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through 1j)	1k	1518113	1978411

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	1226390116	1191123564
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Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		0
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	-14281	
(B) U.S. Government securities	2b(1)(B)	5857652	
(C) Corporate debt instruments	2b(1)(C)	3067152	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	105586	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		9016109
(2) Dividends: (A) Preferred stock	2b(2)(A)	23321	
(B) Common stock	2b(2)(B)	1977947	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	2831779	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		4833047
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	279975635	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	269457641	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		10517994
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)	12545399	
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		12545399

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		11172554
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds).....	2b(10)		-262803
c Other income.....	2c		1684078
d Total income. Add all income amounts in column (b) and enter total.....	2d		49506378

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	75041204	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3).....	2e(4)		75041204
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense.....	2h		
i Administrative expenses: (1) Professional fees.....	2i(1)		
(2) Contract administrator fees.....	2i(2)	2983800	
(3) Investment advisory and management fees.....	2i(3)	1790533	
(4) Other	2i(4)	4957393	
(5) Total administrative expenses. Add lines 2i(1) through (4).....	2i(5)		9731726
j Total expenses. Add all expense amounts in column (b) and enter total	2j		84772930

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-35266552
l Transfers of assets:			
(1) To this plan.....	2l(1)		
(2) From this plan.....	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: **MAYER HOFFMAN MCCANN P.C.**

(2) EIN: **43-1947695**

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.).....

b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)

	Yes	No	Amount
4a		X	
4b	X		254407

		Yes	No	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e Was this plan covered by a fidelity bond?	4e	X		25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l Has the plan failed to provide any benefit when due under the plan?	4l		X	
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			
o Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ☒ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year 4016617. (See instructions.)

Part V Trust Information

6a Name of trust	6b Trust's EIN
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110 2016 This Form is Open to Public Inspection.
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan <u>THE CCHS RETIREMENT PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>THE CLEVELAND CLINIC FOUNDATION</u>	D Employer Identification Number (EIN) <u>34-0714585</u>

Part I	Distributions
---------------	----------------------

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>25-1901596</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year	3	<u>1440</u>

Part II	Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)
----------------	---

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☐ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount)	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III	Amendments
-----------------	-------------------

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.....	☐ Increase	☐ Decrease	☒ Both	☐ No
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Part IV	ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.
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10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Schedule R (Form 5500) 2016
v. 160205

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:	
a	The current year	14a
b	The plan year immediately preceding the current plan year	14b
c	The second preceding plan year	14c
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:	
a	The corresponding number for the plan year immediately preceding the current plan year	15a
b	The corresponding number for the second preceding plan year	15b
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:	
a	Enter the number of employers who withdrew during the preceding plan year	16a
b	If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐	

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment	☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)	
a	Enter the percentage of plan assets held as: Stock: <u>29.1</u> % Investment-Grade Debt: <u>43.8</u> % High-Yield Debt: <u>3.2</u> % Real Estate: _____% Other: <u>23.9</u> %	
b	Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☒ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more	
c	What duration measure was used to calculate line 19(b)? ☐ Effective duration ☐ Macaulay duration ☒ Modified duration ☐ Other (specify): _____	

Part VII IRS Compliance Questions

20a	Is the plan a 401(k) plan? If "No," skip b	☐ Yes	☐ No
20b	How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor	☐ "Prior year" ADP test
		☐ "Current year" ADP test	☐ N/A
21a	What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test	☐ Average benefit test ☐ N/A
21b	Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes	☐ No
22a	If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter ____ / ____ / ____ and the serial number ____.		
22b	If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter ____ / ____ / ____.		



THE CCHS RETIREMENT PLAN

FINANCIAL STATEMENTS

Years Ended December 31, 2016 and 2015





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INDEPENDENT AUDITORS' REPORT

To the Plan Administrator

THE CCHS RETIREMENT PLAN

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of The CCHS Retirement Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's ("DOL") Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 ("ERISA"), the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by The Bank of New York Mellon / BNY Mellon, N.A., the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of December 31, 2016 and 2015 and for the years then ended, that the information provided to the plan administrator by the trustee is complete and accurate.



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Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedules of assets (held at end of year), assets (acquired and disposed of within year), reportable transactions, and loans or fixed income obligations in default or classified as uncollectible as of or for the year ended December 31, 2016 are required by the DOL's Rules and Regulations for Reporting and Disclosure under ERISA and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under ERISA.

Mayer Hoffman McCann P.C.

Cleveland, Ohio
October 2, 2017

THE CCHS RETIREMENT PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS

December 31, 2016 and 2015

	<u>2016</u>	<u>2015</u>
<u>ASSETS</u>		
Investments at fair value		
Cash and cash equivalents	\$ 89,667,410	\$ 24,967,175
Corporate bonds and notes	64,817,694	54,665,988
U.S. government and related securities	293,852,135	301,369,591
Common stocks	98,284,912	87,130,028
Preferred stocks	859,839	842,958
Registered investment companies	253,692,274	285,469,284
Common collective trusts	194,376,854	135,328,863
Alternative investments	195,131,133	234,174,874
	<u>1,190,682,251</u>	<u>1,123,948,761</u>
Receivables		
Employer contributions	-	100,000,000
Accrued interest and dividends	2,154,993	2,062,226
Due from broker	264,731	1,897,242
	<u>2,419,724</u>	<u>103,959,468</u>
TOTAL ASSETS	<u>1,193,101,975</u>	<u>1,227,908,229</u>
<u>LIABILITIES</u>		
Accrued expenses	1,631,741	844,158
Due to broker	346,670	673,955
TOTAL LIABILITIES	<u>1,978,411</u>	<u>1,518,113</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 1,191,123,564</u>	<u>\$ 1,226,390,116</u>

See Notes to Financial Statements

THE CCHS RETIREMENT PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS

Years Ended December 31, 2016 and 2015

	<u>2016</u>	<u>2015</u>
Net appreciation (depreciation) in fair value of investments	\$ 34,802,683	\$ (12,248,523)
Interest	9,162,634	9,599,867
Dividends	5,541,061	6,091,164
Employer contributions	<u>-</u>	<u>100,000,000</u>
 TOTAL ADDITIONS	 <u>49,506,378</u>	 <u>103,442,508</u>
 Benefits paid to participants	 75,041,204	 79,722,832
Administrative expenses	<u>9,731,726</u>	<u>10,618,065</u>
 TOTAL DEDUCTIONS	 <u>84,772,930</u>	 <u>90,340,897</u>
 NET INCREASE (DECREASE)	 (35,266,552)	 13,101,611
 NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	<u>1,226,390,116</u>	<u>1,213,288,505</u>
 End of year	 <u>\$ 1,191,123,564</u>	 <u>\$ 1,226,390,116</u>

See Notes to Financial Statements

THE CCHS RETIREMENT PLAN
NOTES TO FINANCIAL STATEMENTS

(1) Description of Plan

The following description of The CCHS Retirement Plan (Plan) provides only general information. Participants should refer to the Plan document or the Summary Plan Description for a more complete description of the Plan's provisions. Additionally, key information about the Plan is contained in the brochure, *CCHS Retirement Plan*. Copies of these documents are available from the Total Rewards Department at The Cleveland Clinic Foundation (Foundation).

General - The Plan is a noncontributory cash balance defined benefit plan sponsored by the Foundation and certain of its controlled affiliates (System) and provides for retirement and death benefits for such employees. Participants are eligible for normal retirement payments upon reaching age 65. The amount of individual pensions is based upon several factors including, among other items, compensation, years of service and age. The Plan also provides for the payment of early retirement benefits, as well as the payment of benefits to the surviving spouse of a participant. Benefits are fully vested after three years of service for employees active as of or hired on or after January 1, 2007. Employees that terminated prior to January 1, 2007, were vested after five years of service if hired on or after January 1, 1987, and after two years of service if hired prior to January 1, 1987. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

The Plan ceased benefit accruals as of December 31, 2009 for substantially all employees. Benefit accruals for remaining employees ceased at various intervals through December 31, 2012. Vested benefits earned through these dates will be available for distribution in accordance with the Plan.

The Plan contains a cash balance component for which amounts were credited by the System to the participants' hypothetical accounts prior to the cessation of benefit accruals in 2009. Interest will continue to accrue on these participants' hypothetical accounts in the cash balance component of the Plan. The interest rate is either 5% or 6% based on the participants' date of hire.

In 2014, the Plan was amended to allow participants with deferred vested benefits to commence payment of benefits prior to age 55. The amendment was effective as of January 1, 2015.

The Foundation is the plan administrator (Plan Administrator) and, by action of its Board, has established a Retirement Committee to act on its behalf as the Plan Administrator. The Retirement Committee has overall responsibility for the operation and administration of the Plan, including actions with respect to contracts, trusts, investment of Plan assets and other Plan matters as required.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(2) Summary of significant accounting policies

Basis of accounting - The financial statements of the Plan are prepared under the accrual method of accounting.

Use of estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect reported amounts of assets and liabilities and changes therein, disclosures of contingent assets and liabilities, and the actuarial present value of accumulated plan benefits at the date of the financial statements. Accordingly, actual results may differ from those estimates.

Payment of benefits - Benefits are recorded when paid.

Administrative expenses - The Plan's expenses are paid either by the Plan or the System, as provided by the Plan document. Expenses that are paid directly by the System are excluded from these financial statements. Certain expenses incurred in connection with the general administration of the Plan that are paid by the Plan are recorded as deductions in the accompanying statements of changes in net assets available for benefits. In addition, certain investment related expenses reduce net appreciation (depreciation) in fair value of investments presented in the accompanying statements of changes in net assets available for benefits.

Investment valuation and income recognition - Investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The Plan Administrator determines the Plan's valuation policies utilizing information provided by the investment trustee. See Note 5 for discussions of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest is accrued when earned. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Privately held registered investment company funds, common collective trusts and alternative investments are valued using, as a practical expedient, the Net Asset Value (NAV) per share as provided by the respective investment companies, partnerships or third-party fund administrators.

Actuarial Present Value of Accumulated Plan Benefits - Accumulated plan benefits (see Note 6) are those future periodic payments, including lump-sum, that are attributable under the Plan's provisions to services rendered by the employees to the valuation date. Accumulated plan benefits include benefits expected to be paid to (a) retired or terminated employees or their beneficiaries, and (b) present employees or their beneficiaries. Benefits are based on plan provisions in effect at the time of the employee's service, and consider compensation, age, and years of service. Benefits payable under all circumstances – retirement, death, disability, and termination of employment – are included, to the extent they are deemed attributable to employee service rendered to the valuation date.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(2) **Summary of significant accounting policies (continued)**

New Accounting Pronouncements - In August 2014, the Financial Accounting Standards Board issued Accounting Standards Update (ASU) 2014-15, *Presentation of Financial Statements—Going Concern (Subtopic 205-40): Disclosure of Uncertainties about an Entity's Ability to Continue as a Going Concern*, which requires the Plan's management to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the plan's ability to continue as a going concern within one year after the date that the financial statements are issued. This update is effective for annual periods ending after December 15, 2016. The Plan adopted ASU 2014-15 in 2016. The adoption of this standard had no impact on the financial statements.

(3) **Information prepared and certified by the trustee**

The plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, The Bank of New York Mellon/BNY Mellon, N.A., the trustee of the Plan, certified that the following data included in the accompanying financial statements and supplemental schedules is complete and accurate with respect to investments:

- Investments, at fair value
- Net appreciation (depreciation) in fair value of investments
- Interest and dividends
- Schedule of assets (held at end of year)
- Schedule of assets (acquired and disposed of within year)
- Schedule of reportable transactions
- Schedule of loans or fixed income obligations in default or classified as uncollectible

The Plan's independent public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedules.

(4) **Collateralized investments**

The Plan posted collateral totaling \$4,155,920 at December 31, 2015, with a third-party investment firm. There was no collateral posted at December 31, 2016. The collateral was restricted to support certain investment activity managed by that firm. The collateral is included in U.S. government securities on the accompanying 2015 statement of net assets available for benefits.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(5) Fair value measurements

Authoritative guidance provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy are described below:

- Level 1 - Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.
- Level 2 - Inputs to the valuation methodology include quoted prices for similar assets and liabilities in active markets; quoted prices for identical or similar assets and liabilities in inactive markets; inputs other than quoted market prices that are observable for the asset or liability; and inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3 - Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The financial instrument's level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following tables set forth by level, within the fair value hierarchy, the Plan's investments which are measured at fair value on a recurring basis:

	Investments at Fair Value as of December 31, 2016			
	Level 1	Level 2	Level 3	Total
Cash and cash equivalents	\$ 89,665,026	\$ 2,384	\$ -	\$ 89,667,410
Fixed income securities:				
Corporate bonds and notes	-	64,817,694	-	64,817,694
U.S. government and related securities	289,658,366	4,193,769	-	293,852,135
Common and preferred stocks	98,004,868	1,139,883	-	99,144,751
Registered investment companies	58,552,058	-	-	58,552,058
Investments measured at fair value	<u>\$ 535,880,318</u>	<u>\$ 70,153,730</u>	<u>\$ -</u>	<u>\$ 606,034,048</u>

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(5) Fair value measurements (continued)

	Investments at Fair Value as of December 31, 2015			
	Level 1	Level 2	Level 3	Total
Cash and cash equivalents	\$ 24,958,303	\$ 8,872	\$ -	\$ 24,967,175
Fixed income securities:				
Corporate bonds and notes	-	54,665,988	-	54,665,988
U.S. government and related securities	296,139,673	5,229,918	-	301,369,591
Common and preferred stocks	86,936,450	1,036,536	-	87,972,986
Registered investment companies	57,208,976	-	-	57,208,976
Investments measured at fair value	<u>\$ 465,243,402</u>	<u>\$ 60,941,314</u>	<u>\$ -</u>	<u>\$ 526,184,716</u>

Total investments at fair value at December 31, 2016 and 2015 are comprised of the following:

	2016	2015
Investments measured at fair value	\$ 606,034,048	\$ 526,184,716
Investments measured at net asset value ^(a)		
Privately held registered investment company funds	195,140,216	228,260,308
Common collective trust funds	194,376,854	135,328,863
Alternative investments:		
Hedge funds	165,874,082	203,256,813
Private equity funds	29,257,051	30,918,061
Total investments at fair value	<u>\$ 1,190,682,251</u>	<u>\$ 1,123,948,761</u>

(a) In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share (or its equivalent) have not been classified in the fair value hierarchy. The amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of net assets available for benefits.

Fair value for Level 1 investments is based upon quoted market prices. Level 1 investments are actively-traded, liquid securities that are traded on many of the world's major exchanges, and fair value is determined at the closing prices on the respective exchange or market. Level 1 investments in registered investment companies are open-end mutual funds that are registered with the Securities and Exchange Commission, and are required to publish and transact at their daily NAV.

Fair value for Level 2 investments for fixed income securities and common and preferred stocks is primarily determined using techniques that are consistent with the market approach. Valuations are based on quoted prices for similar instruments in active markets, quoted prices for identical or similar instruments in markets that are not active and model-based valuation techniques for which all significant assumptions are observable in the market or can be corroborated by observable market data for substantially the full term of the assets. Inputs include broker/dealer quotes, reported/comparable trades, and benchmark yields. These inputs are obtained from various sources including market participants, dealers, and brokers.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(5) Fair value measurements (continued)

Hedge funds generally contain lock-up provisions that do not allow for redemption of investments for up to 2 years after acquisition. Following the lock-up period, the funds can generally be redeemed providing there are no restrictions on the underlying securities. Redemptions are determined by the fund's management and have redemption frequencies ranging from monthly to annually which require redemption notifications that range from 5 to 120 days.

Private equity funds do not have redemption rights. Distribution from such funds will be received as the underlying investments in the fund are liquidated. It is estimated that the investments in these funds would be liquidated over a weighted average period of approximately 4 years. The Plan had unfunded commitments in these funds totaling \$13,751,590 as of December 31, 2016.

(6) Actuarial present value of accumulated plan benefits

The actuarial present value of accumulated plan benefits is determined by the Plan's actuary and is the amount that results from applying actuarial assumptions to adjust the accumulated plan benefits earned by the participants to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements such as for death, disability, withdrawal or retirement) between the valuation date and the expected date of payment. The actuarial present value of accumulated plan benefits is presented based on a beginning-of-year benefit information date.

The significant assumptions underlying the actuarial computations are as follows:

Long-term rate of return	6.50% per year
Mortality	IRS 2016 prescribed mortality (RP2014) with separate tables for healthy preretirement and postretirement participants and for disabled participants
Retirement	Varies, with average retirement age of 64.

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. Were the Plan to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated plan benefits.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(6) Actuarial present value of accumulated plan benefits (continued)

A summary of the actuarial present value of accumulated plan benefits as of January 1, 2016 is as follows:

Vested benefits	
Participants currently receiving payments	\$ 231,254,780
Other participants	<u>849,352,253</u>
Total vested benefits	1,080,607,033
Nonvested benefits	<u>14,966,954</u>
Total actuarial present value of accumulated plan benefits	<u><u>\$ 1,095,573,987</u></u>

The change in the actuarial present value of accumulated plan benefits for the year ending January 1, 2016 consists of the following:

Actuarial present value of accumulated plan benefits at January 1, 2015	\$ 1,058,273,334
Change in actuarial assumptions	41,008,097
Actuarial losses	1,935,925
Increase due to passage of time	74,079,463
Plan amendments	-
Decrease due to benefits paid	<u>(79,722,832)</u>
Actuarial present value of accumulated plan benefits at January 1, 2016	<u><u>\$ 1,095,573,987</u></u>

(7) Funding policy

The System has voluntarily agreed to contribute such amounts as are necessary to provide assets sufficient to meet the benefits to be paid to plan participants. The contributions of the System are made in amounts sufficient to fund the Plan's current service cost on a current basis and to fund past service cost plus interest thereon over a period of 30 years. The System may elect to increase its contributions above the minimum amount required by ERISA. The Plan has met the ERISA minimum funding requirements in 2016 and 2015.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(8) Related party transactions and party-in-interest transactions

Fees for legal, accounting and other services are paid by the System. Administrative and trustee fees are paid by the Plan. Other party-in-interest transactions include the purchase and sale of investments through the trustee. Such transactions are exempt from being prohibited transactions. Certain fees incurred by the Plan for the investment management services are included in net appreciation (depreciation) in fair value of the investments, as they are deducted from the NAV of the respective investment, rather than through a direct payment.

(9) Plan termination

Although it has not expressed any intention to do so, the System has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation (PBGC) if the Plan terminates. Generally, the PBGC guarantees most vested normal age retirement benefits, early retirement benefits and certain disability and survivor's pensions. However, the PBGC may not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling on the amount of an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency of the Plan's net assets to provide those benefits, the financial condition of the System, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

(10) Tax status

The Plan received a determination letter from the Internal Revenue Service (IRS) dated April 29, 2015, stating that the Plan is qualified under Section 401(a) of the Internal Revenue Code (Code) and, therefore, the related trust is exempt from taxation. The Plan has been amended since receiving the determination letter and the Plan Administrator believes that the Plan and the related trust continue to be operated in compliance with the applicable requirements of the Code.

THE CCHS RETIREMENT PLAN

NOTES TO FINANCIAL STATEMENTS

(10) **Tax status (continued)**

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

(11) **Risks and uncertainties**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect the amounts reported in the statements of net assets available for benefits.

Plan contributions are made and the actuarial present value of accumulated plan benefits are reported based on certain assumptions pertaining to interest rates, inflation rates and employee demographics, all of which are subject to change. Due to uncertainties inherent in the estimation and assumption process, it is at least reasonably possible that changes in these estimates and assumptions in the near term could be material to the financial statements.

(12) **Subsequent events**

The Plan has evaluated events that have occurred subsequent to the year ended December 31, 2016 through October 2, 2017, which is the date the financial statements were available to be issued. No significant matters were identified for disclosure during this evaluation.

The CCHS Retirement Plan
EIN: 34-0714585 PN: 001
2016 Form 5500
Schedule SB, line 26 - Schedule of Active Participant Data

		Years of Credited Service									
Attained Age		Under 1	1-4	5-9	10-14	15-19	20-24	25-29	30-34	35-39	40 & up
Under 25	Number										
	Average Pay										
	Average CB										
25-29	Number	159	356	6							
	Average Pay	53,949	49,618								
	Average CB	478	1,296								
30-34	Number	226	1,413	317	2						
	Average Pay	58,163	56,236	54,603							
	Average CB	764	3,218	7,651							
35-39	Number	155	1,066	916	139	2					
	Average Pay	63,609	61,748	62,659	55,718						
	Average CB	795	3,930	12,326	17,119						
40-44	Number	131	911	853	650	125					
	Average Pay	61,922	63,828	64,254	69,616	69,807					
	Average CB	916	4,346	14,041	24,275	31,131					
45-49	Number	106	858	848	715	490	87				
	Average Pay	68,030	60,933	64,250	69,217	77,701	63,831				
	Average CB	927	4,198	15,930	26,218	41,333	46,270				
50-54	Number	90	745	844	703	465	446	111	12		
	Average Pay	67,298	60,799	64,378	69,702	74,020	76,360	73,746			
	Average CB	900	4,392	17,794	30,651	40,748	59,217	77,590			
55-59	Number	73	700	879	731	460	443	423	171	6	
	Average Pay	55,453	62,629	60,895	67,951	71,225	74,988	77,382	73,105		
	Average CB	760	4,706	19,569	33,408	41,131	63,391	78,386	102,766		
60-64	Number	39	457	593	488	331	277	252	253	82	
	Average Pay	50,130	62,240	64,441	68,747	67,614	75,419	83,159	75,344	74,194	
	Average CB	645	4,354	24,095	38,973	42,012	58,595	69,930	102,051	135,485	
65-69	Number	16	150	162	106	82	51	52	41	35	4
	Average Pay		57,288	61,371	72,891	59,454	63,093	82,783	72,714	78,227	
	Average CB		4,448	29,760	44,136	50,527	67,719	102,643	109,521	122,778	
70 & up	Number	2	30	43	22	22	14	6	6	11	4
	Average Pay		41,285	45,937	77,753	54,199					
	Average CB		3,944	21,364	36,633	43,281					

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V

Statement of Actuarial Assumptions and Methods

Economic Assumptions

Interest rate basis

- Applicable month September 2015
- Interest rate basis Segment Rates

Interest rates

	Reflecting Corridors	Not Reflecting Corridors
■ First segment rate	4.43%	1.34%
■ Second segment rate	5.91%	4.03%
■ Third segment rate	6.65%	5.06%
■ Effective interest rate	6.00%	4.13%

Annual rates of increase

- Compensation N/A
- Future Social Security wage bases N/A
- Statutory limits on compensation N/A
- Cash balance crediting rate¹ 6.00%
- Lump sum interest rate September 2015 segment rates

Plan-related expenses

\$11,312,075

¹ 5.00% for participants with the CCHS Cash Balance Formula hired on or after May 1, 2004.

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Plan Sponsor: Cleveland Clinic
Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Demographic Assumptions

Inclusion date The valuation date coincident with or next following the date on which the employee becomes a participant.

New or rehired employees It was assumed there will be no new or rehired employees.

Mortality

■ **Healthy** Separate rates for non-annuitants (based on RP-2000 “Employees” table without collar or amount adjustments, projected to 2031 using Scale AA) and annuitants (based on RP-2000 “Healthy Annuitants” table without collar or amount adjustments, projected to 2023 using Scale AA).

■ **Disabled** RP-2000 “Healthy Annuitants” table without collar or amount adjustments, projected to 2023 using Scale AA.

Termination Rates varying by age and service.

Representative Rates

Years of service	Age 25	Age 35	Age 45	Age 55
0 – 3	0.199	0.180	0.143	0.000
3 – 5	0.164	0.130	0.113	0.000
5 – 10	0.126	0.086	0.079	0.000
Over 10	0.000	0.049	0.038	0.000

Disability Rates varying by age and gender.

Representative Rates

	Age 25	Age 35	Age 45	Age 55
Male	0.0003	0.0004	0.0016	0.0069
Female	0.0003	0.0007	0.0024	0.0064

Plan Name: The CCHS Retirement Plan
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 Plan Sponsor: Cleveland Clinic
 Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Retirement

Rates varying by age

Representative Rates

Age	Rate
55 – 61	5%
62 – 64	15%
65 – 69	20%
70 – 74	25%
Over 75	100%
Average retirement age	64

- **Preretirement death benefit** The later of the death of the active participant or the date the participant would have attained age 55.
- **Deferred vested benefit** 50% upon termination of employment and 50% at normal retirement.
- **Disability benefit** Lakewood Hospital Association: upon disablement.
All Others: the later of age 65 or disablement.
- **Retirement benefit** Upon termination of employment.
- Form of payment** Retirement: 85% single lump sum distribution, 15% straight life annuity (or 50% joint and survivor for married Medina Hospital caregivers).
Termination: 50% single lump sum distribution assumed upon termination, 42.5% single lump sum distribution at normal retirement and 7.5% straight life annuity at normal retirement (or 50% joint and survivor for married Medina Hospital caregivers).
- Percent married** 85% for plans with a final average earnings formula; 100% for plans with a cash balance formula.
- Spouse age** Wives are assumed to be three years younger than husbands.
- Covered pay** Not applicable.
- Administrative expenses** Return on asset assumption is net of expense paid by the trust; \$11,312,075 is included in the target normal cost for plan year 2016.
- Timing of benefit payments** Annuity payments are payable monthly at the beginning of the month and lump sum payments are payable on date of decrement.

Plan Name: The CCHS Retirement Plan
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 Plan Sponsor: Cleveland Clinic
 Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Methods

Valuation date	First day of plan year.
Funding target	Present value of accrued benefits as required by regulations under IRC §430.
Target normal cost	Present value of benefits expected to accrue during the plan year plus plan-related expenses expected to be paid from plan assets during the plan year as required by regulations under IRC §430.
Actuarial value of assets	The actuarial value of assets is equal to the market value of assets as of the valuation date plus the discounted present value of contributions made after the valuation date for the prior plan year, discounted using the effective interest rate for the prior plan year.
Benefits not valued	Benefits for employees who have terminated without vested rights but may be rehired in time to have benefits restored were not valued, nor was the preretirement survivor annuity for terminated vested participants explicitly valued.

Assumptions Rationale - Significant Economic Assumptions

Discount rate	The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market data over certain periods of time.
Cash balance interest crediting rate	<p>The CCHS Retirement Plan credits interest to cash balance accounts using the one-year T-bill rate, but with a minimum interest credit rate of 6.00% for ABP and CCHS participants hired prior to May 1, 2004 and a minimum interest credit rate of 5.00% for CCHS participants hired on or after May 1, 2004. Due to the expected one-year T-bill rate environment, rates of 6.00% and 5.00%, respectively, were selected by the plan sponsor. After examining historical variability in this rate, and considering the increase in interest crediting expected to be caused by the minimum interest credit, we believe that the selected assumption does not significantly conflict with what would be reasonable based on a combination of market conditions at the measurement date and future expectations consistent with other economic assumptions used.</p> <p>The Lakewood portion of the plan credits interest to cash balance accounts using a fixed interest credit rate of 6.00%.</p>

Plan Name: The CCHS Retirement Plan
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Plan Sponsor: Cleveland Clinic
Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Lump sum conversion rate	As required by IRC §430, lump sum benefits are valued using “annuity substitution,” so that the interest rates assumed are effectively the same as described above for the discount rate.
Annuity conversion rate for hybrid plans	As required by IRC §430, annuity benefits are valued by converting accounts to annuities using the current IRC §430 interest rates, so that the interest rates assumed are effectively the same as described above for the discount rate.

Assumptions Rationale - Significant Demographic Assumptions

Healthy Mortality	Assumptions used for funding purposes are as prescribed by IRC §430(h).
Disabled Mortality	Assumptions used for funding purposes are as prescribed by IRC §430(h).
Termination	Termination rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future. Assumed termination rates differ by age and service because of observed differences in termination rates by service.
Disability	Disability rates were based on a published table for pension participants believed to have reasonably similar characteristics participating in pension plans with similar disability provisions. Assumed disability rates differ by gender because of expected differences in disability rates by gender.
Retirement	Retirement rates were based on an experience study conducted in 2012, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future.
Benefit commencement date for deferred benefits	
■ Preretirement death benefit	Surviving spouses are assumed to begin benefits at the earliest permitted commencement date because ERISA requires benefits to start then unless the spouse elects to defer. If the spouse elects to defer, actuarial increases from the earliest commencement date must be given, so that a later commencement date is expected to be of approximately equal value, and experience indicates that most spouses do take the benefit as soon as it is available.
■ Deferred vested benefit	Deferred vested participants are assumed to begin benefits at age 65 (or current age if later). Deferred vested early commencement factors are not subsidized so that the difference between this approach and using assumed commencement rates at earlier ages is not expected to be significant.

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SCHEDULE SB ATTACHMENTS

Form of payment	The percentage of retiring participants assumed to take lump sums is based on a best estimate of future experience.
Percent married	The assumed percentage married is based on general population statistics on the marital status of individuals of retirement age.
Spouse age	The assumed age difference for spouses is based on general population statistics of the age difference for married individuals of retirement age.

Source of Prescribed Methods

Funding methods	The methods used for funding purposes as described in Appendix A, including the method of determining plan assets, are "prescribed methods set by law," as defined in the actuarial standards of practice (ASOPs). These methods are required by IRC §430, or were selected by the plan sponsor from a range of methods permitted by IRC §430.
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Changes in Assumptions and Methods

Change in assumptions since prior valuation	<p>The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC §430.</p> <p>The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC §430.</p> <p>The assumed plan-related expenses added to the target normal cost were changed from \$9,618,599 for the prior valuation to \$11,312,075 for the current valuation to account for higher expected expenses to be paid from the trust.</p>
Change in methods since prior valuation	There have been no changes in methods since the prior valuation.

Plan Name: The CCHS Retirement Plan
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Plan Sponsor: Cleveland Clinic
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THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4j – SCHEDULE OF REPORTABLE TRANSACTIONS

Year Ended December 31, 2016

EIN: 34-0714585

Plan Number: 001

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
Identity of party involved	Description of asset	Purchase price	Selling price	Lease rental	Expense incurred with transaction	Cost of asset	Current value of asset on transaction date	Net gain or loss
Category (i) - Single transactions in excess of 5% of Plan assets								
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	\$ 100,000,000	N/A	\$ -	\$ -	\$ 100,000,000	\$ 100,000,000	N/A
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	\$ 73,000,000	N/A	\$ -	\$ -	\$ 73,000,000	\$ 73,000,000	N/A
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	\$ 75,135,669	N/A	\$ -	\$ -	\$ 75,135,669	\$ 75,135,669	N/A
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	N/A	\$ 73,000,000	\$ -	\$ -	\$ 73,000,000	\$ 73,000,000	\$ -
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	N/A	\$ 72,731,689	\$ -	\$ -	\$ 72,731,689	\$ 72,731,689	\$ -
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	N/A	\$ 75,135,669	\$ -	\$ -	\$ 75,135,669	\$ 75,135,669	\$ -
Category (iii) - Series of transactions in excess of 5% of Plan assets								
Mellon Trust	DREYFUS GOVT CASH MGMT FUND	\$ 587,757,242	N/A	\$ -	\$ -	\$ 587,757,242	\$ 587,757,242	N/A
	1,189 purchases, 868 sales	N/A	\$ 522,805,405	\$ -	\$ -	\$ 522,805,405	\$ 522,805,405	\$ -

There were no category (ii) or (iv) reportable transactions during 2016.

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	<small>OMB No. 1210-0110</small> 2016 This Form is Open to Public Inspection
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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

► **Round off amounts to nearest dollar.**

► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan The CCHS Retirement Plan	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF The Cleveland Clinic Foundation	D Employer Identification Number (EIN) 34-0714585	
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B		
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500		

Part I Basic Information			
1	Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2016</u>		
2	Assets:		
	a Market value.....	2a	1,222,382,427
	b Actuarial value	2b	1,222,382,427
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target
	a For retired participants and beneficiaries receiving payment	5,278	231,116,621
	b For terminated vested participants	8,735	237,264,596
	c For active participants	21,464	631,233,809
	d Total	35,477	1,099,615,026
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐		
	a Funding target disregarding prescribed at-risk assumptions	4a	
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b	
5	Effective interest rate	5	6.00%
6	Target normal cost	6	11,312,075

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	<div style="text-align: center;"> David M Hauer Signature of actuary </div>	<div style="text-align: center;"> SEPTEMBER 21, 2017 Date 1706576 Most recent enrollment number 216-937-4000 Telephone number (including area code) </div>
<div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> Type or print name of actuary Towers Watson Delaware Inc. Firm name 1001 Lakeside Avenue, Suite 1500 Cleveland OH 44114-1172 Address of the firm </div> <div style="width: 35%;"></div> </div>		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

For Paperwork Reduction Act Notice, see the Instructions for Form 5500 or 5500-SF.

Schedule SB (Form 5500) 2016
v. 160205

Part II Beginning of Year Carryover and Prefunding Balances		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	0	0
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year).....	0	0
9	Amount remaining (line 7 minus line 8).....	0	0
10	Interest on line 9 using prior year's actual return of <u>0.29%</u>	0	0
11	Prior year's excess contributions to be added to prefunding balance:		
	a Present value of excess contributions (line 38a from prior year).....		90,279,329
	b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.20%</u>		5,597,318
	b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return.....		0
	c Total available at beginning of current plan year to add to prefunding balance.....		95,876,647
	d Portion of (c) to be added to prefunding balance.....		0
12	Other reductions in balances due to elections or deemed elections.....	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d - line 12).....	0	0

Part III Funding Percentages			
14	Funding target attainment percentage.....	14	109.38%
15	Adjusted funding target attainment percentage.....	15	109.38%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	110.12%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.....	17	%

Part IV Contributions and Liquidity Shortfalls					
18 Contributions made to the plan for the plan year by employer(s) and employees:					
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees
Totals ►			18(b)	0	18(c) 0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date.....	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	0

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☐ Yes ☒ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☐ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year

(1) 1st	(2) 2nd	(3) 3rd	(4) 4th

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 4.43 %	2nd segment: 5.91 %	3rd segment: 6.65 %
			☐ N/A, full yield curve used
b Applicable month (enter code)			21b 4
22 Weighted average retirement age			22 64
23 Mortality table(s) (see instructions)	☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute		

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	11,312,075	
b Excess assets, if applicable, but not greater than line 31a	31b	11,312,075	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	0	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)	34	0	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	0	0
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	0	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	0	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	0	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☐ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☐ 2009 ☐ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42	0	
43 Excess installment acceleration amount to be carried over to future plan years	43	0	

SCHEDULE SB ATTACHMENTS

Schedule SB, Line 22 Description of Weighted Average Retirement Age as of January 1, 2016

See Appendix A for retirement rates. The average retirement age for Line 22 was calculated by determining the average age at retirement for those current active participants expected to reach retirement, based on all current decrements assumed.

<i>Retirement Age (1)</i>	<i>Assumed Rate (2)</i>	<i>Participants At Beginning (3)</i>	<i>Participants Retiring: (2)x(3) (4)</i>	<i>Weighted Value (1)*(4) (5)</i>
55	5%	1,000	50	2,750
56	5%	950	48	2,660
57	5%	903	45	2,572
58	5%	857	43	2,486
59	5%	815	41	2,403
60	5%	774	39	2,321
61	5%	735	37	2,242
62	15%	698	105	6,495
63	15%	594	89	5,610
64	15%	505	76	4,844
65	20%	429	86	5,575
66	20%	343	69	4,529
67	20%	274	55	3,678
68	20%	220	44	2,987
69	20%	176	35	2,424
70	25%	141	35	2,459
71	25%	105	26	1,871
72	25%	79	20	1,423
73	25%	59	15	1,082
74	25%	44	11	823
75	100%	33	33	2,501
			1,000	63,733

63,733 / 1,000 = 64 Average Retirement Age

Plan Name: The CCHS Retirement Plan
 EIN / PN: 34-0714585 / 001
 Plan Sponsor: Cleveland Clinic
 Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Schedule SB – Statement by Enrolled Actuary

Plan Sponsor	Cleveland Clinic
EIN/PN	34-0714585/001
Plan Name	The CCHS Retirement Plan
Valuation Date	January 1, 2016
Enrolled Actuary	David Hauer
Enrollment Number	17-06576

The actuarial assumptions that are not mandated by IRC § 430 and regulations, represent the enrolled actuary's best estimate of anticipated experience under the plan, subject to the following conditions:

The actuarial valuation, on which the information in this Schedule SB is based, has been prepared in reliance upon the employee and financial data furnished by the plan administrator and the trustee. The enrolled actuary has not made a rigorous check of the accuracy of this information but has accepted it after reviewing it and concluding it is reasonable in relation to similar information furnished in previous years. The amounts of contributions and dates paid shown in Item 18 of Schedule SB were listed in reliance on information provided by the plan administrator and/or trustee.

Plan Name:	The CCHS Retirement Plan
EIN / PN:	34-0714585 / 001
Plan Sponsor:	Cleveland Clinic
Valuation Date:	January 1, 2016

SCHEDULE SB ATTACHMENTS

Schedule SB, Part V Summary of Plan Provisions

Plan Provisions

The most recent amendment reflected in the following plan provisions was adopted on December 15, 2014, and effective January 1, 2015, or earlier for certain provisions.

Plan name	The CCHS Retirement Plan
Covered employees	<p>Regular participants of Cleveland Clinic main campus and affiliated hospitals (Children's Rehabilitation, Fairview, Lakewood, Marymount, Medina and Meridia); including those on long-term disability but excluding:</p> <ul style="list-style-type: none">— Students— Members of the Staff— Key Administrative Staff— Project Staff— Associate Staff— Resident Physicians— Clinical Fellows (types A and B)— Clinical Associates— Research Associates— Senior Exempt/Management Employees— Clergy paid by non-Cleveland Clinic source

The abbreviated names for the benefit formulas are as follows:

CCHS	The CCHS Retirement Plan
<i>Prior Plans:</i>	
ABP	The Account Balance Plan (including Children's Rehabilitation)
Fairview	The Fairview Health System Retirement Plan
Lakewood	The Lakewood Hospital Primary Pension Plan
Marymount	The Marymount Hospital–Greater Cleveland Hospital Association Retirement Plan
Medina	The Medina General Hospital Pension Plan
Meridia	The Meridia Health System Retirement Plan

Plan Name: The CCHS Retirement Plan
EIN / PN: 34-0714585 / 001
Plan Sponsor: Cleveland Clinic
Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Definitions

Type of formula	<i>Formulas</i>	<i>Type</i>		
	CCHS, ABP, Lakewood	Cash Balance		
	Fairview, Marymount, Medina, Meridia	Final Average Earnings		
Participation date	<i>Formulas</i>	<i>Age</i>	<i>Service Date</i>	
	CCHS, ABP	21	0	First of the month coincident with or following.
	Fairview, Lakewood, Marymount, Medina, Meridia	21	1	First of the month coincident with or following.
Normal retirement date (NRD)	<i>Formulas</i>	<i>Age</i>	<i>Service Date</i>	
	CCHS, ABP	65	0	First of the month coincident with or following.
	Fairview, Lakewood, Marymount, Medina, Meridia	65	5	First of the month coincident with or following.
Early retirement date (ERD)	<i>Formulas</i>	<i>Age</i>	<i>Service Date</i>	
	ABP, Lakewood, Medina	55	10	First of the month coincident with or following.
	CCHS, Fairview, Marymount, Meridia	55	5	First of the month coincident with or following.
Normal form	Life annuity for single employees; 50% joint and survivor for married employees.			
Credited service	<i>Formulas</i>	<i>Definition</i>		
	CCHS, ABP	Elapsed time from date of hire.		
	Lakewood	1,000 hours in a year from date of hire or in a calendar year; some grandfathered employees are measured using elapsed time.		
	Fairview, Marymount, Meridia	1,000 hours in a year from date of hire or in a calendar year.		
	Medina	A full year of credited service is earned for each plan year that an employee works 1,976 hours as a covered employee; prorated credited service is earned if fewer hours are worked.		

Plan Name: The CCHS Retirement Plan
 EIN / PN: 34-0714585 / 001
 Plan Sponsor: Cleveland Clinic
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SCHEDULE SB ATTACHMENTS

Vesting	Three years of credited service.	
Benefit service	<i>Formulas</i>	<i>Definition</i>
	CCHS, ABP	Elapsed time from date of participation.
	Lakewood	At least 1,000 hours in a calendar year is required; some grandfathered employees are measured using elapsed time.
	Fairview, Marymount	At least 1,000 hours in a calendar year is required; one year of service for 1,800 or more hours (1,700 for Fairview union employees); service prorated for hours between 1,000 and 1,800; 25-year maximum.
	Medina	At least 1,000 hours in a calendar year is required; one year of service for 1,976 or more hours; service prorated for hours between 1,000 and 1,976.
Projected benefit service	Meridia	At least 1,000 hours in a calendar year is required; one year of service for 1,800 or more hours; service prorated for hours between 1,000 and 1,800; 27-year maximum.
	Sum of:	
	(a) Benefit service at determination date; and	
	(b) The ratio of (a) to elapsed time from date of participation to the determination date, multiplied by the number of years from the date of determination to NRD.	
	Defined maximums to benefit service still apply.	
Credited service ratio (CSR)	The numerator equals credited service at date of determination and the denominator equals credited service at the determination date plus the number of years from the date of determination to NRD.	
Pension earnings	W-2 pay plus amounts deferred under salary reduction agreements pursuant to IRC §125, §401(k) or §403(b), but limited to amounts required by law.	
Final average earnings	<i>Formulas</i>	<i>Definition</i>
	CCHS, ABP, Lakewood	N/A
	Fairview, Marymount, Meridia	Highest average of five consecutive years out of the last ten years. (Must have worked 1,000 hours in a year to be included in the average).
Plan Name:	The CCHS Retirement Plan	
EIN / PN:	34-0714585 / 001	
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Valuation Date:	January 1, 2016	

SCHEDULE SB ATTACHMENTS

Average monthly
compensation

Medina

Highest average of five
consecutive years.

Social Security covered
compensation (SSCC)

The average of the Social Security Wage Base (SSWB) from the 35-year period ending with the year in which the participant attains his "Social Security Retirement Age," i.e., the age at which unreduced Social Security benefits are payable.

Plan formula

Benefit accruals other than interest credits were frozen effective December 31, 2009 (December 31, 2010 for Medina; December 31, 2012 for collectively bargained employees at Lutheran Hospital).

CCHS (participants hired prior to May 1, 2004)

<i>Age Plus Service Points</i>	<i>Credit for Earnings</i>	<i>Additional Credit for Earnings Above SSWB</i>
Under 40	2.5%	2.5%
40 – 49	3.5%	3.5%
50 – 59	4.5%	4.5%
Over 59	5.5%	5.5%

CCHS (participants hired on or after May 1, 2004)

<i>Years of Service</i>	<i>Credit for Earnings</i>
Under 5	2.5%
Over 4	3.5%

ABP

<i>Age Plus Service Points</i>	<i>Percent of Earnings</i>
Under 34	2.1%
34 – 37	2.3%
38 – 41	2.5%
42 – 45	3.0%
46 – 49	3.5%
50 – 59	4.0%
60 – 69	5.2%
70 – 79	6.7%
80 – 89	8.6%
Over 89	10.0%

Marymount: Sum of 1.2% times final average earnings plus 0.65% times final average earnings in excess of SSCC times projected benefit service times CSR. (Minimum benefit: \$15 per month times projected benefit service times CSR.)

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SCHEDULE SB ATTACHMENTS

Plan formula (continued)

Lutheran Hospital (SEIU 1199): The greater of (a) or (b):

- (a) Normal retirement benefit based on the following schedule
(max of 30 years):

<i>Benefit Service</i>	<i>Dollars Per Month of Benefit Service</i>
Before 03/17/1980	\$6.00
03/17/1980 – 03/16/1982	8.00
03/17/1982 – 03/16/1983	9.00
03/17/1983 – 12/31/1987	10.00
01/01/1988 – 12/31/1989	12.00
01/01/1990 – 12/31/1990	14.00
01/01/1991 – 12/31/1991	15.00
01/01/1992 – 12/31/1992	16.00
01/01/1993 – 12/31/1994	17.00
01/01/1995 – 12/31/1995	19.00
01/01/1996 – 12/31/1999	21.00
01/01/2000 – 12/31/2003	25.00
01/01/2004 – 12/31/2004	26.00
01/01/2005 – 12/31/2005	27.00
01/01/2006 – 12/31/2006	27.50
01/01/2007 – 12/31/2007	28.50
01/01/2008 – 12/31/2009	29.50
01/01/2010 – 12/31/2010	31.50
After 12/31/2010	33.50

- (b) Accrued benefit under the plan provisions in effect as of
March 16, 1978.

Medina:

If hired before October 1, 2005, and not participating in the Retirement Match program: Sum of (i) and (ii)

- (i) Credited service earned (max of 30 years) times 1% of Average Monthly Compensation + 0.5% of Average Monthly Compensation in excess of SSCC.
- (ii) Credited service earned in excess of 30 years times 0.5% of Average Monthly Compensation.

If hired on or after October 1, 2005, or made an election to participate in the Retirement Match program: Sum of (i), (ii), (iii) and (iv)

- (i) Credited service earned prior to January 1, 2006 (max of 30 years), times 1% of Average Monthly Compensation + 0.5% of Average Monthly Compensation in excess of SSCC.
- (ii) Credited service earned prior to January 1, 2006, in excess of 30 years times 0.5% of Average Monthly Compensation.

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Plan Sponsor: Cleveland Clinic
Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Plan formula (continued)

(iii) Credited service earned after January 1, 2006 (max of 30 years), times 0.5% of Average Monthly Compensation + 0.25% of Average Monthly Compensation in excess of SSCC.

(iv) Credited service earned after January 1, 2006, in excess of 30 years times 0.25% of Average Monthly Compensation.

Meridia: Sum of 1.2% times final average earnings plus 0.65% times final average earnings in excess of SSCC times benefit service. (Minimum benefit: \$15 per month times benefit service.)

Fairview: Sum of 1.2% times final average earnings plus 0.65% times final average earnings in excess of SSCC times projected benefit service times CSR. (Minimum benefit: \$12 per month times projected benefit service times CSR.)

Interest credit

Formulas

Definition

CCHS

For each plan year after December 31, 2001, up to the benefit commencement date, interest based on one-year T-bill rate as of the last business day in the prior plan year, subject to a minimum of 6% for participants hired prior to May 1, 2004, a minimum of 5% for participants hired on or after May 1, 2004, and a maximum of 10%. No interest is credited on pay credit in the year the pay credit is made. For 2001, the rate is based on the yield of the last one-year T-bill auction in 2000.

ABP

For each plan year after December 31, 2001, up to the benefit commencement date, interest based on one-year T-bill rate as of the last business day in the prior plan year, subject to a minimum of 6% and a maximum of 10%. One-half year's interest is credited on pay credit in the year the pay credit is made. For 2001, the rate is based on the yield of the last one-year T-bill auction in 2000.

Lakewood

For each plan year up to the benefit commencement date, 6% interest. No interest is credited on pay credit in the year the pay credit is made.

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 Plan Sponsor: Cleveland Clinic
 Valuation Date: January 1, 2016

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Eligibility for Benefits

Normal retirement	Monthly pension benefit determined as of NRD.	
Early retirement	CCHS	The greater of the current account balance annuity as of ERD or the current account balance annuity as of NRD reduced 5% for each year of payment before NRD.
	ABP	Prior plan transition benefits reduced 5% for each year of payment before NRD. The cash balance portion of a participant's pension benefit will be the greater of the current account balance annuity as of ERD or the current account balance annuity as of NRD reduced 5% for each year of payment before NRD.
	Fairview	Benefit actuarially equivalent to accrued benefit beginning at NRD.
	Lakewood	The greater of the current account balance annuity as of ERD or the benefit actuarially equivalent to accrued benefit beginning at NRD.
	Marymount	Benefit actuarially equivalent to accrued benefit beginning at NRD.
	Medina	Accrued benefit reduced by 5% for each year early retirement precedes NRD. No reduction is applied for a participant who is at least age 62 and the sum of age and service is at least 85.
	Meridia	Accrued benefit reduced 6.67% for each of the first five years prior to NRD, and 3.33% for each additional year.
Postponed retirement	Monthly pension benefit determined as of NRD payable at actual retirement date. Special considerations apply at age 70-1/2 for "prior plan" formulas.	
Deferred vested	For vested employees who terminated for reasons other than death or normal retirement, the monthly pension benefit determined as of termination date payable at date of termination. The benefit will be paid as a lump sum if its actuarial present value is less than \$5,000.	

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Preretirement spouse	Benefit payable to the surviving spouse of a vested employee.			
	CCHS, ABP, Lakewood			For nonspouse beneficiary, an immediate lump sum. For spouse beneficiary, lump sum or monthly annuity payable immediately or deferred to age 65.
	Fairview, Marymount, Medina			Vested benefit paid to spouse as 50% joint and survivor annuity at the earliest retirement date; if single, no benefit is paid.
	Meridia			Vested benefit paid to spouse as 100% joint and survivor annuity at the earliest retirement date; if single, no benefit is paid.
Disability	Formulas	Age	Service	Description
	CCHS	Any	Any	As long as employee receives LTD payments, pay credits continue. Interest credits will continue until benefit commencement.
	ABP	Any	≥10	Pay credits continue until age 65. Interest credits continue until benefit commencement.
		Any	<10	Pay credits continue for two years. Interest credits continue until benefit commencement.
	Lakewood	Any	Any	Interest credits continue until benefit commencement.
	Fairview, Marymount	Any	10	Immediate unreduced benefit.
	Meridia	Any	10	As long as employee receives Social Security disability benefit, service will continue to accrue until normal retirement age.

Plan Name: The CCHS Retirement Plan
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 Plan Sponsor: Cleveland Clinic
 Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Other Plan Provisions

Optional forms	CCHS	Single life annuity, qualified joint and survivor annuity (50%, 66-2/3%, 75%, 100%); five-year, ten-year certain and life annuity, lump sum.
	ABP	Single life annuity, qualified joint and survivor annuity (50%, 66-2/3%, 75%, 100%); ten-year certain and life annuity, lump sum.
	Fairview	Single life annuity, qualified joint and survivor annuity (50%, 66-2/3%, 75%, 100%); five-year, ten-year certain and life annuity, lump sum.
	Lakewood	Single life annuity, qualified joint and survivor annuity (50%, 75%), cash refund annuity, lump sum.
	Marymount	Single life annuity, qualified joint and survivor annuity (50%, 66-2/3%, 75%, 100%); five-year, ten-year certain and life annuity, lump sum.
	Medina	Single life annuity, qualified joint and survivor annuity (50%, 75%, 100%); five-year, ten-year, 15-year certain and life annuity, lump sum.
	Meridia	Single life annuity, qualified joint and survivor annuity (50%, 66-2/3%, 75%, 100%); five-year, ten-year certain and life annuity, lump sum.
Actuarial equivalence for forms of payment		
All options unless specified by Parts B, C, D and E of the Plan	Interest rate: 7.5%	
	Mortality: The "applicable mortality table" specified pursuant to IRC §417(e)(3)(A)(ii)(II).	
Lump sum distribution for Account Balance Formulas (Part B)	Interest Rate: The interest rate in effect for August of the preceding plan year, pursuant to IRC §417(e)(3)(A)(ii)(II).	
	Mortality: The "applicable mortality table" specified pursuant to IRC §417(e)(3)(A)(ii)(I).	
Lakewood Grandfathered Lump Sum (Part B)	Interest Rate: The interest rate in effect for August of the preceding plan year, pursuant to IRC §417(e)(3)(A)(ii)(II).	
	Mortality: The "applicable mortality table" specified pursuant to IRC §417(e)(3)(A)(ii)(I).	
CCF Prior Lump Sum (as of December 31, 1996) (Part B)	Interest Rate: The interest rate in effect for August of the preceding plan year, pursuant to IRC §417(e)(3)(A)(ii)(II).	
	Mortality: The UP-1984 Mortality Table set back three years for participants, but without setback for beneficiaries.	

Plan Name: The CCHS Retirement Plan
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 Valuation Date: January 1, 2016

SCHEDULE SB ATTACHMENTS

Prior CCF Plan/Children's Rehab annuity benefits (Part B)	Interest Rate: 7.5% Mortality: UP-1984 Mortality Table set back three years for participants, but without setback for beneficiaries.
Meridia, Fairview, and Marymount (Parts C, D and E)	Interest Rate: 8.0% Mortality: 1951 Group Annuity Mortality Table for Males, projected to 1975 by Projection Scale C, with an age setback of two years for males and females.
Medina	Interest Rate: 7.0% Mortality: 1951 Group Annuity Mortality Table for Males, projected to 1970 by Scale C with a five year setback for employees and no setback for spouses. For a married participant selecting a form other than single life, the benefit is first actuarially increased to include a 50% joint and survivor feature.
Maximum on benefits and pay	All benefits and pay for any calendar year may not exceed the maximum limitations for that year as defined in the IRC. The plan provides for increasing the dollar limits automatically as such changes become effective. Increases in the dollar limits are assumed for determining pension cost but not for determining contributions.
Plan status for PBGC reporting purposes	Benefits and participation frozen with the exception of participants on long-term disability.

Future Plan Changes

No future plan changes were recognized in determining funding requirements.

Changes in Benefits Valued Since Prior Year

There have been no changes in benefits valued since the prior year.

Plan Name: The CCHS Retirement Plan
EIN / PN: 34-0714585 / 001
Plan Sponsor: Cleveland Clinic
Valuation Date: January 1, 2016

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
Cash and cash equivalents				
*	DREYFUS GOVT CAS MGMT INST 289 VAR RT 12/31/2075 DD 06/03/97	89,447,429	\$ 89,462,283	\$ 89,462,283
	LEHMAN PROXY WAMCO - REC	25,094	25,094	2,384
	NON-BASE CURRENCY-NORWEGIAN KRONE	-	522	505
	NON-BASE CURRENCY-EURO CURRENCY UNIT	-	2,110	2,127
	NON-BASE CURRENCY-POUND STERLING	-	127,251	126,385
	NON-BASE CURRENCY-HONG KONG DOLLAR	-	6,197	6,197
	NON-BASE CURRENCY-ISRAELI SHEKEL	-	1,361	1,334
	NON-BASE CURRENCY-AUSTRALIAN DOLLAR	-	26,520	25,742
	NON-BASE CURRENCY-CANADIAN DOLLAR	-	24,211	24,144
	NON-BASE CURRENCY-JAPANESE YEN	-	3,221	3,129
	NON-BASE CURRENCY-NEW ZEALAND DOLLAR	-	1,623	1,576
	NON-BASE CURRENCY-SINGAPORE DOLLAR	-	3,544	3,338
	NON-BASE CURRENCY-SWISS FRANC	-	15	17
	NON-BASE CURRENCY-SOUTH AFRICAN RAND	-	1	1
	NON-BASE CURRENCY-SWEDISH KRONA	-	8,732	8,249
Total cash and cash equivalents			89,692,682	89,667,410
U.S. government and related securities				
	FHLMC POOL #G1-1467 4.000% 09/01/2018 DD 10/01/03	18,922	18,360	19,399
	FHLMC POOL #E9-9955 5.000% 10/01/2018 DD 10/01/03	8,266	8,407	8,469
	FHLMC POOL #A4-6987 5.500% 07/01/2035 DD 09/01/05	110,416	108,613	124,228
	FHLMC POOL #C0-2660 6.500% 11/01/2036 DD 11/01/06	71,640	73,163	84,187
	FHLMC MULTICLASS MTG 1863 Z 6.500% 07/15/2026 DD 07/01/96	13,937	14,695	15,728
	FHLMC MULTICLASS MTG 2075 PH 6.500% 08/15/2028 DD 08/01/98	36,575	38,198	40,082
	FHLMC MULTICLASS MTG 2254 ZC 7.500% 09/15/2030 DD 09/01/00	14,070	14,993	16,493
	FNMA GTD REMIC P/T 96-29 B VAR RT 01/25/2026 DD 06/01/96	6,468	7,014	7,258
	FEDERAL NATL MTG ASSN 5.000% 05/11/2017 DD 04/16/07	300,000	352,332	304,578
	FNMA GTD REMIC P/T 98-36 ZB 6.000% 07/18/2028 DD 06/01/98	3,467	3,517	3,922
	FNMA GTD REMIC P/T 99-18 E 0.000% 04/18/2029 DD 03/01/99	30,192	25,059	27,637
	FNMA POOL #0254693 5.500% 04/01/2033 DD 03/01/03	29,779	29,237	33,396
	FNMA POOL #0464572 4.400% 02/01/2020 DD 02/01/10	1,000,000	1,028,281	1,068,220
	FNMA POOL #0467390 4.160% 03/01/2021 DD 03/01/11	455,044	486,898	488,258
	FNMA POOL #0AM4716 3.492% 12/01/2023 DD 12/01/13	500,000	520,469	519,105
	FNMA GTD REMIC P/T 02-47 PE 5.500% 08/25/2017 DD 07/01/02	75	77	75
	FNMA GTD REMIC P/T 03-41 PE 5.500% 05/25/2023 DD 04/01/03	30,972	31,301	32,948
	FNMA GTD REMIC P/T 03-83 PG 5.000% 06/25/2023 DD 08/01/03	38,986	37,828	40,216
	FNMA GTD REMIC P/T 03-81 LC 4.500% 09/25/2018 DD 08/01/03	42,819	40,571	43,707
	FHLMC MULTICLASS MTG 2651 VZ 4.500% 07/15/2018 DD 07/01/03	11,945	11,589	12,131

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	FNMA GTD REMIC P/T 04-W2 2A2 7.000% 02/25/2044 DD 02/01/04	27,648	29,870	31,938
	FHLMC MULTICLASS MTG 2675 CK 4.000% 09/15/2018 DD 09/01/03	22,868	20,845	23,238
	FHLMC MULTICLASS MTG 2764 OE 4.500% 03/15/2019 DD 03/01/04	28,898	26,388	29,525
	FHLMC MULTICLASS MTG 2877 KO 0.000% 03/15/2019 DD 10/01/04	26,322	17,553	25,853
	FHLMC MULTICLASS MTG 3219 PO 0.000% 04/15/2036 DD 09/01/06	3,469	2,290	3,161
	FHLMC MULTICLASS MTG 3286 PO 0.000% 03/15/2037 DD 03/01/07	11,378	7,929	10,458
	FNMA POOL #0709877 5.000% 06/01/2018 DD 06/01/03	4,126	4,268	4,222
	FNMA POOL #0723852 5.000% 07/01/2033 DD 07/01/03	49,639	46,528	54,903
	FNMA POOL #0725017 5.500% 12/01/2033 DD 11/01/03	49,952	50,967	57,495
	FNMA POOL #0729296 5.000% 07/01/2033 DD 07/01/03	96,283	90,250	106,106
	FNMA POOL #0735290 4.500% 12/01/2019 DD 02/01/05	12,003	11,580	12,360
	FNMA POOL #0735841 4.500% 11/01/2019 DD 08/01/05	31,686	30,180	32,623
	FNMA POOL #0738348 6.000% 09/01/2033 DD 08/01/03	26,603	27,792	30,930
	FNMA POOL #0751182 5.500% 03/01/2034 DD 02/01/04	73,014	74,703	83,820
	FNMA POOL #0755973 8.000% 11/01/2028 DD 12/01/03	22,663	24,590	27,125
	FNMA POOL #0755994 8.000% 10/01/2028 DD 12/01/03	8,210	8,984	9,043
	FNMA POOL #0810896 VAR RT 01/01/2035 DD 12/01/04	22,387	22,747	23,365
	FNMA POOL #0889265 5.500% 06/01/2020 DD 03/01/08	12,293	12,601	12,541
	FNMA POOL #0889805 5.500% 07/01/2020 DD 07/01/08	23,434	23,456	23,959
	RESOLUTION FDG CORP STRIP 0.000% 01/15/2026 DD 01/15/90	50,000	38,694	38,543
	RESOLUTION FDG CORP STRIP 0.000% 10/15/2025 DD 04/15/90	40,000	32,960	31,482
	RESOLUTION FDG CORP PRIN STRIP 0.000% 07/15/2020 DD 07/15/90	500,000	445,860	468,310
	RESOLUTION FDG CORP PRIN STRIP 0.000% 01/15/2021 DD 01/15/91	177,000	166,755	162,734
	U S TREASURY BOND 8.500% 02/15/2020 DD 02/15/90	150,000	208,729	181,899
	U S TREASURY BOND 8.750% 08/15/2020 DD 08/15/90	50,000	72,586	62,440
	U S TREASURY BOND 3.750% 08/15/2041 DD 08/15/11	2,720,000	2,994,702	3,063,509
	U S TREASURY BOND 2.750% 11/15/2042 DD 11/15/12	25,400,000	24,640,998	23,990,046
	U S TREASURY BOND 3.750% 11/15/2043 DD 11/15/13	38,250,000	42,777,724	43,367,468
	U S TREASURY NOTE 1.375% 08/31/2023 DD 08/31/16	330,000	312,069	312,662
	U S TREASURY NOTE 1.250% 11/30/2018 DD 11/30/13	38,000,000	37,409,982	38,055,100
	U S TREASURY NOTE 2.375% 08/15/2024 DD 08/15/14	450,000	455,713	452,093
	U S TREASURY NOTE 2.000% 10/31/2021 DD 10/31/14	300,000	302,180	300,867
	U S TREASURY NOTE 3.125% 05/15/2019 DD 05/15/09	816,000	814,267	850,778
	U S TREASURY NOTE 3.625% 08/15/2019 DD 08/15/09	4,000,000	4,405,781	4,234,360
	U S TREASURY NOTE 2.250% 11/15/2025 DD 11/15/15	100,000	101,047	98,719
	U S TREASURY NOTE 3.625% 02/15/2020 DD 02/15/10	4,100,000	4,551,488	4,362,810
	U S TREASURY NOTE 3.125% 04/30/2017 DD 04/30/10	35,000	36,497	35,294
	U S TREASURY NOTE 1.625% 02/15/2026 DD 02/15/16	25,000,000	24,846,680	23,359,500
	U S TREASURY NOTE 2.625% 11/15/2020 DD 11/15/10	21,710,000	21,898,863	22,439,239
	U S TREASURY NOTE 3.625% 02/15/2021 DD 02/15/11	500,000	575,703	536,720
	U S TREASURY NOTE 3.125% 05/15/2021 DD 05/15/11	1,800,000	2,011,258	1,896,822
	U S TREASURY NOTE 1.500% 08/31/2018 DD 08/31/11	600,000	621,992	603,750

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	U S TREASURY NOTE 2.000% 02/15/2022 DD 02/15/12	13,900,000	13,936,119	13,921,684
	U S TREASURY NOTE 0.625% 05/31/2017 DD 05/31/12	37,000,000	37,007,226	37,002,960
	U S TREASURY NOTE 1.625% 08/15/2022 DD 08/15/12	4,800,000	4,923,937	4,681,104
	U S TREASURY NOTE 1.750% 05/15/2023 DD 05/15/13	100,000	95,727	97,398
	U S TREASURY NOTE 2.125% 08/31/2020 DD 08/31/13	100,000	100,152	101,617
	U S TREASURY NOTE 2.750% 11/15/2023 DD 11/15/13	45,000,000	44,701,352	46,483,650
	U S TREASURY NOTE 2.500% 05/15/2024 DD 05/15/14	6,310,000	6,646,081	6,404,398
	U S TREASURY NOTE 1.625% 06/30/2020 DD 06/30/15	50,000	50,656	50,024
	U S TREASURY BD CPN STRIP 0.000% 08/15/2018 DD 11/22/88	875,000	745,117	859,101
	U S TREASURY BD CPN STRIP 0.000% 05/15/2019 DD 05/15/90	1,520,000	1,127,631	1,472,819
	U S TREASURY BD CPN STRIP 0.000% 08/15/2019 DD 08/15/89	1,050,000	754,412	1,011,518
	U S TREASURY BD CPN STRIP 0.000% 02/15/2020 DD 02/15/90	240,000	216,784	228,569
	U S TREASURY BD CPN STRIP 0.000% 05/15/2020 DD 05/15/90	1,028,000	783,266	972,776
	U S TREASURY BD CPN STRIP 0.000% 08/15/2020 DD 08/15/90	250,000	193,186	235,058
	U S TREASURY BD CPN STRIP 0.000% 02/15/2021 DD 02/15/91	570,000	494,041	527,757
	U S TREASURY BD CPN STRIP 0.000% 05/15/2021 DD 05/15/91	410,000	351,708	377,060
	U S TREASURY BD CPN STRIP 0.000% 08/15/2021 DD 08/15/91	600,000	481,981	547,146
	U S TREASURY BD CPN STRIP 0.000% 11/15/2021 DD 11/15/91	335,000	250,271	303,493
	U S TREASURY BD CPN STRIP 0.000% 02/15/2022 DD 08/17/92	1,405,000	1,129,415	1,263,011
	U S TREASURY BD CPN STRIP 0.000% 05/15/2022 DD 11/16/92	565,000	467,114	504,070
	U S TREASURY BD CPN STRIP 0.000% 08/15/2022 DD 08/17/92	500,000	400,126	442,785
	U S TREASURY BD CPN STRIP 0.000% 02/15/2023 DD 02/15/93	1,745,000	1,370,698	1,521,815
	U S TREASURY BD CPN STRIP 0.000% 08/15/2023 DD 08/15/93	105,000	89,369	90,189
	U S TREASURY BD CPN STRIP 0.000% 05/15/2023 DD 08/15/94	200,000	158,075	173,014
	U S TREASURY BD CPN STRIP 0.000% 11/15/2023 DD 08/15/94	50,000	39,678	42,613
	U S TREASURY BD CPN STRIP 0.000% 02/15/2024 DD 02/15/95	500,000	410,415	422,700
	U S TREASURY BD CPN STRIP 0.000% 05/15/2024 DD 08/15/94	380,000	311,701	318,888
	U S TREASURY BD CPN STRIP 0.000% 11/15/2024 DD 08/15/94	50,000	24,736	41,289
	U S TREASURY BD CPN STRIP 0.000% 02/15/2025 DD 02/15/95	100,000	47,869	81,800
	U S TREASURY BD CPN STRIP 0.000% 08/15/2026 DD 08/15/96	47,000	31,087	36,561
	U S TREASURY BD CPN STRIP 0.000% 11/15/2026 DD 11/15/96	100,000	65,431	77,016
	U S TREASURY BD CPN STRIP 0.000% 11/15/2027 DD 11/17/97	480,000	361,518	357,902
	U S TREASURY BD CPN STRIP 0.000% 02/15/2028 DD 08/17/98	53,000	35,786	39,208
	U S TREASURY BD CPN STRIP 0.000% 08/15/2028 DD 08/17/98	200,000	146,342	145,428
	U S TREASURY BD CPN STRIP 0.000% 11/15/2028 DD 11/16/98	250,000	180,805	180,105
	U S TREASURY BD CPN STRIP 0.000% 11/15/2029 DD 02/15/00	230,000	160,908	160,731
	U S TREASURY BD CPN STRIP 0.000% 02/15/2030 DD 02/15/01	400,000	277,192	277,036
	Total U.S. government and related securities		291,674,562	293,852,135

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	Corporate bonds and notes			
	ABB FINANCE USA INC 2.875% 05/08/2022 DD 05/08/12	35,000	35,380	35,358
	SOUTHERN CO GAS CAPITAL CORP 5.250% 08/15/2019 DD 08/10/09	60,000	65,768	64,286
	AES CORP/VA 4.875% 05/15/2023 DD 04/30/13	225,000	222,750	222,233
	AES CORP/VA 5.500% 04/15/2025 DD 04/06/15	95,000	93,625	95,000
	AIG GLOBAL FUNDING 144A 1.900% 10/06/2021 DD 10/06/16	50,000	49,908	48,134
	AIG GLOBAL FUNDING 144A 2.700% 12/15/2021 DD 12/15/16	50,000	49,940	49,893
	AK STEEL CORP 7.625% 05/15/2020 DD 05/11/10	55,000	55,000	56,100
	AON PLC 4.000% 11/27/2023 DD 11/26/13	50,000	51,130	51,878
	AON PLC 3.875% 12/15/2025 DD 03/01/16	21,000	20,994	21,405
	AT&T INC 6.500% 09/01/2037 DD 08/31/07	25,000	30,348	29,402
	AT&T INC 3.000% 06/30/2022 DD 05/04/15	80,000	77,566	78,529
	AT&T INC 3.600% 02/17/2023 DD 02/09/16	232,000	241,692	233,977
	ABBVIE INC 3.200% 11/06/2022 DD 05/14/15	126,000	124,370	126,083
	CHUBB INA HOLDINGS INC 5.700% 02/15/2017 DD 02/08/07	100,000	112,381	100,496
	CHUBB INA HOLDINGS INC 3.350% 05/15/2024 DD 05/27/14	30,000	30,880	30,564
	ADVANCED MICRO DEVICES INC 7.000% 07/01/2024 DD 06/16/14	66,000	66,000	68,475
	AGRIUM INC 3.375% 03/15/2025 DD 03/02/15	100,000	96,701	96,864
	AIR LEASE CORP 3.875% 04/01/2021 DD 03/11/14	25,000	26,127	25,685
	ALBERTSONS COS LLC / SAFE 144A 6.625% 06/15/2024 DD 05/31/16	5,000	5,000	5,213
	NEW ALBERTSONS INC 7.450% 08/01/2029 DD 07/27/99	890,000	766,525	841,050
	NEW ALBERTSONS INC 8.700% 05/01/2030 DD 05/09/00	70,000	64,994	70,350
	NEW ALBERTSONS INC 8.000% 05/01/2031 DD 05/01/01	130,000	113,219	124,475
	NEW ALBERTSONS INC 6.625% 06/01/2028 DD 06/03/98	15,000	11,600	13,425
	ALLERGAN INC/UNITED STATES 2.800% 03/15/2023 DD 03/12/13	290,000	271,930	277,684
	ALLY AUTO RECEIVABLES T SN1 A3 1.210% 12/20/2017 DD 03/31/15	21,539	21,537	21,540
	ALLY AUTO RECEIVABLES TRU 1 A3 1.470% 04/15/2020 DD 01/27/16	97,000	96,989	97,048
	AMERICA MOVIL SAB DE CV 5.000% 03/30/2020 DD 03/30/10	100,000	111,535	106,936
	AMERICAN AXLE & MANUFACTURING 6.625% 10/15/2022 DD 09/17/12	50,000	50,000	51,560
	AMERICAN CREDIT ACCEP 2 A 144A 1.570% 06/12/2019 DD 06/25/15	29,488	29,487	29,495
	AMERICAN EXPRESS CREDIT CORP 1.800% 07/31/2018 DD 07/31/15	59,000	58,923	59,076
	AMERICAN EXPRESS CREDIT CORP 2.250% 05/05/2021 DD 05/05/16	29,000	28,982	28,654
	AMERICAN HOMES 4 R SFR2 C 144A 4.705% 10/17/2036 DD 09/19/14	100,000	105,938	103,523
	AMERICAN HONDA FINANCE CORP 2.450% 09/24/2020 DD 09/24/15	79,000	78,949	79,408
	AMERICAN HOMES 4 R SFR2 C 144A 4.691% 10/17/2045 DD 09/22/15	100,000	105,852	103,223
	AMERICREDIT AUTOMOBILE RE 1 A3 0.900% 02/08/2019 DD 03/20/14	10,674	10,675	10,672
	AMGEN INC 5.700% 02/01/2019 DD 01/16/09	160,000	189,303	171,970
	ANADARKO PETROLEUM CORP 8.700% 03/15/2019 DD 03/05/09	40,000	39,560	45,459
	ANHEUSER-BUSCH INBEV FINANCE I 1.900% 02/01/2019 DD 01/25/16	6,000	6,062	6,009
	ANHEUSER-BUSCH INBEV FINANCE I 3.300% 02/01/2023 DD 01/25/16	205,000	209,832	208,635
	ANHEUSER-BUSCH INBEV FINANCE I 4.700% 02/01/2036 DD 01/25/16	30,000	29,788	31,556
	APPLE INC 1.000% 05/03/2018 DD 05/03/13	775,000	766,870	772,094

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	ARCELORMITTAL VAR RT 03/01/2041 DD 03/07/11	525,000	504,000	559,125
	ARROW ELECTRONICS INC 7.500% 01/15/2027 DD 01/15/97	30,000	36,235	35,697
	ARROW ELECTRONICS INC 3.000% 03/01/2018 DD 02/20/13	20,000	20,409	20,228
	ARROW ELECTRONICS INC 3.500% 04/01/2022 DD 03/02/15	20,000	20,133	19,801
	ARUBA INVESTMENTS INC 144A 8.750% 02/15/2023 DD 02/02/15	175,000	175,000	178,500
	ATRIUM WINDOWS & DOORS IN 144A 7.750% 05/01/2019 DD 04/17/14	315,000	311,768	291,375
	AVALONBAY COMMUNITIES INC 2.850% 03/15/2023 DD 12/14/12	50,000	51,009	49,150
	BB&T CORP 5.250% 11/01/2019 DD 10/27/04	40,000	44,990	43,216
	BAE SYSTEMS HOLDINGS INC 144A 3.800% 10/07/2024 DD 10/07/14	53,000	53,930	54,310
	BB&T CORP 6.850% 04/30/2019 DD 05/04/09	50,000	49,302	55,416
	BHP BILLITON FINANCE USA LTD 6.500% 04/01/2019 DD 03/25/09	75,000	85,625	82,329
	BMW US CAPITAL LLC 144A 2.250% 09/15/2023 DD 09/15/16	90,000	89,722	86,252
	BP CAPITAL MARKETS PLC 3.245% 05/06/2022 DD 05/07/12	100,000	101,402	102,113
	BP CAPITAL MARKETS PLC 2.750% 05/10/2023 DD 05/10/13	176,000	174,236	172,788
	BP CAPITAL MARKETS PLC 3.814% 02/10/2024 DD 02/10/14	30,000	30,590	31,166
	BP CAPITAL MARKETS PLC 2.237% 05/10/2019 DD 02/10/14	67,000	67,000	67,406
	BNP PARIBAS / BNP PARIBAS US M 3.250% 03/03/2023 DD 03/04/13	50,000	51,580	50,456
	BMW VEHICLE LEASE TRUST 2 2 A3 1.430% 09/20/2019 DD 10/13/16	79,000	78,990	79,032
	BANK OF AMERICA CORP 5.750% 12/01/2017 DD 12/04/07	100,000	94,752	103,585
	BANK OF AMERICA CORP 2.000% 01/11/2018 DD 01/11/13	192,000	191,666	192,442
	BANK OF AMERICA CORP 4.200% 08/26/2024 DD 08/26/14	60,000	61,040	61,122
	BANK OF AMERICA CORP 4.000% 01/22/2025 DD 01/22/15	67,000	67,215	67,084
	BANK OF AMERICA CORP 3.950% 04/21/2025 DD 04/21/15	74,000	73,782	73,666
*	BANK OF NEW YORK MELLON CORP/T 4.600% 01/15/2020 DD 11/16/09	35,000	34,932	37,394
*	BANK OF NEW YORK MELLON CORP/T 3.550% 09/23/2021 DD 09/23/11	14,000	13,991	14,581
*	BANK OF NEW YORK MELLON CORP/T 2.200% 05/15/2019 DD 05/07/14	167,000	166,676	168,007
	BARCLAYS PLC 3.200% 08/10/2021 DD 08/10/16	200,000	199,872	197,678
	BARCLAYS BANK PLC 144A 6.050% 12/04/2017 DD 12/04/07	100,000	99,733	103,309
	BARMINCO FINANCE PTY LTD 144A 9.000% 06/01/2018 DD 05/20/13	225,000	225,000	231,188
	BAYTEX ENERGY CORP 144A 5.625% 06/01/2024 DD 06/06/14	535,000	527,594	472,138
	BED BATH & BEYOND INC 4.915% 08/01/2034 DD 07/17/14	20,000	18,774	20,011
	BELLSOUTH LLC 6.875% 10/15/2031 DD 10/25/01	100,000	110,588	118,099
	BERKSHIRE HATHAWAY FINANCE COR 5.400% 05/15/2018 DD 11/15/08	100,000	99,854	105,244
	BERKSHIRE HATHAWAY FINANCE COR 1.300% 05/15/2018 DD 05/15/13	65,000	64,962	64,834
	BERKSHIRE HATHAWAY INC 3.400% 01/31/2022 DD 01/31/12	133,000	139,282	138,813
	BIOMARIN PHARMACEUTICAL INC 0.750% 10/15/2018 DD 10/15/13	10,000	10,000	11,181
	BIOMARIN PHARMACEUTICAL INC 1.500% 10/15/2020 DD 10/15/13	15,000	15,000	17,578
	BIOSCRIP INC 8.875% 02/15/2021 DD 02/15/15	110,000	110,000	82,500
	BLACKROCK INC 6.250% 09/15/2017 DD 09/17/07	75,000	82,592	77,637
	BLUE RACER MIDSTREAM LLC 144A 6.125% 11/15/2022 DD 11/13/14	205,000	201,825	205,000
	BONANZA CREEK ENERGY INC VAR RT 04/15/2021 DD 04/09/13	355,000	283,956	264,475
	BONANZA CREEK ENERGY INC VAR RT 02/01/2023 DD 07/18/14	195,000	138,763	142,350

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	HEXION INC 9.200% 03/15/2021 DD 03/19/91	125,000	95,313	88,750
	BOSTON PROPERTIES LP 3.125% 09/01/2023 DD 04/11/13	20,000	20,765	19,611
	BOSTON PROPERTIES LP 3.650% 02/01/2026 DD 01/20/16	30,000	30,421	29,626
	BRAZILIAN GOVERNMENT INTERNATI 10.250% 01/10/2028 DD 02/14/07	1,000,000	567,685	284,204
	BRIXMOR OPERATING PARTNERSHIP 3.850% 02/01/2025 DD 01/21/15	30,000	30,615	29,541
	BUCKEYE PARTNERS LP 4.875% 02/01/2021 DD 01/13/11	50,000	52,105	53,090
	BUNGE LTD FINANCE CORP 8.500% 06/15/2019 DD 06/09/09	85,000	96,081	97,380
	BURLINGTON NORTHERN SANTA FE L 3.000% 03/15/2023 DD 03/12/13	50,000	48,896	50,607
	BURLINGTON NORTHERN SANTA FE L 5.650% 05/01/2017 DD 04/13/07	50,000	53,933	50,674
	CBS CORP 4.000% 01/15/2026 DD 07/10/15	83,000	81,689	84,414
	CCO HOLDINGS LLC / CCO HOLDING 5.750% 01/15/2024 DD 05/03/13	775,000	755,850	809,875
	CF INDUSTRIES INC 7.125% 05/01/2020 DD 04/23/10	40,000	48,693	43,600
	CF INDUSTRIES INC 144A 4.500% 12/01/2026 DD 11/21/16	17,000	16,895	16,709
	CHS/COMMUNITY HEALTH SYSTEMS I 6.875% 02/01/2022 DD 08/01/14	305,000	257,513	211,975
	CME GROUP INC 3.000% 03/15/2025 DD 03/09/15	39,000	38,926	38,980
	CPS AUTO RECEIVABLES A B 144A 3.340% 05/15/2020 DD 01/27/16	127,907	127,884	130,021
	CPS AUTO RECEIVABLES B A 144A 1.650% 11/15/2019 DD 06/17/15	84,812	84,812	84,862
	CPS AUTO RECEIVABLES C A 144A 1.310% 02/15/2019 DD 09/17/14	24,289	24,224	24,281
	CPS AUTO RECEIVABLES C A 144A 1.620% 01/15/2020 DD 07/27/16	253,926	253,910	253,847
	CSX CORP 6.000% 10/01/2036 DD 09/20/06	30,000	37,192	35,834
	CVS HEALTH CORP 2.750% 12/01/2022 DD 11/29/12	75,000	74,363	73,885
	ALTERNATIVE LOAN TRUST 2CB 1A9 5.750% 03/25/2034 DD 01/01/04	393,049	393,778	390,652
	CALAMP CORP 1.625% 05/15/2020 DD 05/06/15	15,000	14,087	14,456
	CALIFORNIA RESOURCES CORP 5.500% 09/15/2021 DD 03/15/15	49,000	48,936	38,465
	CALIFORNIA RESOURCES CORP 6.000% 11/15/2024 DD 10/01/14	66,000	61,695	48,180
	CALIFORNIA RESOURCES CORP 144A 8.000% 12/15/2022 DD 12/15/15	75,000	53,150	66,750
	CALUMET SPECIALTY PRODUCTS PAR 7.625% 01/15/2022 DD 11/26/13	50,000	49,247	42,375
	CANADIAN NATURAL RESOURCES LTD 5.900% 02/01/2018 DD 01/17/08	75,000	75,319	78,072
	CAPITAL AUTO RECEIVABLES 4 A2 1.620% 03/20/2019 DD 10/21/15	118,868	118,864	119,086
	CAPITAL AUTO RECEIVABLES 2 A2A 1.320% 01/22/2019 DD 07/20/16	55,000	54,998	55,020
	CAPITAL AUTO RECEIVABLES 2 A4 1.630% 01/20/2021 DD 07/20/16	31,000	30,993	30,668
	CAPITAL AUTO RECEIVABLES 1 A2A 1.500% 11/20/2018 DD 03/16/16	86,948	86,943	87,055
	CAPITAL AUTO RECEIVABLES 1 A3 1.730% 04/20/2020 DD 03/16/16	99,000	98,985	99,069
	CAPITAL ONE FINANCIAL CORP 3.500% 06/15/2023 DD 06/06/13	27,000	26,750	27,102
	CAPITAL ONE FINANCIAL CORP 4.200% 10/29/2025 DD 10/29/15	75,000	75,993	75,250
	CARDINAL HEALTH INC 3.750% 09/15/2025 DD 06/23/15	45,000	44,968	46,477
	CARMAX AUTO OWNER TRUST 2 4 A3 0.800% 07/16/2018 DD 11/07/13	5,694	5,693	5,692
	CARMAX AUTO OWNER TRUST 2 4 A4 1.280% 05/15/2019 DD 11/07/13	55,000	54,995	55,018
	CARNOW AUTO RECEIVAB 1A A 144A 2.260% 05/15/2019 DD 12/15/16	219,000	218,995	218,995
	DUKE ENERGY PROGRESS LLC 5.300% 01/15/2019 DD 01/15/09	40,000	39,963	42,756
	CATERPILLAR FINANCIAL SERVICES 7.150% 02/15/2019 DD 02/12/09	50,000	49,915	55,357
	CELGENE CORP 3.625% 05/15/2024 DD 05/15/14	119,000	120,030	119,582

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	CENOVUS ENERGY INC 3.800% 09/15/2023 DD 08/15/13	50,000	47,386	48,802
	CENTERPOINT ENERGY RESOURCES C 4.500% 01/15/2021 DD 07/15/11	10,000	10,762	10,492
	CENTURYLINK INC 7.600% 09/15/2039 DD 09/21/09	110,000	108,625	95,975
	CHESAPEAKE ENERGY CORP 2.500% 05/15/2037 DD 05/15/07	49,000	40,408	49,184
	CHESAPEAKE ENERGY CORP 2.250% 12/15/2038 DD 05/27/08	225,000	187,817	221,063
	CHESAPEAKE ENERGY CORP 144A 5.500% 09/15/2026 DD 10/05/16	10,000	8,881	10,825
	CHESAPEAKE ENERGY CORP 144A 8.000% 01/15/2025 DD 12/20/16	85,000	83,744	86,700
	CIENA CORP 0.875% 06/15/2017 DD 06/11/07	285,000	215,062	283,755
	CIENA CORP 144A 3.750% 10/15/2018 DD 10/18/10	135,000	126,412	182,335
	CINCINNATI BELL INC 144A 7.000% 07/15/2024 DD 09/22/16	140,000	140,000	148,050
	CINCINNATI BELL TELEPHONE CO L 6.300% 12/01/2028 DD 11/30/98	60,000	46,150	58,500
	CITIGROUP INC 5.500% 09/13/2025 DD 09/13/13	63,000	63,000	69,238
	CITIGROUP INC 1.800% 02/05/2018 DD 02/05/15	100,000	99,926	99,944
	CITIGROUP INC 1.700% 04/27/2018 DD 04/27/15	180,000	179,429	179,604
	CITIGROUP INC 2.150% 07/30/2018 DD 07/30/15	66,000	65,983	66,218
	CITIGROUP INC 2.350% 08/02/2021 DD 08/02/16	38,000	37,973	37,172
	FRONTIER COMMUNICATIONS CORP 7.875% 01/15/2027 DD 12/22/06	235,000	210,913	194,463
	IHEARTCOMMUNICATIONS INC 9.000% 03/01/2021 DD 02/23/11	370,000	347,227	273,800
	CLEAVER-BROOKS INC 144A 8.750% 12/15/2019 DD 12/19/12	25,000	24,840	26,031
	CLEVELAND ELECTRIC ILLUMINATIN 7.880% 11/01/2017 DD 10/24/97	45,000	51,369	47,331
	CLIFFS NATURAL RESOURCES 144A 8.000% 09/30/2020 DD 03/02/16	117,000	17,331	121,680
	COLONY AMERICAN FINAN 1 A 144A 2.896% 10/15/2047 DD 10/01/15	144,876	146,234	144,425
	COLONY AMERICAN FINAN 1 A 144A 2.544% 06/15/2048 DD 05/01/16	130,783	130,782	128,171
	COLONY AMERICAN FINAN 2 A 144A 2.554% 11/15/2048 DD 11/01/16	99,801	99,798	99,798
	HCA INC 8.360% 04/15/2024 DD 04/15/94	105,000	97,806	119,175
	HCA INC 7.690% 06/15/2025 DD 06/30/95	30,000	26,969	32,625
	HCA INC 7.050% 12/01/2027 DD 12/08/95	20,000	16,625	20,500
	HCA INC 7.580% 09/15/2025 DD 09/14/95	15,000	13,381	16,163
	HCA INC 7.750% 07/15/2036 DD 07/08/96	70,000	62,094	74,025
	OHIO POWER CO 6.050% 05/01/2018 DD 05/16/08	35,000	34,921	36,877
	COMERICA INC 2.125% 05/23/2019 DD 05/23/14	30,000	29,471	29,956
	EQUITY COMMONWEALTH 5.875% 09/15/2020 DD 09/17/10	100,000	109,394	106,864
	CONNECTICUT LIGHT & POWER CO/T 5.650% 05/01/2018 DD 05/27/08	15,000	14,964	15,816
	CONSOLIDATED COMMUNICATIONS IN 6.500% 10/01/2022 DD 10/01/15	80,000	78,000	79,400
	CONSOLIDATED ENERGY FINAN 144A 6.750% 10/15/2019 DD 10/07/14	380,000	376,200	380,000
	CONSUMERS ENERGY CO 6.125% 03/15/2019 DD 09/12/08	100,000	118,218	109,058
	CONTINENTAL RESOURCES INC/OK 3.800% 06/01/2024 DD 05/19/14	15,000	11,438	13,838
	COOPERATIEVE RABOBANK UA 3.875% 02/08/2022 DD 02/08/12	60,000	64,660	63,349
	CREDIT ACCEPTANCE AU 2A A 144A 1.880% 03/15/2022 DD 09/25/14	175,504	175,277	175,748
	CREDIT ACCEPTANCE AU 3A A 144A 2.150% 04/15/2024 DD 10/27/16	250,000	249,962	248,043
	CREDIT SUISSE GROUP FUNDING GU 3.800% 09/15/2022 DD 09/15/15	250,000	247,573	252,218
	DTE ENERGY CO 6.375% 04/15/2033 DD 04/01/03	20,000	25,166	24,447

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	DTE ENERGY CO 3.850% 12/01/2023 DD 11/25/13	30,000	29,936	31,039
	DT AUTO OWNER TRUST 3A A 144A 1.660% 03/15/2019 DD 10/14/15	67,232	67,228	67,254
	DT AUTO OWNER TRUST 3A B 144A 2.460% 11/15/2019 DD 10/14/15	360,000	359,945	361,296
	DT AUTO OWNER TRUST 2A A 144A 1.730% 08/15/2019 DD 04/14/16	40,872	40,871	40,906
	DT AUTO OWNER TRUST 4A B 144A 2.020% 08/17/2020 DD 10/06/16	73,000	72,996	72,721
	DT AUTO OWNER TRUST 3A A 144A 1.750% 11/15/2019 DD 06/16/16	88,208	88,208	88,300
	DT AUTO OWNER TRUST 3A B 144A 2.650% 07/15/2020 DD 06/16/16	100,000	99,987	100,491
	DAIMLER FINANCE NORTH AME 144A 2.375% 08/01/2018 DD 08/01/13	151,000	150,626	152,137
	JOHN DEERE CAPITAL CORP 5.750% 09/10/2018 DD 09/08/08	300,000	331,461	320,352
	JOHN DEERE CAPITAL CORP 2.050% 03/10/2020 DD 03/10/15	40,000	39,913	39,881
	DIAGEO CAPITAL PLC 4.828% 07/15/2020 DD 05/14/10	70,000	70,202	75,920
	DELL INTERNATIONAL LLC / 144A 5.450% 06/15/2023 DD 06/01/16	30,000	30,264	31,822
	DELL INTERNATIONAL LLC / 144A 6.020% 06/15/2026 DD 06/01/16	30,000	30,242	32,499
	WALT DISNEY CO/THE 3.000% 02/13/2026 DD 01/08/16	100,000	99,600	99,498
	DISCOVERY COMMUNICATIONS LLC 4.375% 06/15/2021 DD 06/20/11	60,000	66,209	63,265
	DISH NETWORK CORP 144A 3.375% 08/15/2026 DD 08/08/16	585,000	585,000	665,806
	DISH DBS CORP 5.000% 03/15/2023 DD 12/27/12	260,000	251,144	258,700
	DISH DBS CORP 5.875% 11/15/2024 DD 11/20/14	44,000	44,000	45,276
	DISH DBS CORP 7.750% 07/01/2026 DD 06/13/16	60,000	60,000	67,650
	RR DONNELLEY & SONS CO 6.500% 11/15/2023 DD 11/12/13	330,000	330,000	321,338
	RR DONNELLEY & SONS CO 6.000% 04/01/2024 DD 03/20/14	420,000	418,838	395,850
	DONNELLEY FINANCIAL SOLUT 144A 8.250% 10/15/2024 DD 09/30/16	110,000	110,000	111,925
	DOW CHEMICAL CO/THE 3.500% 10/01/2024 DD 09/16/14	100,000	98,730	100,873
	DRIVE AUTO RECEIVABL DA B 144A 2.590% 12/16/2019 DD 09/29/15	372,488	372,482	373,747
	DUKE ENERGY CAROLINAS LLC 6.000% 12/01/2028 DD 12/04/98	50,000	61,980	62,002
	SPECTRA ENERGY CAPITAL LLC 8.000% 10/01/2019 DD 09/28/99	50,000	65,612	56,870
	DUKE ENERGY CAROLINAS LLC 5.100% 04/15/2018 DD 04/14/08	65,000	64,904	67,926
	DUKE ENERGY PROGRESS LLC 3.250% 08/15/2025 DD 08/13/15	21,000	20,936	21,324
	DYNEGY INC 5.875% 06/01/2023 DD 12/01/13	25,000	23,406	21,688
	DYNEGY INC 7.625% 11/01/2024 DD 05/01/15	145,000	145,000	133,763
	ERP OPERATING LP 4.625% 12/15/2021 DD 12/12/11	30,000	33,051	32,586
	ERP OPERATING LP 3.000% 04/15/2023 DD 04/10/13	30,000	30,780	29,587
	EBAY INC 2.600% 07/15/2022 DD 07/24/12	35,000	33,189	33,924
	EBAY INC 1.350% 07/15/2017 DD 07/24/12	100,000	99,958	100,042
	ECOLAB INC 1.450% 12/08/2017 DD 12/13/12	50,000	49,916	49,951
	ECLIPSE RESOURCES CORP 8.875% 07/15/2023 DD 01/15/16	375,000	367,136	391,406
	ECOPETROL SA 5.375% 06/26/2026 DD 06/26/15	54,000	53,637	53,730
	EDISON INTERNATIONAL 2.950% 03/15/2023 DD 03/09/16	50,000	49,978	49,413
	ENCANA CORP 6.500% 05/15/2019 DD 05/04/09	15,000	16,532	16,144
	ENBRIDGE INC 4.250% 12/01/2026 DD 11/29/16	32,000	31,794	32,764
	ENERGY TRANSFER LP 4.050% 03/15/2025 DD 03/12/15	16,000	15,987	15,839
	ENTERGY MISSISSIPPI INC 2.850% 06/01/2028 DD 05/13/16	33,000	32,989	31,335

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	ENTERGY LOUISIANA LLC 3.250% 04/01/2028 DD 03/24/16	30,000	29,997	29,594
	ENTERGY LOUISIANA LLC 2.400% 10/01/2026 DD 10/04/16	24,000	23,898	22,395
	ENTERPRISE PRODUCTS OPERATING 3.900% 02/15/2024 DD 02/12/14	100,000	104,538	103,138
	ENTERPRISE PRODUCTS OPERATING 3.700% 02/15/2026 DD 05/07/15	18,000	17,934	18,065
	EVOLENT HEALTH INC 144A 2.000% 12/01/2021 DD 12/05/16	85,000	85,000	81,547
	EXELON GENERATION CO LLC 4.250% 06/15/2022 DD 12/15/12	50,000	50,988	51,753
	EXETER AUTOMOBILE RE 2A A 144A 1.540% 11/15/2019 DD 05/20/15	29,634	29,538	29,640
	EXETER AUTOMOBILE RE 3A A 144A 2.000% 03/16/2020 DD 10/21/15	107,835	107,827	107,961
	EXETER AUTOMOBILE RE 3A B 144A 3.590% 08/16/2021 DD 10/21/15	385,000	384,900	389,524
	EXXON MOBIL CORP 2.397% 03/06/2022 DD 03/06/15	28,000	28,281	27,857
	EXXON MOBIL CORP 2.726% 03/01/2023 DD 03/03/16	22,000	22,883	22,075
	FTS INTERNATIONAL INC 6.250% 05/01/2022 DD 04/16/14	240,000	240,000	199,200
	FIFTH THIRD BANCORP 5.450% 01/15/2017 DD 12/20/06	80,000	79,720	80,110
	FIFTH THIRD BANK/CINCINNATI OH 2.375% 04/25/2019 DD 04/25/14	300,000	299,802	302,256
	FIRST HORIZON MORTGAGE AR7 2A2 VAR RT 02/25/2035 DD 12/01/04	61,082	62,304	60,676
	FIRST INVESTORS AUT 2A A1 144A 1.530% 11/16/2020 DD 09/22/16	47,561	47,557	47,504
	FIRST INVESTORS AUT 2A A2 144A 1.870% 11/15/2021 DD 09/22/16	67,000	66,996	66,469
	FIRST INVESTORS AUT 1A A1 144A 1.920% 05/15/2020 DD 02/18/16	108,834	108,831	109,069
	FIRST QUANTUM MINERALS LT 144A 7.250% 05/15/2022 DD 05/13/14	455,000	415,500	448,175
	FIRSTKEY LENDING 2 SFR1 A 144A 2.553% 03/09/2047 DD 04/01/15	346,538	347,393	344,857
	DUKE ENERGY FLORIDA LLC 5.650% 06/15/2018 DD 06/18/08	20,000	19,928	21,144
	FORD MOTOR CREDIT CO LLC 3.000% 06/12/2017 DD 06/12/12	200,000	208,598	201,164
	ORANGE SA VAR RT 03/01/2031 DD 09/01/01	15,000	23,048	22,553
	FREEPORT-MCMORAN INC 3.550% 03/01/2022 DD 02/13/12	125,000	120,340	116,250
	FRONTIER COMMUNICATIONS CORP 6.250% 09/15/2021 DD 09/17/14	90,000	90,000	85,275
	FRONTIER COMMUNICATIONS CORP 6.875% 01/15/2025 DD 09/17/14	140,000	135,788	118,650
	GCP APPLIED TECHNOLOGIES 144A 9.500% 02/01/2023 DD 01/27/16	55,000	55,000	63,113
	ALLY FINANCIAL INC 8.000% 11/01/2031 DD 12/31/08	35,000	20,804	40,597
	GM FINANCIAL AUTOMOBILE L 3 A3 1.610% 12/20/2019 DD 09/22/16	73,000	72,999	72,785
	GENERAL ELECTRIC CO 5.625% 05/01/2018 DD 04/21/08	140,000	139,626	147,756
	GENERAL ELECTRIC CO 5.500% 01/08/2020 DD 01/08/10	60,000	68,306	65,742
	GILEAD SCIENCES INC 3.700% 04/01/2024 DD 03/07/14	100,000	108,657	102,643
	GILEAD SCIENCES INC 4.600% 09/01/2035 DD 09/14/15	40,000	42,908	41,488
	GOLDMAN SACHS GROUP INC/THE 7.500% 02/15/2019 DD 02/05/09	50,000	56,981	55,429
	GOLDMAN SACHS GROUP INC/THE 5.750% 01/24/2022 DD 01/24/12	50,000	58,193	56,211
	GOLDMAN SACHS GROUP INC/THE 4.000% 03/03/2024 DD 03/03/14	100,000	102,724	103,742
	GOLDMAN SACHS GROUP INC/THE 4.250% 10/21/2025 DD 10/21/15	75,000	76,690	76,188
	GOLDMAN SACHS GROUP INC/THE 2.625% 04/25/2021 DD 04/25/16	7,000	6,995	6,949
	GOLDMAN SACHS GROUP INC/THE 2.625% 01/31/2019 DD 01/31/14	173,000	173,263	174,860
	GOLDMAN SACHS GROUP INC/THE 2.600% 04/23/2020 DD 01/23/15	24,000	23,949	24,025
	GOLDMAN SACHS GROUP INC/THE 3.750% 05/22/2025 DD 05/22/15	32,000	31,897	32,083

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SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	GREEN TREE AGENCY T1 AT1 144A 2.380% 10/15/2048 DD 09/30/16	100,000	100,000	99,187
	GROUP 1 AUTOMOTIVE INC VAR RT 06/01/2022 DD 06/01/15	345,000	339,825	340,688
	GULF SOUTH PIPELINE CO LP 4.000% 06/15/2022 DD 12/15/12	70,000	67,306	70,909
	HCA INC 7.500% 11/06/2033 DD 11/06/03	124,000	105,400	131,440
	HCP INC 4.000% 12/01/2022 DD 12/01/15	50,000	49,789	51,471
	EQUITY COMMONWEALTH 6.650% 01/15/2018 DD 09/18/07	75,000	74,360	76,901
	HSBC USA INC 2.750% 08/07/2020 DD 08/07/15	247,000	246,783	247,492
	HALCON RESOURCES CORP 144A 8.625% 02/01/2020 DD 05/01/15	75,000	71,013	78,000
	HALLIBURTON CO 3.500% 08/01/2023 DD 08/05/13	50,000	50,521	50,771
	HALLIBURTON CO 3.800% 11/15/2025 DD 11/13/15	50,000	50,951	50,795
	HP INC 4.375% 09/15/2021 DD 09/19/11	59,000	58,891	62,068
	HEXION INC 8.875% 02/01/2018 DD 01/29/10	140,000	132,994	139,300
	HEXION INC / HEXION NOVA SCOTI 9.000% 11/15/2020 DD 11/05/10	735,000	713,150	573,300
	HOLOGIC INC STEP 03/01/2042 DD 03/05/2012	220,000	212,829	298,375
	HOLOGIC INC 144A 5.250% 07/15/2022 DD 07/02/15	145,000	145,000	152,613
	HOME DEPOT INC/THE 2.625% 06/01/2022 DD 06/02/15	50,000	49,263	50,241
	HUB HOLDINGS LLC / HUB HO 144A 8.125% 07/15/2019 DD 07/08/14	139,000	138,653	138,653
	HUNTINGTON BANCSHARES INC/OH 2.300% 01/14/2022 DD 08/09/16	68,000	67,897	65,953
	ISTAR INC 5.000% 07/01/2019 DD 06/13/14	200,000	198,950	200,750
	ICONIX BRAND GROUP INC 1.500% 03/15/2018 DD 03/18/13	130,000	129,385	122,200
	IHEARTCOMMUNICATIONS INC 9.000% 09/15/2022 DD 09/10/14	140,000	140,000	102,900
	ILLINOIS TOOL WORKS INC 3.500% 03/01/2024 DD 02/25/14	50,000	49,691	51,926
	INDIANA MICHIGAN POWER CO 7.000% 03/15/2019 DD 01/15/09	25,000	24,832	27,552
	INDIANA MICHIGAN POWER CO 3.200% 03/15/2023 DD 03/18/13	50,000	49,920	50,480
	INTERCEPT PHARMACEUTICALS INC 3.250% 07/01/2023 DD 07/06/16	40,000	40,000	35,650
	INTERCONTINENTAL EXCHANGE INC 4.000% 10/15/2023 DD 10/08/13	29,000	28,775	30,302
	INTERCONTINENTAL EXCHANGE INC 2.500% 10/15/2018 DD 10/08/13	28,000	27,975	28,376
	INTERNATIONAL BUSINESS MACHINE 7.625% 10/15/2018 DD 10/15/08	200,000	199,256	220,808
	INTERNATIONAL BUSINESS MACHINE 2.250% 02/19/2021 DD 02/19/16	209,000	209,652	208,745
	INTUIT INC 5.750% 03/15/2017 DD 03/12/07	50,000	54,216	50,444
	IRONWOOD PHARMACEUTICALS INC 2.250% 06/15/2022 DD 06/15/15	15,000	13,900	17,166
	VIAVI SOLUTIONS INC 0.625% 08/15/2033 DD 08/21/13	75,000	74,320	77,531
	JP MORGAN CHASE COM C2 A2 144A 3.616% 11/15/2043 DD 10/01/10	143,771	147,568	145,922
	JACKSON NATIONAL LIFE GLO 144A 3.050% 04/29/2026 DD 04/29/16	74,000	73,795	70,892
	JEFFERIES GROUP LLC 3.875% 11/01/2029 DD 10/26/09	75,000	66,946	75,750
	JEFFERIES GROUP LLC 6.875% 04/15/2021 DD 06/28/10	60,000	68,431	68,258
	JEFFERIES FINANCE LLC / J 144A 6.875% 04/15/2022 DD 03/31/14	211,000	211,000	203,615
	NINE WEST HOLDINGS INC 6.125% 11/15/2034 DD 05/15/05	65,000	52,313	11,700
	JONES ENERGY HOLDINGS LLC / JO 6.750% 04/01/2022 DD 10/01/14	20,000	16,050	19,050
	KANSAS CITY POWER & LIGHT CO 3.150% 03/15/2023 DD 03/14/13	52,000	51,951	51,612
	KB HOME 1.375% 02/01/2019 DD 01/29/13	35,000	34,214	34,060

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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	BMKAUPTHING BANK HF 7.625% 02/28/2020 DD 02/28/08	540,000	412,408	412,408
	KEYCORP 5.100% 03/24/2021 DD 03/24/11	75,000	83,936	82,015
	KIMBERLY-CLARK CORP 7.500% 11/01/2018 DD 11/04/08	10,000	9,963	11,068
	KINDRED HEALTHCARE INC 8.000% 01/15/2020 DD 07/15/15	50,000	43,563	49,750
	KRAFT HEINZ FOODS CO 6.125% 08/23/2018 DD 08/23/12	92,000	91,945	98,070
	KRATON POLYMERS LLC / KRA 144A 10.500% 04/15/2023 DD 01/06/16	250,000	235,850	281,875
	KRAFT HEINZ FOODS CO 3.950% 07/15/2025 DD 07/15/16	50,000	50,485	50,652
	KROGER CO/THE 8.000% 09/15/2029 DD 09/22/99	40,000	52,881	54,108
	KROGER CO/THE 4.000% 02/01/2024 DD 01/30/14	90,000	89,816	93,916
	L BRANDS INC 6.750% 07/01/2036 DD 06/16/16	175,000	175,000	177,188
	LADDER CAPITAL FINANCE HO 144A 5.875% 08/01/2021 DD 08/01/14	520,000	520,000	494,000
	LANDSBANKI ISLANDS ESC 0.000% 08/25/2020 DD 08/25/06	300,000	299,922	-
	LEVEL 3 FINANCING INC 5.375% 08/15/2022 DD 11/15/14	390,000	382,200	402,675
	LIBERTY MUTUAL GROUP INC 144A 6.500% 03/15/2035 DD 03/22/05	20,000	23,616	23,897
	LIBERTY MUTUAL INSURANCE 144A 7.875% 10/15/2026 DD 10/21/96	40,000	49,679	48,455
	LINCOLN NATIONAL CORP 4.200% 03/15/2022 DD 03/29/12	50,000	52,383	52,923
	ALCATEL-LUCENT USA INC 6.450% 03/15/2029 DD 03/15/99	1,390,000	1,174,525	1,449,075
	LUNDIN MINING CORP 144A 7.500% 11/01/2020 DD 10/27/14	40,000	40,000	42,550
	LUNDIN MINING CORP 144A 7.875% 11/01/2022 DD 10/27/14	140,000	140,000	152,250
	MACQUARIE BANK LTD 144A 2.600% 06/24/2019 DD 06/24/14	100,000	100,059	100,697
	MAGELLAN MIDSTREAM PARTNERS LP 4.250% 02/01/2021 DD 08/11/10	80,000	83,277	84,814
	MARATHON OIL CORP 6.000% 10/01/2017 DD 09/27/07	100,000	102,192	102,988
	MARSH & MCLENNAN COS INC 2.350% 03/06/2020 DD 03/06/15	48,000	47,962	48,042
	MARSH & MCLENNAN COS INC 3.300% 03/14/2023 DD 03/14/16	8,000	7,991	8,130
	MASSMUTUAL GLOBAL FUNDING 144A 5.250% 07/31/2018 DD 07/31/03	70,000	82,326	73,629
	MEAD JOHNSON NUTRITION CO 4.125% 11/15/2025 DD 11/03/15	50,000	50,376	51,120
	MECCANICA HOLDINGS USA IN 144A 7.375% 07/15/2039 DD 07/15/09	200,000	164,375	216,250
	MECCANICA HOLDINGS USA IN 144A 6.250% 01/15/2040 DD 10/27/09	100,000	84,000	101,250
	BANK OF AMERICA CORP 6.400% 08/28/2017 DD 08/28/07	53,000	52,923	54,626
	BANK OF AMERICA CORP 6.875% 04/25/2018 DD 04/25/08	212,000	226,697	225,337
	METLIFE INC VAR RT 09/15/2023 DD 09/10/13	80,000	85,630	86,088
	MICROSOFT CORP 2.375% 05/01/2023 DD 05/02/13	170,000	168,306	166,882
	MICROSOFT CORP 2.375% 02/12/2022 DD 02/12/15	35,000	35,287	34,859
	MICRON TECHNOLOGY INC 3.000% 11/15/2043 DD 11/12/13	470,000	349,217	466,771
	MICROSEMI CORP 144A 9.125% 04/15/2023 DD 01/15/16	125,000	125,000	145,625
	MIDAMERICAN ENERGY CO 5.750% 11/01/2035 DD 11/01/05	40,000	49,274	48,464
	MIDAS INTERMEDIATE HOLDCO 144A 7.875% 10/01/2022 DD 09/24/14	185,000	185,000	191,013
	MORGAN STANLEY 6.625% 04/01/2018 DD 04/01/08	100,000	102,343	105,753
	MORGAN STANLEY 5.000% 11/24/2025 DD 11/22/13	31,000	30,859	33,117
	MORGAN STANLEY 4.000% 07/23/2025 DD 07/23/15	66,000	65,946	67,651
	MORGAN STANLEY 5.500% 07/28/2021 DD 07/28/11	145,000	161,746	160,680
	MORGAN STANLEY 5.625% 09/23/2019 DD 09/23/09	100,000	93,662	108,396

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	MORGAN STANLEY 4.100% 05/22/2023 DD 05/21/13	130,000	134,026	133,448
	MORGAN STANLEY 2.800% 06/16/2020 DD 06/16/15	100,000	100,579	100,818
	MORTGAGEIT TRUST 2005-1 1 1A1 VAR RT 02/25/2035 DD 01/19/05	11,895	11,895	11,445
	MOSAIC CO/THE 4.250% 11/15/2023 DD 11/13/13	70,000	71,855	70,584
	NGL ENERGY PARTNERS LP / NGL E 5.125% 07/15/2019 DD 01/15/15	150,000	150,000	148,875
	NABORS INDUSTRIES INC 4.625% 09/15/2021 DD 08/23/11	70,000	70,434	71,156
	NAVISTAR INTERNATIONAL CORP 4.500% 10/15/2018 DD 10/11/13	125,000	114,626	123,204
	NAVISTAR INTERNATIONAL CORP 4.750% 04/15/2019 DD 03/24/14	10,000	8,825	9,875
	NBCUNIVERSAL MEDIA LLC 2.875% 01/15/2023 DD 10/05/12	100,000	105,207	99,765
	NEVADA POWER CO 6.500% 08/01/2018 DD 07/30/08	20,000	19,935	21,506
	NEW YORK LIFE GLOBAL FUND 144A 2.150% 06/18/2019 DD 06/18/14	300,000	300,183	301,554
	NEW YORK STATE ELECTRIC & 144A 3.250% 12/01/2026 DD 11/29/16	26,000	25,813	25,887
	NISSAN MOTOR ACCEPTANCE C 144A 1.900% 09/14/2021 DD 09/14/16	39,000	38,926	37,633
	NISSAN AUTO RECEIVABLES 2 A A3 1.340% 10/15/2020 DD 02/10/16	103,000	102,977	102,691
	NISSAN AUTO RECEIVABLES 2 B A3 1.320% 01/15/2021 DD 04/27/16	38,000	37,994	37,771
	NOBLE ENERGY INC 5.625% 05/01/2021 DD 05/01/15	48,000	48,405	50,077
	NORDEA BANK AB 144A 4.875% 01/27/2020 DD 01/25/10	100,000	107,231	106,855
	NORFOLK SOUTHERN CORP 7.700% 05/15/2017 DD 05/19/97	50,000	56,680	51,143
	NORFOLK SOUTHERN CORP 3.850% 01/15/2024 DD 11/21/13	44,000	47,231	45,816
	NUANCE COMMUNICATIONS INC 1.500% 11/01/2035 DD 06/16/15	273,000	-	264,641
	NUANCE COMMUNICATIONS INC 1.000% 12/15/2035 DD 12/07/15	410,000	386,804	370,283
	OASIS PETROLEUM INC 6.875% 03/15/2022 DD 03/15/14	90,000	81,125	92,250
	OCCIDENTAL PETROLEUM CORP 2.700% 02/15/2023 DD 06/22/12	25,000	25,397	24,758
	OCCIDENTAL PETROLEUM CORP 3.400% 04/15/2026 DD 04/04/16	40,000	40,262	40,302
	OHIO EDISON CO 6.875% 07/15/2036 DD 06/26/06	20,000	24,612	25,391
	1839688 ALBERTA ULC 14.000% 02/13/2020 DD 11/14/14	254,407	238,821	3
	ONCOR ELECTRIC DELIVERY CO LLC 6.800% 09/01/2018 DD 03/01/09	10,000	11,295	10,806
	ONEMAIN DIRECT AUTO 1A A 144A 2.040% 01/15/2021 DD 07/19/16	68,320	68,316	68,435
	ONEMAIN FINANCIAL IS 2A A 144A 2.570% 07/18/2025 DD 05/21/15	184,000	183,998	184,007
	ORACLE CORP 5.750% 04/15/2018 DD 04/09/08	60,000	59,972	63,388
	ORACLE CORP 2.500% 10/15/2022 DD 10/25/12	40,000	39,966	39,572
	ORACLE CORP 3.625% 07/15/2023 DD 07/16/13	100,000	97,578	104,808
	PECO ENERGY CO 5.350% 03/01/2018 DD 03/03/08	50,000	49,916	52,147
	PNC FINANCIAL SERVICES GROUP I 5.625% 02/01/2017 DD 02/08/07	50,000	54,919	50,152
	PNC FINANCIAL SERVICES GROUP I 6.700% 06/10/2019 DD 06/09/09	35,000	40,145	38,832
	PNC FINANCIAL SERVICES GROUP I 4.375% 08/11/2020 DD 08/11/10	35,000	38,595	37,270
	PACIFIC BELL TELEPHONE CO 7.125% 03/15/2026 DD 03/15/93	70,000	88,252	84,978
	PACIFIC GAS & ELECTRIC CO 5.625% 11/30/2017 DD 12/04/07	60,000	60,159	62,226
	PACIFIC GAS & ELECTRIC CO 2.950% 03/01/2026 DD 03/01/16	27,000	26,928	26,452
	PARKER-HANNIFIN CORP 5.500% 05/15/2018 DD 05/16/08	20,000	19,953	21,065
	JC PENNEY CORP INC 5.750% 02/15/2018 DD 04/27/07	45,000	42,450	46,013
	JC PENNEY CORP INC 6.375% 10/15/2036 DD 04/27/07	10,000	7,775	8,413

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	PENSKE TRUCK LEASING CO L 144A 3.375% 02/01/2022 DD 02/02/15	50,000	48,588	50,423
	PENTAIR FINANCE SA 4.650% 09/15/2025 DD 09/16/15	40,000	41,179	41,028
	PETROLEOS MEXICANOS 4.875% 01/18/2024 DD 07/18/13	10,000	9,945	9,696
	FREEPORT MINERALS CORP 7.125% 11/01/2027 DD 11/01/97	80,000	66,800	81,600
	PIONEER ENERGY SERVICES CORP 6.125% 03/15/2022 DD 09/15/14	215,000	215,000	197,800
	PLAINS ALL AMERICAN PIPELINE L 4.650% 10/15/2025 DD 08/24/15	100,000	99,846	103,313
	POTASH CORP OF SASKATCHEWAN IN 3.000% 04/01/2025 DD 03/26/15	33,000	32,842	31,116
	PRECISION DRILLING CORP 6.625% 11/15/2020 DD 05/15/11	68,760	58,038	69,791
	PRECISION DRILLING CORP 5.250% 11/15/2024 DD 11/15/14	500,000	500,000	467,500
	PRICOA GLOBAL FUNDING I 144A 1.450% 09/13/2019 DD 09/13/16	150,000	149,987	147,758
	PRINCIPAL LIFE GLOBAL FUN 144A 2.250% 10/15/2018 DD 09/30/13	176,000	175,608	177,749
	PROCTER & GAMBLE - ESOP 9.360% 01/01/2021 DD 12/04/90	41,659	52,656	48,180
	PROGRESSIVE CORP/THE 2.450% 01/15/2027 DD 08/25/16	64,000	63,978	59,561
	PROGRESS RESIDENTI SFR3 A 144A 3.067% 11/12/2032 DD 11/01/15	199,562	203,834	199,610
	PROTECTIVE LIFE GLOBAL FU 144A 2.700% 11/25/2020 DD 11/25/15	150,000	149,868	150,219
	PUBLIC SERVICE CO OF COLORADO 5.800% 08/01/2018 DD 08/13/08	10,000	9,985	10,630
	PUBLIC SERVICE ELECTRIC & GAS 1.800% 06/01/2019 DD 06/02/14	25,000	25,084	24,972
	PUBLIC SERVICE ELECTRIC & GAS 2.250% 09/15/2026 DD 09/13/16	19,000	18,937	17,631
	PULTEGROUP INC 6.000% 02/15/2035 DD 02/10/05	555,000	513,263	532,800
	QEP RESOURCES INC 5.375% 10/01/2022 DD 03/01/12	170,000	166,600	170,425
	QEP RESOURCES INC 5.250% 05/01/2023 DD 09/12/12	95,000	90,698	95,238
	QWEST CAPITAL FUNDING INC 7.625% 08/03/2021 DD 07/30/01	428,000	394,830	442,980
	RTI INTERNATIONAL METALS INC 1.625% 10/15/2019 DD 04/17/13	80,000	75,656	82,750
	RSP PERMIAN INC 6.625% 10/01/2022 DD 04/01/15	167,000	167,000	176,603
	REALTY INCOME CORP 3.250% 10/15/2022 DD 10/10/12	30,000	29,626	30,242
	RELIANCE STANDARD LIFE GL 144A 2.500% 01/15/2020 DD 01/14/15	100,000	101,497	99,393
	REPUBLIC SERVICES INC 3.800% 05/15/2018 DD 05/09/11	25,000	26,824	25,695
	REX ENERGY CORP STEP 10/01/2020 DD 03/31/2016	710,000	74,550	369,200
	REYNOLDS GROUP ISSUER INC / RE VAR RT 02/15/2021 DD 02/15/12	104,038	96,495	107,419
	RICE ENERGY INC 6.250% 05/01/2022 DD 11/01/14	530,000	529,038	544,575
	ROVI CORP 0.500% 03/01/2020 DD 03/04/15	75,000	66,408	76,406
	ROYAL BANK OF CANADA 2.500% 01/19/2021 DD 01/19/16	40,000	39,955	40,098
	RYDER SYSTEM INC 2.650% 03/02/2020 DD 02/24/15	50,000	49,900	50,294
	RYDER SYSTEM INC 2.500% 05/11/2020 DD 05/11/15	40,000	39,890	39,817
	CALATLANTIC GROUP INC 0.250% 06/01/2019 DD 05/20/13	260,000	238,629	242,125
	NAVIENT CORP 5.000% 06/15/2018 DD 06/16/03	10,000	8,325	10,000
	NAVIENT CORP 5.625% 08/01/2033 DD 07/21/03	325,000	251,508	268,125
	NAVIENT CORP 4.875% 06/17/2019 DD 12/16/13	120,000	119,100	124,200
	SM ENERGY CO 6.500% 11/15/2021 DD 11/08/11	35,000	33,550	35,700
	SM ENERGY CO 6.500% 01/01/2023 DD 06/29/12	75,000	72,938	76,219
	SM ENERGY CO 5.000% 01/15/2024 DD 01/15/14	880,000	855,950	829,400
	SM ENERGY CO 6.125% 11/15/2022 DD 05/15/15	159,000	156,075	160,988

THE CCHS RETIREMENT PLAN

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	SM ENERGY CO 5.625% 06/01/2025 DD 05/21/15	30,000	25,350	28,950
	SPS SERVICER ADVAN T1 AT1 144A 2.530% 11/16/2048 DD 11/22/16	300,000	299,974	299,974
	SOFI PROFESSIONAL L E A2A 144A 1.630% 01/25/2036 DD 11/22/16	145,000	144,990	144,632
	SAN DIEGO GAS & ELECTRIC CO 2.500% 05/15/2026 DD 05/19/16	62,000	61,799	59,423
	SANCHEZ ENERGY CORP 7.750% 06/15/2021 DD 06/15/14	35,000	31,588	35,613
	SANCHEZ ENERGY CORP 6.125% 01/15/2023 DD 01/15/15	80,000	73,088	76,000
	SANTANDER UK GROUP HOLDINGS PL 2.875% 10/16/2020 DD 10/16/15	100,000	99,763	99,099
	SANTANDER UK PLC 2.500% 03/14/2019 DD 03/14/16	33,000	32,942	33,193
	SCENTRE GROUP TRUST 1 / S 144A 3.500% 02/12/2025 DD 11/12/14	80,000	83,372	78,994
	SCHLUMBERGER HLDS CORP 144A 3.625% 12/21/2022 DD 12/21/15	50,000	51,356	51,825
	SEALED AIR CORP 144A 6.875% 07/15/2033 DD 07/01/03	190,000	161,894	193,325
	SEMPRA ENERGY 4.050% 12/01/2023 DD 11/22/13	48,000	47,839	50,133
	SERVICEMASTER CO LLC/THE 7.450% 08/15/2027 DD 08/19/97	445,000	321,513	468,363
	SHELL INTERNATIONAL FINANCE BV 4.375% 03/25/2020 DD 03/25/10	80,000	79,622	85,461
	SHELL INTERNATIONAL FINANCE BV 2.125% 05/11/2020 DD 05/11/15	70,000	69,852	69,917
	SHELL INTERNATIONAL FINANCE BV 2.875% 05/10/2026 DD 05/10/16	131,000	130,570	126,649
	SIDEWINDER DRILLING INC 144A 9.750% 11/15/2019 DD 11/02/12	180,000	179,913	11,250
	SIMON PROPERTY GROUP LP 3.750% 02/01/2024 DD 01/21/14	50,000	51,536	51,787
	SOUTHERN POWER CO 4.150% 12/01/2025 DD 11/17/15	40,000	41,628	41,446
	SPECTRA ENERGY CAPITAL LLC 3.300% 03/15/2023 DD 02/28/13	50,000	47,532	48,336
	SPRINGLEAF FINANCE CORP 7.750% 10/01/2021 DD 09/24/13	70,000	54,600	73,850
	SPRINGLEAF FINANCE CORP 8.250% 10/01/2023 DD 09/24/13	30,000	23,400	31,350
	SPRINGLEAF FINANCE CORP 5.250% 12/15/2019 DD 12/03/14	15,000	14,325	15,113
	SPRINGLEAF FUNDING T AA A 144A 2.410% 12/15/2022 DD 03/26/14	117,802	117,782	117,845
	SPRINT CAPITAL CORP 6.875% 11/15/2028 DD 11/16/98	780,000	745,431	770,250
	SPRINT CAPITAL CORP 8.750% 03/15/2032 DD 03/14/02	30,000	36,137	33,000
	CALATLANTIC GROUP INC 1.250% 08/01/2032 DD 08/06/12	80,000	80,000	82,350
	STATE STREET CORP 3.100% 05/15/2023 DD 05/15/13	74,000	73,086	73,855
	REPUBLIC OF POLAND GOVERNMENT 4.000% 01/22/2024 DD 01/22/14	25,000	24,799	25,569
	SUNOCO LOGISTICS PARTNERS OPER 4.250% 04/01/2024 DD 04/03/14	70,000	69,439	70,541
	SUNOCO LOGISTICS PARTNERS OPER 4.400% 04/01/2021 DD 11/17/15	36,000	35,966	37,912
	SUNTRUST BANKS INC 6.000% 09/11/2017 DD 09/10/07	100,000	99,992	102,993
	SUPERVALU INC 7.750% 11/15/2022 DD 11/14/14	130,000	129,131	131,138
	TCF AUTO RECEIVABL PT1A A 144A 1.930% 06/15/2022 DD 12/14/16	200,000	199,974	199,784
	TCF AUTO RECEIVABLE 1A A3 144A 1.710% 04/15/2021 DD 09/22/16	187,000	186,969	185,964
	TRI POINTE GROUP INC 4.875% 07/01/2021 DD 05/26/16	325,000	323,170	331,500
	TRU TAJ LLC / TRU TAJ FIN 144A 12.000% 08/15/2021 DD 08/16/16	306,000	281,267	300,645
	TELECOM ITALIA CAPITAL SA 6.375% 11/15/2033 DD 05/15/04	50,000	44,271	47,750
	TELECOM ITALIA CAPITAL SA 6.000% 09/30/2034 DD 09/30/05	80,000	71,163	74,000
	TENET HEALTHCARE CORP 6.875% 11/15/2031 DD 11/06/01	420,000	344,850	323,925
	TENET HEALTHCARE CORP VAR RT 03/01/2019 DD 03/01/15	80,000	79,975	78,000
	TENET HEALTHCARE CORP 6.750% 06/15/2023 DD 06/16/15	105,000	104,525	92,663

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SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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(a)	(b)	(c)	(d)	(e)
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	TESORO LOGISTICS LP / TESORO L 6.250% 10/15/2022 DD 10/15/15	65,000	65,000	68,900
	THOMSON REUTERS CORP 4.700% 10/15/2019 DD 09/29/09	15,000	14,947	15,926
	THOMSON REUTERS CORP 4.300% 11/23/2023 DD 11/21/13	30,000	31,212	31,385
	TIME WARNER COS INC 7.570% 02/01/2024 DD 10/07/97	150,000	185,420	185,490
	TIME WARNER CABLE LLC 4.500% 09/15/2042 DD 08/10/12	30,000	25,433	27,170
	TORONTO-DOMINION BANK/THE 1.950% 01/22/2019 DD 01/22/16	18,000	18,033	18,028
	TORONTO-DOMINION BANK/THE 2.125% 04/07/2021 DD 04/07/16	17,000	16,971	16,740
	TORONTO-DOMINION BANK/THE 1.800% 07/13/2021 DD 07/13/16	17,000	16,886	16,462
	TORONTO-DOMINION BANK/THE 1.450% 09/06/2018 DD 09/06/16	50,000	49,991	49,757
	TOTAL CAPITAL CANADA LTD 2.750% 07/15/2023 DD 01/17/13	15,000	15,274	14,844
	TOTAL CAPITAL INTERNATIONAL SA 3.750% 04/10/2024 DD 01/15/14	37,000	38,175	38,739
	TOYOTA MOTOR CREDIT CORP 1.375% 01/10/2018 DD 01/10/13	150,000	150,474	149,811
	TOYOTA MOTOR CREDIT CORP 2.100% 01/17/2019 DD 01/17/14	70,000	70,478	70,384
	TOYOTA MOTOR CREDIT CORP 2.125% 07/18/2019 DD 07/18/14	100,000	101,496	100,434
	TPC GROUP INC 144A 8.750% 12/15/2020 DD 12/20/12	240,000	225,600	202,800
	TRANSCANADA PIPELINES LTD 7.125% 01/15/2019 DD 01/09/09	20,000	24,606	21,951
	TRANSDIGM INC 6.500% 07/15/2024 DD 06/04/14	240,000	239,025	251,100
	TRANSDIGM INC 6.500% 05/15/2025 DD 11/15/15	45,000	45,000	47,138
	BROADSPECTRUM LTD 144A 8.375% 05/15/2020 DD 05/13/14	100,000	100,000	105,750
	TRANSOCEAN PROTEUS LTD 144A 6.250% 12/01/2024 DD 12/08/16	330,000	325,050	333,095
	TRINITY INDUSTRIES INC 3.875% 06/01/2036 DD 06/07/06	235,000	197,840	300,654
	21ST CENTURY FOX AMERICA INC 7.250% 05/18/2018 DD 05/18/98	50,000	60,844	53,599
	UDR INC 2.950% 09/01/2026 DD 08/23/16	13,000	13,000	12,160
	UNION PACIFIC CORP 4.163% 07/15/2022 DD 06/23/11	9,000	9,100	9,699
	UNION PACIFIC CORP 3.646% 02/15/2024 DD 08/21/13	45,000	48,756	46,979
	UAL 2009-2A PASS THROUGH TRUST 9.750% 01/15/2017 DD 07/24/09	18,708	18,708	18,768
	UNITED AIRLINES 2016-1 CLASS A 3.450% 01/07/2030 DD 06/13/16	56,000	56,000	54,460
	MEXICO GOVERNMENT INTERNATIONA 4.000% 10/02/2023 DD 10/02/13	58,000	57,735	58,139
	MEXICO GOVERNMENT INTERNATIONA 3.500% 01/21/2021 DD 01/21/14	81,000	80,468	82,296
	UNITED PARCEL SERVICE OF AMERI 8.375% 04/01/2020 DD 12/20/89	60,000	77,549	71,187
	UNITED RENTALS NORTH AMERICA I 5.875% 09/15/2026 DD 05/13/16	10,000	9,800	10,288
	US BANCORP 2.375% 07/22/2026 DD 07/22/16	100,000	99,268	92,577
	VENDEE MORTGAGE TRUST 19 1 2ZB 6.500% 02/15/2024 DD 02/01/94	234,947	243,610	258,702
	UNITED STATES STEEL CORP 6.650% 06/01/2037 DD 05/21/07	855,000	784,569	737,438
	UNITED STATES STEEL CORP 7.500% 03/15/2022 DD 03/15/12	130,000	130,000	134,225
	QWEST CAPITAL FUNDING INC 6.875% 07/15/2028 DD 06/29/98	175,000	147,875	158,813
	UNITED TECHNOLOGIES CORP 6.700% 08/01/2028 DD 08/04/98	40,000	52,578	52,076
	VENTAS REALTY LP 3.750% 05/01/2024 DD 04/17/14	30,000	30,501	30,365
	VERIZON COMMUNICATIONS INC 3.500% 11/01/2021 DD 11/03/11	100,000	104,168	103,217
	VERIZON COMMUNICATIONS INC 4.500% 09/15/2020 DD 09/18/13	50,000	55,170	53,506
	VERIZON COMMUNICATIONS INC 3.450% 03/15/2021 DD 03/17/14	50,000	51,634	51,620
	VERIZON COMMUNICATIONS INC 2.625% 02/21/2020 DD 02/21/15	260,000	262,217	262,493

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	VERIZON COMMUNICATIONS INC 4.400% 11/01/2034 DD 10/29/14	30,000	30,680	29,610
	VIRGIN AUSTRALIA HOLDINGS 144A 8.500% 11/15/2019 DD 11/20/14	135,000	135,000	140,063
	VIRGINIA ELECTRIC & POWER CO 5.400% 04/30/2018 DD 04/17/08	110,000	114,632	115,336
	VIRGINIA ELECTRIC & POWER CO 3.450% 02/15/2024 DD 02/07/14	11,000	10,978	11,304
	WAMU MORTGAGE PASS-THROU S4 3A 5.500% 06/25/2033 DD 05/01/03	369	368	369
	WAMU MORTGAGE PASS-THRO AR3 A2 VAR RT 06/25/2034 DD 04/01/04	9,388	9,372	9,417
	WACHOVIA CORP 5.750% 02/01/2018 DD 01/31/08	225,000	224,030	234,585
	WALGREENS BOOTS ALLIANCE INC 3.800% 11/18/2024 DD 11/18/14	60,000	60,286	61,067
	WASTE MANAGEMENT INC 2.400% 05/15/2023 DD 05/16/16	52,000	51,947	50,422
	WELLS FARGO & CO 4.480% 01/16/2024 DD 11/26/13	101,000	83,902	106,319
	WELLS FARGO & CO 2.500% 03/04/2021 DD 03/04/16	60,000	59,986	59,555
	WELLS FARGO & CO 3.450% 02/13/2023 DD 02/13/13	50,000	51,267	50,180
	WELLS FARGO & CO 3.000% 02/19/2025 DD 02/19/15	156,000	155,479	149,860
	WESTLAKE AUTOMOBIL 2A A2A 144A 1.280% 07/16/2018 DD 06/24/15	26,081	26,032	26,079
	WESTPAC BANKING CORP 1.600% 08/19/2019 DD 08/19/16	107,000	106,981	105,726
	TRI POINTE GROUP INC / TRI POI 5.875% 06/15/2024 DD 06/15/15	665,000	652,698	684,950
	WHITING PETROLEUM CORP 5.000% 03/15/2019 DD 09/12/13	250,000	229,888	250,978
	WHITING PETROLEUM CORP 5.750% 03/15/2021 DD 09/12/13	240,000	218,530	239,002
	WHITING PETROLEUM CORP 6.250% 04/01/2023 DD 03/27/15	35,000	28,113	35,000
	WINDSTREAM SERVICES LLC 7.750% 10/15/2020 DD 10/06/10	75,000	69,650	77,100
	WINDSTREAM SERVICES LLC 7.750% 10/01/2021 DD 03/28/11	50,000	40,900	51,400
	WINDSTREAM SERVICES LLC 7.500% 04/01/2023 DD 03/16/11	110,000	91,363	105,875
	WINDSTREAM SERVICES LLC 7.500% 06/01/2022 DD 11/22/11	35,000	28,113	34,300
	WINDSTREAM SERVICES LLC 6.375% 08/01/2023 DD 01/23/13	55,000	43,475	49,088
	WISCONSIN ELECTRIC POWER CO 3.100% 06/01/2025 DD 05/20/15	22,000	21,977	21,959
	WORLD OMNI AUTOMOBILE LEA A A3 1.450% 08/15/2019 DD 07/20/16	100,000	99,987	99,719
	XCEL ENERGY INC 3.300% 06/01/2025 DD 06/01/15	32,000	32,219	32,009
	XEROX CORP 2.750% 09/01/2020 DD 03/03/15	20,000	19,888	19,727
	ZOETIS INC 3.250% 02/01/2023 DD 08/01/13	80,000	76,770	79,825
	NEW ZEALAND GOVERNMENT BO REGS 3.000% 04/15/2020	2,270,000	1,849,024	1,607,361
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2024	25,000	18,501	20,990
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2041	85,000	47,532	55,790
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2039	50,000	25,658	32,909
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2036	15,000	6,981	10,027
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2035	15,000	7,001	10,146
	HELLENIC REPUBLIC GOVERNMENT REGS STEP 02/24/2038	25,000	12,955	16,391
	HELLENIC REPUBLIC GOVERNMENT 144A 3.375% 07/17/2017	175,000	165,326	180,890
	MEXICAN BONOS 8.000% 12/07/2023	55,000	505,729	276,926
	MEXICAN BONOS 10.000% 12/05/2024	5,000	51,242	28,086
	MEXICAN BONOS 7.500% 06/03/2027	25,000	213,647	120,772
	MEXICAN BONOS 8.500% 05/31/2029	5,000	46,415	25,814
	MEXICAN BONOS 7.750% 05/29/2031	10,000	86,189	48,451

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	GIBSON ENERGY INC 5.375% 15-JUL-2022 144A	575,000	527,257	429,840
	Total corporate bonds and notes		<u>64,508,381</u>	<u>64,817,694</u>
	Common stocks			
	AAR CORP	500	15,428	16,525
	AFLAC INC	2,300	111,733	160,080
	AGCO CORP	2,300	119,046	133,078
	AMAG PHARMACEUTICALS INC	3,100	87,464	107,880
	AT&T INC	20,298	672,073	863,274
	ABBVIE INC	3,546	162,139	222,051
	ACCELERON PHARMA INC	2,710	93,385	69,159
	ADOBE SYSTEMS INC	7,225	519,597	743,814
	AECOM	3,540	105,147	128,714
	ADVERUM BIOTECHNOLOGIES INC	1,200	5,064	3,480
	ADVANCED ENERGY INDUSTRIES INC	1,800	54,883	98,550
	AETNA INC	2,843	218,995	352,560
	AGILENT TECHNOLOGIES INC	6,235	295,040	284,067
	ALEXION PHARMACEUTICALS INC	4,775	537,573	584,221
	ALIBABA GROUP HOLDING LTD ADR	9,050	728,532	794,681
	ALIGN TECHNOLOGY INC	985	54,890	94,688
	ALLEGiant TRAVEL CO	1,093	203,426	181,875
	ALLETE INC	900	54,795	57,771
	ALLSTATE CORP/THE	3,200	113,524	237,184
	ALPHABET INC-CL C	795	295,467	613,597
	ALPHABET INC-CL A	1,380	516,959	1,093,581
	ALPHATEC HOLDINGS INC	600	5,226	1,926
	ALTRIA GROUP INC	1,233	71,894	83,375
	AMAZON.COM INC	1,965	911,594	1,473,495
	AMBAC FINANCIAL GROUP INC	1,500	22,329	33,750
	AMERICAN AIRLINES GROUP INC	2,800	101,712	130,732
	AMERICAN AXLE & MANUFACTURING	4,900	77,065	94,570
	AMERICAN FINANCIAL GROUP INC/O	1,400	42,108	123,368
	AMERICAN PUBLIC EDUCATION INC	1,000	19,953	24,550
	AMERICA'S CAR-MART INC/TX	1,100	49,330	48,125
	AMERIPRISE FINANCIAL INC	1,939	157,536	215,113
	AMGEN INC	2,148	170,056	314,059
	AMKOR TECHNOLOGY INC	1,200	12,686	12,660
	ANGIODYNAMICS INC	3,500	59,077	59,045
	ANTHEM INC	3,046	287,046	437,923
	APPLE INC	6,942	328,333	804,022
	APPLIED MATERIALS INC	3,413	101,640	110,138

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	ARAMARK	4,490	146,475	160,383
	ARCBEST CORP	3,800	91,674	105,070
	ARCELORMITTAL	20,453	238,176	149,307
	ARCHER-DANIELS-MIDLAND CO	6,613	237,320	301,883
	ARCHROCK INC	8,800	106,793	116,160
	ARIAD PHARMACEUTICALS INC	7,920	68,334	98,525
	ARLINGTON ASSET INVESTMENT COR	1,400	22,461	20,748
	ASCENA RETAIL GROUP INC	5,800	29,903	35,902
	ASCENT CAPITAL GROUP INC	1,800	40,447	29,268
	ASSURANT INC	1,800	104,175	167,148
	ASTEC INDUSTRIES INC	100	6,772	6,746
	ATHENAHEALTH INC	2,300	246,506	241,891
	ATKORE INTERNATIONAL GROUP INC	4,500	80,965	107,595
	ATWOOD OCEANICS INC	6,800	60,769	89,284
	BB&T CORP	2,900	89,682	136,358
	BAIDU INC ADR	3,500	377,043	575,435
	BANK OF AMERICA CORP	27,580	400,533	609,518
	BANKRATE INC	4,700	37,845	51,935
	BASIC ENERGY SERVICES INC	1,242	48,437	43,903
	BAXTER INTERNATIONAL INC	6,389	302,187	283,288
	BEAZER HOMES USA INC	8,000	89,469	106,400
	BECTON DICKINSON AND CO	1,092	190,892	180,781
	BENCHMARK ELECTRONICS INC	2,650	62,766	80,825
	BERKSHIRE HATHAWAY INC	642	87,050	104,633
	BEST BUY CO INC	3,119	100,150	133,088
	BIG 5 SPORTING GOODS CORP	4,700	89,162	81,545
	BIG LOTS INC	2,100	66,003	105,441
	BIOMARIN PHARMACEUTICAL INC	5,225	334,531	432,839
	BIOGEN INC	1,275	235,672	361,565
	BLACK BOX CORP	200	2,646	3,050
	BLUCORA INC	2,400	33,900	35,400
	BOEING CO/THE	1,100	144,438	171,248
	BRAVO BRIO RESTAURANT GROUP IN	800	6,483	3,040
	BROCADE COMMUNICATIONS SYSTEMS	5,300	54,075	66,197
	BUILDERS FIRSTSOURCE INC	12,860	167,992	141,074
	CECO ENVIRONMENTAL CORP	1,400	17,911	19,530
	CF INDUSTRIES HOLDINGS INC	1,400	56,058	44,072
	CRA INTERNATIONAL INC	700	22,826	25,620
	CNO FINANCIAL GROUP INC	13,550	233,406	259,483
	CSG SYSTEMS INTERNATIONAL INC	2,300	45,063	111,320

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	CVS HEALTH CORP	3,209	269,832	253,222
	CABOT CORP	3,297	139,449	166,630
	CAMPBELL SOUP CO	2,723	166,457	164,660
	CAPELLA EDUCATION CO	600	45,645	52,680
	CAPITAL ONE FINANCIAL CORP	1,600	73,460	139,584
	CARDINAL HEALTH INC	1,308	107,460	94,137
	CELGENE CORP	187	15,739	21,645
	CENTRAL GARDEN & PET CO	1,900	34,227	58,710
	CENTRAL PACIFIC FINANCIAL CORP	3,600	82,736	113,112
	CENTRAL VALLEY COMMUNITY BANCO	2,100	31,849	41,916
	CENTURYLINK INC	5,425	169,440	129,007
	CHARLES RIVER LABORATORIES INT	866	68,373	65,981
	CHEMOURS CO/THE	4,000	65,910	88,360
	CHEVRON CORP	4,257	376,707	501,049
	CHICAGO BRIDGE & IRON CO NV	700	20,607	22,225
	CHIMERIX INC	2,700	13,261	12,420
	CHIPOTLE MEXICAN GRILL INC	1,025	375,310	386,753
	CISCO SYSTEMS INC	18,401	368,169	556,078
	CITIGROUP INC	8,933	389,887	530,888
	CITIZENS FINANCIAL GROUP INC	1,304	34,274	46,462
	CITRIX SYSTEMS INC	3,685	288,306	329,107
	CLOUD PEAK ENERGY INC	12,700	68,652	71,247
	COCA-COLA CO/THE	2,239	87,894	92,829
	COLUMBUS MCKINNON CORP/NY	2,000	50,896	54,080
	COMCAST CORP	2,684	149,674	185,330
	COMMERCIAL METALS CO	3,900	76,714	84,942
	COMMERCIAL VEHICLE GROUP INC	11,000	58,998	60,830
	COMMUNITY TRUST BANCORP INC	100	4,938	4,960
	CONNECTONE BANCORP INC	500	9,126	12,975
	COOPER-STANDARD HOLDINGS INC	900	72,776	93,042
	COOPER TIRE & RUBBER CO	2,600	42,178	101,010
	CORNING INC	7,800	118,733	189,306
	COSTAR GROUP INC	700	125,334	131,943
	CRANE CO	1,870	134,633	134,864
	CUSTOMERS BANCORP INC	900	31,374	32,238
	CYPRESS SEMICONDUCTOR CORP	8,650	89,567	98,956
	DARDEN RESTAURANTS INC	1,128	71,003	82,028
	DARLING INGREDIENTS INC	2,200	31,879	28,402
	DELL TECHNOLOGIES INC CLASS V	891	40,157	48,978
	DELTA AIR LINES INC	2,100	93,020	103,299
	DENBURY RESOURCES INC	11,217	34,407	41,279
	DEVRY EDUCATION GROUP INC	800	18,605	24,960

THE CCHS RETIREMENT PLAN

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	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	DEXCOM INC	1,710	110,461	102,087
	DILLARD'S INC	1,100	38,103	68,959
	WALT DISNEY CO/THE	708	59,947	73,788
	DISCOVER FINANCIAL SERVICES	4,700	180,142	338,823
	DOMTAR CORP	2,200	114,124	85,866
	RR DONNELLEY & SONS CO	1,733	48,119	28,283
	DONNELLEY FINANCIAL SOLUTIONS	650	18,792	14,937
	DOW CHEMICAL CO/THE	6,225	319,234	356,195
	DRIL-QUIP INC	608	35,062	36,510
	DUCOMMUN INC	200	5,736	5,112
	ERA GROUP INC	300	5,039	5,091
	EDWARDS LIFESCIENCES CORP	4,200	303,594	393,540
	PERRY ELLIS INTERNATIONAL INC	600	14,125	14,946
	EMCOR GROUP INC	200	9,337	14,152
	EMPLOYERS HOLDINGS INC	600	15,246	23,760
	ENERSYS	500	31,483	39,050
	ENTERGY CORP	3,033	205,578	222,835
	ENTERPRISE FINANCIAL SERVICES	2,400	72,373	103,200
	EXACTECH INC	300	6,865	8,190
	EXELON CORP	4,400	127,363	156,156
	EXPRESS SCRIPTS HOLDING CO	1,700	146,113	116,943
	EXXON MOBIL CORP	9,955	777,196	898,538
	FMC TECHNOLOGIES INC	1,523	41,318	54,112
	FCB FINANCIAL HOLDINGS INC	2,100	77,651	100,170
	FACEBOOK INC	12,280	534,908	1,412,814
	FEDERAL AGRICULTURAL MORTGAGE	2,200	71,020	125,994
	FEDEX CORP	1,100	158,472	204,820
	F5 NETWORKS INC	2,658	275,704	384,666
	FIFTH THIRD BANCORP	6,600	92,796	178,002
	FINANCIAL INSTITUTIONS INC	200	6,821	6,840
	FINISAR CORP	500	16,253	15,135
	FINISH LINE INC/THE	4,400	89,393	82,764
	FIRST DEFIANCE FINANCIAL CORP	1,200	42,223	60,888
	FIRST FINANCIAL BANCORP	800	17,149	22,760
	FIRST FINANCIAL CORP/IIN	1,000	41,015	52,800
	FIRST FINANCIAL NORTHWEST INC	900	14,887	17,766
	FIRST HORIZON NATIONAL CORP	7,845	118,067	156,978
	FIRST REPUBLIC BANK/CA	1,820	64,571	167,695
	1ST SOURCE CORP	1,000	30,414	44,660
	FIRSTENERGY CORP	4,500	145,524	139,365
	FLEXSTEEL INDUSTRIES INC	200	9,899	12,334
	FLUOR CORP	1,300	56,374	68,276

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	FLOWSERVE CORP	1,220	60,570	58,621
	FLUSHING FINANCIAL CORP	1,200	25,062	35,268
	FOOT LOCKER INC	679	46,270	48,134
	FORD MOTOR CO	14,300	215,864	173,459
	FORESTAR GROUP INC	1,700	22,694	22,610
	FORTINET INC	4,220	123,265	127,106
	LB FOSTER CO	700	8,388	9,520
	FUTUREFUEL CORP	400	5,173	5,560
	ARTHUR J GALLAGHER & CO	2,390	119,291	124,184
	GANNETT CO INC	1,300	7,732	12,623
	GENERAL ELECTRIC CO	7,146	181,966	225,814
	GENERAL MILLS INC	4,333	273,170	267,649
	GENERAL MOTORS CO	4,500	147,637	156,780
	GENUINE PARTS CO	2,917	286,048	278,690
	GILEAD SCIENCES INC	1,409	148,009	100,898
	GOLDMAN SACHS GROUP INC/THE	800	103,729	191,560
	GOODYEAR TIRE & RUBBER CO/THE	4,200	119,343	129,654
	WR GRACE & CO	1,915	149,346	129,531
	HCA HEALTHCARE INC	2,400	164,500	177,648
	HD SUPPLY HOLDINGS INC	4,240	128,003	180,242
	HP INC	6,800	93,747	100,912
	HAEMONETICS CORP	1,412	51,837	56,762
	HAIR CELESTIAL GROUP INC/THE	1,850	71,079	72,206
	HALCON RES CORP WT EXP 09/09/2020	407	913	936
	HALCON RESOURCES CORP	210	2,279	1,961
	HALYARD HEALTH INC	2,040	74,214	75,439
	HANMI FINANCIAL CORP	3,600	90,645	125,640
	HARLEY-DAVIDSON INC	3,000	180,016	175,020
	HARRIS CORP	225	10,971	23,056
	HARSCO CORP	1,700	24,095	23,120
	HARTFORD FINANCIAL SERVICES GR	3,600	90,488	171,540
	HAVERTY FURNITURE COS INC	300	6,555	7,110
	HEALTHWAYS INC	500	12,000	11,375
	HELIX ENERGY SOLUTIONS GROUP I	1,500	13,296	13,230
	HESS CORP	900	58,111	56,061
	HEWLETT PACKARD ENTERPRISE CO	6,800	103,047	157,352
	HILLTOP HOLDINGS INC	1,800	42,873	53,640
	HOLOGIC INC	7,426	254,907	297,931
	HOME DEPOT INC/THE	1,606	187,073	215,332
	HOMETRUST BANCSHARES INC	3,000	55,134	77,700
	HOOVER FURNITURE CORP	500	17,569	18,975

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	HORIZON GLOBAL CORP	400	7,340	9,600
	JB HUNT TRANSPORT SERVICES INC	2,020	161,964	196,081
	HUNTINGTON INGALLS INDUSTRIES	1,036	141,100	190,821
	HUNTSMAN CORP	5,300	76,201	101,124
	IDT CORP	1,000	14,478	18,540
	ILLUMINA INC	3,150	558,997	403,326
	INCYTE CORP	3,125	318,182	313,344
	INDEPENDENT BANK CORP/MI	3,000	48,566	65,100
	INFINITY PHARMACEUTICALS INC	1,400	6,965	1,890
	INGREDION INC	800	39,573	99,968
	INNOPHOS HOLDINGS INC	200	9,225	10,452
	INTEL CORP	45,382	1,167,885	1,646,005
	INTERNATIONAL BUSINESS MACHINE	1,888	295,665	313,389
	INTERNATIONAL PAPER CO	7,810	376,644	414,399
	INTERPUBLIC GROUP OF COS INC/T	5,050	112,516	118,221
	INVESTORS BANCORP INC	800	11,002	11,160
	JPMORGAN CHASE & CO	10,457	479,910	902,335
	JACK IN THE BOX INC	1,195	85,326	133,410
	JOHNSON & JOHNSON	10,931	1,104,873	1,259,361
	JOHNSON OUTDOORS INC	500	18,702	19,845
	JUNIPER NETWORKS INC	4,200	98,497	118,692
	KCG HOLDINGS INC	5,900	73,863	78,175
	KLA-TENCOR CORP	1,220	88,666	95,990
	KLX INC	1,040	47,894	46,914
	KMG CHEMICALS INC	600	22,954	23,334
	K12 INC	6,000	77,168	102,960
	KAISER ALUMINUM CORP	792	66,440	61,530
	KAPSTONE PAPER AND PACKAGING C	800	15,394	17,640
	KNOLL INC	5,430	129,755	151,660
	KOHL'S CORP	4,902	228,390	242,061
	KOPPERS HOLDINGS INC	200	8,441	8,060
	KROGER CO/THE	2,100	22,970	72,471
	KULICKE & SOFFA INDUSTRIES INC	7,300	82,479	116,435
	LKQ CORP	4,860	158,820	148,959
	LSC COMMUNICATIONS INC	2,050	56,049	60,844
	LA-Z-BOY INC	1,000	31,472	31,050
	LAM RESEARCH CORP	4,018	383,915	424,823
	LANTHEUS HOLDINGS INC	2,400	19,323	20,640
	LAZARD GLOBAL TOT RT & INC	3,375	50,779	46,373
	LEAR CORP	1,300	62,378	172,081

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Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	LEGACYTEXAS FINANCIAL GROUP IN	200	6,732	8,612
	LENDINGCLUB CORP	24,400	432,055	128,100
	LENNOX INTERNATIONAL INC	930	97,507	142,448
	LIBERTY TRIPADVISOR HOLDINGS I	2,558	58,743	38,498
	LIFEVANTAGE CORP	1,500	13,283	12,225
	LIONS GATE ENTERTAINMENT CORP	3,070	66,045	82,583
	LIONS GATE ENTERTAINMENT CORP	3,070	63,844	75,338
	LIQUIDITY SERVICES INC	2,400	23,534	23,400
	LIVE NATION ENTERTAINMENT INC	3,250	89,720	86,450
	LOUISIANA-PACIFIC CORP	1,500	28,025	28,395
	LOWE'S COS INC	1,073	63,536	76,312
	LULULEMON ATHLETICA INC	1,400	81,107	90,986
	LUMENTUM HOLDINGS INC	1,790	72,310	69,184
	MBIA INC	8,200	73,673	87,740
	MGIC INVESTMENT CORP	11,900	107,349	121,261
	MSCI INC	1,650	116,651	129,987
	MYR GROUP INC	2,800	85,126	105,504
	MACY'S INC	3,522	90,776	126,123
	MAGELLAN HEALTH INC	600	43,196	45,150
	MAMMOTH ENERGY SERVICES INC	1,100	16,386	16,720
	MANPOWERGROUP INC	1,200	103,846	106,644
	MARATHON PETROLEUM CORP	5,200	66,516	261,820
	MARINEMAX INC	2,600	51,460	50,310
	MARLIN BUSINESS SERVICES CORP	1,000	18,700	20,900
	MASCO CORP	2,530	80,716	79,999
	MASTEC INC	1,110	25,238	42,458
	MCDERMOTT INTERNATIONAL INC	7,100	46,797	52,469
	MCDONALD'S CORP	308	29,414	37,490
	MCGRATH RENTCORP	1,500	48,554	58,785
	MCKESSON CORP	1,701	313,733	238,905
	MEDIFAST INC	1,314	40,295	54,702
	MEDPACE HOLDINGS INC	100	3,175	3,607
	MERCANTILE BANK CORP	1,400	32,935	52,780
	MERCK & CO INC	6,006	280,653	353,573
	MERITOR INC	800	10,013	9,936
	MERRIMACK PHARMACEUTICALS INC	13,120	132,873	53,530
	METHODE ELECTRONICS INC	200	8,476	8,270
	METLIFE INC	2,500	87,935	134,725
	METTLER-TOLEDO INTERNATIONAL I	609	97,110	254,903
	MICROSOFT CORP	10,217	389,205	634,884
	MICROSEMI CORP	1,840	63,801	99,305
	MIDDLEBY CORP/THE	1,490	167,142	191,927

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	MIDDLESEX WATER CO	700	29,041	30,058
	MIDLAND STATES BANCORP INC	1,800	44,080	65,124
	MOLINA HEALTHCARE INC	1,200	69,013	65,112
	MONEYGRAM INTERNATIONAL INC	1,300	14,173	15,353
	MONSTER BEVERAGE CORP	11,102	492,241	492,263
	MORGAN STANLEY	4,800	143,882	202,800
	MOVADO GROUP INC	500	14,702	14,375
	NCI BUILDING SYSTEMS INC	200	3,172	3,130
	NATIONAL BANK HOLDINGS CORP	800	19,518	25,512
	NATIONAL OILWELL VARCO INC	1,800	97,103	67,392
	NEFF CORP	500	5,800	7,050
	NEKTAR THERAPEUTICS	7,800	116,142	95,706
	NELNET INC	4,000	110,674	203,000
	NETAPP INC	1,312	43,862	46,274
	NETFLIX INC	5,550	596,429	687,090
	NETGEAR INC	800	26,773	43,480
	NEUROCRINE BIOSCIENCES INC	2,850	112,338	110,295
	NEWELL BRANDS INC	1,214	64,216	54,205
	NEWSTAR FINANCIAL INC	500	4,641	4,625
	NEXSTAR MEDIA GROUP INC	2,560	123,987	162,048
	NORTHROP GRUMMAN CORP	200	11,196	46,516
	NORTHWEST NATURAL GAS CO	1,400	76,689	83,720
	NUCOR CORP	3,839	180,933	228,497
	OFG BANCORP	8,100	100,419	106,110
	OASIS PETROLEUM INC	3,200	33,200	48,448
	OFFICE DEPOT INC	20,500	89,562	92,660
	OLD DOMINION FREIGHT LINE INC	1,790	115,969	153,564
	OLYMPIC STEEL INC	2,574	59,728	62,368
	OMNICOM GROUP INC	3,449	278,624	293,544
	OMEGA PROTEIN CORP	3,600	89,109	90,180
	OMNOVA SOLUTIONS INC	3,700	35,311	37,000
	ON SEMICONDUCTOR CORP	12,850	120,252	163,966
	ONE GAS INC	900	51,839	57,564
	ORACLE CORP	4,182	135,187	160,798
	ORMAT TECHNOLOGIES INC	1,500	72,150	80,430
	OSHKOSH CORP	1,300	86,232	83,993
	OVERSEAS SHIPHOLDING GROUP INC	5,400	60,188	20,682
	PCM INC	1,700	30,752	38,250
	PNC FINANCIAL SERVICES GROUP I	1,700	96,947	198,832
	PTC THERAPEUTICS INC	1,200	11,080	13,092
	PACIRA PHARMACEUTICALS INC/DE	3,560	184,694	114,988
	PACKAGING CORP OF AMERICA	2,200	148,857	186,604

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

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Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	PALO ALTO NETWORKS INC	3,250	492,388	406,413
	PENNYMAC FINANCIAL SERVICES IN	900	15,536	14,985
	PEOPLE'S UNITED FINANCIAL INC	2,107	34,199	40,792
	PEPSICO INC	4,129	404,424	432,017
	PFIZER INC	24,773	581,790	804,627
	PHILIP MORRIS INTERNATIONAL IN	748	67,449	68,435
	PHILLIPS 66	1,100	30,312	95,051
	PHOTRONICS INC	1,200	13,967	13,560
	PILGRIM'S PRIDE CORP	3,000	74,211	56,970
	PINNACLE WEST CAPITAL CORP	2,067	130,879	161,288
	PINNACLE FOODS INC	2,670	128,340	142,712
	PIPER JAFFRAY COS	200	13,873	14,500
	POPULAR INC	2,000	59,254	87,640
	POWELL INDUSTRIES INC	1,200	39,237	46,800
	PREFERRED BANK/LOS ANGELES CA	1,000	29,546	52,420
	PRESTIGE BRANDS HOLDINGS INC	1,772	93,270	92,321
	PRICELINE GROUP INC/THE	720	682,162	1,055,563
	PRINCIPAL FINANCIAL GROUP INC	5,451	264,916	315,395
	PROCTER & GAMBLE CO/THE	4,409	359,671	370,709
	PROGRESSIVE CORP/THE	7,738	251,384	274,699
	PRUDENTIAL FINANCIAL INC	2,300	140,058	239,338
	PUBLIC SERVICE ENTERPRISE GROU	5,300	198,514	232,564
	QUAD/GRAPHICS INC	3,800	56,065	102,144
	QUALCOMM INC	4,000	216,848	260,800
	QUEST DIAGNOSTICS INC	3,620	255,107	332,678
	RADIAN GROUP INC	12,300	156,180	221,154
	RAYMOND JAMES FINANCIAL INC	2,380	102,309	164,863
	RAYTHEON CO	400	21,180	56,800
	REALPAGE INC	3,110	92,542	93,300
	REGAL BELOIT CORP	1,000	59,332	69,250
	REGENERON PHARMACEUTICALS INC	1,175	281,799	431,331
	REGIONAL MANAGEMENT CORP	2,700	50,760	70,956
	REGIONS FINANCIAL CORP	16,900	150,428	242,684
	RELiance STEEL & ALUMINUM CO	2,523	179,038	200,679
	REPSOL SA ADR	3,694	91,033	52,289
	REPUBLIC BANCORP INC/KY	1,700	43,504	67,218
	RESMED INC	4,513	258,592	280,032
	RETAILMENOT INC	3,700	36,929	34,410
	REX ENERGY CORP	10,650	400,756	5,016
	REX AMERICAN RESOURCES CORP	1,100	92,782	108,625
	ROCKY BRANDS INC	820	10,118	9,471
	ROGERS CORP	400	28,657	30,724

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	RUBICON PROJECT INC/THE	5,300	49,827	39,326
	RUSH ENTERPRISES INC	600	19,400	19,140
	RYANAIR HOLDINGS PLC ADR	519	39,218	43,212
	RYDER SYSTEM INC	1,900	123,394	141,436
	RYERSON HOLDING CORP	1,700	24,420	22,695
	SJW GROUP	200	11,264	11,196
	SABRE CORP	5,460	145,044	136,227
	SALESFORCE.COM INC	13,975	622,630	956,729
	SANDERSON FARMS INC	774	68,797	72,942
	SANDY SPRING BANCORP INC	2,100	70,100	83,979
	SANMINA CORP	2,300	55,309	84,295
	SCANSOURCE INC	300	12,055	12,105
	SCHLUMBERGER LTD	4,883	377,654	409,928
	SCHNITZER STEEL INDUSTRIES INC	2,799	49,031	71,934
	A SCHULMAN INC	100	3,354	3,345
	CHARLES SCHWAB CORP/THE	14,250	340,038	562,448
	SCRIPPS NETWORKS INTERACTIVE I	2,040	136,031	145,595
	SEALED AIR CORP	3,420	157,930	155,063
	SENECA FOODS CORP	300	12,125	12,015
	SERVICENOW INC	3,275	273,128	243,464
	SHERWIN-WILLIAMS CO/THE	257	75,468	69,066
	SHOPIFY INC	1,590	48,291	68,163
	SIERRA BANCORP	3,600	63,184	95,724
	AO SMITH CORP	3,020	123,212	142,997
	SOTHEBY'S	1,640	67,194	65,370
	SOUTHWEST AIRLINES CO	2,533	48,242	126,245
	SOUTHWEST GAS HOLDINGS INC	1,200	75,845	91,944
	SPARK ENERGY INC	799	22,927	24,210
	SPARTANNASH CO	700	19,952	27,678
	SPLUNK INC	10,355	527,036	529,658
	SPOK HOLDINGS INC	2,000	34,033	41,500
	STARBUCKS CORP	312	17,877	17,322
	STATE STREET CORP	1,200	54,797	93,264
	STEEL DYNAMICS INC	5,670	128,121	201,739
	SUMMIT MATERIALS INC	5,890	120,953	140,119
	SUNCOKE ENERGY INC	4,100	48,131	46,494
	SUNTRUST BANKS INC	4,960	201,595	272,056
	SUPERIOR ENERGY SERVICES INC	1,781	24,442	30,063
	SUPERVALU INC	13,400	166,931	62,578
	SUPREME INDUSTRIES INC	1,000	12,971	15,700

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	SURMODICS INC	400	8,665	10,160
	SWISS HELVETIA FUND	24,610	292,483	251,268
	SYSCO CORP	6,254	293,289	346,284
	TCF FINANCIAL CORP	6,400	73,483	125,376
	TTM TECHNOLOGIES INC	8,500	101,298	115,855
	TARGET CORP	4,899	367,166	353,855
	TECH DATA CORP	2,900	152,370	245,572
	TEGNA INC	2,600	33,135	55,614
	TERADATA CORP	910	39,777	24,725
	TERADYNE INC	6,097	122,062	154,864
	TERRITORIAL BANCORP INC	1,400	34,971	45,976
	TESORO CORP	1,790	147,941	156,536
	TEXAS INSTRUMENTS INC	5,779	314,012	421,694
	3M CO	155	15,538	27,678
	TILLY'S INC	986	9,012	13,005
	TITAN INTERNATIONAL INC	700	6,750	7,847
	TOTAL SYSTEM SERVICES INC	3,800	120,880	186,314
	TRANSDIGM GROUP INC	361	40,666	89,875
	TRANSUNION	4,871	157,234	150,660
	TRAVELERS COS INC/THE	2,200	119,359	269,324
	TRICO BANCSHARES	400	10,387	13,672
	TYSON FOODS INC	2,900	53,780	178,872
	UNDER ARMOUR INC	4,500	199,381	130,725
	UNDER ARMOUR INC	4,531	192,858	114,045
	UNION BANKSHARES CORP	1,500	39,897	53,610
	UNISYS CORP	700	10,241	10,465
	UNITED COMMUNITY BANKS INC/GA	1,900	34,578	56,278
	UNITED CONTINENTAL HOLDINGS IN	1,600	105,514	116,608
	UNITEDHEALTH GROUP INC	510	70,356	81,620
	UNUM GROUP	2,500	52,943	109,825
	VAIL RESORTS INC	1,280	129,354	206,477
	VALERO ENERGY CORP	3,500	63,894	239,120
	VECTRUS INC	1,922	55,004	45,840
	VERIZON COMMUNICATIONS INC	11,311	526,324	603,781
	VERSUM MATERIALS INC	300	7,512	8,421
	VIACOM INC	3,000	211,967	105,300
	VILLAGE SUPER MARKET INC	1,300	41,042	40,170
	VISA INC	20,783	739,648	1,621,490
	VISHAY INTERTECHNOLOGY INC	2,800	41,481	45,360
	VMWARE INC	2,929	218,883	230,600

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	VOYA FINANCIAL INC	1,458	50,970	57,183
	WABASH NATIONAL CORP	400	5,277	6,328
	WAL-MART STORES INC	5,420	362,741	374,630
	WALKER & DUNLOP INC	1,000	27,020	31,200
	WASHINGTON FEDERAL INC	3,643	92,464	125,137
	WATERS CORP	972	128,139	130,627
	WEB.COM GROUP INC	2,800	59,656	59,220
	WELLS FARGO & CO	5,738	222,768	316,221
	WEST MARINE INC	2,600	23,339	27,222
	WESTERN ALLIANCE BANCORP	2,628	97,930	128,010
	WESTERN DIGITAL CORP	2,100	83,080	142,695
	WESTERN UNION CO/THE	5,942	108,322	129,060
	WESTROCK CO	316	17,960	16,043
	WHIRLPOOL CORP	600	45,847	109,062
	WHITING PETROLEUM CORP	24,151	247,641	290,292
	WILLIAMS COS INC/THE	1,981	55,368	61,688
	WORLD ACCEPTANCE CORP	1,100	51,790	70,708
	WORLD FUEL SERVICES CORP	2,160	105,519	99,166
	WYNDHAM WORLDWIDE CORP	1,100	74,975	84,007
	XEROX CORP	27,434	279,221	239,499
	YRC WORLDWIDE INC	1,300	18,902	17,264
	ZAYO GROUP HOLDINGS INC	3,900	113,993	128,154
	TELSTRA CORP LTD	12,443	45,804	45,951
	OIL SEARCH LTD	7,672	41,212	39,831
	NORTHERN STAR RESOURCES LTD	14,728	47,002	38,606
	WHITEFIELD LTD	3,700	11,152	11,520
	WOODSIDE PETROLEUM LTD	2,356	53,316	53,158
	WHITEHAVEN COAL LTD	16,732	23,814	31,622
	SPARK NEW ZEALAND LTD	12,329	35,102	29,316
	OMV AG	1,716	48,134	60,742
	OESTERREICHISCHE POST AG	701	31,098	23,579
	AGEAS	2,717	106,439	107,781
	HANNOVER RUECK SE	1,556	109,147	168,714
	CONTINENTAL AG	279	61,023	54,058
	E.ON SE	17,199	159,543	121,542
	HOCHTIEF AG	397	54,712	55,713
	ALLIANZ SE	1,074	169,093	177,850
	SIEMENS AG	816	96,136	100,527
	COVESTRO AG	1,722	90,728	118,385
	UNIPER SE	1,719	19,316	23,779
	ENDESA SA	3,009	79,240	63,872
	GAS NATURAL SDG SA	13,163	256,214	248,657

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	REPSOL SA	3,754	50,689	53,137
	CORP FINANCIERA ALBA SA	3,925	161,073	177,394
	GAMESA CORP TECNOLOGICA SA	9,961	146,189	202,458
	IBERDROLA SA	20,885	134,870	137,325
	AMADEUS IT GROUP SA	1,189	50,987	54,139
	THALES SA	2,884	248,246	280,250
	CNP ASSURANCES	1,921	30,234	35,661
	SOCIETE GENERALE SA	8,829	315,806	435,308
	EURAZEO SA	418	25,695	24,504
	AXA SA	6,477	156,207	163,856
	PEUGEOT SA	2,812	37,365	45,958
	BNP PARIBAS SA	5,212	268,892	332,865
	TOTAL SA	2,991	150,313	153,700
	VINCI SA	4,047	299,945	276,177
	VALEO SA	2,262	87,040	130,291
	ENEL SPA	71,174	318,024	314,396
	ENI SPA	1,071	22,014	17,475
	WOLTERS KLUWER NV	1,133	41,579	41,133
	KONINKLIJKE VOPAK NV	1,432	72,667	67,779
	HEINEKEN HOLDING NV	1,248	93,950	87,062
	KONINKLIJKE AHOLD DELHAIZE NV	18,767	368,251	396,484
	EDP - ENERGIAS DE PORTUGAL SA	47,050	165,447	143,618
	GALP ENERGIA SGPS SA	2,855	37,128	42,731
	JERONIMO MARTINS SGPS SA	3,929	64,337	61,084
	NOVARTIS AG	655	41,979	47,755
	ABB LTD	14,782	324,695	312,409
	ROCHE HOLDING AG	866	177,711	198,191
	NESTLE SA	1,563	100,425	112,340
	STRAUMANN HOLDING AG	80	30,720	31,288
	LONZA GROUP AG	775	126,369	134,435
	SWISS LIFE HOLDING AG	286	71,632	81,099
	PARGESA HOLDING SA	6,701	447,542	437,129
	SCHINDLER HOLDING AG	829	151,644	146,493
	ACTELION LTD	1,128	190,744	244,723
	SWISS RE AG	1,387	123,807	131,692
	ABERFORTH SMALLER COS-ORD	7,000	89,549	95,924
	JUPITER EUROPEAN OPPORTUN TR	13,200	90,923	89,137
	BAILLIE GIFFORD JAPAN TRUST	9,400	62,866	65,045
	HENDERSON ALTERNATIVE STRATE	56,000	197,498	186,311
	JPMORGAN ASIAN INVEST-ORD	7,000	27,482	23,613
	BRITISH EMPIRE TRUST PLC	56,000	449,229	439,743
	JPMORGAN JAPANESE INV. TRUST	123,700	548,596	502,494

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
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(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	AVIVA PLC	3,682	24,866	22,130
	MANCHESTER & LONDON INV TR	6,600	25,177	22,417
	BRITISH AMERICAN TOBACCO PLC	1,146	71,866	65,443
	EDINBURGH DRAGON TRUST PLC	20,800	73,982	77,619
	JPMORGAN JAPAN SMALLER CO TR	37,500	146,812	139,937
	EUROPEAN INVESTMENT TRUST PL	32,400	323,730	317,678
	FIDELITY JAPANESE VALUES PLC	139,500	178,177	174,959
	DUNEDIN INCOME GROWTH INV TR	56,500	194,805	170,347
	INVESCO INCOME GROWTH TR-ORD	14,000	51,134	48,221
	WITAN PACIFIC INVESTMENT TR	23,500	94,926	82,177
	ABERDEEN JAPAN INVESTMENT TR	9,200	60,953	60,364
	HERALD INVESTMENT TRUST PLC	8,900	93,178	97,051
	ARTEMIS ALPHA TRUST PLC-ORD	25,000	99,875	76,726
	MONTANARO EUROPEAN SMALLER C	6,900	54,221	52,179
	KEYSTONE INVESTMENT TRUST	4,300	102,381	87,669
	HSBC HOLDINGS PLC	14,519	140,569	117,851
	ABERDEEN UK TRACKER TRUST PL	103,904	518,682	423,684
	LEGAL & GENERAL GROUP PLC	18,411	47,362	56,328
	MARTIN CURRIE ASIA UNCONSTR	14,000	68,680	55,703
	MONTANARO UK SMALLER COS INV	21,400	150,908	117,671
	WM MORRISON SUPERMARKETS PLC	32,887	86,522	93,749
	MURRAY INCOME TRUST PLC	10,100	99,508	90,356
	PACIFIC HORIZON INV TR PLC	8,641	26,477	22,142
	RIO TINTO PLC	9,760	312,707	380,913
	JPMORGAN SMALLER COSIT PLC	4,200	46,939	42,854
	HANSA TRUST PLC-A O	8,400	106,370	85,631
	SSE PLC	1,048	23,834	20,111
	SCHRODER UK GROWTH FUND PLC	117,000	288,773	240,711
	BP PLC	6,499	51,455	40,923
	SCHRODER JAPAN GROWTH FUND	55,000	118,818	129,465
	LLOYDS BANKING GROUP PLC	55,094	59,764	42,555
	TEMPLE BAR INVESTMENT TRUST	9,800	138,850	148,098
	TEMPLETON EMERGING MARKETS-O	20,000	155,226	147,166
	TESCO PLC	8,401	20,969	21,472
	TR EUROPEAN GROWTH TRUST PLC	9,500	83,132	90,916
	GLAXOSMITHKLINE PLC	18,079	390,452	348,940
	LAZARD WORLD TRUST FUND	43,274	176,806	156,872
	BT GROUP PLC	8,274	54,910	37,511
	IMPAX ENVIRONMENTAL MARKETS	71,000	172,866	191,254
	BARCLAYS PLC	15,743	42,821	43,467
	LAW DEBENTURE CORP PLC-ORD	4,500	29,002	29,470
	JPMORGAN RUSSIAN SECURITIES	12,000	64,469	77,920

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	KINGFISHER PLC	2,530	13,896	10,951
	PROSPECT JAPAN FUND LTD	103,000	107,854	92,443
	ROYAL DUTCH SHELL PLC A SHS	2,872	91,936	79,582
	ROYAL DUTCH SHELL PLC B SHS	1,695	68,557	49,303
	NATIONAL GRID PLC	2,076	30,618	24,411
	JPMORGAN EUROPEAN INVEST-INC	90,125	154,825	152,010
	JPMORGAN EUROPEAN INV-GROWTH	13,800	42,783	44,165
	MONDI PLC	5,590	119,991	115,075
	INVESCO PERP UK SMALLER COS	21,800	113,826	112,328
	F&C UK HIGH INCOME TRUST PLC	52,000	76,802	64,254
	ABERDEEN FRONTIER MARKETS IN	70,000	60,503	56,222
	3I GROUP PLC	22,056	166,683	191,865
	QATAR INVESTMENT FUND PLC	33,645	43,827	36,000
	RELX PLC	3,005	55,554	53,803
	ABERDEEN EMERGING MARKETS IN	12,100	81,098	76,701
	GENESIS EMERGING MARKETS	7,100	54,101	52,858
	CENTAMIN PLC	27,335	56,705	46,780
	ATLANTIS JAPAN GROWTH FUND	52,040	101,480	92,918
	POLAR CAP GBL HEALTHCARE	9,000	22,502	21,658
	OLD MUTUAL PLC	5,454	14,393	13,970
	WPP PLC	2,846	62,637	63,863
	POLAR CAPITAL GLOBAL FINANCI	12,000	16,792	19,035
	ECOFIN GLOBAL UTILITIES AND	14,096	20,664	19,421
	VODAFONE GROUP PLC	27,269	83,883	67,339
	FIDELITY EUROPEAN VALUES PLC	157,500	385,365	357,118
	JPMORGAN EUR SMALLER COMPANI	24,500	88,909	88,701
	EF REALISATION CO LTD	17,500	9,649	7,352
	JOHNSON MATTHEY PLC	2,265	99,254	89,056
	WHARF HOLDINGS LTD/THE	28,000	191,564	186,169
	KERRY PROPERTIES LTD	10,500	28,317	28,508
	BOC HONG KONG HOLDINGS LTD	14,500	47,737	51,898
	NEW WORLD DEVELOPMENT CO LTD	155,000	171,684	163,933
	WHEELOK & CO LTD	5,000	28,618	28,150
	KDDI CORP	800	22,256	20,299
	DENKA CO LTD	12,000	50,071	53,089
	MITSUBISHI UFJ FINANCIAL GROUP	20,175	117,419	124,577
	FUJITSU LTD	1,000	3,737	5,569
	HANWA CO LTD	4,000	22,854	26,236
	RESONA HOLDINGS INC	22,800	121,409	117,191
	SUMITOMO MITSUI TRUST HOLDINGS	2,200	74,315	78,901
	KEIHIN CORP	1,600	24,117	28,094
	KONICA MINOLTA INC	10,600	90,257	105,514

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	SUMITOMO MITSUI FINANCIAL GROU	2,448	86,465	93,609
	MIZUHO FINANCIAL GROUP INC	26,900	56,733	48,387
	SOJITZ CORP	36,700	84,094	89,363
	MITSUMI & CO LTD	8,300	100,911	114,358
	ULVAC INC	2,100	57,272	64,458
	NIPPO CORP	3,000	48,513	56,098
	NIPPON TELEGRAPH & TELEPHONE C	4,400	113,574	185,303
	NOK CORP	1,900	32,884	38,542
	ORIX CORP	7,600	107,695	118,853
	ALFRESA HOLDINGS CORP	4,660	59,585	77,270
	JAPAN PETROLEUM EXPLORATION CO	1,700	42,146	37,881
	SOFTBANK GROUP CORP	500	33,281	33,288
	MEDIPAL HOLDINGS CORP	5,600	88,440	88,488
	SHINKO ELECTRIC INDUSTRIES CO	8,700	46,755	58,927
	SUMITOMO HEAVY INDUSTRIES LTD	8,000	36,349	51,648
	SUZUKEN CO LTD/AICHI JAPAN	3,016	97,740	98,779
	TADANO LTD	4,500	41,546	56,793
	MAZDA MOTOR CORP	6,500	99,450	106,555
	TOYOTA MOTOR CORP	2,300	138,094	135,632
	DCM HOLDINGS CO LTD	4,300	35,526	38,268
	IDEMITSU KOSAN CO LTD	2,200	39,818	58,567
	TS TECH CO LTD	1,100	25,423	28,388
	MS&AD INSURANCE GROUP HOLDINGS	3,700	105,095	114,964
	IIDA GROUP HOLDINGS CO LTD	4,200	79,546	79,834
	DBS GROUP HOLDINGS LTD	5,064	70,672	60,781
	SINGAPORE AIRLINES LTD	4,600	37,765	30,790
	ATLANTICA YIELD PLC	1,300	25,297	25,155
	AIRCRAFT LTD	5,200	59,739	108,420
	ALKERMES PLC	1,570	66,486	87,261
	ARGO GROUP INTERNATIONAL HOLDI	937	46,957	61,748
	ASPEN INSURANCE HOLDINGS LTD	3,788	172,858	208,340
	ASSURED GUARANTY LTD	3,100	79,333	117,087
	AXIS CAPITAL HOLDINGS LTD	2,500	114,003	163,175
	AXALTA COATING SYSTEMS LTD	7,210	205,039	196,112
	BUNGE LTD	2,600	164,184	187,824
	ENDURANCE SPECIALTY HOLDINGS L	1,000	46,586	92,400
	ENSCO PLC	17,443	232,068	169,546
	EVEREST RE GROUP LTD	232	42,405	50,205
	FRESH DEL MONTE PRODUCE INC	1,200	67,195	72,756
	IHS MARKIT LTD	4,649	153,092	164,621
	JAZZ PHARMACEUTICALS PLC	1,495	231,666	163,000
	MALLINCKRODT PLC	500	26,550	24,910

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	MEDTRONIC PLC	499	37,492	35,544
	ALPHA & OMEGA SEMICONDUCTOR LT	4,400	61,588	93,588
	NOBLE CORP PLC	5,300	147,617	31,376
	NORD ANGLIA EDUCATION INC	3,510	73,820	81,783
	RENAISSANCERE HOLDINGS LTD	969	114,324	131,997
	SEAGATE TECHNOLOGY PLC	3,400	55,009	129,778
	STERIS PLC	2,910	204,213	196,105
	VALIDUS HOLDINGS LTD	800	33,969	44,008
	TRANSOCEAN LTD	3,900	58,469	57,486
	TRINSEO SA	700	30,422	41,510
	CYBERARK SOFTWARE LTD	2,080	100,964	94,640
	MAGICJACK VOCALTEC LTD	5,600	47,710	38,360
	MOBILEYE NV	7,025	367,991	267,793
	LYONDELLBASELL INDUSTRIES NV	3,077	214,236	263,945
	ORTHOFIX INTERNATIONAL NV	2,200	72,347	79,596
	WRIGHT MEDICAL GROUP NV	6,162	142,149	141,603
	ALIMENTATION COUCHE-TARD INC	4,169	188,339	189,261
	CANADIAN GENERAL INVESTMENTS	3,000	47,933	43,511
	CANADIAN IMPERIAL BK OF COMM	895	69,485	73,119
	CANADIAN NATURAL RESOURCES LTD	1,622	45,725	51,755
	CANADIAN NATIONAL RAILWAY CO	1,683	108,495	113,401
	OPEN TEXT CORPORATION	898	56,327	55,525
	LINAMAR CORP	1,024	42,082	44,051
	METRO INC	2,601	90,487	77,891
	HUSKY ENERGY INC	3,060	77,700	37,170
	TRANSCANADA CORP	797	30,727	35,980
	ROYAL BANK OF CANADA	827	50,802	56,038
	SUNCOR ENERGY INC NEW	2,969	77,125	97,192
	GENWORTH MI CANADA INC	1,583	41,824	39,733
	CENOVUS ENERGY INC	850	11,947	12,867
	PEYTO EXPLORATION & DEV CORP NEW	1,270	27,618	31,451
	CRESCENT POINT ENERGY CORP	5,782	109,913	78,686
	RAGING RIVER EXPLORATION INC	2,629	22,117	20,702
	CANOE EIT INCOME FUND TR UNIT	7,276	66,568	64,727
	RESTAURANT BRANDS INTL INC	1,472	69,623	70,195
	DANSKE BANK A/S	5,405	155,047	164,230
	VESTAS WIND SYSTEMS A/S	819	45,563	53,326
	NOVO NORDISK A/S	5,778	184,278	208,759
	UPM-KYMMENE OYJ	8,979	131,013	221,044
	METSO OYJ	2,167	62,673	61,941
	NOKIAN RENKAAT OYJ	3,811	125,006	142,376
	KONE OYJ	5,991	262,243	269,000

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	ORION OYJ	2,185	86,876	97,463
	SUBSEA 7 SA	5,418	60,035	68,797
	SKANSKA AB	10,244	230,448	242,552
	ELECTROLUX AB	3,804	99,119	94,759
	SANDVIK AB	16,471	183,585	204,333
	COSTAMARE INC	2,000	14,728	11,200
	INTERNATIONAL SEAWAYS INC	700	9,818	9,825
	SCORPIO TANKERS INC	8,900	44,856	40,317
	Total common stocks		<u>84,550,037</u>	<u>98,284,912</u>
	Preferred stocks			
	AES TRUST III PFD 6.750% CUMULATIVE	3,044	152,932	153,418
	ARCONIC INC PFD 5.375% CUMULATIVE	1,795	84,255	54,119
	BANK OF AMERICA CORP VAR RT 07/29/2049 DD 01/30/08	105,000	84,000	107,888
	BELDEN INC PFD 6.750%	565	56,500	59,359
	CHESAPEAKE ENERGY CORP PFD 5.750% CUMULATIVE	32	14,473	18,240
	CHESAPEAKE ENERGY CORP 144A 5.750% 12/31/2049 DD 05/12/10	63	58,912	346
	CHESAPEAKE ENERGY CORP PFD 5.000% CUMULATIVE	782	70,873	41,837
	EL PASO ENERGY CAPITAL TRUST I PFD 4.750% CUMULATIVE	1,390	52,686	69,041
	HERCULES LLC 6.500% 06/30/2029 DD 09/30/04	130,000	113,413	126,263
	PROLOGIS INC PFD 8.540% CUMULATIVE	184	8,786	12,006
	SIRIUS INTERNATIONAL GROU 144A VAR RT 05/29/2049 DD 05/24/07	190,000	169,069	191,188
	SCHAEFFLER AG	1,763	27,551	26,136
	Total preferred stocks		<u>893,448</u>	<u>859,839</u>
	Common collective trusts			
	MARATHON-LONDON INTERNATIONAL FUND	20,406,827	14,299,747	20,406,827
	ACADIAN INTL SMALL CAP	5,754,472	3,233,879	5,754,472
	COLCHESTER GLOBAL BOND 130/30 CURRENCY HEDGE	11,579,739	11,160,303	11,579,739
	CTF GLOBAL OPPTS	21,688,768	22,511,624	21,688,768
	ARROWSTREET INTL EQUITY ALPHA EXTENSION FUND II	26,336,357	26,096,271	26,336,357
	FRANKLIN TEMPLETON GLOBAL BOND PLUS TRUST	11,440,930	9,900,000	11,440,930
	CTF GLOBAL BOND OPPTS TGT TRES	36,383,675	35,439,036	36,383,675
	BLACKROCK EMERG MKTS ALPHA TILT FD B	10,664,908	10,000,000	10,664,908
*	MCM EB DV INTL SIF	2,989,182	2,899,376	2,989,182
*	MCM EB DV EMERGING MKTS SIF	8,679,033	9,264,061	8,679,033
*	MCM EB DV STOCK INDEX FUND	38,452,964	35,700,000	38,452,964
	Total common collective trusts		<u>180,504,295</u>	<u>194,376,854</u>

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
Alternative investments				
	OCH ZIFF OVERSEAS FUND II	9,814,097	9,814,097	9,814,097
	SHEPERD INVESTMENTS INTL	82,673	82,673	82,673
	CYRUS OPPORTUNITIES FUND II	3,090	3,090	3,090
	BRIDGEWATER PURE ALPHA FUND II LTD	8,305,649	8,305,649	8,305,649
	FARALLON CAPITAL OFFSHORE INVESTMENT II	10,669,126	10,669,126	10,669,126
	KOHLBERG INVESTORS VI	390,490	390,490	390,490
	BAIN CAPITAL FUND X L.P.	2,223,202	2,223,202	2,223,202
	OWL CREEK OVERSEAS LTD	83,952	83,952	83,952
	VERSA CAPITAL FUND II LP	3,121,438	3,121,438	3,121,438
	KING STREET CAPITAL LTD	10,664,201	10,664,201	10,664,201
	AG CAPITAL RECOVERY VI	477,122	477,122	477,122
	RHO VENTURES VI LP	3,960,604	3,960,604	3,960,604
	ROARK CAPITAL PARTNERS II LP	6,404,428	6,404,428	6,404,428
	MAGNETAR CONSTELLATION FUND LTD	11,479,534	11,479,534	11,479,534
	CONVEXITY CAPITAL OFFSHORE LP	7,683,178	7,683,178	7,683,178
	BOGLE OFFSHORE OPPORTUNITY FUND LTD	305,725	305,725	305,725
	NUMERIC MULTI-STRATEGY MARKET NEUTRAL LEVERED OFFSHORE FUND	9,452,894	9,452,894	9,452,894
	ETON PARK OVERSEAS FUND LTD	9,862,623	9,862,623	9,862,623
	TCW DIRECT LENDING LLC LP	4,440,588	4,440,588	4,440,588
	TOURBILLON GLOBAL EQUITIES LTD	7,400,480	7,400,480	7,400,480
	BRACEBRIDGE (BIL FUND LTD)	11,708,791	11,708,791	11,708,791
	SCOPIA PX INTERNATIONAL CL F SUB CL F2 SER 2 FEB 2012	9,948,449	9,948,449	9,948,449
	HALCYON OFFSHORE ASSET-BACKED VALUE FUND LTD	7,068,993	7,068,993	7,068,993
	REGIMENT CAPITAL SS FUND V	795,754	795,754	795,754
	OXAM QUANT FUND LIMITED	9,326,823	9,326,823	9,326,823
	CITADEL GLOBAL EQUITIES FUND LTD	10,351,406	10,351,406	10,351,406
	BRIDGEWATER PURE ALPHA MAJOR MARKETS II	2,164,871	2,164,871	2,164,871
	HIGHFIELDS CAPITAL IV LP	11,633,200	11,633,200	11,633,200
	KLS DIVERSIFIED FUND LTD	11,406,443	11,406,443	11,406,443
	ARCM FEEDER FUND	15,955	15,955	15,955
	MARATHON SPECIAL OPPORTUNITY FUND LTD	2,197,364	2,197,364	2,197,364
	ARCM US FEEDER FUND II LTD	5,116,981	5,116,981	5,116,981
	BRAHMAN PTNRS II OFFSHORE LTD	4,069,432	4,069,432	4,069,432
	BLACKROCK MULTI STRAT FD SPV LTD	144,506	144,506	144,506
	ARCM FEEDER FUND III	660,000	660,000	660,000
	BRIDGEPOINT EUROPE IV A	1,564,816	2,388,242	1,650,490
	AXA Equitable Life Assurance Society	46,581	46,581	46,581
	Total alternative investments		195,868,884	195,131,133

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
Registered investment companies				
	ABERDEEN AUSTRALIA EQUITY FD	7,230	39,400	39,187
	ABERDEEN ISRAEL FUND INC	2,450	44,345	39,381
	ABERDEEN INDONESIA FUND INC	2,400	16,870	15,264
	ABERDEEN JAPAN EQUITY FUND I	17,986	141,908	132,467
	ABERDEEN LATIN AMERICAN EQTY	1,450	32,122	28,696
	ABERDEEN SINGAPORE FUND INC	11,060	113,249	95,780
	ALPINE GLOBAL PREMIER PROPER	12,300	73,415	63,099
	ALPINE TOTAL DYNAMIC DIVIDEN	41,700	353,003	316,086
	ANNALY CAPITAL MANAGEMENT INC	9,900	94,100	98,703
	BLACKROCK GLOBAL OPP EQTY TR	19,805	270,932	229,144
	BLACKROCK INTERNATIONAL GROW	20,700	123,712	114,057
	BLACKROCK RESOURCES & COMMOD	29,537	287,518	244,271
	CBL & ASSOCIATES PROPERTIES IN	19,240	231,611	221,260
	CBRE CLARION GL R/E INCOME F	11,700	96,868	85,410
	CENTRAL EUROPE RUSSIA AND TU	840	18,868	17,279
	CHINA FUND INC	800	15,896	12,040
	CLOUGH GLOBAL EQUITY FUND	2,674	40,172	29,762
	CLOUGH GLBL OPPORTUNITIES FD	5,500	59,426	49,225
	COHEN & STEERS INFRASTRUCTUR	6,000	128,731	116,160
	CORECIVIC INC	1,100	22,419	26,906
	COUSINS PROPERTIES INC	8,200	68,679	69,782
	CUBESMART	1,281	40,708	34,292
	CYRUSONE INC	2,450	107,857	109,589
	DUKE REALTY CORP	4,745	132,487	126,027
	EASTGROUP PROPERTIES INC	695	50,940	51,319
	EMPIRE STATE REALTY TRUST INC	5,000	89,890	100,950
	EUROPEAN EQUITY FUND INC/THE	10,500	89,774	81,060
	FIRST TR DYN EUR EQTY INC	10,423	163,720	161,765
	FOREST CITY REALTY TRUST INC	300	6,275	6,252
	FOUR CORNERS PROPERTY TRUST IN	1,400	26,461	28,728
	HOSPITALITY PROPERTIES TRUST	4,700	110,840	149,178
	HUDSON PACIFIC PROPERTIES INC	4,000	114,746	139,120
	INFRAREIT INC	1,100	19,330	19,701
	ISHARES MSCI AUSTRALIA ETF	17,200	401,062	347,956
	ISHARES MSCI EUROZONE ETF	6,825	267,318	236,145
	ISHARES MSCI FRANCE ETF	2,800	76,859	69,076
	ISHARES MSCI SWITZERLAND CAP	2,800	94,082	82,488
	ISHARES MSCI GERMANY ETF	10,400	303,957	275,392
	ISHARES MSCI JAPAN ETF	11,675	603,044	570,441
	JAPAN SMALLER CAPITALIZATION	29,113	293,085	283,095
	JPMORGAN MTGE BACK SEC-R6	4,641,182	49,951,681	51,749,182

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (HELD AT END OF YEAR)

December 31, 2016

EIN: 34-0714585
Plan Number: 001

(a)	(b)	(c)	(d)	(e)
	Identity of issue, borrower, lessor, or similar party	Units/ Shares	Cost	Current Value
	KOREA FUND INC	1,625	59,577	51,805
	KOREA EQUITY FUND	1,800	14,540	13,338
	MACK-CALI REALTY CORP	300	8,536	8,706
	MACQUARIE GLOBAL INFR TOT RT	2,975	60,052	58,697
	MEDICAL PROPERTIES TRUST INC	3,700	52,990	45,510
	MID-AMERICA APARTMENT COMMUNIT	1,386	140,562	135,717
	MORGAN STANLEY EMRG MARKETS	2,100	30,874	27,678
	MORGAN STANLEY ASIA PACIFIC	5,275	86,221	71,213
	MORGAN STANLEY CHINA A SHARE	1,800	55,557	30,582
	NEW GERMANY FUND	6,200	91,107	81,034
	NEW IRELAND FUND INC	3,160	42,703	37,825
	NEXPOINT RESIDENTIAL TRUST INC	900	18,218	20,106
	PS BUSINESS PARKS INC	454	50,401	52,900
	PARKWAY INC	1,025	23,319	22,806
	PENNSYLVANIA REAL ESTATE INVES	6,000	132,806	113,760
	SELECT INCOME REIT	8,600	225,538	216,720
	STAG INDUSTRIAL INC	4,110	87,545	98,106
	STARWOOD PROPERTY TRUST INC	8,670	194,231	190,307
	SUMMIT HOTEL PROPERTIES INC	3,910	43,736	62,677
	SUN COMMUNITIES INC	540	40,546	41,369
	TAIWAN FUND INC	1,000	17,780	16,100
	TANGER FACTORY OUTLET CENTERS	3,393	127,409	121,402
	TAUBMAN CENTERS INC	1,000	73,832	73,930
	TEMPLETON DRAGON FUND INC	2,800	76,427	45,864
	TEMPLETON EMERGING MKTS FND	5,250	77,298	63,473
	THAI FUND INC	2,529	20,078	19,220
	VOYA EMERGING MARKETS HIGH I	8,250	70,504	61,463
	WELLS FARGO GLOBAL DIVIDEND	4,600	25,935	25,070
	WEYERHAEUSER CO	1,979	59,404	59,548
	XENIA HOTELS & RESORTS INC	1,000	19,540	19,420
	FIDELITY REAL ESTATE HIGH INC	14,238,163	12,721,249	14,238,163
	ADAGE CAPITAL PARTNERS LP	50,490,783	19,487,267	50,490,783
	PANAGORA STRATEGIC U.S. EQUITY EXTENDED ALPHA FUND	1,729,146	1,973,411	1,729,146
	THE COLCHESTER LOCAL MARKETS DEBT FUND	3,132,623	3,117,503	3,132,623
	NUMERIC EMERGING MARKETS ALPHA FD LP	30,473,142	22,363,553	30,473,142
	TRILOGY EMERGING MARKETS FD CLASS C	1,076,039	1,042,662	1,076,039
	ELLINGTON ENHANCED INCOME FD LTD	46,244,424	45,163,325	46,244,424
	GOLDENTREE HIGH YIELD VALUE FD	26,044,958	25,075,310	26,044,958
	WGI EMERGING MARKETS FUND LLC	21,710,939	21,000,000	21,710,939
	Total registered investment companies		209,188,903	253,692,274
			\$ 1,116,881,193	\$ 1,190,682,251

* Party-in-interest as defined by ERISA

THE CCHS RETIREMENT PLAN

SCHEDULE H, LINE 4i – SCHEDULE OF ASSETS (ACQUIRED AND DISPOSED WITHIN YEAR)

Year Ended December 31, 2016

EIN: 34-0714585

Plan Number: 001

(a)	(b)	(c)	(d)
Identity of issue, borrower, lessor, or similar party	Description of investment including maturity date, rate of interest, collateral, par, or maturity value	Cost of acquisitions	Proceeds of dispositions
BROCADE COMMUNICATIONS SYSTEMS	1.375% 01/01/2020 DD 01/14/15	\$ 110,982	\$ 114,345
CITI HELD FOR ASSET PM 1A 144A	4.650% 04/15/2025 DD 03/31/16	\$ 101,899	\$ 102,143
NETSUITE INC	COMMON STOCK	\$ 18,145	\$ 21,800
POLYCOM INC	COMMON STOCK	\$ 21,060	\$ 18,745
NORDEX SE	COMMON STOCK	\$ 76,500	\$ 74,921
WENDEL SA	COMMON STOCK	\$ 53,364	\$ 66,208
MERCANTILE INVESTMENT TRUST	COMMON STOCK	\$ 153,786	\$ 155,200
MITSUI MINING & SMELTING CO LT	COMMON STOCK	\$ 31,602	\$ 33,213