## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**HERE** 

JACK STUART NEWSOME

15 COLLEGE AVE NYACK, NY 10960

STUART NEWSOME CONSTRUCTION LLC

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

2015

OMB Nos. 1210-0110

This Form is Open to Public Inspection

Part I	Annual Report	i Identification Information							
For calend	ar plan year 2015 or f	iscal plan year beginning 01/01/2	2015	and ending 11/23/2	2015				
<b>A</b> This re	turn/report is for:	a single-employer plan  a one-participant plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions)  a foreign plan						
<b>B</b> This ret	urn/report is	the first return/report an amended return/report	<ul> <li>X the final return/report</li> <li>X a short plan year return/report (less than 12 months)</li> </ul>						
C Check	box if filing under:	Form 5558	automatic extension DFVC program						
D1 II	Desir Bleeder	special extension (enter descri							
Part II  1a Name STUART N		ormation—enter all requested in	formation	1b	Three-digit plan number (PN) ▶ 101				
				1c	Effective date of plan 01/01/2012				
Mailing	ponsor's name (emplo g address (include roo		<b>2b</b> Employer Identification Number (EIN) 26-1166958						
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)  STUART NEWSOME CONSTRUCTIONLLC				2c	Sponsor's telephone number 914-447-4224				
15 COLLEGE AVE NYACK, NY 10960-4207 15 COLLEGE AVE NYACK, NY 10960-4207			2d	2d Business code (see instructions) 238900					
3a Plan a	dministrator's name a	nd address XSame as Plan Spons	sor.	3b	Administrator's EIN				
				3c	Administrator's telephone number				
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4b EIN				
<b>a</b> Spons	or's name			4c	PN				
<b>5a</b> Total	number of participants	s at the beginning of the plan year			5a 2				
<b>b</b> Total number of participants at the end of the plan year					<b>5b</b> 0				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					<b>5c</b> 0				
d(1) Total number of active participants at the beginning of the plan year					I(1) 2				
d(2) Total number of active participants at the end of the plan year					<b>I(2)</b> 0				
Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested					<b>5e</b> 0				
Under pen SB or Sche	alties of perjury and o	or incomplete filing of this return ther penalties set forth in the instruc- and signed by an enrolled actuary, a aplete.	ctions, I declare that I have	examined this return/report,	including, if applicable, a Schedule				
SIGN	Filed with authorized	I/valid electronic signature.	03/05/2018	JACK NEWSOME					
HERE	Signature of plan	administrator	Date	Enter name of individual si	gning as plan administrator				
SIGN									

Date

Preparer's name (including firm name, if applicable) and address (include room or suite number )

Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

Preparer's telephone number

914-447-4224

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<b>b</b> Are y unde	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 550					5500.	X Yes [] No					
	plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	orogram (see ERISA se	ction 4	021)? .		Yes	No	N	lot dete	ermined	
Part III	Financial Information	1	<u> </u>			1						
<b>7</b> Plan	Assets and Liabilities		(a) Beginning				(b) End of Year					
	plan assets	. 7a		18	404	-					0	
	plan liabilities	7b		0			0					
	olan assets (subtract line 7b from line 7a)	7c	18404				<u> </u>					
	me, Expenses, and Transfers for this Plan Year ributions received or receivable from:		(a) Amou	ınt				(r	) Tot	aı		
	Employers	8a(1)	14		400							
(2)	Participants	8a(2)	2) 5		500							
(3)	Others (including rollovers)	8a(3)		0								
	r income (loss)	8b		242								
	income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c								7	7142	
	fits paid (including direct rollovers and insurance premiums byide benefits)	. 8d		25481								
	ain deemed and/or corrective distributions (see instructions)	8e		0								
<b>f</b> Admi	inistrative service providers (salaries, fees, commissions)	8f			65							
<b>g</b> Othe	r expenses	. 8g			0							
<b>h</b> Total	expenses (add lines 8d, 8e, 8f, and 8g)	8h						25546				
i Net i	Net income (loss) (subtract line 8h from line 8c)									-18	3404	
<b>j</b> Trans	sfers to (from) the plan (see instructions)	8j			0							
Part IV	Plan Characteristics											
	e plan provides pension benefits, enter the applicable pension  E 2F 2G 2J 2K 2T 3D	feature co	odes from the List of Pla	an Cha	racteris	stic Co	des in t	the ins	tructio	ns:		
	e plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	ic Cod	les in th	ne instr	uction	ıs:		
Part V	Compliance Questions											
	ring the plan year:				Yes	No	N/A		Α	moun	t	
de	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X						
	re there any nonexempt transactions with any party-in-interest											
	reported on line 10a.) 10b			10b		X						
	Was the plan covered by a fidelity bond?			10c		X						
	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X						
carı	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X						
	Has the plan failed to provide any benefit when due under the plan?					Х						
<b>g</b> Did						Χ						
h If th	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g		X						
<b>i</b> If 10	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10h								
	eptions to providing the notice applied under 29 CFR 2520.10 the plan trust incur unrelated business taxable income?			10i 10i								
-	Pension Funding Compliance			10]	1			<u> </u>				
11 Is th	nis a defined benefit plan subject to minimum funding requirem  (0) and line 11a below)									П Үе	es X No	
	er the unpaid minimum required contribution for all years from						11a				<u> </u>	
	his a defined contribution plan subject to the minimum funding		, , ,					RISA	·	Ye	s X No	

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(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and						the letter rul Year	ling			
If	granting the waiver									
<b>b</b> Enter the minimum required contribution for this plan year										
		e amount contributed by the employer to the plan for this plan year		12c						
	Subtra	ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the	left of a	12d						
		ve amount)			Yes	No	N/A			
Part		e minimum funding amount reported on line 12d be met by the funding deadline?  Plan Terminations and Transfers of Assets		163	140	IN/A				
		resolution to terminate the plan been adopted in any plan year?		X Yes No						
		," enter the amount of any plan assets that reverted to the employer this year		13a						
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brough		ontrol			No			
_		PBGC?			<u> </u>	Yes _	INO			
С		ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi assets or liabilities were transferred. (See instructions.)	fy the plan(s) to							
1	13c(1) N	lame of plan(s):	13c(2)	EIN(s)		<b>13c(3)</b> PN(s)				
Part	VIII	Trust Information		ı						
14a	Name o	f trust		<b>14b</b> Trust's EIN						
14c	Name	of trustee or custodian		14d Trustee's or custodian's						
						telephone number				
Par	t IX	IRS Compliance Questions								
		olan a 401(k) plan?		Υe	es	No				
ıJa	is the	лан а 40 (K) ріан <i>:</i>		Design-						
15b		"how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals an		based safe ADP/A						
		ng contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?		method						
<b>15c</b> If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-						Yes No				
2(a)(2)(ii))?					otio					
16a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):					atio ercentage		erage efit test			
<b>16b</b> Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining					st					
this plan with any other plans under the permissive aggregation rules?				∐ Ye	es	∐ No				
17a Has the plan been timely amended for all required tax law changes?				Ye	s	No	N/A			
17b Date the last plan amendment/restatement for the required tax law changes was adopted// Enter the applicable code (See instruction for tax law changes and codes).						tructions				
17c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter/ and the letter's serial number										
17d	17d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter/									
18					S	No				
19	9 Were in-service distributions made during the plan year?				es	No				
	If "Yes," enter amount									
20	Were required minimum distributions made to 5% owners who have attained age 70 ½ (regardless of whether or not retired), as required under section 401(a)(9)?					No	N/A			
			_							

Department of Treasury Internal Revenue Service N0tice 1393 (REV. 1-2010

I recently received a notice from your department explaining that the filing for my 5500SF year 2015 was not filed. I did not know this form was not filed as I have worked with Paychex every year to make sure that this was filed correctly. I have contacted Paychex and they have been of little help, and have told me to correct this on my own as I'm no longer subscribed to there service.

I was totally surprised to receive this notice as you will see by the filings for previous years I have filed on time for multiple years. I want to express my sincere apology for any inconvenience created by the late filing and ask you to understand this was not intentional I don't know what went wrong, and if I had known I would have corrected this mistake sooner.

Yours Sincerely Jack Newsome