Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

For calendar plan year 2016 or fiscal plan year beginning

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

and ending

12/31/2016

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Δ This rot	X a single-employer plan									
A IIIISTE	um/report is ior.	a one-participant plan	a foreign plan	employer illionnation ill ac	as associatios mai ale form mendenolis.					
B This retu	urn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year return/report (less than 12 months)							
C Check I	oox if filing under:	X Form 5558	automatic extension	1	DFVC program					
_	-	special extension (enter desc								
Part II	•	ormation—enter all requested in	formation		T	1				
1a Name SOUND HEA	of plan ALTH MEDICAL PRA	CTICE PC			1b Three-digit plan number (PN) ▶	001				
			1c Effective date of plan 01/01/2014							
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Ident (EIN) 27-0	tification Number 0478222				
	LTH MEDICAL PC	ce, country, and ZIP or foreign pos	tal code (if foreign, see in	structions)	2c Sponsor's telephone number 917-826-0661					
225 EAST 14 SUITE A BRONX, NY	19TH STREET 10451		2d Business code (see instructions) 621111							
3a Plan a	dministrator's name	and address 🏻 Same as Plan Spo	nsor.		3b Administrator's	EIN				
4 If the r	name and/or EIN of ti	ne plan sponsor has changed since	the last return/report filed	for this plan, enter the	3c Administrator's 4b EIN					
name, EIN, and the plan number from the last return/report. a Sponsor's name				4c PN						
5a Total number of participants at the beginning of the plan year				5a	2					
b Total i	number of participant	s at the end of the plan year			5b	3				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	2				
` '	·	articipants at the beginning of the p	•		5d(1)	2				
		articipants at the end of the plan ye			5d(2)	3				
than	100% vested	t terminated employment during the			5e	0				
Under pena SB or Sche	alties of perjury and o	e or incomplete filing of this return other penalties set forth in the instru- and signed by an enrolled actuary, aplete.	ctions, I declare that I have	e examined this return/re	port, including, if appl					
SIGN	Filed with authorized	d/valid electronic signature.	10/16/2017	ADENIKE ADEYEMO						
HERE	Signature of plan		Date	Enter name of individ	lual signing as plan ac	lministrator				
SIGN HERE	Filed with authorized	d/valid electronic signature.	10/16/2017	ADENIKE ADEYEMO						
		oyer/plan sponsor name, if applicable) and address (i	Date		lual signing as employ Preparer's telephon					
	, ,	inc. see the Instructions for Form 550			. repairer a telephori	Form 5500 SE (2046)				

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	6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X	Yes No		
D	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X	Yes No	
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
c	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☐ Not determined									
Pa	rt III Financial Information	r	1							
7	Plan Assets and Liabilities		(a) Beginning				((b) End	of Year	074
<u>a</u>	Total plan assets	7a		19816		35971				
	Total plan liabilities	7b		0			0			
	Net plan assets (subtract line 7b from line 7a)	7c		19816)	35971				
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	mount			(b) Total			
а	Contributions received or receivable from: (1) Employers	8a(1)	2800							
	(2) Participants	8a(2)		12547						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b		2244						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						17591		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions).	8e		0)					
f	Administrative service providers (salaries, fees, commissions)	8f		1436						
a	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1	436
ī	Net income (loss) (subtract line 8h from line 8c)	8i							16	155
j	Transfers to (from) the plan (see instructions)		0							
Pa	Part IV Plan Characteristics									
9a										
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	N/A		Amou	ınt
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Normal Program)	oluntary F	Fiduciary Correction	10a		X				
b				10b		X				
С	C Was the plan covered by a fidelity bond?			10c		X				
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X				
h	1 If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI	Pension Funding Compliance							
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No	
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or secti ERISA?						│	Yes X No	
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling	
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T			
<u>b</u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	he amount contributed by the employer to the plan for this plan year			12c				
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d				
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part	VII	Plan Terminations and Transfers of Assets							
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo	
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a				
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No			
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to				
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	3) PN(s)	
Part	VIII	Trust Information							
14a Name of trust					14b Trust's EIN				
14c Name of trustee or custodian				14d Trustee's or custodian's telephone number					
Par	t IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No		
			ign-based "Prior year" ADF harbor test			ear" ADP			
				"Curre	ent year test	"	N/A		
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A					
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No					
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?					Ye	Yes No			
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					s [No			