Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

P	art I	Annual Report	Identification Information								
For	calenda	ar plan year 2016 or fi	scal plan year beginning 03/28/2	016	and ending 0	3/27/2017					
Α	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.) a one-participant plan a foreign plan										
В	This retu	urn/report is	the first return/report an amended return/report	the final return/report	return/report lan year return/report (less than 12 months)						
С	Check t	oox if filing under:	X Form 5558 ☐ special extension (enter descr	automatic extension iption)		DFVC program	m				
Pa	art II	Basic Plan Info	ormation—enter all requested inf	ormation							
	Name OFFICE	of plan E SUPPLY, INC. PRO	FIT-SHARING PLAN			1b Three-digir plan numb (PN) ▶	oer 002				
						1c Effective d	late of plan 03/28/1981				
2a	Mailing	address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.O		(marking a)	2b Employer Identification Number (EIN) 11-2390599					
3&S (E SUPPLY, INC.	e, country, and ZIP or foreign posta	al code (if foreign, see ins	tructions)	2c Sponsor's telephone number 973-699-8739					
I PENN PLAZA SUITE 6239 NEW YORK, NY 10119						2d Business code (see instructions) 453210					
3a Plan administrator's name and address Same as Plan Sponsor. 3&S OFFICE SUPPLY, INC. 1 PENN PLAZA SUITE 6239 NEW YORK, NY 10119			3b Administrator's EIN 11-2390599 3c Administrator's telephone number 973-699-8739								
4			e plan sponsor has changed since to mber from the last return/report.	the last return/report filed	for this plan, enter the	4b EIN					
а	Sponso	or's name				4c PN					
5a	Total r	number of participants	at the beginning of the plan year			5a		(
b Total number of participants at the end of the plan year				5b							
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c							
d(1) Total number of active participants at the beginning of the plan year					5d(1)						
d(2) Total number of active participants at the end of the plan year				5d(2)							
	Pumber of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested										
								ıla			
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIG	NI.	Filed with authorized/	valid electronic signature.	01/15/2018	ANN GORDON						

Date

Date

Signature of plan administrator

Signature of employer/plan sponsor

Preparer's name (including firm name, if applicable) and address (include room or suite number)

HERE

SIGN HERE Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

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b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (ICPA) under 20 FF 250:104-46 75 (see instructions on waiver eligibility and conditions.) If you answered "No" to other line 6 as or line 6b, the plan cannot use Form 5500-5F and must instead use Form 5500. If you answered "No" to other line 6 as or line 6b, the plan cannot use Form 5500-5F and must instead use Form 5500. Of If the plan is a defined benefit plan, is I covered under the PSGC insurance program (see ERISA acction 4021)?	6a	Were all of the plan's assets during the plan year invested in eligib	le assets?	' (See instructions.)						X Ye	s No
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a define benefit plan, is it covered under the PBSC insurance program (see ERISA section 4021)?	b									X Ye	s No
Part III Financial Information (a) Beginning of Year (b) End of Year (b) End of Year (c) End of Year (d) End		Grade 20 of 12 2020 for 10. (200 motivations on market originality and containents)									
7 Plan Assets and Liabilities 7 Read	С	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	No	Not de	termined
a Total plan labilities	Pa	rt III Financial Information									
D Total plan liabilities	7	Plan Assets and Liabilities		(a) Beginning	of Year			((b) End	of Year	
C Net plan assats (subtract line 7b from line 7a)	<u>a</u>	Total plan assets	7a	4	540001					494405	56
8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollovers). 8a(1) 6 Dither income (including rollovers). 8a(2) (3) Others (including rollovers). 8a(3) 6 Dither income (including rollovers). 8a(4) 6 Dither income (including rollovers). 8a(2) 7 Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) 8c 8c 8c 451902 8d 9 8c 451902 8d 9 8c 451902 8d 9 8d 0 10 10 10 10 10 10 10 10 10	b	Total plan liabilities	7b								
a Contributions received or receivable from: (1) Employers (2) Participants	C	Net plan assets (subtract line 7b from line 7a)	7c	4		4944056					
(1) Employers 8a(1) 0 (2) Participants 8a(2) (3) Others (including rollovers). 8a(2) (5) Others (including rollovers). 8a(2) (6) Others (including rollovers). 8a(3) (7) Others (including rollovers). 8a(4) (8) Other income (loss). 8b 451902 (8) Other income (loss). 8b 451902 (9) Other income (loss) (lines 8a(1), 8a(2), 8a(3), and 8b). 8c 451902 (9) Other expansion (lines 8a(1), 8a(2), 8a(3), and 8b). 8c 451902 (9) Other expansion (lines 8a(1), 8a(2), 8a(3), and 8b). 8d 0 (9) Other expenses (lines 8a(4), 8a(3), and 8a(4), 8a(4), 8a(4), and 8a(4				(a) Amour	nt	_	(b) Total				
(2) Participants	а		92/1)		0						
(3) Others (including rollovers)											
b Other income (loss)											
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	b	· · · · · · · · · · · · · · · · · · ·	1		451902						
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)		· /								45190)2
e Certain deemed and/or corrective distributions (see instructions). 8											
f Administrative service providers (salaries, fees, commissions)		to provide benefits)	8d		0						
g Other expenses	<u>e</u>	Certain deemed and/or corrective distributions (see instructions).	8e		470.47						
h Total expenses (add lines 8d, 8e, 8f, and 8g)	f_	Administrative service providers (salaries, fees, commissions)	8f		47847						
Net income (loss) (subtract line 8 from line 8c)	<u>g</u>	Other expenses	8g			_					
Transfers to (from the plan (see instructions)	<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	<u> </u>	, , ,	8i							40405	5
9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:	j Transfers to (from) the plan (see instructions)										
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions											
Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	9a 		feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the inst	ructions:	
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) 10 If 10h was answered "Yes," check the box if you either provided the required notice or one of the	b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:	
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	Par	t V Compliance Questions									
described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program). b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10	During the plan year:				Yes	No	N/A		Amoun	t
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	а	described in 29 CFR 2510.3-102? (See instructions and DOL's \	oluntary F	Fiduciary Correction	10a		X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	b	Were there any nonexempt transactions with any party-in-interest	t? (Do not	include transactions			X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	С	C Was the plan covered by a fidelity bond?			10c	X					500000
carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)	d				10d		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)	е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under					X				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	f Has the plan failed to provide any benefit when due under the plan?					X				
2520.101-3.)	g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X				
	_ h						X				
	i				10i						

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Part	VI	Pension Funding Compliance							
11		Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)						es No	
11a	Ente	er the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?						f 		es X No	
		Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) vaiver of the minimum funding standard for a prior year is being amortized in this plan year, see inst	ruotior	20.000	d ontor t	ho data	of the letter	ruling	
	gran	ting the waiver	onth _	15, and	_ Day		Year _		
		ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1			406				
<u> </u>	Enter	the minimum required contribution for this plan year			12b				
С	Enter	the amount contributed by the employer to the plan for this plan year			12c				
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the leastive amount)			12d			_	
<u>e</u>	Will	the minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A	
Part '	VII	Plan Terminations and Transfers of Assets							
13a	Has	a resolution to terminate the plan been adopted in any plan year?				Yes	s X No)	
	If "Y	es," enter the amount of any plan assets that reverted to the employer this year			13a				
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brouging of the PBGC?					Yes X	No	
С		uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identifich assets or liabilities were transferred. (See instructions.)	y the p	plan(s)) to				
1	3c(1)	Name of plan(s):		13c(2)	EIN(s)		13c(3)	PN(s)	
Part	VIII	Trust Information							
14a	Name	of trust			14b ⁻	l b Trust's EIN			
14c	Name	e of trustee or custodian				4d Trustee's or custodian's telephone number			
Part	: IX	IRS Compliance Questions							
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes		[No		
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section)(3) for the plan year? Check all that apply:		Desig safe h				ar" ADP	
				"Curre	rent year" N/A test				
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				entage	age Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number									
17b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter/									
18 Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?				Ye	′es				
19	Was	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}_{2}$ during the prior plan year?			Ye	s [No		