Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t Identification Information						
For calenda	ar plan year 2017 or t	fiscal plan year beginning 01/01/20) <u>17</u>	and ending 1	2/31/2017			
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)					
D		a one-participant plan	a foreign plan					
B This return/report is		the first return/report	return/report the final return/report					
		an amended return/report	a short plan year return/report (less than 12 months)					
C Check box if filing under: Form 5558 automatic extension DFVC						am		
—		special extension (enter descrip	· · · · · · · · · · · · · · · · · · ·					
Part II		ormation—enter all requested info	rmation			. 1		
1a Name of plan DARYL I. BOEKENOOGEN, DDS, MS, PS PROFIT SHARING PLAN				1b Three-dig plan num (PN) ▶				
					1c Effective date of plan 11/02/1972			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)				-tti	2b Employer Identification Number (EIN) 91-0888819			
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) DARYL I. BOEKENOOGEN, DDS, MS, PS			structions)	2c Sponsor's telephone number 425-357-5813				
10217 10 T U	AVE SE 201	10217 10T	U AVE SE 201		2d Business code (see instructions)			
10217 19TH AVE SE 201 10217 19TH AVE SE 201 EVERETT, WA 98208 EVERETT, WA 98208					621210			
3a Plan a	dministrator's name a	and address X Same as Plan Spons	sor.		3b Administr	ator's EIN		
					3c Administr	ator's telephone number		
 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. Sponsor's name 				4b EIN 4d PN				
C Plan N					TOTAL			
5a Total number of participants at the beginning of the plan year				5a	1			
	b Total number of participants at the end of the plan year				5b	1		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					5c	1		
d(1) Total number of active participants at the beginning of the plan year			5d(1)	1				
 d(2) Total number of active participants at the end of the plan year Number of participants who terminated employment during the plan year with accrued benefits that were less 			5d(2)	1				
than	100% vested				5e			
Under pena SB or Sche	alties of perjury and o	e or incomplete filing of this return, other penalties set forth in the instruct and signed by an enrolled actuary, as nolete.	ions, I declare that I hav	e examined this return/re	port, including, i	f applicable, a Schedule		
SIGN		d/valid electronic signature.	01/30/2018	DARYL I BOEKENOC	GEN DDS			
HERE	Signature of plan	administrator	Date	Enter name of individ	ividual signing as plan administrator			
SIGN HERE	Filed with authorized	d/valid electronic signature.	01/30/2018	DARYL I BOEKENOO	GEN DDS			
	Signature of empl	loyer/plan sponsor	Date	Enter name of individ	nter name of individual signing as employer or plan sponsor			

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes No				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					X Yes No				
_	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						□ Not determined			
C	If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the		= '				· -	Not determined . (See instructions.)		
		е гвос р	remidin ming for this p	iaii yea	!			(See instructions.)		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year		
<u>a</u>	Total plan assets	7a	42	24729				496432		
<u>b</u>	Total plan liabilities	al plan liabilities								
	Net plan assets (subtract line 7b from line 7a)	7c		424729		4964		496432		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total		
a	Contributions received or receivable from: (1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
<u> b </u>	Other income (loss)			76934						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						76934		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f		5231						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						5231		
i	Net income (loss) (subtract line 8h from line 8c)	8i						71703		
j	Transfers to (from) the plan (see instructions)	8j								
Par	Part IV Plan Characteristics									
9a										
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribut									
	described in 29 CFR 2510.3-102? (See instructions and DOL's V-Program)	•	,	10a		X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	C Was the plan covered by a fidelity bond?			10c	Χ			50000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		33000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101			10i		X				

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
C Enter the amount contributed by the employer to the plan for this plan year					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)