Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2016

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2016 or fiscal plan year beginning and ending X a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan the final return/report B This return/report is the first return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Part II Basic Plan Information—enter all requested information 1a Name of plan 1b Three-digit CASEINTEL CORPORATION 401(K) PLAN plan number 001 (PN) • 1c Effective date of plan 01/01/2005 2a Plan sponsor's name (employer, if for a single-employer plan) **2b** Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) (EIN) 37-1455513 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) Sponsor's telephone number **CASEINTEL CORPORATION** 206-774-6712 2d Business code (see instructions) 11400 OLYMPUS WAY #N201 812990 GIG HARBOR, WA 98332-8794 **3a** Plan administrator's name and address | Same as Plan Sponsor. 3b Administrator's EIN 37-1455513 **CASEINTEL CORPORATION** 11400 OLYMPUS WAY #N201 GIG HARBOR, WA 98332-8794 3c Administrator's telephone number 206-774-6712 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN name, EIN, and the plan number from the last return/report. a Sponsor's name 4c PN 5a 9 5a Total number of participants at the beginning of the plan year 5b 6 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 6 5c complete this item)..... 9 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) 4 d(2) Total number of active participants at the end of the plan year..... Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

bellet, it is t	irue, correct, and complete.								
SIGN HERE	Filed with authorized/valid electronic signature.	10/12/2017	TREVOR HOWARD						
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator						
SIGN									
HERE	Signature of employer/plan sponsor	Enter name of individual signing as employer or plan sponsor							
Preparer's	name (including firm name, if applicable) and address (include	room or suite numbe	r)	Preparer's telephone number					

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6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						X Y	es No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	and condi	tions.)		·····				× Y	es 🗌 No
_	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in					_	-	_	□ Not de	etermined
	<u>_</u>	isurance p	ologiam (see ENISA se	5CHOIT 4	021):		162			eterriirieu
_ <u>Pa</u>	rt III Financial Information Plan Assets and Liabilities	Ī	(a) Da minumin m	of Voor	. 1			(la.) = al	-f V	
a	Total plan assets	70	(a) Beginning	or Year 604354			•	(b) Ena	of Year 6849	24
	Total plan liabilities	7a 7b		0		0				
	Net plan assets (subtract line 7b from line 7a)	7c		604354					6849	24
8	Income, Expenses, and Transfers for this Plan Year	,,,	(a) Amour	nt .				(h) 1	otal .	
a	Contributions received or receivable from:		(a) Amour			(b) Total				
	(1) Employers	8a(1)		8883						
	(2) Participants	8a(2)		17010)					
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		76980						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1028	73
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		13224	ļ.					
е	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		9079						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				22303				03
i	Net income (loss) (subtract line 8h from line 8c)	8i				80570			70	
j	Transfers to (from) the plan (see instructions)	8j								
Pa	rt IV Plan Characteristics		•							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 3D 2T	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the inst	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amour	nt
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Normal)	oluntary F	Fiduciary Correction	10a		X				
b	,	t? (Do not	include transactions	10b		X				
	Was the plan covered by a fidelity bond?			10c	Χ					50000
d		fidelity bo	and, that was caused	10d		X				
е		her person ne or all of	s by an insurance the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X				
9		-	-	10g	X					23040
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance					
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)					es No
11a	Ente	r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		. 11a			
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co			f		es X No
	ERIS (If "\	A?				🖰	
а		raiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst ing the waiver		nd enter t Day		of the lette Year _	r ruling
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	3.				
b	Enter	the minimum required contribution for this plan year		. 12b			
С	Enter	the amount contributed by the employer to the plan for this plan year		12c			
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the letive amount)	eft of a	12d			
е	Will t	he minimum funding amount reported on line 12d be met by the funding deadline?		. 🔲	Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets					
13a	Has a	a resolution to terminate the plan been adopted in any plan year?			Yes	s X N	0
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year		. 13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug		e 		Yes X	No
С		rring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identif h assets or liabilities were transferred. (See instructions.)	fy the plan(s) to			
	13c(1)	Name of plan(s):	13c(2	2) EIN(s)		13c(3) PN(s)
Part	VIII	Trust Information					
14a	Name	of trust		14b	Trust's E	ΞIN	
14c	Name	of trustee or custodian				s or custodi ne number	an's
Par	t IX	IRS Compliance Questions					
15a	Is the	plan a 401(k) plan? If "No," skip b	Yes			No	
15b		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:		gn-based harbor	d ["Prior ye test	ar" ADP
			Gur ADP	rent year test	,"	N/A	
16a		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:	Rat perd test	centage		verage enefit test	□ N/A
16b		ne plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) be plan year by combining this plan with any other plan under the permissive aggregation rules?	Yes			No	
	the le		<u>'</u>				
	letter		nter the date	e of the n	nost rec	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa		Ye	s [No	
19	Wasa	any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?	•••••	. Ye	s	No	

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Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Parti		identification information			· · · · · · · · · · · · · · · · · · ·			
For calend	lar plan year 2016 or f	iscal plan year beginning	01/01/2016	and ending	12/31/20			
		X a single-employer plan	a multiple-employer pl					
A This re	turn/report is for:	a one-participant plan	list of participating en	ployer information in a	ccordance with the	form instructions.)		
B This ret	urn/report is	the first return/report	the final return/report					
		an amended return/report	a short plan year return	n/report (less than 12 m	nonths)			
C Check	box if filing under:	▼ Form 5558	automatic extension		☐ DFVC program			
		special extension (enter descri	السيا		П го результа			
Part II	Rasic Plan Info	>rmation—enter all requested infe	*					
1a Name		Milation - enter all requested in	omiadon		1b Three-digit			
	•	N 401(K) PLAN			plan number	001		
					(PN)			
					1c Effective dat 01/01/20	•		
Mailin	g address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.O			2b Employer Ide (EIN)37-1	entification Number 455513		
7.11	TEL CORPORATION	e, country, and ZIP or foreign posta ON	al code (II foreign, see instr	uctions)	2c Sponsor's te	•		
11400	OLYMPUS WAY #1	N201		2d Business cor 812990	de (see instructions)			
GIG HA	RBOR	WA 98332-8794	<u>L</u>	:				
3a Plan a	dministrator's name a	nd address Same as Plan Spon	sor.		3b Administrato	r's EIN		
CASEINT	EL CORPORATIO	N			37-145551	.3		
						r's telephone number		
11400 O	LYMPUS WAY #N	201			206-774-6	712		
~= ~ *** =								
GIG HAR	Area and a second se	WA 98332-8794 e plan sponsor has changed since the	ha laat ratuur (rawant filad fa	Ala Taranta and Alamana and Al				
name	, EIN, and the plan nu	mber from the last return/report.	ne iast return/report med ic	or this plan, enter the	4b EIN			
	or's name		History and a state of the stat		4c PN			
		at the beginning of the plan year						
		at the end of the plan year			5b			
		account balances as of the end of the			5c			
d(1) Tota	al number of active pa	rticipants at the beginning of the pla	ın year	*********************	5d(1)	9		
d(2) Total	al number of active pa	rticipants at the end of the plan yea	r	*************************	5d(2)	4		
e Numb	er of participants that	terminated employment during the	plan year with accrued ber		5e			
Caution: A	penalty for the late	or incomplete filing of this return.	report will be assessed in	unless reasonable cau	use is established	. 0		
Under pena SB or Sche	alties of perjury and ot	her penalties set forth in the instruct nd signed by an enrolled actuary, as	ions, I declare that I have	examined this return/rep	port, including, if ap	plicable, a Schedule		
SIGN The STATE HOWARD								
HERE Signature of plan administrator Date Enter name of individual signing as plan administrator								
SIGN	<u> </u>					Add the first of t		
HERE	Signature of emplo	verinian enoncor	Date	Enter none of indicate				
Signature of employer/plan sponsor Preparer's name (including firm name, if applicable) and address (include room or suite number) Preparer's telephone number								
e e e e e e e e e e e e e e e e e e e		The state of the s		×				
			<u> </u>		<u> </u>			

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b c	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility If you answered "No" to either line 6a or line 6b, the plan cannuf the plan is a defined benefit plan, is it covered under the PBGC in	an indepe and condi n ot use Fo	ndent qualified public attions.)orm 5500-SF and mus	account t inste	tant (IC	QPA) Forn	 n 5500.			
a some decise	rt III Financial Information									
	Plan Assets and Liabilities		(a) Beginning					(b) End of Year		
<u>a</u>	Total plan assets	7a		604,	354			684,924		
b	Total plan liabilities	7b			0					
	Net plan assets (subtract line 7b from line 7a)	7c		604,	354		-	684,924		
_8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt		3148 3655 37	North Park Control	(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)		8,	883					
	(2) Participants	8a(2)		17,	010	2,17				
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b		76,980						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						102,873		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		13,	224					
ее	Certain deemed and/or corrective distributions (see instructions)	8e			100000000000000000000000000000000000000					
f	Administrative service providers (salaries, fees, commissions)	8f		9,	079					
g	Other expenses	8g			0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				22,30				
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				80,57				
j	Transfers to (from) the plan (see instructions)	8j								
Par	t IV Plan Characteristics							•		
9a	If the plan provides pension benefits, enter the applicable pension $2E\ 2F\ 2G\ 2J\ 3D\ 2T$	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Cod	des in t	he instructions:		
Par	t V Compliance Questions						• • • • • • • • • • • • • • • • • • • •			
10	During the plan year:				Yes	No	N/A	Amount		
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		Х				
b	Were there any nonexempt transactions with any party-in-interest			40.	<u> </u>	х	1.1 1.2 1.2 1.2 1.2 1.2			

10	During the plan year:	Yes	No	N/A	Amount	
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		Х		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		Х		
С	Was the plan covered by a fidelity bond?	10c	Х			50,000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	10d		Х		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Х		
f	Has the plan failed to provide any benefit when due under the plan?	10f		Х	\$1 () ()	
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	10g	Х			23,040
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	10h		Х		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i				