## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection** 

Part i Annuai Repor	t identification information						
For calendar plan year 2016 or	fiscal plan year beginning 01/01/2	2016 and ending 1	2/31/2016				
A This return/report is for:	a single-employer plan  a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)  a foreign plan					
<b>B</b> This return/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 m	nonths)				
C Check box if filing under:	<ul><li>X Form 5558</li><li>☐ special extension (enter desc</li></ul>	automatic extension DFVC program					
Part II Basic Plan Inf	formation—enter all requested in	formation					
1a Name of plan	N, INC. DEFINED BENEFIT PENSI		<b>1b</b> Three-dig plan numl (PN) ▶				
			1c Effective	date of plan 01/01/2003			
Mailing address (include ro	loyer, if for a single-employer plan) nom, apt., suite no. and street, or P.C		<b>2b</b> Employer (EIN)	Identification Number 91-1276962			
NORTHWEST STEEL ERECTIO	nce, country, and ZIP or foreign post N, INC.	tal code (il foreign, see instructions)		s telephone number 25-743-0049			
			2d Business	code (see instructions)			
2210 137TH STREET SE MILL CREEK, WA 98012				541990			
<b>3a</b> Plan administrator's name	and address X Same as Plan Spo	nsor.	<b>3b</b> Administra	ator's EIN			
			3c Administra	ator's telephone number			
	he plan sponsor has changed since umber from the last return/report.	the last return/report filed for this plan, enter the	4b EIN 4c PN				
5a Total number of participan	ts at the beginning of the plan year.		5a	2			
_			5b	0			
C Number of participants with	h account balances as of the end of	the plan year (only defined contribution plans	5c				
d(1) Total number of active p	participants at the beginning of the pl	lan year	5d(1)	2			
<b>d(2)</b> Total number of active r	participants at the end of the plan ve	ar	5d(2)	C			
		e plan year with accrued benefits that were less	5e	0			
<u> </u>	<u> </u>	n/report will be assessed unless reasonable ca					
Under penalties of perjury and	other penalties set forth in the instru-	ctions, I declare that I have examined this return/re	eport, including, if	applicable, a Schedule			

belief, it is true, correct, and complete 0/13/2017 Filed with authorized/valid electronic signature. **GERALD SMITH** SIGN HERE Enter name of individual signing as plan administrator Signature of plan administrator Date Filed with authorized/valid electronic signature. 10/13/2017 **GERALD SMITH SIGN HERE** Date Enter name of individual signing as employer or plan sponsor Signature of employer/plan sponsor

SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

Preparer's name (including firm name, if applicable) and address (include room or suite number )

Preparer's telephone number

Form 5500-SF 2016 Page **2** 

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
С	c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined									
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (	ning of Year			(b) End of Year			
<u>a</u>	Total plan assets	7a	1:	335154	-	0				
b	Total plan liabilities	7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	7c	1;	1335154			0			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0	)					
	Other income (loss)	8b		53660	,					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				53660				
	Benefits paid (including direct rollovers and insurance premiums									
	to provide benefits)	8d	1;	1388814						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f			-					
<u>g</u>	Other expenses	8g			_			4200044		
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					1388814 -1335154			
<del>-</del>	Net income (loss) (subtract line 8h from line 8c)	8i						-1333134		
_ J	Transfers to (from) the plan (see instructions)	8j								
	Part IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension $1A$ $1I$ $3D$	feature co	ides from the List of Pla	an Cha	racteri	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	des from the List of Plan	n Chara	acterist	ic Cod	les in t	he instructions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A	Amount		
a	Was there a failure to transmit to the plan any participant contribu									
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	-	•	10a		X				
b	· · · · · · · · · · · · · · · · · · ·			10b		X				
	reported on line 10a.)  C Was the plan covered by a fidelity bond?			10b		X				
d				100		V				
	by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som					X				
	the plan? (See instructions.)			10e		X				
	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
<u>_</u>	<ul> <li>g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)</li> <li>h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR</li> </ul>			10g						
	2520.101-3.)			10h						
	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

Form	5500	-SF	201	6

Page 3-	1	

Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)						Yes X No
	11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40				11a		_	
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co.  A?						Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver.	/lonth _	s, and	d enter t Day		of the lett Year	er ruling 
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		1		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				X Yes	S [] I	No
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			X Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)	to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(	<b>3)</b> PN(s)
<b>-</b>								
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c Name of trustee or custodian				<b>14d</b> Trustee's or custodian's telephone number				
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[	No	
			ign-based "Prior year" AD harbor test			ear" ADP		
				"Curre	ent year est	<u>"</u>	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	tage Average N/A benefit test N/A				
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				S No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the m	nost rece	ent determ	nination
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [	No	
19	Wasa	any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?			Ye	s	No	