

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089 2016 This Form is Open to Public Inspection
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Part I	Annual Report Identification Information		
For calendar plan year 2016 or fiscal plan year beginning <u>01/01/2016</u> and ending <u>12/31/2016</u>			
A	This return/report is for:	☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)	
	☒ a single-employer plan ☐ a DFE (specify) ____		
B	This return/report is:	☐ the first return/report ☐ the final return/report ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)	
C	If the plan is a collectively-bargained plan, check here. ▶ ☐		
D	Check box if filing under:	☒ Form 5558 ☐ special extension (enter description)	☐ automatic extension ☐ the DFVC program

Part II	Basic Plan Information —enter all requested information		
1a	Name of plan <u>MASTER LOCK COMPANY EMPLOYEE IRA PLAN</u>	1b	Three-digit plan number (PN) ▶ <u>003</u>
		1c	Effective date of plan <u>01/01/1982</u>
2a	Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>MASTER LOCK COMPANY</u> <u>137 W FOREST HILL AVE</u> <u>OAK CREEK, WI 53154-2901</u>	2b	Employer Identification Number (EIN) <u>39-1142433</u>
		2c	Plan Sponsor's telephone number <u>414-571-5625</u>
		2d	Business code (see instructions) <u>332510</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/13/2017	MATTHEW C LENZ
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number) <u>DELOITTE TAX LLP</u> <u>111 S. WACKER DRIVE</u> <u>CHICAGO, IL 60606</u>			Preparer's telephone number <u>312-486-1000</u>

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN
	3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 0
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year..... a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	<div style="background-color: #cccccc; height: 20px; margin-bottom: 5px;"></div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6a(1)</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6a(2)</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6b</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6c</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6d</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6e</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6f</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black; margin-bottom: 5px;"> <div style="width: 15%; text-align: center;">6g</div> <div style="flex-grow: 1;"></div> </div> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 15%; text-align: center;">6h</div> <div style="flex-grow: 1;"></div> </div>
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 2N	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	

9a Plan funding arrangement (check all that apply) <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(1)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Insurance</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(2)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Code section 412(e)(3) insurance contracts</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(3)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Trust</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(4)</div> <div style="width: 20px; text-align: center;">☐</div> <div>General assets of the sponsor</div> </div>	9b Plan benefit arrangement (check all that apply) <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(1)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Insurance</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(2)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Code section 412(e)(3) insurance contracts</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(3)</div> <div style="width: 20px; text-align: center;">☐</div> <div>Trust</div> </div> <div style="display: flex; margin-bottom: 5px;"> <div style="width: 30px; text-align: center;">(4)</div> <div style="width: 20px; text-align: center;">☐</div> <div>General assets of the sponsor</div> </div>
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(1)</div> <div style="width: 20px; text-align: center;">☐</div> <div>R (Retirement Plan Information)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(2)</div> <div style="width: 20px; text-align: center;">☐</div> <div>MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(3)</div> <div style="width: 20px; text-align: center;">☐</div> <div>SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary</div> </div>	b General Schedules <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(1)</div> <div style="width: 20px; text-align: center;">☐</div> <div>H (Financial Information)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(2)</div> <div style="width: 20px; text-align: center;">☐</div> <div>I (Financial Information – Small Plan)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(3)</div> <div style="width: 20px; text-align: center;">☐</div> <div>A (Insurance Information)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(4)</div> <div style="width: 20px; text-align: center;">☐</div> <div>C (Service Provider Information)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(5)</div> <div style="width: 20px; text-align: center;">☐</div> <div>D (DFE/Participating Plan Information)</div> </div> <div style="display: flex; margin-bottom: 10px;"> <div style="width: 30px; text-align: center;">(6)</div> <div style="width: 20px; text-align: center;">☐</div> <div>G (Financial Transaction Schedules)</div> </div>

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

Form 5500Department of the Treasury
Internal Revenue ServiceDepartment of Labor
Employee Benefits Security
Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**OMB Nos. 1210-0110
1210-0089**2016****This Form is Open to Public Inspection****Part I Annual Report Identification Information**For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

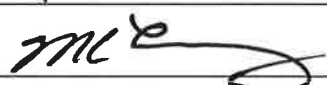
- A** This return/report is for: ☐ a multiemployer plan ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☒ a single-employer plan ☐ a DFE (specify) _____
- B** This return/report is: ☐ the first return/report ☐ the final return/report
- ☐ an amended return/report ☐ a short plan year return/report (less than 12 months)
- C** If the plan is a collectively-bargained plan, check here: _____ ▶ ☐
- D** Check box if filing under: ☒ Form 5558 ☐ automatic extension ☐ the DFVC program
- ☐ special extension (enter description) _____

Part II Basic Plan Information—enter all requested information

1a Name of plan MASTER LOCK COMPANY EMPLOYEE IRA PLAN	1b Three-digit plan number (PN) ▶ 003
	1c Effective date of plan 01/01/1982
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) MASTER LOCK COMPANY 137 W FOREST HILL AVE OAK CREEK WI 53154-2901	2b Employer Identification Number (EIN) 39-1142433
	2c Plan Sponsor's telephone number (414) 571-5625
	2d Business code (see instructions) 332510

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE		<u>10/13/17</u>	MATTHEW C LENZ
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE		<u>10/13/17</u>	MATTHEW C LENZ
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number) DELOITTE TAX LLP 111 S. WACKER DRIVE CHICAGO IL 60606			Preparer's telephone number (312) 486-1000

For Paperwork Reduction Act Notice, see the Instructions for Form 5500.

Form 5500 (2016)
v. 160205

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 0
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits..... d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits. f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 6a(2) 6b 6c 6d 0 6e 6f 0 6g 6h
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item).....	7

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2N

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☐ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☐ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☐ Trust (4) ☐ General assets of the sponsor
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10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- (1)** ☐ **R** (Retirement Plan Information)
- (2)** ☐ **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- (3)** ☐ **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- (1)** ☐ **H** (Financial Information)
- (2)** ☐ **I** (Financial Information - Small Plan)
- (3)** ☐ **A** (Insurance Information)
- (4)** ☐ **C** (Service Provider Information)
- (5)** ☐ **D** (DFE/Participating Plan Information)
- (6)** ☐ **G** (Financial Transaction Schedules)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)
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11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____
