Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Parti		identification information								
For calenda	ır plan year 2016 or fi	scal plan year beginning 01/01/2	2016	and ending 12	2/31/2016					
A This retu	urn/report is for:	a single-employer plan	a multiple-employer pla	in (not multiemployer) (ployer information in ac		-				
71 THOTON		a one-participant plan a foreign plan								
B This retu	rn/report is	the first return/report	X the final return/report							
		an amended return/report	a short plan year return	/report (less than 12 m	onths)					
C Check b	ox if filing under:	X Form 5558	automatic extension		DFVC p	rogram				
		special extension (enter descri	ription)							
Part II	Basic Plan Info	ermation—enter all requested in	formation							
1a Name		onter an requested in	iomation		1b Three	o digit				
		SD PLLC 401(K) PROFIT SHARING	G PLAN			number	002			
					_ ` ′	tive date of	f plan //1989			
		yer, if for a single-employer plan) m, apt., suite no. and street, or P.C) Royl				fication Number			
City or		e, country, and ZIP or foreign post		uctions)	(EIN)		hone number			
JENNIFER L.	ASHMORE DDS MS	DD PLLC								
1425 NE FR <i>A</i>	NKLIN AVENUE				2d Busin	ess code (6212	see instructions)			
BREMERTON	N, WA 98311					0212	10			
3a Plan ad	lministrator's name a	nd address 🛛 Same as Plan Spor	nsor.		3b Admir	nistrator's E	- EIN			
					3c Administrator's telephone number					
		e plan sponsor has changed since	the last return/report filed fo	or this plan, enter the	4b EIN					
name, a Sponso	•	mber from the last return/report.			4c PN					
5a Total n	umber of participants	at the beginning of the plan year			5a		7			
		at the end of the plan year			5b		0			
		account balances as of the end of		•	5c					
d(1) Tota	Il number of active pa	rticipants at the beginning of the pl	an year		5d(1)		4			
		rticipants at the end of the plan year			5d(2)		0			
than 1	00% vested	terminated employment during the			5e					
Caution: A	penalty for the late	or incomplete filing of this returi	n/report will be assessed	unless reasonable car	use is estak	olished.				
SB or Sche		her penalties set forth in the instructed actuary, a splete								
SIGN		valid electronic signature.	09/28/2017	JENNIFER ASHMORE	DDS					
HERE	Signature of plan a	dministrator	Date	Enter name of individ	ual signing a	as plan adn	ninistrator			
SIGN HERE										
	Signature of emplo	oyer/plan sponsor name, if applicable) and address (ir	Date	Enter name of individ		as employe telephone				
Preparer's i	name (including firm r	iame, ir applicable) and address (ir	nclude room or suite numbe	r)	Preparers	s telephone	number			

Form 5500-SF 2016 Page **2**

6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	(See instructions.)						X Ye	es No
b	Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility								X Ye	es 🗌 No
	If you answered "No" to either line 6a or line 6b, the plan cann		,						ш	ш
С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not de	etermined
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning	of Year			((b) End	of Year	
a	Total plan assets	7a		557350)					0
<u>b</u>	Total plan liabilities	7b								
c	Net plan assets (subtract line 7b from line 7a)	7c		557350)					0
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt				(b) ⁻	Total	
а	Contributions received or receivable from:	92/1)								
	(1) Employers	8a(1)								
	(3) Others (including rollovers)	8a(2)								
	Other income (loss)	8a(3) 8b		-10485						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							-104	85
d	Benefits paid (including direct rollovers and insurance premiums	00								
	to provide benefits)	8d		546862	2					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		3	3					
g	Other expenses	8g								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)								5468	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	from line 8c)							-5573	50
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pa	t IV Plan Characteristics									
9a 	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2R 3B	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	ic Cod	des in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amoun	t
а	Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X				
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	•		10b		X				
C	Was the plan covered by a fidelity bond?			10c		X				
C	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	-		10d		X				
e	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X				
9		-	•	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i		X				

Form	5500	0-SF	2016

Page 3-	1	

Part	VI	Pension Funding Compliance							
11		is a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c m 5500) and line 11a below)						Yes	No
	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a		_		0
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co				f		Yes	X No
	(lf "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ting the waiver		is, and	d enter t Day		of the le		ing ——
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.			•			
b	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lative amount)	eft of a		12d				
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No		V/A
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	s	No	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug rol of the PBGC?					X Yes	N	0
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi h assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
1	3c(1)	Name of plan(s):	1	13c(2)	EIN(s)		130	(3) PN	l(s)
-									
Part	VIII	Trust Information							
14a	Name	of trust			14b	Trust's E	ΞIN		
14c	Name	e of trustee or custodian					s or custone numbe		3
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section)(3) for the plan year? Check all that apply:		safe h	n-based narbor	Ĺ	☐ "Prior test	year"	ADP
	`		ΙП	"Curre	ent year test	,"	N/A		
16a 		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit tes	t [N/A
16b		ne plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) e plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No		
	the le								
	letter		nter the	date	of the n	nost rec	ent deter	minatio	on
	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepace?		rom	Ye	s [No		
19	Was	any plan participant a 5% owner who had attained at least age 70 $1\!\!2$ during the prior plan year?			Ye	s	No		

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Inform				10/04/00	1.6		
For calendar plan year 2016 or fiscal plan year beginning	01/01/201		and en				
A This return/report is for:	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list						
	of participating employer information in accordance with the form instructions.)						
a one-participant p	H -	•					
B This return/report is the first return/rep	—	return/report					
an amended return	. —	lan year return/repo	rt (less				
C Check box if filing under:		c extension		☐ DFVC progra	1111		
Part II Basic Plan Information - enter all requ	(enter description)						
	Jested information	1	1b	Three-digit			
1a Name of plan JENNIFER L. ASHMORE DDS MSD I		plan number (PN)	002				
401(K) PROFIT SHARING PLAN			1c	Effective date of plan	-		
101(11) 1110111 2111111111111111111111111111				01/01/1989			
2a Plan sponsor's name (employer, if for a single-employ Mailing address (include room, apt., suite no. and stre	rer plan) eet, or P.O. Box)		2b	Employer Identification Num 91-212250	nber (EIN)		
JENNIFER L. ASHMORE DDS MSD	reign postal code (if for PLLC	reign, see instr.)	2c Sponsor's telephone number () 479-2323				
1425 NE FRANKLIN AVENUE			2d	Business code (see instruct	ions)		
BREMERTON WA 98				621210			
3a Plan administrator's name and address X Same a	s Plan Sponsor.		3b	Administrator's EIN			
			3c	Administrator's telephone n	umber		
	- I - i Ab - I - ab wate wa	/vement filed for this	4b	EIN			
4 If the name and/or EIN of the plan sponsor has chang		report filed for triis	15	LIIV			
plan, enter the name, EIN, and the plan number from	the last return/report.		4c	PN			
a Sponsor's name							
5a Total number of participants at the beginning of the	plan year		5a		7		
b Total number of participants at the end of the plan y			5b		0		
C Number of participants with account balances as of	the end of the plan ye	ar (only defined			0		
contribution plans complete this item)			5c		0 		
d (1) Total number of active participants at the begin	ning of the plan year		5d(1		0		
d (2) Total number of active participants at the end of	f the plan year		5d(2)			
e Number of participants that terminated employment			5e				
benefits that were less than 100% vested		I be accessed unles		sonable cause is establish	ed .		
Caution: A penalty for the late or incomplete filing of Under penalties of perjury and other penalties set forth in Schedule SB or Schedule MB completed and signed by my knowledge and belief, it is true, correct, and complete	an enrolled actuary, as	lare that I have exar well as the electron	mined nic vers	this return/report, including, sion of this return/report, and	if applicable, a d to the best of		
			- A	MODE DDC			
SIGN HERE	09/28/2017	JENNIFER A		MORE DDS signing as plan administrato			
Signature of plan administrator	Date	Enter name of indi-	viduai	signing as plan auministrate	,,,		
SIGN					1		
HERE	Data	Enter name of indi	vidual	signing as employer or plan	sponsor		
Signature of employer/plan sponsor	Date		11000	Preparer's telephone nur			
Preparer's name (including firm name, if applicable) and	address (include room	Tor Suite Hamber,					
					13.00.00.00.00.00.00.53.13		
					5 1 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5		
					entreprendental and a second and a second		

	Form 5500-SF 2016		F	Page 2	2				
62	Were all of the plan's assets during the plan year invested in eligible assets? (0							
h	Are you claiming a waiver of the annual examination and report of an independent	See instru	ictions.)				X Yes No		
	(IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and		₩. □						
	If you answered "No" to either line 6a or line 6b, the plan cannot use Forn	· · · · · · · · · · · · · · · · · · ·	Yes No						
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see	n 5500-51	etion 4001)2	inste	ad us				
Pa	art III Financial Information	E LNIOA SE	CHOH 402 1) !		ΙΥ	es	No Not determined		
7	Plan Assets and Liabilities	02.2.2.2	(a) Begin	ning	of Va:	ar	(b) End of Year		
а	Total plan assets	7a	(a) Beginning of Year 557350				(b) End of Teal		
b	Total plan liabilities	7b			3 7 3	30	0		
С	Net plan assets (subtract line 7b from line 7a)	7c		51	573	50	0		
8	Income, Expenses, and Transfers for this Plan Year	0.0.0.0.0	(a)	Amou		-	(b) Total		
а	Contributions received or receivable from:	0.4.4.4.4	(4)				(b) 10 tal		
	(1) Employers	8a(1)							
	(2) Participants	8a(2)					1		
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	-10485			85	STATEMENT 1		
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c	A CA CA CA CA CANADA CA			nezer	-10485		
d	Benefits paid (including direct rollovers and insurance premiums to provide		20.00						
	benefits)	. 8d	8d 546862			62	STATEMENT 2		
е	Certain deemed and/or corrective distributions (see instructions)	. 8e							
f	Administrative service providers (salaries, fees, commissions)	. 8f	3			3	STATEMENT 3		
	Other expenses	. 8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h	THE RESERVE STATES OF THE RESERVE STATES OF THE SECOND STATES OF THE SEC				546865		
i	Net income (loss) (subtract line 8h from line 8c)	. 8i	-55						
j	Transfers to (from) the plan (see instructions)	. 8j							
Pa	rt IV Plan Characteristics								
9 a	If the plan provides pension benefits, enter the applicable pension feature of 2E 2G 2J 2R 3B	codes fror	m the List o	f Plan	Chara	acteris	tic Codes in the instructions:		
b		des from	the List of	Plan C	harac	cteristi	c Codes in the instructions:		
Pa	rt V Compliance Questions								
10	During the plan year:			Yes	No	N/A	Amount		
а	Was there a failure to transmit to the plan any participant contributions with	in the time	е						
	period described in 29 CFR 2510.3-102? (See instructions and DOL's Volun	tary							
	Fiduciary Correction Program.)		10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not i	nclude							
	transactions reported on line 10a.)		10b		X				
C	Was the plan covered by a fidelity bond?		10c		X	1			
ا	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bo	nd, that							
-	Did the plantiare a loos, internet		1	1	v	1	1		

10d

10e

10f

10g

10h

10i

X

X

X

X

X

was caused by fraud or dishonesty?

and 29 CFR 2520.101-3.)

the benefits under the plan? (See instructions.)

Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of

g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)

h If this is an individual account plan, was there a blackout period? (See instructions

one of the exceptions to providing the notice applied under 29 CFR 2520.101-3

i If 10h was answered "Yes," check the box if you either provided the required notice or

f Has the plan failed to provide any benefit when due under the plan?