Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2017

This Form is Open to **Public Inspection**

Part I		Identification Information						
For calenda	ar plan year 2017 or f	iscal plan year beginning 01/01/20	<u> 17</u>	and ending 1	2/31/2017			
A This ret	A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
B This return/report is		a one-participant plan	a foreign plan					
D This lett	ini/report is	- H	the final return/report					
		n/report (less than 12 m	onths)					
C Check b	oox if filing under:		DFVC program					
		special extension (enter descrip						
Part II		ormation—enter all requested infor	rmation		T 44			
1a Name	•				1b Three-digit plan number			
WESTERNE	POLYMER CORPOR	ATION 401(K)PLAN			(PN) ▶	001		
					1c Effective date			
						01/1996		
Mailing	address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O.			2b Employer Iden (EIN) 94-	tification Number 1706145		
-	OLYMER CORPORA	ce, country, and ZIP or foreign postal ATION	code (if foreign, see insti	ructions)	2c Sponsor's tele	phone number 65-1803		
					2d Business code	(see instructions)		
32 ROAD R S	SE E, WA 98837-9303				325900			
WOOLS LAK	L, WA 90037-9303							
3a Plan ad	dministrator's name a	and address Same as Plan Spons	or.		3b Administrator's			
WESTERN POLYMER CORPORATION 32 ROAD R SE MOSES LAKE, WA 98837-9303					94-1706145 3c Administrator's telephone number			
		WOSES EAR	KL, WA 90037-9303			65-1803		
1 If the a				ations (non-out file of for-	4h cu			
		e plan sponsor or the plan name has onsor's name, EIN, the plan name and			4b EIN			
a Sponso			•	·	4d PN			
C Plan N	ame							
<u> </u>					Fo	100		
_		s at the beginning of the plan year			5a 5b	103		
		s at the end of the plan year account balances as of the end of the			5c	90		
compl	ete this item)							
` '	·	articipants at the beginning of the plan	•		5d(1)	91		
d(2) Total number of active participants at the end of the plan yeare Number of participants who terminated employment during the plan year with accrued benefits that were less					5d(2) 83			
than '	100% vested		·····		5e	6		
		or incomplete filing of this return/r ther penalties set forth in the instruction				licable a Schedule		
SB or Sche		and signed by an enrolled actuary, as						
SIGN	Filed with authorized	d/valid electronic signature.	03/06/2018	LYNN TOWNSEND-V	VHITE			
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as plan ad	dministrator		
SIGN								

Date

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

Form 5500-SF 2017 Page **2**

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)								No
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								No
C	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No								ned
	If "Yes" is checked, enter the My PAA confirmation number from the		-						
Da				, , , , ,					
	rt III Financial Information				I				
7	Plan Assets and Liabilities		(a) Beginning	ot Year 07649			(b) End	of Year	
<u>а</u> b	Total plan liabilities	7a	570	J7649				7253851 1925	
	Total plan liabilities	7b	570	07649				7251926	
<u>c</u> 	Net plan assets (subtract line 7b from line 7a)	7c	(a) Amoun				(b) '		
	Contributions received or receivable from:		(a) Allioun	ıt .			(10)	<u>Fotal</u>	
	(1) Employers	8a(1)	22	29729					
	(2) Participants	8a(2)	25	54609					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	122	25954					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1710292	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	16	63037					
e	Certain deemed and/or corrective distributions (see instructions)								
f	Administrative service providers (salaries, fees, commissions)	8f		840					
g	Other expenses	8g		2138					
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						166015	
i	Net income (loss) (subtract line 8h from line 8c)							1544277	
j	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics	٠,							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D 2F 2T 2A	feature co	des from the List of Pla	an Cha	racteris	stic Co	odes in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribut								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	•	•	10a		Χ			
b	Were there any nonexempt transactions with any party-in-interest			IVa					
	reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X			500000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-e	end.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

Form 5500-SF 2017	Page 3- 1
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Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	. Y	es No			
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a						
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No			
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter Year	ruling			
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	C Enter the amount contributed by the employer to the plan for this plan year							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A			
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No			
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)			

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

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Part I		t Identification In								
For calend	lar plan year 2017 or	fiscal plan year beginr	ning 01/	01/2017	and ending	12/31/2	017			
A This re	turn/report is for:	X a single-employ		a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participan	t plan 📗 a	ı foreign plan						
B This ret	urn/report is	the first return/re	eport [] th	e final return/report						
an amended return/report a short plan year return/report (les						an 12 months)				
C Check	box if filing under:	Form 5558	_	utomatic extension		DFVC program	n			
—	T 		n (enter description)							
Part II		ormation—enter all	requested informat	ion		T 41				
1a Name WESTERN	·	PORATION 401(k	c) PLAN			1b Three-digit plan numb (PN) ▶				
·						1c Effective d 01/01/1	•			
Mailin	g address (include ro	oyer, if for a single-emom, apt., suite no. and	street, or P.O. Box)		uations)		dentification Number 1706145			
	N POLYMER COR	ce, country, and ZIP of RPORATION	i Toreign postai cod	e (ii ioreign, see instri	uctions)	2c Sponsor's 509-765	telephone number			
32 ROAI	O R SE					2d Business code (see instructions) 325900				
MOSES 1	LAKE	WA 9	8837-9303							
	idministrator's name a		as Plan Sponsor.			3b Administration 94-17061				
32 ROAD	3c Administrator's telephone number 509-765-1803									
4 If the	name and/or EIN of th	ne plan sponsor or the				4b EIN				
-	· · · · · · · · · · · · · · · · · · ·	onsor's name, EIN, the	e plan name and the	plan number from the	e last return/report.	44 50				
C Plan	sor's name Name				,	4d PN				
5a Total	number of participant	s at the beginning of the	ne plan year			. 5a	103			
b Total	number of participant	s at the end of the plan	n year			5b	100			
	lata this itam\	account balances as	•	• , •	contribution plans	5c	90			
d(1) Tot	al number of active p	articipants at the begir	ning of the plan yea	ír		5d(1)	91			
d(2) Tot	tal number of active p	articipants at the end o	of the plan year			5d(2)	83			
than	100% vested	o terminated employm		. 		5e	6			
Caution: A	A penalty for the late	or incomplete filing	of this return/repo	rt will be assessed ι	inless reasonable ca	use is establishe	d.			
SB or Scho	aities of perjury and c edule MB completed a true, correct, and con	and signed by an enrol	led actuary, as well	as the electronic vers	examined this return/re sion of this return/repor	port, including, if a t, and to the best	applicable, a Schedule of my knowledge and			
SIGN	Lon	Tita	the	3/10/2017	LYNN TOWNSEND	-WHITE				
HERE	Signature of plan	administrator		Date	Enter name of individ	ual signing as pla	n adminiştrator			
SIGN	Fin S	fully	1 hate.	3/6/20/10	Luna To	uncend-	White			
HERE Signature of employer/plan sponsor Date Enfer name of individual signing as employer or plan sponsor					nlover or plan sponsor					

Page	2

5500		

6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No
	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes No
	If you answered "No" to either line 6a or line 6b, the plan cann							
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined							
	If "Yes" is checked, enter the My PAA confirmation number from the	ie PBGC p	remium filing for this p	lan yea	r			(See instructions.)
Pa	rt III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year
а	Total plan assets	7a	5,	707,	649			7,253,851
b	Total plan liabilities	7b				·		1,925
С	Net plan assets (subtract line 7b from line 7a)	7c	5,	707,	649			7,251,926
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	ıt			(b) ·	Total
а	Contributions received or receivable from:			220	720			
	(1) Employers	8a(1)		229,				
	(2) Participants	8a(2)		254,	609			
	(3) Others (including rollovers)	8a(3)			0.5.4			
	Other income (loss)	8b	1,	225,	954			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1,710,292
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		163,	037			
	Certain deemed and/or corrective distributions (see instructions)	8e						
	Administrative service providers (salaries, fees, commissions)	8f			840			
g	Other expenses				138			
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g 8h					•	166,015
		8i			_			1,544,277
-	Net income (loss) (subtract line 8h from line 8c)				-			1,344,277
		8j						
9a	rt IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension	facture co	des from the List of Di	on Cha		otio Co	des in the ine	tu stione.
Ja	2E 2G 2J 2K 3D 2F 2T 2A	reature co	ides iform the List of Pi	an Cha	acten	Suc Ct	ues in the ms	tructions.
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	des in the instr	ructions:
Par	t V Compliance Questions							
10	During the plan year:		•		Yes	No		Amount
а	, ,, ,							
	described in 29 CFR 2510.3-102? (See instructions and DOL's \ Program)			10a		х		
b	Were there any nonexempt transactions with any party-in-interest			100	 			
	reported on line 10a.)			10b		Х		
С	Was the plan covered by a fidelity bond?		•••••	10c	х			500,000
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused			v		
	by fraud or dishonesty?			10d		Х		
е	Were any fees or commissions paid to any brokers, agents, or other							
	carrier, insurance service, or other organization that provides somethe plan? (See instructions.)			10e		Х		
f	the plan? (See instructions.)					Х		
				10f 10g	 	Х		
	If this is an individual account plan, was there a blackout period?			109	<u> </u>			
	2520.101-3.)			10h		Х		
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10			10i				
	exceptions to providing the notice applied under 25 OFT 2020, 101-3						Ī	