Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A This return/report is for:
- [ ] a multiemployer plan
- [ ] a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- [X] a single-employer plan
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report
- [ ] the final return/report
- [ ] an amended return/report
- [ ] a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558
- [ ] automatic extension
- [ ] the DFVC program
- [X] special extension (enter description) HURRICANE IRMA

Part II Basic Plan Information—enter all requested information

1a Name of plan
FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 10/01/2015

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
FOUNDATION PARTNERS GROUP, LLC

4901 VINELAND ROAD #350
ORLANDO, FL 32811

2b Employer Identification Number (EIN)
27-2399927

2c Plan Sponsor's telephone number
407-680-2767

2d Business code (see instructions)
812210

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	01/31/2018	JULIA JUDGE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a Plan administrator's name and address [X] Same as Plan Sponsor	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	

5 Total number of participants at the beginning of the plan year	**5**	265
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1) Total number of active participants at the beginning of the plan year	**6a(1)**	252
a(2) Total number of active participants at the end of the plan year	**6a(2)**	321
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	15
d Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	336
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f Total. Add lines **6d** and **6e**.	**6f**	336
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	167
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	12
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2E 2F 2G 2J 2K 2R 2T 2A 3B 3D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] 0 **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [X] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FOUNDATION PARTNERS GROUP, LLC

D Employer Identification Number (EIN)
27-2399927

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 60 64 65	RECORDKEEPER	1356	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes [ ] No [ ]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	**(c)** Relationship to employer, employee organization, or person known to be a party-in-interest	**(d)** Enter direct compensation paid by the plan. If none, enter -0-.	**(e)** Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	**(f)** Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	**(g)** Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	**(h)** Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EAGLE MID CAP GRTH A - U.S. BANCOR P.O. BOX 701 MILWAUKEE, WI 53201	0.50%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
GLENMEDE SMCP EQ ADV - STATE STREE 04-0025081	0.40%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
INVS DIVRS DIVD Y - INVESCO INVEST 11 GREENWAY PLAZA, SUITE 100 HOUSTON, TX 77046	0.25%

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPHMR INTL GRTH Y - OFI GLOBAL AS 225 LIBERTY STREET NEW YORK, NY 10281	0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WF SPL SM CP VAL ADM - BOSTON FINA P.O. BOX 8480 BOSTON, MA 02266	0.35%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
FOUNDATION PARTNERS GROUP, LLC

D Employer Identification Number (EIN)
27-2399927

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: MIP CL 1

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-3022712-024	C	1491

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FOUNDATION PARTNERS GROUP, LLC

D Employer Identification Number (EIN)
27-2399927

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	1918
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	0
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)	0	0
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	81557	48573
(2) U.S. Government securities	1c(2)	0	0
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	0
(B) All other	1c(3)(B)	0	0
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	0
(B) Common	1c(4)(B)	0	0
(5) Partnership/joint venture interests	1c(5)	0	0
(6) Real estate (other than employer real property)	1c(6)	0	0
(7) Loans (other than to participants)	1c(7)	0	0
(8) Participant loans	1c(8)	152149	121571
(9) Value of interest in common/collective trusts	1c(9)	950	1491
(10) Value of interest in pooled separate accounts	1c(10)	0	0
(11) Value of interest in master trust investment accounts	1c(11)	0	0
(12) Value of interest in 103-12 investment entities	1c(12)	0	0
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	4748198	5540453
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	0	0

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	**1d(1)**	0	0
	(2) Employer real property	**1d(2)**	0	0
e	Buildings and other property used in plan operation	**1e**	0	0
f	Total assets (add all amounts in lines 1a through 1e)	**1f**	4982854	5714006
	Liabilities			
g	Benefit claims payable	**1g**	0	0
h	Operating payables	**1h**	0	0
i	Acquisition indebtedness	**1i**	0	0
j	Other liabilities	**1j**	0	0
k	Total liabilities (add all amounts in lines 1g through1j)	**1k**	0	0
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	**1l**	4982854	5714006

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	**2a(1)(A)**	374955	
	(B) Participants	**2a(1)(B)**	684448	
	(C) Others (including rollovers)	**2a(1)(C)**	28966	
	(2) Noncash contributions	**2a(2)**	0	
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	**2a(3)**		1088369
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	**2b(1)(A)**	44	
	(B) U.S. Government securities	**2b(1)(B)**	0	
	(C) Corporate debt instruments	**2b(1)(C)**	0	
	(D) Loans (other than to participants)	**2b(1)(D)**	0	
	(E) Participant loans	**2b(1)(E)**	6436	
	(F) Other	**2b(1)(F)**	0	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	**2b(1)(G)**		6480
	(2) Dividends: **(A)** Preferred stock	**2b(2)(A)**	0	
	(B) Common stock	**2b(2)(B)**	0	
	(C) Registered investment company shares (e.g. mutual funds)	**2b(2)(C)**	200281	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	**2b(2)(D)**		200281
	(3) Rents	**2b(3)**		0
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	**2b(4)(A)**	0	
	(B) Aggregate carrying amount (see instructions)	**2b(4)(B)**	0	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	**2b(4)(C)**		0
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	**2b(5)(A)**	0	
	(B) Other	**2b(5)(B)**	0	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	**2b(5)(C)**		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		205
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		0
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		0
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		0
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		221337
c Other income	2c		0
d Total income. Add all **income** amounts in column (b) and enter total	2d		1516672
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	756444	
(2) To insurance carriers for the provision of benefits	2e(2)	0	
(3) Other	2e(3)	0	
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		756444
f Corrective distributions (see instructions)	2f		21536
g Certain deemed distributions of participant loans (see instructions)	2g		6184
h Interest expense	2h		0
i Administrative expenses: (1) Professional fees	2i(1)	0	
(2) Contract administrator fees	2i(2)	0	
(3) Investment advisory and management fees	2i(3)	0	
(4) Other	2i(4)	1356	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		1356
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		785520
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		731152
l Transfers of assets:			
(1) To this plan	2l(1)		0
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MAYER HOFFMAN MCCANN PC **(2)** EIN: 43-1947695

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a	X		48325
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n		X	
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 01/01/2016 and ending 12/31/2016

A Name of plan
FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
FOUNDATION PARTNERS GROUP, LLC

D Employer Identification Number (EIN)
27-2399927

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1**

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter _____/_____/_____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter _____/_____/_____.

FOUNDATION PARTNERS GROUP, LLC
RETIREMENT SAVINGS PLAN

Financial Statements and Supplemental Schedules

December 31, 2016 and for the period from
October 1, 2015 (Date of Inception)
to December 31, 2015
(With Independent Auditor's Report Thereon)

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

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13577 Feather Sound Drive, Suite 400 ■ Clearwater, Florida 33762
Main: 727.572.1400 ■ Fax: 727.571.1933 ■ www.mhm-pc.com

Independent Auditor's Report

To the Plan Administrator
Foundation Partners Group, LLC
Retirement Savings Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of December 31, 2016 and 2015, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

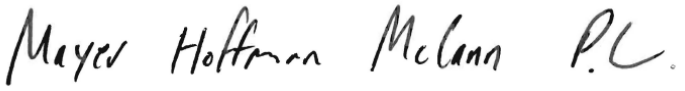
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedules of Assets (Held at Year End) as of December 31, 2016 and 2015 and the Schedules of Delinquent Contributions for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America, and in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



January 30, 2018
Clearwater, Florida

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Net Assets Available for Benefits

December 31, 2016 and 2015

	2016	2015
Assets:		
Investments:		
Registered investment companies	$ 5,465,198	4,748,198
Self-directed brokerage account	125,746	81,557
Collective trust	1,491	950
Total investments	5,592,435	4,830,705
Receivables:		
Participant	24,737	25,883
Employer	14,353	12,609
Notes receivable from participants	121,571	152,149
Total assets	5,753,096	5,021,346
Liabilities:		
Excess contributions payable	-	21,678
Net assets available for benefits	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Changes in Net Assets Available for Benefits

For the Year Ended December 31, 2016 and
For the period from October 1, 2015 (Date of Inception) to December 31, 2015

	2016	October 1, 2015 - December 31, 2015
Additions to net assets attributed to:		
Net appreciation in fair value of investments	$ 221,542	-
Interest and dividend income	200,325	146,340
Interest income from notes receivable from participants	6,436	2,784
Total investment income	428,303	149,124
Contributions:		
Participant	683,302	152,146
Employer	376,699	93,954
Rollover	28,966	-
Total contributions	1,088,967	246,100
Total additions	1,517,270	395,224
Deductions from net assets attributed to:		
Net depreciation in fair value of investments	-	226,715
Benefits paid to participants	762,486	62,508
Administrative expenses	1,356	50
Total deductions	763,842	289,273
Net increase	753,428	105,951
Plan transfers in from other qualified plan	-	4,893,717
Net assets available for benefits:		
Beginning of year/inception	4,999,668	-
End of year	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

(1) Plan Description

The following is a brief description of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan") and is provided for general information purposes only. Participants should refer to the Plan Agreement for a more complete description of the Plan's provisions.

(a) General

The Plan is a defined-contribution plan established on October 1, 2015, that covers eligible employees of Foundation Partners Group, LLC (the "Company" or the "Plan Sponsor"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Full time employees become eligible to participate in the Plan in the month following their completion of three months of service, and attaining the age of 21. Part time employees, interns, and apprentices become eligible to participate in the Plan in the month following their completion of 1,000 hours of service, and attaining the age of 21. Leased employees, nonresident aliens, non-common law employees, and employees covered by a collective bargaining agreement are not eligible to participate in the Plan.

Effective October 1, 2015, the Plan adopted the Volume Submitter Defined Contribution Plan document of Fidelity Management Trust Company (FMTC), the trustee of the Plan.

During 2015, subsequent to establishment of the Plan, $4,893,717 of participant balances held with a prior trustee were transferred into the Plan.

(b) Contributions

Participants may elect to contribute up to 90% of their eligible compensation as defined up to a maximum of $18,000 for the year ended December 31, 2016. The Plan allows for post-tax Roth 401(k) contributions. The Plan also provides for "catch-up" contributions subject to Internal Revenue Service (IRS) limitations, $6,000 for the year ended December 31, 2016.

The Company may make a discretionary matching employer contribution to eligible participants in the Plan employed by the Company based on participants' deferrals. The Company's discretionary match contribution was 75% of the first 6% contributed by participants for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015. After a participant achieves six years of service, the discretionary matching contribution increases to 100% of the first 6% contributed.

The Company may also make non-elective contributions to eligible employees who have worked at least 1,000 hours and are employed on the last day of the contribution period. No such contributions were made for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015.

The Plan also accepts rollovers and direct transfers from other tax-qualified plans.

(1) Plan Description - Continued

(c) Participant Accounts

Each participant's account is credited with the participant's contribution and an allocation of the Company's contribution and Plan earnings. A separate account is maintained for each investment option selected by the participant. Earnings and losses of the Plan are allocated to each participant based upon the ratio of their account to the total of all participants' accounts. All allocations are made on a daily basis.

(d) Vesting

Participants are immediately vested in their own salary deferrals and rollover contributions, plus actual earnings thereon. Participants become vested in discretionary employer contributions and non-elective employer contributions based on the following table:

Less than two years	0%
Two years but less than three	20%
Three years but less than four	40%
Four years but less than five	60%
Five years but less than six	80%
Six years or more	100%

Participants also become fully vested upon reaching their normal retirement age (age 65) or upon death or disability.

(e) Notes Receivable from Participants

Participants may borrow from their account a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years and are collateralized by the respective participant's vested account balance and are evidenced by a note which bears interest based on prevailing market rates. Interest rates ranged from 4.25% to 8.00% at December 31, 2016 and 2015. Principal and interest are paid ratably through payroll deductions. All loans shall be repaid within five years, unless the loan qualifies as a home loan.

(f) Hardship Withdrawals

The Plan allows participants to withdraw a portion of their vested account balance in the event of immediate and heavy financial need. Any hardship withdrawal is limited to the amount needed to meet the financial need but shall be for no less than $500, and must qualify with respect to Plan provisions.

(1) Plan Description - Continued

(g) Benefit Payments

On termination of service due to death, permanent disability, retirement, or in the event of termination of employment with the Company, a participant shall be entitled to benefits equal to the value of the participant's vested interest in his or her account. Plan directed distributions are processed on an annual basis. The Plan allows withdrawals from vested account balances by participants who have reached age 59 ½. If the vested account balance payable to an individual is less than or equal to the cash out limited utilized by the Plan, such Account will be automatically distributed to the participant or rolled into an IRA on the participant's behalf.

(h) Forfeitures

Forfeitures from the Plan are used to reduce future employer contributions or to reduce administrative expenses. There were no forfeitures available for reduction of future employer contributions at December 31, 2016 and $900 available at December 31, 2015. For the period from October 1, 2015 (Date of Inception) to December 31, 2015, $0 in forfeitures were used by the Company to reduce employer contributions. For the year ended December 31, 2016, $28,500 in forfeitures were used by the Company to reduce employer contributions.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements of the Plan are presented on the accrual basis of accounting and present the net assets available for benefits and changes in net assets available for benefits in accordance with accounting principles generally accepted in the United States of America.

(b) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

(2) Summary of Significant Accounting Policies - Continued

(c) Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) 2015-07, *Disclosures for Investments in Certain Entities that Calculate Net Asset Value per Share (or Its Equivalent)*. The ASU removes the requirement to categorize within the fair value hierarchy investments for which fair values are estimated using the net asset value per share as a practical expedient provided by FASB Accounting Standards Codification (ASC) 820, *Fair Value Measurement.* Disclosures about investments in certain entities that calculate net asset value per share are limited under this ASU to investments for which the entity has elected to estimate the fair value using the net asset value practical expedient. The guidance requires retrospective application and is effective for public business entities for fiscal years, and interim periods within those years, beginning after December 15, 2015. For all other entities, the guidance is effective for fiscal years, and interim periods within those years, beginning after December 15, 2016, however early adoption is permitted. The Plan early adopted ASU 2015-07 during 2016. The retrospective approach requires that an investment for which fair value is measured using NAV practical expedient be removed from the fair value hierarchy in all periods presented in the entity's financial statements. Other than the change in presentation of the relevant fair value disclosure, the adoption of this guidance did not have an impact on the Plan's financial statements and notes thereto.

(d) Notes Receivable from Participants

Loans to participants are reported at their unpaid principal balances plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. No allowance for credit losses has been recorded as of December 31, 2016 and 2015. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

(e) Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Shares of registered investment companies are valued at the net asset value of shares held by the Plan at year end based on quoted market prices.

The Fidelity Managed Income Portfolio Fund (MIP) is a fund that invests in benefit-responsive investment contracts issued by insurance companies and other financial institutions. The assets of the MIP are invested in fixed income securities and shares of money market funds. The MIP is priced daily, with the price being based on the contract value of the underlying investments. There were no unfunded commitments relating to the MIP at December 31, 2016 and 2015. Participants are able to redeem balances from the MIP fund daily and there is no notice period for redemptions.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments in accompanying statements of changes in net assets includes the Plan's gains and losses on investments bought and sold, as well as held during the year.

(2) Summary of Significant Accounting Policies - Continued

(f) Administrative Expenses

Substantially all expenses of the Plan are paid by the Company and are not included in the accompanying statements of changes in net assets available for benefits. Fees related to administration of notes receivable from participants are charged directly to the participant's account and are not included in administrative expenses. Investment related expenses are included as an offset to net appreciation (depreciation) in fair value of investments.

(g) Risks and Uncertainties

The Plan provides for various investment options in any combination of investment securities offered by the Plan. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for plan benefits.

(3) Plan Termination

Although the Company has not expressed any intent to do so, it has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants become 100% vested in their accounts.

(4) Tax Status

The Plan has adopted a volume submitter plan document. The volume submitter plan obtained its latest opinion letter on March 31, 2014, in which the Internal Revenue Service stated that the plan document, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code (the Code). Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016, and 2015 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

(5) Information Certified by the Trustee

The Plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, FMTC, the trustee, has certified that the following data included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Total investments and notes receivable from participants, as shown in the statements of net assets available for benefits, as of December 31, 2016 and 2015;

- Total investment income, net depreciation in fair value of investments, and related investment activity, as shown in the statements of changes in net assets available for benefits, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015;

- The Schedule of Assets (Held at End of Year), as shown in the supplemental schedules, as of December 31, 2016 and 2015.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in these financial statements and supplemental schedules.

(6) Parties-in-Interest

The Plan invests in registered investment companies managed by Fidelity. Fidelity acts as the investment trustee for only those investments as defined by the Plan. Fidelity Workplace Services provides recordkeeping services. Plan expenses include approximately $1,500 paid to Fidelity Workplace Services for recordkeeping services for the year ended December 31, 2016. These transactions qualify as party-in-interest transactions, but are exempt from prohibited transaction rules.

(7) Fair Value Measurements

The Plan applies the provisions of ASC 820 in measuring fair value. ASC 820 established a three tier fair value hierarchy which prioritizes the inputs used in measuring fair value as follows:

Level 1: Observable inputs such as quoted prices in active markets;

Level 2: Inputs, other than quoted prices in active markets, that are observable either directly or indirectly;

Level 3: Unobservable inputs in which there is little or no market data, which require the reporting entity to develop its own assumptions.

The asset's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Following is a description of the valuation methodologies used for the investments measured at fair value, including the general classification of such instruments pursuant to the valuation hierarchy.

Registered Investment Companies: registered investment companies are valued at quoted market prices, which represent net asset values of shares held by the Plan at year end and are classified as Level 1 investments.

Self-Directed Brokerage Account: self-directed brokerage accounts are comprised of cash and mutual funds, and are valued based on the underlying net asset values of the investments and are classified as Level 1 investments.

Collective Trust: based on the net asset value of units held by the Plan at year end, as provided by the fund administrator.

As of December 31, 2016, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2016		
Description	**Assets Measured at Fair Value at December 31, 2016**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 5,465,198	5,465,198	-	-
Self-directed brokerage account	125,746	125,746	-	-
	5,590,944	5,590,944	-	-
Collective trust at NAV **(a)**	1,491			
	$ 5,592,435			

(7) Fair Value Measurements - Continued

As of December 31, 2015, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2015		
Description	**Assets Measured at Fair Value at December 31, 2015**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 4,748,198	4,748,198	-	-
Self-directed brokerage account	81,557	81,557	-	-
	4,829,755	4,829,755	-	-
Collective trust at NAV **(a)**	950			
	$ 4,830,705			

(a) *In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share(or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of the net assets available for benefits.*

(8) Reconciliation of Financial Statements to Schedule H of Form 5500

Following is a reconciliation of net assets available for benefits per the financial statements at December 31, 2016 and 2015 to Schedule H of Form 5500:

		2016	2015
Net assets available for benefits per the financial statements	$	5,753,096	4,999,668
Plus: Excess contributions payable		-	21,678
Less: Employer receivables		(14,353)	(12,609)
Less: Participant receivables		(24,737)	(25,883)
Net assets available for benefits per Schedule H of Form 5500, Line 1(l)	$	5,714,006	4,982,854

(8) Reconciliation of Financial Statements to Schedule H of Form 5500 - Continued

Following is a reconciliation of the net increase in net assets available for benefits per the financial statements for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015 on Schedule H of Form 5500:

		2016	October 1 - 2015 - December 31, 2015
Net increase in net assets available for benefits per the financial statements	$	753,428	105,951
Excess contributions payable		(21,678)	21,678
Employer receivables		(1,744)	(12,609)
Participant receivables		1,146	(25,883)
Net income per Schedule H of Form 5500, Line 2(k)	$	731,152	89,137

(9) Excess Contribution Payable

Excess contributions payable of $21,678 as of December 31, 2015 represent payments made in 2016 to participants to return them excess contributions as required to satisfy the relevant nondiscrimination provisions of the Plan.

(10) Subsequent Events

In preparing these financial statements, plan management has evaluated events and transactions for potential recognition or disclosure through January 30, 2018, the date the financial statements were available to be issued.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2016

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Wells Fargo Special Small Cap Value Fund	Registered investment company	$ 80,681
	Oppenheimer International Growth Fund	Registered investment company	81,824
	Eagle Mid Cap Growth Fund	Registered investment company	16,567
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,881
	Vanguard Small Cap Growth Index Fund	Registered investment company	26,444
	Invesco Diversified Dividend Fund	Registered investment company	189,343
*	Fidelity Value Fund	Registered investment company	4,366
*	Fidelity Overseas Fund	Registered investment company	96,281
*	Fidelity Balanced Fund	Registered investment company	133,472
*	Fidelity Blue Chip Growth Fund	Registered investment company	37,205
*	Fidelity Large Cap Stock Fund	Registered investment company	5,686
*	Fid Freedom Income Fund	Registered investment company	33,094
*	Fidelity Freedom 2010 Fund	Registered investment company	244,203
*	Fidelity Freedom 2020 Fund	Registered investment company	861,740
*	Fidelity Freedom 2030 Fund	Registered investment company	727,747
*	Fidelity Freedom 2040 Fund	Registered investment company	394,098
*	Fidelity Total Bond Fund	Registered investment company	153,582
*	Fidelity Freedom 2005 Fund	Registered investment company	204,567
*	Fidelity Freedom 2015 Fund	Registered investment company	338,114
*	Fidelity Freedom 2025 Fund	Registered investment company	369,475
*	Fidelity Freedom 2035 Fund	Registered investment company	376,511
*	Fidelity 500 Index Fund	Registered investment company	163,010
*	Fidelity Freedom 2045 Fund	Registered investment company	407,873
*	Fidelity Freedom 2050 Fund	Registered investment company	335,354
*	Fidelity Freedom 2055 Fund	Registered investment company	71,885
*	Fidelity Mid Cap Index Fund	Registered investment company	86,528
*	Fidelity Freedom 2060 Fund	Registered investment company	5,667
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	1,491
*	Self-directed brokerage account	Various share/units	125,746
			5,592,435
*	Participant loans	4.25% to 8.00%	121,571
			$ 5,714,006

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2015

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Oppenheimer International Growth Fund	Registered investment company	$ 10,222
	Eagle Mid Cap Growth Fund	Registered investment company	14,167
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,469
	Vanguard Small Cap Growth Index Fund	Registered investment company	12,189
	Invesco Diversified Dividend Fund	Registered investment company	16,504
*	Fidelity Value Fund	Registered investment company	1,922
*	Fidelity Overseas Fund	Registered investment company	16,163
*	Fidelity Balanced Fund	Registered investment company	4,163
*	Fidelity Blue Chip Growth Fund	Registered investment company	31,546
*	Fidelity Large Cap Stock Fund	Registered investment company	4,872
*	Fid Freedom Income Fund	Registered investment company	25,971
*	Fidelity Freedom 2010 Fund	Registered investment company	196,513
*	Fidelity Freedom 2020 Fund	Registered investment company	718,000
*	Fidelity Freedom 2030 Fund	Registered investment company	766,200
*	Fidelity Freedom 2040 Fund	Registered investment company	330,726
*	Fidelity Total Bond Fund	Registered investment company	46,244
*	Fidelity Freedom 2005 Fund	Registered investment company	183,186
*	Fidelity Freedom 2015 Fund	Registered investment company	494,661
*	Fidelity Freedom 2025 Fund	Registered investment company	424,477
*	Fidelity Freedom 2035 Fund	Registered investment company	634,402
	Spartan 500 Index Fund Investor Class	Registered investment company	45,406
*	Fidelity Freedom 2045 Fund	Registered investment company	466,686
*	Fidelity Freedom 2050 Fund	Registered investment company	234,978
*	Fidelity Freedom 2055 Fund	Registered investment company	33,968
	Spartan Mid Cap Index Fund	Registered investment company	13,289
*	Fidelity Freedom 2060 Fund	Registered investment company	2,274
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	950
*	Self-directed brokerage account	Various shares/units	81,557
			4,830,705
*	Participant loans	4.25% to 8.00%	152,149
			$ 4,982,854

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Year Ended December 31, 2016

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 48,325	$ 24,737	$ 23,588	$ -	$ -

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Period from October 1, 2015 (Date of Inception) to December 31, 2015

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 26,021	$ 1,982	$ 24,039	$ -	$ -

FOUNDATION PARTNERS GROUP, LLC
RETIREMENT SAVINGS PLAN

Financial Statements and Supplemental Schedules

December 31, 2016 and for the period from
October 1, 2015 (Date of Inception)
to December 31, 2015
(With Independent Auditor's Report Thereon)

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

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13577 Feather Sound Drive, Suite 400 ■ Clearwater, Florida 33762
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Independent Auditor's Report

To the Plan Administrator
Foundation Partners Group, LLC
Retirement Savings Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of December 31, 2016 and 2015, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

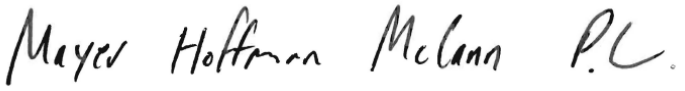
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedules of Assets (Held at Year End) as of December 31, 2016 and 2015 and the Schedules of Delinquent Contributions for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America, and in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



January 30, 2018
Clearwater, Florida

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Net Assets Available for Benefits

December 31, 2016 and 2015

	2016	2015
Assets:		
Investments:		
Registered investment companies	$ 5,465,198	4,748,198
Self-directed brokerage account	125,746	81,557
Collective trust	1,491	950
Total investments	5,592,435	4,830,705
Receivables:		
Participant	24,737	25,883
Employer	14,353	12,609
Notes receivable from participants	121,571	152,149
Total assets	5,753,096	5,021,346
Liabilities:		
Excess contributions payable	-	21,678
Net assets available for benefits	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Changes in Net Assets Available for Benefits

For the Year Ended December 31, 2016 and
For the period from October 1, 2015 (Date of Inception) to December 31, 2015

	2016	October 1, 2015 - December 31, 2015
Additions to net assets attributed to:		
Net appreciation in fair value of investments	$ 221,542	-
Interest and dividend income	200,325	146,340
Interest income from notes receivable from participants	6,436	2,784
Total investment income	428,303	149,124
Contributions:		
Participant	683,302	152,146
Employer	376,699	93,954
Rollover	28,966	-
Total contributions	1,088,967	246,100
Total additions	1,517,270	395,224
Deductions from net assets attributed to:		
Net depreciation in fair value of investments	-	226,715
Benefits paid to participants	762,486	62,508
Administrative expenses	1,356	50
Total deductions	763,842	289,273
Net increase	753,428	105,951
Plan transfers in from other qualified plan	-	4,893,717
Net assets available for benefits:		
Beginning of year/inception	4,999,668	-
End of year	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

(1) Plan Description

The following is a brief description of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan") and is provided for general information purposes only. Participants should refer to the Plan Agreement for a more complete description of the Plan's provisions.

(a) General

The Plan is a defined-contribution plan established on October 1, 2015, that covers eligible employees of Foundation Partners Group, LLC (the "Company" or the "Plan Sponsor"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Full time employees become eligible to participate in the Plan in the month following their completion of three months of service, and attaining the age of 21. Part time employees, interns, and apprentices become eligible to participate in the Plan in the month following their completion of 1,000 hours of service, and attaining the age of 21. Leased employees, nonresident aliens, non-common law employees, and employees covered by a collective bargaining agreement are not eligible to participate in the Plan.

Effective October 1, 2015, the Plan adopted the Volume Submitter Defined Contribution Plan document of Fidelity Management Trust Company (FMTC), the trustee of the Plan.

During 2015, subsequent to establishment of the Plan, $4,893,717 of participant balances held with a prior trustee were transferred into the Plan.

(b) Contributions

Participants may elect to contribute up to 90% of their eligible compensation as defined up to a maximum of $18,000 for the year ended December 31, 2016. The Plan allows for post-tax Roth 401(k) contributions. The Plan also provides for "catch-up" contributions subject to Internal Revenue Service (IRS) limitations, $6,000 for the year ended December 31, 2016.

The Company may make a discretionary matching employer contribution to eligible participants in the Plan employed by the Company based on participants' deferrals. The Company's discretionary match contribution was 75% of the first 6% contributed by participants for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015. After a participant achieves six years of service, the discretionary matching contribution increases to 100% of the first 6% contributed.

The Company may also make non-elective contributions to eligible employees who have worked at least 1,000 hours and are employed on the last day of the contribution period. No such contributions were made for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015.

The Plan also accepts rollovers and direct transfers from other tax-qualified plans.

(1) Plan Description - Continued

(c) Participant Accounts

Each participant's account is credited with the participant's contribution and an allocation of the Company's contribution and Plan earnings. A separate account is maintained for each investment option selected by the participant. Earnings and losses of the Plan are allocated to each participant based upon the ratio of their account to the total of all participants' accounts. All allocations are made on a daily basis.

(d) Vesting

Participants are immediately vested in their own salary deferrals and rollover contributions, plus actual earnings thereon. Participants become vested in discretionary employer contributions and non-elective employer contributions based on the following table:

Less than two years	0%
Two years but less than three	20%
Three years but less than four	40%
Four years but less than five	60%
Five years but less than six	80%
Six years or more	100%

Participants also become fully vested upon reaching their normal retirement age (age 65) or upon death or disability.

(e) Notes Receivable from Participants

Participants may borrow from their account a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years and are collateralized by the respective participant's vested account balance and are evidenced by a note which bears interest based on prevailing market rates. Interest rates ranged from 4.25% to 8.00% at December 31, 2016 and 2015. Principal and interest are paid ratably through payroll deductions. All loans shall be repaid within five years, unless the loan qualifies as a home loan.

(f) Hardship Withdrawals

The Plan allows participants to withdraw a portion of their vested account balance in the event of immediate and heavy financial need. Any hardship withdrawal is limited to the amount needed to meet the financial need but shall be for no less than $500, and must qualify with respect to Plan provisions.

(1) Plan Description - Continued

(g) Benefit Payments

On termination of service due to death, permanent disability, retirement, or in the event of termination of employment with the Company, a participant shall be entitled to benefits equal to the value of the participant's vested interest in his or her account. Plan directed distributions are processed on an annual basis. The Plan allows withdrawals from vested account balances by participants who have reached age 59 ½. If the vested account balance payable to an individual is less than or equal to the cash out limited utilized by the Plan, such Account will be automatically distributed to the participant or rolled into an IRA on the participant's behalf.

(h) Forfeitures

Forfeitures from the Plan are used to reduce future employer contributions or to reduce administrative expenses. There were no forfeitures available for reduction of future employer contributions at December 31, 2016 and $900 available at December 31, 2015. For the period from October 1, 2015 (Date of Inception) to December 31, 2015, $0 in forfeitures were used by the Company to reduce employer contributions. For the year ended December 31, 2016, $28,500 in forfeitures were used by the Company to reduce employer contributions.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements of the Plan are presented on the accrual basis of accounting and present the net assets available for benefits and changes in net assets available for benefits in accordance with accounting principles generally accepted in the United States of America.

(b) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

(2) Summary of Significant Accounting Policies - Continued

(c) Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) 2015-07, *Disclosures for Investments in Certain Entities that Calculate Net Asset Value per Share (or Its Equivalent)*. The ASU removes the requirement to categorize within the fair value hierarchy investments for which fair values are estimated using the net asset value per share as a practical expedient provided by FASB Accounting Standards Codification (ASC) 820, *Fair Value Measurement.* Disclosures about investments in certain entities that calculate net asset value per share are limited under this ASU to investments for which the entity has elected to estimate the fair value using the net asset value practical expedient. The guidance requires retrospective application and is effective for public business entities for fiscal years, and interim periods within those years, beginning after December 15, 2015. For all other entities, the guidance is effective for fiscal years, and interim periods within those years, beginning after December 15, 2016, however early adoption is permitted. The Plan early adopted ASU 2015-07 during 2016. The retrospective approach requires that an investment for which fair value is measured using NAV practical expedient be removed from the fair value hierarchy in all periods presented in the entity's financial statements. Other than the change in presentation of the relevant fair value disclosure, the adoption of this guidance did not have an impact on the Plan's financial statements and notes thereto.

(d) Notes Receivable from Participants

Loans to participants are reported at their unpaid principal balances plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. No allowance for credit losses has been recorded as of December 31, 2016 and 2015. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

(e) Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Shares of registered investment companies are valued at the net asset value of shares held by the Plan at year end based on quoted market prices.

The Fidelity Managed Income Portfolio Fund (MIP) is a fund that invests in benefit-responsive investment contracts issued by insurance companies and other financial institutions. The assets of the MIP are invested in fixed income securities and shares of money market funds. The MIP is priced daily, with the price being based on the contract value of the underlying investments. There were no unfunded commitments relating to the MIP at December 31, 2016 and 2015. Participants are able to redeem balances from the MIP fund daily and there is no notice period for redemptions.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments in accompanying statements of changes in net assets includes the Plan's gains and losses on investments bought and sold, as well as held during the year.

(2) Summary of Significant Accounting Policies - Continued

(f) Administrative Expenses

Substantially all expenses of the Plan are paid by the Company and are not included in the accompanying statements of changes in net assets available for benefits. Fees related to administration of notes receivable from participants are charged directly to the participant's account and are not included in administrative expenses. Investment related expenses are included as an offset to net appreciation (depreciation) in fair value of investments.

(g) Risks and Uncertainties

The Plan provides for various investment options in any combination of investment securities offered by the Plan. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for plan benefits.

(3) Plan Termination

Although the Company has not expressed any intent to do so, it has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants become 100% vested in their accounts.

(4) Tax Status

The Plan has adopted a volume submitter plan document. The volume submitter plan obtained its latest opinion letter on March 31, 2014, in which the Internal Revenue Service stated that the plan document, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code (the Code). Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016, and 2015 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

(5) Information Certified by the Trustee

The Plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, FMTC, the trustee, has certified that the following data included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Total investments and notes receivable from participants, as shown in the statements of net assets available for benefits, as of December 31, 2016 and 2015;

- Total investment income, net depreciation in fair value of investments, and related investment activity, as shown in the statements of changes in net assets available for benefits, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015;

- The Schedule of Assets (Held at End of Year), as shown in the supplemental schedules, as of December 31, 2016 and 2015.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in these financial statements and supplemental schedules.

(6) Parties-in-Interest

The Plan invests in registered investment companies managed by Fidelity. Fidelity acts as the investment trustee for only those investments as defined by the Plan. Fidelity Workplace Services provides recordkeeping services. Plan expenses include approximately $1,500 paid to Fidelity Workplace Services for recordkeeping services for the year ended December 31, 2016. These transactions qualify as party-in-interest transactions, but are exempt from prohibited transaction rules.

(7) Fair Value Measurements

The Plan applies the provisions of ASC 820 in measuring fair value. ASC 820 established a three tier fair value hierarchy which prioritizes the inputs used in measuring fair value as follows:

Level 1: Observable inputs such as quoted prices in active markets;

Level 2: Inputs, other than quoted prices in active markets, that are observable either directly or indirectly;

Level 3: Unobservable inputs in which there is little or no market data, which require the reporting entity to develop its own assumptions.

The asset's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Following is a description of the valuation methodologies used for the investments measured at fair value, including the general classification of such instruments pursuant to the valuation hierarchy.

Registered Investment Companies: registered investment companies are valued at quoted market prices, which represent net asset values of shares held by the Plan at year end and are classified as Level 1 investments.

Self-Directed Brokerage Account: self-directed brokerage accounts are comprised of cash and mutual funds, and are valued based on the underlying net asset values of the investments and are classified as Level 1 investments.

Collective Trust: based on the net asset value of units held by the Plan at year end, as provided by the fund administrator.

As of December 31, 2016, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2016		
Description	**Assets Measured at Fair Value at December 31, 2016**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 5,465,198	5,465,198	-	-
Self-directed brokerage account	125,746	125,746	-	-
	5,590,944	5,590,944	-	-
Collective trust at NAV **(a)**	1,491			
	$ 5,592,435			

(7) Fair Value Measurements - Continued

As of December 31, 2015, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2015		
Description	**Assets Measured at Fair Value at December 31, 2015**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 4,748,198	4,748,198	-	-
Self-directed brokerage account	81,557	81,557	-	-
	4,829,755	4,829,755	-	-
Collective trust at NAV **(a)**	950			
	$ 4,830,705			

(a) *In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share(or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of the net assets available for benefits.*

(8) Reconciliation of Financial Statements to Schedule H of Form 5500

Following is a reconciliation of net assets available for benefits per the financial statements at December 31, 2016 and 2015 to Schedule H of Form 5500:

		2016	2015
Net assets available for benefits per the financial statements	$	5,753,096	4,999,668
Plus: Excess contributions payable		-	21,678
Less: Employer receivables		(14,353)	(12,609)
Less: Participant receivables		(24,737)	(25,883)
Net assets available for benefits per Schedule H of Form 5500, Line 1(l)	$	5,714,006	4,982,854

(8) Reconciliation of Financial Statements to Schedule H of Form 5500 - Continued

Following is a reconciliation of the net increase in net assets available for benefits per the financial statements for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015 on Schedule H of Form 5500:

		2016	October 1 - 2015 - December 31, 2015
Net increase in net assets available for benefits per the financial statements	$	753,428	105,951
Excess contributions payable		(21,678)	21,678
Employer receivables		(1,744)	(12,609)
Participant receivables		1,146	(25,883)
Net income per Schedule H of Form 5500, Line 2(k)	$	731,152	89,137

(9) Excess Contribution Payable

Excess contributions payable of $21,678 as of December 31, 2015 represent payments made in 2016 to participants to return them excess contributions as required to satisfy the relevant nondiscrimination provisions of the Plan.

(10) Subsequent Events

In preparing these financial statements, plan management has evaluated events and transactions for potential recognition or disclosure through January 30, 2018, the date the financial statements were available to be issued.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2016

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Wells Fargo Special Small Cap Value Fund	Registered investment company	$ 80,681
	Oppenheimer International Growth Fund	Registered investment company	81,824
	Eagle Mid Cap Growth Fund	Registered investment company	16,567
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,881
	Vanguard Small Cap Growth Index Fund	Registered investment company	26,444
	Invesco Diversified Dividend Fund	Registered investment company	189,343
*	Fidelity Value Fund	Registered investment company	4,366
*	Fidelity Overseas Fund	Registered investment company	96,281
*	Fidelity Balanced Fund	Registered investment company	133,472
*	Fidelity Blue Chip Growth Fund	Registered investment company	37,205
*	Fidelity Large Cap Stock Fund	Registered investment company	5,686
*	Fid Freedom Income Fund	Registered investment company	33,094
*	Fidelity Freedom 2010 Fund	Registered investment company	244,203
*	Fidelity Freedom 2020 Fund	Registered investment company	861,740
*	Fidelity Freedom 2030 Fund	Registered investment company	727,747
*	Fidelity Freedom 2040 Fund	Registered investment company	394,098
*	Fidelity Total Bond Fund	Registered investment company	153,582
*	Fidelity Freedom 2005 Fund	Registered investment company	204,567
*	Fidelity Freedom 2015 Fund	Registered investment company	338,114
*	Fidelity Freedom 2025 Fund	Registered investment company	369,475
*	Fidelity Freedom 2035 Fund	Registered investment company	376,511
*	Fidelity 500 Index Fund	Registered investment company	163,010
*	Fidelity Freedom 2045 Fund	Registered investment company	407,873
*	Fidelity Freedom 2050 Fund	Registered investment company	335,354
*	Fidelity Freedom 2055 Fund	Registered investment company	71,885
*	Fidelity Mid Cap Index Fund	Registered investment company	86,528
*	Fidelity Freedom 2060 Fund	Registered investment company	5,667
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	1,491
*	Self-directed brokerage account	Various share/units	125,746
			5,592,435
*	Participant loans	4.25% to 8.00%	121,571
			$ 5,714,006

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2015

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Oppenheimer International Growth Fund	Registered investment company	$ 10,222
	Eagle Mid Cap Growth Fund	Registered investment company	14,167
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,469
	Vanguard Small Cap Growth Index Fund	Registered investment company	12,189
	Invesco Diversified Dividend Fund	Registered investment company	16,504
*	Fidelity Value Fund	Registered investment company	1,922
*	Fidelity Overseas Fund	Registered investment company	16,163
*	Fidelity Balanced Fund	Registered investment company	4,163
*	Fidelity Blue Chip Growth Fund	Registered investment company	31,546
*	Fidelity Large Cap Stock Fund	Registered investment company	4,872
*	Fid Freedom Income Fund	Registered investment company	25,971
*	Fidelity Freedom 2010 Fund	Registered investment company	196,513
*	Fidelity Freedom 2020 Fund	Registered investment company	718,000
*	Fidelity Freedom 2030 Fund	Registered investment company	766,200
*	Fidelity Freedom 2040 Fund	Registered investment company	330,726
*	Fidelity Total Bond Fund	Registered investment company	46,244
*	Fidelity Freedom 2005 Fund	Registered investment company	183,186
*	Fidelity Freedom 2015 Fund	Registered investment company	494,661
*	Fidelity Freedom 2025 Fund	Registered investment company	424,477
*	Fidelity Freedom 2035 Fund	Registered investment company	634,402
	Spartan 500 Index Fund Investor Class	Registered investment company	45,406
*	Fidelity Freedom 2045 Fund	Registered investment company	466,686
*	Fidelity Freedom 2050 Fund	Registered investment company	234,978
*	Fidelity Freedom 2055 Fund	Registered investment company	33,968
	Spartan Mid Cap Index Fund	Registered investment company	13,289
*	Fidelity Freedom 2060 Fund	Registered investment company	2,274
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	950
*	Self-directed brokerage account	Various shares/units	81,557
			4,830,705
*	Participant loans	4.25% to 8.00%	152,149
			$ 4,982,854

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Year Ended December 31, 2016

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 48,325	$ 24,737	$ 23,588	$ -	$ -

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Period from October 1, 2015 (Date of Inception) to December 31, 2015

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 26,021	$ 1,982	$ 24,039	$ -	$ -

FOUNDATION PARTNERS GROUP, LLC
RETIREMENT SAVINGS PLAN

Financial Statements and Supplemental Schedules

December 31, 2016 and for the period from
October 1, 2015 (Date of Inception)
to December 31, 2015
(With Independent Auditor's Report Thereon)

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

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Independent Auditor's Report

To the Plan Administrator
Foundation Partners Group, LLC
Retirement Savings Plan:

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2016 and 2015, and the related statements of changes in net assets available for benefits for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan Administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by Fidelity Management Trust Company, the trustee of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that the trustee holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained certifications from the trustee as of December 31, 2016 and 2015, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, that the information provided to the plan administrator by the trustee is complete and accurate.

Disclaimer of Opinion

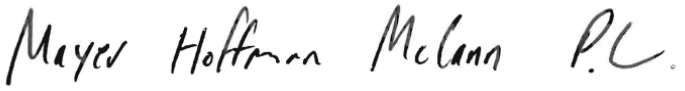
Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental Schedules of Assets (Held at Year End) as of December 31, 2016 and 2015 and the Schedules of Delinquent Contributions for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015, are required by the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and are presented for the purpose of additional analysis and are not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustee, have been audited by us in accordance with auditing standards generally accepted in the United States of America, and in our opinion, is presented in compliance with the DOL's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.



January 30, 2018
Clearwater, Florida

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Net Assets Available for Benefits

December 31, 2016 and 2015

	2016	2015
Assets:		
Investments:		
Registered investment companies	$ 5,465,198	4,748,198
Self-directed brokerage account	125,746	81,557
Collective trust	1,491	950
Total investments	5,592,435	4,830,705
Receivables:		
Participant	24,737	25,883
Employer	14,353	12,609
Notes receivable from participants	121,571	152,149
Total assets	5,753,096	5,021,346
Liabilities:		
Excess contributions payable	-	21,678
Net assets available for benefits	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Statements of Changes in Net Assets Available for Benefits

For the Year Ended December 31, 2016 and
For the period from October 1, 2015 (Date of Inception) to December 31, 2015

	2016	October 1, 2015 - December 31, 2015
Additions to net assets attributed to:		
Net appreciation in fair value of investments	$ 221,542	-
Interest and dividend income	200,325	146,340
Interest income from notes receivable from participants	6,436	2,784
Total investment income	428,303	149,124
Contributions:		
Participant	683,302	152,146
Employer	376,699	93,954
Rollover	28,966	-
Total contributions	1,088,967	246,100
Total additions	1,517,270	395,224
Deductions from net assets attributed to:		
Net depreciation in fair value of investments	-	226,715
Benefits paid to participants	762,486	62,508
Administrative expenses	1,356	50
Total deductions	763,842	289,273
Net increase	753,428	105,951
Plan transfers in from other qualified plan	-	4,893,717
Net assets available for benefits:		
Beginning of year/inception	4,999,668	-
End of year	$ 5,753,096	4,999,668

See accompanying independent auditor's report and notes to financial statements.

(1) Plan Description

The following is a brief description of the Foundation Partners Group, LLC Retirement Savings Plan (the "Plan") and is provided for general information purposes only. Participants should refer to the Plan Agreement for a more complete description of the Plan's provisions.

(a) General

The Plan is a defined-contribution plan established on October 1, 2015, that covers eligible employees of Foundation Partners Group, LLC (the "Company" or the "Plan Sponsor"). The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Full time employees become eligible to participate in the Plan in the month following their completion of three months of service, and attaining the age of 21. Part time employees, interns, and apprentices become eligible to participate in the Plan in the month following their completion of 1,000 hours of service, and attaining the age of 21. Leased employees, nonresident aliens, non-common law employees, and employees covered by a collective bargaining agreement are not eligible to participate in the Plan.

Effective October 1, 2015, the Plan adopted the Volume Submitter Defined Contribution Plan document of Fidelity Management Trust Company (FMTC), the trustee of the Plan.

During 2015, subsequent to establishment of the Plan, $4,893,717 of participant balances held with a prior trustee were transferred into the Plan.

(b) Contributions

Participants may elect to contribute up to 90% of their eligible compensation as defined up to a maximum of $18,000 for the year ended December 31, 2016. The Plan allows for post-tax Roth 401(k) contributions. The Plan also provides for "catch-up" contributions subject to Internal Revenue Service (IRS) limitations, $6,000 for the year ended December 31, 2016.

The Company may make a discretionary matching employer contribution to eligible participants in the Plan employed by the Company based on participants' deferrals. The Company's discretionary match contribution was 75% of the first 6% contributed by participants for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015. After a participant achieves six years of service, the discretionary matching contribution increases to 100% of the first 6% contributed.

The Company may also make non-elective contributions to eligible employees who have worked at least 1,000 hours and are employed on the last day of the contribution period. No such contributions were made for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015.

The Plan also accepts rollovers and direct transfers from other tax-qualified plans.

(1) Plan Description - Continued

(c) Participant Accounts

Each participant's account is credited with the participant's contribution and an allocation of the Company's contribution and Plan earnings. A separate account is maintained for each investment option selected by the participant. Earnings and losses of the Plan are allocated to each participant based upon the ratio of their account to the total of all participants' accounts. All allocations are made on a daily basis.

(d) Vesting

Participants are immediately vested in their own salary deferrals and rollover contributions, plus actual earnings thereon. Participants become vested in discretionary employer contributions and non-elective employer contributions based on the following table:

Less than two years	0%
Two years but less than three	20%
Three years but less than four	40%
Four years but less than five	60%
Five years but less than six	80%
Six years or more	100%

Participants also become fully vested upon reaching their normal retirement age (age 65) or upon death or disability.

(e) Notes Receivable from Participants

Participants may borrow from their account a maximum amount equal to the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one to five years and are collateralized by the respective participant's vested account balance and are evidenced by a note which bears interest based on prevailing market rates. Interest rates ranged from 4.25% to 8.00% at December 31, 2016 and 2015. Principal and interest are paid ratably through payroll deductions. All loans shall be repaid within five years, unless the loan qualifies as a home loan.

(f) Hardship Withdrawals

The Plan allows participants to withdraw a portion of their vested account balance in the event of immediate and heavy financial need. Any hardship withdrawal is limited to the amount needed to meet the financial need but shall be for no less than $500, and must qualify with respect to Plan provisions.

(1) Plan Description - Continued

(g) Benefit Payments

On termination of service due to death, permanent disability, retirement, or in the event of termination of employment with the Company, a participant shall be entitled to benefits equal to the value of the participant's vested interest in his or her account. Plan directed distributions are processed on an annual basis. The Plan allows withdrawals from vested account balances by participants who have reached age 59 ½. If the vested account balance payable to an individual is less than or equal to the cash out limited utilized by the Plan, such Account will be automatically distributed to the participant or rolled into an IRA on the participant's behalf.

(h) Forfeitures

Forfeitures from the Plan are used to reduce future employer contributions or to reduce administrative expenses. There were no forfeitures available for reduction of future employer contributions at December 31, 2016 and $900 available at December 31, 2015. For the period from October 1, 2015 (Date of Inception) to December 31, 2015, $0 in forfeitures were used by the Company to reduce employer contributions. For the year ended December 31, 2016, $28,500 in forfeitures were used by the Company to reduce employer contributions.

(2) Summary of Significant Accounting Policies

(a) Basis of Presentation

The accompanying financial statements of the Plan are presented on the accrual basis of accounting and present the net assets available for benefits and changes in net assets available for benefits in accordance with accounting principles generally accepted in the United States of America.

(b) Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

(2) Summary of Significant Accounting Policies - Continued

(c) Recent Accounting Pronouncements

In May 2015, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) 2015-07, *Disclosures for Investments in Certain Entities that Calculate Net Asset Value per Share (or Its Equivalent)*. The ASU removes the requirement to categorize within the fair value hierarchy investments for which fair values are estimated using the net asset value per share as a practical expedient provided by FASB Accounting Standards Codification (ASC) 820, *Fair Value Measurement.* Disclosures about investments in certain entities that calculate net asset value per share are limited under this ASU to investments for which the entity has elected to estimate the fair value using the net asset value practical expedient. The guidance requires retrospective application and is effective for public business entities for fiscal years, and interim periods within those years, beginning after December 15, 2015. For all other entities, the guidance is effective for fiscal years, and interim periods within those years, beginning after December 15, 2016, however early adoption is permitted. The Plan early adopted ASU 2015-07 during 2016. The retrospective approach requires that an investment for which fair value is measured using NAV practical expedient be removed from the fair value hierarchy in all periods presented in the entity's financial statements. Other than the change in presentation of the relevant fair value disclosure, the adoption of this guidance did not have an impact on the Plan's financial statements and notes thereto.

(d) Notes Receivable from Participants

Loans to participants are reported at their unpaid principal balances plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. No allowance for credit losses has been recorded as of December 31, 2016 and 2015. If a participant ceases to make loan repayments and the plan administrator deems the participant loan to be in default, the participant loan balance is reduced and a benefit payment is recorded.

(e) Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Shares of registered investment companies are valued at the net asset value of shares held by the Plan at year end based on quoted market prices.

The Fidelity Managed Income Portfolio Fund (MIP) is a fund that invests in benefit-responsive investment contracts issued by insurance companies and other financial institutions. The assets of the MIP are invested in fixed income securities and shares of money market funds. The MIP is priced daily, with the price being based on the contract value of the underlying investments. There were no unfunded commitments relating to the MIP at December 31, 2016 and 2015. Participants are able to redeem balances from the MIP fund daily and there is no notice period for redemptions.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation (depreciation) in fair value of investments in accompanying statements of changes in net assets includes the Plan's gains and losses on investments bought and sold, as well as held during the year.

(2) Summary of Significant Accounting Policies - Continued

(f) Administrative Expenses

Substantially all expenses of the Plan are paid by the Company and are not included in the accompanying statements of changes in net assets available for benefits. Fees related to administration of notes receivable from participants are charged directly to the participant's account and are not included in administrative expenses. Investment related expenses are included as an offset to net appreciation (depreciation) in fair value of investments.

(g) Risks and Uncertainties

The Plan provides for various investment options in any combination of investment securities offered by the Plan. Investment securities are exposed to various risks, such as interest rate, market and credit. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for plan benefits.

(3) Plan Termination

Although the Company has not expressed any intent to do so, it has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of plan termination, participants become 100% vested in their accounts.

(4) Tax Status

The Plan has adopted a volume submitter plan document. The volume submitter plan obtained its latest opinion letter on March 31, 2014, in which the Internal Revenue Service stated that the plan document, as then designed, was in compliance with the applicable requirements of the Internal Revenue Code (the Code). Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain tax position that more likely than not would not be sustained upon examination by the IRS. The Plan Administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2016, and 2015 there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

(5) Information Certified by the Trustee

The Plan administrator has elected the method of annual reporting compliance permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, FMTC, the trustee, has certified that the following data included in the accompanying financial statements and supplemental schedules is complete and accurate:

- Total investments and notes receivable from participants, as shown in the statements of net assets available for benefits, as of December 31, 2016 and 2015;

- Total investment income, net depreciation in fair value of investments, and related investment activity, as shown in the statements of changes in net assets available for benefits, for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015;

- The Schedule of Assets (Held at End of Year), as shown in the supplemental schedules, as of December 31, 2016 and 2015.

The Plan's independent auditors did not perform auditing procedures with respect to this information, except for comparing such information to the related information included in these financial statements and supplemental schedules.

(6) Parties-in-Interest

The Plan invests in registered investment companies managed by Fidelity. Fidelity acts as the investment trustee for only those investments as defined by the Plan. Fidelity Workplace Services provides recordkeeping services. Plan expenses include approximately $1,500 paid to Fidelity Workplace Services for recordkeeping services for the year ended December 31, 2016. These transactions qualify as party-in-interest transactions, but are exempt from prohibited transaction rules.

(7) Fair Value Measurements

The Plan applies the provisions of ASC 820 in measuring fair value. ASC 820 established a three tier fair value hierarchy which prioritizes the inputs used in measuring fair value as follows:

Level 1: Observable inputs such as quoted prices in active markets;

Level 2: Inputs, other than quoted prices in active markets, that are observable either directly or indirectly;

Level 3: Unobservable inputs in which there is little or no market data, which require the reporting entity to develop its own assumptions.

The asset's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Following is a description of the valuation methodologies used for the investments measured at fair value, including the general classification of such instruments pursuant to the valuation hierarchy.

Registered Investment Companies: registered investment companies are valued at quoted market prices, which represent net asset values of shares held by the Plan at year end and are classified as Level 1 investments.

Self-Directed Brokerage Account: self-directed brokerage accounts are comprised of cash and mutual funds, and are valued based on the underlying net asset values of the investments and are classified as Level 1 investments.

Collective Trust: based on the net asset value of units held by the Plan at year end, as provided by the fund administrator.

As of December 31, 2016, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2016		
Description	**Assets Measured at Fair Value at December 31, 2016**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 5,465,198	5,465,198	-	-
Self-directed brokerage account	125,746	125,746	-	-
	5,590,944	5,590,944	-	-
Collective trust at NAV **(a)**	1,491			
	$ 5,592,435			

(7) Fair Value Measurements - Continued

As of December 31, 2015, the Plan's investments measured at fair value on a recurring basis were as follows:

		Fair Value Measurements at December 31, 2015		
Description	**Assets Measured at Fair Value at December 31, 2015**	**Observable Inputs (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**
Registered investment companies	$ 4,748,198	4,748,198	-	-
Self-directed brokerage account	81,557	81,557	-	-
	4,829,755	4,829,755	-	-
Collective trust at NAV **(a)**	950			
	$ 4,830,705			

(a) *In accordance with Subtopic 820-10, certain investments that were measured at net asset value per share(or its equivalent) have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the line items presented in the statements of the net assets available for benefits.*

(8) Reconciliation of Financial Statements to Schedule H of Form 5500

Following is a reconciliation of net assets available for benefits per the financial statements at December 31, 2016 and 2015 to Schedule H of Form 5500:

		2016	2015
Net assets available for benefits per the financial statements	$	5,753,096	4,999,668
Plus: Excess contributions payable		-	21,678
Less: Employer receivables		(14,353)	(12,609)
Less: Participant receivables		(24,737)	(25,883)
Net assets available for benefits per Schedule H of Form 5500, Line 1(l)	$	5,714,006	4,982,854

(8) Reconciliation of Financial Statements to Schedule H of Form 5500 - Continued

Following is a reconciliation of the net increase in net assets available for benefits per the financial statements for the year ended December 31, 2016 and for the period from October 1, 2015 (Date of Inception) to December 31, 2015 on Schedule H of Form 5500:

		2016	October 1 - 2015 - December 31, 2015
Net increase in net assets available for benefits per the financial statements	$	753,428	105,951
Excess contributions payable		(21,678)	21,678
Employer receivables		(1,744)	(12,609)
Participant receivables		1,146	(25,883)
Net income per Schedule H of Form 5500, Line 2(k)	$	731,152	89,137

(9) Excess Contribution Payable

Excess contributions payable of $21,678 as of December 31, 2015 represent payments made in 2016 to participants to return them excess contributions as required to satisfy the relevant nondiscrimination provisions of the Plan.

(10) Subsequent Events

In preparing these financial statements, plan management has evaluated events and transactions for potential recognition or disclosure through January 30, 2018, the date the financial statements were available to be issued.

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2016

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Wells Fargo Special Small Cap Value Fund	Registered investment company	$ 80,681
	Oppenheimer International Growth Fund	Registered investment company	81,824
	Eagle Mid Cap Growth Fund	Registered investment company	16,567
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,881
	Vanguard Small Cap Growth Index Fund	Registered investment company	26,444
	Invesco Diversified Dividend Fund	Registered investment company	189,343
*	Fidelity Value Fund	Registered investment company	4,366
*	Fidelity Overseas Fund	Registered investment company	96,281
*	Fidelity Balanced Fund	Registered investment company	133,472
*	Fidelity Blue Chip Growth Fund	Registered investment company	37,205
*	Fidelity Large Cap Stock Fund	Registered investment company	5,686
*	Fid Freedom Income Fund	Registered investment company	33,094
*	Fidelity Freedom 2010 Fund	Registered investment company	244,203
*	Fidelity Freedom 2020 Fund	Registered investment company	861,740
*	Fidelity Freedom 2030 Fund	Registered investment company	727,747
*	Fidelity Freedom 2040 Fund	Registered investment company	394,098
*	Fidelity Total Bond Fund	Registered investment company	153,582
*	Fidelity Freedom 2005 Fund	Registered investment company	204,567
*	Fidelity Freedom 2015 Fund	Registered investment company	338,114
*	Fidelity Freedom 2025 Fund	Registered investment company	369,475
*	Fidelity Freedom 2035 Fund	Registered investment company	376,511
*	Fidelity 500 Index Fund	Registered investment company	163,010
*	Fidelity Freedom 2045 Fund	Registered investment company	407,873
*	Fidelity Freedom 2050 Fund	Registered investment company	335,354
*	Fidelity Freedom 2055 Fund	Registered investment company	71,885
*	Fidelity Mid Cap Index Fund	Registered investment company	86,528
*	Fidelity Freedom 2060 Fund	Registered investment company	5,667
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	1,491
*	Self-directed brokerage account	Various share/units	125,746
			5,592,435
*	Participant loans	4.25% to 8.00%	121,571
			$ 5,714,006

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

December 31, 2015

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(e) Current value
	Oppenheimer International Growth Fund	Registered investment company	$ 10,222
	Eagle Mid Cap Growth Fund	Registered investment company	14,167
	Glenmede Small Cap Equity Portfolio	Registered investment company	19,469
	Vanguard Small Cap Growth Index Fund	Registered investment company	12,189
	Invesco Diversified Dividend Fund	Registered investment company	16,504
*	Fidelity Value Fund	Registered investment company	1,922
*	Fidelity Overseas Fund	Registered investment company	16,163
*	Fidelity Balanced Fund	Registered investment company	4,163
*	Fidelity Blue Chip Growth Fund	Registered investment company	31,546
*	Fidelity Large Cap Stock Fund	Registered investment company	4,872
*	Fid Freedom Income Fund	Registered investment company	25,971
*	Fidelity Freedom 2010 Fund	Registered investment company	196,513
*	Fidelity Freedom 2020 Fund	Registered investment company	718,000
*	Fidelity Freedom 2030 Fund	Registered investment company	766,200
*	Fidelity Freedom 2040 Fund	Registered investment company	330,726
*	Fidelity Total Bond Fund	Registered investment company	46,244
*	Fidelity Freedom 2005 Fund	Registered investment company	183,186
*	Fidelity Freedom 2015 Fund	Registered investment company	494,661
*	Fidelity Freedom 2025 Fund	Registered investment company	424,477
*	Fidelity Freedom 2035 Fund	Registered investment company	634,402
	Spartan 500 Index Fund Investor Class	Registered investment company	45,406
*	Fidelity Freedom 2045 Fund	Registered investment company	466,686
*	Fidelity Freedom 2050 Fund	Registered investment company	234,978
*	Fidelity Freedom 2055 Fund	Registered investment company	33,968
	Spartan Mid Cap Index Fund	Registered investment company	13,289
*	Fidelity Freedom 2060 Fund	Registered investment company	2,274
*	Fidelity Managed Income Portfolio Fund Class 1	Collective trust	950
*	Self-directed brokerage account	Various shares/units	81,557
			4,830,705
*	Participant loans	4.25% to 8.00%	152,149
			$ 4,982,854

** Parties-in-interest*

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Year Ended December 31, 2016

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 48,325	$ 24,737	$ 23,588	$ -	$ -

FOUNDATION PARTNERS GROUP, LLC RETIREMENT SAVINGS PLAN

Schedule H, Line 4a - Schedule of Delinquent Participant Contributions

Employer Identification Number (EIN): 27-2399927
Plan Number (PN): 001

For the Period from October 1, 2015 (Date of Inception) to December 31, 2015

Participant Contributions Transferred Late to Plan	Total that Constitute Nonexempt Prohibited Transactions			
Check Here if Late Participant Loan Repayments are Included: □	Contributions Not Corrected	Contributions Corrected Outside VFCP	Contributions Pending Correction in VFCP	Total Fully Corrected Under VFCP and PTE 2002-51
$ 26,021	$ 1,982	$ 24,039	$ -	$ -