Form 5500-SF

Department of the Treasury Internal Revenue Service

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement

2016

OMB Nos. 1210-0110

1210-0089

Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Department of Labor This Form is Open to Revenue Code (the Code). Employee Benefits Security Administration **Public Inspection** Pension Benefit Guaranty Corporation Complete all entries in accordance with the instructions to the Form 5500-SF. Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) **C** Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit CRM MANAGEMENT, LLC 401(K) PLAN plan number 001 (PN) • 1c Effective date of plan 01/01/2003 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 02-0603841 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number CRM MANAGEMENT, LLC 212-485-5400 2d Business code (see instructions) P.O. BOX 778 541219 NEW YORK, NY 10013-0778 **3a** Plan administrator's name and address X Same as Plan Sponsor. 3b Administrator's EIN 3c Administrator's telephone number If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. 4c PN a Sponsor's name 5a 14 5a Total number of participants at the beginning of the plan year 5b 14 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 8 5c complete this item)..... 14 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) 13 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete Filed with authorized/valid electronic signature. 0/12/2017 CAROLYN MALCOLM SIGN **HERE** Signature of plan administrator Date Enter name of individual signing as plan administrator **SIGN HERE** Date Enter name of individual signing as employer or plan sponsor Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number LOUISE MESSINA 732-686-7794 WAGNER PENSION SERVICES, LLC

1800 RTE 34, BLDG 2, STE 201

WALL, NJ 07719

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6a Were all of the plan's assets during the plan year invested in elig		,						X Yes	No
b Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	y and condit	ions.)						X Yes	No
If you answered "No" to either line 6a or line 6b, the plan car C If the plan is a defined benefit plan, is it covered under the PBGC								Not deter	rminod
	insurance p	iogiaiii (see ERISA se	ection 4	021):		165	Пио	Not deter	mineu
Part III Financial Information 7 Plan Assets and Liabilities		(a) Basinning	of Voor				/b) = 0 d d	f Voor	
a Total plan assets	. 7a	(a) Beginning	or Year 166331			•	(b) End c	1365638	
b Total plan liabilities			0)				0	
C Net plan assets (subtract line 7b from line 7a)	_	1	166331					1365638	
8 Income, Expenses, and Transfers for this Plan Year	1	(a) Amour	nf .				(b) To	ntal	
a Contributions received or receivable from:		(a) Amou					(6) 10	, tui	
(1) Employers	8a(1)		34015	_					
(2) Participants	8a(2)		76400						
(3) Others (including rollovers)	8a(3)		0						
b Other income (loss)	. 8b		88892						
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							199307	
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
e Certain deemed and/or corrective distributions (see instructions)			0						
f Administrative service providers (salaries, fees, commissions)	8f		0						
g Other expenses			0)					
h Total expenses (add lines 8d, 8e, 8f, and 8g)								0	
i Net income (loss) (subtract line 8h from line 8c)					199307				
j Transfers to (from) the plan (see instructions)	8i		C)					
Part IV Plan Characteristics									
9a If the plan provides pension benefits, enter the applicable pension 2E 3D 2G 2J 2K 2T	on feature co	des from the List of Pl	an Cha	racteri	stic Co	des in	the instr	uctions:	
b If the plan provides welfare benefits, enter the applicable welfare	feature cod	les from the List of Pla	n Chara	acteris	tic Coc	les in t	he instru	ctions:	
Part V Compliance Questions									
10 During the plan year:				Yes	No	N/A		Amount	
Was there a failure to transmit to the plan any participant contril described in 29 CFR 2510.3-102? (See instructions and DOL's Program)	Voluntary F	iduciary Correction	10a		X				
b Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		Χ				
C Was the plan covered by a fidelity bond?			10c		X				
d Did the plan have a loss, whether or not reimbursed by the plan by fraud or dishonesty?			10d		X				
carrier, insurance service, or other organization that provides so					X				
f Has the plan failed to provide any benefit when due under the p	lan?		10f		X				
g Did the plan have any participant loans? (If "Yes," enter amount	as of year-	end.)	10g		Х				
h If this is an individual account plan, was there a blackout period 2520.101-3.)			10h	X					
i If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.			10i	X					

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 412.							│	Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custod ne number	lian's
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		n-based narbor	^d [Prior ye test	ear" ADP
				"Curre	ent year test	"	N/A	
16a 		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit test	□ N/A
	for the	e plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No	
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No	
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [No	

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

OMB Nos. 1210-0110 1210-0089

This Form is Open to Public Inspection

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Par	rt I Annual Report	t Identification Informatio	on			11.		
For c	alendar plan year 2016 or f	fiscal plan year beginning	01/01/2016	and ending	12/31/2			
A								
AII	his return/report is for:	a one-participant plan	list of participating employer information in accordance with the form instructions.) a foreign plan					
B Th	is return/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year return	n/report (less than 12 m	nonths)			
C C	heck box if filing under:	X Form 5558✓ special extension (enter des	automatic extension		DFVC program			
Par	t II Rasic Plan Info	ormation—enter all requested						
	Name of plan	omation—enter an requested	momaton		1b Three-digit			
	MANAGEMENT, LLC	401(K) PLAN			plan numbe	r 001		
					1c Effective da 01/01/2	te of plan		
٨	Mailing address (include roo	oyer, if for a single-employer plan om, apt., suite no. and street, or F	P.O. Box)	rustions)	2b Employer Id (EIN) 02-	entification Number -0603841		
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) CRM MANAGEMENT, LLC					2c Sponsor's telephone number (212) 485-5400			
D 0	DOV 770					de (see instructions)		
	BOX 778				55.7% - 46-2000 1000 1000 1000 1000			
	YORK Plan administrator's name a	and address K Same as Plan Sp	NY ponsor.	10013-0778	3b Administrate	or's EIN		
					3c Administrator's telephone number			
4 1	f the name and/or EIN of the	ne plan sponsor has changed sind	ce the last return/report filed f	or this plan, enter the	4b EIN			
	name, EIN, and the plan no Sponsor's name	umber from the last return/report.			4c PN			
		s at the beginning of the plan yea	r		5a	14		
		s at the end of the plan year			5b	14		
C	Number of participants with	account balances as of the end	of the plan year (only defined	contribution plans	5c	8		
	200	articipants at the beginning of the			5d(1)	14		
2.202					F-1(0)			
	d(2) Total number of active participants at the end of the plan year e Number of participants that terminated employment during the plan year with accrued benefits that were less				13			
_		, , , ,		nefits that were less	5e			
Caut	than 100% vestedion: A penalty for the late	or incomplete filing of this ret	urn/report will be assessed	nefits that were less	5e	0 i.		
Unde SB or	than 100% vestedion: A penalty for the late r penalties of perjury and or r Schedule MB completed a	or incomplete filing of this ret other penalties set forth in the inst and signed by an enrolled actuary	urn/report will be assessed ructions, I declare that I have	unless reasonable ca	5e luse is established eport, including, if a	i. pplicable, a Schedule		
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