Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to **Public Inspection**

Part I	Annual Report	t Identification Information						
For calend	ar plan year 2017 or f	fiscal plan year beginning 01/01/201	7	and ending 12	2/31/2017			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer plants of participating em	an (not multiemployer) (aployer information in ac	_			
		a one-participant plan	a foreign plan					
B This reti	urn/report is	H	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC program			
- · · ·		special extension (enter descripti	<u> </u>					
Part II		ormation—enter all requested inform	mation					
1a Name	•				1b Three-digit			
LINDENHUF	RST EYE PHYSICIAN	NS & SURGEONS, PC 401(K) PROFIT	SHARING PLAN		plan number (PN) ▶	001		
					` '	1		
					1c Effective date of 10/0	1/1986		
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. B	Sox)		2b Employer Ident	ification Number		
		nce, country, and ZIP or foreign postal of		ructions)	` '			
LINDENHUF	RST EYE PHYSICIAN	NS & SURGEONS, PC			2c Sponsor's telephone number 631-957-3355			
					2d Business code (see instructions)			
500 WEST N BABYLON, N	MAIN STREET, STE 2 NY 11702	210			621	111		
27.12.120.11, 1								
3a Plan a	idministrator's name a	and address X Same as Plan Sponso	ır.		3b Administrator's	EIN		
					3c Administrator's	telephone number		
4 If the	name and/or EIN of th	he plan sponsor or the plan name has o	changed since the last re	eturn/report filed for	4b EIN			
		onsor's name, EIN, the plan name and	the plan number from the	ne last return/report.	4.1			
•	sor's name				4d PN			
C Plan N	vame							
5a Total	number of participant	s at the beginning of the plan year			5a	47		
		s at the end of the plan year			5b	42		
		n account balances as of the end of the	. , , ,	'	5c	30		
d(1) Tot	al number of active pa	articipants at the beginning of the plan	year		5d(1)	38		
d(2) Total number of active participants at the end of the plan year				5d(2)	32			
		o terminated employment during the pl			5e	0		
Caution: A	A penalty for the late	or incomplete filing of this return/re	eport will be assessed	unless reasonable cau				
SB or Sche		other penalties set forth in the instruction and signed by an enrolled actuary, as we note:						
SIGN		d/valid electronic signature.	01/30/2018	RICHARD NATTIS				
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as plan ad	ministrator		
SIGN	Filed with authorized	d/valid electronic signature.	01/30/2018	RICHARD NATTIS				

Date

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	an indepei	ndent qualified public a	ccount	ant (IQ	PA)		X Yes No
С	If you answered "No" to either line 6a or line 6b, the plan cann If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	not use Fo	rm 5500-SF and mus rogram (see ERISA se	t instea ection 4	ad use 021)?	Form	5500. Yes No	Not determined . (See instructions.)
Pa	t III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year
a	Total plan assets	. 7a	265	59917				3082717
b	Total plan liabilities	. 7b		0				0
С	Net plan assets (subtract line 7b from line 7a)	. 7c	269	59917				3082717
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b)	Total
a	Contributions received or receivable from: (1) Employers	. 8a(1)		0				
	(2) Participants	8a(2)	4	44210				
	(3) Others (including rollovers)	. 8a(3)		0				
b	Other income (loss)	. 8b	4	14629				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						458839
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	,	13062				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e		0	_			
f	Administrative service providers (salaries, fees, commissions)	. 8f	2	22977				
	Other expenses	. 8g		0	_			
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h						36039
<u> </u>	Net income (loss) (subtract line 8h from line 8c)							422800
	Transfers to (from) the plan (see instructions)	8j		0				
_	t IV Plan Characteristics							
9a 	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2H 2J 2K 2T 3D							
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	des in the instr	uctions:
Par	t V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X		
С				10c	Χ			280000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X		
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Χ		
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	X			27339
h	2520.101-3.)	· 		10h		X		
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?				No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)

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OMB Nos. 1210-0110 1210-0089

2017

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	rt Identification Informatio	n_			
or calendar plan year 2017 or		01/01/2017	and ending	12/31/20	L7
This return/report is for:	x a single-employer plan a one-participant plan	a multiple-employer p a list of participating of a foreign plan	lan (not multiemployer) employer information in	(Filers checking the accordance with the	is box must attach e form instructions.)
This return/report is:	the first return/report	the final return/report			
	an amended return/report	a short plan year retu	rn/report (less than 12 n	nonths)	
Check box if filing under:	Form 5558	automatic extension		☐ DFVC p	rogram
Account times	special extension (enter desc	cription)			
Basic Plan Int	formation enter all requested	d information			
Name of plan Lindenhurst Eye Ph	nysicians & Surgeons, P	C 401(k) Profit Sha	ring Plan	1b Three-digit plan numb	er
			-	(PN) ► 1c Effective d 10/01/1	•
Mailing Address (include ro	loyer, if for a single-employer plan) oom, apt., suite no. and street, or P nce, country, and ZIP or foreign pos	O Boyl	ructions)	2b Employer I	dentification Number -2683318
Lindenhurst Eye Ph	nysicians & Surgeons, Po	3			elephone number
500 West Main Stre	eet, Ste 210			2d Business of 621111	ode (see instructions)
US Babylon NY 11702					
Plan administrator's name	and address X Same as Plan Sp	onsor		3b Administra	or's EIN
				3c Administra	or's telephone numbe
If the name and/or EIN of the this plan, enter the plan spo	ne plan sponsor or the plan name h onsor's name, EIN, the plan name a	as changed since the last re and the plan number from th	turn/report filed for a last return/report.	4b EIN	
Sponsor's name			•	4d PN	
Plan Name					
Total number of participants	s at the beginning of the plan year			5a	47
Total number of participants	s at the end of the plan year	***************************************		5b	42
Number of participants with	account balances as of the end of	the plan year (only defined	contribution plans	5c	30
	rticipants at the beginning of the pl		**************************************	5d(1)	38
2) Total number of active pa	rticipants at the end of the plan yea	1	***********************************	5d(2)	32
Number of participants who less than 100% vested	terminated employment during the	plan year with accrued ben	efits that were	5e	
	or incomplete filing of this set			L	0
der penalties of perjury and o	or incomplete filing of this return other penalties set forth in the instruand and signed by an enrolled actuary, polete.	ctions I declare that I have	evamined this return/rea	ort including if a	onlicable a Sabadula
(LUU)	M	1 .	Richard Nattis		
		144.44			
Signature of plan and	ministrator	Date // 30//6	Enter name of individua	ıl signing as plan a	dministrator
Signature of play and Signature of employe	WAL	Date // 30//6	Enter name of Individua Richard Nattis	Il signing as plan a	dministrator