Form 5500	Annual Return/Report of Employee Benefit Plan		OMB Nos. 12	210-0110
Department of the Treasury Internal Revenue Service	This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).		2016	
Department of Labor Employee Benefits Security Administration	<ul> <li>Complete all entries in accordance with the instructions to the Form 5500.</li> </ul>			
Pension Benefit Guaranty Corporation			Form is Open to Pu Inspection	ublic
	entification Information			
For calendar plan year 2016 or fisca	I plan year beginning 03/01/2016 and ending 02/28/2	017		
A This return/report is for:	X a multiemployer plan       a multiple-employer plan (Filers checking participating employer information in acco			ns.)
	a single-employer plan a DFE (specify)			
<b>B</b> This return/report is:	the first return/report the final return/report			
·	an amended return/report a short plan year return/report (less than 1	a short plan year return/report (less than 12 months)		
<b>C</b> If the plan is a collectively-bargai	ned plan, check here.		•	
<b>D</b> Check box if filing under:	Form 5558 automatic extension	th	e DFVC program	
Ŭ Î	special extension (enter description)			
Part II Basic Plan Inform	ation—enter all requested information			
1a Name of plan ROYALS INC		1b	Three-digit plan number (PN) ▶	501
		1c	Effective date of pla 03/01/1993	an
City or town, state or province,	, if for a single-employer plan) apt., suite no. and street, or P.O. Box) country, and ZIP or foreign postal code (if foreign, see instructions)	2b	Employer Identifica Number (EIN) 59-0429260	ation
ROYALS INC JAMES M HERRING		2c	Plan Sponsor's tele number 561-996-6581	ephone
324 SW 16TH ST BELLE GLADE, FL 33430-2824	324 S.W. 16TH STREET BELLE GLADE, FL 33430	2d	Business code (see instructions) 442110	e

## Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	10/26/2017	LUANA HAMILTON	
HERE	Signature of plan administrator	Date	Enter name of individu	al signing as plan administrator
SIGN HERE				
	Signature of employer/plan sponsor	Date	Enter name of individu	al signing as employer or plan sponsor
SIGN HERE				
HERE	Signature of DFE	Date	Enter name of individua	al signing as DFE
Preparer	's name (including firm name, if applicable) and address (include r	oom or suite number	·)	Preparer's telephone number
LUANA	HAMILTON			561-439-1655
	ELISSA WAY ORTH, FL 33467			
				<b>E EEEEEEEEEEEEE</b>

	Plan administrator's name and address Same as Plan Sponsor	3b Adr	ninistrator's EIN 59-0429260
JA 32-	MES MHERRING 4 SW 16TH ST LLE GLADE, FL 33430-2824		ninistrator's telephone nber 561-996-6581
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	4b EIN	١
а	Sponsor's name	4c PN	
5	Total number of participants at the beginning of the plan year	5	120
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1), 6a(2), 6b, 6c, and 6d).		
a(	1) Total number of active participants at the beginning of the plan year	6a(1)	120
a(2	2) Total number of active participants at the end of the plan year	6a(2)	143
b	Retired or separated participants receiving benefits	6b	
С	Other retired or separated participants entitled to future benefits	6c	
d	Subtotal. Add lines 6a(2), 6b, and 6c	6d	143
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	6e	_
f	Total. Add lines 6d and 6e	6f	143
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g	
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6h	
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7	
8a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Code	es in the	instructions:

**b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions: 4A 4B 4Q

9a	Plan fu	nding	arrangement (check all that apply)	9b	Plan be	nefi	arrangement (check all that apply)
	(1)	X	Insurance		(1)	X	Insurance
	(2)		Code section 412(e)(3) insurance contracts		(2)		Code section 412(e)(3) insurance contracts
	(3)		Trust		(3)		Trust
	(4)		General assets of the sponsor		(4)		General assets of the sponsor
10	Check	all ap	plicable boxes in 10a and 10b to indicate which schedules are at	ttache	d, and, v	vher	e indicated, enter the number attached. (See instructions)
а	Pensio	n Sc	hedules	b	Genera	al So	hedules
	(1)		R (Retirement Plan Information)		(1)		H (Financial Information)
	(2)	Π	<b>MB</b> (Multiemployer Defined Benefit Plan and Certain Money		(2)	Γ	I (Financial Information – Small Plan)
			Purchase Plan Actuarial Information) - signed by the plan actuary		(3)	Х	A (Insurance Information)
			actually		(4)	X	C (Service Provider Information)
	(3)		SB (Single-Employer Defined Benefit Plan Actuarial		(5)		<b>D</b> (DFE/Participating Plan Information)
			Information) - signed by the plan actuary		(6)		G (Financial Transaction Schedules)

Receipt Confirmation Code\_

Page 3

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)
	plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR .101-2.)
lf "Ye	es" is checked, complete lines 11b and 11c.
<b>11b</b> Is the	e plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.)
Rece	r the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the ipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid ipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

SC	HEDULE	Α	Insurar	nce Information	n		~	AD No. 1010 0110
(F	Form 5500	)					ON	/IB No. 1210-0110
	rtment of the Treas rnal Revenue Serv			ed to be filed under section ncome Security Act of 19				2016
	epartment of Labo enefits Security Ad		File as an	attachment to Form 55	00.			
Pension B	enefit Guaranty Co	orporation		are required to provide the ERISA section 103(a)(2)		ion	This Fo	rm is Open to Public Inspection
For calenda	calendar plan year 2016 or fiscal plan year beginning 03/01/2016 and ending 02/28/2017				8/2017			
A Name of ROYALS IN						e-digit number (Pl	N) 🕨	501
C Plan spo ROYALS IN		as shown on line	e 2a of Form 5500			oyer Identific 0429260	ation Number	(EIN)
Part I			ning Insurance Contract					
1 Coverage	e Information:							
.,	f insurance ca LTH AND LIFE	rrier INSURANCE	COMPANY			Γ	Delieu er e	
(b)	EIN	(c) NAIC	(d) Contract or identification number	<ul> <li>(e) Approximate nu persons covered a</li> </ul>		(6)	Policy or contract year f) From (g) To	
		code		policy or contrac	ontract year		FIOIII	<b>(g)</b> To
59-1031071		67369	00161724	143	03/01/201		6	02/28/2017
	e fee and com ng order of the		ation. Enter the total fees and to	otal commissions paid. Li	ist in line 3	the agents,	brokers, and c	other persons in
	<b>(a)</b> Total a	amount of comr	missions paid		<b>(b)</b> To	otal amount	of fees paid	
3 Persons	receiving com	missions and fe	ees. (Complete as many entrie	s as needed to report all	persons).			
		()	nd address of the agent, broke	, ,		ions or fees	were paid	
BROWN & B	ROWN OF FL	ORIDA INC		US HWY 19N SUITE 56 RWATER, FL 32730	60			
<b>(b)</b> Amo	ount of sales ar	nd base	Fe	es and other commission	ns paid			
commissions paid			(c) Amount		(d) Purpose			(e) Organization code
		66846	1080 ll	NCENTIVE PAYMENTS				
		(a) Name a	nd address of the agent, broke	r, or other person to whor	m commiss	ions or fees	were paid	
			Fr	as and other commission	na naid			

(b) Amount of sales and base			
commissions paid	(c) Amount	(d) Purpose	(e) Organization code
For Paperwork Reduction Act Notice	edule A (Form 5500) 2016		

v. 160205

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## (a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

		Fees and other commissions paid	(e)
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

		Fees and other commissions paid	(e)
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

		Fees and other commissions paid	(e)
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

		Fees and other commissions paid	(e)
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

		Fees and other commissions paid	(e)
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	Organization code

Page 3

P	Part	II Investment and Annuity Contract Information Where individual contracts are provided, the entire group of such indivi	dual contracts with each carrier may	he treated as	a unit for purposes of			
		this report.						
4	Curr	urrent value of plan's interest under this contract in the general account at year end 4						
-	Curr	ent value of plan's interest under this contract in separate accounts at year er	nd	5				
6	Con	tracts With Allocated Funds:						
	а	State the basis of premium rates						
	b	Premiums paid to carrier		6b				
	C d	Premiums due but unpaid at the end of the year		6c				
	d	If the carrier, service, or other organization incurred any specific costs in cor retention of the contract or policy, enter amount	•	6d				
		Specify nature of costs						
	е	Type of contract: (1) individual policies (2) group deferred	d annuity					
	-	(3) ☐ other (specify) ►						
	4	If contract purchased in whole on in part to distribute here fits from a termin	eting along along book have					
7	f	If contract purchased, in whole or in part, to distribute benefits from a termin	<u> </u>					
1		tracts With Unallocated Funds (Do not include portions of these contracts mai						
	а		te participation guarantee					
		(3) guaranteed investment (4) other						
	b	Balance at the end of the previous year		7b				
	С	Additions: (1) Contributions deposited during the year	7c(1)					
		(2) Dividende and credite	7c(2)					
		(2) Dividends and credits	7c(2)					
		(3) Interest credited during the year	7c(3)					
		<ul><li>(3) Interest credited during the year</li></ul>	7c(3) 7c(4)					
		(3) Interest credited during the year	7c(3)					
		<ul><li>(3) Interest credited during the year</li></ul>	7c(3) 7c(4)					
		<ul><li>(3) Interest credited during the year</li></ul>	7c(3) 7c(4)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)	7c(6)				
	d	<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)	7c(6)				
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)	7c(6) 7d				
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)           7c(4)           7c(5)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)           7c(4)           7c(5)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)         7e(2)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)         7e(2)         7e(3)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)         7e(2)         7e(3)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)         7e(2)         7e(3)					
		<ul> <li>(3) Interest credited during the year</li></ul>	7c(3)         7c(4)         7c(5)         7c(1)         7e(2)         7e(3)         7e(4)					

Specify nature of costs.

Ρ	art		Welfare Benefit Contract Informa					
			If more than one contract covers the same of					
			the information may be combined for report employees, the entire group of such individu					
8	Ben		d contract type (check all applicable boxes)			······		
-	a		alth (other than dental or vision)	<b>b</b> Dental	сГ	Vision		<b>d</b> X Life insurance
	L		, ,			Supplemental unemp		
	е [ . г	_	nporary disability (accident and sickness)				Joyment	<b>h</b> Prescription drug
	1	Sto	p loss (large deductible)	j HMO contract	ĸ	PPO contract		I Indemnity contract
	m	X Oth	ner (specify) ACCIDENTAL DEATH AND	DISMEMBERMENT				
9			e-rated contracts:					4
	a		ums: (1) Amount received					4
		• •	crease (decrease) in amount due but unpaid					4
		• •	crease (decrease) in unearned premium res					
			arned ((1) + (2) - (3))				. 9a(4)	
	b		fit charges (1) Claims paid					_
			crease (decrease) in claim reserves				<b>a</b> t ( <b>a</b> )	
			curred claims (add <b>(1)</b> and <b>(2)</b> )				9b(3)	
	-	· /	aims charged				9b(4)	
	С		ainder of premium: (1) Retention charges (or	,				4
		``	A) Commissions					_
		``	B) Administrative service or other fees					_
		`	C) Other specific acquisition costs					-
			D) Other expenses		0 = (4)(E)			-
		`	E) Taxes					-
			F) Charges for risks or other contingencies		0 (1)(0)			-
		•	G) Other retention charges				9c(1)(H)	
		`	H) Total retention					
			ividends or retroactive rate refunds. (These				9c(2)	
	d		us of policyholder reserves at end of year: (1)				9d(1)	
		• •	laim reserves				9d(2)	
	_	``	ther reserves				9d(3)	
10			ends or retroactive rate refunds due. (Do no	ot include amount entere	ea in line <b>9C(2)</b>	.)	9e	
10			erience-rated contracts:	arrier			10a	18866
	-						iva	10000
	b		carrier, service, or other organization incurr tion of the contract or policy, other than repo				10b	
					.,			

Pa	art IV	Provision of Information			
11	Did the	nsurance company fail to provide any information necessary to complete Schedule A?	Yes	× No	
12	If the an	swer to line 11 is "Yes," specify the information not provided.			

SCHEDULE C Service Provider Information			OMB No. 1210-0110	
(Form 5500)				
Department of the Treasury Internal Revenue Service	This schedule is required to be filed und Retirement Income Security	2016		
Department of Labor Employee Benefits Security Administration	File as an attachmer	nt to Form 5500.	This Form is Open to Public Inspection.	
Pension Benefit Guaranty Corporation For calendar plan year 2016 or fiscal pla	an year beginning 03/01/2016	and ending 02/2	8/2017	
A Name of plan		<b>0</b>	8/2017	
ROYALS INC		B Three-digit plan number (PN)	501	
Plan sponsor's name as shown on li ROYALS INC	ne 2a of Form 5500	D Employer Identification	on Number (EIN)	
Part I Service Provider Inf	ormation (see instructions)			
or more in total compensation (i.e., n plan during the plan year. If a person	rdance with the instructions, to report the info noney or anything else of monetary value) in n received <b>only</b> eligible indirect compensation include that person when completing the rem	connection with services rendered to n for which the plan received the requ	the plan or the person's position with the	
a Check "Yes" or "No" to indicate wheth	ceiving Only Eligible Indirect Com her you are excluding a person from the remainden received the required disclosures (see in	ainder of this Part because they receiv		
<ul> <li>a Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>b If you answered line 1a "Yes," enter</li> </ul>		ainder of this Part because they recein structions for definitions and condition on providing the required disclosures f	ns)Yes 🛛 No	
<ul> <li>a Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>b If you answered line 1a "Yes," enter received only eligible indirect compensation</li> </ul>	her you are excluding a person from the remain blan received the required disclosures (see in the name and EIN or address of each perso	ainder of this Part because they receiv structions for definitions and condition on providing the required disclosures f ed (see instructions).	ns) Yes X No	
<ul> <li>a Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>b If you answered line 1a "Yes," enter received only eligible indirect compensation</li> </ul>	her you are excluding a person from the remain blan received the required disclosures (see in the name and EIN or address of each person insation. Complete as many entries as neede	ainder of this Part because they receiv structions for definitions and condition on providing the required disclosures f ed (see instructions).	ns) Yes No	
<ul> <li>a Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>b If you answered line 1a "Yes," enter received only eligible indirect compendence of the period.</li> <li>(b) Enter na</li> </ul>	her you are excluding a person from the remain blan received the required disclosures (see in the name and EIN or address of each person insation. Complete as many entries as neede	ainder of this Part because they receiv structions for definitions and condition on providing the required disclosures f ed (see instructions). led you disclosures on eligible indirec	ns) Yes No	
<ul> <li>a Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>o If you answered line 1a "Yes," enter received only eligible indirect compendence of the provide the second second</li></ul>	her you are excluding a person from the remain olan received the required disclosures (see in the name and EIN or address of each person insation. Complete as many entries as needed me and EIN or address of person who provid	ainder of this Part because they receiv structions for definitions and condition on providing the required disclosures f ed (see instructions). led you disclosures on eligible indirec	ns) Yes No	
<ul> <li>Check "Yes" or "No" to indicate wheth indirect compensation for which the p</li> <li>If you answered line 1a "Yes," enter received only eligible indirect compendence of the point of t</li></ul>	her you are excluding a person from the remain olan received the required disclosures (see in r the name and EIN or address of each person insation. Complete as many entries as needed me and EIN or address of person who provid me and EIN or address of person who provid	ainder of this Part because they receivestructions for definitions and condition on providing the required disclosures f ed (see instructions).	ns)	
a Check "Yes" or "No" to indicate wheth indirect compensation for which the p b If you answered line 1a "Yes," enter received only eligible indirect comper (b) Enter na (b) Enter na	her you are excluding a person from the remain olan received the required disclosures (see in the name and EIN or address of each person insation. Complete as many entries as needed me and EIN or address of person who provid	ainder of this Part because they receivestructions for definitions and condition on providing the required disclosures f ed (see instructions).	ns)	

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

## CIGNA HEALTH AND LIFE INS CO

## 59-1031071

(b)	(C)	(d)	(e)	(f)	(g)	(h)
Service Code(s)	Relationship to employer, employee	Enter direct compensation paid by the plan. If none,	Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect	Did the service provider give you a formula instead of an amount or estimated amount?
12 19		13630	Yes 🗌 No 🔀	Yes 🗌 No 🛛		Yes 🗌 No 🗙
	(a) Enter name and EIN or address (see instructions)					

		-					
(b)	(C)	(d)	(e)	(f)	(g)	(h)	
Service	Relationship to	Enter direct	Did service provider	Did indirect compensation	Enter total indirect	Did the service	
Code(s)	employer, employee	compensation paid	receive indirect	include eligible indirect	compensation received by	provider give you a	
			compensation? (sources	compensation, for which the	service provider excluding	formula instead of	
	person known to be	enter -0	other than plan or plan	plan received the required	eligible indirect	an amount or	
	a party-in-interest		sponsor)	disclosures?	compensation for which you	estimated amount?	
					answered "Yes" to element		
					(f). If none, enter -0		
			Yes 🗌 No 🗍	Yes No		Yes No No	
	(a) Enter name and EIN or address (see instructions)						
		(	a) Enter name and EIN or	address (see instructions)			

(b)	(c)	(d)	(e)	(f)	(g)	(h)
Service Code(s)	Relationship to employer, employee organization, or person known to be a party-in-interest	by the plan. If none,	Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	
			Yes 🗌 No 🗍	Yes 🗌 No 🗌		Yes 🗌 No 🗍

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

<b>(b)</b> Service Code(s)	(C) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	<b>(e)</b> Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?	
			Yes No	Yes No		Yes 🗌 No 🗌	
		(	a) Enter name and EIN or	address (see instructions)			
(b) Service Code(s)	(C) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?	
			Yes No	Yes 🗌 No 🗌		Yes 🗌 No 🗌	
		(	a) Enter name and EIN or	address (see instructions)			
<b>(b)</b> Service Code(s)	(C) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?	
			Yes 🗌 No 🗌	Yes 🗌 No 🗌		Yes 🗌 No 🗌	

Part I	Service Provider Information (continued)		
or provid question provider	ported on line 2 receipt of indirect compensation, other than eligible indirect comp les contract administrator, consulting, custodial, investment advisory, investment is s for (a) each source from whom the service provider received \$1,000 or more in gave you a formula used to determine the indirect compensation instead of an an tries as needed to report the required information for each source.	management, broker, or recordkeeping indirect compensation and (b) each so	g services, answer the following ource for whom the service
	(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(C) Enter amount of indirect compensation
	(d) Enter name and EIN (address) of source of indirect compensation	formula used to determine	L compensation, including any the service provider's eligibility the indirect compensation.
	(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(C) Enter amount of indirect compensation
	(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect	compensation, including any
		formula used to determine	the service provider's eligibility the indirect compensation.
	(a) Enter service provider name as it appears on line 2	(b) Service Codes	(C) Enter amount of indirect
		(see instructions)	compensation
	(d) Enter name and EIN (address) of source of indirect compensation		compensation, including any
			the indirect compensation.

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Ρ	art II Service Providers Who Fail or Refuse to I	Provide Infori	nation			
4	4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.					
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
_	(a) Enter name and EIN or address of service provider (see	(b) Nature of	(C) Describe the information that the service provider failed or refused to			
	instructions)	Service Code(s)	provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
_	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
_	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			

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Part III Termination Information on Accountants and Enrolled Actuaries (see (complete as many entries as needed)	instructions)
a Name:	<b>b</b> EIN:
C Position:	
d Address:	e Telephone:
Explanation:	

а	Name:	<b>b</b> EIN:
С	Position:	
d	Address:	e Telephone:

Explanation:

а	Name:	<b>b</b> EIN:
С	Position:	
d	Address:	e Telephone:

Explanation:

а	Name:	b EIN:	
С	Position:		
d	Address:	e Telephone:	

Explanation:

а	Name:	b EIN:
С	Position:	
d	Address:	e Telephone:

Explanation: