Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A This return/report is for:
- ☐ a multiemployer plan
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☒ a single-employer plan
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

1b Three-digit plan number (PN) ▶ 002

1c Effective date of plan 05/01/1991

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

525 B STREET, SUITE 2200
SAN DIEGO, CA 92101

2b Employer Identification Number (EIN) 95-1634364

2c Plan Sponsor's telephone number 619-238-1900

2d Business code (see instructions) 541110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/17/2017	JAMES G PERKINS
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4c**	PN

5	Total number of participants at the beginning of the plan year	**5**	301
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	254
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	242
b	Retired or separated participants receiving benefits	**6b**	0
c	Other retired or separated participants entitled to future benefits	**6c**	66
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	308
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	308
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	258
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	17
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2R 2T 3B

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

4B

9a Plan funding arrangement (check all that apply)		**9b** Plan benefit arrangement (check all that apply)	
(1)	[X] Insurance	**(1)**	[X] Insurance
(2)	[ ] Code section 412(e)(3) insurance contracts	**(2)**	[ ] Code section 412(e)(3) insurance contracts
(3)	[X] Trust	**(3)**	[X] Trust
(4)	[ ] General assets of the sponsor	**(4)**	[ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [X] 6 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
NORTHWESTERN MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
39-0509570	67091	29308	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
1348	1033

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

WILLIAM F. POLLAK
13821 NEWPORT AVE
STE 170
TUSTIN, CA 92780

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
1077	1009	3	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

MULROY INSURANCE AGENCY LLC
1500 QUAIL ST STE600
NEWPORT BEACH, CA 92660

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
271	24	3	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	47694
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [ ] Yes [X] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I **Information Concerning Insurance Contract Coverage, Fees, and Commissions** Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PACIFIC MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
95-1079000	67466	1A22126900	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
973	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

RILEY J. KELLY
4550 KEARNY VILLA ROAD STE 103
SAN DIEGO, CA 92123-1558

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
973	0	3	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

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	(c) Amount	**(d)** Purpose	

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(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	1985
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [X] Yes [ ] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SUMMARY OF FEES AND COMMISSIONS

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

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▶ **File as an attachment to Form 5500.**

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OMB No. 1210-0110

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A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PACIFIC MUTUAL LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
95-1079000	67466	1A22140220	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

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	(c) Amount	**(d)** Purpose	

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	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	3709
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [X] Yes [ ] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SUMMARY OF FEES AND COMMISSIONS

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
PENN MUTUAL LIFE INSURANCE

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year (f) From	(g) To
23-9052300	67644	8001387	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
31	0

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

LUCIA FINANCIAL GROUP
13520 EVENING CREEK DR E STE 300
SAN DIEGO, CA 92128

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	(d) Purpose	(e) Organization code
31	0	3	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	(d) Purpose	(e) Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	2600
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [X] Yes [ ] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SUMMARY OF FEES AND COMMISSIONS

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
NEW ENGLAND LIFE INSURANCE COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
04-2708937	91626	080315000	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

NEW ENGLAND FINANCIAL
1095 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	
			3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	3800
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [X] Yes [ ] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SUMMARY OF FEES AND COMMISSIONS

SCHEDULE A (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2016

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For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions

Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.

1 Coverage Information:

(a) Name of insurance carrier
VOYA INSURANCE AND ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year (f) From	Policy or contract year (g) To
41-0991508	80942	C193354-0W	1	01/01/2016	12/31/2016

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid
0	40

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

STEVEN EDWARD EGAN
500 W MADISON ST
SUITE 4000
CHICAGO, IL 60661-4544

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code
0	40	3	3

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid (c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		**(e)** Organization code
	(c) Amount	**(d)** Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4	Current value of plan's interest under this contract in the general account at year end	**4**	
5	Current value of plan's interest under this contract in separate accounts at year end	**5**	

6 Contracts With Allocated Funds:

a State the basis of premium rates ▶

b	Premiums paid to carrier	**6b**	
c	Premiums due but unpaid at the end of the year	**6c**	
d	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount.	**6d**	

Specify nature of costs ▶

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity

(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐

7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee

(3) ☐ guaranteed investment (4) ☐ other ▶

b	Balance at the end of the previous year			**7b**	0
c	Additions: (1) Contributions deposited during the year	**7c(1)**			
	(2) Dividends and credits	**7c(2)**			
	(3) Interest credited during the year	**7c(3)**			
	(4) Transferred from separate account	**7c(4)**			
	(5) Other (specify below)	**7c(5)**			
	▶				
	(6) Total additions			**7c(6)**	0
d	Total of balance and additions (add lines **7b** and **7c(6)**)			**7d**	0
e	Deductions:				
	(1) Disbursed from fund to pay benefits or purchase annuities during year	**7e(1)**			
	(2) Administration charge made by carrier	**7e(2)**			
	(3) Transferred to separate account	**7e(3)**			
	(4) Other (specify below)	**7e(4)**			
	▶				
	(5) Total deductions			**7e(5)**	0
f	Balance at the end of the current year (subtract line **7e(5)** from line **7d**)			**7f**	0

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- **a** [ ] Health (other than dental or vision)
- **b** [ ] Dental
- **c** [ ] Vision
- **d** [X] Life insurance
- **e** [ ] Temporary disability (accident and sickness)
- **f** [ ] Long-term disability
- **g** [ ] Supplemental unemployment
- **h** [ ] Prescription drug
- **i** [ ] Stop loss (large deductible)
- **j** [ ] HMO contract
- **k** [ ] PPO contract
- **l** [ ] Indemnity contract
- **m** [ ] Other (specify) ▶

9 Experience-rated contracts:				
a Premiums: (1) Amount received	**9a(1)**			
(2) Increase (decrease) in amount due but unpaid	**9a(2)**			
(3) Increase (decrease) in unearned premium reserve	**9a(3)**			
(4) Earned (**(1)** + **(2)** - **(3)**)			**9a(4)**	0
b Benefit charges (1) Claims paid	**9b(1)**			
(2) Increase (decrease) in claim reserves	**9b(2)**			
(3) Incurred claims (add **(1)** and **(2)**)			**9b(3)**	0
(4) Claims charged			**9b(4)**	
c Remainder of premium: (1) Retention charges (on an accrual basis) --				
(A) Commissions	**9c(1)(A)**			
(B) Administrative service or other fees	**9c(1)(B)**			
(C) Other specific acquisition costs	**9c(1)(C)**			
(D) Other expenses	**9c(1)(D)**			
(E) Taxes	**9c(1)(E)**			
(F) Charges for risks or other contingencies	**9c(1)(F)**			
(G) Other retention charges	**9c(1)(G)**			
(H) Total retention			**9c(1)(H)**	0
(2) Dividends or retroactive rate refunds. (These amounts were [ ] paid in cash, or [ ] credited.)			**9c(2)**	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement			**9d(1)**	
(2) Claim reserves			**9d(2)**	
(3) Other reserves			**9d(3)**	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line **9c(2)**.)			**9e**	
10 Nonexperience-rated contracts:				
a Total premiums or subscription charges paid to carrier			**10a**	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.			**10b**	

Specify nature of costs.

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? [ ] Yes [X] No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MG TRUST COMPANY DBA MATRIX TRUST C

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 16 19 21 25 36 37 38 50 60 62 64 65	TRUSTEE/RECORD KEEPER	20868	Yes [X] No [ ]	Yes [X] No [ ]	110937	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB

2423 E. LINCOLN DRIVE
PHOENIX, AZ 85016

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT MANAGER	71592	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TD AMERITRADE

P. O. BOX 2226
OMAHA, NE 68103-2226

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT MANAGER	33615	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CA WEALTH INDEPENDENT FINANCIAL
2648 E STREET
SAN DEIGEO, CA 92102

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT MANAGER	31937	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL
12220 EL CAMINO REAL, STE 400
SAN DIEGO, CA 92130

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT MANAGER	16324	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

RICE, HALL, JAMES & ASSOCIATES
600 WEST BROADWAY, STE. 1000
SAN DIEGO, CA 92101

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT MANAGER	7542	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MATRIX TRUST COMPANY	62	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATRIX TRUST COMPANY 75-3182674	SEE ATTACHMENT MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MATRIX TRUST COMPANY	68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MATRIX TRUST COMPANY 75-3182674	SEE ATTACHMENT MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
TD AMERITRADE, INC.	59 60 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TD AMERITRADE, INC. 82-0543156	SEE ATTACHMENT 731_PCH_2016_5500

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	77420
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	559895	500472
(2) Participant contributions	1b(2)	63274	73839
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	2639753	4600012
(2) U.S. Government securities	1c(2)	374404	363099
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	30204
(B) All other	1c(3)(B)	0	210906
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	630549	695054
(B) Common	1c(4)(B)	13708623	14845977
(5) Partnership/joint venture interests	1c(5)	8370292	9499617
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	20000	0
(8) Participant loans	1c(8)	564979	570030
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	51392662	54542258
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)	90656	88760
(15) Other	1c(15)	0	56070

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	78415087	86153718
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	1k	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	78415087	86153718

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	2337531	
	(B) Participants	2a(1)(B)	1618261	
	(C) Others (including rollovers)	2a(1)(C)	30104	
	(2) Noncash contributions	2a(2)	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		3985896
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	1408	
	(B) U.S. Government securities	2b(1)(B)	18300	
	(C) Corporate debt instruments	2b(1)(C)	4505	
	(D) Loans (other than to participants)	2b(1)(D)	0	
	(E) Participant loans	2b(1)(E)	13904	
	(F) Other	2b(1)(F)	446263	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		484380
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	4683	
	(B) Common stock	2b(2)(B)	308986	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	1207485	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		1521154
	(3) Rents	2b(3)		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	7902208	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	7610738	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		291470
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)	0	
	(B) Other	2b(5)(B)	1851519	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		1851519

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		2688022
c Other income	2c		14798
d Total income. Add all **income** amounts in column (b) and enter total	2d		10837239
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	2535572	
(2) To insurance carriers for the provision of benefits	2e(2)	59787	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		2595359
f Corrective distributions (see instructions)	2f		0
g Certain deemed distributions of participant loans (see instructions)	2g		0
h Interest expense	2h		0
i Administrative expenses: **(1)** Professional fees	2i(1)	70056	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	111125	
(4) Other	2i(4)	756	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		181937
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		2777296
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		8059943
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		321312

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MAYER HOFFMAN MCCANN P.C. **(2)** EIN: 43-1947695

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)
PROCOPIO,CORY, HARGREAVES & SAVITCH LLP NONPARTNER ATTORNEY 401(K) PLAN	95-1634364	003

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PLAN

B Three-digit plan number (PN) ▶ 002

C Plan sponsor's name as shown on line 2a of Form 5500
PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

D Employer Identification Number (EIN)
95-1634364

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 27-1228437

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: 0.0 % Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes	☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Current year" ADP test	☐ "Prior year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test	☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes	☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter ____/____/____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter ____/____/____.

Procopio, Cory, Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

Financial Statement and Supplemental Schedule
December 31, 2016 and 2015



Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

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* Other schedules required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under Employee Retirement Income Security Act of 1974 ("ERISA") have been omitted because they are not applicable.

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Independent Auditors' Report

To the Retirement Plan Committee
Procopio, Cory, Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of **Procopio, Cory, Hargreaves & Savitch LLP 401(k) Profit Sharing Plan** (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statement of changes in net assets available for benefits for the year ended December 31, 2016, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements and supplemental schedule in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 3, which was certified by City National Bank ("Custodian") of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the Plan Administrator that the Custodian holds the Plan's investment assets and executes investment transactions. The Plan Administrator has obtained certifications from the Custodian as of December 31, 2016 and 2015, and for the year ended December 31, 2016, that the information provided to the Plan Administrator by the Custodian is complete and accurate.

Department of Labor Rules and Regulations and accounting principles generally accepted in the United States of America require Plan investments to be valued at fair value as of the end of the Plan year. As described in Note 3, Plan management does not have adequate procedures to properly value certain investments as of year end and therefore these investments may not be presented in compliance with the Department of Labor's Rules and Regulations or in conformity with accounting principles generally accepted in the United States of America. The effects of the financial statements and supplemental schedule of not applying the adequate procedures to determine the fair value of these investments has not been determined.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedule, Schedule H, line 4i - Schedule of Assets (Held At End of Year) as of December 31, 2016, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the Custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security act of 1974.

Mayer Hoffman McCann P.C.

San Diego, California
October 16, 2017

Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

Statements of Net Assets Available for Benefits

December 31,	**2016**	2015
Assets		
Investments, at fair value	**$ 86,881,244**	$ 78,167,997
Total investments	**86,881,244**	78,167,997
Receivables:		
Notes receivable from participants	**570,029**	672,871
Employer contributions	**500,472**	559,895
Employee contributions	**73,839**	63,274
Total receivables	**1,144,340**	1,296,040
Net Assets Available for Benefits	**$ 88,025,584**	$ 79,464,037

The accompanying notes are an integral part of these financial statements.

Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

Statement of Changes in Net Assets Available for Benefits

Year Ended December 31,	2016
Additions to Net Assets	
Investment income:	
Net appreciation of investments	$ 5,769,402
Interest and dividends	1,996,148
Total investment income	7,765,550
Interest income on notes receivable from participants	12,421
Contributions:	
Employer contributions	2,337,531
Employee contributions	1,630,261
Rollovers	30,104
Total contributions	3,997,896
Total additions	11,775,867
Deductions from Net Assets	
Benefits paid to participants	2,897,649
Expenses	181,937
Insurance carriers for the provision of benefits	59,787
Total deductions	3,139,373
Net increase in Net Assets Available for Benefits Before Transfers	8,636,494
Net Transfer to other plan	(74,947)
Net Increase in Net Assets Available for Benefits	8,561,547
Net Assets Available for Benefits, Beginning of Year	79,464,037
Net Assets Available for Benefits, End of Year	**$ 88,025,584**

The accompanying notes are an integral part of this financial statement.

1. Description of the Plan

The following description of the **Procopio, Cory, Hargreaves & Savitch LLP 401(k) Profit Sharing Plan** ("Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan was established on May 1, 1991, as restated November 1, 2004, to enable eligible employees to make pre-tax contributions to the Plan; thereby, providing employees with an opportunity to accumulate funds for their retirement. The Plan is a defined contribution plan established by **Procopio, Cory, Hargreaves & Savitch LLP** ("Company"). The Plan covers substantially all employees of the Company and is administered by the Company. The Plan Custodian, City National Bank ("Custodian") has the authority and responsibility for the management and investment of Plan assets.

Participants in the Plan may choose to have their deferral and employer match contributions allocated to any investment of their choice.

Eligibility

The Plan covers all employees who have completed 12 consecutive months and 1,000 or more hours of service, are age 21 or older, and are not employees covered by a collective bargaining agreement, independent contract lawyers, independent contract persons, associates, senior counsel attorneys and/or contract/staff lawyers.

Contributions

Each year participants may contribute up to a specified percent of pretax annual compensation, subject to limits of the tax law as defined in the Plan agreement. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans.

The Plan provides that the Company may elect to make matching contributions. The Company makes a matching contribution of 100% of the first 2% of a participant's eligible compensation for participants who have worked 1,000 or more hours during the Plan year and are employed on the last day of the Plan year. The Company suspended matching contributions in 2010.

In addition, each year, the Company may elect to make a discretionary profit sharing contribution for eligible participants who worked 1,000 or more hours during the Plan year and are employed on the last day of the Plan year. The Company contributed $2,337,531 to the Plan in 2016.

Vesting

Participants are 100% vested at all times in their deferral contributions and employer match plus actual earnings thereon. Employer profit sharing contributions vest 20% after two complete years of services, as defined in the Plan agreement, and an additional 20% for each complete year of service thereafter until fully vested.

Forfeitures

Unvested amounts forfeited by terminated employees are used to reduce future employer and profit sharing contributions payable under the Plan.

Allocations

Each participant's account is credited with the participant's contribution and allocation of the Company's contribution and Plan earnings. Allocations are made by the Plan Administrator in a manner which recognizes each participant's account balance, investment selection and timing of contributions and withdrawals. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Notes receivable from participants

The Plan allows participants to borrow against their vested balances. Loans may not exceed the lesser of $50,000 or 50% of a participant's vested balance. Loan repayment periods may not exceed five years, or, if lesser, the period ending on the participant's normal retirement age. Loans will bear interest at rates commensurate with rates charged by commercial lenders for loans made under similar circumstances. Loans must be adequately secured by the vested balance in the participant's account.

Notes receivable from participants are measured at their unpaid principal balance plus accrued but unpaid interest. Interest income from notes receivable from participants was $12,421 in 2016. Delinquent participant loans are reclassified as distributions based upon the terms of the Plan agreement.

Benefit payments

Upon termination of service due to death, disability, or retirement, a participant (or beneficiary) may elect to receive either a lump-sum amount or a series of installments equal to the value of the participant's vested interest in his or her account. For account balances transferred from the Procopio, Cory, Hargreaves & Savitch LLP Money Purchase Pension Plan, participants may elect to be paid with a non-transferable deferred annuity contract.

Transfers from other plans

Transfers of assets from other plans primarily represent amounts transferred from another Company sponsored plan.

Plan expenses

Certain Plan expenses are deducted from participant accounts or investment income, and the remainder of the Plan expenses are paid by the Company.

Plan termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

Recently adopted accounting standards

In May 2015, the Financial Accounting Standards Board ("FASB") issued Accounting Standards Update ("ASU") 2015-07, *Disclosures for Investments in Certain Entities That Calculate Net Asset Value per Share (or Its Equivalent).* The ASU removed the requirement to categorize within the fair value hierarchy investments for which fair values are estimated using the net asset value ("NAV") per share as a practical expedient provided by FASB Accounting Standards Codification ("ASC") 820, *Fair Value Measurement.*

Disclosures about investments in certain entities that calculate the NAV per share are limited under this ASU to investments for which the entity has elected to estimate the fair value using the NAV practical expedient. The guidance requires retrospective application and is effective for fiscal years, and interim periods within those years, beginning after December 15, 2015, and early adoption is permitted. Management has adopted ASU 2015-07 as of December 31, 2016. The adoption had no impact on the investments of the Plan.

In July 2015, the FASB issued ASU No. 2015-12, *Plan Accounting: Defined Benefit Pension Plans (Topic 960), Defined Contribution Pension Plans (Topic 962), Health and Welfare Benefit Plans (Topic 965): (Part I) Fully Benefit-Responsive Investment Contracts, (Part II) Plan Investment Disclosures, (Part III) Measurement Date Practical Expedient.* Part I of this ASU eliminates the requirements to measure fully benefit responsive investment contracts at fair value and provide certain disclosures. Contract value is the only required measure for fully benefit-responsive investment contracts. Part II of this ASU eliminates the requirement to disclose individual investments that represent five

Recently adopted accounting standards, cont'd

percent or more of net assets available for benefits and the net appreciation or depreciation in fair value of investments by general type. Part II also simplifies the level of disaggregation of investments that are measured using fair value. Plans will continue to disaggregate investments that are measured using fair value by general type, however, plans are no longer required to also disaggregate investments by nature, characteristics, and risks. Further, the disclosure of information about fair value measurements shall be provided by general type of plan asset. Net appreciation or depreciation in the aggregate will still be required. Part III of this ASU applies to entities that have a fiscal year-end that does not coincide with a month-end and therefore is not applicable to the Plan. The ASU is effective for fiscal years beginning after December 15, 2015, with early adoption permitted. Parts I and II are to be applied retrospectively. Management has adopted Parts I and II of ASU No. 2015-12 as of December 31, 2016.

2. Summary of Significant Accounting Policies

A summary of the Plan's significant accounting policies consistently applied in the preparation of the accompanying financial statements follows.

Basis of accounting

The Plan uses the accrual basis of accounting in conformity with accounting principles generally accepted in the United States of America.

Use of estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of additions and deductions during the reporting period. Actual results could differ from estimates.

Fair value measurement

The FASB issued authoritative guidance that provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under this guidance are described as follows:

Fair value measurement, cont'd

Level 1 – Valuation is based upon quoted prices in active markets for identical assets or liabilities.

Level 2 – Valuation is based upon other significant observable inputs (including quoted prices for similar assets or liabilities in active markets, identical or similar assets or liabilities in inactive markets, inputs other than quoted prices that are observable for the asset or liability, etc.). If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3 – Valuation is based upon significant unobservable inputs, including the reporting entity's own assumptions in determining the fair value of investments.

The inputs or methodology used by valuing securities are not necessarily an indication of risk associated with investing in those securities.

The tables on the following pages set forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2016 and 2015:

Investments at Fair Value as of December 31, 2016

	Level 1	Level 2	Level 3	Total
Mutual funds	$54,715,156	$ -	$ -	$ 54,715,156
Common stocks	14,033,154	182,540	-	14,215,694
Partnership interests*	1,890	-	10,342,912	10,344,802
Money market funds	4,646,844	-	-	4,646,844
Insurance policies, cash surrender values*	-	1,208,040	-	1,208,040
Real estate investment trust*	798,685	-	-	798,685
Preferred stock*	74,701	-	383,711	458,410
U.S. government securities	-	404,112	-	404,114
Other investments	-	84,499	5,000	89,499
Total investments	$ 74,270,430	$ 1,879,195	$ 10,731,623	$ 86,881,244

*These investments are not recorded at fair value as required by authoritative guidance relating to fair value measurements and disclosure requirements as of and for the year ended December 31, 2016 (see Note 3).

Fair value measurement, cont'd

Investments at Fair Value as of December 31, 2015

	Level 1	Level 2	Level 3	Total
Mutual funds	$ 46,341,950	$ -	$ -	$ 46,341,950
Common stocks	13,584,906	-	-	13,584,906
Partnership interests*	-	-	8,337,613	8,337,613
Money market funds	7,825,434	-	-	7,825,434
Insurance policies, cash surrender values*	-	1,031,713	-	1,031,713
Preferred stock*	46,455	-	585,000	631,455
U.S. government securities	-	389,926	-	389,926
Other investments	-	20,000	-	20,000
Real estate investment trust*	-	-	5,000	5,000
Total investments	$ 67,798,745	$ 1,441,639	$ 8,927,613	$ 78,167,977

*These investments are not recorded at fair value as required by authoritative guidance relating to fair value measurements and disclosure requirements as of and for the year ended December 31, 2015 (see Note 3).

Investments

Mutual funds, money market funds, preferred stocks, and common stocks are stated at fair value. Fair value has been measured using quoted market prices in an active market on the last day of the Plan year. Insurance policies are stated at the cash surrender value, which approximates fair value; however, they may not reflect the values at December 31, 2016. Valuations for U.S. government securities, other investments and corporate debt instruments are obtained from readily available pricing sources for comparable assets. Real estate investment trusts have been measured using estimated valuations of the properties held at different times during the Plan year, which may not approximate fair value at December 31, 2016. Partnership interests have been measured using a variety of methods including income calculations and recent sales, which may not approximate fair value at December 31, 2016. The Plan presents in the statement of changes in net assets available for benefits the net appreciation or (depreciation) in the fair value of its investments which consists of the realized gains or losses and the unrealized appreciation or (depreciation) on those investments (see Note 3).

3. Information Certified by the Plan's Custodian (Unaudited)

The Plan Administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, City National Bank, the Plan Custodian, has certified that the following data included in the accompanying financial statements and supplemental schedule are complete and accurate with respect to investments:

- Investments at fair value
- Net appreciation (depreciation) in fair value of investments
- Notes receivable from participants
- Interest income on notes receivable from participants
- Interest and dividends
- Schedule of assets (held at end of year)

The Plan's independent public accountants did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in the financial statements and supplemental schedule.

Custodian

All Plan assets are held by City National Bank, the Plan Custodian, who is responsible for the maintenance of all accounting records and the preparation of reports of activity related to those assets. The Custodian has certified to the Plan Administrator the completeness and accuracy of the financial information and data presented below.

Interest and dividend income

Interest and dividend income totaling $1,996,148 is included in the statement of changes in net assets available for benefits.

Net appreciation of investments

The values of the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated $5,769,402 during 2016.

4. Tax Status

The Plan is a standardized prototype plan. The standardized prototype plan received an opinion letter from the Internal Revenue Service ("IRS") dated June 28, 2002, indicating that the Plan and related Trust satisfy the requirements of Section 401(a) of the Internal Revenue Code of 1986, as amended ("Code"). The Plan is required to operate in accordance with the Code to maintain its tax qualification. The Plan has been amended since receiving the opinion letter, however, the Plan Administrator believes that the Plan is currently designed and is being operated in compliance with

4. Tax Status, Cont'd

the applicable requirements of the Code. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Plan management is required to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken uncertain position that more likely than not would not be sustained upon examination by the IRS. The tax positions taken by the Plan have been analyzed and, as of December 31, 2016, there were no uncertain tax positions taken or expected to be taken that would require recognition of a liability, asset or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. Plan management believes it is no longer subject to income tax examinations for years prior to 2013.

5. Party-In-Interest Transactions

Certain Plan assets are invested in Custodian accounts. These transactions with the Custodian qualify as party-in-interest transactions. Certain members of the Retirement Plan Committee are also participants in the Plan.

6. Pending Distributions

There were no pending distributions at December 31, 2016.

7. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risk. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near future and that such changes could materially affect participants' accounts balances and the amounts reported in the statements of net assets available for benefits.

8. Reconciliation of the Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits per the financial statements to net assets per Form 5500 as of December 31, 2016:

Net assets available for benefits per financial statements	$	88,025,584
Less: Insurance policies		(1,049,586)
Less: Fair value adjustment		(822,280)
Net assets per Form 5500	$	86,153,718

8. Reconciliation of the Financial Statements to Form 5500, Cont'd

The following is a reconciliation of net assets available for benefits per the financial statements to net assets per Form 5500 as of December 31, 2015:

Net assets available for benefits per financial statements	$ 79,464,037
Less: Insurance policies	(941,058)
Less: Deemed loans	(107,892)
Net assets per Form 5500	$ 78,415,087

9. Subsequent Events

The date through which subsequent events have been considered by management for these financial statements is October 16, 2017, which is the date the financial statements were available to be issued.

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Supplemental Schedule

Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year)

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
		Cash and Money Market Funds		
*	City National Rochdale	TD Asset Management Money Market Portfolio	-	$ 2,676,466
	TD Ameritrade	Schwab Money Market Fund	-	1,174,810
*	City National Rochdale	City National Rochdale Government Money Market Fund Svc (cnix.x)	-	669,942
	Charles Schwab	Funds-in-transfer Account	-	72,922
*	City National Rochdale	Pershing Cash Account	-	31,332
	Profit Sharing Contribution	UBS Bank USA Deposit Account	-	13,756
	UBS Bank	Limited Partnership Holdback Receivable	-	4,992
	Charles Schwab	Schwab Cash Account	-	3,117
	Charles Schwab	Cash	-	2,548
	TD Ameritrade	Ameritrade Cash Account	-	(1,441)
*	City National Bank	CNB Cash	-	(1,600)
		US Government Securities		
	US Treasury Bonds	US Treasury Bond	-	152,906
	US Treasury Bonds	US Treasury Bond	-	104,494
	US Treasury Bonds	US Treasury Note/Bond	-	52,910
	US Treasury Bonds	US Treasury Note/Bond	-	52,789
	Municipal Bonds	California St Univ Revenue Txbl-Ref-Ser B	-	20,089
	Municipal Bonds	Kansas St Dev Fin Auth Revenue Taxable-Kans St Univ-Ser U-1-Q	-	10,713
	Municipal Bonds	New York NY Taxable-Ser A	-	10,211
		Preferred Stock		
	Ceresti Health, Inc.	Ceresti Health, Inc Ser S PFD	-	125,000
	Spinnaker Biosciences, Inc.	Spinnaker Biosciences, Inc. Series B Preferred Stock	-	100,000
	Boost Academy Inc.	Boost Academy Inc. Series A Preferred	-	50,000
	Bump Network, Inc.	Bump Network, Inc. Series B Preferred Stock	-	34,997
	Boost Academy, Inc.	Boost Academy, Inc Series B-1 Preferred Stock	-	25,000
	BandwidthX, Inc.	BandwidthX, Inc. Series A Preferred Stock	-	25,000
	Ceresti Health, Inc.	Ceresti Health, Inc. Series T Preferred Stock	-	23,714
	Morgan Stanley	Morgan Stanley 7.125	-	19,498
	Citigrp Inc	Citigrp Inc 7.125%-J	-	15,145
	Wells Fargo & Company	Wells Fargo & Company 5.85	-	15,132

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401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Aspen Insurance	Aspen Insurance Holdings Ltd 7.401%	-	$ 13,359
	Barclays Bank	Barclays Bank PLC 7.1%	-	5,911
	Morgan Stanley	Morgan Stanley 6.875%	-	5,656
		Common Stock		
	Qualcomm	Qualcomm	-	2,210,431
	General Electric Co	General Electric Co	-	305,664
	Biogen Inc	Biogen Inc	-	283,580
	Sprint Corporaton	Sprint Corporaton	-	210,500
	Apple Inc	Apple Inc	-	205,995
	Verizon Communications	Verizon Communications	-	200,968
	Microsoft Corporation	Microsoft Corporation	-	200,653
	Amazon Com Inc	Amazon Com Inc	-	188,217
	Intl Business Machines Inc	Intl Business Machines Inc	-	144,411
	Intel Corporation	Intel Corporation	-	136,516
	Allergan plc	Allergan plc	-	136,507
	Fti Consulting Inc	Fti Consulting Inc	-	118,245
	Alphabet Inc Cl C	Alphabet Inc Cl C	-	116,545
	Tetra Tech Inc New	Tetra Tech Inc New	-	112,794
	Amgen Inc	Amgen Inc	-	111,704
	Umb Financial Corp	Umb Financial Corp	-	108,662
	Balchem Corp	Balchem Corp	-	108,257
	Facebook Inc-A	Facebook Inc-A	-	106,881
	Ixia Corp (acq/del 4/19/17)	Ixia Corp (acq/del 4/19/17)	-	105,986
	Cameco Corp	Cameco Corp	-	104,700
	AllianceBernstein Holding LP	AllianceBernstein Holding LP	-	104,587
	Albany Molecular Resh	Albany Molecular Resh	-	102,730
	Alphabet Inc CL A	Alphabet Inc CL A	-	101,434
	Kinder Morgan Inc	Kinder Morgan Inc	-	100,622
	Inc Research Holdings Inc-A	Inc Research Holdings Inc-A	-	99,782
	Mueller Water Products Inc A	Mueller Water Products Inc A	-	99,745
	Healthcare Svcs Group Inc	Healthcare Svcs Group Inc	-	95,183
	Pegasystems Inc.	Pegasystems Inc.	-	92,988
	Texas Roadhouse Inc Cl A	Texas Roadhouse Inc Cl A	-	92,862
	Cathay General Bancorp Inc	Cathay General Bancorp Inc	-	91,804
	Globus Medical Inc - A	Globus Medical Inc - A	-	90,854
	Integrated Device Tech.	Integrated Device Tech.	-	90,847
	Cisco Systems Inc	Cisco Systems Inc	-	90,841

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401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	PTC Inc	PTC Inc	-	$ 89,718
	Dorman Products Inc	Dorman Products Inc	-	88,330
	Analogic Corp	Analogic Corp	-	88,010
	Imax Corp	Imax Corp	-	87,135
	Sensient Tech Corp	Sensient Tech Corp	-	86,674
	At&t Inc	At&t Inc	-	86,409
	Acxiom Corp	Acxiom Corp	-	86,350
	JPMorgan Chase & Co	JPMorgan Chase & Co	-	86,290
	Glacier Bancorp New	Glacier Bancorp New	-	86,191
	Albany Intl Corp Cl A	Albany Intl Corp Cl A	-	83,294
	Matson Inc	Matson Inc	-	83,025
	Ensign Group Inc	Ensign Group Inc	-	82,932
	Fabrinet Inc	Fabrinet Inc	-	81,084
	Helen Of Troy Corp Ltd	Helen Of Troy Corp Ltd	-	80,143
	Unitedhealth Group Inc	Unitedhealth Group Inc	-	80,020
	Interface Inc	Interface Inc	-	78,374
	Blackhawk Network Holdings I	Blackhawk Network Holdings I	-	77,121
	Premier Inc-Class A	Premier Inc-Class A	-	75,809
	Deckers Outdoor Corp	Deckers Outdoor Corp	-	74,998
	Healthsouth Rehab Corp	Healthsouth Rehab Corp	-	73,737
	E I Du Pont De Nemours & Co	E I Du Pont De Nemours & Co	-	73,400
	Silicon Laboratories	Silicon Laboratories	-	73,385
	Methode Electronics Inc	Methode Electronics Inc	-	73,272
	Xilinx Inc	Xilinx Inc	-	73,257
	Supernus Pharmaceuticals Inc	Supernus Pharmaceuticals Inc	-	72,569
	Taser International Inc (aaxn 04/06/17)	Taser International Inc (aaxn 04/06/17)	-	72,453
	Bj's Restaurants Inc.	Bj's Restaurants Inc.	-	72,273
	Deere & Co.	Deere & Co.	-	72,128
	Cardinal Health Inc.	Cardinal Health Inc.	-	70,987
	Snyders Lance Inc	Snyders Lance Inc	-	70,392
	Electronics For Imaging	Electronics For Imaging	-	69,474
	Advanced Energy Ind Inc	Advanced Energy Ind Inc	-	69,259
	Broadsoft Inc	Broadsoft Inc	-	66,701
	Mondelez International Inc	Mondelez International Inc	-	66,495
	Natus Medical Inc	Natus Medical Inc	-	63,754
	United Rentals Inc	United Rentals Inc	-	63,348
	CF Industries Holdings Inc	CF Industries Holdings Inc	-	62,960

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Columbia Bkg Sys Inc.	Columbia Bkg Sys Inc.	-	$ 62,552
	Exxon-Mobil Corporation	Exxon-Mobil Corporation	-	62,279
	Five Below	Five Below	-	62,178
	Procter & Gamble Co	Procter & Gamble Co	-	61,631
	Oxford Industries	Oxford Industries	-	60,130
	Taiwan Semiconductor	Taiwan Semiconductor	-	59,944
	Epam Systems Inc	Epam Systems Inc	-	58,908
	Ambarella Inc	Ambarella Inc	-	58,244
	Gulfport Energy Corp	Gulfport Energy Corp	-	56,978
	Morgan Stanley	Morgan Stanley	-	55,981
	Chevron Corp	Chevron Corp	-	55,574
	Livanova PLC	Livanova PLC	-	55,538
	Banner Corp	Banner Corp	-	55,084
	Deluxe Corp.	Deluxe Corp.	-	53,708
	Bank Of America Corp	Bank Of America Corp	-	53,261
	Blackstone Group LP	Blackstone Group LP	-	52,755
	NXP Semiconductors Nv	NXP Semiconductors Nv	-	50,083
	Beacon Roofing Supply Inc	Beacon Roofing Supply Inc	-	46,761
	Nic Inc	Nic Inc	-	45,625
	Masco Corp	Masco Corp	-	44,837
	Omnicell Inc	Omnicell Inc	-	44,104
	Tower Semiconductor Ltd	Tower Semiconductor Ltd	-	43,769
	The Kraft Heinz Co	The Kraft Heinz Co	-	43,660
	Blackrock 2001 Term Tr Inc	Blackrock 2001 Term Tr Inc	-	43,382
	Himax Technologies Inc- ADR	Himax Technologies Inc- ADR	-	42,340
	China Mobile Ltd ADR	China Mobile Ltd ADR	-	41,944
	United Technologies Corp.	United Technologies Corp.	-	41,108
	Tesla Inc	Tesla Inc	-	40,601
	Palo Alto Networks Inc	Palo Alto Networks Inc	-	40,016
	Depomed Inc.	Depomed Inc.	-	39,896
	Time Warner Inc	Time Warner Inc	-	39,457
	Disney Walt Co	Disney Walt Co	-	38,561
	Oracle Corp	Oracle Corp	-	38,450
	First Majestic Silver Corp	First Majestic Silver Corp	-	38,150
	Valero Energy Corp New	Valero Energy Corp New	-	37,917
	Mckesson Hboc Inc	Mckesson Hboc Inc	-	37,641
	Dycom Industries	Dycom Industries	-	37,415
	Pepsico Inc	Pepsico Inc	-	36,934

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Ford Motor Co New	Ford Motor Co New	-	$ 36,664
	Delta Air Lines Inc	Delta Air Lines Inc	-	35,417
	Royal Dutch Shell PLC - ADR B	Royal Dutch Shell PLC - ADR B	-	35,072
	Thermo Fisher Scientific Inc	Thermo Fisher Scientific Inc	-	34,287
	Zurich Insurance Group AG	Zurich Insurance Group AG	-	33,084
	Toll Bros Inc	Toll Bros Inc	-	32,550
	Amgen Inc	Amgen Inc	-	31,081
	Rockwell International	Rockwell International	-	31,046
	Lloyds Tsb Group Plc ADR	Lloyds Tsb Group Plc ADR	-	31,000
	Goldman Sachs Bank USA	Goldman Sachs Bank USA	-	30,590
	CBS Corp	CBS Corp	-	30,501
	Bank Of New York Mellon	Bank Of New York Mellon	-	30,247
	Nyse Euronext	Nyse Euronext	-	30,204
	Verizon Communications	Verizon Communications	-	29,914
	Schlumberger Ltd	Schlumberger Ltd	-	29,550
	MGM Resorts International	MGM Resorts International	-	29,176
	Neurocrine Biosciences	Neurocrine Biosciences	-	29,025
	Pulte Group Inc	Pulte Group Inc	-	28,590
	Accenture PLC	Accenture PLC	-	28,111
	Salesforce.Com Inc	Salesforce.Com Inc	-	27,726
	Comcast Corp Cl A	Comcast Corp Cl A	-	27,620
	Union Pacific Corp.	Union Pacific Corp.	-	27,540
	Home Depot Incorporated	Home Depot Incorporated	-	26,816
	Dow Chemical Corp	Dow Chemical Corp	-	26,493
	Wal-Mart Stores Inc	Wal-Mart Stores Inc	-	26,183
	Newmarket Corp	Newmarket Corp	-	25,430
	KKR & Co LP	KKR & Co LP	-	24,932
	Barrick Gold Corp.	Barrick Gold Corp.	-	24,732
	CV Sciences Inc	CV Sciences Inc	-	24,725
	Medtronic PLC	Medtronic PLC	-	24,218
	Atlassian Corp Plc Cl A	Atlassian Corp Plc Cl A	-	24,080
	Tata Motors Ltd Spn ADR	Tata Motors Ltd Spn ADR	-	24,073
	Invesco Ltd	Invesco Ltd	-	23,969
	Hilton Worldwide Holdings Inc (hlt 01/04/17)	Hilton Worldwide Holdings Inc (hlt 01/04/17)	-	23,664
	Becton Dickinson & Co	Becton Dickinson & Co	-	23,508
	Enterprise Products Pptns LP	Enterprise Products Pptns LP	-	23,363
	Firsthand Technology Value	Firsthand Technology Value	-	22,847

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401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Orange SA	Orange SA	-	$ 22,710
	Colgate-Palmolive	Colgate-Palmolive	-	22,577
	Kimberly Clark	Kimberly Clark	-	22,482
	Coca-Cola Company	Coca-Cola Company	-	22,471
	Daimlerchrysler Ag	Daimlerchrysler Ag	-	22,245
	Adobe Systems Inc.	Adobe Systems Inc.	-	22,237
	Magellan Midstream Partners	Magellan Midstream Partners	-	22,235
	Lci International	Lci International	-	22,050
	Wells Fargo & Co New	Wells Fargo & Co New	-	22,014
	Micron Tech. Inc.	Micron Tech. Inc.	-	21,920
	United Continental Holding Inc	United Continental Holding Inc	-	21,864
	Parexel Intl Corp	Parexel Intl Corp	-	21,819
	Discover Financial	Discover Financial	-	21,627
	Ecolab Inc	Ecolab Inc	-	21,568
	Danaher Corp Del	Danaher Corp Del	-	21,562
	Ameriprise Financial Inc	Ameriprise Financial Inc	-	21,411
	Illinois Tool Works Inc.	Illinois Tool Works Inc.	-	20,818
	Greenbrier Co's Inc.	Greenbrier Co's Inc.	-	20,775
	Honeywell International	Honeywell International	-	20,621
	O Reilly Automotive Inc	O Reilly Automotive Inc	-	20,602
	Rockwell Collins Industries Inc.	Rockwell Collins Industries Inc.	-	20,036
	Alibaba Group Holding-Sp ADR	Alibaba Group Holding-Sp ADR	-	19,318
	Tjx Companies	Tjx Companies	-	19,308
	Praxair Inc.	Praxair Inc.	-	19,219
	Illumina Inc	Illumina Inc	-	19,206
	Energy Transfer Equity LP	Energy Transfer Equity LP	-	19,040
	Intuitive Surgical	Intuitive Surgical	-	19,025
	Lowes Co Inc	Lowes Co Inc	-	18,989
	Starbucks Corp.	Starbucks Corp.	-	18,766
	Red Hat Inc	Red Hat Inc	-	18,610
	Visa Inc Class A Shares	Visa Inc Class A Shares	-	18,335
	Synergy Pharmaceuticals Inc	Synergy Pharmaceuticals Inc	-	18,270
	WisdomTree Investments Inc	WisdomTree Investments Inc	-	17,824
	Lockheed Martin Corp	Lockheed Martin Corp	-	17,746
	Transocean Ltd	Transocean Ltd	-	17,688
	Nextera Energy Inc	Nextera Energy Inc	-	17,441
	Transdigm Group Inc	Transdigm Group Inc	-	17,427
	Mylan NV SHS Euro	Mylan NV SHS Euro	-	16,786

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401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Softbank Corp Unspon ADR	Softbank Corp Unspon ADR	-	$ 16,515
	Plains All American Pipeline LP	Plains All American Pipeline LP	-	15,826
	Duke Energy Corp	Duke Energy Corp	-	15,730
	Mcdonalds Corp	Mcdonalds Corp	-	15,580
	CVS Health Corp	CVS Health Corp	-	15,466
	US Bancorp - Del	US Bancorp - Del	-	15,462
	Pfizer Incorporated	Pfizer Incorporated	-	14,629
	Crane Co.	Crane Co.	-	14,568
	Ardelyx Inc	Ardelyx Inc	-	14,200
	Hewlette Packard Enterprise	Hewlette Packard Enterprise	-	14,188
	Intercontinental Exchange Inc	Intercontinental Exchange Inc	-	14,105
	Texas Instruments Inc	Texas Instruments Inc	-	13,791
	Banco Bradesco- Sponsored Adr	Banco Bradesco- Sponsored Adr	-	13,753
	Canadian Natl Ry Co	Canadian Natl Ry Co	-	13,480
	Shell Midstream Partners LP	Shell Midstream Partners LP	-	12,509
	ITAU Unibanco Banco Multi ADR	ITAU Unibanco Banco Multi ADR	-	12,439
	Chubb Ltd	Chubb Ltd	-	12,287
	Williams Partners LP	Williams Partners LP	-	12,284
	Marsh & Mclennan Inc.	Marsh & Mclennan Inc.	-	12,166
	Diageo Plc Sposored Adr New	Diageo Plc Sposored Adr New	-	11,953
	Stonemor Partners LP	Stonemor Partners LP	-	11,945
	Novartis Ag Sponsored Adr	Novartis Ag Sponsored Adr	-	11,727
	Berkshire Hathaway Inc Cl B	Berkshire Hathaway Inc Cl B	-	11,409
	3m Co.	3m Co.	-	11,071
	MPLX LP	MPLX LP	-	10,732
	Carlyle Group/The	Carlyle Group/The	-	10,675
	British American Tobacco	British American Tobacco	-	10,591
	Nordstrom Inc.	Nordstrom Inc.	-	10,401
	EnLink Midstream Partners LP	EnLink Midstream Partners LP	-	10,113
	Enbridge Energy Mgmt Shr Units	Enbridge Energy Mgmt Shr Units	-	10,101
	Motorola Solutions Inc	Motorola Solutions Inc	-	10,059
	Endo International PLC	Endo International PLC	-	9,882
	Johnson Controls International PLC	Johnson Controls International PLC	-	9,762
	Resmed Inc	Resmed Inc	-	9,755
	Eli Lilly & Company	Eli Lilly & Company	-	9,567
	V.F. Corp.	V.F. Corp.	-	9,336
	HP Inc	HP Inc	-	9,322
	Opko Health Inc	Opko Health Inc	-	9,300

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401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Reynolds American Inc	Reynolds American Inc	-	$ 8,966
	Dominion Energy Inc	Dominion Energy Inc	-	8,961
	Viasat Inc.	Viasat Inc.	-	8,940
	Mcdermott Int'l	Mcdermott Int'l	-	8,868
	Eqt Midstream Partners Lp	Eqt Midstream Partners Lp	-	8,742
	Buckeye Partners LP	Buckeye Partners LP	-	8,733
	Caterpillar Inc	Caterpillar Inc	-	8,718
	DCP Midstream Partners LP (dcp 01/23/17)	DCP Midstream Partners LP (dcp 01/23/17)	-	8,712
	Lyondellbasell Indus A	Lyondellbasell Indus A	-	8,578
	3-D Systems Corp	3-D Systems Corp	-	8,545
	Emerson Electric Co	Emerson Electric Co	-	8,474
	Spectra Energy Partners LP	Spectra Energy Partners LP	-	8,205
	Merrimack Pharmaceuticals In	Merrimack Pharmaceuticals In	-	8,160
	MDC Holding Inc	MDC Holding Inc	-	8,109
	Luminex Corp Del	Luminex Corp Del	-	7,991
	Invitae Corp	Invitae Corp	-	7,940
	Ionis Pharmaceuticals Inc	Ionis Pharmaceuticals Inc	-	7,844
	Vale SA ADR	Vale SA ADR	-	7,620
	Williams Co.	Williams Co.	-	7,598
	Tallgrass Energy Partners LP	Tallgrass Energy Partners LP	-	7,355
	American Water Works Co Inc	American Water Works Co Inc	-	7,236
	Orexigen Therapeutics Inc	Orexigen Therapeutics Inc	-	6,960
	Bottomline Tech Del	Bottomline Tech Del	-	6,730
	Oneok Inc	Oneok Inc	-	6,717
	Merck & Company Inc	Merck & Company Inc	-	6,652
	Citigroup Inc	Citigroup Inc	-	6,597
	Oneok Partners LP	Oneok Partners LP	-	6,581
	First Solar Inc	First Solar Inc	-	6,418
	Fortescue Metals Group LTD	Fortescue Metals Group LTD	-	6,360
	Hess Corp	Hess Corp	-	6,229
	Reliance Steel & Aluminum	Reliance Steel & Aluminum	-	6,125
	Sunoco Logistics Pt LP Com Units (etp 05/01/17)	Sunoco Logistics Pt LP Com Units (etp 05/01/17)	-	6,101
	Goldman Sachs Group Inc	Goldman Sachs Group Inc	-	5,986
	International Paper	International Paper	-	5,890
	Kla-Tencor Corp	Kla-Tencor Corp	-	5,822
	C H Robinson Worldwide Inc	C H Robinson Worldwide Inc	-	5,714

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

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	Energy Transfer Partners (sxl 4/28/17)	Energy Transfer Partners (sxl 4/28/17)	-	$ 5,658
	Sturm Ruger & Co Inc	Sturm Ruger & Co Inc	-	5,639
	TopBuild Corp	TopBuild Corp	-	5,589
	Lukoil Oil Co Spon Adr	Lukoil Oil Co Spon Adr	-	5,556
	Garmin Ltd	Garmin Ltd	-	5,528
	Western Gas Equity Partners	Western Gas Equity Partners	-	5,506
	Western Digital Corp	Western Digital Corp	-	5,368
	Quidel Corp	Quidel Corp	-	5,334
	United Parcel Service	United Parcel Service	-	5,273
	Smith & Wesson Holding Corp (aobc 01/03/17)	Smith & Wesson Holding Corp (aobc 01/03/17)	-	5,270
	Abbvie Inc	Abbvie Inc	-	5,260
	Dover Corp	Dover Corp	-	5,245
	Brinker Int'l Inc.	Brinker Int'l Inc.	-	5,201
	Iberiabank Corp	Iberiabank Corp	-	5,193
	Encana Corp	Encana Corp	-	5,184
	Yum! Brands Inc.	Yum! Brands Inc.	-	5,066
	Express Scripts Holding Co	Express Scripts Holding Co	-	4,884
	Tc Pipelines LP	Tc Pipelines LP	-	4,884
	Oge Energy Corp	Oge Energy Corp	-	4,884
	BWX Technologies Inc	BWX Technologies Inc	-	4,883
	Great Plains Energy	Great Plains Energy	-	4,868
	Johnson & Johnson	Johnson & Johnson	-	4,839
	Toronto Dominion Bank New	Toronto Dominion Bank New	-	4,737
	Genuine Parts Co.	Genuine Parts Co.	-	4,681
	Targa Resources Corp	Targa Resources Corp	-	4,598
	Regal Entertainment Group	Regal Entertainment Group	-	4,594
	Aes Corp	Aes Corp	-	4,590
	Canadian Imperial Bank Of Commerce	Canadian Imperial Bank Of Commerce	-	4,570
	Allianz SE - ADR	Allianz SE - ADR	-	4,565
	Kvh Industries Inc	Kvh Industries Inc	-	4,460
	Chemours Co/The	Chemours Co/The	-	4,418
	NGL Energy Partners LP	NGL Energy Partners LP	-	4,410
	CenturyLinkl Inc	CenturyLinkl Inc	-	4,399
	Dsw Inc - Class A	Dsw Inc - Class A	-	4,371
	Tupperware Brands Corp	Tupperware Brands Corp	-	4,367
	Antero Midstream Partners LP	Antero Midstream Partners LP	-	4,323
	Genesis Energy L.P.	Genesis Energy L.P.	-	4,322

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Philip Morris International	Philip Morris International	-	$ 4,300
	Celgene Corp	Celgene Corp	-	4,283
	The Mosaic Co	The Mosaic Co	-	4,282
	Perrigo Co PLC	Perrigo Co PLC	-	4,245
	Total Fina Elf Sa Adr	Total Fina Elf Sa Adr	-	4,027
	Avangrid Inc	Avangrid Inc	-	3,826
	Fastenal Co	Fastenal Co	-	3,805
	Mdu Res Group Inc	Mdu Res Group Inc	-	3,740
	American Express Co.	American Express Co.	-	3,630
	Calamp Corp	Calamp Corp	-	3,625
	National Grid Transco Plc (ngg 05/22/17)	National Grid Transco Plc (ngg 05/22/17)	-	3,616
	Pnm Resources Inc. N/C Dec 2001	Pnm Resources Inc. N/C Dec 2001	-	3,602
	Altisource Portfolio Solutions	Altisource Portfolio Solutions	-	3,536
	Coach Inc	Coach Inc	-	3,502
	Cenovus Energy Inc	Cenovus Energy Inc	-	3,495
	PRA Group Inc	PRA Group Inc	-	3,441
	Stamps.Com Inc	Stamps.Com Inc	-	3,440
	Eversource Energy	Eversource Energy	-	3,424
	Endurance Specialty Hl (acquired 03/29/17)	Endurance Specialty Hl (acquired 03/29/17)	-	3,419
	Centerpoint Energy Inc	Centerpoint Energy Inc	-	3,400
	Tallgrass Energy Gp LP	Tallgrass Energy Gp LP	-	3,377
	Bp Plc Spons Adr	Bp Plc Spons Adr	-	3,289
	Babcock & Wilcox Enterprises	Babcock & Wilcox Enterprises	-	3,285
	Kohl's Corp	Kohl's Corp	-	3,259
	Ugi Corp New	Ugi Corp New	-	3,226
	Invensense Inc (acquired 05/18/17)	Invensense Inc (acquired 05/18/17)	-	3,198
	BHP Billiton Plc-Adr	BHP Billiton Plc-Adr	-	3,146
	T Rowe Price & Assoc Inc	T Rowe Price & Assoc Inc	-	3,086
	Whole Foods Market Inc.	Whole Foods Market Inc.	-	3,076
	Telephone & Data Sys	Telephone & Data Sys	-	3,031
	Idacorp Inc.	Idacorp Inc.	-	2,980
	Metlife Inc	Metlife Inc	-	2,910
	Bank Montreal Que	Bank Montreal Que	-	2,877
	Cnooc Ltd Spd Adr	Cnooc Ltd Spd Adr	-	2,851
	Bnp Paribas Adr	Bnp Paribas Adr	-	2,835
	WEC Energy Group Inc	WEC Energy Group Inc	-	2,815

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Spectra Energy Corp (enb 02/27/17)	Spectra Energy Corp (enb 02/27/17)	-	$ 2,794
	Westar Energy Inc.	Westar Energy Inc.	-	2,761
	Abb Ltd Spons ADR	Abb Ltd Spons ADR	-	2,739
	Cree Inc	Cree Inc	-	2,718
	Fuji Heavy Indus-Unspon ADR (86428v104 04/03/17)	Fuji Heavy Indus-Unspon ADR (86428v104 04/03/17)	-	2,680
	Principal Financial Grp	Principal Financial Grp	-	2,662
	Mast Therapeutics Inc (svra 4/28/17)	Mast Therapeutics Inc (svra 4/28/17)	-	2,647
	Rice Midstream Partners Lp	Rice Midstream Partners Lp	-	2,605
	Magna Int'l Inc.	Magna Int'l Inc.	-	2,604
	Glaxosmithkline Plc Ads	Glaxosmithkline Plc Ads	-	2,580
	Adecco Sa Reg Unspon ADR	Adecco Sa Reg Unspon ADR	-	2,481
	Cummins Inc	Cummins Inc	-	2,460
	CA Inc	CA Inc	-	2,446
	Abbott Laboratories	Abbott Laboratories	-	2,20
	Swiss Re Ltd-Spn ADR	Swiss Re Ltd-Spn ADR	-	2,400
	Northwestern Corp	Northwestern Corp	-	2,389
	Royal Bank Of Canada	Royal Bank Of Canada	-	2,370
	Axa Sa ADR	Axa Sa ADR	-	2,369
	Covanta Holding Corp	Covanta Holding Corp	-	2,356
	Muenchener Rueck ADR	Muenchener Rueck ADR	-	2,316
	BASF AG Spons ADR	BASF AG Spons ADR	-	2,314
	Nisource Inc	Nisource Inc	-	2,303
	Ppl Corp	Ppl Corp	-	2,281
	Portland General Electric Co	Portland General Electric Co	-	2,253
	Pattern Energy Group Inc	Pattern Energy Group Inc	-	2,165
	CST Brands Inc	CST Brands Inc	-	2,142
	Western Union Co	Western Union Co	-	2,129
	Noble Energy Inc	Noble Energy Inc	-	2,093
	Yum China Holdings Inc	Yum China Holdings Inc	-	2,090
	Aflac Inc	Aflac Inc	-	2,088
	Edison Intl	Edison Intl	-	2,088
	Volkswagen Ag Sponsored Adr (vlkay)	Volkswagen Ag Sponsored Adr (vlkay)	-	2,065
	Applied Micro Circuits (mtsi 01/27/17)	Applied Micro Circuits (mtsi 01/27/17)	-	2,063
	Credicorp Ltd	Credicorp Ltd	-	2,052
	National Fuel Gas	National Fuel Gas	-	2,039
	Banco Bilbao Vizcaya ADR	Banco Bilbao Vizcaya ADR	-	2,017
	Sempra Energy	Sempra Energy	-	2,013

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Ryanair Holdings Plc-Sp ADR	Ryanair Holdings Plc-Sp ADR	-	$ 1,998
	Phillips 66 Partners LP	Phillips 66 Partners LP	-	1,994
	Orix Corp Spd Adr	Orix Corp Spd Adr	-	1,946
	Atmos Energy Corp	Atmos Energy Corp	-	1,928
	Sk Telecom Ltd Spon Adr	Sk Telecom Ltd Spon Adr	-	1,923
	Best Buy Co	Best Buy Co	-	1,920
	Black Hills Corp.	Black Hills Corp.	-	1,902
	Bancolombia S.A. - Spons ADR	Bancolombia S.A. - Spons ADR	-	1,871
	Exone Co/The	Exone Co/The	-	1,868
	Sandvik Ab Spd Adr (sdvky)	Sandvik Ab Spd Adr (sdvky)	-	1,864
	Calpine Corp	Calpine Corp	-	1,863
	Firstenergy Corp	Firstenergy Corp	-	1,858
	Fortis Inc	Fortis Inc	-	1,853
	Apache Corp	Apache Corp	-	1,841
	Grupo Financiero Banorte SPONS ADR	Grupo Financiero Banorte SPONS ADR	-	1,815
	Macquarie Group Ltd ADR	Macquarie Group Ltd ADR	-	1,814
	Target Corp	Target Corp	-	1,806
	SKF AB Sp ADR	SKF AB Sp ADR	-	1,798
	One Gas Inc	One Gas Inc	-	1,791
	Continental Ag Spons Adr	Continental Ag Spons Adr	-	1,771
	Tokyo Electron LTD Unsp ADR	Tokyo Electron LTD Unsp ADR	-	1,760
	Astellas Pharma Inc Unsp ADR	Astellas Pharma Inc Unsp ADR	-	1,760
	Oao Gazprom Adr Reg S	Oao Gazprom Adr Reg S	-	1,756
	Alliant Energy Corp	Alliant Energy Corp	-	1,743
	Pandora A/S ADR (698341203 02/21/17)	Pandora A/S ADR (698341203 02/21/17)	-	1,725
	Nitto Denko Corp-Unsponsored Adr	Nitto Denko Corp-Unsponsored Adr	-	1,724
	Siemens AG ADR	Siemens AG ADR	-	1,714
	Boeing Company	Boeing Company	-	1,712
	Macy's Inc	Macy's Inc	-	1,683
	CSL Ltd Sponsored ADR	CSL Ltd Sponsored ADR	-	1,668
	Groupon Inc	Groupon Inc	-	1,660
	Woodside Petroleum- Sp Adr	Woodside Petroleum- Sp Adr	-	1,639
	Roche Hldg Ltd -Spons Adr	Roche Hldg Ltd -Spons Adr	-	1,626
	Air Liquide Adr France Adr	Air Liquide Adr France Adr	-	1,624
	Imperial Oil Ltd	Imperial Oil Ltd	-	1,599
	Carrafour SA Sponsored ADR	Carrafour SA Sponsored ADR	-	1,592
	Japan Tobacco Inc-Unspon ADR	Japan Tobacco Inc-Unspon ADR	-	1,568
	Hsbc Hldgs PLC	Hsbc Hldgs PLC	-	1,567

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Prudential Corp Adr	Prudential Corp Adr	-	$ 1,552
	Compass Group PLC (20449x401 06/27/17)	Compass Group PLC (20449x401 06/27/17)	-	1,550
	Singapore Telecom Spd Adr (sgapy)	Singapore Telecom Spd Adr (sgapy)	-	1,546
	BHP Limited	BHP Limited	-	1,503
	Kubota Corp ADR	Kubota Corp ADR	-	1,493
	Royal Dutch Shell PLC - ADR A	Royal Dutch Shell PLC - ADR A	-	1,468
	WPP PLC ADR	WPP PLC ADR	-	1,439
	Reckitt Benckiser-Spon ADR	Reckitt Benckiser-Spon ADR	-	1,411
	Komatsu Ltd Sponsored Adr New	Komatsu Ltd Sponsored Adr New	-	1,383
	Sap Aktiengesellschaft Adr	Sap Aktiengesellschaft Adr	-	1,383
	Enbridge Inc.	Enbridge Inc.	-	1,348
	Fresenius Se & Co-Spn ADR	Fresenius Se & Co-Spn ADR	-	1,325
	Vodafone Group PLC ADR	Vodafone Group PLC ADR	-	1,319
	Henkel KGAA Sponsored ADR PFD	Henkel KGAA Sponsored ADR PFD	-	1,309
	Nestle SA Sponsored ADR Registered	Nestle SA Sponsored ADR Registered	-	1,291
	Novo-Nordisk As	Novo-Nordisk As	-	1,291
	Givaudan Unspon ADR	Givaudan Unspon ADR	-	1,278
	L Oreal Co Adr	L Oreal Co Adr	-	1,274
	Coca-Cola Hbc Ag-Unsn ADR	Coca-Cola Hbc Ag-Unsn ADR	-	1,267
	Mettler Toledo Intl	Mettler Toledo Intl	-	1,256
	Prudential Finl Inc	Prudential Finl Inc	-	1,249
	Sysmex Corp-Unspon Adr	Sysmex Corp-Unspon Adr	-	1,218
	Wal Mart De Mexico Sa De Cv Cl V Spon Adr	Wal Mart De Mexico Sa De Cv Cl V Spon Adr	-	1,162
	Mitsui & Co. Lts ADR (MITSY)	Mitsui & Co. Lts ADR (MITSY)	-	1,100
	Gemalto NV - Sponsored ADR	Gemalto NV - Sponsored ADR	-	1,099
	Svenska Cellulosa Ab Spd Adr (svcby)	Svenska Cellulosa Ab Spd Adr (svcby)	-	1,098
	AAC Technologies H-Unspon Ad	AAC Technologies H-Unspon Ad	-	1,085
	JGC Corp-Unsponsored ADR	JGC Corp-Unsponsored ADR	-	1,050
	Fanuc Ltd Unsp ADR	Fanuc Ltd Unsp ADR	-	1,049
	Nucor Inc	Nucor Inc	-	1,012
	Halyard Health Inc	Halyard Health Inc	-	888
	Mtn Group Ltd - Spons ADR	Mtn Group Ltd - Spons ADR	-	842
	Canon Adr Inc.	Canon Adr Inc.	-	816
	Prothena Corp PLC	Prothena Corp PLC	-	787
	Fannie Mae	Fannie Mae	-	780

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Bae Systems Plc Spon Adr (baes.Y)	Bae Systems Plc Spon Adr (baes.Y)	-	$ 697
	Dassault Sys S.A.-ADR	Dassault Sys S.A.-ADR	-	688
	Upm-Kymmene Corp	Upm-Kymmene Corp	-	617
	Mobile Telesys Ojsc Spon Adr	Mobile Telesys Ojsc Spon Adr	-	474
	Red Rock Resorts Inc Cl A	Red Rock Resorts Inc Cl A	-	464
	UBS Group AG	UBS Group AG	-	454
	Sasol Ltd Spons Adr	Sasol Ltd Spons Adr	-	429
	Coca Cola Eurpean Partners	Coca Cola Eurpean Partners	-	408
	WestRock	WestRock	-	406
	South32 ADR	South32 ADR	-	396
	Archer Daniels Midland	Archer Daniels Midland	-	365
	Astrazeneca Plc Sponsored Adr	Astrazeneca Plc Sponsored Adr	-	355
	Marathon Petroleum Corp	Marathon Petroleum Corp	-	302
	Kratos Def & Sec Solutions Inc	Kratos Def & Sec Solutions Inc	-	296
	Darden Restaurants Inc	Darden Restaurants Inc	-	291
	Viacom Inc Class B	Viacom Inc Class B	-	281
	Aegon N V	Aegon N V	-	265
	Carnival PLC ADR	Carnival PLC ADR	-	256
	Packaging Corp Amer	Packaging Corp Amer	-	254
	Posco	Posco	-	210
	Credit Suisse Group Spon Adr	Credit Suisse Group Spon Adr	-	200
	Kindred Healthcare Inc.	Kindred Healthcare Inc.	-	196
	Brookdale Senior Living Inc	Brookdale Senior Living Inc	-	161
	AdvanSix Inc	AdvanSix Inc	-	155
	Mizuho Financial Group - ADR	Mizuho Financial Group - ADR	-	50
	Diamond Brand Inc Wts 12/31/16	Diamond Brand Inc Wts 12/31/16	-	2
	Boost Academy, Inc. Wts 12/31/19	Boost Academy, Inc. Wts 12/31/19	-	1
		Mutual Funds		
	Vanguard Large Cap Index Ins	Vanguard Large Cap Index Ins	-	5,049,406
	Loomis Sayles Growth Fund N	Loomis Sayles Growth Fund N	-	3,883,458
	John Hancock Disciplined Value Fund R6	John Hancock Disciplined Value Fund R6	-	3,792,051
*	City Natl Rochdale Gov Mm-Sv	City Natl Rochdale Gov Mm-Sv	-	3,543,482
	EuroPacific Growth R6	EuroPacific Growth R6	-	2,265,803

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	Janus Henderson Enterprise Fund N Shares	Janus Henderson Enterprise Fund N Shares	-	$ 2,142,065
	Vanguard Small Cap Index Adm	Vanguard Small Cap Index Adm	-	2,083,137
*	City National Rochdale Government Bond Fund Instl	City National Rochdale Government Bond Fund Instl	-	1,831,816
	Fidelity Advisor Technology-Class I	Fidelity Advisor Technology-Class I	-	1,800,922
	Columbia Mid-Cap Value-Y	Columbia Mid-Cap Value-Y	-	1,643,135
	Vanguard Mid Cap Index Adm	Vanguard Mid Cap Index Adm	-	1,634,247
	Eagle Small Cap Growth R5	Eagle Small Cap Growth R5	-	1,543,718
	Prudential Total Return Bd-Q	Prudential Total Return Bd-Q	-	1,464,158
	Fidelity Advisor High Income Advantage-Class I	Fidelity Advisor High Income Advantage-Class I	-	1,304,021
	Delaware Small Cap Value I	Delaware Small Cap Value I	-	1,255,532
	American Funds - New World Fun	American Funds - New World Fun	-	979,685
	Templeton Global Bond Fd - Ad	Templeton Global Bond Fd - Ad	-	916,880
	American Balanced Fund R6	American Balanced Fund R6	-	832,357
	T. Rowe Price Health Sci	T. Rowe Price Health Sci	-	807,318
	MFS® Utilities Fund R6	MFS® Utilities Fund R6	-	754,869
	Vanguard S/T Bond Index Adm	Vanguard S/T Bond Index Adm	-	739,089
	Vanguard Total Bond Index Adm	Vanguard Total Bond Index Adm	-	684,498
	Vanguard 500 Index Inv	Vanguard 500 Index Inv	-	597,008
	Vanguard Target Retirement 2010	Vanguard Target Retirement 2010	-	416,009
	Vanguard Short Term Invest Grade Admiral	Vanguard Short Term Invest Grade Admiral	-	403,472
	Vanguard Gr Idx Adm	Vanguard Gr Idx Adm	-	351,156
	Stone Ridge Reinsur Risk Pre	Stone Ridge Reinsur Risk Pre	-	304,475
	Powershares QQQ Nasdaq 100	Powershares QQQ Nasdaq 100	-	284,879
	Vanguard Total Int St Idx-Ad	Vanguard Total Int St Idx-Ad	-	279,076
	Vanguard REIT Index Fund Adm	Vanguard REIT Index Fund Adm	-	258,159
	Vanguard Target Retirement 2020	Vanguard Target Retirement 2020	-	230,402
	Vanguard Total Stock Market Adm	Vanguard Total Stock Market Adm	-	219,174
	Vanguard 500 Index Adm	Vanguard 500 Index Adm	-	211,816
	Dodge & Cox Balanced	Dodge & Cox Balanced	-	202,616
	Fidelity Puritan Fd 4	Fidelity Puritan Fd 4	-	198,093
	DFA Two-Year Global Fixed Income	DFA Two-Year Global Fixed Income	-	185,537
	Vanguard Tgt Retirement 2035	Vanguard Tgt Retirement 2035	-	182,581
	Vanguard Emerg Mkts Gov Bnd	Vanguard Emerg Mkts Gov Bnd	-	181,676
	Vanguard Target Retirement 2040	Vanguard Target Retirement 2040	-	178,309
	Vanguard Intl Value	Vanguard Intl Value	-	157,426

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	Vanguard S/T Infl Prot-Adm	Vanguard S/T Infl Prot-Adm	-	$ 145,118
	Vanguard Value Index Adm	Vanguard Value Index Adm	-	141,532
	DFA Five Year Global Fixed Income	DFA Five Year Global Fixed Income	-	140,336
	Vanguard Inflation Protected Ad	Vanguard Inflation Protected Ad	-	131,060
	SPDR Dow Jones Industrial Average ETF Trust	SPDR Dow Jones Industrial Average ETF Trust	-	129,369
	Vanguard Gr & Inc	Vanguard Gr & Inc	-	128,157
	Vanguard Target Retirement 2050	Vanguard Target Retirement 2050	-	126,952
	Vanguard Small-Cap Value Index Fund Admrl	Vanguard Small-Cap Value Index Fund Admrl	-	126,714
	Vanguard Tgt Retirement 2045	Vanguard Tgt Retirement 2045	-	126,474
	Dodge & Cox Income	Dodge & Cox Income	-	122,516
	Vanguard S&P 500 ETF	Vanguard S&P 500 ETF	-	122,159
	Vanguard Total Intl Bnd Adm	Vanguard Total Intl Bnd Adm	-	121,217
	iShares Russell 2000 ETF	iShares Russell 2000 ETF	-	116,046
	Dodge & Cox Intl Stock	Dodge & Cox Intl Stock	-	116,010
	Vanguard Inttm Investment Grade Fund	Vanguard Inttm Investment Grade Fund	-	112,361
	Vanguard Large Cap ETF	Vanguard Large Cap ETF	-	108,000
	Am Cent Ultra I	Am Cent Ultra I	-	106,880
	Vanguard High Yield Corp Admiral	Vanguard High Yield Corp Admiral	-	101,747
	JPMorgan Core Bond Fund I	JPMorgan Core Bond Fund I	-	96,657
	Doubleline Total Return Bond I	Doubleline Total Return Bond I	-	96,465
	Primecap Odyssey Aggr Growth	Primecap Odyssey Aggr Growth	-	94,318
	iShares TIPS Bond ETF	iShares TIPS Bond ETF	-	93,885
	Vanguard FTSE Emerging Market	Vanguard FTSE Emerging Market	-	90,347
	Vanguard Glbl Ex-Us Real Est	Vanguard Glbl Ex-Us Real Est	-	86,004
	Vanguard European Stk Ind Admiral	Vanguard European Stk Ind Admiral	-	85,694
	Vanguard FTSE All WO X US SC	Vanguard FTSE All WO X US SC	-	85,509
	Mutual Qualified Z	Mutual Qualified Z	-	83,240
	Matthews Asian Gr & Inc	Matthews Asian Gr & Inc	-	82,947
	Rydex High Yield Strategy-H	Rydex High Yield Strategy-H	-	80,301
	Direxion Mnth High Yld 1.2X	Direxion Mnth High Yld 1.2X	-	78,624
	Vanguard Tgt Retirement 2025	Vanguard Tgt Retirement 2025	-	78,519
	Ishares Core S&P Mid-Cap ETF	Ishares Core S&P Mid-Cap ETF	-	78,371
	Dodge & Cox Stock	Dodge & Cox Stock	-	77,150
	iShares S&P 500 Value ETF	iShares S&P 500 Value ETF	-	76,816
	Longleaf Partners	Longleaf Partners	-	75,219
	Invesco Municipal Opportuni	Invesco Municipal Opportuni	-	74,211

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	Pimco High-Yield Instl	Pimco High-Yield Instl	-	$ 73,497
	Vanguard Tgt Retirement 2015	Vanguard Tgt Retirement 2015	-	73,422
	American Europacific Growth A	American Europacific Growth A	-	73,005
	Vanguard US Gr (Liq TBA)	Vanguard US Gr (Liq TBA)	-	72,212
	BlackRock Global Allocation Fund Inc. R	BlackRock Global Allocation Fund Inc. R	-	70,407
	Pacific Financial Core Eq-Iv	Pacific Financial Core Eq-Iv	-	69,997
	iShares US Real Estate ETF	iShares US Real Estate ETF	-	68,510
	iShares MBS ETF	iShares MBS ETF	-	67,313
	DFA Intl L/C Growth Port	DFA Intl L/C Growth Port	-	67,286
	Putnam Capital Spectrum-R	Putnam Capital Spectrum-R	-	67,115
	PIMCO All Asset R	PIMCO All Asset R	-	66,548
	Amer Funds New Persp A	Amer Funds New Persp A	-	65,269
	iShares MSCI Europ Small Cap ETF	iShares MSCI Europ Small Cap ETF	-	64,678
	iShares MSCI Emerging Markets	iShares MSCI Emerging Markets	-	63,237
	Oppenheimer SteelPath MLP Alpha Fund I	Oppenheimer SteelPath MLP Alpha Fund I	-	62,994
	Vanguard S/T Corp Bond Etf	Vanguard S/T Corp Bond Etf	-	62,260
	JPMorgan Large Cap Growth Fund I	JPMorgan Large Cap Growth Fund I	-	60,440
	Vanguard Tot Stk	Vanguard Tot Stk	-	59,380
	Pacific Fin Dyn Alloc-Inv	Pacific Fin Dyn Alloc-Inv	-	58,902
	Vanguard Pacific Stock Index Fund Admrl 572	Vanguard Pacific Stock Index Fund Admrl 572	-	58,133
	Pioneer Strategic Income Y	Pioneer Strategic Income Y	-	57,966
	Angel Oak Multi-Strat Inc-Is	Angel Oak Multi-Strat Inc-Is	-	57,838
	DFA Intl Real Estate Security Portfolio	DFA Intl Real Estate Security Portfolio	-	57,266
	Amer Funds Washington A	Amer Funds Washington A	-	55,711
	iShares J.P. Morgan USD Emergi	iShares J.P. Morgan USD Emergi	-	54,890
	Oppenheimer Ultra Dividend R	Oppenheimer Ultra Dividend R	-	54,832
	Northern High Yield Fixed Income Fd	Northern High Yield Fixed Income Fd	-	54,295
	Henderson Global Equity Inc I (hfqi.x 6/2/17)	Henderson Global Equity Inc I (hfqi.x 6/2/17)	-	54,279
	Mfs Conservative Alloc-R1	Mfs Conservative Alloc-R1	-	53,488
	Vanguard Energy ETF	Vanguard Energy ETF	-	53,022
	iShares U.S. Healthcare ETF	iShares U.S. Healthcare ETF	-	50,651
	Vanguard Specialized Div Growth Fd	Vanguard Specialized Div Growth Fd	-	50,399
	Vanguard S&P Mid-Cap 400 Val	Vanguard S&P Mid-Cap 400 Val	-	49,141
	Vanguard Consumer Staples ETF	Vanguard Consumer Staples ETF	-	48,088
	SPDR S&P 500 ETF Trust	SPDR S&P 500 ETF Trust	-	48,059
	iShares Russell 1000 Growth ETF	iShares Russell 1000 Growth ETF	-	47,205

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Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

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(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Matthews China	Matthews China	-	$ 46,913
	iShares S&P 500 Growth ETF	iShares S&P 500 Growth ETF	-	46,889
	iShares S&P Small-Cap 600 Grow	iShares S&P Small-Cap 600 Grow	-	46,500
	Fidelity Capital & Inc	Fidelity Capital & Inc	-	46,434
	Vanguard Intl Gr Adm	Vanguard Intl Gr Adm	-	46,139
	Vanguard Developed Markets Index - Admrl	Vanguard Developed Markets Index - Admrl	-	43,037
	Ivy Asset Strategy R	Ivy Asset Strategy R	-	42,303
	iShares Micro-Cap ETF	iShares Micro-Cap ETF	-	42,233
	Vanguard Emerging Markets Adm	Vanguard Emerging Markets Adm	-	41,248
	Proshares Ultra S&P 500	Proshares Ultra S&P 500	-	40,430
	Pimco Income Fund-P	Pimco Income Fund-P	-	40,409
	Proshares Short QQQ	Proshares Short QQQ	-	40,205
	Vanguard High Dividend Yield	Vanguard High Dividend Yield	-	39,325
	Vanguard Inflation-Protected Securities Invst	Vanguard Inflation-Protected Securities Invst	-	38,987
	Blackrock High Yield Portfolio	Blackrock High Yield Portfolio	-	38,569
	Federated Strategic Value Dividend In	Federated Strategic Value Dividend In	-	38,462
	DFA Large Cap International	DFA Large Cap International	-	38,193
	Spdr S&P Intl Energy Sector	Spdr S&P Intl Energy Sector	-	37,400
	US Natural Gas Fund LP	US Natural Gas Fund LP	-	37,360
	Vanguard Diversified Equity Inv	Vanguard Diversified Equity Inv	-	36,309
	Neuberger Berman Real Estate	Neuberger Berman Real Estate	-	35,482
	Vanguard Reit Index	Vanguard Reit Index	-	34,215
	Wisdomtree Intl S/C Dvd Fund	Wisdomtree Intl S/C Dvd Fund	-	33,911
	Vanguard Equity-Inc	Vanguard Equity-Inc	-	32,924
	Amer Funds New Econ A	Amer Funds New Econ A	-	32,842
	Pimco Foreign Bond Instl	Pimco Foreign Bond Instl	-	32,584
	Matthews Asia Small Companies	Matthews Asia Small Companies	-	31,780
	Vanguard Total Intl Stk	Vanguard Total Intl Stk	-	31,550
	Fidelity Small Cap Discovery	Fidelity Small Cap Discovery	-	31,221
	DFA Emerging Markets I	DFA Emerging Markets I	-	30,949
	First Eagle Sogen Over A	First Eagle Sogen Over A	-	30,931
	Virtus Newfleet Multi-Sector Short Term Bond Fund I	Virtus Newfleet Multi-Sector Short Term Bond Fund I	-	30,806
	Principal Midcap Fd-P (pcbi.x 6/23/17)	Principal Midcap Fd-P (pcbi.x 6/23/17)	-	30,254
	Matthews Asia Pacific Equity Inc	Matthews Asia Pacific Equity Inc	-	30,082
	T. Rowe Price Mid Value	T. Rowe Price Mid Value	-	29,798

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	Artisan Opportunistic Val IV	Artisan Opportunistic Val IV	-	$ 29,344
	Etfs Physical Precious Metal	Etfs Physical Precious Metal	-	28,780
	iShares 1-3 Year Credit Bond ETF	iShares 1-3 Year Credit Bond ETF	-	28,754
	Vanguard Smcp Idx	Vanguard Smcp Idx	-	28,613
	Oakmark Equity & Inc I	Oakmark Equity & Inc I	-	28,525
	Vanguard Val Idx	Vanguard Val Idx	-	28,364
	Blackrock High Yld Bdiva	Blackrock High Yld Bdiva	-	28,342
	Vanguard Gr Idx	Vanguard Gr Idx	-	28,296
	DFA US Large Cap Value	DFA US Large Cap Value	-	28,162
	American Beacon Bridgeway Large Cap Value Fund Instl	American Beacon Bridgeway Large Cap Value Fund Instl	-	27,436
	Proshares Short S&P 500	Proshares Short S&P 500	-	27,405
	DFA US S/C Growth Port	DFA US S/C Growth Port	-	27,301
	Powershares FTSE Rafi US 1K	Powershares FTSE Rafi US 1K	-	26,376
	DFA International Value	DFA International Value	-	26,226
	Vanguard Russell 3000	Vanguard Russell 3000	-	25,811
	iShares Russell 2000 Growth ETF	iShares Russell 2000 Growth ETF	-	25,400
	Vanguard Smcp Vl Idx	Vanguard Smcp Vl Idx	-	24,673
	DFA Emerging Markets Core Equity	DFA Emerging Markets Core Equity	-	23,615
	Oppenheimer International Small-Mid Company Fund Y	Oppenheimer International Small-Mid Company Fund Y	-	23,325
	Bridgeway Small Cap Value N	Bridgeway Small Cap Value N	-	22,894
	T Rowe Price Global Technology	T Rowe Price Global Technology	-	22,849
	Vanguard Target Retirement 2055 Fund Inv	Vanguard Target Retirement 2055 Fund Inv	-	22,389
	DFA US Small Cap Value	DFA US Small Cap Value	-	22,310
	Pacific Financial Explor-Iv	Pacific Financial Explor-Iv	-	21,891
	Vanguard Short Term Bond ETF	Vanguard Short Term Bond ETF	-	21,293
	Vanguard Total Bd Idx Inv	Vanguard Total Bd Idx Inv	-	21,237
	JPMorgan Str Income Opp I	JPMorgan Str Income Opp I	-	21,213
	American Beacon Bridgeway Large Cap Growth Inst	American Beacon Bridgeway Large Cap Growth Inst	-	20,778
	Vanguard Sht-Tm Cp	Vanguard Sht-Tm Cp	-	20,719
	Pacific Financial Flex Iv	Pacific Financial Flex Iv	-	20,606
	Vanguard Short-Tm Trs	Vanguard Short-Tm Trs	-	20,498
	Consumer Staples Select Sector Spdr	Consumer Staples Select Sector Spdr	-	20,270
	DFA US Micro Cap	DFA US Micro Cap	-	20,053
	DFA US Large Company Inst Index	DFA US Large Company Inst Index	-	19,726
	Vanguard Intl Explorer	Vanguard Intl Explorer	-	19,545

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	Ishares Core U.S. Aggregate Bond ETF	Ishares Core U.S. Aggregate Bond ETF	-	$ 17,938
	CBRE Clarion Global Real Estate Income Fund	CBRE Clarion Global Real Estate Income Fund	-	17,732
	iShares iBoxx Investment Grade	iShares iBoxx Investment Grade	-	17,694
	Legg Mason Capital Management Value Trust Class C	Legg Mason Capital Management Value Trust Class C	-	17,692
	Homestead Small Company Stock	Homestead Small Company Stock	-	17,532
	T. Rowe Price Sm Val	T. Rowe Price Sm Val	-	16,641
	DFA International Small Company	DFA International Small Company	-	16,606
	iShares 1-3 Year Treasury Bond	iShares 1-3 Year Treasury Bond	-	16,468
	SPDR GOLD TRUST GOLD SHS	SPDR GOLD TRUST GOLD SHS	-	16,442
	Vanguard FTSE European ETF	Vanguard FTSE European ETF	-	16,300
	Vanguard Target Retirement 2030	Vanguard Target Retirement 2030	-	16,285
	First Trust Energy Infrastru	First Trust Energy Infrastru	-	16,281
	Oppenheimer Developing Markets Y	Oppenheimer Developing Markets Y	-	15,566
	Vanguard Intl Gr	Vanguard Intl Gr	-	15,565
	Longleaf Partners Intl	Longleaf Partners Intl	-	15,445
	Tweedy Browne Global Value	Tweedy Browne Global Value	-	15,405
	Hancock Horizon Quant L/S-Inv	Hancock Horizon Quant L/S-Inv	-	15,225
	Janus Henderson Small Cap Value Fund I Shares	Janus Henderson Small Cap Value Fund I Shares	-	15,222
	USAA Intermediate Term Bond	USAA Intermediate Term Bond	-	15,181
	Ishares Core S&P Small-Cap ETF	Ishares Core S&P Small-Cap ETF	-	15,127
	Energy Select Sector Spdr	Energy Select Sector Spdr	-	15,064
	State St Inst S&P 500 Indx-I	State St Inst S&P 500 Indx-I	-	14,874
	Vanguard Health Care	Vanguard Health Care	-	14,026
	Vanguard S/C Growth Indx ADM	Vanguard S/C Growth Indx ADM	-	13,902
	iShares U.S. Oil & Gas Explora	iShares U.S. Oil & Gas Explora	-	13,088
	Powershares S&P 500 Low Vola	Powershares S&P 500 Low Vola	-	13,066
	Health Care Select Sector Spdr	Health Care Select Sector Spdr	-	12,892
	Select Sector Consumer Discretionary Tr	Select Sector Consumer Discretionary Tr	-	12,780
	Lord Abbett High Yield Fund A	Lord Abbett High Yield Fund A	-	12,713
	Morgan Stanley Emerging Market	Morgan Stanley Emerging Market	-	12,570
	iShares US Pharmaceuticals ETF	iShares US Pharmaceuticals ETF	-	12,021
	iShares PHLX Semiconductor ETF	iShares PHLX Semiconductor ETF	-	11,658
	Fpa Capital	Fpa Capital	-	11,262
	iShares U.S. Home Construction	iShares U.S. Home Construction	-	11,021
	Fidelity Adv H/I Advant M	Fidelity Adv H/I Advant M	-	10,227

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	T. Rowe Price Intl Stk	T. Rowe Price Intl Stk	-	$ 10,107
	Vanguard Intermediate Term C	Vanguard Intermediate Term C	-	9,856
	JPMorgan Alerian MLP Index	JPMorgan Alerian MLP Index	-	9,799
	Select Sector Spdr Utilities	Select Sector Spdr Utilities	-	9,714
	Vanguard Tgt Retire Income	Vanguard Tgt Retire Income	-	9,678
	Matthews Japan	Matthews Japan	-	9,222
	Akre Focus Fund-Ret	Akre Focus Fund-Ret	-	9,141
	Vanguard Total Stock Market ETF	Vanguard Total Stock Market ETF	-	9,048
	Kraneshares CSI China Intern	Kraneshares CSI China Intern	-	8,683
	Financial Select Sector Spdr	Financial Select Sector Spdr	-	8,579
	Guggenheim Managed Futures Strategy P	Guggenheim Managed Futures Strategy P	-	7,721
	VanEck Vectors Emer Markets H/Y Bond ETF	VanEck Vectors Emer Markets H/Y Bond ETF	-	7,717
	iShares iBoxx High Yield Corp	iShares iBoxx High Yield Corp	-	7,530
	Vanguard Russell 1000 Growth	Vanguard Russell 1000 Growth	-	7,527
	Vanguard Telecom Service	Vanguard Telecom Service	-	7,511
	iShares MSCI Ireland Capped ETF	iShares MSCI Ireland Capped ETF	-	7,506
	Invesco Technology Inv	Invesco Technology Inv	-	7,450
	Vanguard Total Bond Market	Vanguard Total Bond Market	-	7,433
	PIMCO 0-5 Year H/Y Corp Bond Index ETF	PIMCO 0-5 Year H/Y Corp Bond Index ETF	-	7,290
	Blackrock Ltd Duration Inc	Blackrock Ltd Duration Inc	-	7,022
	Technology Select Sector Spdr	Technology Select Sector Spdr	-	6,915
	Pimco Income Funds Inst	Pimco Income Funds Inst	-	6,245
	iShares MSCI Japan ETF	iShares MSCI Japan ETF	-	6,059
	Parnassus Inc Equity Inc	Parnassus Inc Equity Inc	-	6,032
	iShares MSCI South Korea Cappe	iShares MSCI South Korea Cappe	-	6,014
	Materials Select Sector Spdr	Materials Select Sector Spdr	-	5,964
	iShares MSCI Netherlands ETF	iShares MSCI Netherlands ETF	-	5,820
	iShares Russell 2000 Value ETF	iShares Russell 2000 Value ETF	-	5,709
	VanEck Vectors Russia ETF	VanEck Vectors Russia ETF	-	5,666
	Ishares Gold Trust	Ishares Gold Trust	-	5,540
	Spdr S&P Bank ETF	Spdr S&P Bank ETF	-	5,347
	Columbia India Infrastructure ETF	Columbia India Infrastructure ETF	-	5,280
	iShares MSCI Australia ETF	iShares MSCI Australia ETF	-	5,078
	iShares MSCI Taiwan Capped ETF	iShares MSCI Taiwan Capped ETF	-	5,022
	iShares MSCI Switzerland Cappe	iShares MSCI Switzerland Cappe	-	4,920
	iShares MSCI Germany ETF	iShares MSCI Germany ETF	-	4,819

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	Vaneck Vectors Semiconductor	Vaneck Vectors Semiconductor	-	$ 4,728
	iShares MSCI Brazil Capped ETF	iShares MSCI Brazil Capped ETF	-	4,701
	iShares MSCI Indonesia ETF	iShares MSCI Indonesia ETF	-	4,585
	iShares MSCI USA ETF	iShares MSCI USA ETF	-	4,235
	iShares MSCI Belgium Capped ETF	iShares MSCI Belgium Capped ETF	-	4,202
	Pacific Financial Str Con-Iv	Pacific Financial Str Con-Iv	-	4,173
	DFA International Small Cap Value	DFA International Small Cap Value	-	4,008
	DFA US Small Cap	DFA US Small Cap	-	3,732
	iShares MSCI Canada ETF	iShares MSCI Canada ETF	-	3,452
	VanEck Vectors Gaming ETF	VanEck Vectors Gaming ETF	-	3,384
	Direxion Monthly NASDAQ 100 Bull 2X Fund	Direxion Monthly NASDAQ 100 Bull 2X Fund	-	3,340
	DFA US L/C Growth Port	DFA US L/C Growth Port	-	3,095
	iShares Agency Bond ETF	iShares Agency Bond ETF	-	2,928
	Vanguard Mortgage Backed Fund	Vanguard Mortgage Backed Fund	-	2,562
	iShares MSCI Thailand Capped ETF	iShares MSCI Thailand Capped ETF	-	2,523
	iShares MSCI Poland Capped ETF	iShares MSCI Poland Capped ETF	-	2,392
	iShares MSCI Turkey ETF	iShares MSCI Turkey ETF	-	2,111
	iShares MSCI New Zealand Capped Inv	iShares MSCI New Zealand Capped Inv	-	2,106
	iShares MSCI Philippines ETF	iShares MSCI Philippines ETF	-	2,010
	Ishares Short-Term National	Ishares Short-Term National	-	1,786
	Wisdomtree Brazilian Real Fund	Wisdomtree Brazilian Real Fund	-	1,704
	Real Estate Select Sect Spdr	Real Estate Select Sect Spdr	-	1,568
	iShares MSCI All Peru Capped ETF	iShares MSCI All Peru Capped ETF	-	1,181
	iShares MSCI India ETF	iShares MSCI India ETF	-	1,180
	iShares MSCI South Africa ETF	iShares MSCI South Africa ETF	-	1,152
	iShares MSCI Chile Capped ETF	iShares MSCI Chile Capped ETF	-	1,123
	Vanguard Health Care Idx-Adm	Vanguard Health Care Idx-Adm	-	974
	Vanguard Consumer Staples Index Fund Admiral	Vanguard Consumer Staples Index Fund Admiral	-	961
	Extended Stay America Inc	Extended Stay America Inc	-	921
		Insurance Policies		
	Northwestern Mut #13570309	Northwestern Mut #13570309	-	231,525
	Northwestern Mut #15635419	Northwestern Mut #15635419	-	183,805
	Northwestern Mut #10861431	Northwestern Mut #10861431	-	144,788
	Pacific Life #1A22140220	Pacific Life #1A22140220	-	117,500
	New England Life Pol #0Z159058	New England Life Pol #0Z159058	-	100,430

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	ING Def Var Ann #C193354-Ow (Q)	ING Def Var Ann #C193354-Ow (Q)	-	$ 88,446
	Penn Mutual Univ Life Pol#8001387	Penn Mutual Univ Life Pol#8001387	-	74,393
	Pacific Life #1A22126900	Pacific Life #1A22126900	-	59,400
	Northwestern Mut #15381574	Northwestern Mut #15381574	-	58,789
	Northwestern Mut#13159845	Northwestern Mut#13159845	-	54,093
	Northwestern Mut #14856344	Northwestern Mut #14856344	-	51,904
	Northwestern Mut #12718355	Northwestern Mut #12718355	-	42,967
		Partnership Interests		
	MG Waterfield Square Apartments LP	MG Waterfield Square Apartments LP	-	1,099,921
	Southwest Value Partners Fund Xv LP	Southwest Value Partners Fund Xv LP	-	863,923
	CR Realty LLC	CR Realty LLC	-	660,000
	Pacific Rim Distribution Centre LLC	Pacific Rim Distribution Centre LLC	-	467,505
	Southwest Value Partners Fund XVII, LP	Southwest Value Partners Fund XVII, LP	-	463,346
	Pacific Rim Industrial Park LLC	Pacific Rim Industrial Park LLC	-	430,629
	Calexico Crossings, LLC	Calexico Crossings, LLC	-	340,000
	Calexico Distribution Center LLC	Calexico Distribution Center LLC	-	309,000
	P V A Ltd L P	P V A Ltd L P	-	259,000
	Solana Lucent Station Investors, LLC	Solana Lucent Station Investors, LLC	-	250,000
	MG Granada Sienna Holdings LP	MG Granada Sienna Holdings LP	-	226,351
	Pathfinders Partners Opportunity Fund V-A, LP	Pathfinders Partners Opportunity Fund V-A, LP	-	206,000
	Davlyn California Multifamily Fund I, LP	Davlyn California Multifamily Fund I, LP	-	179,000
	Pacifica Palms LLC	Pacifica Palms LLC	-	174,000
	MG Terramonte Apartments LP	MG Terramonte Apartments LP	-	173,933
	Pacific Rim Business Centre LLC	Pacific Rim Business Centre LLC	-	163,208
	MG Waterstone Apartments LP	MG Waterstone Apartments LP	-	156,015
	ABC Funding Strategies, LLC	ABC Funding Strategies, LLC	-	150,000
	Solstar Regency LLC	Solstar Regency LLC	-	150,000
	MG 909 West Investments, LP	MG 909 West Investments, LP	-	135,000
	Whitson Hillcrest, LLC	Whitson Hillcrest, LLC	-	130,001
	Eastlake Professional Center LLC	Eastlake Professional Center LLC	-	128,703
	MG Barham Villas Apartments LP	MG Barham Villas Apartments LP	-	125,651
	MG Tuscany Ridge Apartments	MG Tuscany Ridge Apartments	-	120,684
	Associated Microbreweries Ltd Cl A	Associated Microbreweries Ltd Cl A	-	111,200
	MG Ascent Apartments, LP	MG Ascent Apartments, LP	-	105,212
	Pathfinders Partners Opportunity Fund V-A, LP	Pathfinders Partners Opportunity Fund V-A, LP	-	103,000

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	MG Russellville Commons Apartment Holdings, LP	MG Russellville Commons Apartment Holdings, LP	-	$ 100,000
	Salt Lake City Southwest Ventures	Salt Lake City Southwest Ventures	-	100,000
	OurCrowd First, LP	OurCrowd First, LP	-	99,217
	Hoppscotch LLC	Hoppscotch LLC	-	94,384
	Pathfinder Partners Opp Fd III LP	Pathfinder Partners Opp Fd III LP	-	94,000
	Country Hills Apartments L P	Country Hills Apartments L P	-	91,205
	MG 909 West Investments, LP	MG 909 West Investments, LP	-	90,000
	Calexico Distribution Center LLC	Calexico Distribution Center LLC	-	84,273
	Pathfinder Partners Opp Fund IV LP	Pathfinder Partners Opp Fund IV LP	-	77,000
	Sterling Sherman Heights, LLC	Sterling Sherman Heights, LLC	-	75,000
	Fort Collins Ventures LLC	Fort Collins Ventures LLC	-	75,000
	Tucson North Forbes Venture LLC	Tucson North Forbes Venture LLC	-	75,000
	Artesia Escondido, LLC	Artesia Escondido, LLC	-	75,000
	MG Union Place Investment PNG LP	MG Union Place Investment PNG LP	-	71,470
	Rocky Hemingway House Ltd L P	Rocky Hemingway House Ltd L P	-	70,979
	Stoneridge Lake Murray LLC	Stoneridge Lake Murray LLC	-	66,774
	MG Sage Canyon Apartments LP	MG Sage Canyon Apartments LP	-	64,801
	MG Tuscany Ridge Apartments	MG Tuscany Ridge Apartments	-	60,342
	Howe Group/Stonebridge LLC	Howe Group/Stonebridge LLC	-	59,274
	MG Santa Rosa Apartments, LP	MG Santa Rosa Apartments, LP	-	56,440
	Enclave Lake Murray LLC	Enclave Lake Murray LLC	-	53,107
	MG Ontario Town Square Townhomes LP	MG Ontario Town Square Townhomes LP	-	52,566
	MG Monte Vista COR L.P.	MG Monte Vista COR L.P.	-	51,750
	MG Sterling Village Apts Gwp LP	MG Sterling Village Apts Gwp LP	-	51,213
	ABC Funding Strategies, LLC	ABC Funding Strategies, LLC	-	50,000
	ABC Funding Strategies, LLC	ABC Funding Strategies, LLC	-	50,000
	Pathfinder Talavera Holdings, LLC	Pathfinder Talavera Holdings, LLC	-	50,000
	San Diego Arena Associates L P	San Diego Arena Associates L P	-	50,000
	MG Marquee Investments Pkv LP	MG Marquee Investments Pkv LP	-	49,161
	Petershill II Offshore Fund, LP	Petershill II Offshore Fund, LP	-	48,801
	MG Reflections at the Marina, LLC	MG Reflections at the Marina, LLC	-	45,000
	MG Canyon Villa Apartments, LP	MG Canyon Villa Apartments, LP	-	43,560
	Wedgewood Apartments LLC	Wedgewood Apartments LLC	-	42,895
	Pathfinder Partners Lux Home Fd I LP	Pathfinder Partners Lux Home Fd I LP	-	41,000
	Calexico Crossings II LP	Calexico Crossings II LP	-	40,500
	PIMCO Corporate Opportunities Fund II Onshore Feeder LP	PIMCO Corporate Opportunities Fund II Onshore Feeder LP	-	33,067

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	Cwc Healthtech Fund I LLC	Cwc Healthtech Fund I LLC	-	$ 32,500
	Pizza Nova Newport Beach LLC	Pizza Nova Newport Beach LLC	-	30,000
	Barcelona 326 Juniper LLC	Barcelona 326 Juniper LLC	-	29,997
	MG Ontario Town Square Townhomes, LP	MG Ontario Town Square Townhomes, LP	-	26,283
	CWC Income Properties 3, LLC	CWC Income Properties 3, LLC	-	25,000
	Linq Apartments, LLC	Linq Apartments, LLC	-	24,357
	Noble Royalty Access Fund VIII LP	Noble Royalty Access Fund VIII LP	-	23,980
	Palms Of La Mesa Apts LLC	Palms Of La Mesa Apts LLC	-	22,168
	Molokai Apartments LLC (Copper Palms)	Molokai Apartments LLC (Copper Palms)	-	21,817
	CWC Nexus Fund 1, LLC	CWC Nexus Fund 1, LLC	-	20,000
	The Woods Apartments LLC	The Woods Apartments LLC	-	19,820
	Our Crowd (Investment in TechSee) LP	Our Crowd (Investment in TechSee) LP	-	19,152
	LIVe & Learn Properties Fund I LLC	LIVe & Learn Properties Fund I LLC	-	12,977
	Greenbutts LLC	Greenbutts LLC	-	11,950
	Cwc Regional Housing Fund 3 LLC	Cwc Regional Housing Fund 3 LLC	-	8,850
	Nextera Energy Partners LP	Nextera Energy Partners LP	-	1,890
		Real Estate Investment Trust		
	Realty Income Corp	Realty Income Corp	-	205,985
	Columbia Property Trust Inc	Columbia Property Trust Inc	-	132,257
	American Assets Trust Inc	American Assets Trust Inc	-	86,160
	Host Hotels & Resorts Inc	Host Hotels & Resorts Inc	-	37,131
	Kimco Realty Corp	Kimco Realty Corp	-	36,885
	Equity Res. Property	Equity Res. Property	-	34,023
	Liberty Property Trust	Liberty Property Trust	-	30,417
	Omega Healthcare Invs	Omega Healthcare Invs	-	23,132
	Welltower Inc	Welltower Inc	-	23,091
	Summit Hotel Properties Inc	Summit Hotel Properties Inc	-	22,274
	Anworth Mortgage Asset	Anworth Mortgage Asset	-	20,680
	Annaly Capital Management Inc	Annaly Capital Management Inc	-	19,940
	Simon Ppty Group Inc	Simon Ppty Group Inc	-	11,549
	Prologis	Prologis	-	7,602
	Redwood Trust Inc	Redwood Trust Inc	-	7,066
	UDR Inc	UDR Inc	-	6,749
	Hospitality Pptys Tr	Hospitality Pptys Tr	-	5,904
	EPR Properties	EPR Properties	-	4,737
	Digital Realty Trust Inc	Digital Realty Trust Inc	-	4,716

Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Brixmor Property Group Inc	Brixmor Property Group Inc	-	$ 4,444
	Avalonbay Communities Inc.	Avalonbay Communities Inc.	-	4,429
	Apartment Investment & Management Co. Cl A	Apartment Investment & Management Co. Cl A	-	4,409
	SL Green Rlty Corp	SL Green Rlty Corp	-	4,302
	Equinix Inc	Equinix Inc	-	3,574
	Essex Property Trust Inc	Essex Property Trust Inc	-	3,488
	Ventas Inc	Ventas Inc	-	3,439
	Alexandria Real Estate	Alexandria Real Estate	-	3,223
	Kilroy Realty Corp	Kilroy Realty Corp	-	3,148
	Spirit Realty Capital Inc	Spirit Realty Capital Inc	-	2,834
	Sun Community Inc.	Sun Community Inc.	-	2,758
	DDR Corp	DDR Corp	-	2,703
	Vornado Realty Trust Com	Vornado Realty Trust Com	-	2,505
	Extra Space Storage Inc	Extra Space Storage Inc	-	2,394
	Dupont Farbros Technology	Dupont Farbros Technology	-	2,284
	CubeSmart	CubeSmart	-	2,275
	Infrareit Inc	Infrareit Inc	-	2,095
	Education Realty Trust Inc	Education Realty Trust Inc	-	2,030
	Douglas Emmett Inc - W/I	Douglas Emmett Inc - W/I	-	1,974
	American Campus Communities	American Campus Communities	-	1,941
	American Homes 4 Rent- A	American Homes 4 Rent- A	-	1,846
	American Tower REIT Inc	American Tower REIT Inc	-	1,585
	Gaming and Leisure Properties Inc	Gaming and Leisure Properties Inc	-	1,531
	Healthcare Trust Of America	Healthcare Trust Of America	-	1,514
	HCP Inc	HCP Inc	-	1,248
	Hudson Pacific Properties In	Hudson Pacific Properties In	-	1,078
	Empire State Realty Trust In	Empire State Realty Trust In	-	1,030
	Medical Properties Trust Inc	Medical Properties Trust Inc	-	923
	Public Storage	Public Storage	-	894
	Orchid Island Capital Inc	Orchid Island Capital Inc	-	875
	Physicians Realty Trust	Physicians Realty Trust	-	853
	QTS Realty Trust Inc-Cl A	QTS Realty Trust Inc-Cl A	-	794
	Store Capital Corp	Store Capital Corp	-	667
	Quality Care Properties	Quality Care Properties	-	403
	Pebblebrook Hotel Trust	Pebblebrook Hotel Trust	-	387
	The Geo Group Inc	The Geo Group Inc	-	359
	Gramercy Property Trust	Gramercy Property Trust	-	358

Procopio, Cory, Hargreaves & Savitch LLP
401(k) Profit Sharing Plan

Schedule H, line 4i – Schedule of Assets (Held at End of Year), Cont'd

EIN: 95-1634364 Plan #002

December 31, 2016

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment in maturity date, rate of interest, collateral, par, or maturity value	(d) Cost**	(e) Current Value
	Corporate Office Properties Sbi	Corporate Office Properties Sbi	-	$ 343
	CoreCivic Inc	CoreCivic Inc	-	342
	Highwoods Pptys Inc	Highwoods Pptys Inc	-	306
	Mid America Apt Community	Mid America Apt Community	-	294
	Life Storage Inc	Life Storage Inc	-	256
	Camden Property	Camden Ppty Tr	-	252
		Other		
	AT&T Inc	AT&T Inc	-	30,545
	Morgan Stanley	Morgan Stanley	-	30,119
	JPMorgan Chase & Co	JPMorgan Chase & Co	-	15,136
	Boost Academy	Boost Academy, Inc	-	4,000
	Priceline Group Inc/The @1525 2/17/17	Priceline Group Inc/The @1525 2/17/17	-	2,779
	Range Resources Corp @36 1/20/17	Range Resources Corp @36 1/20/17	-	1,402
	Agnico Eagle Mines Ltd @42.5 1/20/17	Agnico Eagle Mines Ltd @42.5 1/20/17	-	1,260
	CSX Corp @36 1/20/17	CSX Corp @36 1/20/17	-	1,180
	BrainLike Inc.	Brainlike Inc Com	-	1,000
	Valeant Pharmaceuticals Inte @15 1/20/17	Valeant Pharmaceuticals Inte @15 1/20/17	-	689
	Dollar Tree Inc @85 2/17/17	Dollar Tree Inc @85 2/17/17	-	536
	Expedia Inc @120 1/20/17	Expedia Inc @120 1/20/17	-	421
	Emerson Electric Co @57.5 1/20/17	Emerson Electric Co @57.5 1/20/17	-	344
	Newfield Exploration Co @47 1/20/17	Newfield Exploration Co @47 1/20/17	-	56
	Palo Alto Networks Inc @145 1/20/17	Palo Alto Networks Inc @145 1/20/17	-	50
	Baxter International Inc @50 1/20/17	Baxter International Inc @50 1/20/17	-	14
	Facebook Inc-A @118 12/30/16	Facebook Inc-A @118 12/30/16	-	7
	Texas Instruments Inc @77.5 1/20/17	Texas Instruments Inc @77.5 1/20/17	-	(12)
	Microsoft Corp @65 1/20/17	Microsoft Corp @65 1/20/17	-	(27)
		Notes Receivable From Participants		
*	Notes Receivable From Participants	3.25 – 3.50% per Annum	-	$ 570,029

* Represents a party-in-interest.

** Cost is omitted as allowed for participant directed transactions under an individual account plan.



PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PROFIT SHARING PLAN
525 B STREET, SUITE 2200
SAN DIEGO CA 92101

TD Ameritrade
Institutional

January 10, 2017

Dear Plan Sponsor,

Re: 2016 Supplemental Form 5500 Schedule C

As part of our ongoing effort to assist you in efficiently offering TD Ameritrade brokerage to your plan participants, we are enclosing the "Supplemental Form 5500 Schedule C" for your plan.

This information represents year-end fee disclosure information for your plan's brokerage assets held at TD Ameritrade for plan year 2016, or for the portion of 2016 that TD Ameritrade provided services to your plan.

The enclosed Schedule C summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C. Please refer to the instructions for Form 5500, Schedule C, to determine whether your plan is required to file the form and for additional details of what should be reported.

We appreciate the opportunity to provide brokerage services to your plan. If you have any questions or need additional information, please contact our Partner Services team at 866-423-2683, or PartnerServices@tdameritrade.com. The team is available to assist you from 9 am to 5:30 pm ET, Monday through Friday (excluding market holidays).

Sincerely,

John V. Tovar
Managing Director, Brokerage Services
TD Ameritrade Institutional

Have comments, feedback or suggestions?

Visit: www.research.net/r/SDPSReportSurvey

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TD Ameritrade Institutional

Supplemental Form 5500 Information

Plan Name: PROCOPIO, CORY, HARGREAVES & SAVITCH LLP 401(K) PROFIT SHARING PLAN
Plan ID: 731PCH

Reporting Period: 1/1/2016 through 12/31/2016

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I. SCHEDULE C

FORM 5500, SCHEDULE C

	PLAN YEAR START DATE	PLAN YEAR END DATE
For	**1/1/2016**	**12/31/2016**

This information summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Information provided is for the period shown above or for the portion of the plan year that TD Ameritrade provided services for your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C, if applicable. Please refer to the instructions for Form 5500, Schedule C to determine if your plan is required to file the form and for more details of what should be reported.

Direct Compensation

1. COMMISSIONS	5,766.84	
2. MISC FEES	975.00	
3. TRANSFER FEES	0.00	
4. TOTAL DIRECT COMPENSATION		**6,741.84**

Indirect Compensation

Mutual Fund Revenue

If a participant invests in one or more mutual funds, the following information applies to those investments. TD Ameritrade receives fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services with respect to mutual funds in which the plan invests. These fees may be paid by the fund, by the fund's distributor, transfer agent, administrator, or investment advisor (Fund Affiliate), by a third-party fund service provider, or by a combination of them, pursuant to TD Ameritrade, Inc.'s and/or TD Ameritrade Clearing, Inc.'s agreement with the particular fund, Fund Affiliate, and/or by a by a third-party fund service provider.

The amount of these fees may vary among mutual fund groups, and some mutual funds and Fund Affiliates do not make some or any of these payments available. The maximum amount of marketing and distribution fees that maybe paid to TD Ameritrade depends on the class of shares held by the plan but will not exceed the annual rate of 1.00% of the value of the plan's shares. Typically, shares held by a plan are subject to distribution and/or shareholder servicing fees that will not exceed the annual rate of 0.25% to 0.40%. Fees paid to TD Ameritrade for TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc.'s subaccounting, sub-transfer agency, or similar recordkeeping services may be based on the number of plan accounts (and range from $3.00 to $19.00 per account) or on the assets in the plan accounts (and range from an annual rate of 0.10% to 0.45%), depending on the agreement with the particular fund or Fund Affiliate. Funds may also be subject to a monthly minimum service fee payable to TD Ameritrade, Inc. of $300.

To the extent that fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services are paid out of fund assets, they are included in the fund's annual expense ratio and are indirectly borne by the fund's shareholders. For more information regarding such payments made by a particular mutual fund or its Fund Affiliate and details on the particular services to which the payments relate, please go to the fund's website and review its prospectus and statement of additional information or request a copy of these documents from the fund.

In addition to fees paid from fund assets, TD Ameritrade may receive fees for these services from Fund Affiliates and/or third-party fund service providers. These fees may be paid out of the resources of the Fund Affiliate. This compensation may be at different annual rates up to a generally maximum annual rate of 0.15% on plan assets invested in the fund.

Fees paid by a mutual fund, Fund Affiliate, and/or third-party fund service provider are paid through TD Ameritrade Clearing, Inc., who collects these fees on behalf of TD Ameritrade, Inc.

These mutual funds are shown in the table below:

Fund Family	EIN
ADVISORS INNER CIRCLE FD II (HNCK HRZN)	23-3040006
AIM SECTOR FUNDS	84-0933032
AMERICAN BEACON FUNDS	75-2401150
AMERICAN CENTURY MUTUAL FUNDS INC	44-6006315
ARTISAN PARTNERS FUNDS INC	39-1811840
BLACKROCK GLOBAL ALLOCATION FUND INC	22-2937779
BRIDGEWAY FUNDS INC	76-0421237
DIREXION FUNDS	13-4143904
DODGE & COX FUNDS	94-6067274

Fund Family	EIN
EUROPACIFIC GROWTH FUND	95-1411037
FIDELITY ADVISOR SERIES I	04-3335387
FIDELITY COMMONWEALTH TRUST	04-2558592
FIDELITY PURITAN TRUST	04-6026952
FIDELITY SUMMER STREET TRUST	04-2623605
FIRST EAGLE FUND	13-3392291
FPA CAPITAL FUND INC	94-1632697
FRANKLIN MUTUAL SERIES FUNDS	22-2894171
GE INSTITUTIONAL FUNDS	06-1495734
HARRIS ASSOCIATES INVESTMENT TRUST	04-3276558
HOMESTEAD FUNDS INC	53-0116145
IVY FUNDS	04-6006759
JPMORGAN TRUST I	33-1043149
LEGG MASON GLOBAL ASSET MANAGEMENT TRUST	NOT AVAILABLE
LONGLEAF PARTNERS FUNDS TRUST	NOT AVAILABLE
LORD ABBETT INVESTMENT TRUST	13-3731507
MATTHEWS INTERNATIONAL FUNDS	94-3250972
MFS SERIES TRUST X	04-3158862
NEW ECONOMY FUND	95-6799669
NEW PERSPECTIVE FUND	95-2817150
OPPENHEIMER STEELPATH MLP FUNDS TRUST	13-2527171
PARNASSUS INCOME FUNDS	94-6633178
PIMCO FUNDS	95-2632339
PRIMECAP ODYSSEY FUNDS	95-3868081
PROFESSIONALLY MANAGED PORT (AKRE)	54-1968332
PUTNAM FUNDS TRUST	04-3299786
RYDEX SERIES FUNDS	52-1820225
T ROWE PRICE MID-CAP VALUE FUND INC	52-1977812
TWEEDY BROWNE FUND INC	13-3700410
USAA MUTUAL FUNDS TRUST	16-1643253
VANGUARD BOND INDEX FUNDS	23-1945930
VANGUARD CHARLOTTE FUNDS	23-1945930
VANGUARD CHESTER FUNDS	23-2311358
VANGUARD FENWAY FUNDS	23-1945930
VANGUARD FIXED INCOME SECURITIES FUNDS	23-1899003
VANGUARD INDEX FUNDS	23-1999755
VANGUARD INTERNATIONAL EQUITY INDEX FUND	23-1945930
VANGUARD QUANTITATIVE FUNDS	23-1945930
VANGUARD SPECIALIZED FUNDS	23-1945930
VANGUARD STAR FUNDS	23-1945930
VANGUARD TRUSTEES' EQUITY FUND	23-2120820
VANGUARD WHITEHALL FUNDS	23-1945930
VANGUARD WORLD FUND	04-6035483
WASHINGTON MUTUAL INVESTORS FUND INC	66-0793788

Payment for Order-Flow. TD Ameritrade Clearing, Inc. receives, and shares with TD Ameritrade, payment for order flow some market centers. This involves a payment to TD Ameritrade for routing orders to that exchange or market maker. This payment is used to offset costs of doing business and ultimately helps to reduce the overall cost to our clients. The amount of order-flow payments received by TD Ameritrade currently range from approximately $.0005 to $.0040 per share, and $.40 to $.50 per option contract (each prior to offset from charges paid for removal of market liquidity). These amounts are received and paid pursuant to contractual arrangements with the market centers.

Deposit and Savings Account Fees. Under its agreement with TD Bank and TD Bank USA, TD Ameritrade, Inc,. and TD Ameritrade clearing, Inc received from TD Bank USA a fee for marketing and related recordkeeping services in connection with the IDA and Savings. The fee is derived by applying a formula, which results in the fee varying from month to month depending on the interest rate and other market conditions. For example, the marketing fee is generally less in a low interest environment. The rate of the fee may exceed the interest rate or effective yield the depositors receive from the deposit accounts. TD Ameritrade Clearing, Inc. retains 40% of the fee payable to TD Ameritrade Clearing, Inc. and TD Ameritrade, Inc for clearing services and remits the remaining 60% to TD Ameritrade, Inc. Further information regarding the IDA is available in your client agreement.

Sweep Vehicle Fees. If a plan fiduciary selects a TD Assets Management USA Funds, Inc. (TDAM), an affiliated money market fund as a sweep vehicle, or if a participant chooses to invest in a fund within TDAM, the following information is applicable. Pursuant to certain agreements by and among TDAM, its distributor, SEI Investments Distribution Co., TD Ameritrade Clearing, Inc. and TD Ameritrade, Inc., fee payments of up to an aggregate of approximately 80bps are paid by TDAM or its distributor to TD Ameritrade Inc. and TD Ameritrade Clearing, Inc. for sweep, subdistribution, shareholder services, subtransfer agency, recordkeeping, and administrative services. TDAM is managed by TDAM USA, Inc., an affiliate of TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. The amount paid to TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. includes 12b-1 fees, absent the voluntary waivers of such fees that are currently in place, and is calculated on net assets of TDAM distributed through TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. TD Ameritrade, Inc. receives and retains the shareholder servicing fees. TD Ameritrade Clearing, Inc. receives and retains the subtransfer agency fees. TD Ameritrade Clearing Inc. receives the 12b-1 fees, to the extent they have not been waived as noted above, and remits them to TD Ameritrade, Inc.

Proceeds of Corrective Transactions. TD Ameritrade receives investment instructions from participants or fiduciaries, such as an investment advisor, of the plan. Although rare, occasional errors in the instructions themselves or the processing of instructions may occur. The causes of such errors may include, but are not necessarily limited to, entry of an erroneous trade ("buy" vs. "sell," or vice versa), dollar amount, or number of shares, incorrect identification of the security, duplication of orders (i.e., instruction entered more than once), or untimely transmittal of instructions.

When an error is discovered, action is taken to correct the transaction in a manner intended to avoid or minimize harm or disruption to the plan. This generally includes processing corrective transactions through a “trade error account” maintained by TD Ameritrade, apart from the plan’s account, for TD Ameritrade individually (in-house account) or on behalf of an investment advisor. In addition, when requested by a plan representative, TD Ameritrade may communicate with the broker-dealer and attempt to cancel a trade through similar corrective transactions.

Because the price of an affected security may change between the time of processing the erroneous instruction or transaction and the execution of corrective transactions, a gain or loss may occur in the TD Ameritrade trade error account. For example, in the case of a sell order that is corrected to a price lower than the original intended transaction, or a purchase order corrected at a higher price, the corrective transaction may result in a loss. If, on the other hand, the sell order is corrected to a price higher than the original intended transaction, or the purchase order corrected at a lower price, the corrective transaction may result in a gain.

Important 2016 Form 5500 Schedule C Disclosures

April 2017

Matrix Trust's Role in Assisting Plan Sponsors in Completing Schedule C
Plan sponsors are responsible for completing the annual Form 5500 filing and are tasked with identifying and reviewing the reportable Schedule C compensation paid to plan service providers. The information in this communication is being provided to help in the completion of Schedule C for the Form 5500 filing for the 2016 plan year (which would be filed during 2017).

It is important for TPAs and plan sponsors to understand that Matrix Trust Company ("Matrix Trust") can only provide information related to its compensation as a service provider. Matrix Trust can provide activity related to payments made to other service providers only to the extent that the compensation flows through either the trust or a fund service fee account. Investment product disclosures, annual reports and prospectuses (as applicable) should be reviewed for other components of reportable compensation under Schedule C.

Where compensation can be classified as Eligible Indirect Compensation (EIC), Matrix Trust has provided the appropriate disclosures in this document rather than a set dollar amount for each plan, as permitted under guidance issued by the U.S. Department of Labor.

Reporting Requirements
Plan sponsors of retirement plans with over 100 participants must identify persons who indirectly or directly received $5,000 in compensation during the plan year for services rendered to the plan on Schedule C of Form 5500.

Direct Compensation
Direct compensation paid to Matrix Trust by your plan is reported on your account statement or other reports provided by Matrix Trust, for example, a check register. These reports enable you to determine payments made directly from plan assets.

Indirect Compensation
Matrix Trust or an affiliate may have received indirect compensation from sources other than your plan during the past calendar year. Matrix Trust is providing a description of the formula used to calculate or determine indirect compensation so that you can report this compensation as "Eligible Indirect Compensation" paid to Matrix Trust or an affiliate on Schedule C of the Form 5500 for its 2016 plan year, if compensation paid meets the reporting thresholds.

Indirect Compensation may include:

- Fees and expense reimbursement payments from mutual funds, collective investment funds, insurance company pooled separate accounts, and other separately managed accounts in which the fees charged against the fund or account are reflected in the value of the plan's investment (such as sub-transfer agent fees, shareholder servicing fees, and 12b-1 fees);
- Finder's Fees;
- Float revenue;
- Brokerage Commissions

Please see the "Fund Service Fee Collection" section for additional information.

"Float" Earnings
Non-interest bearing general or omnibus accounts are maintained at M&T Bank and JP Morgan Chase, N.A., in order to facilitate transactions of retirement plans. Matrix Trust may receive earnings for the period that the funds are held in these accounts. The earnings credited on these amounts are known as "float". The rate at which Matrix Trust earn float

over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame. This compensation varies, but a reasonable estimate based on a blend of rates is from 0.50% to 0.75%.

Float earnings are eligible indirect compensation for Schedule C of Form 5500 purposes and indirect compensation under ERISA Section 408(b)(2). Information with respect to the determination of float earnings is incorporated on page 3 of this document.

Fund Service Fee Collection

Depending on your plan's investments during the year, Matrix Trust or MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC ("**MSCS Financial**"), an affiliate of Matrix Trust, may facilitate the collection of revenue sharing or other administrative or service payments available from mutual funds or collective investment funds, to the extent any such fees are applicable (the "Fees"). The actual dollar amount of the Fees depends upon each fund's revenue sharing or commission recapture program. The amount of the Fees is typically based upon the aggregate net asset value of the funds held by your plan. The amount of available revenue sharing is subject to change at the discretion of the mutual fund company or collective investment fund sponsor.

If applicable, the Fees are reported on your plan's related fund service fee account statement. In addition, payments to parties other than MSCS Financial or Matrix Trust from the related fund service fee account statement may be reportable as direct or indirect compensation paid to those parties.

Additional Potential Indirect Compensation Related to Certain Brokerage Accounts

Where you and/or your authorized representative(s) have a pre-existing TD Ameritrade Brokerage Account and/or directed Matrix Trust to establish a TD Ameritrade Brokerage Account, after April 11, 2015, TD Ameritrade pays to Matrix Trust indirect compensation for certain services rendered by Matrix Trust, including account reconciliation services, account set-up and maintenance, related money movement of cash between the plan core accounts and brokerage firm for contribution and disbursement activity and the performance of related administrative tasks. For these services, Matrix Trust receives quarterly, in arrears, an amount equal to 1.5 basis points of the value of plan assets in TD Ameritrade brokerage account(s) during such quarter, based on the average of the plan assets held in the brokerage account(s) on the last business day of each month in the applicable quarter.

Completing Schedule C

You will need the following information to complete Schedule C:

Matrix Trust Company (Matrix Trust) 717 17th Street, Suite 1300 Denver CO 80202 Employer Identification Number: 75-3182674	MSCS Financial Services Division of Broadridge Business Process Outsourcing, LLC 717 17th Street, Suite 1300 Denver CO 80202 Employer Identification Number: 33-1151291

For **Direct Compensation**, you will be required to provide the Matrix Trust address or Employer Identification Number.

For Indirect Compensation, Matrix Trust provided you with a formula so that you can report this arrangement as **Eligible Indirect Compensation**, and you will be required to enter the MSCS Financial and Matrix Trust names and Employer Identification Numbers or addresses.

If you have any questions regarding this information, please contact your Matrix Trust Relationship Manager.

These materials and any attachments do not constitute tax or legal advice. The recipient is encouraged to consult with an attorney or tax professional and not rely on these materials as tax advice or attempt to apply them to specific situations.

MATRIX TRUST
FLOAT DISCLOSURE FOR EMPLOYEE BENEFIT PLANS

I. ADDITIONAL COMPENSATION ATTRIBUTABLE TO FLOAT

Matrix Trust Company ("Matrix Trust) may derive compensation from the use of cash held on behalf of client plans pending investment, distribution or other processing. This compensation is referred to as "float." The disclosures contained in the following paragraphs have been prepared in accordance with U.S. Department of Labor guidance contained in Field Assistance Bulletin 2002-3 concerning service provider float disclosure obligations to employee benefit plan customers.

In connection with Matrix Trust's provision of services to plan customers, Matrix Trust maintains various banking arrangements to facilitate movements of cash as necessary to process plan customer transactions, including arrangements with one or more banks. Under these arrangements, cash may be held in general or "omnibus" bank accounts established by or at the direction of Matrix Trust, pending investment, trade settlement, or the presentment of distribution checks for payment. These accounts generate float earnings for Matrix Trust. The proportionate share of those earnings attributable to the funds of a particular plan constitutes compensation that is paid by the plan and retained by Matrix Trust in connection with Matrix Trust's services and is in addition to any other fees or compensation payable under the service arrangement.

As of the date of this disclosure, M&T Bank, provides all of the banking arrangements described above with the exception of certain disbursement account arrangements provided by JP Morgan Chase, N.A. Both M&T Bank and JP Morgan Chase, N.A. are unaffiliated institutions to Matrix Trust.

The paragraphs below describe the specific circumstances under which float will be earned and retained, the time frames applicable to float earnings periods, and a general description of the rate of float earnings.

II. CIRCUMSTANCES AND TIME FRAMES DURING WHICH FLOAT MAY BE EARNED

1. Contributions

Plans direct cash contributions to Matrix Trust through a demand deposit account Matrix Trust maintains for that purpose. Matrix Trust credits the amount of a plan's cash contribution to the plan's Matrix Trust account ("Client Account") on the business day it is received. If the Client Account uses a cash sweep, the cash contribution is swept from the demand deposit account and invested on the plan's behalf on the next business day. Matrix Trust earns float on the cash contribution between the business day of deposit and the next business day. A "business day" is a day on which the New York Stock Exchange is open for business.

If a Client Account does not use a cash sweep, Matrix Trust earns float on the cash contribution from the business day of deposit until Matrix Trust receives investment instructions from the plan and the investment transaction settles. Upon Matrix Trust's receipt of investment instructions in good order, settlement of mutual fund trades generally occurs within one business day and settlement of individual securities trades (i.e. stocks and bonds) generally occurs within three business days.

2. Purchases of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to purchase a security for a Client Account, Matrix Trust places the purchase trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the purchase trade order is placed on the next business day.

When Matrix Trust settles a purchase trade order for a Client Account, Client Account assets required to pay for the purchase are transferred to a demand deposit account maintained by Matrix Trust on the trade settlement date. When a purchase trade order is cancelled or rejected, the funds previously set aside to pay for the purchase are re-credited to the Client Account and either invested through the cash sweep, if applicable, or retained in the deposit account pending other investment instructions, as described above.

3. Sales of Securities for the Client Account

When Matrix Trust receives instructions, in good order and in accordance with prescribed procedures, to sell a security for a Client Account, Matrix Trust places the sale trade order that same business day if the instructions are received prior to Matrix Trust trading cut-off times. If instructions are received after the Matrix Trust trading cut-off times, the sale trade order will be placed on the next business day.

When Matrix Trust places or settles a sale trade for a Client Account, the Client Account receives the sales proceeds on the trade settlement date. If the Client Account does not use a sweep, Matrix Trust will earn float from the date Matrix Trust receives the sale settlement proceeds until Matrix Trust receives instructions to reinvest the sale proceeds as described above.

4. Payments from Client Accounts

Matrix Trust may earn float when it issues checks on behalf of plans including checks for (i) distributions to participants and/or beneficiaries, (ii) participant loan distributions, or (iii) fees paid to plan service providers. Matrix Trust do not earn float when payments or distributions are made by direct deposit (ACH) or by a federal funds wire transfer.

Matrix Trust will mail a distribution check to a plan participant or beneficiary on the payable date (the date printed on the check). On the same day, Matrix Trust debits the Client Account in the amount of the check. Matrix Trust will earn float on the amount of the check from the date the check is issued until the date the check is presented and paid.

Where Matrix Trust has been directed to establish periodic or recurring distribution checks, such payments are typically mailed to plan participants and beneficiaries prior to the payable date (for example, effective July 1, 2015, periodic payments are mailed six business days prior to the payable date). Matrix Trust debits the Client Account in the amount of the checks on the payable date. Matrix is able to mail the checks before assets are withdrawn from the Client Account and sold because periodic distributions are of a predictable amount (generally a set dollar amount each payment cycle). Matrix Trust will only earn float on the amount of the check from the date the check is payable until the date check is presented and paid.

On a periodic basis, Matrix Trust will notify clients of outstanding periodic and lump sum distribution checks Matrix Trust has issued. If an originally-issued check is reported lost or missing, Matrix Trust will re-issue the check upon receipt of direction from the client. If the participant or beneficiary does not negotiate the check within a reasonable time, Matrix Trust reserves the right to recredit (redeposit) the payment to the Client Account and to invest these funds at the direction of a Client Account fiduciary, or to disburse the funds as directed or otherwise in accordance with applicable law.

III. RATE ON FLOAT EARNINGS

The rate at which Matrix Trust earn float over the time periods described above is generally comparable to the effective Federal Funds Rate as reported in the Wall Street Journal over the applicable time frame. This compensation varies, but a reasonable estimate based on a blend of rates is from 0.50% to 0.75%.

Assets Held at End of Year

Procopio Cory Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

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CONSOLIDATED

As of December 31, 2016

IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
ASSETS				
NON-INTEREST BEARING CASH				
CASH	80,463.23	80,463.23	80,463.23	80,463.23
TOTAL NON-INTEREST BEARING CASH		**80,463.23**	**80,463.23**	
GENERAL INVESTMENTS				
Interest-Bearing Cash				
City National Rochdale Government Money Market Fund Svc (cnix.x)		669,942.80	669,942.80	669,942.80
Pershing Cash Account		31,332.73	31,332.73	31,332.73
Schwab Cash Account		3,111.67	3,111.67	3,111.67
Schwab Money Market Fund		1,174,810.36	1,174,810.36	1,174,810.36
TD Asset Management Money Market Portfolio		2,676,466.88	2,676,466.88	2,676,466.88
UBS Bank USA Deposit Account		13,757.37	13,757.37	13,757.37
Goldman Sachs Bank USA	30,000	30,590.10	30,000.00	30,590.10
TotalInterest-Bearing Cash		**4,600,011.91**	**4,599,421.81**	**4,600,011.91**
U.S Government Securities				
US Treasury Note/Bond	50,000.000	53,691.50	50,237.86	52,910.00
US Treasury Note/Bond	50,000.000	53,437.50	48,657.53	52,789.06
US Treasury Bond	120,000.000	158,700.00	119,512.50	152,906.28
US Treasury Bond	80,000.000	108,575.20	81,200.00	104,493.60
TotalU.S Government Securities		**374,404.20**	**299,607.89**	**363,098.94**
Corporate Debt Instruments (Other Than Employer Securities) – Preferred				
Nyse Euronext	30,000.000	30,313.20	30,313.20	30,204.00
TotalCorporate Debt Instruments (Other Than Employer Securities) – Preferred		**30,313.20**	**30,313.20**	**30,204.00**
Corporate Debt Instruments (Other Than Employer Securities) – All Other				
Aspen Insurance Holdings Ltd 7.401%	534.559	13,719.04	13,719.04	13,358.63
Philip Services	698.000	0.00	698.00	0.00
Morgan Stanley	30,000.000	30,015.00	30,015.00	30,119.40
Bank Of New York Mellon	30,000.000	30,475.50	30,475.50	30,247.20
Philip Services	21,177.000	0.00	9,008.68	0.00
JPMorgan Chase & Co	15,000.000	15,229.95	15,229.95	15,135.66
Amgen Inc	30,000.000	31,263.00	31,263.00	31,084.50
CBS Corp	30,000.000	30,264.90	30,264.90	30,501.30
AT&T Inc	30,000.000	30,238.20	30,238.20	30,546.00
Verizon Communications	30,000.000	29,939.40	29,939.40	29,913.60
TotalCorporate Debt Instruments (Other Than Employer Securities) – All Other		**211,144.99**	**220,851.67**	**210,906.29**
Corporate Stocks (Other Than Employer Securities) – Preferred				
Annai Systems Inc Series A-2 Pfd	136,718.000	174,999.04	175,000.00	174,999.04
BandwidthX, Inc. Series A Preferred Stock	131,578.940	25,000.00	25,000.00	25,000.00
Barclays Bank PLC 7.1%	232.899	5,947.11	5,947.11	5,910.95
Boost Academy Inc. Series A Preferred	400,000.000	50,000.00	50,000.00	50,000.00
Boost Academy, Inc Series B-1 Preferred Stock	156,250.000	25,000.00	25,000.00	25,000.00
Bump Network, Inc. Series B Preferred Stock	5,128.431	35,000.00	35,000.00	35,000.00
Ceresti Health, Inc Ser S PFD	324,675.000	124,999.88	125,000.00	124,999.88
Ceresti Health, Inc. Series T Preferred Stock	43,234.000	23,713.85	23,713.85	23,713.85
Citigrp Inc 7.125%-J	541.267	14,840.82	14,840.82	15,144.65
Diamond Brands Inc Ser A Pfd	150,000.000	75,000.00	75,000.00	75,000.00
General Motors Corp ESCROW	600.000	0.00	1.00	0.00
Morgan Stanley 6.875%	209.156	5,668.87	5,668.87	5,655.58
Morgan Stanley 7.125	693.151	19,965.17	19,965.17	19,498.34
Spinnaker Biosciences, Inc. Series B Preferred Stock	80,000.000	100,000.00	100,000.00	100,000.00
Wells Fargo & Company 5.85	599.520	15,760.30	15,760.30	15,131.88
TotalCorporate Stocks (Other Than Employer Securities) – Preferred		**695,895.04**	**695,897.12**	**695,054.17**
Corporate Stocks (Other Than Employer Securities) – Common				
3-D Systems Corp	643.000	5,587.67	13,550.59	8,545.47
3m Co.	62.000	9,436.13	7,176.88	11,071.34
AAC Technologies H-Unspon Ad	12.000	780.00	797.40	1,085.10
Abb Ltd Spons ADR	130.000	2,227.41	2,383.55	2,739.10
Abbott Laboratories	63.000	2,829.33	2,877.84	2,419.83

Assets Held at End of Year

Procopio Cory Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

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CONSOLIDATED

As of December 31, 2016

IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Abbvie Inc	84.000	4,592.55	4,592.55	5,260.08
Accenture PLC	240.000	25,988.95	26,296.50	28,111.20
Acxiom Corp	3,222.000	67,404.24	56,539.82	86,349.60
Adecco Sa Reg Unspon ADR	76.000	2,419.03	2,564.40	2,481.40
Adobe Systems Inc.	216.000	19,980.43	19,980.43	22,237.20
Advanced Energy Ind Inc	1,265.000	38,592.68	38,592.68	69,258.75
AdvanSix Inc	7.000	95.55	95.55	154.98
Aegon N V	48.000	265.34	265.34	265.44
Aes Corp	395.000	4,026.11	4,950.88	4,589.90
Aflac Inc	30.000	1,797.00	1,820.40	2,088.00
Air Liquide Adr France Adr	73.000	1,633.55	1,851.18	1,623.52
Albany Intl Corp Cl A	1,799.000	65,753.45	64,863.76	83,293.70
Albany Molecular Resh	5,476.000	108,698.60	99,534.51	102,729.76
Alexandria Real Estate	29.000	2,907.60	2,907.60	3,222.77
Alibaba Group Holding-Sp ADR	220.000	18,869.44	20,978.52	19,318.20
Allergan plc	650.000	203,125.00	128,739.49	136,506.50
AllianceBernstein Holding LP	4,460.000	106,371.00	99,788.21	104,587.00
Alliant Energy Corp	46.000	1,436.35	1,366.43	1,742.94
Allianz SE - ADR	277.000	4,742.65	4,670.80	4,564.96
Alphabet Inc CL A	128.000	98,661.19	50,240.22	101,433.60
Alphabet Inc Cl C	151.000	115,179.84	68,518.94	116,544.82
Altisource Portfolio Solutions	133.000	3,698.73	3,788.47	3,536.47
Amazon Com Inc	251.000	174,438.99	45,262.12	188,217.37
Ambarella Inc	1,076.000	47,680.41	47,680.41	58,243.88
American Assets Trust Inc	2,000.000	76,700.00	37,609.70	86,160.00
American Campus Communities	39.000	1,939.92	1,939.92	1,941.03
American Express Co.	49.000	3,407.95	3,985.05	3,629.92
American Homes 4 Rent- A	88.000	1,777.04	1,777.04	1,846.24
American Tower REIT Inc	15.000	1,599.62	1,599.62	1,585.20
American Water Works Co Inc	100.000	5,975.00	2,972.49	7,236.00
Ameriprise Financial Inc	193.000	19,384.99	19,382.68	21,411.42
Amgen Inc	764.000	121,500.81	121,486.13	111,704.44
Analogic Corp	1,061.000	87,638.60	82,308.45	88,009.95
Annaly Capital Management Inc	2,000.000	18,760.00	20,251.95	19,940.00
Antero Midstream Partners LP	140.000	3,194.80	3,394.10	4,323.20
Anworth Mortgage Asset	4,000.000	17,400.00	24,811.95	20,680.00
Apache Corp	29.000	1,730.14	1,730.14	1,840.63
Apartment Investment & Management Co. Cl A	97.000	3,882.91	3,906.06	4,408.65
Apple Inc	1,778.582	187,143.85	136,788.10	205,995.37
Applied Micro Circuits (mtsi 01/27/17)	250.000	1,592.50	55,604.95	2,062.50
Archer Daniels Midland	8.000	297.11	297.11	365.20
Ardelyx Inc	1,000.000	8,059.99	8,059.99	14,200.00
Artes Medical Inc (delisted 7/13/12)	3,294.000	0.00	34,998.77	0.00
Astellas Pharma Inc Unsp ADR	127.000	2,051.74	2,061.49	1,760.22
Astrazeneca Plc Sponsored Adr	13.000	376.48	376.48	355.16
At&t Inc	2,031.717	70,882.24	66,240.89	86,408.92
Atlassian Corp Plc Cl A	1,000.000	27,449.59	27,449.59	24,080.00
Atmos Energy Corp	26.000	1,639.04	1,497.08	1,927.90
Avalonbay Communities Inc.	25.000	4,360.24	4,360.24	4,428.75
Avangrid Inc	101.000	3,928.14	3,928.14	3,825.88
Axa Sa ADR	94.000	2,549.39	2,239.32	2,368.80
Babcock & Wilcox Enterprises	198.000	3,092.52	3,092.52	3,284.82
Bae Systems Plc Spon Adr (baes.Y)	24.000	714.72	714.72	696.72
Balchem Corp	1,290.000	78,432.00	77,351.51	108,256.80
Banco Bilbao Vizcaya ADR	298.000	1,986.59	1,986.59	2,017.46
Banco Bradesco- Sponsored Adr	1,579.000	6,904.54	12,119.85	13,753.09
Bancolombia S.A. - Spons ADR	51.000	1,510.58	2,305.01	1,870.68
Bank Montreal Que	40.000	2,256.80	2,423.71	2,876.80
Bank Of America Corp	2,410.000	40,560.30	38,484.06	53,261.00
Banner Corp	987.000	42,795.40	42,795.40	55,084.47
Barrick Gold Corp.	1,547.672	11,488.86	29,636.96	24,731.80
BASF AG Spons ADR	25.000	1,900.38	2,372.86	2,314.25
Beacon Roofing Supply Inc	1,015.000	41,797.70	36,205.47	46,761.05

Assets Held at End of Year

Procopio Cory Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

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CONSOLIDATED

As of December 31, 2016

IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Becton Dickinson & Co	142.000	21,663.67	19,967.75	23,508.08
Berkshire Hathaway Inc Cl B	70.000	9,242.80	10,152.99	11,408.60
Best Buy Co	45.000	1,669.82	1,713.02	1,920.15
BHP Billiton Plc-Adr	100.000	2,265.00	5,697.29	3,146.00
BHP Limited	42.000	1,195.87	1,774.54	1,502.76
Biogen Inc	1,000.000	306,350.00	42,801.57	283,580.00
Bj's Restaurants Inc.	1,839.000	74,966.59	74,966.59	72,272.70
Black Hills Corp.	31.000	1,439.33	1,248.06	1,901.54
Blackhawk Network Holdings I	2,047.000	90,497.87	52,441.10	77,120.73
Blackrock 2001 Term Tr Inc	114.000	39,073.98	37,298.80	43,381.56
Blackrock Ltd Duration Inc	462.896	7,065.97	7,065.97	7,022.13
Bnp Paribas Adr	89.000	2,515.14	2,644.05	2,834.65
Boeing Company	11.000	1,467.23	1,467.23	1,712.48
Boost Academy, Inc	400,000.000	4,000.00	0.00	4,000.00
Bottomline Tech Del	269.000	7,378.43	7,033.49	6,730.38
Bp Plc Spons Adr	88.000	2,915.36	2,915.36	3,289.44
Brainlike Inc Com	200.000	1,000.00	1,000.00	1,000.00
Brinker Int'l Inc.	105.000	5,169.12	5,169.12	5,200.65
British American Tobacco	94.000	11,105.90	11,105.90	10,590.98
Brixmor Property Group Inc	182.000	4,551.32	4,580.00	4,444.44
Broadsoft Inc	1,617.000	68,469.54	68,469.54	66,701.25
Brookdale Senior Living Inc	13.000	239.98	296.53	161.46
Bsd Bancorp Inc	933.000	0.09	6,720.40	0.09
Buckeye Partners LP	132.000	7,829.98	8,410.43	8,733.12
BWX Technologies Inc	123.000	3,907.71	2,525.56	4,883.10
C H Robinson Worldwide Inc	78.000	5,412.27	5,412.27	5,714.28
CA Inc	77.000	2,199.12	2,185.61	2,446.29
Calamp Corp	250.000	4,982.50	5,049.45	3,625.00
Calpine Corp	163.000	2,358.61	3,710.70	1,863.09
Camden Ppty Tr	3.000	256.89	256.89	252.21
Cameco Corp	10,000.000	100,469.98	100,469.98	104,700.00
Canadian Imperial Bank Of Commerce	56.000	4,433.70	4,433.70	4,569.60
Canadian Natl Ry Co	200.000	11,176.00	7,691.21	13,480.00
Canon Adr Inc.	29.000	873.77	916.98	816.06
Cardinal Health Inc.	986.342	87,980.31	10,415.69	70,987.03
Carnival PLC ADR	5.000	261.09	261.09	255.95
Carrafour SA Sponsored ADR	329.000	1,859.83	2,086.62	1,592.36
Caterpillar Inc	94.000	6,323.88	6,479.46	8,717.56
Cathay General Bancorp Inc	2,414.000	75,630.62	58,901.61	91,804.42
CBRE Clarion Global Real Estate Income Fund	2,429.094	18,591.02	23,292.30	17,732.39
Celgene Corp	37.000	3,688.47	3,688.47	4,282.75
Cenovus Energy Inc	231.002	2,916.53	6,826.87	3,495.06
Centerpoint Energy Inc	138.000	2,533.68	3,210.57	3,400.32
CenturyLinkl Inc	185.000	4,754.91	6,623.21	4,399.30
CF Industries Holdings Inc	2,000.000	46,209.99	46,209.99	62,960.00
Chemours Co/The	200.000	1,072.00	1,898.49	4,418.00
Chevron Corp	472.169	42,315.82	42,722.69	55,574.29
China Mobile Ltd ADR	800.000	45,064.00	33,521.56	41,944.00
Chubb Ltd	93.000	11,169.96	11,169.96	12,287.16
Cisco Systems Inc	3,006.000	81,623.64	93,878.25	90,841.32
Citigroup Inc	111.000	5,744.25	4,791.90	6,596.73
Cnooc Ltd Spd Adr	23.000	2,400.74	3,460.50	2,851.08
Coach Inc	100.000	3,273.00	5,385.97	3,502.00
Coca-Cola Company	542.000	23,999.70	19,893.09	22,471.32
Coca-Cola Hbc Ag-Unsn ADR	59.000	1,298.04	1,175.66	1,267.32
Coca Cola Eurpean Partners	13.000	451.36	451.36	408.20
Colgate-Palmolive	345.000	23,551.94	24,376.95	22,576.80
Columbia Bkg Sys Inc.	1,400.000	46,145.99	41,244.66	62,552.00
Columbia Property Trust Inc	6,123.000	143,768.04	154,290.82	132,256.80
Comcast Corp Cl A	400.000	22,929.28	20,229.24	27,620.00
Compass Group PLC	83.000	1,462.46	1,457.48	1,550.44
Continental Ag Spons Adr	46.000	2,218.66	2,173.49	1,771.00
CoreCivic Inc	14.000	339.05	339.05	342.44

Assets Held at End of Year

Procopio Cory Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

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CONSOLIDATED

As of December 31, 2016

IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Corporate Office Properties Sbi	11.000	338.34	338.34	343.42
Covanta Holding Corp	151.000	2,338.99	2,858.96	2,355.60
Crane Co.	202.000	11,121.47	11,121.47	14,568.24
Credicorp Ltd	13.000	1,265.16	2,003.17	2,052.18
Credit Suisse Group Spon Adr	14.000	303.66	339.36	200.34
Cree Inc	103.000	2,584.35	3,126.23	2,718.17
CSL Ltd Sponsored ADR	46.000	1,761.57	1,596.66	1,668.42
CST Brands Inc	44.485	1,741.20	817.02	2,141.95
CubeSmart	85.000	2,602.70	2,073.64	2,275.45
Cummins Inc	18.000	1,892.06	2,086.76	2,460.06
CV Sciences Inc	57,500.000	22,712.50	24,459.99	24,725.00
CVS Health Corp	196.000	19,388.01	18,770.06	15,466.36
Daimlerchrysler Ag	300.000	21,244.79	21,244.79	22,245.00
Danaher Corp Del	277.000	20,189.16	20,219.71	21,561.68
Darden Restaurants Inc	4.000	287.28	287.28	290.88
Dassault Sys S.A.-ADR	9.000	721.35	612.32	687.58
DCP Midstream Partners LP (dcp 01/23/17)	227.000	5,168.73	7,285.29	8,712.26
DDR Corp	177.000	2,980.68	3,390.21	2,702.79
Deckers Outdoor Corp	1,354.000	71,647.56	71,647.56	74,998.06
Deere & Co.	700.000	53,389.00	60,879.75	72,128.00
Delta Air Lines Inc	720.000	35,063.49	32,405.43	35,416.80
Deluxe Corp.	750.000	40,905.00	13,104.98	53,707.50
Depomed Inc.	2,214.000	49,293.72	49,293.72	39,896.28
Diageo Plc Sposored Adr New	115.000	12,524.85	12,628.29	11,953.10
Digital Realty Trust Inc	48.000	4,313.31	4,313.31	4,716.48
Discover Financial	300.000	21,564.99	21,564.99	21,627.00
Disney Walt Co	370.000	38,879.60	35,979.49	38,561.40
Dominion Energy Inc	117.000	8,459.74	8,525.53	8,961.03
Dorman Products Inc	1,209.000	60,317.02	61,882.02	88,329.54
Douglas Emmett Inc - W/I	54.000	1,683.72	1,556.82	1,974.24
Dover Corp	70.000	4,113.43	4,113.43	5,245.10
Dow Chemical Corp	463.000	24,091.76	22,965.32	26,492.86
Dsw Inc - Class A	193.000	4,356.58	4,320.22	4,371.45
Duke Energy Corp	202.656	14,543.55	10,350.83	15,730.16
Dupont Farbros Technology	52.000	2,221.31	2,221.31	2,284.36
Dycom Industries	466.000	41,144.87	41,144.87	37,415.14
E I Du Pont De Nemours & Co	1,000.000	66,600.00	37,391.46	73,400.00
Ecolab Inc	184.000	20,650.70	20,722.75	21,568.48
Edison Intl	29.000	1,717.09	1,716.80	2,087.71
Education Realty Trust Inc	48.000	0.00	1,842.92	2,030.40
Electronics For Imaging	1,584.000	70,483.34	39,364.07	69,474.24
Eli Lilly & Company	130.076	10,921.50	11,213.14	9,567.09
Emerson Electric Co	152.000	7,289.29	7,551.60	8,474.00
Enbridge Energy Mgmt Shr Units	390.000	7,351.46	10,180.35	10,101.00
Enbridge Inc.	32.000	1,215.93	1,532.73	1,347.84
Encana Corp	441.551	2,254.14	11,614.53	5,183.81
Endo International PLC	600.000	36,732.00	12,937.18	9,882.00
Endurance Specialty Hl (acquired 03/29/17)	37.000	2,367.63	2,872.20	3,418.80
EnLink Midstream Partners LP	549.000	8,264.32	9,458.36	10,112.58
Ensign Group Inc	3,734.000	73,989.20	73,989.20	82,932.14
Epam Systems Inc	916.000	72,015.92	39,864.31	58,907.96
EPR Properties	66.000	4,105.93	4,105.93	4,736.82
Eqt Midstream Partners Lp	114.000	8,282.07	8,808.98	8,741.52
Equinix Inc	10.000	3,024.00	2,528.41	3,574.10
Equity Res. Property	528.638	39,923.55	33,715.63	34,023.14
Essex Property Trust Inc	15.000	3,516.25	3,469.29	3,487.50
Eversource Energy	62.000	3,166.34	3,496.18	3,424.26
Exone Co/The	200.000	2,008.00	12,359.95	1,868.00
Express Scripts Holding Co	71.000	6,206.11	4,603.76	4,884.09
Extra Space Storage Inc	31.000	2,671.54	2,671.54	2,394.44
Exxon-Mobil Corporation	690.000	55,134.30	33,788.69	62,279.40
Fabrinet Inc	2,012.000	81,902.18	81,902.18	81,083.60
Facebook Inc-A	929.000	97,579.98	42,793.74	106,881.45

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Fannie Mae	200.000	328.00	14,380.00	780.00
Fanuc Ltd Unsp ADR	63.000	1,088.83	1,074.66	1,048.96
Fastenal Co	81.000	3,306.42	3,035.07	3,805.38
First Majestic Silver Corp	5,000.000	44,509.99	44,509.99	38,150.00
First Solar Inc	200.000	13,198.00	7,379.95	6,418.00
First Trust Energy Infrastru	902.199	15,928.06	15,928.06	16,280.81
Firstenergy Corp	60.000	1,903.80	1,851.60	1,858.20
Firsthand Technology Value	2,978.792	24,336.73	41,180.70	22,847.33
Five Below	1,556.000	49,947.60	58,147.96	62,177.76
Ford Motor Co New	3,022.573	38,615.15	44,706.31	36,663.81
Fortescue Metals Group LTD	1,500.000	2,010.00	7,814.94	6,360.00
Fortis Inc	60.000	2,730.00	2,730.00	1,852.80
Fresenius Se & Co-Spn ADR	68.000	1,213.80	1,270.41	1,324.64
Fti Consulting Inc	2,623.000	90,913.18	101,853.41	118,244.84
Fuji Heavy Indus-Unspon ADR (86428v104 04/03/17)	132.000	2,700.11	2,313.97	2,679.60
Gaming and Leisure Properties Inc	50.000	1,663.81	1,663.81	1,531.00
Garmin Ltd	114.000	4,585.90	4,585.90	5,527.86
Gemalto NV - Sponsored ADR	38.000	1,185.59	1,388.09	1,099.15
General Electric Co	9,672.901	300,659.46	257,534.00	305,663.67
Genesis Energy L.P.	120.000	4,408.80	5,219.40	4,322.40
Genuine Parts Co.	49.000	4,458.02	4,458.02	4,681.46
Givaudan Unspon ADR	35.000	1,299.80	1,342.86	1,277.50
Glacier Bancorp New	2,379.000	63,114.87	67,893.80	86,191.17
Glaxosmithkline Plc Ads	67.000	2,693.99	2,893.66	2,580.17
Globus Medical Inc - A	3,662.000	86,796.84	86,796.84	90,854.22
Goldman Sachs Group Inc	25.000	4,505.75	2,746.00	5,986.25
Gramercy Property Trust	39.000	358.02	377.91	358.02
Great Plains Energy	178.000	4,915.82	5,092.82	4,868.30
Greenbrier Co's Inc.	500.000	16,310.00	24,320.94	20,775.00
Groupon Inc	500.000	1,535.00	2,711.90	1,660.00
Grupo Financiero Banorte SPONS ADR	73.000	1,697.66	1,697.66	1,814.78
Gulfport Energy Corp	2,633.000	70,913.68	61,354.27	56,978.12
Halyard Health Inc	24.000	801.84	332.50	887.52
HCP Inc	42.000	1,431.31	1,434.66	1,248.24
Healthcare Svcs Group Inc	2,430.000	87,199.01	87,199.01	95,183.10
Healthcare Trust Of America	52.000	1,402.44	1,498.12	1,513.72
Healthsouth Rehab Corp	1,788.000	62,240.28	39,310.82	73,737.12
Helen Of Troy Corp Ltd	949.000	89,443.25	51,223.92	80,143.05
Henkel KGAA Sponsored ADR PFD	11.000	1,225.84	1,305.48	1,309.00
Hess Corp	100.000	4,848.00	7,609.62	6,229.00
Hewlette Packard Enterprise	613.140	9,337.06	5,927.86	14,188.06
Highwoods Pptys Inc	6.000	298.91	298.91	306.06
Hilton Worldwide Holdings Inc (hlt 01/04/17)	870.000	18,870.56	18,889.85	23,664.00
Himax Technologies Inc- ADR	7,010.000	74,115.87	74,115.87	42,340.40
Home Depot Incorporated	200.000	26,524.32	25,388.04	26,816.00
Honeywell International	178.000	18,771.06	18,136.65	20,621.30
Hospitality Pptys Tr	186.000	4,816.86	4,816.86	5,903.64
Host Hotels & Resorts Inc	1,970.838	30,596.34	23,175.21	37,130.59
HP Inc	628.135	7,454.67	5,558.61	9,321.52
Hsbc Hldgs PLC	39.000	1,539.33	1,670.98	1,567.02
Hudson Pacific Properties In	31.000	975.26	975.26	1,078.18
Iberiabank Corp	62.000	3,112.80	3,112.80	5,192.50
Idacorp Inc.	37.000	2,516.00	2,515.26	2,980.35
Idna Inc (Delisted 1/30/2013)	300.000	0.00	2,952.00	0.00
Illinois Tool Works Inc.	170.000	15,959.54	16,935.74	20,818.20
Illumina Inc	150.000	20,859.78	20,859.78	19,206.00
Imax Corp	2,775.000	98,623.50	77,946.22	87,135.00
Imperial Oil Ltd	46.000	1,492.97	1,856.85	1,598.96
Inc Research Holdings Inc-A	1,897.000	88,762.33	88,762.33	99,782.20
Infrareit Inc	117.000	2,005.29	2,005.29	2,095.47
Integrated Device Tech.	3,856.000	99,903.37	39,327.70	90,847.36
Intel Corporation	3,763.887	127,906.84	112,103.16	136,516.18
Intercontinental Exchange Inc	250.000	12,813.00	12,493.50	14,105.00

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Interface Inc	4,225.000	76,199.64	76,199.64	78,373.75
International Paper	111.000	4,309.60	4,838.74	5,889.66
Intl Business Machines Inc	870.000	119,486.76	21,491.43	144,411.30
Intuitive Surgical	30.000	20,961.23	20,961.23	19,025.10
Invensense Inc (acquired 05/18/17)	250.000	2,557.50	5,294.30	3,197.50
Invesco Ltd	790.000	25,620.51	28,791.88	23,968.60
Invesco Municipal Opportuni	5,825.053	76,974.04	71,743.05	74,211.18
Invitae Corp	1,000.000	6,809.99	6,809.99	7,940.00
Ionis Pharmaceuticals Inc	164.000	10,156.52	5,322.72	7,844.12
ITAU Unibanco Banco Multi ADR	1,210.000	7,161.00	11,169.89	12,438.80
Ixia Corp (acq/del 4/19/17)	6,583.000	81,826.69	62,353.26	105,986.30
Japan Tobacco Inc-Unspon ADR	96.000	1,783.20	1,718.21	1,568.16
JGC Corp-Unsponsored ADR	29.000	886.24	1,231.34	1,049.51
Johnson & Johnson	42.000	4,339.59	4,339.59	4,838.82
Johnson Controls International PLC	237.000	9,644.71	9,644.71	9,762.02
JPMorgan Chase & Co	1,000.000	66,030.00	33,391.27	86,290.00
Kilroy Realty Corp	43.000	2,721.04	2,934.41	3,148.46
Kimberly Clark	197.000	25,050.08	8,022.33	22,481.64
Kimco Realty Corp	1,466.000	38,790.36	10,669.83	36,884.56
Kinder Morgan Inc	4,858.620	73,036.08	114,302.09	100,622.02
Kindred Healthcare Inc.	25.000	297.75	455.50	196.25
Kla-Tencor Corp	74.000	5,104.96	5,104.96	5,822.32
Kohl's Corp	66.000	3,155.01	3,306.12	3,259.08
Komatsu Ltd Sponsored Adr New	61.000	996.13	1,252.94	1,383.48
Kraneshares CSI China Intern	250.000	9,606.45	9,168.37	8,682.50
Kratos Def & Sec Solutions Inc	40.000	164.00	314.75	296.00
Kubota Corp ADR	21.000	1,625.09	1,557.15	1,493.10
Kvh Industries Inc	378.000	3,560.76	5,108.61	4,460.40
L Oreal Co Adr	35.000	1,193.74	1,275.73	1,274.35
Lci International	1,000.000	20,359.99	20,359.99	22,050.00
Liberty Property Trust	770.039	24,084.79	24,602.98	30,416.54
Life Storage Inc	3.000	261.78	261.78	255.78
Livanova PLC	1,235.000	73,321.95	67,971.57	55,537.95
Lloyds Tsb Group Plc ADR	10,000.000	43,600.00	50,023.90	31,000.00
Lockheed Martin Corp	71.000	16,187.13	16,187.13	17,745.74
Lowes Co Inc	267.000	20,099.49	20,099.49	18,989.04
Lukoil Oil Co Spon Adr	99.000	3,990.02	4,294.08	5,555.88
Luminex Corp Del	395.000	8,449.05	6,107.96	7,990.85
Lyondellbasell Indus A	100.000	7,918.40	7,871.65	8,578.00
Macquarie Group Ltd ADR	29.000	1,239.82	1,239.82	1,813.81
Macy's Inc	47.000	1,948.12	1,948.12	1,683.07
Magellan Midstream Partners	294.000	19,714.52	22,123.02	22,235.22
Magna Int'l Inc.	60.000	2,450.70	2,865.40	2,604.00
Marathon Petroleum Corp	6.000	261.06	261.06	302.10
Marsh & Mclennan Inc.	180.000	11,132.75	11,132.75	12,166.20
Masco Corp	1,418.000	40,129.40	24,444.79	44,837.16
Mast Therapeutics Inc (svra 4/28/17)	30,500.000	10,118.81	14,794.09	2,647.40
Matson Inc	2,346.000	97,598.10	69,041.71	83,024.94
Mcdermott Int'l	1,200.000	3,798.70	3,952.96	8,868.00
Mcdonalds Corp	128.000	15,873.10	12,414.24	15,580.16
Mckesson Hboc Inc	268.000	50,511.53	47,887.59	37,640.60
MDC Holding Inc	316.000	7,442.56	7,511.02	8,108.56
Mdu Res Group Inc	130.000	2,418.75	2,676.51	3,740.10
Medical Properties Trust Inc	75.000	1,112.59	1,112.59	922.50
Medtronic PLC	340.000	26,090.24	26,379.86	24,218.20
Merck & Company Inc	113.000	5,705.02	5,746.78	6,652.31
Merrimack Pharmaceuticals In	2,000.000	10,729.99	10,729.99	8,160.00
Methode Electronics Inc	1,772.000	60,208.01	60,208.01	73,272.20
Metlife Inc	54.000	2,799.90	2,874.78	2,910.06
Mettler Toledo Intl	3.000	1,017.39	935.76	1,255.68
MGM Resorts International	1,012.000	23,034.98	20,263.87	29,175.96
Micron Tech. Inc.	1,000.000	14,160.00	15,619.49	21,920.00
Microsoft Corporation	3,229.051	179,050.86	98,652.41	200,653.23

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Mid America Apt Community	3.000	288.47	288.47	293.76
Mitsui & Co. Lts ADR (MITSY)	4.000	952.40	987.89	1,100.00
Mizuho Financial Group - ADR	14.000	41.44	41.44	50.26
Mobile Telesys Ojsc Spon Adr	52.000	461.19	461.19	473.72
Mondelez International Inc	1,500.000	67,260.00	22,211.02	66,495.00
Morgan Stanley	1,325.000	42,148.25	37,367.81	55,981.25
Morgan Stanley Emerging Market	1,753.102	12,025.56	15,961.88	12,569.74
Motorola Solutions Inc	121.353	8,308.51	15,489.08	10,058.95
Mtn Group Ltd - Spons ADR	93.000	787.71	1,790.25	841.65
Mueller Water Products Inc A	7,494.000	64,448.40	65,288.17	99,745.14
Muenchener Rueck ADR	123.000	2,458.16	2,543.64	2,316.09
Mylan NV SHS Euro	440.000	22,201.31	22,225.92	16,786.00
National Fuel Gas	36.000	1,539.00	2,361.60	2,039.04
National Grid Transco Plc (ngg 05/22/17)	62.000	4,505.32	4,505.32	3,616.46
Natus Medical Inc	1,832.000	88,027.60	70,968.68	63,753.60
Nestle SA Sponsored ADR Registered (NSRGY)	18.000	1,355.22	1,397.22	1,291.32
Neuberger Berman Real Estate	6,607.384	33,233.54	40,462.99	35,481.65
Neurocrine Biosciences	750.000	42,427.50	31,410.00	29,025.00
Newmarket Corp	60.000	22,843.80	3,430.21	25,430.40
Nextera Energy Inc	146.000	16,571.48	16,610.60	17,441.16
Nic Inc	1,909.000	37,569.12	31,820.92	45,625.10
Nisource Inc	104.000	2,029.04	1,743.60	2,302.56
Nitto Denko Corp-Unsponsored Adr	44.000	1,609.52	1,380.28	1,723.92
Noble Energy Inc	55.000	1,811.15	1,825.45	2,093.30
Nordstrom Inc.	217.000	11,233.35	11,233.35	10,400.81
Northwestern Corp	42.000	2,278.50	2,425.50	2,388.54
Novartis Ag Sponsored Adr	161.000	12,272.69	12,421.80	11,727.24
Novo-Nordisk As	36.000	1,975.51	1,568.39	1,290.96
Nucor Inc	17.000	685.10	699.55	1,011.84
NXP Semiconductors Nv	511.000	50,283.74	50,219.61	50,083.11
O Reilly Automotive Inc	74.000	19,135.48	18,846.98	20,602.34
Oao Gazprom Adr Reg S	345.000	1,266.15	1,760.68	1,756.05
Oge Energy Corp	146.000	3,988.32	4,624.35	4,883.70
Omega Healthcare Invs	740.000	22,264.34	22,264.34	23,132.40
Omnicell Inc	1,301.000	47,825.90	47,825.90	44,103.90
One Gas Inc	28.000	1,404.76	1,251.04	1,790.88
Oneok Inc	117.000	2,885.22	4,906.32	6,716.97
Oneok Partners LP	153.000	4,916.35	4,916.35	6,580.53
Opko Health Inc	1,000.000	9,809.99	9,809.99	9,300.00
Oracle Corp	1,000.000	36,530.00	32,217.45	38,450.00
Orange SA	1,500.000	22,956.09	22,956.09	22,710.00
Orchid Island Capital Inc	80.749	805.17	991.38	874.51
Orexigen Therapeutics Inc	4,000.000	10,097.49	27,894.89	6,960.00
Orix Corp Spd Adr	25.000	1,610.27	1,610.27	1,945.75
Oxford Industries	1,000.000	63,820.00	53,764.51	60,130.00
Packaging Corp Amer	3.000	257.10	257.10	254.46
Palo Alto Networks Inc	320.000	44,802.79	43,989.58	40,016.00
Pandora A/S ADR (698341203 02/21/17)	53.000	1,677.65	1,677.65	1,724.62
Parexel Intl Corp	332.000	21,539.91	20,941.15	21,819.04
Pattern Energy Group Inc	114.000	2,383.74	3,047.35	2,164.86
Pebblebrook Hotel Trust	13.000	404.95	404.95	386.75
Pegasystems Inc.	2,583.000	71,032.50	69,592.17	92,988.00
Pepsico Inc	353.000	36,189.02	36,189.02	36,934.39
Perrigo Co PLC	51.000	7,379.70	7,922.31	4,244.73
Pfizer Incorporated	450.402	14,161.66	12,048.35	14,629.06
Philip Morris International	47.000	4,353.44	4,353.44	4,300.03
Physicians Realty Trust	45.000	827.10	827.10	853.20
Plains All American Pipeline LP	490.133	11,426.86	14,360.98	15,826.39
Pnm Resources Inc. N/C Dec 2001	105.000	3,257.69	3,263.54	3,601.50
Portland General Electric Co	52.000	1,891.24	2,065.44	2,253.16
Posco	4.000	160.84	160.84	210.20
Ppl Corp	67.000	2,286.71	2,274.10	2,281.35
PRA Group Inc	88.000	2,317.04	2,317.04	3,440.80

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Praxair Inc.	164.000	19,347.54	19,347.54	19,219.16
Premier Inc-Class A	2,497.000	80,085.80	80,085.80	75,808.92
Principal Financial Grp	46.000	2,100.94	2,100.94	2,661.56
Procter & Gamble Co	733.000	61,987.63	61,982.17	61,630.64
Prologis	144.000	6,842.62	6,800.19	7,601.76
Prothena Corp PLC	16.000	1,089.76	2,598.25	787.04
Prudential Corp Adr	39.000	1,758.12	1,930.50	1,551.81
Prudential Finl Inc	12.000	925.36	915.83	1,248.72
PTC Inc	1,939.000	67,147.57	36,048.94	89,717.53
Public Storage	4.000	882.36	882.36	894.00
Pulte Group Inc	1,555.470	27,736.44	20,611.02	28,589.54
QTS Realty Trust Inc-Cl A	16.000	721.76	640.64	794.40
Qualcomm	33,902.311	1,693,650.98	284,198.81	2,210,430.68
Quality Care Properties	26.000	406.53	406.53	403.00
Quidel Corp	249.000	5,278.80	5,595.92	5,333.58
Realty Income Corp	3,583.602	186,293.95	107,454.48	205,985.44
Reckitt Benckiser-Spon ADR	84.000	1,574.16	1,440.60	1,411.20
Red Hat Inc	267.000	21,304.47	20,535.57	18,609.90
Red Rock Resorts Inc Cl A	20.000	445.20	445.20	463.80
Redwood Trust Inc	464.549	6,142.69	6,826.65	7,065.79
Regal Entertainment Group	223.000	4,303.72	4,303.72	4,593.80
Reliance Steel & Aluminum	77.000	4,656.65	4,656.65	6,124.58
Resmed Inc	157.219	8,469.65	8,157.32	9,755.44
Reynolds American Inc	160.000	7,384.00	1,071.00	8,966.40
Rice Midstream Partners Lp	106.000	2,010.82	2,010.82	2,605.48
Roche Hldg Ltd -Spons Adr	57.000	1,950.36	1,915.52	1,626.21
Rockwell Collins Industries Inc.	216.000	19,967.47	19,522.33	20,036.16
Rockwell International	231.000	24,607.95	26,162.78	31,046.40
Royal Bank Of Canada	35.000	1,875.30	2,090.22	2,369.85
Royal Dutch Shell PLC - ADR A	27.000	1,236.33	1,804.14	1,468.26
Royal Dutch Shell PLC - ADR B	605.000	31,893.29	32,415.35	35,071.85
Ryanair Holdings Plc-Sp ADR	24.000	2,007.62	1,633.06	1,998.24
Salesforce.Com Inc	405.000	28,796.58	28,796.58	27,726.30
Sandvik Ab Spd Adr (sdvky)	152.000	1,353.98	1,655.48	1,863.52
Sap Aktiengesellschaft Adr	16.000	1,265.60	1,095.52	1,382.88
Sasol Ltd Spons Adr	15.000	402.30	475.05	428.85
Schlumberger Ltd	352.000	24,461.77	29,654.58	29,550.40
Sempra Energy	20.000	1,880.20	2,274.40	2,012.80
Sensient Tech Corp	1,103.000	69,290.46	41,546.01	86,673.74
Shell Midstream Partners LP	430.000	15,392.77	14,508.31	12,508.70
Siemens AG ADR	14.000	1,346.45	1,546.02	1,713.88
Silicon Laboratories	1,129.000	50,140.55	50,140.55	73,385.00
Simon Ppty Group Inc	65.000	12,721.15	12,707.25	11,548.55
Singapore Telecom Spd Adr (sgapy)	62.000	1,648.30	1,874.86	1,546.28
Sk Telecom Ltd Spon Adr	92.000	1,976.05	1,976.05	1,922.80
SKF AB Sp ADR	98.000	1,634.44	1,874.07	1,798.30
SL Green Rlty Corp	40.000	4,400.34	4,721.18	4,302.00
Smith & Wesson Holding Corp (aobc 01/03/17)	250.000	5,495.00	3,740.73	5,270.00
Snyders Lance Inc	1,836.000	62,974.80	41,371.02	70,392.24
Softbank Corp Unspon ADR	500.000	12,592.50	15,529.99	16,515.00
South32 ADR	40.000	152.60	403.31	396.00
Spectra Energy Corp (enb 02/27/17)	68.000	1,627.92	2,471.80	2,794.12
Spectra Energy Partners LP	179.000	8,439.40	9,332.54	8,205.36
Spirit Realty Capital Inc	261.000	2,615.22	2,973.69	2,834.46
Sprint Corporaton	25,000.000	90,500.00	343,811.98	210,500.00
Stamps.Com Inc	30.000	2,249.02	2,249.02	3,439.50
Starbucks Corp.	338.000	20,186.95	20,275.83	18,765.76
Stonemor Partners LP	1,340.625	27,890.02	28,770.15	11,944.97
Store Capital Corp	27.000	649.35	649.35	667.17
Sturm Ruger & Co Inc	106.999	6,384.79	6,950.37	5,638.85
Summit Hotel Properties Inc	1,389.502	16,650.44	14,268.96	22,273.72
Sun Community Inc.	36.000	2,542.75	2,557.57	2,757.96
Sunoco Logistics Pt LP Com Units (etp 05/01/17)	254.000	6,871.22	7,826.06	6,101.08

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Supernus Pharmaceuticals Inc	2,874.000	67,340.12	67,340.12	72,568.50
Svenska Cellulosa Ab Spd Adr (svcby)	39.000	1,122.81	959.40	1,098.05
Swiss Re Ltd-Spn ADR	101.000	2,476.02	2,309.46	2,399.76
Synergy Pharmaceuticals Inc	3,000.000	14,769.99	14,769.99	18,270.00
Sysmex Corp-Unspon Adr	42.000	1,356.60	1,279.64	1,218.00
T Rowe Price & Assoc Inc	41.000	2,931.09	3,326.74	3,085.66
Taiwan Semiconductor	2,085.000	47,433.75	18,779.43	59,943.75
Tallgrass Energy Partners LP	155.000	5,638.77	6,259.56	7,354.75
Targa Resources Corp	82.000	2,354.45	3,292.61	4,597.74
Target Corp	25.000	1,889.83	1,910.41	1,805.75
Taser International Inc (aaxn 04/06/17)	2,989.000	57,207.20	73,724.61	72,453.36
Tata Motors Ltd Spn ADR	700.000	20,629.00	27,242.98	24,073.00
Telephone & Data Sys	105.000	2,677.09	2,642.93	3,031.35
Tesla Inc	190.000	48,988.48	47,926.47	40,601.10
Tetra Tech Inc New	2,614.000	68,713.49	68,290.51	112,794.10
Texas Instruments Inc	189.000	11,104.95	11,104.95	13,791.33
Texas Roadhouse Inc Cl A	1,925.000	68,857.25	36,962.38	92,862.00
The Geo Group Inc	10.000	343.26	343.26	359.30
The Kraft Heinz Co	500.000	36,380.00	12,007.16	43,660.00
The Mosaic Co	146.000	4,050.45	4,457.47	4,282.18
Thermo Fisher Scientific Inc	243.000	34,348.01	26,236.75	34,287.30
Time Warner Inc	408.749	26,949.80	19,359.82	39,456.54
Tjx Companies	257.000	18,691.32	18,651.40	19,308.41
Tokyo Electron LTD Unsp ADR	74.000	1,322.70	1,458.86	1,760.46
Toll Bros Inc	1,050.000	29,699.98	29,699.98	32,550.00
TopBuild Corp	157.000	4,830.89	3,174.88	5,589.20
Toronto Dominion Bank New	96.000	3,513.08	3,513.08	4,736.64
Total Fina Elf Sa Adr	79.000	3,600.33	3,989.84	4,026.63
Tower Semiconductor Ltd	2,300.000	45,381.74	45,381.74	43,769.00
Transdigm Group Inc	70.000	19,856.39	19,856.39	17,427.20
Transocean Ltd	1,200.000	14,856.00	15,068.79	17,688.00
Tupperware Brands Corp	83.000	4,237.69	4,237.69	4,367.46
UBS Group AG	29.000	461.39	461.39	454.43
UDR Inc	185.000	6,950.45	6,182.88	6,748.80
Ugi Corp New	70.000	2,363.20	2,589.30	3,225.60
Umb Financial Corp	1,409.000	65,588.95	73,666.53	108,662.08
Union Pacific Corp.	265.622	21,245.46	20,698.43	27,539.69
United Continental Holding Inc	300.000	17,190.00	20,279.85	21,864.00
United Parcel Service	46.000	4,769.30	4,769.30	5,273.44
United Rentals Inc	600.000	43,524.00	19,978.93	63,348.00
United Technologies Corp.	375.000	36,648.09	36,721.75	41,107.50
Unitedhealth Group Inc	500.000	58,820.00	22,096.63	80,020.00
Upm-Kymmene Corp	25.000	467.93	411.06	617.00
US Bancorp - Del	301.000	12,998.07	10,059.12	15,462.37
V.F. Corp.	175.000	11,121.26	11,121.26	9,336.25
Vale SA ADR	1,000.000	3,290.00	17,030.70	7,620.00
Valero Energy Corp New	554.989	38,353.25	18,357.53	37,916.85
Ventas Inc	55.000	3,405.18	3,405.18	3,438.60
Verizon Communications	3,764.853	174,330.91	140,343.46	200,967.85
Viacom Inc Class B	8.000	274.64	274.64	280.80
Viasat Inc.	135.000	8,236.35	8,566.45	8,939.70
Visa Inc Class A Shares	235.000	19,372.15	19,372.15	18,334.70
Vodafone Group PLC ADR	54.000	1,742.04	2,671.45	1,319.22
Volkswagen Ag Sponsored Adr (vlkay)	72.000	2,165.62	2,007.15	2,065.32
Vornado Realty Trust Com	24.000	2,439.34	2,552.49	2,504.88
Wal-Mart Stores Inc	378.807	23,631.61	22,281.97	26,183.14
Wal Mart De Mexico Sa De Cv Cl V Spon Adr	65.000	1,613.95	1,318.12	1,161.55
WEC Energy Group Inc	48.000	2,462.88	2,675.32	2,815.20
Wells Fargo & Co New	399.456	20,742.72	17,462.55	22,014.02
Welltower Inc	345.000	20,019.99	20,019.99	23,090.85
Westar Energy Inc.	49.000	2,286.83	2,286.83	2,761.15
Western Digital Corp	79.000	3,019.74	3,019.74	5,368.05
Western Gas Equity Partners	130.000	4,172.84	5,233.82	5,505.50

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Western Union Co	98.000	1,699.92	1,783.08	2,128.56
WestRock	8.000	400.23	400.23	406.16
Whole Foods Market Inc.	100.000	3,350.00	4,128.21	3,076.00
Williams Co.	244.000	6,153.14	7,244.81	7,598.16
Williams Partners LP	323.000	11,276.08	11,276.08	12,283.69
WisdomTree Investments Inc	1,600.000	25,088.00	28,529.94	17,824.00
Woodside Petroleum- Sp Adr	73.000	1,526.85	1,940.10	1,638.85
WPP PLC ADR	13.000	1,491.62	1,452.49	1,438.58
Xilinx Inc	1,213.464	56,996.40	34,188.78	73,256.82
Yum China Holdings Inc	80.000	69.80	69.80	2,089.60
Yum! Brands Inc.	80.000	5,774.20	168.19	5,066.40
Zurich Insurance Group AG	1,200.000	30,750.00	26,736.17	33,084.00
Diamond Brand Inc Wts 12/31/16	2.000	2.00	2.00	2.00
Boost Academy, Inc. Wts 12/31/19	1.000	1.00	1.00	1.00
TotalCorporate Stocks (Other Than Employer Securities) – Common		**13,370,436.98**	**10,469,150.38**	**14,845,975.82**
Partnership / Join Venture Interests				
ABC Funding Strategies, LLC	50,000.000	50,000.00	50,000.00	50,000.00
ABC Funding Strategies, LLC	150,000.000	150,000.00	150,000.00	150,000.00
ABC Funding Strategies, LLC	50,000.000	50,000.00	50,000.00	50,000.00
Artesia Escondido, LLC	79,587.200	81,876.00	81,876.00	79,587.20
Associated Microbreweries Ltd Cl A	556.000	50,040.00	50,040.00	50,040.00
Barcelona 326 Juniper LLC	29,997.000	29,997.00	29,997.00	29,997.00
Blackstone Group LP	1,951.703	57,094.02	63,328.15	52,754.53
Calexico Crossings II LP	4.500	40,500.00	40,500.00	40,500.00
Calexico Crossings, LLC	40.000	308,000.00	308,000.00	308,000.00
Calexico Distribution Center LLC	8.000	72,000.00	60,300.00	72,000.00
Calexico Distribution Center LLC	30.000	264,000.00	221,100.00	264,000.00
Carlyle Group/The	700.000	10,934.00	22,516.94	10,675.00
Country Hills Apartments L P	0.250	43,995.25	24,500.00	43,995.25
CR Realty LLC	2.200	660,000.00	550,000.00	660,000.00
Cwc Healthtech Fund I LLC	32.500	32,500.00	32,500.00	32,500.00
CWC Income Properties 3, LLC	25,000.000	25,000.00	25,000.00	25,000.00
CWC Nexus Fund 1, LLC	20,000.000	20,000.00	20,000.00	20,000.00
Cwc Regional Housing Fund 3 LLC	25.000	24,725.00	24,131.22	24,725.00
Davlyn California Multifamily Fund I, LP	207,673.000	207,673.00	207,673.00	207,673.00
Eastlake Professional Center LLC	24.000	109,701.00	54,000.00	118,532.88
Enclave Lake Murray LLC	1.380	50,024.99	50,000.00	50,024.99
Energy Transfer Equity LP	986.000	10,690.12	19,908.34	19,039.66
Energy Transfer Partners (sxl 4/28/17)	158.000	6,229.49	6,229.49	5,657.98
Enterprise Products Pptns LP	864.000	21,956.52	25,530.59	23,362.56
Fort Collins Ventures LLC	750.000	75,000.00	75,000.00	75,000.00
Greenbutts LLC	3,000.000	15,000.00	15,000.00	15,000.00
Hoppscotch LLC	94,383.960	94,383.96	94,383.96	94,383.96
Howe Group/Stonebridge LLC	190,498.000	225,000.00	225,000.00	190,498.00
KKR & Co LP	1,620.000	25,255.80	36,680.65	24,931.80
Linq Apartments, LLC	25,000.000	25,000.00	25,000.00	25,000.00
LIVe & Learn Properties Fund I LLC	50,773.754	36,674.85	45,262.53	19,258.48
MG 909 West Investments, LP	90,000.000	90,000.00	90,000.00	90,000.00
MG 909 West Investments, LP	135,000.000	135,000.00	135,000.00	135,000.00
MG Ascent Apartments, LP	100,000.000	100,000.00	100,000.00	100,000.00
MG Barham Villas Apartments LP	0.698	82,802.34	69,750.00	82,802.34
MG Canyon Villa Apartments, LP	50,000.000	50,000.00	50,000.00	50,000.00
MG Granada Sienna Holdings LP	1.000	104,727.00	100,000.00	104,727.00
MG Marquee Investments Pkv LP	23,525.000	23,525.00	23,525.00	23,525.00
MG Monte Vista COR L.P.	51,750.000	51,750.00	51,750.00	51,750.00
MG Ontario Town Square Townhomes LP	50,000.000	50,000.00	50,000.00	50,000.00
MG Ontario Town Square Townhomes, LP	25,000.000	25,000.00	25,000.00	25,000.00
MG Reflections at the Marina, LLC	45,000.000	45,000.00	45,000.00	45,000.00
MG Russellville Commons Apartment Holdings, LP	100,000.000	100,000.00	100,000.00	100,000.00
MG Sage Canyon Apartments LP	0.250	35,701.75	25,000.00	35,701.75
MG Santa Rosa Apartments, LP	50,000.000	50,000.00	50,000.00	50,000.00
MG Sterling Village Apts Gwp LP	26,475.000	26,475.00	26,380.10	26,475.00

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MG Terramonte Apartments LP	1.250	125,585.00	112,500.00	125,585.00
MG Tuscany Ridge Apartments	50,000.000	50,000.00	47,250.00	50,000.00
MG Tuscany Ridge Apartments	100,000.000	100,000.00	100,000.00	100,000.00
MG Union Place Investment PNG LP	70,000.000	70,000.00	70,000.00	70,000.00
MG Waterfield Square Apartments LP	3.000	536,106.00	300,000.00	536,106.00
MG Waterstone Apartments LP	0.500	75,080.00	50,000.00	75,080.00
Molokai Apartments LLC (Copper Palms)	25,000.000	25,000.00	25,000.00	25,000.00
MPLX LP	310.000	11,303.17	13,304.23	10,732.20
Nextera Energy Partners LP	74.000	1,921.77	1,921.77	1,889.96
Noble Royalty Access Fund VIII LP	1.000	50,000.00	50,000.00	23,980.00
Our Crowd (Investment in TechSee) LP	20,000.000	20,000.00	20,000.00	20,000.00
OurCrowd First, LP	100,000.000	100,000.00	100,000.00	100,000.00
P V A Ltd L P	1.000	176,000.00	1.00	259,000.00
Pacific Rim Business Centre LLC	10.995	146,213.71	16,153.79	146,213.71
Pacific Rim Distribution Centre LLC	52.000	452,272.08	-11,561.80	452,272.08
Pacific Rim Industrial Park LLC	36.000	302,294.88	142,406.40	302,294.88
Pacifica Palms LLC	1.000	112,700.00	16,257.76	112,700.00
Palms Of La Mesa Apts LLC	1.151	25,161.74	25,000.00	25,161.74
Pathfinder Partners Lux Home Fd I LP	34,276.912	32,905.84	34,276.91	32,563.07
Pathfinder Partners Opp Fd III LP	183,755.220	158,963.07	133,012.00	159,867.04
Pathfinder Partners Opp Fund IV LP	130,929.049	114,910.17	116,217.35	149,259.12
Pathfinder Talavera Holdings, LLC	50,000.000	50,000.00	50,000.00	50,000.00
Pathfinders Partners Opportunity Fund V-A, LP	100,000.000	100,000.00	100,000.00	100,000.00
Pathfinders Partners Opportunity Fund V-A, LP	200,000.000	200,000.00	200,000.00	200,000.00
Petershill II Offshore Fund, LP	78,396.000	71,604.00	71,604.00	78,396.00
Phillips 66 Partners LP	41.000	2,517.40	2,248.44	1,994.24
PIMCO Corporate Opportunities Fund II Onshore Feeder LP	30,933.180	30,933.18	30,933.18	30,933.18
Pizza Nova Newport Beach LLC	1.000	30,000.00	30,000.00	30,000.00
Rocky Hemingway House Ltd L P	7.777	415,223.63	2.00	311,762.20
Salt Lake City Southwest Ventures	1,000.000	100,000.00	100,000.00	100,000.00
San Diego Arena Associates L P	0.500	50,000.00	50,000.00	50,000.00
Solana Lucent Station Investors, LLC	250,000.000	250,000.00	250,000.00	250,000.00
Solstar Regency LLC	150,000.000	150,000.00	150,000.00	150,000.00
Sorrento Ventures II B L P	49,999.000	0.00	44,924.85	0.00
Sorrento Ventures II C L P	25,000.000	0.00	20,877.07	0.00
Southwest Value Partners Fund Xv LP	0.251	781,935.00	480,905.00	758,728.82
Southwest Value Partners Fund XVII, LP	362,641.000	362,641.00	362,641.00	402,531.51
Sterling Sherman Heights, LLC	75,000.000	75,000.00	75,000.00	75,000.00
Stoneridge Lake Murray LLC	1.296	42,477.50	42,492.00	54,626.40
Tallgrass Energy Gp LP	126.000	2,012.22	3,985.37	3,376.80
Tc Pipelines LP	83.000	4,154.14	4,154.14	4,883.72
The Woods Apartments LLC	1.923	25,219.69	24,961.54	20,150.44
Tucson North Forbes Venture LLC	750.000	75,000.00	75,000.00	75,000.00
Wedgewood Apartments LLC	2.559	68,626.91	59,215.03	42,410.16
Whitson Hillcrest, LLC	130,000.000	130,000.00	130,000.00	130,000.00
TotalPartnership / Join Venture Interests		**9,546,994.18**	**7,345,546.00**	**9,499,616.66**
Participant Loans				
Deemed Loan		75,424.13	75,424.13	75,424.13
Master Participant Loan		702,418.58	702,418.58	702,418.58
TotalParticipant Loans		**777,842.71**	**777,842.71**	**777,842.71**
Value of Interest in Pooled Separate Accounts				
Extended Stay America Inc	57.000	906.30	1,169.64	920.55
NGL Energy Partners LP	210.000	1,925.13	3,516.24	4,410.00
TotalValue of Interest in Pooled Separate Accounts		**2,831.43**	**4,685.88**	**5,330.55**
Value of Interest in Registered Investment Companies				
Akre Focus Fund-Ret	365.795	8,016.73	8,016.73	9,141.22
Am Cent Ultra I	2,972.186	107,209.26	104,175.97	106,879.81
Amer Funds New Econ A	913.556	32,856.03	19,455.48	32,842.34
Amer Funds New Persp A	1,847.397	66,503.51	37,181.27	65,268.54
Amer Funds Washington A	1,360.453	52,502.04	31,885.43	55,710.55

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American Balanced Fund R6	33,549.239	829,275.00	829,275.00	832,356.62
American Beacon Bridgeway Large Cap Growth Inst	849.124	17,396.21	10,870.74	20,778.06
American Beacon Bridgeway Large Cap Value Fund Instl	1,051.978	23,985.71	14,083.33	27,435.59
American Europacific Growth A	1,618.367	73,418.32	41,997.08	73,004.54
American Funds - New World Fun	19,056.309	981,397.11	981,397.11	979,684.85
Angel Oak Multi-Strat Inc-Is	5,159.458	58,497.52	60,202.74	57,837.52
Artisan Opportunistic Val IV	2,117.189	28,116.27	28,116.27	29,344.24
BlackRock Global Allocation Fund Inc. R	4,051.042	69,173.61	77,517.66	70,407.11
Blackrock High Yield Portfolio	5,048.351	36,066.29	39,758.11	38,569.40
Blackrock High Yld Bdiva	3,714.517	28,175.73	28,175.73	28,341.76
Bridgeway Small Cap Value N	916.109	18,276.78	11,462.17	22,893.56
City National Rochdale Government Bond Fund Instl	174,958.552	1,862,562.17	1,862,562.17	1,831,816.04
City Natl Rochdale Gov Mm-Sv	3,543,482.090	3,543,482.09	3,543,482.09	3,543,482.09
Columbia India Infrastructure ETF	500.000	6,065.00	6,776.14	5,280.00
Columbia Mid-Cap Value-Y	112,389.553	1,592,880.34	1,592,880.34	1,643,135.26
Consumer Staples Select Sector Spdr	392.000	20,848.15	20,848.15	20,270.32
Delaware Small Cap Value I	19,938.578	1,047,175.76	1,047,175.76	1,255,532.26
DFA Emerging Markets Core Equity	1,360.317	21,438.60	24,062.00	23,615.10
DFA Emerging Markets I	1,361.610	29,347.64	30,070.00	30,949.40
DFA Five Year Global Fixed Income	12,910.383	141,373.81	141,872.28	140,335.86
DFA International Small Cap Value	210.748	3,936.77	4,020.00	4,008.43
DFA International Small Company	961.538	15,029.99	15,029.99	16,605.76
DFA International Value	1,564.825	24,103.03	24,549.99	26,226.47
DFA Intl L/C Growth Port	6,317.897	68,793.96	69,289.99	67,285.60
DFA Intl Real Estate Security Portfolio	12,184.281	66,060.00	66,060.00	57,266.12
DFA Large Cap International	1,947.647	37,433.15	38,589.99	38,193.36
DFA Two-Year Global Fixed Income	18,665.680	186,032.71	186,109.99	185,536.86
DFA US L/C Growth Port	198.939	2,874.67	3,020.00	3,095.49
DFA US Large Cap Value	802.568	24,735.15	25,029.99	28,162.11
DFA US Large Company Inst Index	1,133.706	17,992.73	17,740.00	19,726.48
DFA US Micro Cap	959.928	16,236.85	17,060.00	20,052.90
DFA US S/C Growth Port	1,669.814	24,040.00	24,040.00	27,301.46
DFA US Small Cap	110.271	3,127.29	3,520.00	3,731.57
DFA US Small Cap Value	596.695	18,193.23	20,120.00	22,310.43
Direxion Mnth High Yld 1.2X	3,348.907	77,166.05	77,166.05	78,624.30
Direxion Monthly NASDAQ 100 Bull 2X Fund	50.000	3,201.20	3,201.20	3,340.00
Dodge & Cox Balanced	1,960.485	185,658.43	154,052.40	202,616.12
Dodge & Cox Income	9,015.172	119,899.48	114,048.19	122,516.19
Dodge & Cox Intl Stock	3,044.873	111,189.92	97,393.69	116,009.66
Dodge & Cox Stock	418.609	68,434.69	55,829.54	77,149.64
Doubleline Total Return Bond I	9,083.343	96,101.77	96,101.77	96,465.10
Eagle Small Cap Growth R5	28,108.486	1,467,206.63	1,467,206.63	1,543,718.05
Energy Select Sector Spdr	200.000	13,596.37	13,596.37	15,064.00
Etfs Physical Precious Metal	500.000	26,145.00	40,040.54	28,780.00
EuroPacific Growth R6	50,306.470	2,232,527.15	2,232,527.15	2,265,803.41
Federated Strategic Value Dividend In	6,507.978	38,592.05	38,592.05	38,462.15
Fidelity Adv H/I Advant M	950.501	9,460.02	9,406.92	10,227.39
Fidelity Advisor High Income Advantage-Class I	130,012.086	1,251,655.49	1,251,655.49	1,304,021.22
Fidelity Advisor Technology-Class I	43,490.019	1,622,134.71	1,622,134.71	1,800,921.69
Fidelity Capital & Inc	4,782.119	43,745.20	46,978.56	46,434.38
Fidelity Puritan Fd 4	9,625.517	195,780.41	172,409.90	198,093.14
Fidelity Small Cap Discovery	986.757	26,090.16	26,190.48	31,220.99
Financial Select Sector Spdr	369.000	5,922.49	5,922.49	8,579.25
First Eagle Sogen Over A	1,381.481	30,366.98	24,188.48	30,931.36
Fpa Capital	297.929	9,702.54	11,261.42	11,261.72
Guggenheim Managed Futures Strategy P	412.648	9,482.48	10,618.03	7,720.64
Hancock Horizon Quant L/S-Inv	855.844	14,737.63	15,373.41	15,225.46
Health Care Select Sector Spdr	187.000	13,315.69	13,315.69	12,891.78
Henderson Global Equity Inc I (hfqi.x 6/2/17)	7,612.768	54,575.00	54,575.00	54,279.04
Homestead Small Company Stock	426.248	15,110.51	11,144.08	17,531.58
Invesco Technology Inv	219.324	8,015.39	20,141.12	7,450.44
iShares 1-3 Year Credit Bond ETF	274.000	28,765.68	28,765.68	28,753.56
iShares 1-3 Year Treasury Bond	195.000	16,592.10	16,592.10	16,467.75

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
iShares Agency Bond ETF	26.000	2,941.90	2,961.40	2,928.12
Ishares Core S&P Mid-Cap ETF	474.000	75,699.03	75,699.03	78,371.16
Ishares Core S&P Small-Cap ETF	110.000	15,431.35	15,431.35	15,127.20
Ishares Core U.S. Aggregate Bond ETF	166.000	18,298.54	18,298.54	17,937.96
Ishares Gold Trust	500.000	5,115.00	6,092.45	5,540.00
iShares iBoxx High Yield Corp	87.000	6,758.79	6,758.79	7,529.85
iShares iBoxx Investment Grade	151.000	17,392.42	17,392.42	17,694.18
iShares J.P. Morgan USD Emergi	498.000	53,427.13	56,778.19	54,889.56
iShares MBS ETF	633.000	69,320.22	69,403.58	67,313.22
iShares Micro-Cap ETF	492.000	35,545.44	18,963.63	42,233.28
iShares MSCI All Peru Capped ETF	36.000	1,189.44	1,189.44	1,180.80
iShares MSCI Australia ETF	251.000	4,888.07	4,888.07	5,077.73
iShares MSCI Belgium Capped ETF	240.000	4,243.18	4,243.18	4,202.40
iShares MSCI Brazil Capped ETF	141.000	4,733.36	4,733.36	4,700.94
iShares MSCI Canada ETF	132.000	3,596.19	3,596.19	3,451.80
iShares MSCI Chile Capped ETF	30.000	1,189.20	1,189.20	1,122.60
iShares MSCI Emerging Markets	1,806.246	58,143.06	75,827.04	63,236.67
iShares MSCI Europ Small Cap ETF	1,476.000	66,833.28	49,474.22	64,678.32
iShares MSCI Germany ETF	182.000	4,354.99	4,354.99	4,819.36
iShares MSCI India ETF	44.000	1,203.40	1,203.40	1,179.64
iShares MSCI Indonesia ETF	190.000	4,451.49	4,451.49	4,584.70
iShares MSCI Ireland Capped ETF	200.000	7,355.99	7,355.99	7,506.00
iShares MSCI Japan ETF	124.000	6,140.48	5,140.79	6,058.64
iShares MSCI Netherlands ETF	241.000	5,198.92	5,198.92	5,820.15
iShares MSCI New Zealand Capped Inv	53.000	2,428.95	2,428.95	2,105.69
iShares MSCI Philippines ETF	62.000	2,389.45	2,389.45	2,010.04
iShares MSCI Poland Capped ETF	132.000	2,436.67	2,436.67	2,391.73
iShares MSCI South Africa ETF	22.000	1,185.55	1,185.55	1,151.92
iShares MSCI South Korea Cappe	113.000	5,612.71	6,540.26	6,013.86
iShares MSCI Switzerland Cappe	167.000	5,183.68	5,801.33	4,919.82
iShares MSCI Taiwan Capped ETF	171.000	5,236.02	5,625.59	5,022.27
iShares MSCI Thailand Capped ETF	35.000	2,490.34	2,490.34	2,523.15
iShares MSCI Turkey ETF	65.000	2,651.32	2,651.32	2,110.55
iShares MSCI USA ETF	138.000	4,065.48	4,475.89	4,235.22
iShares PHLX Semiconductor ETF	95.000	8,534.80	5,132.35	11,658.40
iShares Russell 1000 Growth ETF	450.000	44,579.52	44,579.52	47,205.00
iShares Russell 2000 ETF	860.555	96,954.03	67,782.63	116,045.84
iShares Russell 2000 Growth ETF	165.000	22,981.20	12,593.35	25,400.10
iShares Russell 2000 Value ETF	48.000	4,871.85	4,871.85	5,709.12
iShares S&P 500 Growth ETF	385.000	43,638.00	43,638.00	46,889.15
iShares S&P 500 Value ETF	757.703	67,071.06	48,922.58	76,815.93
iShares S&P Small-Cap 600 Grow	310.000	38,536.10	14,906.55	46,500.00
Ishares Short-Term National	17.000	1,830.05	1,830.05	1,786.24
iShares TIPS Bond ETF	829.597	91,061.47	92,561.53	93,885.49
iShares U.S. Healthcare ETF	351.429	52,702.71	26,477.08	50,651.46
iShares U.S. Home Construction	401.056	10,868.59	10,528.33	11,021.02
iShares U.S. Oil & Gas Explora	200.000	11,170.66	11,170.66	13,088.00
iShares US Pharmaceuticals ETF	85.000	13,743.65	11,201.26	12,020.70
iShares US Real Estate ETF	890.436	66,840.87	58,828.26	68,510.15
Ivy Asset Strategy R	2,063.540	44,902.63	51,973.78	42,302.57
Janus Henderson Enterprise Fund N Shares	22,439.401	2,065,967.63	2,065,967.63	2,142,065.22
Janus Henderson Small Cap Value Fund I Shares	684.768	15,938.83	15,938.83	15,222.39
John Hancock Disciplined Value Fund R6	195,567.357	3,432,923.97	3,432,923.97	3,792,051.05
JPMorgan Alerian MLP Index	310.000	7,701.26	7,701.26	9,799.10
JPMorgan Core Bond Fund I	8,419.579	96,320.18	96,320.18	96,656.77
JPMorgan Large Cap Growth Fund I	1,902.432	61,505.62	61,505.62	60,440.26
JPMorgan Str Income Opp I	1,830.319	20,319.22	21,695.63	21,213.40
Legg Mason Capital Management Value Trust Class C	251.663	15,854.31	16,905.21	17,691.91
Longleaf Partners	2,966.057	63,857.39	82,449.71	75,219.21
Longleaf Partners Intl	1,141.505	14,126.93	16,594.29	15,444.56
Loomis Sayles Growth Fund N	324,161.756	4,095,773.81	4,095,773.81	3,883,457.84
Lord Abbett High Yield Fund A	1,692.782	11,689.58	11,689.58	12,712.79
Materials Select Sector Spdr	120.000	5,210.40	3,576.60	5,964.00

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IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
Matthews Asia Pacific Equity Inc	1,938.256	29,811.87	25,700.16	30,081.73
Matthews Asia Small Companies	1,668.222	32,380.88	24,504.42	31,779.63
Matthews Asian Gr & Inc	5,551.977	88,746.32	85,715.52	82,946.54
Matthews China	3,032.487	55,147.71	59,537.61	46,912.57
Matthews Japan	489.755	9,290.09	9,304.61	9,222.09
Mfs Conservative Alloc-R1	3,782.728	52,077.56	53,137.30	53,487.77
MFS® Utilities Fund R6	41,613.523	800,395.78	800,395.78	754,869.31
Mutual Qualified Z	5,363.426	77,985.31	88,575.77	83,240.37
Northern High Yield Fixed Income Fd	7,996.391	53,260.01	53,260.01	54,295.49
Oakmark Equity & Inc I	937.714	26,836.43	22,100.32	28,525.26
Oppenheimer Developing Markets Y	486.903	15,376.39	15,376.39	15,566.29
Oppenheimer International Small-Mid Company Fund Y	635.213	23,064.58	23,064.58	23,325.02
Oppenheimer SteelPath MLP Alpha Fund I	6,568.742	57,636.13	85,308.93	62,994.24
Oppenheimer Ultra Dividend R	1,600.000	55,744.39	55,744.39	54,832.00
Pacific Fin Dyn Alloc-Inv	5,658.243	57,804.87	57,903.38	58,902.31
Pacific Financial Core Eq-Iv	7,430.686	64,401.82	66,101.94	69,997.06
Pacific Financial Explor-Iv	2,240.683	20,489.35	21,516.64	21,891.47
Pacific Financial Flex Iv	2,081.378	20,446.68	20,561.15	20,605.64
Pacific Financial Str Con-Iv	455.106	4,234.22	4,297.60	4,173.32
Parnassus Inc Equity Inc	153.531	5,686.21	6,200.07	6,032.23
PIMCO 0-5 Year H/Y Corp Bond Index ETF	73.000	6,998.68	6,998.68	7,289.78
PIMCO All Asset R	5,989.935	61,001.34	72,864.68	66,548.18
Pimco Foreign Bond Instl	3,115.121	30,870.85	33,440.03	32,584.17
Pimco High-Yield Instl	8,342.464	69,736.31	73,631.65	73,497.11
Pimco Income Fund-P	3,350.629	40,341.49	40,341.49	40,408.59
Pimco Income Funds Inst	517.833	6,183.55	6,183.55	6,245.07
Pioneer Strategic Income Y	5,458.187	56,291.88	57,995.51	57,965.95
Powershares FTSE Rafi US 1K	264.950	23,016.92	21,732.18	26,375.77
Powershares QQQ Nasdaq 100	2,404.447	277,233.47	234,801.08	284,878.88
Powershares S&P 500 Low Vola	314.248	12,131.83	11,194.82	13,066.43
Primecap Odyssey Aggr Growth	2,817.991	90,983.91	54,863.91	94,318.16
Principal Midcap Fd-P	1,349.402	30,872.83	30,872.83	30,253.59
Proshares Short QQQ	850.000	41,087.61	41,087.61	40,205.00
Proshares Short S&P 500	750.000	28,541.11	28,541.11	27,405.00
Proshares Ultra S&P 500	531.000	37,869.76	37,869.76	40,430.34
Prudential Total Return Bd-Q	103,840.992	1,528,651.07	1,528,651.07	1,464,157.99
Putnam Capital Spectrum-R	2,073.382	68,174.47	60,057.16	67,115.38
Real Estate Select Sect Spdr	51.000	1,359.06	1,359.06	1,568.25
Rydex High Yield Strategy-H	3,319.608	79,543.63	79,543.63	80,301.32
Select Sector Consumer Discretionary Tr	157.000	12,130.45	12,130.45	12,779.80
Select Sector Spdr Utilities	200.000	8,656.00	5,835.00	9,714.00
SPDR Dow Jones Industrial Average ETF Trust	655.000	125,290.21	125,290.21	129,369.05
SPDR GOLD TRUST GOLD SHS	150.000	15,219.00	21,532.43	16,441.50
SPDR S&P 500 ETF Trust	215.000	43,537.17	43,537.17	48,058.95
Spdr S&P Bank ETF	123.000	4,725.54	4,725.54	5,346.81
Spdr S&P Intl Energy Sector	2,000.000	33,906.65	33,906.65	37,400.00
State St Inst S&P 500 Indx-I	705.933	14,903.97	14,107.42	14,874.01
Stone Ridge Reinsur Risk Pre	30,027.132	303,892.01	303,466.31	304,475.12
T Rowe Price Global Technology	1,728.335	23,400.64	23,926.43	22,848.59
T. Rowe Price Health Sci	13,664.835	917,558.62	776,313.22	807,318.45
T. Rowe Price Intl Stk	661.032	10,100.57	8,619.85	10,107.18
T. Rowe Price Mid Value	1,025.395	25,851.44	25,087.83	29,797.98
T. Rowe Price Sm Val	368.745	13,392.82	10,827.44	16,641.46
Technology Select Sector Spdr	143.000	6,361.68	6,361.68	6,915.48
Templeton Global Bond Fd - Ad	76,662.181	865,279.23	865,279.23	916,879.68
Tweedy Browne Global Value	615.198	15,056.83	16,183.86	15,404.56
US Natural Gas Fund LP	4,000.000	35,799.98	35,799.98	37,360.00
USAA Intermediate Term Bond	1,456.865	14,803.88	15,733.77	15,180.53
VanEck Vectors Emer Markets H/Y Bond ETF	321.000	7,506.54	7,383.38	7,716.84
VanEck Vectors Gaming ETF	100.000	3,243.00	5,291.95	3,384.00
VanEck Vectors Russia ETF	267.000	4,847.61	4,847.61	5,665.74
Vaneck Vectors Semiconductor	66.000	4,406.69	4,406.69	4,728.24
Vanguard 500 Index Adm	1,025.398	192,725.62	117,353.27	211,816.46

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Vanguard 500 Index Inv	2,890.100	545,265.39	332,380.60	597,007.96
Vanguard Consumer Staples ETF	358.892	46,375.52	46,661.99	48,087.94
Vanguard Consumer Staples Index Fund Admiral	14.547	1,037.89	1,037.89	960.97
Vanguard Developed Markets Index - Admrl	3,665.871	43,330.60	44,099.50	43,037.33
Vanguard Diversified Equity Inv	1,207.097	36,064.39	39,220.26	36,309.48
Vanguard Emerg Mkts Gov Bnd	2,343.000	174,289.66	175,556.63	181,676.22
Vanguard Emerging Markets Adm	1,385.076	37,854.13	41,681.28	41,247.56
Vanguard Energy ETF	506.515	42,222.36	52,646.29	53,021.99
Vanguard Equity-Inc	1,009.325	31,035.61	31,035.61	32,924.18
Vanguard European Stk Ind Admiral	1,429.426	87,501.12	87,501.12	85,694.09
Vanguard FTSE All WO X US SC	909.385	84,489.96	85,490.57	85,509.47
Vanguard FTSE Emerging Market	2,525.083	82,751.90	101,110.64	90,347.47
Vanguard FTSE European ETF	340.000	15,571.79	15,571.79	16,299.60
Vanguard Glbl Ex-Us Real Est	1,735.000	88,658.50	95,233.21	86,003.95
Vanguard Gr & Inc	3,094.085	122,789.80	106,878.56	128,157.00
Vanguard Gr Idx	493.647	27,051.94	15,533.49	28,295.85
Vanguard Gr Idx Adm	6,127.312	335,706.72	209,030.54	351,156.25
Vanguard Health Care	75.914	16,534.07	11,380.08	14,025.87
Vanguard Health Care Idx-Adm	15.370	1,032.78	1,032.78	973.69
Vanguard High Dividend Yield	519.000	35,723.11	35,723.11	39,324.63
Vanguard High Yield Corp Admiral	17,452.239	96,764.38	101,829.80	101,746.55
Vanguard Inflation-Protected Securities Invst	3,003.592	38,573.32	39,190.25	38,986.62
Vanguard Inflation Protected Ad	5,143.627	129,670.84	134,976.01	131,059.62
Vanguard Intermediate Term C	115.000	9,721.80	9,938.39	9,855.50
Vanguard Intl Explorer	1,196.905	20,270.02	22,872.33	19,545.46
Vanguard Intl Gr	734.568	15,498.55	14,934.05	15,565.50
Vanguard Intl Gr Adm	685.271	45,954.27	37,255.83	46,139.30
Vanguard Intl Value	4,958.297	153,755.68	149,113.89	157,425.93
Vanguard Inttm Investment Grade Fund	11,655.694	112,446.73	120,035.01	112,360.89
Vanguard Large Cap ETF	1,055.000	98,642.50	43,612.19	108,000.35
Vanguard Large Cap Index Ins	23,751.853	4,760,963.43	4,760,963.43	5,049,406.43
Vanguard Mid Cap Index Adm	10,029.745	1,544,602.53	1,528,565.33	1,634,246.65
Vanguard Mortgage Backed Fund	49.000	2,585.23	2,610.07	2,562.21
Vanguard Pacific Stock Index Fund Admrl 572	808.969	56,425.47	56,425.47	58,132.51
Vanguard Reit Index	1,249.178	33,132.92	26,979.88	34,214.99
Vanguard REIT Index Fund Adm	2,208.938	250,993.54	151,676.64	258,158.58
Vanguard Russell 1000 Growth	70.000	7,256.39	7,256.39	7,527.10
Vanguard Russell 3000	250.000	25,162.28	25,162.28	25,811.25
Vanguard S&P 500 ETF	595.000	121,352.79	121,352.79	122,159.45
Vanguard S&P Mid-Cap 400 Val	445.946	42,629.53	42,629.53	49,141.02
Vanguard S/C Growth Indx ADM	297.106	12,695.34	12,227.45	13,901.59
Vanguard S/T Bond Index Adm	70,861.886	740,022.74	744,004.34	739,089.47
Vanguard S/T Corp Bond Etf	784.426	63,079.27	63,088.71	62,259.89
Vanguard S/T Infl Prot-Adm	5,889.533	142,350.01	145,985.99	145,118.09
Vanguard Short-Tm Trs	1,926.525	20,518.80	20,502.87	20,498.23
Vanguard Short Term Bond ETF	268.000	21,412.99	21,427.51	21,292.60
Vanguard Short Term Invest Grade Admiral	37,956.009	401,580.03	402,787.34	403,472.38
Vanguard Sht-Tm Cp	1,949.102	20,587.84	20,962.17	20,718.95
Vanguard Small-Cap Value Index Fund Admrl	2,438.221	103,526.86	58,658.08	126,714.35
Vanguard Small Cap Index Adm	33,724.083	1,884,760.56	1,865,429.74	2,083,136.61
Vanguard Smcp Idx	463.376	24,606.62	16,437.16	28,613.47
Vanguard Smcp Vl Idx	850.782	20,199.36	16,455.03	24,672.68
Vanguard Specialized Div Growth Fd	2,151.035	48,302.27	35,789.22	50,398.75
Vanguard Target Retirement 2010	16,417.078	409,455.00	384,113.69	416,008.76
Vanguard Target Retirement 2020	8,152.929	231,042.96	231,042.96	230,401.77
Vanguard Target Retirement 2030	557.720	16,192.59	16,192.59	16,285.42
Vanguard Target Retirement 2040	5,902.301	173,541.03	173,541.03	178,308.51
Vanguard Target Retirement 2050	4,177.415	126,880.00	126,880.00	126,951.64
Vanguard Target Retirement 2055 Fund Inv	680.300	21,643.67	21,643.67	22,388.67
Vanguard Telecom Service	75.000	6,310.75	4,884.75	7,511.25
Vanguard Tgt Retire Income	755.484	9,764.91	9,764.91	9,677.75
Vanguard Tgt Retirement 2015	5,060.119	75,026.39	75,026.39	73,422.33
Vanguard Tgt Retirement 2025	4,802.410	78,526.42	78,526.42	78,519.40

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Vanguard Tgt Retirement 2035	10,292.074	180,937.63	180,937.63	182,581.39
Vanguard Tgt Retirement 2045	6,695.287	123,442.62	123,442.62	126,473.97
Vanguard Tot Stk	1,059.228	53,838.85	35,608.78	59,380.32
Vanguard Total Bd Idx Inv	1,994.076	21,229.38	20,875.91	21,236.91
Vanguard Total Bond Index Adm	64,272.126	688,536.83	691,032.90	684,498.14
Vanguard Total Bond Market	92.000	7,434.10	7,594.57	7,432.68
Vanguard Total Int St Idx-Ad	11,330.722	274,193.39	272,508.16	279,075.68
Vanguard Total Intl Bnd Adm	5,593.771	121,018.26	121,018.26	121,217.02
Vanguard Total Intl Stk	2,141.897	31,034.60	33,248.72	31,550.14
Vanguard Total Stock Market Adm	3,908.246	198,699.03	165,716.95	219,174.44
Vanguard Total Stock Market ETF	78.456	8,191.68	6,804.07	9,047.55
Vanguard US Gr (Liq TBA)	2,478.946	73,835.36	81,750.37	72,211.70
Vanguard Val Idx	782.672	24,946.21	18,838.23	28,364.03
Vanguard Value Index Adm	3,906.485	127,250.77	117,423.41	141,531.95
Virtus Newfleet Multi-Sector Short Term Bond Fund I	6,512.820	30,740.51	30,740.51	30,805.64
Wisdomtree Brazilian Real Fund	100.000	1,260.00	2,721.42	1,704.00
Wisdomtree Intl S/C Dvd Fund	565.000	32,826.50	17,598.48	33,911.30
TotalValue of Interest in Registered Investment Companies		**52,851,841.88**	**51,658,302.15**	**54,542,257.84**
Value of Funds Held in Insurance Co. General Account (Unallocated Contracts)				
ING Def Var Ann #C193354-Ow (Q)	1.000	90,655.75	77,700.00	88,759.92
New England Life Pol #0Z159058	1.000	97,700.22	76,000.00	100,424.96
Northwestern Mut #14856344	1.000	49,595.77	35,379.85	50,264.99
Northwestern Mut#13159845	1.000	52,601.75	27,285.02	51,839.20
Northwestern Mut #10861431	1.000	95,813.73	142,565.00	136,448.99
Northwestern Mut #12718355	1.000	35,743.86	77,546.00	39,395.38
Northwestern Mut #13570309	1.000	162,943.31	130,284.69	196,181.41
Northwestern Mut #15381574	1.000	58,247.68	146,510.38	58,677.57
Northwestern Mut #15635419	1.000	151,633.80	114,822.09	167,479.45
Pacific Life #1A22126900	1.000	59,574.14	49,625.00	57,993.08
Pacific Life #1A22140220	1.000	109,896.92	92,712.75	117,057.68
Penn Mutual Univ Life Pol#8001387	1.000	67,306.07	66,004.29	73,823.74
TotalValue of Funds Held in Insurance Co. General Account (Unallocated Contracts)		**1,031,713.00**	**1,036,435.07**	**1,138,346.37**
Value of Funds Held in Other General Investments				
Empire State Realty Trust In	51.000	921.57	944.52	1,029.69
Facebook Inc-A @118 12/30/16	6.000	2,054.63	2,054.63	6.00
Agnico Eagle Mines Ltd @42.5 1/20/17	8.000	1,992.18	1,992.18	1,260.00
Baxter International Inc @50 1/20/17	6.000	1,094.64	1,094.64	14.16
CSX Corp @36 1/20/17	10.000	907.73	907.73	1,180.00
Emerson Electric Co @57.5 1/20/17	8.000	1,336.18	1,336.18	344.00
Expedia Inc @120 1/20/17	8.000	1,736.18	1,736.18	421.36
Microsoft Corp @65 1/20/17	-2.000	-53.99	-53.99	-28.00
Newfield Exploration Co @47 1/20/17	6.000	1,244.63	1,244.63	56.40
Palo Alto Networks Inc @145 1/20/17	6.000	2,414.63	2,414.63	50.16
Range Resources Corp @36 1/20/17	6.000	1,544.63	1,544.63	1,402.44
Texas Instruments Inc @77.5 1/20/17	-1.000	-36.99	-36.99	-13.00
Valeant Pharmaceuticals Inte @15 1/20/17	8.000	1,904.18	1,904.18	688.96
Dollar Tree Inc @85 2/17/17	6.000	1,934.63	1,934.63	535.56
Priceline Group Inc/The @1525 2/17/17	1.000	6,790.76	6,790.76	2,779.34
New York NY Taxable-Ser A	10,000.000	10,469.20	10,469.20	10,211.30
Kansas St Dev Fin Auth Revenue Taxable-Kans St Univ-Ser U-1-Q	10,000.000	10,850.00	10,850.00	10,712.70
California St Univ Revenue Txbl-Ref-Ser B	20,000.000	20,762.60	20,762.60	20,089.20
TotalValue of Funds Held in Other General Investments		**67,867.39**	**67,890.34**	**50,740.27**
TOTAL GENERAL INVESTMENTS		**83,561,296.92**	**77,205,944.22**	**86,759,385.53**
TOTAL ASSETS		**83,641,760.15**	**77,286,407.45**	**86,839,848.76**
LIABILITIES				
Ameritrade Cash Account		1,441.97	1,441.97	1,441.97
CNB Cash		1,601.00	1,601.00	1,601.00
TOTAL LIABILITIES		**3,042.97**	**3,042.97**	**3,042.97**

Assets Held at End of Year

Procopio Cory Hargreaves & Savitch LLP 401(k) Profit Sharing Plan

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CONSOLIDATED

As of December 31, 2016

IDENTITY OF ISSUE, BORROWER, LESSOR OR SIMILAR PARTY	QUANTITY	REVALUED COST	ORIGINAL COST	CURRENT VALUE
TOTAL NET ASSETS		**83,638,717.18**	**77,283,364.48**	**86,836,805.79**