Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Part I		t Identification Information							
For calend	ar plan year 2016 or	fiscal plan year beginning 01/01/2	2016 	and ending 1	2/31/2016				
A This ret	turn/report is for:	a single-employer plan		olan (not multiemployer) employer information in a					
		a one-participant plan	a foreign plan						
B This retu	urn/report is	t							
		urn/report (less than 12 m	nonths)						
C Check	box if filing under:	Form 5558	automatic extension		DFVC prog	ram			
David III	Desir Blee to	special extension (enter desc	. ,						
Part II		ormation—enter all requested in	nformation		45 "				
1a Name NU-SOUND		TIONS, INC. RETIREMENT PLAN	AND TRUST		1b Three-diplan nur (PN) ▶	_			
					1c Effective date of plan 01/01/1997				
Mailing	g address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.6			2b Employer Identification Number (EIN) 06-1170517				
•	town, state or provin	nce, country, and ZIP or foreign pos FIONS, INC.	tal code (if foreign, see ins	structions)	2c Sponsor's telephone number 914-682-7200				
					2d Business code (see instructions)				
225 CENTRAL AVENUE WHITE PLAINS, NY 10606					517000				
3a Plan a	dministrator's name a	and address Same as Plan Spo	onsor.		3b Administ				
NU-SOUND TELECOMMUNICATIONS, INC. 225 CENTRAL AVENUE WHITE PLAINS, NY 10606			3c Administrator's telephone number						
		WHITE	LAINS, NT 10000			914-682-7200			
		he plan sponsor has changed since umber from the last return/report.	the last return/report filed	for this plan, enter the	4b EIN				
a Spons	or's name	· 			4c PN				
5a Total	number of participant	ts at the beginning of the plan year.			5a	12			
		ts at the end of the plan year			5b				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c					
		articipants at the beginning of the p			5d(1)				
d(2) Total number of active participants at the end of the plan yeare Number of participants that terminated employment during the plan year with accrued benefits that were less			5d(2) 5e						
than	100% vested	e or incomplete filing of this retur	w/report will be seened	d unless researchie es		had			
		other penalties set forth in the instru							
SB or Sche		and signed by an enrolled actuary,	as well as the electronic v						
SIGN HERE		d/valid electronic signature.	10/13/2017	GEORGE BRINDLEY					
	Signature of plan	administrator	Date	Enter name of individ	dual signing as p	olan administrator			
SIGN HERE									
		loyer/plan sponsor name, if applicable) and address (i	Date	-		employer or plan sponsor lephone number			
1 Toparor 3	(moldaling mini	Tiamo, ii applicable, and address (i	notate room or suite fluill	July 1	, roparor s ter	opnone number			

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	Were all of the plan's assets during the plan year invested in eligib		•						X Ye	s No	
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								X Ye	s No	
	If the plan is a defined benefit plan, is it covered under the PBGC in					_	-	No	Not de	termined	
	t III Financial Information	·	<u> </u>				1		<u> </u>		
	Plan Assets and Liabilities		(a) Beginning	of Year				b) End	of Year		
	Total plan assets	7a	(2) 209g	255118			,	,	755	52	
	Total plan liabilities	7b		0)	0					
С	Net plan assets (subtract line 7b from line 7a)	7c		255118			7552				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total				
а	Contributions received or receivable from:		,					` ` `			
	(1) Employers	8a(1)		0							
	(2) Participants	8a(2)		0							
	(3) Others (including rollovers)	8a(3)		2422							
	Other income (loss)	8b		-3423							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							-342	23	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		242518							
-	Certain deemed and/or corrective distributions (see instructions).	8e		0)						
	Administrative service providers (salaries, fees, commissions)	8f		1625							
	Other expenses	8g									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							24414	13	
	Net income (loss) (subtract line 8h from line 8c)	8i							-24756	66	
	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics	l ol	ı								
	If the plan provides pension benefits, enter the applicable pension 2A 2E	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the inst	ructions:		
b	If the plan provides welfare benefits, enter the applicable welfare f	feature cod	des from the List of Pla	n Chara	acteris	tic Cod	des in t	he instru	uctions:		
Part	t V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amount	t	
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's \Program)	Voluntary F	Fiduciary Correction	10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
С	C Was the plan covered by a fidelity bond?			10c	X					10000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е				10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			_		
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided t exceptions to providing the notice applied under 29 CFR 2520.10			10i							

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Part	VI P	ension Funding Compliance								
11		a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and 5500) and line 11a below)						Yes	No	
11a	Enter	the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a					
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?										
а	If a wa	iver of the minimum funding standard for a prior year is being amortized in this plan year, see insignificant the waiver.		ns, and	d enter		e of the lo		ng	
If	_	npleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line				<u>y</u>		ai		
		ne minimum required contribution for this plan year			12b					
		ne amount contributed by the employer to the plan for this plan year			12c					
	Subtra	act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the ve amount)	left of a	l	12d					
е		e minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No		I/A	
Part		Plan Terminations and Transfers of Assets						· · · · · · · · · · · · · · · · · · ·		
13a	Has a	resolution to terminate the plan been adopted in any plan year?				X Ye	s	No		
	If "Yes	s," enter the amount of any plan assets that reverted to the employer this year			13a				0	
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brou I of the PBGC?		er the			Yes	X No)	
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identassets or liabilities were transferred. (See instructions.)	tify the	olan(s) to					
	13c(1) N	lame of plan(s):		13c(2)	EIN(s)		13	13c(3) PN(s)		
_										
Part		Trust Information								
14a Name of trust					14b Trust's EIN					
14c Name of trustee or custodian					14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions			ı					
15a	Is the p	olan a 401(k) plan? If "No," skip b		Yes			No			
			safe h	ign-based "Prior year" ADF test				ADP		
				"Curre	ent year test	~"	N/A			
			•	o Average N/A benefit test N/A						
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				Yes	No No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number										
	letter_	lan is an individually-designed plan that received a favorable determination letter from the IRS, e/	enter the	date	of the n	nost rec	ent dete	rminatio	n	
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No				
19	Was ar	ny plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s	No			