Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01/	2016	and ending 1	2/31/2016				
Δ This rat	urn/report is for:	a single-employer plan	his box must attach a ne form instructions.)						
A IIIIs iei	um/report is ior.	a one-participant plan	a foreign plan	mployer information in a	ocordance with the	ic form instructions.			
B This retu	ırn/report is	the first return/report	the final return/report						
		X an amended return/report	nonths)						
C Check b	oox if filing under:	X Form 5558	automatic extension		DFVC progra	am			
		special extension (enter desc	ription)						
Part II	Basic Plan Inf	ormation—enter all requested in	nformation						
1a Name of plan KENTUCKIANA HEALTHCARE, LLC EMPLOYEES SAVINGS TRUST					1b Three-dig plan numl (PN) ▶				
					1c Effective date of plan 01/01/2002				
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)					2b Employer Identification Number (EIN) 61-1375925				
	town, state or provin NA HEALTHCARE, I	nce, country, and ZIP or foreign pos LLC	tal code (if foreign, see ins	structions)	2c Sponsor's telephone number 502-254-4949				
350 N EVERGREEN ROAD LOUISVILLE, KY 40243					2d Business code (see instructions) 623000				
3a Plan ad	dministrator's name a	and address 🛚 Same as Plan Spo	insor.		3b Administra 3c Administra	ator's EIN ator's telephone number			
		he plan sponsor has changed since umber from the last return/report.	the last return/report filed	for this plan, enter the	4b EIN				
a Sponso	•				4c PN				
5a Total number of participants at the beginning of the plan year					5a	37			
b Total r	number of participant	s at the end of the plan year			5b	33			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c					
d(1) Total number of active participants at the beginning of the plan year				5d(1)					
d(2) Total number of active participants at the end of the plan year				5d(2)					
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	C				
		or incomplete filing of this return							
SB or Sche		other penalties set forth in the instruand signed by an enrolled actuary, nplete.							
SIGN	Filed with authorized	d/valid electronic signature.	11/07/2017	MICHAEL WHEATLE	Υ				
HERE	Signature of plan	administrator	Date	Enter name of individ	an administrator				
SIGN									
HERE		loyer/plan sponsor	Date		dual signing as er	nployer or plan sponsor			
Preparer's	name (including firm	name, if applicable) and address (i	nclude room or suite numb	per)	Preparer's tele	phone number			

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Ye	s No	
	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					Yes No			s No	
	If the plan is a defined benefit plan, is it covered under the PBGC in						-	No	□ Not de	termined
Par			rogram (666 Errier roc		021).		1 .00	Пис		
_	Plan Assets and Liabilities		(a) Beginning	of Voor	Year (b) End of Year					
	Total plan assets	7a	(a) Degiiiiiiig	32692				(b) Liiu	2659	90
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c		32692					2659	00
	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt		(b) Total				
	Contributions received or receivable from:		(4)					(/		
	(1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)		0.50						
b	Other income (loss)	8b		853						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							85	53
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		873						
	Certain deemed and/or corrective distributions (see instructions).	8e			_					
	Administrative service providers (salaries, fees, commissions)	8f								
	Other expenses			6082						
_ <u>.</u>	g Other expenses 8g h Total expenses (add lines 8d, 8e, 8f, and 8g) 8h								695	<u> </u>
	Net income (loss) (subtract line 8h from line 8c)	8i		-6102)2	
	Transfers to (from) the plan (see instructions)	1								
	Part IV Plan Characteristics									
	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in	the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instr	uctions:	
Part	V Compliance Questions									
10					Yes	No	N/A		A	
a	During the plan year: Was there a failure to transmit to the plan any participant contributions.	ıtions withi	n the time period		162	NO	IN/A		Amoun	•
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	C Was the plan covered by a fidelity bond?			10c	X					100000
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					Χ				
е						X				
f	f Has the plan failed to provide any benefit when due under the plan?					Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)				X					1968
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					Χ				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						

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Part	VI	Pension Funding Compliance								
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)							Yes	No	
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40					11a					
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co				2 of Yes X No				
	(lf "	SA? Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							•	
а		vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ting the waiver		ns, and	d enter t Day		of the le	_		
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.							
b	Enter	the minimum required contribution for this plan year			12b					
С	Enter	the amount contributed by the employer to the plan for this plan year			12c					
	Subt	ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the lative amount)	eft of a		12d					
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	4	
Part	VII	Plan Terminations and Transfers of Assets								
13a	Has	a resolution to terminate the plan been adopted in any plan year?				X Yes	3	No		
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				0	
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or broug rol of the PBGC?					X Yes	No		
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi h assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)) to					
1	3c(1)	Name of plan(s):	•	13c(2)	EIN(s)		130	(3) PN(s	s)	
Part	VIII	Trust Information		1	1					
14a Name of trust				14b ⁻	Trust's EIN					
14c Name of trustee or custodian						d Trustee's or custodian's telephone number				
Part	: IX	IRS Compliance Questions		u						
15a Is the plan a 401(k) plan? If "No," skip b				Yes			☐ No			
401(k)(3) for the plan year? Check all that apply: "safe" "Curi				n-based narbor	test '					
			"Curre	ent year test	ar" 📗 N/A					
					entage	Average N/A benefit test				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?							No			
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/										
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/										
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				from	Ye	s [No			
19 Was any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?					Ye	s	No			