Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2016

This Form is Open to Public Inspection

Part I		t Identification Information							
For calenda	ar plan year 2016 or	fiscal plan year beginning 01/01/	2017 	and ending 0	8/23/2017				
	a single-employer plan a multiple-employer plan (not multiemploye					box must attach a			
A This return/report is for:				mployer information in a	ployer information in accordance with the form instructions.)				
		a one-participant plan	a foreign plan						
5		the first return/report	X the final return/report						
B This retu	urn/report is								
		ırn/report (less than 12 n	' months)						
C Check b	box if filing under:	Form 5558	automatic extension DFVC program						
		special extension (enter desc	—						
Part II	Pacia Blan Inf	formation—enter all requested in	. ,						
_		enter all requested in	liornation		1b Three-digit				
1a Name of plan AARON R POLINSKY DEFINED BENEFIT PLAN					plan number				
				(PN) •	001				
					1c Effective date of plan				
-					01	1/01/2005			
		loyer, if for a single-employer plan)			2b Employer Identification Number				
		oom, apt., suite no. and street, or P.once, country, and ZIP or foreign pos		structions)	(2)	3-3128070			
	OLINSKY DDS	,,, a = cg pec	.a. 0000 (1010.g, 000	,	2c Sponsor's te	elephone number 684-2244			
300 MARTIN	FAVENUE					de (see instructions)			
	NS, NY 10601				02	21111			
3a Plan a	dministrator's name	and address 🛛 Same as Plan Spo	nsor.		3b Administrator's EIN				
					3C Administrato	r's telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				for this plan, enter the	4b EIN				
a Sponso	•	amber from the last return/report.			4c PN				
		ts at the beginning of the plan year			5a				
					5b				
		· · ·		b Total number of participants at the end of the plan year					
		C Number of participants with account balances as of the end of the plan year (only defined contribution plans							
	complete this item) d(1) Total number of active participants at the beginning of the plan year			•	5c	0			
4/2) Tate		participants at the beginning of the p	lan year		5d(1)	2			
	al number of active p	participants at the beginning of the participants at the end of the plan ye	olan yearear		5d(1) 5d(2)	2			
e Numb	al number of active poer of participants that	participants at the beginning of the p	earear with accrued b	enefits that were less	5d(1)	2			
e Numb	al number of active poer of participants the 100% vested	participants at the beginning of the potenticipants at the end of the plan year terminated employment during the second or incomplete filing of this return	earee plan year with accrued b	enefits that were less	5d(1) 5d(2) 5e use is established	2 0			
e Numb than 2 Caution: A Under pena	al number of active poer of participants the 100% vested	participants at the beginning of the potenticipants at the end of the plan year terminated employment during the continuous process of this return the result of the penalties set forth in the instru	eare plan year with accrued b	enefits that were less d unless reasonable ca	5d(1) 5d(2) 5e use is established eport, including, if ap	2 0 0 oplicable, a Schedule			
Caution: A Under pena SB or Sche	al number of active poer of participants that 100% vested	participants at the beginning of the participants at the end of the plan year terminated employment during the construction of this return other penalties set forth in the instruand signed by an enrolled actuary,	eare plan year with accrued b	enefits that were less d unless reasonable ca	5d(1) 5d(2) 5e use is established eport, including, if ap	2 0 0 oplicable, a Schedule			
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	a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								
С	C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes ☒ No ☐ Not determined								
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (of Year			((b) End of Year	
<u>a</u>	Total plan assets	7a	1:	566257				0	
b	Total plan liabilities	7b		0				0	
С	Net plan assets (subtract line 7b from line 7a)	et plan assets (subtract line 7b from line 7a)					0		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt				(b) Total	
а	Contributions received or receivable from: (1) Employers	8a(1)							
	(2) Participants	8a(2)							
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b		70513					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				70513			
d	Benefits paid (including direct rollovers and insurance premiums		44	1005775					
	to provide benefits)	8d	10	636770					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e			\dashv				
	Administrative service providers (salaries, fees, commissions)	8f							
<u>g</u>	Other expenses (odd lines 2d, 2s, 2f, and 2s)	8g						1636770	
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				-1566257			
÷	Net income (loss) (subtract line 8h from line 8c)	8i					.33325.		
Day	, , , , , , , , , , , , , , , , , , , ,	8j							
9a	rt IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	feature co	odes from the List of Pla	an Cha	ractori	etic Co	ndes in	the instructions:	
Ja	1A 3D	icature ce	des nom the List of the	an Ona	iactori	stic Oc	/dc3 iii	the mandellons.	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in t	he instructions:	
_									
Par									
10	During the plan year:	et 201-1			Yes	No	N/A	Amount	
а	Was there a failure to transmit to the plan any participant contribudescribed in 29 CFR 2510.3-102? (See instructions and DOL's V					X			
	Program)			10a		^			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c		X			
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	•		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som	ner person ne or all of	s by an insurance the benefits under	10e		X			
f	,	the plan? (See instructions.)				X			
g				10f 10q		Χ			
h	If this is an individual account plan, was there a blackout period?	(See instru	uctions and 29 CFR	10h		X			
i	2520.101-3.)	he require	d notice or one of the	10n 10i					
	5.00p.10.10 to providing the freshot applied dilder 20 of 17 2020.10			. 01	ı				

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and c n 5500) and line 11a below)						Yes X No
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a		_	
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								Yes X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver.	/lonth _	s, and	d enter t Day		of the lett Year	er ruling
If	you co	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		1		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the live amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				X Yes	S [] I	No
	If "Y€	es," enter the amount of any plan assets that reverted to the employer this year			13a			0
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the			X Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)	to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)
-								
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custo ne numbe	
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	- ILI ,		n-based arbor	d [] "Prior y test	ear" ADP
				"Curre	ent year est	<u>"</u>	N/A	
16a 		testing method was used to satisfy the coverage requirements under section 410(b) for the plan Check all that apply:		Ratio perce test	entage		verage enefit test	□ N/A
	for the	ne plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) are plan year by combining this plan with any other plan under the permissive aggregation rules?		Yes			No	
	the le							
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, e	nter the	date	of the m	nost rece	ent determ	nination
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No	
19	Wasa	any plan participant a 5% owner who had attained at least age 70 ½ during the prior plan year?			Ye	s	No	