Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Parti Annuai F	Report identification information							
For calendar plan year 2	016 or fiscal plan year beginning 10/01	/2016	and ending 0	9/30/2017				
A This return/report is f	This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attain the form instruction in accordance with the form instruction in the form in the for							
T T T T T T T T T T T T T T T T T T T	a one-participant plan	a foreign plan						
B This return/report is	the first return/report	the final return/report						
	an amended return/report	a short plan year return/report (less than 12 months)						
C Check box if filing un		automatic extension		DFVC pro	gram			
	special extension (enter des	· ′						
	an Information—enter all requested i	nformation		1				
1a Name of plan STO INDUSTRIES, INC. 4	401K PROFIT SHARING PLAN			1b Three-oplan nu (PN)	ımber			
				1c Effectiv	/e date of plan 10/01/2004			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) STO INDUSTRIES, INC.			ruotiono)	2b Employer Identification Number (EIN) 91-1368925				
			idelions)	2c Sponsor's telephone number 425-806-7337				
40000 004 0T WAYANE				2d Busines	ss code (see instructions)			
10600-231ST WAY NE REDMOND, WA 98053-20	142				423300			
3a Plan administrator's	name and address X Same as Plan Spe	onsor.		3b Adminis	strator's EIN			
				3c Adminis	strator's telephone number			
	EIN of the plan sponsor has changed since plan number from the last return/report.	e the last return/report filed f	for this plan, enter the	4b EIN				
a Sponsor's name				4c PN				
5a Total number of par	ticipants at the beginning of the plan year			5a				
·	ticipants at the end of the plan year			5b				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)		5c						
d(1) Total number of a	active participants at the beginning of the p	plan year		5d(1)				
• •	active participants at the end of the plan y			5d(2)				
than 100% vested.	ants that terminated employment during the			5e				
	the late or incomplete filing of this retu							
	ry and other penalties set forth in the instr pleted and signed by an enrolled actuary, and complete.							
	thorized/valid electronic signature.	12/15/2017	JACOB DAVIS					
HERE	of plan administrator	Date	Enter name of individ	dual signing as	plan administrator			
SIGN								
HERE Signature of	of employer/plan sponsor	Date	Enter name of individ	dual signing as	employer or plan sponsor			
	ing firm name, if applicable) and address (elephone number			

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)	·		
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500 C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes Part III Financial Information 7 Plan Assets and Liabilities a Total plan assets	(b) End of Year 527781		
Part III Financial Information 7 Plan Assets and Liabilities (a) Beginning of Year a Total plan assets 7a 445307 b Total plan liabilities 7b from line 7a) 7c 445307 C Net plan assets (subtract line 7b from line 7a) 7c 445307 8 Income, Expenses, and Transfers for this Plan Year (a) Amount a Contributions received or receivable from: (1) Employers 8a(1) 15392	(b) End of Year 527781		
7 Plan Assets and Liabilities (a) Beginning of Year a Total plan assets 7a 445307 b Total plan liabilities 7b c Net plan assets (subtract line 7b from line 7a) 7c 445307 8 Income, Expenses, and Transfers for this Plan Year (a) Amount a Contributions received or receivable from: (1) Employers 8a(1) 18873 (2) Participants 8a(2) 15392	527781 527781		
a Total plan assets 7a 445307 b Total plan liabilities 7b from line 7a) 7c 445307 C Net plan assets (subtract line 7b from line 7a) 7c 445307 8 Income, Expenses, and Transfers for this Plan Year (a) Amount a Contributions received or receivable from: (1) Employers 8a(1) 18873 (2) Participants 8a(2) 15392	527781 527781		
b Total plan liabilities	527781		
C Net plan assets (subtract line 7b from line 7a)			
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers 8a(1) (2) Participants 8a(2) (3) Amount 18873			
a Contributions received or receivable from: 8a(1) (1) Employers 8a(1) (2) Participants 8a(2)	(b) Total		
(1) Employers 8a(1) 18873 (2) Participants 8a(2) 15392			
(2) Participants			
(Z) Tarticipants Ou(Z)			
(3) Others (including followers)			
b Other income (loss)			
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	83173		
d Benefits paid (including direct rollovers and insurance premiums			
to provide benefits)			
e Certain deemed and/or corrective distributions (see instructions).			
f Administrative service providers (salaries, fees, commissions) 8f			
g Other expenses			
h Total expenses (add lines 8d, 8e, 8f, and 8g)	699		
i Net income (loss) (subtract line 8h from line 8c)	82474		
j Transfers to (from) the plan (see instructions)			
Part IV Plan Characteristics			
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in 2E 2F 2G 2J 3D	n the instructions:		
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in	the instructions:		
Part V Compliance Questions			
10 During the plan year: Yes No N/A	Amount		
Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			
C Was the plan covered by a fidelity bond?	50000		
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			
f Has the plan failed to provide any benefit when due under the plan?			
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			

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Part	VI	Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?					f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling
	gran	ting the waiver	onth _	15, and	_ Day		Year _	
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406			
<u> </u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part '	VII	Plan Terminations and Transfers of Assets						
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to			
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)
Part	VIII	Trust Information						
14a Name of trust				14b Trust's EIN				
14c Name of trustee or custodian			14d Trustee's or custodian's telephone number					
Part	: IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No	
150 How did the plan esticty the pendicerimination requirements for employee deterrals under section 11.1		Desig safe h	n-based narbor	^t [errior ye test	ar" ADP		
□ "Cui			"Curre	rent year" N/A test				
				entage	tage Average N/A benefit test N/A			
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?			Yes	No No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/								
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?			Ye	Yes No				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No	