Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

| Part | | dentification information | | | | | | |
|--|--|---|----------------------------------|--------------------------------|---|-------------------------------------|----------|--|
| For cale | ndar plan year 2016 or fis | cal plan year beginning 01/01/2016 | | and ending 12/31/2016 | | | | |
| A This return/report is for: a multiemployer plan a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) | | | | | | ns.) | | |
| | x a single-employer plan a DFE (specify) | | | | | | | |
| B This | return/report is: | the first return/report | the final return | n/report | | | | |
| an amended return/report a short plan year return/report (less than 12 r | | | | | |) | | |
| C If the | plan is a collectively-bar | gained plan, check here | | | | • | | |
| D Chec | ck box if filing under: | X Form 5558 | automatic exte | nsion | the | e DFVC program | | |
| Dort I | Pacia Plan Infor | special extension (enter description | <i>'</i> | | | | | |
| Part I 1a Nar | ne of plan | mation—enter all requested informat | ion | | 1b | Three-digit plan | 004 | |
| JOHN | L. GAINES JR MD PA PR | OFIT SHARING PLAN AND | | | <u> </u> | number (PN) ▶ | 001 | |
| | | | | | 1C | Effective date of pla 01/01/1983 | an | |
| | | ver, if for a single-employer plan) n, apt., suite no. and street, or P.O. Box |) | | 2b | Employer Identifica Number (EIN) | tion | |
| | | e, country, and ZIP or foreign postal coo | | ructions) | | 59-2315125 | | |
| JOHN L. | GAINES JR MD PA | | | | 2c Plan Sponsor's telephone number 386-328-8358 | | | |
| 500 7 5 A | OLED DDIVE CHITE A | 500 7 5 M | | | 2d | Business code (see | <u> </u> | |
| | GLER DRIVE SUITE A A, FL 32177 | | GLER DRIVE, SUITE A, FL 32177 | | instructions) | | | |
| | | | | | | | | |
| Courtier | . A manality far the late o | w incomplete filing of this veture/rese | art will be seened | unlana rangamahla asusa ia as | tob!: | | | |
| | | or incomplete filing of this return/reponer penalties set forth in the instructions | | | | | dulos | |
| | | vell as the electronic version of this retu | | | | | | |
| SIGN | Filed with outborized (vol | d alastronia aignotura | 40/42/2047 | IOUNI CAINES ID | | | | |
| HERE | Filed with authorized/vali | | 10/12/2017 | JOHN L GAINES JR | | | | |
| | Signature of plan adm | inistrator | Date | Enter name of individual signi | ng as | plan administrator | | |
| SIGN | Filed with authorized/vali | d electronic signature. | 10/12/2017 | JOHN L GAINES JR | | | | |
| HERE | Signature of employer | /plan sponsor | Date | Enter name of individual signi | ng as | employer or plan sp | onsor | |
| | | | | | | | | |
| SIGN | | | | | | | | |
| HERE | Signature of DFE | | Date | Enter name of individual signi | ng as | DFE | | |
| | | | | | | telephone number | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Form 5500 (2016) Page **2**

| 3a | Plan administrator's name and address X Same as Plan Sponsor | name and address X Same as Plan Sponsor | | | 3b Administrator's EIN | | |
|-----|--|---|--|-----------------------|-------------------------------|--|--|
| | | | | 3c Administration | or's telephone | | |
| | | | | | | | |
| 4 | If the name and/or EIN of the plan sponsor has changed since the last return/rep EIN and the plan number from the last return/report: | eport filed for th | nis plan, enter the name, | 4b EIN | | | |
| а | Sponsor's name | | | 4c PN | | | |
| 5 | Total number of participants at the beginning of the plan year | | | 5 | 7 | | |
| 6 | Number of participants as of the end of the plan year unless otherwise stated (w 6a(2), 6b, 6c, and 6d). | welfare plans o | complete only lines 6a(1), | | | | |
| a(1 |) Total number of active participants at the beginning of the plan year | | | 6a(1) | 5 | | |
| a(2 | Total number of active participants at the end of the plan year | | | 6a(2) | 5 | | |
| b | Retired or separated participants receiving benefits | | | 6b | 0 | | |
| С | Other retired or separated participants entitled to future benefits | | | 6c | 4 | | |
| d | Subtotal. Add lines 6a(2), 6b, and 6c | | | 6d | 9 | | |
| е | Deceased participants whose beneficiaries are receiving or are entitled to receiv | ive benefits | | 6e | 0 | | |
| f | Total. Add lines 6d and 6e | | | 6f | 9 | | |
| g | Number of participants with account balances as of the end of the plan year (onlocomplete this item) | | | 6g | 7 | | |
| | Number of participants that terminated employment during the plan year with ac less than 100% vested | | | 6h | 0 | | |
| 7 | Enter the total number of employers obligated to contribute to the plan (only mul | ultiemployer pla | ans complete this item) | 7 | | | |
| 8a | If the plan provides pension benefits, enter the applicable pension feature codes $2A - 3D$ | s from the List | of Plan Characteristics Code | es in the instruction | ons: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare feature codes | from the List of | of Plan Characteristics Codes | in the instruction | ns: | | |
| 9a | Plan funding arrangement (check all that apply) 9 | 9b Plan bene | fit arrangement (check all tha | at apply) | | | |
| | (1) Insurance | (1) | Insurance | 11 7/ | | | |
| | Code section 412(e)(3) insurance contracts | (2) | Code section 412(e)(3) i | nsurance contra | cts | | |
| | (3) X Trust (4) General assets of the sponsor | (3) (4) | TrustGeneral assets of the sp | oncor | | | |
| 10 | (4) General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are attact | ` ' | | | e instructions) | | |
| _ | | _ | | (2.00 | , | | |
| а | Pension Schedules (1) R (Retirement Plan Information) | b General (1) | Schedules H (Financial Inform | nation) | | | |
| | (2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary | (2) (3) (4) | I (Financial Inform A (Insurance Inform C (Service Provide | mation) | an) | | |
| | (3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary | (5) (6) | D (DFE/Participation G (Financial Trans | ng Plan Informati | | | |

| Part III | Form M-1 Compliance Information (to be completed by welfare benefit plans) |
|-------------------|---|
| | plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR .101-2.) |
| If "Ye | es" is checked, complete lines 11b and 11c. |
| 11b Is the | e plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) |
| Rece | the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the ipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid lipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.) |
| Rece | eipt Confirmation Code |

Form 5500 (2016)

Page 3

SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

This schedule is required to be filed under section 104 of the Employee

Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

Financial Information—Small Plan

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

| For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 | | and ending 12/31/20 | 16 |
|---|---|------------------------------|-------------------------------------|
| A Name of plan | В | Three-digit | |
| JOHN L. GAINES JR MD PA PROFIT SHARING PLAN AND | | plan number (PN) | 001 |
| | | | |
| C Plan sponsor's name as shown on line 2a of Form 5500 | D | Employer Identification Numb | ber (EIN) |
| JOHN L. GAINES JR MD PA | | 59-2315125 | |
| Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the small plan under the 80-120 participant rule (see instructions). Complete Schedule H if report | | | e Schedule I if you are filing as a |
| | | · | · |

Part I | Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

| 1 | Plan Assets and Liabilities: | | (a) Beginning of Year | (b) End of Year |
|---|--|-------|-----------------------|-----------------|
| а | Total plan assets | 1a | 148787 | 159980 |
| b | Total plan liabilities | 1b | 0 | 0 |
| С | Net plan assets (subtract line 1b from line 1a) | 1c | 148787 | 159980 |
| 2 | Income, Expenses, and Transfers for this Plan Year: | | (a) Amount | (b) Total |
| а | Contributions received or receivable: | | | |
| | (1) Employers | 2a(1) | | |
| | (2) Participants | 2a(2) | | |
| | (3) Others (including rollovers) | 2a(3) | | |
| b | Noncash contributions | 2b | | |
| С | Other income | 2c | 13271 | |
| d | Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c) | 2d | | 13271 |
| е | Benefits paid (including direct rollovers) | 2e | | |
| f | Corrective distributions (see instructions) | 2f | | |
| g | Certain deemed distributions of participant loans (see instructions) | 2g | | |
| h | Administrative service providers (salaries, fees, and commissions) | 2h | 2078 | |
| i | Other expenses | 2i | | |
| j | Total expenses (add lines 2e, 2f, 2g, 2h, and 2i) | 2j | | 2078 |
| k | Net income (loss) (subtract line 2j from line 2d) | 2k | | 11193 |
| | Transfers to (from) the plan (see instructions) | 21 | | |

Specific Assets: If the plan held assets at any time during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

| | | | Yes | No | Amount |
|---|---|----|-----|----|--------|
| а | Partnership/joint venture interests | 3a | | Χ | |
| b | Employer real property | 3b | | Χ | |
| С | Real estate (other than employer real property) | 3с | | X | |
| d | Employer securities | 3d | | X | |
| е | Participant loans | 3e | | X | |
| f | Loans (other than to participants) | 3f | | Χ | |
| g | Tangible personal property | 3g | | X | |

| P | art II | Compliance Questions | | | | | | | |
|-------------|-------------------------|--|---------------|-------------------|-----------|-----------------------|---------------------|--------------|--------------------------------|
| 4 | During | g the plan year: | | Yes | No | | Ar | nount | |
| а | describ | here a failure to transmit to the plan any participant contributions within the time period bed in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until prected. (See instructions and DOL's Voluntary Fiduciary Correction Program.) | 4a | | X | | | | |
| b | close o | any loans by the plan or fixed income obligations due the plan in default as of the of plan year or classified during the year as uncollectible? Disregard participant loans d by the participant's account balance. | 4b | | X | | | | |
| С | | any leases to which the plan was a party in default or classified during the year as ectible? | 4c | | X | | | | |
| d | | here any nonexempt transactions with any party-in-interest? (Do not include ctions reported on line 4a.) | 4d | | X | | | | |
| е | Was th | e plan covered by a fidelity bond? | 4e | | Χ | | | | |
| f | | e plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was | 4f | | X | | | | |
| g | | e plan hold any assets whose current value was neither readily determinable on an shed market nor set by an independent third party appraiser? | 4g | | X | | | | |
| h | | e plan receive any noncash contributions whose value was neither readily inable on an established market nor set by an independent third party appraiser? | 4h | | X | | | | |
| İ | | e plan at any time hold 20% or more of its assets in any single security, debt, ige, parcel of real estate, or partnership/joint venture interest? | 4i | X | | | | | 35466 |
| j | | all the plan assets either distributed to participants or beneficiaries, transferred to r plan, or brought under the control of the PBGC? | 4j | | X | | | | |
| k | public a | u claiming a waiver of the annual examination and report of an independent qualified accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 04-50 statement. (See instructions on waiver eligibility and conditions.) | 4k | X | | | | | |
| ı | Has the | e plan failed to provide any benefit when due under the plan? | 41 | X | | | | | 9084 |
| m | | s an individual account plan, was there a blackout period? (See instructions and 29 520.101-3.) | 4m | | X | | | | |
| n | | vas answered "Yes," check the "Yes" box if you either provided the required notice or the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 4n | | | | | | |
| 0 | Were a | d Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and at separated from service? | 40 | | | | | | |
| 5a | | esolution to terminate the plan been adopted during the plan year or any prior plan year | r? | | | | | | |
| | | enter the amount of any plan assets that reverted to the employer this year | | _ | | | ount: | | |
| | | g this plan year, any assets or liabilities were transferred from this plan to another planed. (See instructions.) | (s), ide | entify the | e plan(s) | to whic | h assets or | · liabilitie | s were |
| | | Name of plan(s) | | | | | 5b(2) El | N(s) | 5b(3) PN(s) |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 5c ∣ | f the pla f "Yes" is | n is a defined benefit plan, is it covered under the PBGC insurance program (See ERIS s checked, enter the My PAA confirmation number from the PBGC premium filing for the | SA sec | tion 40: year_ | 21.)? | [] Y | es No | | determined. e instructions. |
| | rt III | Trust Information | | | | | | | |
| 6a | Name o | of trust | | | | 6 | ib Trust's E | ΞIN | |
| 60 | Name o | of trustee or custodian 6 | id Tru | stee's o | or custoo | ian tele _l | phone num | ber | |
| | | | | | | | | | |

SCHEDULE R (Form 5500)

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Department of Labor

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

| For | calendar | plan year 2016 or fiscal plan year beginning 01/01/2016 and en | ding | 12/31/2 | 2016 | | |
|-----|---------------|---|--------|-----------------------------------|-----------|-------------------|------------|
| | lame of p | an NES JR MD PA PROFIT SHARING PLAN AND | В | Three-digit plan numbe (PN) | er • | 001 | |
| | | or's name as shown on line 2a of Form 5500 NES JR MD PA | D | Employer Id 59-2315125 | | ation Number (EI | N) |
| | | B | | | | | |
| | Part I | Distributions s to distributions relate only to payments of benefits during the plan year. | | | | | |
| 1 | Total va | lue of distributions paid in property other than in cash or the forms of property specified in the | | 1 | | | 0 |
| 2 | | e EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during who paid the greatest dollar amounts of benefits): | ng the | e year (if mor | e than | two, enter EINs | of the two |
| | EIN(s): | | | | | | |
| | Profit-s | haring plans, ESOPs, and stock bonus plans, skip line 3. | | | | | |
| 3 | | of participants (living or deceased) whose benefits were distributed in a single sum, during the | plan | 3 | | | |
| P | Part II | Funding Information (If the plan is not subject to the minimum funding requirements ERISA section 302, skip this Part.) | of se | ction of 412 of | of the li | nternal Revenue | Code or |
| 4 | Is the pla | n administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? | | | Yes | No | X N/A |
| | If the pl | an is a defined benefit plan, go to line 8. | | | | | |
| 5 | | er of the minimum funding standard for a prior year is being amortized in this ir, see instructions and enter the date of the ruling letter granting the waiver. Date: Month | ı | Da | у | Year | |
| | If you c | ompleted line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the rem | naind | der of this sc | hedule | е. | |
| 6 | | r the minimum required contribution for this plan year (include any prior year accumulated fund ciency not waived) | - | 6a | | | |
| | b Ente | r the amount contributed by the employer to the plan for this plan year | | 6b | | | |
| | | ract the amount in line 6b from the amount in line 6a. Enter the result er a minus sign to the left of a negative amount) | | 6c | | | |
| | If you c | ompleted line 6c, skip lines 8 and 9. | | | | | |
| 7 | Will the n | ninimum funding amount reported on line 6c be met by the funding deadline? | | | Yes | No | N/A |
| 8 | authority | nge in actuarial cost method was made for this plan year pursuant to a revenue procedure or ot providing automatic approval for the change or a class ruling letter, does the plan sponsor or parator agree with the change? | | | Yes | ☐ No | □ N/A |
| Р | art III | Amendments | | | | | |
| 9 | year tha | a defined benefit pension plan, were any amendments adopted during this plan tincreased or decreased the value of benefits? If yes, check the appropriate p, check the "No" box | ıse | Decre | ase | Both | ☐ No |
| P | art IV | ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) | 7) of | the Internal R | evenu | e Code, skip this | Part. |
| 10 | Were u | nallocated employer securities or proceeds from the sale of unallocated securities used to repa | y an | y exempt loai | า? | Yes | No |
| 11 | | es the ESOP hold any preferred stock? | | | | Yes | No |
| | | ne ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "be instructions for definition of "back-to-back" loan.) | | | | Yes | No |
| 12 | Does th | e ESOP hold any stock that is not readily tradable on an established securities market? | | | | Yes | No |

| Page | 2 | - |
|------|---|---|
|------|---|---|

Schedule R (Form 5500) 2016

| _ | | | | | | | |
|----|----------|---|--|--|--|--|--|
| | art \ | | | | | | |
| 13 | | er the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in lars). See instructions. Complete as many entries as needed to report all applicable employers. | | | | | |
| | а | Name of contributing employer | | | | | |
| | b | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year | | | | | |
| | е | Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |
| | а | Name of contributing employer | | | | | |
| | b | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year | | | | | |
| | е | Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |
| | | | | | | | |
| | a | Name of contributing employer | | | | | |
| | <u>b</u> | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year | | | | | |
| | е | Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |
| | | | | | | | |
| | <u>a</u> | Name of contributing employer | | | | | |
| | b | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year | | | | | |
| | е | Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |
| | а | Name of contributing employer | | | | | |
| | b | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year | | | | | |
| | е | Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |
| | а | Name of contributing employer | | | | | |
| | b | EIN C Dollar amount contributed by employer | | | | | |
| | d | Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box | | | | | |
| | е | and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify): | | | | | |

| | Schedule R (Form 5500) 2016 | Page 3 | | | | | |
|----|--|--|-----------------------------------|--|--|--|--|
| 14 | Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for: | | | | | | |
| | a The current year | | 14a | | | | |
| | b The plan year immediately preceding the current plan year | | 14b | | | | |
| | C The second preceding plan year | | 14c | | | | |
| 15 | Enter the ratio of the number of participants under the plan on w employer contribution during the current plan year to: | hose behalf no employer had an obligation to mak | ke an | | | | |
| | a The corresponding number for the plan year immediately pre | eceding the current plan year | 15a | | | | |
| | b The corresponding number for the second preceding plan ye | ear | 15b | | | | |
| 16 | Information with respect to any employers who withdrew from the | | | | | | |
| | a Enter the number of employers who withdrew during the pred | ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ ΄ | 16a | | | | |
| | b If line 16a is greater than 0, enter the aggregate amount of w assessed against such withdrawn employers | | 16b | | | | |
| 17 | If assets and liabilities from another plan have been transferred to supplemental information to be included as an attachment | | | | | | |
| P | art VI Additional Information for Single-Employ | yer and Multiemployer Defined Benefi | t Pension Plans | | | | |
| 18 | If any liabilities to participants or their beneficiaries under the plar and beneficiaries under two or more pension plans as of immedia information to be included as an attachment | ately before such plan year, check box and see ins | structions regarding supplemental | | | | |
| 19 | If the total number of participants is 1,000 or more, complete line a | igh-Yield Debt:% Real Estate: grade and high-yield debt: grs | _ | | | | |
| Pa | art VII IRS Compliance Questions | | | | | | |
| 20 | a Is the plan a 401(k) plan? If "No," skip b | | s 🔲 No | | | | |

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination

20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section

21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan

21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4)

and the serial number

letter

401(k)(3) for the plan year? Check all that apply:

year? Check all that apply:

for the plan year by combining this plan with any other plan under the permissive aggregation rules?

Design-based

safe harbor "Current year"

ADP test

percentage

Ratio

test

Yes

"Prior year" ADP test

N/A

N/A

Average

benefit test

No