## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

 Complete all entries in accordance with the instructions to the Form 5500-SF. Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit BIG 4 TIRE SALES & SERVICE CO., 401(K) RETIREMENT plan number 001 (PN) • 1c Effective date of plan 04/01/2012 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 16-1340656 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Sponsor's telephone number BIG 4 TIRE SALES & SERVICE CO. 315-432-4444 2d Business code (see instructions) 300 EAST FIRST STREET 441300 EAST SYRACUSE, NY 13057 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 17 5a Total number of participants at the beginning of the plan year ...... 5b 18 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 11 5c complete this item)..... 17 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) 18 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested ..... Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| bellet, it is t  | irue, correct, and complete.                      |   |  |                             |  |  |  |
|--|---|---|--|-----------------------------|--|--|--|
| 31314  | Filed with authorized/valid electronic signature. | 10/12/2017                              | ROGER FOREHAND   |                             |  |  |  |
| HERE   | Signature of plan administrator                   | Date                                    | Enter name of individual signing as plan administrator |                             |  |  |  |
| SIGN   |   |   |  |                             |  |  |  |
| HERE   | Signature of employer/plan sponsor                | ual signing as employer or plan sponsor |  |                             |  |  |  |
| Preparer's name (including firm name, if applicable) and address (include room or suite number ) |   |   | r )  | Preparer's telephone number |  |  |  |
|  |   |   |  |                             |  |  |  |
|  |   |   |  |                             |  |  |  |
|  |   |   |  |                             |  |  |  |

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| 6a         | Were all of the plan's assets during the plan year invested in eligib  | le assets?  | (See instructions.)      |          |          |                           |          |           | X Ye     | s No       |  |
|------------|--|-------------|--------------------------|----------|----------|---------------------------|----------|-----------|----------|------------|--|
| b          | Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) |             |                          |          |          |                           |          | X Ye      | s No     |            |  |
| c          | If you answered "No" to either line 6a or line 6b, the plan cann<br>If the plan is a defined benefit plan, is it covered under the PBGC in   |             |                          |          |          | _                         | -        | _         | □ Not de | termined   |  |
|            | rt III Financial Information   | isurarice p | orogram (See ErrioA Se   | JOHOTT 4 | 021):    |                           | 103      | Пио       |          | terriiried |  |
| 7          | Plan Assets and Liabilities  |             | (a) Basinning            | of Voor  |          |                           |          | (b) End   | of Voor  |            |  |
| <u>′</u> а | Total plan assets  | 7a          | (a) Beginning            | 562347   |          | (b) End of Year<br>633547 |          |           |          |            |  |
| _          | Total plan liabilities   | 7b          |                          |          |          |                           |          |           |          |            |  |
|            | Net plan assets (subtract line 7b from line 7a)  | 7c          |                          | 562347   | ,        |                           |          |           | 63354    | 17         |  |
| 8          | Income, Expenses, and Transfers for this Plan Year   |             | (a) Amour                | nt       |          | (b) Total                 |          |           |          |            |  |
|            | Contributions received or receivable from:   |             | (4) 7 4110 411           |          |          | 1-7 : 552.                |          |           |          |            |  |
|            | (1) Employers  | 8a(1)       |                          | 18589    |          |                           |          |           |          |            |  |
|            | (2) Participants   | 8a(2)       |                          | 41272    |          |                           |          |           |          |            |  |
|            | (3) Others (including rollovers)   | 8a(3)       |                          | 07075    |          |                           |          |           |          |            |  |
| <u>b</u>   | Other income (loss)  | 8b          |                          | 37075    |          |                           |          |           |          |            |  |
|            | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)   | 8c          |                          |          |          |                           |          |           | 9693     | 86         |  |
| d          | Benefits paid (including direct rollovers and insurance premiums to provide benefits)  | 8d          | 25186                    |          |          |                           |          |           |          |            |  |
| e          | Certain deemed and/or corrective distributions (see instructions).   | 8e          |                          |          |          |                           |          |           |          |            |  |
| f          | Administrative service providers (salaries, fees, commissions)   | 8f          |                          |          |          |                           |          |           |          |            |  |
| a          | Other expenses   | 8g          |                          | 550      | )        |                           |          |           |          |            |  |
|            | Total expenses (add lines 8d, 8e, 8f, and 8g)  |             |                          |          | _        |                           | 25736    |           |          |            |  |
| i          | Net income (loss) (subtract line 8h from line 8c)  | · · · · ·   |                          |          |          |                           |          |           | 7120     | 00         |  |
|            | Transfers to (from) the plan (see instructions)  |             |                          |          |          |                           |          |           |          |            |  |
| Pai        | t IV Plan Characteristics  | oj .        | ļ                        |          |          |                           |          |           |          |            |  |
| 9a         | If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D 2T  | feature co  | odes from the List of Pl | an Cha   | racteri  | stic Co                   | odes in  | the instr | uctions: |            |  |
| b          | If the plan provides welfare benefits, enter the applicable welfare f  | eature cod  | des from the List of Pla | n Chara  | acterist | tic Cod                   | des in t | he instru | ctions:  |            |  |
| Par        | t V Compliance Questions   |             |                          |          |          |                           |          |           |          |            |  |
| 10         | During the plan year:  |             |                          |          | Yes      | No                        | N/A      |           | Amoun    | t .        |  |
| a          | Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Normal Program)  | oluntary F  | Fiduciary Correction     | 10a      |          | X                         |          |           |          |            |  |
| b          | ,  | t? (Do not  | include transactions     | 10b      |          | X                         |          |           |          |            |  |
|            | · · · · · · · · · · · · · · · · · · ·  |             |                          | 10c      |          | X                         |          |           |          |            |  |
| d          |  |             |                          | 10d      |          | X                         |          |           |          |            |  |
| е          |  |             |                          | 10e      | X        |                           |          |           |          | 2380       |  |
| f          | Has the plan failed to provide any benefit when due under the plan?  |             |                          | 10f      |          | X                         |          |           |          |            |  |
| g          | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)  |             |                          | 10g      | X        |                           |          |           |          | 74055      |  |
| h          | 2520.101-3.)   | ·<br>····   |                          | 10h      |          | X                         |          |           |          |            |  |
| i          | If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10   |             |                          | 10i      |          |                           |          |           |          |            |  |

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| Part  | VI   | Pension Funding Compliance  |         |                       |  |         |               |         |  |
|---|--|---|---------|-----------------------|--|---------|---------------|---------|--|
| 11  | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) |   |         |                       |  |         |               | es No   |  |
| 11a   | Ente   | er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40   |         |                       | 11a  |         |               |         |  |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?   |  |   |         |                       |  | f<br>   |               | es X No |  |
|   |  | Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst | ruotior | 20.000                | d ontor t  | ho data | of the letter | ruling  |  |
|   | gran   | ting the waiver   | onth _  | 15, and               | _ Day  |         | Year _        |         |  |
|   |  | ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1   |         |                       | 406  |         |               |         |  |
| <u> </u>  | Enter  | the minimum required contribution for this plan year  |         |                       | 12b  |         |               |         |  |
| С   | Enter  | the amount contributed by the employer to the plan for this plan year   |         |                       | 12c  |         |               |         |  |
| d   |  | ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)   |         |                       | 12d  |         |               | _       |  |
| <u>e</u>  | Will   | the minimum funding amount reported on line 12d be met by the funding deadline?   |         |                       |  | Yes     | No            | N/A     |  |
| Part '  | VII  | Plan Terminations and Transfers of Assets   |         |                       |  |         |               |         |  |
| 13a   | Has  | a resolution to terminate the plan been adopted in any plan year?   |         |                       |  | Yes     | s X No        | )       |  |
|   | If "Y  | es," enter the amount of any plan assets that reverted to the employer this year  |         |                       | 13a  |         |               |         |  |
| b   |  | e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?   |         |                       |  |         | Yes X         | No      |  |
| С   |  | uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)            | y the p | plan(s)               | ) to   |         |               |         |  |
| 1   | 3c(1)  | Name of plan(s):  |         | 13c(2)                | EIN(s)   |         | 13c(3)        | PN(s)   |  |
|   |  |   |         |                       |  |         |               |         |  |
| Part  | VIII   | Trust Information   |         |                       |  |         |               |         |  |
| 14a Name of trust   |  |   |         |                       | <b>14b</b> Trust's EIN                               |         |               |         |  |
| 14c Name of trustee or custodian  |  |   |         |                       | <b>14d</b> Trustee's or custodian's telephone number |         |               |         |  |
| Part  | : IX   | IRS Compliance Questions  |         |                       |  |         |               |         |  |
| 15a   | Is the   | plan a 401(k) plan? If "No," skip b   |         | Yes                   |  | [       | No            |         |  |
|   |  | did the plan satisfy the nondiscrimination requirements for employee deferrals under section )(3) for the plan year? Check all that apply:  |         | ·                     | sign-based "Prior year<br>test"                      |         |               | ar" ADP |  |
| □ "Cur  |  |   | "Curre  | rent year" N/A P test |  |         |               |         |  |
|   |  |   |         | entage                | tage Average N/A benefit test N/A                    |         |               |         |  |
| <b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules? |  |   |         | ☐ No                  |  |         |               |         |  |
| 17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/  |  |   |         |                       |  |         |               |         |  |
| 17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/   |  |   |         |                       |  |         |               |         |  |
| 18 Defined Benefit Plan or Money Purchase Pension Plan Only:  Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?                                 |  |   |         | from                  | Ye   | Yes No  |               |         |  |
| 19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?   |  |   |         |                       | Ye   | s [     | No            |         |  |