

Form 5500 Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Annual Return/Report of Employee Benefit Plan This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code). ▶ Complete all entries in accordance with the instructions to the Form 5500.	OMB Nos. 1210-0110 1210-0089
		2015
		This Form is Open to Public Inspection

Part I	Annual Report Identification Information
For calendar plan year 2015 or fiscal plan year beginning <u>01/01/2015</u> and ending <u>12/31/2015</u>	
A This return/report is for:	☐ a multiemployer plan; ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions); or ☒ a single-employer plan; ☐ a DFE (specify) ____
B This return/report is:	☐ the first return/report; ☐ the final return/report; ☒ an amended return/report; ☐ a short plan year return/report (less than 12 months).
C If the plan is a collectively-bargained plan, check here.	☒
D Check box if filing under:	☐ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II	Basic Plan Information —enter all requested information
1a Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	1b Three-digit plan number (PN) ▶ <u>001</u> 1c Effective date of plan <u>12/06/1996</u>
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box) City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) <u>ROCKWELL COLLINS, INC.</u> <u>400 COLLINS ROAD, MAIL CODE 124-318</u> <u>CEDAR RAPIDS, IA 52498</u>	2b Employer Identification Number (EIN) <u>52-2314475</u> 2c Plan Sponsor's telephone number <u>714-929-3432</u> 2d Business code (see instructions) <u>335900</u>

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	<u>Filed with authorized/valid electronic signature.</u>	<u>03/06/2018</u>	<u>ROSA BARONE</u>
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE
Preparer's name (including firm name, if applicable) and address (include room or suite number)			Preparer's telephone number

3a Plan administrator's name and address ☒ Same as Plan Sponsor	3b Administrator's EIN 3c Administrator's telephone number <div style="background-color: #cccccc; height: 40px; width: 100%;"></div>
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report: a Sponsor's name	4b EIN 4c PN
5 Total number of participants at the beginning of the plan year	5 29124
6 Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines 6a(1) , 6a(2) , 6b , 6c , and 6d). a(1) Total number of active participants at the beginning of the plan year a(2) Total number of active participants at the end of the plan year b Retired or separated participants receiving benefits..... c Other retired or separated participants entitled to future benefits..... d Subtotal. Add lines 6a(2) , 6b , and 6c e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits..... f Total. Add lines 6d and 6e g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item) h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	6a(1) 9137 6a(2) 8791 6b 13597 6c 6761 6d 29149 6e 1322 6f 30471 6g 6h 45
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	7
8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions: 1A 3F 3H b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:	
9a Plan funding arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor	9b Plan benefit arrangement (check all that apply) (1) ☒ Insurance (2) ☐ Code section 412(e)(3) insurance contracts (3) ☒ Trust (4) ☐ General assets of the sponsor
10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)	
a Pension Schedules (1) ☒ R (Retirement Plan Information) (2) ☐ MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary (3) ☒ SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	b General Schedules (1) ☒ H (Financial Information) (2) ☐ I (Financial Information – Small Plan) (3) ☒ <u>2</u> A (Insurance Information) (4) ☒ C (Service Provider Information) (5) ☒ D (DFE/Participating Plan Information) (6) ☒ G (Financial Transaction Schedules)

Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)
-----------------	---

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code _____

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2015 This Form is Open to Public Inspection
---	---	---

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
---------------	---

1 Coverage Information:

(a) Name of insurance carrier
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
06-1050034	93629	016729A	10	01/01/2015	12/31/2015

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	957688
5 Current value of plan's interest under this contract in separate accounts at year end	5	0

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ▶ ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GUARANTEED GENERAL ACCOUNT CONTRACT**

b Balance at the end of the previous year	7b	0
--	-----------	---

c Additions: (1) Contributions deposited during the year	7c(1)		
(2) Dividends and credits	7c(2)		
(3) Interest credited during the year	7c(3)		
(4) Transferred from separate account	7c(4)		
(5) Other (specify below)..... ▶ TRANSFERRED IN FROM OTHER PLAN	7c(5)	957688	

(6) Total additions	7c(6)	957688
---------------------------	--------------	--------

d Total of balance and additions (add lines 7b and 7c(6))	7d	957688
---	-----------	--------

e Deductions:

(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)		
(2) Administration charge made by carrier	7e(2)		
(3) Transferred to separate account	7e(3)		
(4) Other (specify below)..... ▶	7e(4)		

(5) Total deductions	7e(5)	0
----------------------------	--------------	---

f Balance at the end of the current year (subtract line 7e(5) from line 7d).....	7f	957688
--	-----------	--------

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
b ☐ Dental
c ☐ Vision
d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
f ☐ Long-term disability
g ☐ Supplemental unemployment
h ☐ Prescription drug
i ☐ Stop loss (large deductible)
j ☐ HMO contract
k ☐ PPO contract
l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	0
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	0
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.....	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

SCHEDULE A (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Insurance Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ File as an attachment to Form 5500. ▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).	OMB No. 1210-0110 2015 This Form is Open to Public Inspection
---	---	---

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶ 001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475

Part I	Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.
---------------	---

1 Coverage Information:

(a) Name of insurance carrier
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY

(b) EIN	(c) NAIC code	(d) Contract or identification number	(e) Approximate number of persons covered at end of policy or contract year	Policy or contract year	
				(f) From	(g) To
06-1050034	93629	016729B	26	01/01/2015	12/31/2015

2 Insurance fee and commission information. Enter the total fees and total commissions paid. List in line 3 the agents, brokers, and other persons in descending order of the amount paid.

(a) Total amount of commissions paid	(b) Total amount of fees paid

3 Persons receiving commissions and fees. (Complete as many entries as needed to report all persons).

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

(a) Name and address of the agent, broker, or other person to whom commissions or fees were paid

(b) Amount of sales and base commissions paid	Fees and other commissions paid		(e) Organization code
	(c) Amount	(d) Purpose	

Part II Investment and Annuity Contract Information

Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

4 Current value of plan's interest under this contract in the general account at year end	4	1886994
5 Current value of plan's interest under this contract in separate accounts at year end	5	0

6 Contracts With Allocated Funds:**a** State the basis of premium rates ▶

b Premiums paid to carrier	6b	
c Premiums due but unpaid at the end of the year	6c	
d If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, enter amount. Specify nature of costs ▶	6d	

e Type of contract: (1) ☐ individual policies (2) ☐ group deferred annuity
(3) ☐ other (specify) ▶

f If contract purchased, in whole or in part, to distribute benefits from a terminating plan, check here ☐**7 Contracts With Unallocated Funds (Do not include portions of these contracts maintained in separate accounts)**

a Type of contract: (1) ☐ deposit administration (2) ☐ immediate participation guarantee
(3) ☐ guaranteed investment (4) ☒ other ▶ **GUARANTEED GENERAL ACCOUNT CONTRACT**

b Balance at the end of the previous year	7b	0
--	-----------	---

c Additions: (1) Contributions deposited during the year	7c(1)		
(2) Dividends and credits	7c(2)		
(3) Interest credited during the year	7c(3)		
(4) Transferred from separate account	7c(4)		
(5) Other (specify below)..... ▶ TRANSFERRED FROM OTHER PLAN	7c(5)	1886994	

(6) Total additions	7c(6)	1886994
---------------------------	--------------	---------

d Total of balance and additions (add lines 7b and 7c(6))	7d	1886994
---	-----------	---------

e Deductions:			
(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)		
(2) Administration charge made by carrier	7e(2)		
(3) Transferred to separate account	7e(3)		
(4) Other (specify below)..... ▶	7e(4)		

(5) Total deductions	7e(5)	0
----------------------------	--------------	---

f Balance at the end of the current year (subtract line 7e(5) from line 7d).....	7f	1886994
--	-----------	---------

Part III Welfare Benefit Contract Information

If more than one contract covers the same group of employees of the same employer(s) or members of the same employee organizations(s), the information may be combined for reporting purposes if such contracts are experience-rated as a unit. Where contracts cover individual employees, the entire group of such individual contracts with each carrier may be treated as a unit for purposes of this report.

8 Benefit and contract type (check all applicable boxes)

- a** ☐ Health (other than dental or vision)
 b ☐ Dental
 c ☐ Vision
 d ☐ Life insurance
e ☐ Temporary disability (accident and sickness)
 f ☐ Long-term disability
 g ☐ Supplemental unemployment
 h ☐ Prescription drug
i ☐ Stop loss (large deductible)
 j ☐ HMO contract
 k ☐ PPO contract
 l ☐ Indemnity contract
m ☐ Other (specify) ▶

9 Experience-rated contracts:

a Premiums: (1) Amount received.....	9a(1)		
(2) Increase (decrease) in amount due but unpaid.....	9a(2)		
(3) Increase (decrease) in unearned premium reserve.....	9a(3)		
(4) Earned ((1) + (2) - (3)).....		9a(4)	0
b Benefit charges (1) Claims paid.....	9b(1)		
(2) Increase (decrease) in claim reserves.....	9b(2)		
(3) Incurred claims (add (1) and (2)).....		9b(3)	0
(4) Claims charged.....		9b(4)	
c Remainder of premium: (1) Retention charges (on an accrual basis) --			
(A) Commissions.....	9c(1)(A)		
(B) Administrative service or other fees.....	9c(1)(B)		
(C) Other specific acquisition costs.....	9c(1)(C)		
(D) Other expenses.....	9c(1)(D)		
(E) Taxes.....	9c(1)(E)		
(F) Charges for risks or other contingencies.....	9c(1)(F)		
(G) Other retention charges.....	9c(1)(G)		
(H) Total retention.....		9c(1)(H)	0
(2) Dividends or retroactive rate refunds. (These amounts were ☐ paid in cash, or ☐ credited.).....		9c(2)	
d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement.....		9d(1)	
(2) Claim reserves.....		9d(2)	
(3) Other reserves.....		9d(3)	
e Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).).....		9e	

10 Nonexperience-rated contracts:

a Total premiums or subscription charges paid to carrier.....	10a	
b If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount.....	10b	

Specify nature of costs ▶

Part IV Provision of Information

11 Did the insurance company fail to provide any information necessary to complete Schedule A? ☐ Yes ☒ No

12 If the answer to line 11 is "Yes," specify the information not provided. ▶

<div>SCHEDULE SB (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation</div>	<div>Single-Employer Defined Benefit Plan Actuarial Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).</div> <div>File as an attachment to Form 5500 or 5500-SF.</div>	<div>OMB No. 1210-0110</div> <div>2015</div> <div>This Form is Open to Public Inspection</div>
--	---	--

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015	
Round off amounts to nearest dollar.	
Caution: A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.	
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) 001
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B	F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500

Part I	Basic Information			
1	Enter the valuation date: Month 01 Day 01 Year 2015			
2	Assets:			
	a Market value	2a	2879387027	
	b Actuarial value	2b	2879387027	
3	Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
	a For retired participants and beneficiaries receiving payment	13805	1792921900	1792921900
	b For terminated vested participants	7127	314034378	314034378
	c For active participants	9490	619540157	642148596
	d Total	30422	2726496435	2749104874
4	If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
	a Funding target disregarding prescribed at-risk assumptions	4a		
	b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5	Effective interest rate	5	6.24%	
6	Target normal cost	6	20074549	

Statement by Enrolled Actuary

To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE		06/30/2016
	Signature of actuary	Date
STEVE VERGULDI	Type or print name of actuary	14-07161
		Most recent enrollment number
TOWERS WATSON DELAWARE INC.	Firm name	703-258-8036
		Telephone number (including area code)
901 N GLEBE ROAD ARLINGTON, VA 22203-8215	Address of the firm	

Part II	Beginning of Year Carryover and Prefunding Balances
----------------	--

		(a) Carryover balance	(b) Prefunding balance
7	Balance at beginning of prior year after applicable adjustments (line 13 from prior year)	0	129650576
8	Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	48400000
9	Amount remaining (line 7 minus line 8)	0	81250576
10	Interest on line 9 using prior year's actual return of <u>8.15</u> %	0	6621922
11	Prior year's excess contributions to be added to prefunding balance:		
a	Present value of excess contributions (line 38a from prior year)		43815366
b(1)	Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.46</u> %		0
b(2)	Interest on line 38b from prior year Schedule SB, using prior year's actual return		3570952
c	Total available at beginning of current plan year to add to prefunding balance.....		47386318
d	Portion of (c) to be added to prefunding balance		47386318
12	Other reductions in balances due to elections or deemed elections	0	0
13	Balance at beginning of current year (line 9 + line 10 + line 11d – line 12).....	0	135258816

Part III | Funding Percentages

14	Funding target attainment percentage.....	14	99.81 %
15	Adjusted funding target attainment percentage	15	104.73 %
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	99.10 %
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.....	17	%

Part IV Contributions and Liquidity Shortfalls

18 Contributions made to the plan for the plan year by employer(s) and employees:

(a) Date (MM-DD-YYYY)		(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)		(b) Amount paid by employer(s)	(c) Amount paid by employees	
10/05/2015		55000000	0					
				Totals ►	18(b)	55000000	18(c)	0

19 Discounted employer contributions – see instructions for small plan with a valuation date after the beginning of the year:

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date.....	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date.....	19c	52523608

20 Quarterly contributions and liquidity shortfalls:

a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No

C If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year			
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th
0	0	0	0

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:				
a Segment rates:	1st segment: 4.72 %	2nd segment: 6.11 %	3rd segment: 6.81 %	☐ N/A, full yield curve used
b Applicable month (enter code).....				21b 0
22 Weighted average retirement age				22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute				

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment.	☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment.....	☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment.....	27

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a).....	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)	31a	20074549	
b Excess assets, if applicable, but not greater than line 31a	31b	0	
32 Amortization installments:	Outstanding Balance	Installment	
a Net shortfall amortization installment	4976663	0	
b Waiver amortization installment	0	0	
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount	33	0	
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33) ..	34	20074549	
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement.....	0	20300000	20300000
36 Additional cash requirement (line 34 minus line 35)	36	0	
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)	37	52523608	
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)	38a	52523608	
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances	38b	52523608	
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)	39	0	
40 Unpaid minimum required contributions for all years.....	40	0	

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected	☐ 2 plus 7 years ☒ 15 years		
b Eligible plan year(s) for which the election in line 41a was made	☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011		
42 Amount of acceleration adjustment	42	0	
43 Excess installment acceleration amount to be carried over to future plan years	43	0	

<div>SCHEDULE C</div> <div>(Form 5500)</div> <div>Department of the Treasury</div> <div>Internal Revenue Service</div> <div>Department of Labor</div> <div>Employee Benefits Security Administration</div> <div>Pension Benefit Guaranty Corporation</div>	<div>Service Provider Information</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).</div> <div>▶ File as an attachment to Form 5500.</div>	OMB No. 1210-0110
		2015
		This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015		
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ▶	001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

- a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions)..... ☐ Yes ☒ No
- b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

VICTORY CAPITAL MANAGEMNT

13-2700161

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68 99	NONE	1089342	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

EPOCH INVESTMENT PARTNERS

20-1003862

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	842519	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

WELLS CAPTIAL MANAGEMENT

95-3692822

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	837246	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WCM INVESTMENT MANAGEMENT

95-3046237

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 61	NONE	811363	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

TOWERS WATSON

53-0181291

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50 49	NONE	684557	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRIMECAP MANAGEMENT COMPANY

95-3868081

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	645110	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

STANDISH MELLON ASSET MGT LLC

25-1890416

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	583702	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

JP MORGAN INVESTMENT MANAGEMENT INC

13-3200244

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	504930	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

AEGON USA INVESTMENT MANAGEMENT

52-1549874

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51	NONE	413263	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

HOTCHKIS & WILEY CAPITAL MANAGEMENT

95-4871957

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 68	NONE	409622	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

(a) Enter name and EIN or address (see instructions)

STATE STREET GLOBAL ADVISORS

04-1867445

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 28 51	NONE	402900	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

FAYEZ SAROFIM & CO

74-1312679

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	326589	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☐ No ☒

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CAUSEWAY CAPITAL MANAGEMENT

95-4861680

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 56 68	NONE	281847	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

BNY MELLON ASSET SERVICING

13-5160382

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
21 62 99	NONE	233744	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CLEARBROOK GLOBAL ADVISORS

11-3290218

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
70 16	NONE	142174	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MELLON CAPITAL MANAGEMENT

25-6078093

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 19 71 21	NONE	112301	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

UBS GLOBAL ASSET MGT TRUST COMPANY

36-3718331

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
28 51 61	NONE	76923	Yes ☒ No ☐	Yes ☒ No ☐	0	Yes ☒ No ☐

(a) Enter name and EIN or address (see instructions)

SEYFARTH SHAW

36-2152202

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29 50	NONE	74252	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ALLEGIS GLOBAL SOLUTIONS

52-2358435

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
50 49	NONE	72380	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

GRANT THORNTON LLP

36-6055558

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	51500	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

WELLS FARGO BANK

94-2984230

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
65 50	NONE	37267	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MERCER

13-2834414

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
11 50	NONE	35488	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

DELOITTE & TOUCHE

13-3891517

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10 50	NONE	27600	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

NEPC

26-1429809

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 70 50	NONE	23207	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ROCKWELL COLLINS

52-2314475

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
49 50 15	PLAN SPONSOR	21651	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

CEDAR GRAPHICS

42-1280051

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 99	NONE	18104	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PENSION BENEFIT INFORMATION

94-2856521

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
99	NONE	10873	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, \$5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MCCLUSKEY COMPANIES

33-0863161

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	8222	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

FIDELITY INV INST OPS CO

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
16 50	NONE	7437	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

PRESSTEK LLC

02-0415170

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
36 50	NONE	5766	Yes ☐ No ☒	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received \$1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	(e) Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.	

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide
(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)
(complete as many entries as needed)

a Name:	DELOITTE & TOUCHE LLP	b EIN:	13-3891517
c Position:	ACCOUNTANT		
d Address:	4840 NORTH RIVER BLVD., N.E. SUITE 400 CEDAR RAPIDS, IA 52411	e Telephone:	319-362-7987

Explanation: PLAN SPONSOR CONSOLIDATED SERVICE PROVIDERS

a Name:	J.P. NEAL	b EIN:	53-0181291
c Position:	ENROLLED ACTUARY		
d Address:	901 NORTH GLEBE ROAD ARLINGTON, VA 22203	e Telephone:	703-258-8000

Explanation: SUBSTITUTION WITH ANOTHER E.A. WITHIN WILLIS TOWERS WATSON

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

a Name:		b EIN:	
c Position:			
d Address:		e Telephone:	

Explanation:

SCHEDULE D (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small>	DFE/Participating Plan Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ► File as an attachment to Form 5500.	OMB No. 1210-0110 <hr/> 2015 <hr/> This Form is Open to Public Inspection.
---	--	--

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ►	<u>001</u>
C Plan or DFE sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>	

Part I	Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs) (Complete as many entries as needed to report all interests in DFEs)
---------------	--

a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV STOCK INDEX FUND</u>			
b Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u>			
c EIN-PN <u>25-6078093-010</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>116333892</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>5-20 YR US HIGH QUALITY CORP BD NL</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>90-0337987-297</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>80480892</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>JPMCB EXTENDED DURATION FUND</u>			
b Name of sponsor of entity listed in (a): <u>JP MORGAN CHASE BANK, N.A.</u>			
c EIN-PN <u>26-0356040-001</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>17195129</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>EB DV LGE CAP GRWTH SIF</u>			
b Name of sponsor of entity listed in (a): <u>THE BANK OF NEW YORK MELLON</u>			
c EIN-PN <u>25-6078093-003</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>202466708</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>LONG US TREASURY INDEX NL FD</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>04-0025081-479</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>33581179</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>20 YR US HIGH QUALITY CORP BD NL FD</u>			
b Name of sponsor of entity listed in (a): <u>STATE STREET BANK AND TRUST COMPANY</u>			
c EIN-PN <u>90-0337987-296</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>221911626</u>	
a Name of MTIA, CCT, PSA, or 103-12 IE: <u>UBS EMERGING MKTS DEBT COLLECTIVE</u>			
b Name of sponsor of entity listed in (a): <u>UBS US GROUP TRUST</u>			
c EIN-PN <u>36-3762086-067</u>	d Entity code <u>C</u>	e Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions) <u>0</u>	

a Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)**a** Name of MTIA, CCT, PSA, or 103-12 IE:**b** Name of sponsor of entity listed in (a):**c** EIN-PN**d** Entity
code**e** Dollar value of interest in MTIA, CCT, PSA, or
103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN**a** Plan name**b** Name of
plan sponsor**c** EIN-PN

<div>SCHEDULE G (Form 5500) Department of Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration</div>	<div>Financial Transaction Schedules</div> <div>This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).</div> <div>► File as an attachment to Form 5500.</div>	<div>OMB No. 1210-0110</div> <div>2015</div> <div>This Form is Open to Public Inspection.</div>
--	--	---

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015	
<div>A Name of plan ROCKWELL COLLINS PENSION PLAN</div>	<div>B Three-digit plan number (PN) ► 001</div>
<div>C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.</div>	<div>D Employer Identification Number (EIN) 52-2314475</div>

Part I	Schedule of Loans or Fixed Income Obligations in Default or Classified as Uncollectible Complete as many entries as needed to report all loans or fixed income obligations in default or classified as uncollectible. Check box (a) if obligor is known to be a party in interest. Attach Overdue Loan Explanation for each loan listed. See Instructions.
--------	--

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items
☐		

	Amount received during reporting year			Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items			
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items			
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items			
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items			
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

(a)	(b) Identity and address of obligor	(c) Detailed description of loan including dates of making and maturity, interest rate, the type and value of collateral, any renegotiation of the loan and the terms of the renegotiation, and other material items			
☐					
		Amount received during reporting year		Amount overdue	
(d) Original amount of loan	(e) Principal	(f) Interest	(g) Unpaid balance at end of year	(h) Principal	(i) Interest

Part II Schedule of Leases in Default or Classified as Uncollectible

Complete as many entries as needed to report all leases in default or classified as uncollectible. Check box (a) if lessor or lessee is known to be a party in interest. Attach Overdue Lease Explanation for each lease listed. (See instructions)

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

(a)	(b) Identity of lessor/lessee	(c) Relationship to plan, employer, employee organization, or other party-in-interest	(d) Terms and description (type of property, location and date it was purchased, terms regarding rent, taxes, insurance, repairs, expenses, renewal options, date property was leased)			
☐						
(e) Original cost	(f) Current value at time of lease	(g) Gross rental receipts during the plan year	(h) Expenses paid during the plan year	(i) Net receipts	(j) Amount in arrears	

Part III Nonexempt Transactions

Complete as many entries as needed to report all nonexempt transactions. **Caution:** If a nonexempt prohibited transaction occurred with respect to a disqualified person, file Form 5330 with the IRS to pay the excise tax on the transaction.

(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING HERTZ CORP, LOAN MATURING 3/11/2018, 3.75%		1110938
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
			1110938	1102500	
(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING MAGIC NEWCO LLC, LOAN MATURING 12/12/2018, 5%		996212
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
997459				0	
(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING FLY LEASING LTD, LOAN MATURING 8/9/2019, 4.5%		971923
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
974359				0	
(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING PRINTPACK HOLDINGS INC, LOAN MATURING 5/30/2020, 6%		499375
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
500000				0	
(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING LEARFIELD COMMUN, LOAN MATURING 11/23/2020, 4.5%		497503
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
498750				0	
(a) Identity of party involved		(b) Relationship to plan, employer, or other party-in-interest	(c) Description of transaction including maturity date, rate of interest, collateral, par or maturity value		(d) Purchase price
AEGON ASSET MANAGEMENT		INVESTMENT MANAGER	CROSS TRADE INVOLVING LIBERTY CABLEVISION OF PUERTO RICO, MATURING 1/7/22, 4.5%, PAR \$500,000		494375
(e) Selling price	(f) Lease rental	(g) Transaction expenses	(h) Cost of asset	(i) Current value of asset	(j) Net gain (or loss) on each transaction
400000				0	

SCHEDULE H (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Financial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500.	<small>OMB No. 1210-0110</small> 2015 This Form is Open to Public Inspection
For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015		
A Name of plan ROCKWELL COLLINS PENSION PLAN	B Three-digit plan number (PN) ►	001
C Plan sponsor's name as shown on line 2a of Form 5500 ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475	

Part I Asset and Liability Statement			
1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.			
Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	14211984	164600
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		
(3) Other.....	1b(3)	12925686	12822703
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	97189	101976463
(2) U.S. Government securities.....	1c(2)	110325260	189352496
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		433848001
(B) All other.....	1c(3)(B)	718284452	251651145
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	7789133	11618859
(B) Common	1c(4)(B)	1023683436	884935622
(5) Partnership/joint venture interests	1c(5)	55544	54903
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts.....	1c(9)	722038102	671969426
(10) Value of interest in pooled separate accounts.....	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds).....	1c(13)	267862808	263569050
(14) Value of funds held in insurance company general account (unallocated contracts).....	1c(14)	0	2844682
(15) Other	1c(15)	10948450	403408400

1d Employer-related investments:

		(a) Beginning of Year	(b) End of Year
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	2888222044	3228216350

Liabilities

g Benefit claims payable	1g		
h Operating payables	1h	2504061	2331363
i Acquisition indebtedness	1i	3576517	16324061
j Other liabilities	1j	2754439	323113638
k Total liabilities (add all amounts in lines 1g through 1j)	1k	8835017	341769062

Net Assets

l Net assets (subtract line 1k from line 1f)	1l	2879387027	2886447288
---	-----------	------------	------------

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAS, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income

		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: (A) Employers	2a(1)(A)	55000000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines 2a(1)(A) , (B) , (C) , and line 2a(2)	2a(3)		55000000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	8318	
(B) U.S. Government securities	2b(1)(B)	3180047	
(C) Corporate debt instruments	2b(1)(C)	32292998	
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)	828639	
(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		36310002
(2) Dividends: (A) Preferred stock	2b(2)(A)	127727	
(B) Common stock	2b(2)(B)	18970954	
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	4041300	
(D) Total dividends. Add lines 2b(2)(A) , (B) , and (C)	2b(2)(D)		23139981
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)	689459029	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)	703862732	
(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		-14403703
(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	-75826503	
(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		-75826503

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		10051602
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		-12290985
c Other income	2c		1635400
d Total income. Add all income amounts in column (b) and enter total	2d		23615794

Expenses**e** Benefit payment and payments to provide benefits:

(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	238517475	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines 2e(1) through (3)	2e(4)		238517475
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)	1279958	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)	7337657	
(4) Other	2i(4)	14027734	
(5) Total administrative expenses. Add lines 2i(1) through (4)	2i(5)		22645349
j Total expenses. Add all expense amounts in column (b) and enter total	2j		261162824

Net Income and Reconciliation

k Net income (loss). Subtract line 2j from line 2d	2k		-237547030
l Transfers of assets:			
(1) To this plan	2l(1)		244607291
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified (2) ☐ Qualified (3) ☒ Disclaimer (4) ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)?

☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: GRANT THORNTON LLP

(2) EIN: 36-6055558

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. (2) ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

	Yes	No	N/A	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)		X		
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)		X		

	Yes	No	N/A	Amount
c Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)		X		
4c		X		
d Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	X			7940894
4d	X			7940894
e Was this plan covered by a fidelity bond?	X			25000000
4e	X			25000000
f Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		X		
4f		X		
g Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
4g		X		
h Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?		X		
4h		X		
i Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	X			
4i	X			
j Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	X			
4j	X			
k Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		X		
4k		X		
l Has the plan failed to provide any benefit when due under the plan?		X		
4l		X		
m If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				
4m				
n If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.				
4n				
o Did the plan trust incur unrelated business taxable income?				
4o				
p Were in-service distributions made during the plan year?				
4p				

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
 If "Yes," enter the amount of any plan assets that reverted to the employer this year. ☐ Yes ☒ No Amount:

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	5b(2) EIN(s)	5b(3) PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☒ Yes ☐ No ☐ Not determined

Part V Trust Information

6a Name of trust ROCKWELL COLLINS MASTER TRUST	6b Trust's EIN 232871599
6c Name of trustee or custodian	6d Trustee's or custodian's telephone number

SCHEDULE R (Form 5500) Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	Retirement Plan Information This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code). ▶ File as an attachment to Form 5500.	OMB No. 1210-0110
		2015
		This Form is Open to Public Inspection.

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

A Name of plan <u>ROCKWELL COLLINS PENSION PLAN</u>	B Three-digit plan number (PN) ▶ <u>001</u>
C Plan sponsor's name as shown on line 2a of Form 5500 <u>ROCKWELL COLLINS, INC.</u>	D Employer Identification Number (EIN) <u>52-2314475</u>

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions.....	1	<u>0</u>
2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits): EIN(s): <u>23-2871599</u>		
Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.		
3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year.....	3	<u>811</u>

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)?	☐ Yes	☒ No	☐ N/A
If the plan is a defined benefit plan, go to line 8.			
5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. Date: Month _____ Day _____ Year _____ If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.			
6 a Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived)	6a		
b Enter the amount contributed by the employer to the plan for this plan year	6b		
c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount).....	6c		
If you completed line 6c, skip lines 8 and 9.			
7 Will the minimum funding amount reported on line 6c be met by the funding deadline?	☐ Yes	☐ No	☐ N/A
8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change?	☐ Yes	☐ No	☒ N/A

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box.	☐ Increase	☐ Decrease	☐ Both	☒ No
---	-----------------------------------	-----------------------------------	-------------------------------	--

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan?	☐ Yes	☐ No
11 a Does the ESOP hold any preferred stock?	☐ Yes	☐ No
b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.)	☐ Yes	☐ No
12 Does the ESOP hold any stock that is not readily tradable on an established securities market?	☐ Yes	☐ No

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500.

Schedule R (Form 5500) 2015
v. 150123

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

a Name of contributing employer

b EIN

c Dollar amount contributed by employer

d Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box ☐ and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month _____ Day _____ Year _____

e Contribution rate information (If more than one rate applies, check this box ☐ and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)

(1) Contribution rate (in dollars and cents) _____

(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14	Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:		
a	The current year	14a	
b	The plan year immediately preceding the current plan year	14b	
c	The second preceding plan year	14c	
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:		
a	The corresponding number for the plan year immediately preceding the current plan year	15a	
b	The corresponding number for the second preceding plan year	15b	
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:		
a	Enter the number of employers who withdrew during the preceding plan year	16a	
b	If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b	
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐		

Part VI	Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans
----------------	---

18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)
a	Enter the percentage of plan assets held as: Stock: <u>52.0</u> % Investment-Grade Debt: <u>35.0</u> % High-Yield Debt: <u>4.0</u> % Real Estate: <u>0.0</u> % Other: <u>9.0</u> %
b	Provide the average duration of the combined investment-grade and high-yield debt: ☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☒ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more
c	What duration measure was used to calculate line 19(b)? ☒ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII	IRS Compliance Questions
-----------------	---------------------------------

20a Is the plan a 401(k) plan?	☐ Yes	☐ No
20b If "Yes," how does the 401(k) plan satisfy the nondiscrimination requirements for employee deferrals and employer matching contributions (as applicable) under sections 401(k)(3) and 401(m)(2)?	☐ Design-based safe harbor method	☐ ADP/ACP test
20c If the ADP/ACP test is used, did the 401(k) plan perform ADP/ACP testing for the plan year using the "current year testing method" for nonhighly compensated employees (Treas. Reg sections 1.401(k)-2(a)(2)(ii) and 1.401(m)-2(a)(2)(ii))?	☐ Yes	☐ No
21a Check the box to indicate the method used by the plan to satisfy the coverage requirements under section 410(b):	☐ Ratio percentage test	☐ Average benefit test
21b Does the plan satisfy the coverage and nondiscrimination tests of sections 410(b) and 401(a)(4) by combining this plan with any other plans under the permissive aggregation rules?	☐ Yes	☐ No
22a Has the plan been timely amended for all required tax law changes?	☐ Yes	☐ No ☐ N/A
22b Date the last plan amendment/restatement for the required tax law changes was adopted ____/____/____. Enter the applicable code _____. (See instructions for tax law changes and codes).		
22c If the plan sponsor is an adopter of a pre-approved master and prototype (M&P) or volume submitter plan that is subject to a favorable IRS opinion or advisory letter, enter the date of that favorable letter ____/____/____ and the letter's serial number _____.		
22d If the plan is an individually-designed plan and received a favorable determination letter from the IRS, enter the date of the plan's last favorable determination letter ____/____/____.		
23 Is the Plan maintained in a U.S. territory (i.e., Puerto Rico (if no election under ERISA section 1022(i)(2) has been made), American Samoa, Guam, the Commonwealth of the Northern Mariana Islands or the U.S. Virgin Islands)?	☐ Yes	☐ No

Rockwell Collins Pension Plan

EIN #: 52-2314475, Plan #: 001

**Financial Statements as of and for the
Years Ended December 31, 2015 and 2014, Supplemental
Schedules as of and for the
Year Ended December 31, 2015 and
Report of Independent Certified Public Accountants**

ROCKWELL COLLINS PENSION PLAN

TABLE OF CONTENTS

	Page
REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS	1-2
FINANCIAL STATEMENTS:	
Statements of Net Assets Available for Benefits as of December 31, 2015 and 2014	3
Statements of Changes in Net Assets Available for Benefits for the Years Ended December 31, 2015 and 2014	4
Notes to Financial Statements as of and for the Years Ended December 31, 2015 and 2014	5-19
SUPPLEMENTAL SCHEDULES:	20
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2015	21-62
Form 5500, Schedule H, Part IV, Line 4i - Schedule of Assets (Acquired and Disposed of Within the Year) for the Year Ended December 31, 2015	63-153
Form 5500, Schedule H, Part IV, Line 4j, Schedule of Reportable Transactions for the Year Ended December 31, 2015	154



Grant Thornton

REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

Grant Thornton LLP
200 South Sixth Street, Suite 1400
Minneapolis, MN 55402-1434
T 612.332.0001
F 612.332.8361
www.GrantThornton.com

Trustees
Rockwell Collins Pension Plan

Report on the financial statements

We have audited the accompanying financial statements of Rockwell Collins Pension Plan (the "Plan"), which comprise the statement of net assets available for benefits as of December 31, 2015, and the related statement of changes in net assets available for benefits for the year then ended, and the related notes to the financial statements.

Management's responsibility for the financial statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for disclaimer of opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the certified information described in Note 9, except for comparing such information with the related information included in the financial statements. We have been informed by the Plan administrator that the certifying entity meets the requirements of 29 CFR 2520.103-8. The Plan administrator obtained a certification from this entity as of December 31, 2015, and for the year then ended, stating that the certified information provided to the Plan administrator is complete and accurate.

Disclaimer of opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter—Supplementary information

The supplemental schedule of assets (held at end of year) as of December 31, 2015, the schedule of assets (acquired and disposed of within the year) for the year ended December 31, 2015, and the schedule of reportable transactions as of December 31, 2015 are presented for purposes of additional analysis and are not a required part of the financial statements, but are supplementary information required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedules.

Other Matter—2014 Financial Statements

The financial statements of the Plan as of and for the year ended December 31, 2014 were audited by other auditors. As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), the Plan administrator instructed the other auditors not to perform and they did not perform, any auditing procedures with respect to the certified information. Their report, dated September 24, 2015, indicated that (a) because of the significance of the information that they did not audit, they were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion and accordingly, they did not express an opinion on the financial statements (b) the form and content of the information included in the financial statements other than that derived from the information certified by the trustee, were presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Report on form and content in compliance with DOL rules and regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the certified information described in Note 9, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Grant Thornton LLP

Minneapolis, MN
August 19, 2016

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS AS OF DECEMBER 31, 2015 AND 2014

	<u>2015</u>	<u>2014</u>
ASSETS:		
Non-interest bearing cash	\$ 164,600	\$ 14,211,984
Investments:		
Equity investments	1,256,593,434	1,603,236,614
Fixed income investments	1,137,217,238	1,244,190,792
Securities on loan under lending agreement	313,188,830	2,611,329
Interest-bearing cash	101,976,463	97,189
Other	80,322,152	8,248,454
Insurance company general account contracts	2,844,682	-
Total investments	<u>2,892,142,799</u>	<u>2,858,384,378</u>
Receivables:		
Sale of securities	2,335,620	2,455,931
Accrued interest and dividends	10,487,083	10,469,755
Total receivables	<u>12,822,703</u>	<u>12,925,686</u>
Collateral held under securities lending agreement	<u>323,086,248</u>	<u>2,699,996</u>
Total assets	<u>3,228,216,350</u>	<u>2,888,222,044</u>
LIABILITIES:		
Liability to return collateral held under securities lending agreement	323,086,248	2,699,996
Purchase of securities	16,324,061	3,576,517
Accrued administrative expenses	2,331,363	2,504,061
Other	27,390	54,443
Total liabilities	<u>341,769,062</u>	<u>8,835,017</u>
NET ASSETS AVAILABLE FOR BENEFITS	<u>\$ 2,886,447,288</u>	<u>\$ 2,879,387,027</u>

ROCKWELL COLLINS PENSION PLAN

STATEMENTS OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS FOR THE YEARS ENDED DECEMBER 31, 2015 AND 2014

	<u>2015</u>	<u>2014</u>
Additions:		
Investment income (loss):		
Interest and dividends	\$ 59,449,983	\$ 63,608,544
Net appreciation (depreciation) in fair value of investments	(92,469,589)	164,928,195
Other income	<u>1,635,400</u>	<u>3,955,826</u>
Net investment income (loss)	(31,384,206)	232,492,565
Contributions	55,000,000	55,000,000
Total additions, net of investment income (loss)	<u>23,615,794</u>	<u>287,492,565</u>
Deductions:		
Benefits paid to participants or beneficiaries	238,517,475	233,330,023
Administrative and investment expenses	<u>22,645,349</u>	<u>16,637,257</u>
Total deductions	<u>261,162,824</u>	<u>249,967,280</u>
Transfer from ARINC Retirement Income Plan	<u>244,607,291</u>	<u>-</u>
NET INCREASE	7,060,261	37,525,285
NET ASSETS AVAILABLE FOR BENEFITS, BEGINNING OF YEAR	<u>2,879,387,027</u>	<u>2,841,861,742</u>
NET ASSETS AVAILABLE FOR BENEFITS, END OF YEAR	<u>\$ 2,886,447,288</u>	<u>\$ 2,879,387,027</u>

ROCKWELL COLLINS PENSION PLAN

NOTES TO FINANCIAL STATEMENTS

AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2015 AND 2014

1. DESCRIPTION OF PLAN

This brief description of the Rockwell Collins Pension Plan (the Plan or RCPP) is provided for general information purposes only. Participants should refer to the Plan document for more complete information. The Plan's sponsor is Rockwell Collins, Inc. (the Company).

General – The Plan is a defined benefit plan sponsored by the Company. The Rockwell Collins, Inc. Employee Benefit Plan Committee and the Plan Administrator control and manage the Plan. The Bank of New York Mellon (the Trustee) serves as the trustee of the Plan. The Trustee, along with several investment managers, manages the Plan's investment assets. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA), and the Pension Protection Act of 2006 (PPA).

Plan Merger – On December 31, 2015, all Plan assets and pension liabilities from the ARINC Inc. Retirement Income Plan (the ARINC RIP) were transferred to the Plan, and participants in the ARINC RIP became participants under one or both of the IMS Non-Union or the IMS Union sub-plans.

Pension Benefits – The Plan consists of various sub-plans, which represent individual benefit plans which have merged into the Plan over time. Generally, participants become fully vested after five years of service with no partial vesting. The Plan provides for normal retirement benefits upon reaching age 65, with certain sub-plans providing these benefits at age 62. The Plan also has provisions for early retirement, disability, and death benefits and provides benefits upon meeting certain other preconditions. Joint and survivor annuities or single life annuities provide the typical form of benefit payments, in addition to other optional forms. The amount of a participant's benefit depends on the specific provisions of the Plan document for the sub-plan where the participant earned the benefit. Pension benefits are generally calculated using benefit formulas that primarily consider the participant's years of credited service, annual earnings, final pay and other factors noted in the Plan documents for each sub-plan.

The Plan has multiple sub-plans using various benefit formulas. Participants are directed to the applicable Summary Plan Description for details that pertain to their pension benefits. The benefit formulas for the three largest sub-plans are listed below. The majority of the other sub-plans have similar benefit formulas based upon years of credited service, as defined by the sub-plans, multiplied by a benefit unit.

The normal retirement benefit for the Certain Salaried Plan and the Electronics Salaried Plan as defined in the Plan document is the greater of (1) the Career Earnings Formula, (2) the Alternate Benefit Formula, or (3) the Minimum Benefit Formula. Under each benefit computation, the retirement benefit is a percentage of earnings or average annual earnings multiplied or adjusted by years of credited service.

The normal retirement benefit for the Collins Production Plan is based on a two-part formula. Benefits accrued through April 30, 1992 are based on a final pay formula using earnings and credited service through that date. Benefits accrued after April 30, 1992 are based on a benefit unit and credited service after that date and vary by labor grade and union.

The Company amended the Plan in June 2003 to discontinue benefit accruals for services rendered after September 30, 2006 for all new and existing salaried and hourly employees not covered by collective bargaining agreements. When this action was taken, the Company increased

contributions to its defined contribution savings plan for employees not covered by collective bargaining agreements. In May 2013, a 5-year agreement was negotiated between the Company and three bargaining unit unions covering active and retired employees in Cedar Rapids, Iowa, Coralville, Iowa and Richardson, Texas. No changes were made to the pension in the new contract.

The normal retirement benefits for the IMS Non-Union Plan is payable upon the later of reaching age 65 or attaining five or more years of vesting service. Provisions for early retirement are available for participants at age 55 provided they have five years of vesting service and have not yet attained normal retirement age. The accrued benefit amount under the traditional annuity component of the IMS Non-Union Plan is determined, in general, based upon the participant's final average compensation integrated with Social Security covered compensation, multiplied by credited service up to 25 years, as defined by the Plan document. Benefits under the traditional annuity component of the IMS Non-Union Plan are only available to those who elected not to participate in the cash balance component of the plan on December 31, 1998.

The accrued benefit amount under the cash balance component of the IMS Non-Union Plan is determined based upon the participant's earnings, contribution crediting rate as determined by the individual's age, and the interest crediting rate as defined by the Plan document. The calculated benefit may be paid in the form of a life annuity, a lump sum payment, a joint and survivor annuity or another acceptable optional form as outlined in the Plan document. Effective April 1, 2006, benefits under the cash balance component of the IMS Non-Union Plan were frozen.

The normal retirement benefits for the IMS Union Plan is payable upon reaching age 65. However, if the participant was employed after December 31, 1993, retirement benefits are payable upon the later of reaching age 65 or attaining five or more years of vesting service. Provisions for early retirement are available for participants at age 55 provided they have five years of vesting service and have not yet attained normal retirement age. The prior plan accrued benefit amount (as of December 31, 2002) was determined based on years of credited benefit service and the participant's final average compensation, as defined by the Plan document. Effective January 1, 2003, the benefit formula was revised to be equal to a dollar amount multiplied by years of benefit service, capped at 30 years if first day of employment was after December 31, 1986. The calculated benefit may be paid in the form of a life annuity, a joint and survivor annuity, or another acceptable optional form as outlined in the Plan document.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting – The accompanying financial statements have been prepared in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan's management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, disclosure of contingent assets and liabilities and the actuarial present value of accumulated plan benefits and changes therein at the date of the financial statements. Actual results could differ from those estimates.

Risks and Uncertainties – The Plan utilizes various investment instruments including U.S. Government securities, corporate debt instruments, and corporate stocks. Investment securities, in general, are exposed to various risks, such as interest rate, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur and that such changes could materially affect the amounts reported in the financial statements.

Investment Valuation and Income Recognition – Investments of the Plan are presented at fair value. If available, investments are valued at quoted market prices on the measurement date. Common stock and registered investment companies are valued at the closing price reported on the active market on which the individual securities are traded. Preferred stock is valued by the Trustee's third party vendor using a multi-dimensional relation model and exchange traded quotes. If prices of fixed income securities are not readily available or do not accurately reflect fair value, then fixed income securities are valued based on a matrix pricing model in which all significant inputs are observable or can be derived from or corroborated by observable market data such as interest rates, yield curves, volatilities, credit risk and prepayment speeds. In instances where quoted market prices are not available, securities are stated at fair value as determined by independent investment brokerage firms. Common collective trust funds are stated at fair value as determined by the issuer of the common collective trust funds based on the net asset value of the underlying investments, which are generally readily available. Interest-bearing cash is cash denominated in foreign currencies and measured in U.S. dollars. Other investments mainly consist of municipal and sovereign bonds and are priced using the same method as fixed income securities.

Purchases and sales of securities are recorded on a trade date basis. Interest income is recorded as earned. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold, as well as held, during the year.

Repurchase agreements are recorded as collateralized financing transactions. The Plan receives collateral with a market value equal to or in excess of the principal amount loaned under the resale agreements. On a daily basis, the Plan manages its risk by calculating the market value of each position and comparing it to the contract amounts, with any difference to be settled by the counterparty. Repurchase agreements are presented as gross in the Plan's Statements of Net Assets Available for Benefits. As of December 31, 2015 and 2014, amounts related to the repurchase agreement were \$74,713,593 and \$363,992, respectively.

Administrative Expenses – Expenses incurred in the administration of the Plan are paid by the Plan, except to the extent that the Company elects to pay the expenses at its discretion.

Payment of Benefits – Benefit payments to participants are recorded upon distribution.

3. FUNDING POLICY

Contributions to provide benefits under the Plan are made solely by the Company. The Company's policy is to fund the Plan as required by governmental agencies and to consider discretionary contributions as conditions warrant. The Company made the following contributions for the Plan years ended December 31, 2015 and 2014:

Statement Plan Year	ERISA Plan Year	Date of Contribution	Amount
2015	2015	October 5, 2015	\$ 55,000,000
2014	2014	October 15, 2014	\$ 55,000,000

The Company met the PPA and ERISA minimum funding requirements for both 2015 and 2014.

4. ACCUMULATED PLAN BENEFITS

Accumulated plan benefits are those future benefit payments attributable under the Plan's provisions to service that employees have rendered, and shall be paid (1) to retirees or terminated vested employees who retire, (2) to the beneficiaries of such retirees or terminated employees, and (3) covered present employees or their beneficiaries. The actuarial present value of accumulated plan benefits have been determined by the Plan's independent actuary, and is that amount which results from applying actuarial

assumptions to adjust the accumulated plan benefits to reflect the time value of money (through discounts for interest) and the probability of payment (by means of decrements for death, disability, withdrawal, or retirement) between the benefit information date and the expected payment dates. The effect of Plan amendments on accumulated plan benefits is recognized during the year in which such amendments are adopted.

The actuarial present value of the Plan's accumulated plan benefits (rounded to the nearest thousand) as of January 1, 2015 and 2014 is as follows:

	January 1, 2015	January 1, 2014
Vested benefits:		
Participants and/or beneficiaries currently receiving benefit payments	\$ 1,750,234,000	\$ 1,691,840,000
Other participants	884,679,000	975,112,000
Total vested benefits	2,634,913,000	2,666,952,000
Nonvested benefits	26,445,000	31,068,000
Total actuarial present value of accumulated plan benefits	\$ 2,661,358,000	\$ 2,698,020,000

The change in the actuarial present value of the Plan's accumulated plan benefits (rounded to the nearest thousand) for the year ended January 1, 2015 is as follows:

Actuarial present value of accumulated plan benefits, beginning of year, January 1, 2014	\$ 2,698,020,000
Increase (decrease) during the year attributable to:	
Benefit payments	(233,330,000)
Benefits accumulated - net	3,885,000
Actuarial losses	10,047,000
Increase for interest due to decrease in the discount period	182,736,000
Net decrease	(36,662,000)
Actuarial present value of accumulated plan benefits, end of year, January 1, 2015	\$ 2,661,358,000

The significant actuarial assumptions used in determining the Plan's accumulated plan benefits in the January 1, 2015, and January 1, 2014 valuations are as follows (not inclusive of the ARINC RIP):

Discount Rate:	7.0% compounded annually
Mortality:	RP-2014 table (sex distinct), adjusted for Plan experience, and the MP-2015 improvement scale adjusted to reflect convergence to an ultimate annual rate of mortality improvement of 0.75% by 2022
Retirement:	Weighted average retirement age – 63

The ARINC RIP was merged into the Plan on December 31, 2015. For informational purposes, ARINC RIP detail of accumulated plan benefits at January 1, 2015 is provided below.

The actuarial present value of ARINC RIP accumulated plan benefits at January 1, 2015 is summarized as follows:

	<u>2015</u>
Vested benefits:	
Participants currently receiving payments	\$ 117,129,403
Participants not yet receiving payments	<u>104,932,633</u>
	222,062,036
Nonvested benefits	<u>1,570,973</u>
Total actuarial present value of accumulated plan benefits	<u>\$ 223,633,009</u>

Changes in the ARINC RIP actuarial present value of accumulated plan benefits are as follows for the year ended January 1, 2015:

Actuarial present value of accumulated plan benefits at January 1, 2014	\$ 249,555,044
Increase (decrease) during the year attributable to:	
Benefits accumulated and actuarial gains	2,228,386
Increase for interest due to decrease in the discount period	15,257,720
Benefits paid	(43,411,847)
Change of assumptions and methods	<u>3,706</u>
Net decrease	<u>(25,922,035)</u>
Actuarial present value of accumulated plan benefits at January 1, 2015	<u>\$ 223,633,009</u>

Significant actuarial assumptions used in determining accumulated plan benefits for the ARINC RIP in the January 1, 2015 valuations are as follows:

Discount Rate:	6.75%
Mortality:	RP-2014 table-+ (sex distinct) and the MP-2014 projection scale adjusted to reflect convergence to an ultimate annual rate of mortality improvement of 0.75% by 2022
Retirement:	Weighted average retirement age – 62

The foregoing actuarial assumptions are based on the presumption that the Plan will continue. If the Plan were to terminate, different actuarial assumptions and other factors might be applicable in determining the actuarial present value of accumulated Plan benefits. Due to uncertainties inherent in the estimations and assumptions process, it is at least reasonably possible that certain changes in these estimates and assumptions could be material to the financial statements.

Certain proposed amendments and administrative corrections made by the Company that were identified in the Plan's Voluntary Correction Program (VCP) application to the Internal Revenue Service (IRS), as described in Note 6 below, are not reflected in the estimate by the Plan's independent actuary, and result in a decrease in the actuarial present value of the accumulated plan benefits of \$5 million. Generally, these amendments document long-standing administrative practices that had not been properly reflected in the Plan document.

5. DERIVATIVE FINANCIAL INSTRUMENTS

Derivative financial instruments are used by portfolio investment managers primarily to hedge the currency risk when transactions are settled in currencies where a liquidity issue may change the value of the settlement. Only the investment managers dedicated to the international investments are permitted to use foreign currency exchange contracts to hedge these exposures. Leveraging of the Plan's assets and speculation are prohibited. The Plan also uses futures contracts to manage market risk associated with the Plan's fixed income investments.

Foreign Currency Forward Contracts – The Plan enters into forward contracts to purchase and sell foreign currencies in the normal course of its investing activities to manage the currency exposures as outlined above with the Plan's foreign equity and fixed income investments. The terms of these contracts generally do not exceed one year. The credit risk associated with these contracts is minimal as they are entered into with a limited number of highly rated counterparties.

The Plan reflects the fair value of all forward contracts as an asset or liability in the Plan's financial statements. The fair values associated with the foreign currency contracts have been estimated by valuing the net position of the contracts using the applicable spot rates and forward rates as of the reporting date. In the Statements of Net Assets Available for Benefits, forward contracts to purchase foreign currency are included within purchases of securities and forward contracts to sell foreign currency are included within sale of securities. Changes in fair value are included in net appreciation (depreciation) in fair value of investments.

U.S. Treasury Futures Contracts – The Plan utilizes U.S. Treasury futures contracts to extend the duration of certain fixed income investments in order to match the profile of the Plan's accumulated plan benefit liability. The terms of these contracts may extend for either 5, 10 or 30 years. The contracts are traded on the Chicago Board of Trade. The credit risk associated with these contracts is minimal as they are entered into with a highly rated counterparty.

Upon entering into a futures contract, the Plan is required to deposit either in cash or securities an amount equal to a certain percentage of the nominal value of the contract. Subsequent payments are then made or received by the Plan, depending on the daily fluctuation in the value of the underlying contracts. Securities on deposit as of December 31, 2015 and 2014 totaled \$489,124 and \$123,498, respectively. These investments were held by the Plan's brokers as performance security on futures contracts.

The futures contracts are classified in the Statements of Net Assets Available for Benefits as other investments. Notional amounts of outstanding future contracts were \$106,000 for contracts purchased and \$15,000 for contracts sold as of December 31, 2015. Notional amounts of outstanding future contracts were \$76,000 for contracts purchased and \$186,000 for contracts sold as of December 31,

2014. The number of futures contracts was 91 and 110 as of December 31, 2015 and 2014, respectively.

Fair Value of Derivative Instruments – Fair values of derivative instruments in the Statements of Net Assets Available for Benefits as of December 31, 2015 and 2014 are as follows:

Classification		December 31, 2015		December 31, 2014	
		Asset Derivatives	Liability Derivatives	Asset Derivatives	Liability Derivatives
Foreign currency forward contracts	Sale of securities	\$ -	\$ -	\$ 207,078	\$ -
Foreign currency forward contracts	Purchases of securities	-	-	-	(207,704)
U.S. Treasury futures contracts	Other investments	96,360	-	436,702	-
Total		<u>\$ 96,360</u>	<u>\$ -</u>	<u>\$ 643,780</u>	<u>\$ (207,704)</u>

The effect of derivative instruments on the Statements of Changes in Net Assets Available for Benefits for the years ended December 31, 2015 and 2014 is as follows:

Location of Gain (Loss)	Year ended December 31,	
	2015	2014
Foreign currency forward contracts	Net depreciation in fair value of investments	\$ - \$ (54,449)
U.S. Treasury futures contracts	Net depreciation in fair value of investments	(501,121) (40,438)
Total	<u>\$ (501,121)</u>	<u>\$ (94,887)</u>

6. FEDERAL INCOME TAX STATUS

The IRS has determined and informed the Company by a letter dated November 19, 2014 that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code (IRC). The Company believes the Plan is currently designed and being operated in compliance with the applicable requirements of the IRC, except for noncompliance with certain requirements that were discovered by the Company. On May 13, 2016, the Company filed an application (the Application) for a compliance statement from the IRS under its VCP, with respect to this noncompliance. At the time of the Application, the Company had amended and restated the Plan and submitted the Plan to the IRS for a new determination letter. The Company believes that by taking these corrective actions, the Plan's trust will continue to be tax-exempt. As a result, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the IRS. The Company has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2015, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial

statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan Administrator believes it is no longer subject to income tax examinations for years prior to 2012.

7. INVESTMENTS REPRESENTING 5% OR MORE OF NET ASSETS

The Plan's investments representing 5% or more of net assets as of December 31, 2015 and 2014 are as follows:

Description of Investment	
December 31, 2015	
SSGA 20+ Year US High Quality Bond	\$ 221,911,626
Vanguard International Growth	221,147,761
EB DV LGE CAP GRWTH SIF	202,466,708
December 31, 2014	
SSGA 20+ Year US High Quality Bond	\$ 230,227,828
Vanguard International Growth	222,351,307
EB DV LGE CAP GRWTH SIF	191,555,825

8. NET APPRECIATION (DEPRECIATION) IN FAIR VALUE OF INVESTMENTS

During 2015 and 2014, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) generated net appreciation (depreciation) in the fair value of investments as follows:

	<u>2015</u>	<u>2014</u>
Equity investments	\$ (39,082,737)	\$ 52,696,112
Fixed income investments	(52,463,728)	111,057,437
Interest bearing cash	20,906	5,577
Other investments	(944,030)	1,169,069
Net appreciation (depreciation) in fair value of investments	<u>\$ (92,469,589)</u>	<u>\$ 164,928,195</u>

9. INFORMATION CERTIFIED BY THE TRUSTEE

The Company has elected a limited scope audit as permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. Accordingly, the following is a summary of the unaudited information regarding the Plan as of and for the years ended December 31, 2015 and 2014, included in the Plan's financial statements and supplemental schedules, that was prepared by or derived from information prepared by the Trustee of the Plan and furnished to the Plan Administrator. The Plan Administrator has obtained certifications from the Trustee that such information is complete and accurate.

	<u>2015</u>	<u>2014</u>
Statements of Net Assets Available for Benefits:		
Investments:		
Equity investments	\$ 1,256,593,434	\$ 1,603,236,614
Fixed income investments	1,137,217,238	1,244,190,792
Securities on loan under lending agreement	313,188,830	2,611,329
Interest-bearing cash	101,976,463	97,189
Other	80,322,152	8,248,454
Insurance company general account contracts	2,844,682	-
Total investments	<u>\$ 2,892,142,799</u>	<u>\$ 2,858,384,378</u>
Receivables:		
Sale of securities	\$ 2,335,620	\$ 2,455,931
Accrued interest and dividends	10,487,083	10,469,755
Total receivables	<u>\$ 12,822,703</u>	<u>\$ 12,925,686</u>
Collateral held under securities lending agreement	<u>\$ 323,086,248</u>	<u>\$ 2,699,996</u>
Liabilities - Purchase of securities	<u>\$ 16,324,061</u>	<u>\$ 3,576,517</u>

Statements of Changes in Net Assets Available for Benefits:

Investment income:		
Interest and dividends	\$ 59,449,983	\$ 63,608,544
Net appreciation (depreciation) in fair value of investments	(92,469,589)	164,928,195
Other income	1,635,400	3,955,826
Net investment income (loss)	<u>\$ (31,384,206)</u>	<u>\$ 232,492,565</u>

Supplemental schedules: All investment balances and information included in the supplemental schedules are included in the certifications.

Notes 7, 8, 10 and 14: All investment balances and investment information, excluding the classification and level of investments in Note 10, Fair Value Measurement, and the redemption related disclosures in Note 14, Net Asset Value (NAV) Per Share, are included in the certifications.

10. FAIR VALUE MEASUREMENT

Financial Accounting Standards Board (FASB), Accounting Standards Codification (ASC) 820, *Fair Value Measurement*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under ASC 820 are described below:

Level 1 — Inputs to the valuation methodology are unadjusted quoted prices for identical instruments in active markets.

Level 2 — Inputs to the valuation methodology include quoted prices for similar instruments in active markets; quoted prices for identical or similar instruments in inactive markets, and model- derived valuations whose significant inputs are observable.

Level 3 — Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The instruments fair value measurement level within the fair value hierarchy is based upon the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

There have been no changes in the methodologies used as of December 31, 2015 and 2014. A description of the valuation methodologies used for assets measured at fair value is included in Note 2 to the financial statements. The methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain instruments could result in a different fair value measurement at the reporting date.

The following tables set forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2015 and 2014:

	Fair Value Measurements at December 31, 2015, using			Total
	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	
Interest-bearing cash	\$ -	\$ 101,976,463	\$ -	\$ 101,976,463
U.S. government securities	109,780,655	79,571,841	-	189,352,496
Corporate debt	-	685,460,849	38,297	685,499,146
Preferred stock	5,841,827	5,777,032	-	11,618,859
Common stock:				
Financial Services	121,198,040	-	-	121,198,040
Software	59,051,015	-	-	59,051,015
Pharmaceuticals	51,394,320	-	-	51,394,320
Electric	47,246,387	-	-	47,246,387
Health Care	46,983,454	-	-	46,983,454
Retail	45,700,159	-	-	45,700,159
Insurance	40,091,437	-	-	40,091,437
Oil & Gas	36,375,385	-	-	36,375,385
Computers	32,992,309	-	-	32,992,309
Commercial Services	28,502,229	-	-	28,502,229
Semiconductors	27,261,174	-	-	27,261,174
Chemicals	26,726,515	-	-	26,726,515
Machinery	21,488,852	-	-	21,488,852
Transportation	21,286,253	-	-	21,286,253
Biotechnology	21,207,730	-	-	21,207,730
Telecommunications	19,574,406	-	-	19,574,406
Auto Manufacturers & Parts	16,931,865	-	-	16,931,865
Internet	14,436,002	-	-	14,436,002
Aerospace & Defense	9,267,563	-	-	9,267,563
Food Processing	6,695,773	-	-	6,695,773
Beverages	6,331,119	-	-	6,331,119
Other	184,193,635	-	-	184,193,635
Partnership	-	-	54,903	54,903
Collective trusts:				
Fixed income	-	353,168,826	-	353,168,826
Equity	-	318,800,600	-	318,800,600
Registered investment companies:				
Equity	263,569,050	-	-	263,569,050
Insurance company general accounts	-	-	2,844,682	2,844,682
Other	-	80,322,152	-	80,322,152
Total investments at fair value	<u>\$ 1,264,127,154</u>	<u>\$ 1,625,077,763</u>	<u>\$ 2,937,882</u>	<u>\$ 2,892,142,799</u>

**Fair Value Measurements
at December 31, 2014, using**

	Quoted prices in active markets (Level 1)	Significant other observable inputs (Level 2)	Significant unobservable inputs (Level 3)	Total
Interest-bearing cash	\$ -	\$ 97,189	\$ -	\$ 97,189
U.S. government securities	70,892,594	39,432,666	-	110,325,260
Corporate debt	-	718,238,768	45,684	718,284,452
Preferred stock	280,330	7,508,803	-	7,789,133
Common stock:				
Financial Services	133,867,821	-	-	133,867,821
Pharmaceuticals	69,774,704	-	-	69,774,704
Retail	62,962,332	-	-	62,962,332
Oil & Gas	54,334,020	-	-	54,334,020
Software	52,504,254	-	-	52,504,254
Health Care	44,849,760	-	-	44,849,760
Insurance	40,592,838	-	-	40,592,838
Computers	39,991,463	-	-	39,991,463
Semiconductors	35,306,317	-	-	35,306,317
Chemicals	35,228,421	-	-	35,228,421
Electric	31,800,947	-	-	31,800,947
Transportation	27,817,869	-	-	27,817,869
Biotechnology	25,998,058	-	-	25,998,058
Commercial Services	23,925,552	-	-	23,925,552
Telecommunications	20,054,101	-	-	20,054,101
Beverages	13,993,071	-	-	13,993,071
Internet	12,911,643	-	-	12,911,643
Aerospace and Defense	11,651,413	-	-	11,651,413
Food Processing	11,290,128	-	-	11,290,128
Other	274,828,724	-	-	274,828,724
Partnership	-	-	55,544	55,544
Collective trusts:				
Fixed income	-	415,765,585	-	415,765,585
Equity	-	306,272,517	-	306,272,517
Registered investment companies:				
Equity	267,862,808	-	-	267,862,808
Other	-	8,248,454	-	8,248,454
Total investments at fair value	<u>\$ 1,362,719,168</u>	<u>\$ 1,495,563,982</u>	<u>\$ 101,228</u>	<u>\$ 2,858,384,378</u>

The Level 3 investment was added on December 31, 2015, due to the merger of the ARINC RIP to the Plan.

Changes in economic conditions or model-based valuation techniques may require the transfer of financial instruments from one fair value level to another. In such instances, the transfer is reported at the beginning of the reporting period. We evaluate the significance of transfers between levels based upon the nature of the financial instrument and size of the transfer relative to total net assets available for benefits. There were no investments held by the Plan that were transferred between levels during the years ended December 31, 2015 and 2014, respectively.

11. SECURITIES HELD UNDER LENDING AGREEMENT

The Plan participates in a securities lending program through its Trustee. Under this program, the Plan's investment securities may be loaned to investment brokers for a fee. Securities so loaned are fully collateralized by cash, letters of credit and securities issued or guaranteed by the U.S. government, its agencies and instrumentalities. At December 31, 2015 and 2014, \$313,188,830 and \$2,611,329, respectively, of the Plan's securities were on loan under the Trustee's securities lending program. The fair value of the collateral received as a percentage of the total current value of the securities on loan by the Plan was approximately 103 percent at both December 31, 2015 and 2014, and income from the program was \$1,044,692 and \$67,320 for the years ended December 31, 2015 and 2014, respectively.

12. RELATED-PARTY TRANSACTIONS

The following are the Plan investments managed by the Trustee. Transactions with these parties qualify as exempt party-in-interest transactions:

- BNY Mellon Asset Servicing
- Standish Mellon Asset Management LLC
- BNY Mellon Cash Investment Strategies

Fees paid by the Plan for investment management services provided by the Trustee, totaled \$929,747 and \$952,585 for the years ended December 31, 2015 and 2014, respectively.

13. PLAN TERMINATION

Although it has not expressed any intention to do so, the Company has the right under the Plan, in certain circumstances, to discontinue its contributions at any time and to terminate the Plan subject to the provisions set forth in ERISA. In the event that the Plan is terminated, the net assets of the Plan will be allocated for payment of Plan benefits to the participants in an order of priority determined in accordance with ERISA, applicable regulations thereunder and the Plan document.

Certain benefits under the Plan are insured by the Pension Benefit Guaranty Corporation ("PBGC") if the Plan terminates. The PBGC guarantees most vested normal age retirement benefits, early retirement benefits, and certain disability and survivor's pensions. However, the PBGC does not guarantee all types of benefits under the Plan, and the amount of benefit protection is subject to certain limitations. Vested benefits under the Plan are guaranteed at the level in effect on the date of the Plan's termination, subject to a statutory ceiling based on an individual's monthly benefit.

Whether all participants receive their benefits should the Plan be terminated at some future time will depend on the sufficiency, at that time, of the Plan's net assets to provide those benefits, the priority of those benefits to be paid and the level and type of benefits guaranteed by the PBGC at that time. Some benefits may be fully or partially provided for by the then existing assets and the PBGC guaranty while other benefits may not be provided for at all.

14. NET ASSET VALUE PER SHARE

The Plan holds investments in collective trust funds (the Funds) whose fair value is estimated using a NAV per share. A summary of the Plan's investments with a reported NAV for the years ended December 31, 2015 and 2014, is as follows:

Fair Value Estimated Using Net Asset Value per Share December 31, 2015				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
SSGA 20+YEAR US HIGH QUALITY BOND (a)	\$ 221,911,626	\$ -	Daily	1 day
EB DV LGE CAP GRWTH SIF (b)	202,466,708	-	Daily	1 day
EB DV STOCK INDEX FUND (c)	116,333,892	-	Daily	1 day
SSGA 5-20YEAR US HIGH QUALITY (d)	80,480,892	-	Daily	1 day
SSGA LONG US TREASURY INDEX NL (f)	33,581,179	-	Daily	1 day
JPMCB EXTENDED DURATION FUND (g)	17,195,129	-	Daily	1 day
Total	<u>\$ 671,969,426</u>	<u>\$ -</u>		

Fair Value Estimated Using Net Asset Value per Share December 31, 2014				
Investment	Fair Value *	Unfunded Commitment	Redemption Frequency	Redemption Notice Period
SSGA 20+YEAR US HIGH QUALITY BOND (a)	\$ 230,227,828	\$ -	Daily	1 day
EB DV LGE CAP GRWTH SIF (b)	191,555,825	-	Daily	1 day
EB DV STOCK INDEX FUND (c)	114,716,692	-	Daily	1 day
SSGA 5-20YEAR US HIGH QUALITY (d)	83,710,776	-	Daily	1 day
UBS EMERGING MARKETS DEBT (e)	47,384,939	-	Daily	1 day
SSGA LONG US TREASURY INDEX NL (f)	34,981,638	-	Daily	1 day
JPMCB EXTENDED DURATION FUND (g)	19,460,404	-	Daily	1 day
Total	<u>\$ 722,038,102</u>	<u>\$ -</u>		

* The fair values of the investments have been estimated using the net asset value of the investment.

(a) The investment objective of the SSGA 20+ Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 20+ Year, Bullets Only Index (the "Index") over the long term.

(b) The investment objective of the Employee Benefit ("EB") DV Large Cap Growth Stock Index Fund is to track the performance of the Russell 1000 Growth® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Large Cap Growth Stock Index Fund, and a combination of other collective funds, including but not limited to the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(c) The investment objective of the EB DV Stock Index Fund is to track the performance of the S&P 500® Index. Manager may invest the assets of the Fund in securities and instruments, the EB DV Stock Index Fund, and the EB Temporary Investment Fund of The Bank of New York Mellon Employee Benefit Collective Investment Fund Plan in meeting the objective.

(d) The investment objective of the SSGA 5-20 Year US High Quality Corp Bond is to provide a total investment return in excess of the performance of the Barclays Capital U.S. Corporate A or Better, 5-20 Year, Bullets Only Index (the "Index") over the long term.

(e) The investment objective of the UBS Emerging Markets Debt Collective Fund (the "Fund") is to seek to maximize total return, consisting of capital appreciation and current income, while controlling risk. The Fund has a blended benchmark which consists of 50% JP Morgan Emerging Markets Bond Index - Global Index ("EMBI-Global") and 50% JP Morgan Government Bond Index-Emerging Markets Global Diversified ("GBI-EM Global Diversified").

(f) The investment objective for this fund is to seek an investment return that approximates as closely as practicable, before expenses, the performance of its benchmark index, the Barclay's Capital US Long Treasury Index, over the long term.

(g) The objective of the Fund is to outperform (based on the Fund's total return, gross of fees) the Barclays Capital Long Government/Credit Index (the "Benchmark") while maintaining total return risk similar to that of the Benchmark over a market cycle.

15. SUBSEQUENT EVENTS

Management has evaluated all subsequent events and transactions through August 19, 2016, the date the financial statements were available to be issued. The Plan determined there were no material events that require adjustment to, or disclosure in, these financial statements.

* * * * *

SUPPLEMENTAL SCHEDULES

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
ACE CAPITAL TRUST II	344,000	\$ 512,732	\$ 497,080	
BANCO ABC BRASIL SA	55,198	280,330	121,802	
BANK OF AMERICA CORP	375,000	402,656	381,563	
BANK OF AMERICA CORP	307,000	296,065	292,418	307,000
CITIGROUP INC	372,000	365,955	362,700	372,000
GMAC CAPITAL TRUST I	22,880	604,718	580,923	
GOLDMAN SACHS CAPITAL II	912,000	670,320	642,960	
GOLDMAN SACHS GROUP INC/THE	291,000	294,347	289,181	291,000
ILFC E-CAPITAL TRUST I 144A	1,017,000	945,810	925,470	
ILFC E-CAPITAL TRUST II 144A	243,000	233,280	223,560	
JPMORGAN CHASE & CO	271,000	291,677	275,878	271,000
JPMORGAN CHASE & CO	604,000	590,978	573,800	437,000
LINCOLN NATIONAL CORP	950,000	942,270	731,500	199,000
VOLKSWAGEN AG	39,369	7,923,315	5,720,024	
TOTAL PREFERRED STOCKS		14,354,453	11,618,859	
COMMON STOCK				
2U INC	16,160	438,480	452,157	16,160
AAC HOLDINGS INC	13,423	427,130	255,842	12,449
AALBERTS INDUSTRIES NV	33,350	993,181	1,151,691	
ABBOTT LABORATORIES	32,400	1,458,648	1,455,084	
ABBOTT LABORATORIES	21,590	971,982	969,607	
ABBVIE INC	22,520	1,433,451	1,334,085	
ABENGOA YIELD PLC	58,777	1,545,164	1,133,808	31,420
ABERCROMBIE & FITCH CO	43,374	959,709	1,171,098	41,439
ABIOMED INC	9,450	766,106	853,146	9,250
ACADIA HEALTHCARE CO INC	49,950	3,057,440	3,119,877	49,950
ACTIVISION BLIZZARD INC	18,200	368,655	704,522	18,200
ADEPTUS HEALTH INC	7,831	378,196	426,946	7,831
ADOBE SYSTEMS INC	39,700	2,886,951	3,729,418	
ADT CORP/THE	30,984	1,103,564	1,021,852	30,984
AECOM	15,350	467,337	460,961	15,350
AEROJET ROCKETDYNE HOLDINGS IN	61,027	1,157,834	955,683	1,709
AFFYMETRIX INC	55,800	550,746	563,022	55,800
AGILENT TECHNOLOGIES INC	18,000	736,920	752,580	
AINSWORTH GAME TECHNOLOGY LTD	124,600	266,853	207,595	74,676
AIR PRODUCTS & CHEMICALS INC	2,425	349,758	315,517	
AIR WATER INC	44,000	703,149	715,441	
AIRBUS GROUP SE	27,800	1,390,989	1,872,347	
AKZO NOBEL NV	101,560	7,087,340	6,804,823	
ALERE INC	24,525	1,048,247	958,682	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
ALEXION PHARMACEUTICALS INC	3,400	\$ 600,871	\$ 648,550	
ALGONQUIN POWER & UTIL CORP	135,862	1,130,766	1,067,061	
ALGONQUIN PWR & UTIL CO	30,100	250,519	236,406	
ALIBABA GROUP HOLDING LTD	15,200	1,236,006	1,235,304	15,200
ALIGN TECHNOLOGY INC	8,370	467,967	551,165	8,370
ALK-ABELLO A/S	7,540	883,021	961,460	2,000
ALLETE INC	26,153	1,442,076	1,329,357	300
ALLIANT ENERGY CORP	16,596	1,061,301	1,036,420	
ALLSTATE CORP/THE	23,100	1,395,845	1,434,279	
ALON USA ENERGY INC	81,500	1,500,672	1,209,460	81,400
ALPHABET INC	2,518	1,321,846	1,910,860	
ALPHABET INC	3,911	2,075,411	3,042,797	
ALPHABET INC	950	606,323	720,936	
ALTEN SA	18,920	809,308	1,097,725	
ALTRAN TECHNOLOGIES SA	193,620	1,839,644	2,595,465	
ALTRIA GROUP INC	35,830	1,765,344	2,085,664	
AMERICAN AIRLINES GROUP INC	29,975	1,495,182	1,269,441	
AMERICAN CAMPUS COMMUNITIES IN	28,340	1,024,173	1,171,576	27,798
AMERICAN EXPRESS CO	15,715	1,455,813	1,092,978	
AMERICAN FINANCIAL GROUP INC/O	33,582	2,048,349	2,420,591	
AMERICAN INTERNATIONAL GROUP I	87,100	4,864,475	5,397,587	
AMERISAFE INC	39,870	1,688,893	2,029,383	
AMGEN INC	33,800	5,384,002	5,486,754	
AMTRUST FINANCIAL SERVICES INC	24,291	1,366,369	1,495,840	23,440
ANALOG DEVICES INC	6,500	360,880	359,580	
ANHEUSER-BUSCH INBEV SA/NV	8,420	936,204	1,052,500	8,420
ANTHEM INC	15,900	2,096,045	2,217,096	
APARTMENT INVESTMENT & MANAGEM	33,896	1,344,414	1,356,857	
APOGEE ENTERPRISES INC	18,186	854,865	791,273	7,022
APPLE INC	37,580	4,148,080	3,955,671	
APPLIED MATERIALS INC	38,500	959,420	718,795	
ARCH CAPITAL GROUP LTD	37,950	2,252,231	2,647,013	37,163
ARGO GROUP INTERNATIONAL HOLDI	30,500	1,629,388	1,825,120	
ARISTOCRAT LEISURE LTD	154,575	829,817	1,148,227	
ARROW ELECTRONICS INC	27,930	1,653,655	1,513,247	
ARYZTA AG	49,410	2,779,872	2,517,393	39,962
ASAHI HOLDINGS INC	30,900	586,570	486,509	
ASALEO CARE LTD	368,410	507,371	427,519	131,215
ASCENA RETAIL GROUP INC	93,941	1,195,070	925,319	
ASHLAND INC	10,097	1,190,615	1,036,962	
ASHTREAD GROUP PLC	105,573	1,896,361	1,741,209	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
ASML HOLDING NV	6,400	\$ 649,187	\$ 568,128	6,400
ASSOCIATED BANC-CORP	114,000	2,123,820	2,137,500	62,062
ASSURANT INC	13,372	971,747	1,076,981	
AT&T INC	18,064	467,745	621,582	
ATMOS ENERGY CORP	20,343	1,178,607	1,282,423	
ATS AUTOMATION TOOLING SYS INC	53,241	687,937	436,169	14,697
AUTOMATIC DATA PROCESSING INC	3,670	305,968	310,922	
AVERY DENNISON CORP	19,756	1,053,852	1,237,911	7,409
AVISTA CORP	38,720	1,368,752	1,369,526	18,958
AVIVA PLC	676,825	5,089,820	5,147,473	
AZIMUT HOLDING SPA	75,506	1,658,269	1,891,431	
BABCOCK INTERNATIONAL GROUP PL	57,919	955,482	867,327	
BALFOUR BEATTY PLC	488,889	1,672,442	1,946,990	
BANCA GENERALI SPA	16,614	463,392	526,634	
BANCA MEDIOLANUM SPA	92,063	589,310	731,059	
BANCA POPOLARE DELL'EMILIA ROM	127,737	844,715	976,875	
BANCO POPOLARE SC	62,644	762,572	871,723	
BANCORPSOUTH INC	56,871	1,280,166	1,364,335	56,871
BANK OF AMERICA CORP	271,831	4,658,816	4,574,916	
BARCLAYS PLC	1,155,834	4,347,605	3,729,145	
BARNES GROUP INC	59,155	2,189,327	2,093,495	
BAYER AG	16,571	2,266,805	2,084,525	
BAYTEX ENERGY CORP	135,274	2,061,589	436,274	
BBCN BANCORP INC	76,955	1,106,613	1,325,165	
BED BATH & BEYOND INC	42,800	3,090,859	2,065,100	39,577
BERRY PLASTICS GROUP INC	31,213	1,037,152	1,129,286	
BILLERUDKORSNAS AB	59,260	860,864	1,104,983	
BIOGEN INC	15,900	5,397,255	4,870,965	
BIOMARIN PHARMACEUTICAL INC	15,900	1,437,360	1,665,684	
BLACKBERRY LTD	160,700	1,764,486	1,491,296	160,700
BLACKROCK INC	2,525	902,276	859,813	
BNP PARIBAS SA	52,159	3,071,740	2,959,369	
BOEING CO/THE	1,700	220,966	245,803	
BOEING CO/THE	8,900	1,156,822	1,286,851	
BOOT BARN HOLDINGS INC	47,422	964,838	582,816	47,275
BOSTON SCIENTIFIC CORP	32,300	427,975	595,612	
BOVIS HOMES GROUP PLC	69,576	1,044,380	1,040,863	
BRINKER INTERNATIONAL INC	33,335	1,956,431	1,598,413	33,335
BRITISH AMERICAN TOBACCO PLC	108,502	5,921,874	6,030,625	
BROOKS AUTOMATION INC	263,940	3,365,235	2,818,879	18,800
BRUKER CORP	54,082	1,061,089	1,312,570	2,200

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
BRUNSWICK CORP/DE	24,811	\$ 1,286,127	\$ 1,253,204	
BUZZI UNICEM SPA	38,850	494,080	700,566	38,849
BWX TECHNOLOGIES INC	39,698	1,027,104	1,261,205	
CACI INTERNATIONAL INC	11,806	1,157,446	1,095,361	
CAIXABANK SA	678,413	3,160,693	2,368,590	
CALAMOS ASSET MANAGEMENT INC	121,000	1,611,720	1,171,280	25,835
CALATLANTIC GROUP INC	86,668	3,471,537	3,286,451	80,892
CALERES INC	38,885	1,250,153	1,042,896	31,829
CAL-MAINE FOODS INC	-	-	-	14,258
CALLIDUS SOFTWARE INC	86,626	1,388,271	1,608,645	60,700
CALPINE CORP	170,700	3,330,562	2,470,029	55,926
CANADIAN PACIFIC RAILWAY LTD	7,545	1,453,846	962,742	
CAPITAL ONE FINANCIAL CORP	29,300	2,401,059	2,114,874	
CAPSTONE MINING CORP	298,100	522,463	94,424	
CARMAX INC	36,100	2,329,845	1,948,317	36,100
CARNIVAL CORP	56,300	2,552,079	3,067,224	
CARNIVAL PLC	61,163	2,775,625	3,485,127	
CASEY'S GENERAL STORES INC	9,864	890,916	1,188,119	
CATERPILLAR INC	19,930	1,774,068	1,354,443	19,930
CAVIUM INC	13,010	808,891	854,887	
CBS CORP	18,900	850,303	890,757	13,010
CCL INDUSTRIES INC	10,750	1,168,230	1,736,360	
CEB INC	3,628	263,139	222,723	
CELGENE CORP	7,300	815,897	874,248	
CENTURY TOKYO LEASING CORP	15,200	380,967	550,281	
CERUS CORP	110,980	584,897	701,394	110,980
CH ROBINSON WORLDWIDE INC	2,400	179,736	148,848	
CHARLES RIVER LABORATORIES INT	12,913	821,783	1,038,076	
CHARLES SCHWAB CORP/THE	103,787	3,133,330	3,417,706	85,887
CHEVRON CORP	18,720	2,100,010	1,684,051	
CHUBB LTD	14,200	1,581,143	1,659,270	14,200
CIE FINANCIERE RICHEMONT SA	32,963	2,767,665	2,374,258	
CIENA CORP	57,979	1,149,721	1,199,586	44,506
CISCO SYSTEMS INC	50,500	1,402,805	1,371,328	
CITIGROUP INC	89,316	4,780,034	4,622,103	
CITIZENS FINANCIAL GROUP INC	60,400	1,498,115	1,581,876	
CLARIANT AG	119,923	2,018,277	2,277,459	
CNH INDUSTRIAL NV	207,700	1,625,433	1,420,668	207,700
CNO FINANCIAL GROUP INC	273,540	4,710,359	5,221,879	251,750
COCA-COLA CO/THE	55,030	2,323,367	2,364,089	
COGNEX CORP	108,180	4,353,013	3,653,239	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
COMCAST CORP	27,500	\$ 1,693,793	\$ 1,551,825	
COMCAST CORP	21,460	1,244,917	1,210,988	
COMERICA INC	46,965	2,231,390	1,964,546	
COMMERCIAL METALS CO	104,000	1,694,160	1,423,760	
COMMSCOPE HOLDING CO INC	43,232	1,359,013	1,119,276	
CONFORMIS INC	28,163	458,053	486,938	
CONOCOPHILLIPS	14,450	997,917	674,671	14,450
CONSORT MEDICAL PLC	4,917	71,734	83,705	
CONSTELLIUM NV	20,900	298,003	160,930	20,900
CORNING INC	39,100	896,563	714,748	
CORNING INC	235,300	5,050,019	4,301,284	
COWEN GROUP INC	534,000	2,563,200	2,045,220	534,000
CRAMO OYJ	49,450	965,584	1,026,542	
CRAY INC	9,225	292,884	299,351	7,906
CREDITO VALTELLINESE SC	917,470	880,376	1,087,343	110,743
CREE INC	24,600	792,563	656,082	24,600
CSX CORP	11,000	398,530	285,450	
CUBESMART	35,238	777,703	1,078,988	
CUBIC CORP	40,875	2,151,660	1,931,344	
CUMMINS INC	33,900	4,363,837	2,983,539	33,900
CVB FINANCIAL CORP	185,000	2,963,700	3,130,200	182,742
CVS HEALTH CORP	4,800	462,288	469,296	
CYBERAGENT INC	6,900	260,991	288,516	
CYBERARK SOFTWARE LTD	28,460	1,348,999	1,284,684	28,460
CYNOSURE INC	43,243	1,225,600	1,931,665	29,004
CYPRESS SEMICONDUCTOR CORP	132,282	1,405,427	1,297,686	62,930
DAICEL CORP	92,600	1,095,182	1,396,371	
DAIFUKU CO LTD	91,800	1,174,646	1,583,482	
DARDEN RESTAURANTS INC	18,867	982,363	1,200,696	
DAWN RAYS PHARMACEUTICAL HOLDIN	930,118	686,566	722,478	
DDR CORP	63,303	1,141,862	1,066,023	63,303
DEERE & CO	6,700	522,581	511,009	6,700
DELTA AIR LINES INC	18,500	910,015	937,765	
DELUXE CORP	22,540	1,403,115	1,229,332	22,540
DEMANDWARE INC	37,460	2,130,939	2,021,716	33,460
DESCARTES SYSTEMS GROUP INC/TH	4,900	72,618	98,392	600
DIAGEO PLC	100,136	2,789,068	2,740,017	
DIAGEO PLC	5,275	601,825	575,344	
DIAMOND ROCK HOSPITALITY CO	75,976	1,108,202	733,168	75,976
DIPLOMAT PHARMACY INC	32,790	903,952	1,122,074	30,885
DISCOVERY COMMUNICATIONS INC	28,700	778,758	765,716	28,700

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
DOMINO'S PIZZA GROUP PLC	65,410	\$ 716,484	\$ 1,014,210	
DOUTOR NICHIRE HOLDINGS CO LT	42,300	611,417	657,558	
DOW CHEMICAL CO/THE	6,875	314,869	353,925	
DR HORTON INC	35,950	923,099	1,151,479	
DTS CORP	44,900	965,821	1,030,167	
DUERR AG	3,850	341,296	307,814	
DUKE REALTY CORP	73,150	1,477,630	1,537,613	
DYCOM INDUSTRIES INC	4,110	299,847	287,536	
E*TRADE FINANCIAL CORP	7,600	210,564	225,264	
EAST JAPAN RAILWAY CO	52,500	4,312,224	4,997,090	
EAST WEST BANCORP INC	29,395	1,137,880	1,221,656	
EBAY INC	13,100	295,733	359,988	
EFG INTERNATIONAL AG	20,778	242,565	218,989	
ELECTRONIC ARTS INC	4,425	208,041	304,086	
ELEMENT FINANCIAL CORP	79,570	1,022,422	956,604	
ELI LILLY & CO	98,900	6,823,111	8,333,314	
ELIOR	59,350	1,079,939	1,244,308	
EMBRAER SA	17,800	620,694	525,812	
EMC CORP/MA	48,750	1,449,825	1,251,900	
EMCOR GROUP INC	26,434	1,180,163	1,269,889	
EMPEROR WATCH & JEWELLERY LTD	3,200,000	158,868	79,689	2,343,383
ENERSYS	11,556	733,084	646,327	
ENGIE SA	252,348	5,397,672	4,475,101	
ENTEGRIS INC	17,888	236,300	237,374	8,200
ENTELLUS MEDICAL INC	26,835	542,274	452,438	23,380
ENVESTNET INC	68,613	3,396,859	2,048,098	66,069
EOG RESOURCES INC	1,300	119,691	92,027	
ESTEE LAUDER COS INC/THE	12,655	964,311	1,114,399	
EUROFINS SCIENTIFIC SE	2,150	551,671	751,695	
EXACT SCIENCES CORP	10,045	253,444	92,715	10,045
EXAMWORKS GROUP INC	56,419	2,326,275	1,500,745	56,419
EXPEDIA INC	-	69	60	
EXXON MOBIL CORP	35,455	3,277,569	2,763,717	
F5 NETWORKS INC	1,600	208,744	155,136	
FACEBOOK INC	15,100	1,243,518	1,580,366	
FEDEX CORP	14,580	2,531,963	2,172,274	
FIESTA RESTAURANT GROUP INC	47,859	2,875,625	1,608,062	32,788
FINANCIAL ENGINES INC	18,610	680,196	626,599	18,610
FINISH LINE INC/THE	74,000	2,021,789	1,337,920	
FIRST BANCORP/PUERTO RICO	123,726	726,272	402,110	
FIRST REPUBLIC BANK/CA	16,905	1,032,191	1,116,744	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
FIVE BELOW INC	9,050	\$ 369,512	\$ 290,505	3,394
FLEETMatics GROUP PLC	23,930	849,276	1,215,405	16,414
FLEXTRONICS INTERNATIONAL LTD	133,000	1,486,940	1,490,930	
FLIGHT CENTRE TRAVEL GROUP LTD	6,550	174,849	190,094	6,548
FNB CORP/PA	165,000	2,197,800	2,201,100	164,999
FNF GROUP	42,810	1,480,719	1,484,223	
FRANKLIN RESOURCES INC	11,065	612,669	407,413	
GATEGROUP HOLDING AG	24,840	709,964	1,094,350	17,886
GATX CORP	30,700	1,766,478	1,306,285	27,534
GENERAL MOTORS CO	62,100	2,131,237	2,112,021	
GENESEE & WYOMING INC	15,491	1,317,919	831,712	5,298
GENTHERM INC	11,250	412,285	533,250	
GERRESHEIMER AG	16,143	921,052	1,265,934	
G-III APPAREL GROUP LTD	20,980	1,087,770	928,575	20,980
GILEAD SCIENCES INC	7,790	734,285	788,270	
GIVAUDAN SA	363	656,115	661,088	
GLACIER BANCORP INC	52,160	1,448,483	1,383,805	
GLAUKOS CORP	30,325	815,323	748,724	30,325
GLAXOSMITHKLINE PLC	69,800	2,984,326	2,816,430	69,800
GLAXOSMITHKLINE PLC	174,011	3,787,677	3,521,399	
GLOBAL INDEMNITY PLC	65,520	1,858,802	1,901,390	16,200
GMO INTERNET INC	36,400	312,100	484,748	
GOLDMAN SACHS GROUP INC/THE	5,600	1,085,448	1,009,288	
GOODYEAR TIRE & RUBBER CO/THE	45,825	1,309,220	1,497,103	45,825
GRAN TIERRA ENERGY INC	264,385	1,017,882	573,715	
GRAND CANYON EDUCATION INC	25,560	1,192,630	1,025,467	8,400
GRAPHIC PACKAGING HOLDING CO	73,964	1,060,914	948,958	
GREENBRIER COS INC/THE	59,000	3,391,871	1,924,580	59,000
GROUP 1 AUTOMOTIVE INC	32,800	2,939,536	2,482,960	1,300
GRUPO AEROPORTUARIO DEL CENTRO	98,900	455,122	478,777	52,355
GUIDEWIRE SOFTWARE INC	16,474	834,079	991,076	13,978
HABIT RESTAURANTS INC/THE	51,344	1,516,954	1,183,993	40,823
HAIR CELESTIAL GROUP INC/THE	24,454	1,299,865	987,697	
HAKUHODO DY HOLDINGS INC	57,200	553,418	627,181	
HALMA PLC	80,550	863,483	1,026,951	
HANOVER INSURANCE GROUP INC/TH	15,388	1,173,628	1,251,660	
HARLEY-DAVIDSON INC	17,300	974,700	785,247	17,300
HAVAS SA	51,690	422,633	435,562	
HAYS PLC	541,500	1,229,350	1,164,452	
HEADWATERS INC	57,992	1,151,148	978,325	
HEALTH EQUITY INC	66,970	1,743,159	1,678,938	6,000

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
HEALTHSOUTH CORP	21,183 \$	815,913 \$	737,380	
HERA SPA	474,410	1,163,844	1,262,611	
HESS CORP	63,700	3,604,563	3,088,176	
HEWLETT PACKARD ENTERPRISE CO	252,700	4,426,288	3,841,040	252,700
HILLENBRAND INC	36,015	1,136,205	1,067,124	34,802
HILL-ROM HOLDINGS INC	22,188	1,012,217	1,066,355	22,188
HITACHI LTD	797,000	5,670,809	4,581,450	
HOME DEPOT INC/THE	3,550	372,644	469,488	
HONDA MOTOR CO LTD	56,700	1,695,329	1,810,431	25,800
HONEYWELL INTERNATIONAL INC	4,500	449,640	466,065	
HORTONWORKS INC	14,930	360,345	326,967	
HP INC	93,100	1,632,049	1,102,304	
HP INC	61,600	1,027,197	729,344	
HSBC HOLDINGS PLC	613,388	5,624,451	4,899,095	
HUBSPOT INC	28,933	1,154,551	1,629,217	23,604
HUMANA INC	6,000	861,780	1,071,060	
HUNTINGTON BANCSHARES INC/OH	219,818	2,342,568	2,431,187	101,224
HUNTINGTON INGALLS INDUSTRIES	16,325	1,914,236	2,070,826	
HUTCHISON TELECOMMUNICATIONS H	1,158,000	489,789	401,930	
IBJ LEASING CO LTD	18,800	376,800	387,112	
ICON PLC	13,390	708,802	1,040,403	13,390
ICU MEDICAL INC	17,500	1,433,250	1,973,650	
IDEX CORP	4,600	358,064	352,406	
ILLUMINA INC	1,750	323,015	335,904	
IMAX CORP	32,860	1,036,575	1,167,844	
IMPERIAL OIL LTD	70,820	2,921,962	2,298,298	
INC RESEARCH HOLDINGS INC	25,360	925,530	1,230,214	
INCHCAPE PLC	66,454	751,233	768,880	
INDUSTRIA MACCHINE AUTOMATICHE	9,300	427,305	484,823	9,299
INFINERA CORP	47,515	947,787	860,972	18,382
INFRASTRUTTURE WIRELESS ITALIA	296,455	1,356,282	1,623,077	
INOGEN INC	29,460	1,348,877	1,181,051	29,460
INSIGHT ENTERPRISES INC	92,965	2,401,672	2,335,281	5,739
INTEL CORP	66,475	2,410,967	2,290,064	56,704
INTERCONTINENTAL EXCHANGE INC	3,000	704,668	768,780	
INTERMEDIATE CAPITAL GROUP PLC	238,067	2,008,945	2,200,061	
INTERNATIONAL BUSINESS MACHINE	6,205	995,530	853,932	
INTERNATIONAL CONSOLIDATED AIR	245,372	1,859,801	2,207,896	
INTERNATIONAL PAPER CO	49,300	2,047,423	1,858,610	
INTERSECT ENT INC	30,699	640,218	690,728	3,530
INTERSIL CORP	86,053	1,210,565	1,098,036	86,053

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
INTERXION HOLDING NV	58,310	\$ 1,631,087	\$ 1,758,047	
INTUIT INC	11,175	1,030,223	1,078,388	
INVESTMENT TECHNOLOGY GROUP IN	42,357	881,873	720,916	
IPG PHOTONICS CORP	40,000	2,996,800	3,566,400	34,001
IPSOS	15,110	433,602	348,305	
JABIL CIRCUIT INC	15,000	327,450	349,350	15,000
JACK IN THE BOX INC	12,200	1,033,495	935,862	2,400
JACOBS ENGINEERING GROUP INC	22,075	975,872	926,046	13,975
JANUS CAPITAL GROUP INC	63,136	1,018,384	889,586	63,136
JAPAN AIRLINES CO LTD	69,800	2,538,265	2,527,526	
JAPAN LOGISTICS FUND INC	242	543,968	471,346	
JETBLUE AIRWAYS CORP	94,794	1,503,433	2,147,084	44,192
JOHN BEAN TECHNOLOGIES CORP	11,040	527,494	550,123	172
JOHNSON & JOHNSON	17,000	1,777,690	1,746,240	
JOHNSON & JOHNSON	5,020	524,941	515,654	
JOHNSON CONTROLS INC	61,200	2,924,879	2,416,788	
JPMORGAN CHASE & CO	23,600	1,486,262	1,558,308	
JPMORGAN CHASE & CO	56,800	3,526,564	3,750,504	
JPMORGAN CHASE & CO	24,990	1,563,874	1,650,090	
JSL SA	48,900	234,548	88,993	
JUST EAT PLC	88,346	566,591	642,862	
KAISER ALUMINUM CORP	11,823	866,216	989,112	
KANAMOTO CO LTD	6,900	188,477	176,379	6,899
KANSAI PAINT CO LTD	41,000	662,631	628,829	
KBC GROEP NV	17,178	966,456	1,076,149	
KDDI CORP	260,400	5,563,584	6,827,396	
KELLOGG CO	11,200	732,928	809,424	
KEYCORP	130,938	1,820,038	1,727,072	
KEYSIGHT TECHNOLOGIES INC	7,600	256,652	215,308	
KINDER MORGAN INC/DE	16,575	701,288	247,299	
KINGSPAN GROUP PLC	19,650	341,207	518,916	
KION GROUP AG	27,810	1,091,464	1,390,264	
KIRBY CORP	8,800	710,512	463,056	
KLA-TENCOR CORP	14,200	982,346	984,770	
KOHL'S CORP	24,745	1,296,726	1,178,604	4,909
KONINKLIJKE PHILIPS NV	22,823	663,826	580,845	
L BRANDS INC	40,830	3,533,837	3,912,331	15,341
LACLEDE GROUP INC/THE	35,275	1,876,630	2,095,688	35,275
LADBROKES PLC	508,600	919,853	897,302	
LANCASHIRE HOLDINGS LTD	22,201	193,855	205,495	22,200
LAVENDON GROUP PLC	161,300	433,850	352,450	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
LA-Z-BOY INC	38,055	\$ 1,041,221	\$ 929,303	
LEGRAND SA	64,232	3,378,611	3,642,267	
LENDINGTREE INC	14,839	1,500,256	1,324,826	14,839
LEOPALACE21 CORP	182,300	975,627	997,160	
LEXINGTON REALTY TRUST	103,332	1,134,585	826,656	103,332
LIBERBANK SA	1,465,907	1,173,036	926,785	
LIFEPOINT HEALTH INC	25,000	1,969,071	1,835,000	8,719
LIGAND PHARMACEUTICALS INC	14,500	1,072,164	1,572,090	4,842
LINDE AG	19,642	3,679,873	2,857,038	
LINDSAY CORP	13,730	1,177,210	994,052	11,628
LITHIA MOTORS INC	13,670	1,551,346	1,458,179	3,453
LITTELFUSE INC	10,882	1,051,963	1,164,483	400
LLOYDS BANKING GROUP PLC	3,395,869	3,985,139	3,657,279	
LOGMEIN INC	13,750	975,330	922,625	639
MACK-CALI REALTY CORP	59,185	1,126,703	1,381,970	59,185
MANITOWOC CO INC/THE	67,343	1,453,240	1,033,715	56,936
MANPOWERGROUP INC	5,000	340,850	421,450	
MANPOWERGROUP INC	16,177	1,415,996	1,363,559	
MARATHON OIL CORP	202,700	4,927,792	2,551,993	50,983
MARKETAXESS HOLDINGS INC	34,830	2,587,350	3,886,680	18,046
MARSH & MCLENNAN COS INC	19,025	1,088,991	1,054,936	
MATADOR RESOURCES CO	24,841	667,118	491,107	17,916
MATRIX SERVICE CO	64,095	1,333,773	1,316,511	
MAXIM INTEGRATED PRODUCTS INC	22,473	762,539	853,974	
MAXIMUS INC	5,090	279,136	286,313	
MCBC HOLDINGS INC	24,921	358,586	341,418	
MCDONALD'S CORP	9,920	929,504	1,171,949	
MCGRAW HILL FINANCIAL INC	5,110	454,688	503,744	
MEDCATH CORP	51,000	510	510	
MEDIASET ESPANA COMUNICACION S	51,380	641,892	559,815	
MEDICAL PROPERTIES TRUST INC	71,773	978,951	826,107	71,773
MEDTRONIC PLC	19,300	1,447,886	1,484,556	
MEDTRONIC PLC	24,300	1,786,390	1,869,156	
MEITEC CORP	11,600	346,370	400,665	
MELIA HOTELS INTERNATIONAL SA	75,723	811,830	1,001,901	
MERITOR INC	100,000	1,311,361	835,000	28,337
MERLIN PROPERTIES SOCIMI SA	140,310	1,606,205	1,759,675	
MICHAEL PAGE INTERNATIONAL PLC	262,114	1,683,440	1,870,995	
MICHAEL PAGE INTERNATIONAL PLC	116,060	745,401	828,448	
MICRO FOCUS INTERNATIONAL PLC	56,899	987,571	1,337,622	
MICRON TECHNOLOGY INC	10,900	381,609	154,344	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
MICROSOFT CORP	77,366 \$	3,593,651 \$	4,292,266	
MICROSOFT CORP	81,749	3,726,095	4,535,435	
MICROSOFT CORP	14,000	607,596	776,720	
MILACRON HOLDINGS CORP	43,371	838,636	542,571	43,029
MISUMI GROUP INC	88,800	1,005,774	1,244,580	
MONOLITHIC POWER SYSTEMS INC	11,820	575,327	753,052	
MONSANTO CO	17,880	2,136,124	1,761,538	
MORPHOSYS AG	9,618	891,840	602,329	8,934
MOTA-ENGIL SGPS SA	225,970	738,024	472,532	180,791
MOTORCAR PARTS OF AMERICA INC	36,261	1,138,818	1,225,984	
MULTI-COLOR CORP	12,970	854,586	775,736	12,970
MURPHY OIL CORP	79,500	3,978,019	1,784,775	74,913
NACHI-FUJIKOSHI CORP	142,000	744,330	658,681	60,000
NAGACORP LTD	686,000	514,609	433,721	
NATIONAL OILWELL VARCO INC	2,600	170,378	87,074	2,600
NATUS MEDICAL INC	19,410	897,146	932,651	
NESTLE SA	28,465	2,089,786	2,119,959	
NETAPP INC	63,625	2,436,775	1,687,971	61,825
NEUSTAR INC	17,900	497,620	429,063	17,900
NEVRO CORP	19,300	975,295	1,302,943	17,335
NEXITY SA	25,450	1,140,232	1,128,109	
NICHIAS CORP	92,000	528,696	611,064	
NIFCO INC/JAPAN	19,100	624,480	893,911	
NIKE INC	11,600	639,509	725,000	
NIKON CORP	260,600	3,486,404	3,520,304	260,599
NIMBLE STORAGE INC	600	16,500	5,520	
NINE ENTERTAINMENT CO HOLDINGS	577,430	647,945	800,308	577,429
NIPPON SHOKUBAI CO LTD	7,000	463,864	492,872	
NISHIO RENT ALL CO LTD	25,800	828,625	715,267	
NORDIC AMERICAN TANKERS LTD	100,000	1,538,980	1,554,000	89,200
NORFOLK SOUTHERN CORP	900	98,649	76,131	1,000
NORTHSTAR REALTY EUROPE CORP	67,800	785,525	800,718	67,800
NORTHSTAR REALTY FINANCE CORP	35,377	1,147,512	602,470	
NORWEGIAN CRUISE LINE HOLDINGS	4,200	196,377	246,120	
NOS SGPS SA	58,700	434,551	462,047	54,654
NOVARTIS AG	86,862	8,066,462	7,507,387	36,690
NOVO NORDISK A/S	28,485	1,205,485	1,654,409	28,485
NRG ENERGY INC	152,000	2,693,021	1,789,040	152,000
NUANCE COMMUNICATIONS INC	61,300	874,751	1,219,257	
NVIDIA CORP	46,750	937,338	1,540,880	46,750
NXSTAGE MEDICAL INC	79,324	1,388,760	1,737,989	24,097

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
OBIC CO LTD	32,000	\$ 1,108,400	\$ 1,713,122	
OCCIDENTAL PETROLEUM CORP	14,675	1,184,135	992,177	
OFG BANCORP	147,916	2,001,643	1,082,745	
OLIN CORP	114,330	2,529,021	1,973,336	
ON ASSIGNMENT INC	41,250	1,369,088	1,854,188	
ON SEMICONDUCTOR CORP	103,016	1,176,680	1,009,557	
ONTEX GROUP NV	17,920	516,553	637,722	
ORACLE CORP	5,875	264,199	214,614	
ORACLE CORP	90,200	4,032,227	3,295,006	
ORBITAL ATK INC	21,350	1,596,983	1,907,409	
OWENS & MINOR INC	44,000	1,571,407	1,583,120	44,000
OWENS CORNING	33,467	1,351,392	1,573,953	26,616
PACCAR INC	18,300	1,244,583	867,420	
PACIRA PHARMACEUTICALS INC/DE	5,970	433,098	458,436	5,270
PACT GROUP HOLDINGS LTD	147,124	523,735	538,412	
PARAGON GROUP OF COS PLC/THE	39,466	256,118	205,627	
PARKER-HANNIFIN CORP	16,500	2,027,978	1,600,170	
PARSLEY ENERGY INC	59,171	1,073,092	1,091,705	
PARTNERS GROUP HOLDING AG	1,040	303,004	375,325	
PAYCOM SOFTWARE INC	29,120	810,659	1,095,786	22,248
PAYLOCITY HOLDING CORP	21,095	556,659	855,402	21,095
PAYPAL HOLDINGS INC	13,100	439,439	474,220	
PDC ENERGY INC	13,130	715,556	700,879	13,130
PEPSICO INC	16,000	1,512,960	1,598,720	
PERKINELMER INC	4,200	183,666	224,994	
PHILIP MORRIS INTERNATIONAL IN	7,200	586,440	632,952	
PHILIP MORRIS INTERNATIONAL IN	38,450	3,133,617	3,380,140	
PINNACLE WEST CAPITAL CORP	31,098	2,070,350	2,005,199	
PLANET FITNESS INC	19,150	299,896	299,315	10,825
PLAYTECH PLC	154,400	1,658,755	1,894,522	
PLEXUS CORP	25,384	1,040,154	886,409	
PNM RESOURCES INC	36,602	1,063,430	1,118,923	10,000
POLYONE CORP	30,850	1,158,627	979,796	7,573
POSTNL NV	317,561	1,191,221	1,207,383	
POTASH CORP OF SASKATCHEWAN IN	4,900	173,068	83,888	
PPL CORP	32,300	1,063,124	1,102,399	
PRA GROUP INC	7,500	434,475	260,175	7,500
PRAXAIR INC	12,295	1,592,940	1,259,008	5,000
PREMIER OIL PLC	371,767	876,841	265,754	
PROCTER & GAMBLE CO/THE	10,765	980,584	854,849	
PROGRESS SOFTWARE CORP	80,000	2,161,600	1,920,000	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
PROGRESSIVE CORP/THE	11,650	\$ 313,203	\$ 370,470	3,941
PROOFPOINT INC	54,920	2,748,002	3,570,349	45,581
PROSIEBENSAT.1 MEDIA SE	23,150	975,681	1,176,039	
PTC INC	30,670	1,124,056	1,062,102	
PTC THERAPEUTICS INC	20,720	1,154,199	671,328	20,720
Q2 HOLDINGS INC	68,504	1,447,586	1,806,450	18,200
QIAGEN NV	28,800	675,648	796,320	8,331
QLIK TECHNOLOGIES INC	44,720	1,409,726	1,415,835	21,498
QUALCOMM INC	16,000	1,142,754	799,760	
QUALCOMM INC	8,445	627,717	422,123	
QUALYS INC	23,530	900,330	778,608	20,410
RADIUS HEALTH INC	15,046	1,019,912	925,931	15,046
RAI WAY SPA	79,700	307,581	408,476	
RAMBUS INC	3,400	37,706	39,406	3,400
RANGE RESOURCES CORP	1,000	53,450	24,610	1,000
RAPID7 INC	29,582	553,910	447,576	29,582
RELX NV	343,252	5,357,999	5,794,472	
REPLIGEN CORP	31,050	750,262	878,405	12,327
RESTAURANT GROUP PLC/THE	59,760	614,993	603,790	
RICE ENERGY INC	57,000	1,215,383	621,300	6,611
RINGCENTRAL INC	67,720	1,275,112	1,596,838	43,051
RITCHIE BROS AUCTIONEERS INC	25,100	674,939	605,161	
RITE AID CORP	181,527	1,319,127	1,423,172	
ROCHE HOLDING AG	6,251	1,697,927	1,726,050	
ROCHE HOLDING AG	17,917	4,869,340	4,947,311	
ROCHE HOLDING AG	37,450	1,271,393	1,292,624	
ROCKWELL AUTOMATION INC	600	66,720	61,566	600
ROSS STORES INC	31,200	1,470,456	1,678,872	
ROYAL CARIBBEAN CRUISES LTD	1,200	98,916	121,452	1,200
ROYAL DUTCH SHELL PLC	49,656	3,294,600	2,273,746	
RSP PERMIAN INC	36,037	918,441	878,942	36,037
RYANAIR HOLDINGS PLC	13,455	983,526	1,163,319	
RYMAN HOSPITALITY PROPERTIES I	23,216	1,224,412	1,198,874	21,705
SABMILLER PLC	12,345	647,629	740,465	
SAFT GROUPE SA	8,530	259,591	260,286	
SAGA PLC	246,250	768,524	731,340	
SANDISK CORP	28,425	2,659,536	2,160,016	
SANOFI	57,700	2,619,325	2,460,905	
SANOFI	37,165	3,401,700	3,173,266	
SAP SE	39,672	2,790,659	3,162,362	
SAVILLS PLC	58,230	798,766	760,411	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
SAWAI PHARMACEUTICAL CO LTD	15,800	\$ 973,669	\$ 1,091,467	
SCHLUMBERGER LTD	8,196	700,020	571,671	
SCHNEIDER ELECTRIC SE	59,615	4,411,530	3,403,774	
SCORPIO TANKERS INC	87,460	760,027	701,429	87,447
SCSK CORP	40,700	1,028,575	1,652,766	
SEATTLE GENETICS INC	6,075	208,569	272,646	6,075
SENSIENT TECHNOLOGIES CORP	17,235	1,039,960	1,082,703	8,222
SHIN-ETSU CHEMICAL CO LTD	28,900	1,897,897	1,589,686	
SIG PLC	265,262	806,649	561,432	
SKYCITY ENTERTAINMENT GROUP LT	288,550	874,922	875,108	
SKYLARK CO LTD	96,800	1,304,926	1,262,556	
SMURFIT KAPPA GROUP PLC	24,774	560,584	633,508	
SNAP-ON INC	11,395	1,558,152	1,953,445	
SOLAZYME INC	40,075	106,205	99,386	30,656
SONY CORP	104,500	2,139,115	2,571,745	64,278
SOPRA STERIA GROUP	15,417	1,326,913	1,813,753	
SOUTHERN CO/THE	23,600	1,029,449	1,104,244	
SOUTHWEST AIRLINES CO	97,600	4,130,432	4,202,656	
SOUTHWESTERN ENERGY CO	9,025	207,629	64,168	9,025
SPAREBANK 1 SMN	71,305	511,280	406,820	
SPECTRANETICS CORP/THE	104,490	2,964,417	1,573,619	75,559
SPORTSMAN'S WAREHOUSE HOLDINGS	70,340	854,328	907,386	
SPOTLESS GROUP HOLDINGS LTD	370,950	665,712	291,475	370,949
SPS COMMERCE INC	43,272	2,485,621	3,038,127	
SSE PLC	237,810	5,559,793	5,355,765	
ST MARC HOLDINGS CO LTD	20,224	585,323	565,724	
STATE STREET CORP	28,300	2,103,529	1,877,988	
STATE STREET CORP	13,940	1,083,546	925,058	
STHREE PLC	68,450	314,989	328,896	
STOCK SPIRITS GROUP PLC	212,284	726,287	436,475	
STROEER SE & CO KGAA	19,600	1,150,537	1,232,777	
SUMITOMO MITSUI FINANCIAL GROU	67,900	2,446,117	2,599,837	
SUNDRUG CO LTD	26,700	1,144,927	1,735,683	
SUNSTONE HOTEL INVESTORS INC	97,851	1,597,581	1,222,159	97,851
SUPERIOR ENERGY SERVICES INC	63,230	1,278,439	851,708	23,745
SURGE ENERGY INC	366,000	1,016,208	555,943	15,615
SURUGA BANK LTD	18,300	339,304	382,293	
SYNCHRONOSS TECHNOLOGIES INC	43,672	1,832,562	1,538,565	43,672
SYNERGY RESOURCES CORP	163,213	1,999,366	1,390,575	137,153
SYNNEX CORP	11,614	938,222	1,044,447	11,614
SYNOVUS FINANCIAL CORP	82,347	2,293,077	2,666,396	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
TAKE-TWO INTERACTIVE SOFTWARE	102,175 \$	2,750,717 \$	3,559,777	102,175
TARGET CORP	19,700	1,495,427	1,430,417	19,700
TARGET CORP	12,005	911,300	871,683	
TAYLOR WIMPEY PLC	270,208	580,581	808,865	
TEAM HEALTH HOLDINGS INC	6,680	384,300	293,185	
TECH DATA CORP	14,696	920,781	975,520	14,696
TECHNIP SA	45,060	2,655,285	2,238,668	
TECNICAS REUNIDAS SA	27,621	1,176,865	1,045,664	20,751
TEEKAY TANKERS LTD	578,000	3,211,973	3,976,640	526,400
TEGNA INC	63,720	1,649,659	1,626,134	63,720
TELADOC INC	36,217	913,360	650,457	36,217
TELEFONAKTIEBOLAGET LM ERICSSO	314,400	3,698,545	3,021,384	314,400
TEMENOS GROUP AG	30,090	1,075,021	1,561,614	
TEMP HOLDINGS CO LTD	117,600	1,244,055	1,842,770	
TERADYNE INC	54,140	1,043,448	1,119,074	
TESLA MOTORS INC	1,750	389,218	420,018	1,750
TEXAS INSTRUMENTS INC	93,383	4,992,723	5,118,322	606
THERMO FISHER SCIENTIFIC INC	8,500	1,064,965	1,205,725	
THOMAS COOK GROUP PLC	637,200	1,270,756	1,137,334	
TJX COS INC/THE	4,400	301,752	312,004	
TOKYO OHKA KOGYO CO LTD	14,200	438,217	456,237	
TOSHO CO LTD	10,200	283,172	275,149	
TOTAL SA	94,573	4,819,438	4,239,345	
TOYOTA MOTOR CORP	69,400	4,374,871	4,319,940	
TRANSOCEAN LTD	32,100	588,393	397,398	32,100
TRIBUNE MEDIA CO	20,100	1,137,356	679,581	20,100
TRIBUNE PUBLISHING CO	1,650	37,785	15,213	1,650
TRIMBLE NAVIGATION LTD	47,500	1,260,650	1,018,875	
TUESDAY MORNING CORP	131,000	2,842,700	851,500	131,000
TWENTY-FIRST CENTURY FOX INC	14,870	571,082	403,869	
TWENTY-FIRST CENTURY FOX INC	19,840	722,570	540,243	
TYLER TECHNOLOGIES INC	4,609	516,447	803,441	1,400
UBS GROUP AG	260,164	4,548,570	5,073,328	
UGI CORP	39,027	1,482,245	1,317,552	
ULTIMATE SOFTWARE GROUP INC/TH	4,547	667,568	888,984	4,547
ULTRAGENYX PHARMACEUTICAL INC	12,567	1,049,380	1,409,766	
UNION PACIFIC CORP	1,425	169,760	111,435	
UNION PACIFIC CORP	7,460	888,710	583,372	
UNITED CONTINENTAL HOLDINGS IN	32,300	2,160,547	1,850,790	
UNITED PARCEL SERVICE INC	6,709	745,840	645,607	
UNITED TECHNOLOGIES CORP	5,645	649,175	542,315	5,645

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
UNIVERSAL DISPLAY CORP	7,000 \$	194,250 \$	381,080	7,000
UNUM GROUP	45,600	1,590,528	1,518,024	
US BANCORP	2,325	98,763	99,208	
USG PEOPLE NV	83,750	986,516	1,562,996	
VCA INC	25,741	1,255,389	1,415,755	
VERISIGN INC	5,500	359,413	480,480	4,747
VESUVIUS PLC	91,380	635,765	448,636	
VISA INC	23,600	1,571,837	1,830,180	13,400
VMWARE INC	3,825	315,037	216,380	3,825
VODAFONE GROUP PLC	86,772	2,959,051	2,799,265	
VODAFONE GROUP PLC	966,953	3,356,942	3,149,674	
WAGEWORKS INC	42,778	2,336,716	1,940,838	
WALGREENS BOOTS ALLIANCE INC	11,350	864,870	966,509	
WAL-MART STORES INC	23,200	1,992,416	1,422,160	
WALT DISNEY CO/THE	19,900	2,015,851	2,091,092	19,900
WATERS CORP	2,200	247,984	296,076	
WELLCARE HEALTH PLANS INC	59,479	4,890,056	4,651,852	200
WELLS FARGO & CO	18,600	1,019,652	1,011,096	
WELLS FARGO & CO	25,281	1,385,904	1,374,275	
WELLS FARGO & CO	17,190	924,699	934,448	
WERNER ENTERPRISES INC	59,245	1,845,482	1,385,741	59,245
WESTAMERICA BANCORPORATION	23,950	1,114,606	1,119,663	23,950
WESTAR ENERGY INC	37,031	1,447,982	1,570,485	
WESTERN ALLIANCE BANCORP	68,672	1,909,082	2,462,578	
WESTERN REFINING INC	68,725	2,596,431	2,447,985	68,725
WESTFIELD CORP	212,043	1,479,022	1,467,126	
WESTROCK CO	24,850	1,358,365	1,133,657	
WHITECAP RESOURCES INC	126,320	1,265,478	824,795	125,448
WHITING PETROLEUM CORP	60,165	1,809,703	567,958	60,165
WHOLE FOODS MARKET INC	7,800	393,276	261,300	7,800
WILLIAM HILL PLC	104,950	588,961	612,556	
WILLIAM LYON HOMES	69,000	1,575,022	1,138,500	69,000
WINGSTOP INC	26,340	719,184	600,815	20,540
WINTRUST FINANCIAL CORP	43,000	2,150,782	2,086,360	
WORLD ONLINE INTL EURO.4	9,438	202,338	-	
WPX ENERGY INC	122,870	1,479,741	705,274	122,870
WYNDHAM WORLDWIDE CORP	13,473	1,155,444	978,813	13,064
XACTLY CORP	54,738	432,337	466,915	43,722
YAHOO! INC	3,100	137,711	103,106	
YAHOO! INC	6,700	338,417	222,842	
ZELTIQ AESTHETICS INC	21,660	709,682	617,960	21,660

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
ZENKOKU HOSHO CO LTD	26,100	\$ 801,939	\$ 873,291	
ZIMMER BIOMET HOLDINGS INC	700	79,394	71,813	
ZIMMER BIOMET HOLDINGS INC	16,700	1,860,103	1,713,253	
ZIONS BANCORPORATION	107,611	3,067,990	2,937,780	
ZOE'S KITCHEN INC	12,089	365,029	338,250	10,519
ZURICH INSURANCE GROUP AG	14,099	4,258,179	3,639,532	
TOTAL COMMON STOCKS		<u>911,774,556</u>	<u>884,935,622</u>	
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	54,903	55,544	54,903	
TOTAL PARTNERSHIP		<u>55,544</u>	<u>54,903</u>	
COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	316,035	98,907,847	202,466,708	
EB DV STOCK INDEX FUND	41,918	55,957,768	116,333,892	
TOTAL COLLECTIVE TRUST		<u>154,865,615</u>	<u>318,800,600</u>	
REGISTERED INVESTMENT COMPANIES				
CAUSEWAY EMERGING MKTS-INST	4,428,110	48,635,325	42,421,289	
VANGUARD INTL GROWTH-ADM	3,297,760	199,920,069	221,147,761	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>248,555,394</u>	<u>263,569,050</u>	
TOTAL EQUITY INVESTMENTS		<u>\$1,329,605,562</u>	<u>\$1,478,979,034</u>	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
21ST CENTURY FOX AMERICA INC	65,000	\$ 87,562	\$ 85,026	4/26/2023	8.88%	
21ST CENTURY FOX AMERICA INC	450,000	578,102	538,965	4/30/2028	7.30%	
21ST CENTURY FOX AMERICA INC	90,000	117,464	103,665	12/15/2035	6.40%	
21ST CENTURY FOX AMERICA INC	580,000	726,920	646,578	3/1/2037	6.15%	
21ST CENTURY FOX AMERICA INC	900,000	1,201,734	1,045,854	11/15/2037	6.65%	
21ST CENTURY FOX AMERICA INC	140,000	210,602	182,459	12/1/2045	7.75%	
ABB FINANCE USA INC	319,000	345,420	316,630	5/8/2042	4.38%	
ABBVIE INC	304,000	313,628	283,912	11/6/2042	4.40%	
ABENGOA GREENFIELD SA 144A	240,000	205,200	26,400	10/1/2019	6.50%	
ACE INA HOLDINGS INC	140,000	147,042	136,984	3/13/2043	4.15%	
ACE INA HOLDINGS INC	787,000	785,033	799,694	11/3/2045	4.35%	
ACTAVIS FUNDING SCS	685,000	695,097	677,753	6/15/2044	4.85%	
ACTAVIS FUNDING SCS	45,000	45,159	43,734	3/15/2035	4.55%	
ACTAVIS INC	125,000	117,189	118,829	10/1/2042	4.63%	
ADVANCED DISPOSAL 2/14	475,324	460,618	459,282		TLB	
AEP TEXAS CENTRAL CO	45,000	59,320	54,850	2/15/2033	6.65%	
AERCAP IRELAND CAPITAL LTD / A	150,000	150,000	151,125	7/1/2020	4.25%	
AERCAP IRELAND CAPITAL LTD / A	150,000	150,000	151,688	7/1/2022	4.63%	
AETNA INC	110,000	145,076	138,493	12/15/2037	6.75%	
AETNA INC	704,000	745,346	691,581	5/15/2042	4.50%	212,000
AGL CAPITAL CORP	862,000	1,081,095	945,166	3/15/2041	5.88%	
AGRIUM INC	120,000	142,369	127,895	1/15/2041	6.13%	
AGRIUM INC	148,000	159,858	137,958	1/15/2045	5.25%	
AGRIUM INC	895,000	887,035	761,332	3/15/2035	4.13%	
AIG SUNAMERICA GLOBAL FIN 144A	1,344,000	1,850,594	1,725,078	3/15/2032	6.90%	
AIR CANADA 2013-1 CLASS A 144A	39,803	40,222	39,753	11/15/2026	4.13%	
AKORN 11/13 COV-LITE TLB	493,750	489,020	481,406	4/16/2021	0.00%	
ALABAMA POWER CO	356,000	473,024	433,035	5/15/2038	6.13%	
ALABAMA POWER CO	900,000	1,186,191	1,069,821	3/1/2039	6.00%	
ALABAMA POWER CO	815,000	1,016,012	919,353	3/15/2041	5.50%	
ALABAMA POWER CO	300,000	361,929	325,263	6/1/2041	5.20%	
ALABAMA POWER CO	45,000	45,667	41,307	12/1/2042	3.85%	45,000
ALABAMA POWER CO	1,203,000	1,268,058	1,237,550	12/1/2023	3.55%	1,203,000
ALABAMA POWER CO	595,000	624,673	566,006	8/15/2044	4.15%	
ALABAMA POWER CO	264,000	263,913	237,188	3/1/2045	3.75%	
ALBERTA ENERGY CO LTD	70,000	82,606	63,871	11/1/2031	7.38%	
ALERE 6/15 COV-LITE TLB	695,449	696,608	687,987			
ALLSTATE CORP/THE	150,000	185,889	173,511	5/9/2035	5.55%	88,000
ALLSTATE CORP/THE	481,000	512,471	488,725	6/15/2043	4.50%	
ALLY AUTO RECEIVABLES TRU 1 A2	255,000	254,997	254,518	2/15/2018	0.92%	
ALLY FINANCIAL INC	244,000	278,770	278,160	3/15/2020	8.00%	
ALLY FINANCIAL INC	801,000	939,128	906,131	9/15/2020	7.50%	
ALLY FINANCIAL INC	124,000	123,125	123,380	2/13/2018	3.25%	
ALLY FINANCIAL INC	174,000	172,373	176,175		SUB	
ALTICE FINANCING SA 144A	200,000	200,000	197,500	2/15/2023	6.63%	
ALTICE US FINANCE I CORP 144A	200,000	200,000	200,500	7/15/2023	5.38%	
ALTRIA GROUP INC	58,000	100,986	95,111	2/6/2039	10.20%	
ALTRIA GROUP INC	320,000	306,659	293,613	8/9/2042	4.25%	
ALTRIA GROUP INC	525,000	597,618	564,711	1/31/2044	5.38%	
AMAZON.COM INC	170,000	178,456	178,990	12/5/2034	4.80%	
AMERICA MOVIL SAB DE CV	293,000	346,681	322,332	3/30/2040	6.13%	
AMERICA MOVIL SAB DE CV	900,000	862,200	794,925	7/16/2042	4.38%	
AMERICAN AIRLINES 2013-2 144A	589,419	602,080	601,207	1/15/2022	5.60%	
AMERICAN AIRLINES GROUP I 144A	921,000	936,448	909,488	10/1/2019	5.50%	921,000
AMERICAN AIRLINES GROUP I 144A	142,000	142,000	135,255	3/1/2020	4.63%	
AMERICAN COMMERCIAL LINES	560,000	532,000	515,200		COV-LITE TL	
AMERICAN HONDA FINANCE CORP	335,000	335,000	334,230	7/13/2018	VAR	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
AMERICAN HONDA FINANCE CORP	190,000	\$ 190,000	\$ 189,787	9/20/2017	VAR	
AMERICAN INTERNATIONAL GROUP I	335,000	332,524	323,895	7/10/2045	4.80%	
AMERICAN TOWER CORP	106,000	112,410	112,203	2/15/2024	5.00%	106,000
AMERICAN WATER CAPITAL CORP	230,000	318,345	298,243	10/15/2037	6.59%	
AMERICAN WATER CAPITAL CORP	33,000	33,557	33,400	12/1/2042	4.30%	33,000
AMERIPRISE FINANCIAL INC	800,000	847,408	831,048	10/15/2023	4.00%	
AMGEN INC	950,000	1,195,718	1,106,323	6/1/2037	6.38%	
AMGEN INC	40,000	52,990	49,708	6/1/2038	6.90%	
AMGEN INC	165,000	185,980	167,513	11/15/2041	5.15%	
AMGEN INC	975,000	1,132,697	1,035,850	5/15/2043	5.38%	
AMNEAL PHARMACEUTICALS 10/13	496,250	496,250	486,077		COV-LITE TL	
ANADARKO FINANCE CO	130,000	170,960	138,143	5/1/2031	7.50%	
ANADARKO HOLDING CO	376,000	464,522	384,407	5/15/2028	7.15%	
ANADARKO PETROLEUM CORP	1,175,000	1,376,454	1,081,059	3/15/2040	6.20%	
ANCHOR GLASS 6/15 COV-LITE TL	905,922	895,350	901,392			
ANHEUSER-BUSCH COS LLC	325,000	461,328	418,444	10/1/2030	7.55%	
ANHEUSER-BUSCH COS LLC	100,000	122,697	108,531	4/1/2036	5.75%	
ANHEUSER-BUSCH INBEV FINANCE I	1,800,000	1,710,414	1,727,424	1/17/2023	2.63%	
ANHEUSER-BUSCH INBEV FINANCE I	360,000	373,957	367,276	2/1/2024	3.70%	360,000
ANHEUSER-BUSCH INBEV FINANCE I	370,000	402,438	367,758	2/1/2044	4.63%	
ANHEUSER-BUSCH INBEV WORLDWIDE	1,240,000	1,612,533	1,481,589	1/15/2040	6.38%	
ANHEUSER-BUSCH INBEV WORLDWIDE	1,575,000	2,431,517	2,270,284	1/15/2039	8.20%	
ANHEUSER-BUSCH INBEV WORLDWIDE	480,000	734,117	668,539	11/15/2039	8.00%	
ANR PIPELINE CO	335,000	459,379	433,637	11/1/2021	9.63%	
ANTERO RESOURCES CORP	123,000	119,003	98,400	11/1/2021	5.38%	77,999
ANTHEM INC	137,000	143,762	129,372	5/15/2042	4.63%	
ANTHEM INC	168,000	174,848	159,862	1/15/2043	4.65%	
AON CORP	62,000	78,819	74,155	9/30/2040	6.25%	
APACHE CORP	250,000	272,050	241,460	1/15/2037	6.00%	
APACHE CORP	157,000	160,641	134,205	9/1/2040	5.10%	
APACHE CORP	2,721,000	2,551,727	2,273,913	4/15/2043	4.75%	
APACHE CORP	87,000	76,033	68,108	1/15/2044	4.25%	
APACHE FINANCE CANADA CORP	135,000	185,514	172,001	12/15/2029	7.75%	
APPALACHIAN POWER CO	100,000	129,099	116,028	4/1/2036	6.38%	
APPALACHIAN POWER CO	775,000	1,078,258	966,045	4/1/2038	7.00%	
APPLE INC	900,000	900,009	899,712	5/3/2016	VAR	
APPLE INC	1,150,000	1,117,800	1,120,526	5/3/2023	2.40%	296,000
APPLE INC	4,683,000	4,700,347	4,314,495	5/4/2043	3.85%	
APPLE INC	495,000	493,268	494,579	5/5/2017	VAR	495,000
APPLE INC	300,000	330,591	302,568	5/6/2044	4.45%	
APPLE INC	1,006,000	997,077	866,126	2/9/2045	3.45%	
APPLE INC	2,565,000	2,555,638	2,589,906	5/13/2045	4.38%	1,855,000
ARCELORMITTAL	565,000	548,475	385,613	10/15/2039	STEP	
ARCELORMITTAL	21,000	20,580	16,905	2/25/2022	STEP	21,000
ARCHER-DANIELS-MIDLAND CO	424,000	466,960	434,799	3/26/2042	4.54%	424,000
ARCHER-DANIELS-MIDLAND CO	390,000	391,326	374,486	4/16/2043	4.02%	390,000
ARDAGH PACKAGING FINANCE 144A	430,000	414,950	420,325	12/15/2019	VAR	
ARIZONA PUBLIC SERVICE CO	56,000	65,831	61,227	9/1/2041	5.05%	
ARIZONA PUBLIC SERVICE CO	24,000	25,420	24,614	4/1/2042	4.50%	
ARIZONA PUBLIC SERVICE CO	770,000	877,107	818,079	1/15/2044	4.70%	
ARROW ELECTRONICS INC	95,000	116,425	111,955	1/15/2027	7.50%	
ASHTON WOODS USA LLC / AS 144A	600,000	571,500	510,000	2/15/2021	6.88%	
ASSOCIATED MATERIALS LLC / AMH	896,000	739,200	618,240	11/1/2017	9.13%	
ASTRAZENECA PLC	725,000	976,938	916,835	9/15/2037	6.45%	
ASTRAZENECA PLC	200,000	198,812	186,502	9/18/2042	4.00%	
AT&T INC	50,000	61,629	56,208	2/15/2039	6.55%	
AT&T INC	2,430,000	2,707,749	2,441,834	8/15/2041	5.55%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
AT&T INC	1,753,000	\$ 1,666,595	\$ 1,497,939	12/15/2042	4.30%	
AT&T INC	572,000	539,253	489,329	6/15/2045	4.35%	
AT&T INC	285,000	284,997	284,875	2/12/2016		
AT&T INC	825,000	822,616	763,010	5/15/2035	4.50%	
AT&T INC	3,841,000	4,159,112	3,793,833	9/1/2040	5.35%	
ATMOS ENERGY CORP	695,000	855,601	796,414	6/15/2041	5.50%	100,000
ATMOS ENERGY CORP	647,000	680,476	620,033	10/15/2044	4.13%	
AUDIO VISUAL SERVICES 1/14	738,722	731,948	708,250		COV-LITE TL	
AUSTRALIA & NZ BK DISC	900,000	898,740	898,740	3/24/2016		
AUTOPARTS HOLDINGS 7/11 TLB	285,699	285,756	210,703			
AVAGO 10/15 TLB	1,000,000	990,000	988,130	11/11/2022	0.00%	
AVIATION CAPITAL GROUP CO 144A	380,000	431,750	425,600	4/6/2021	6.75%	
BAE SYSTEMS PLC 144A	102,000	123,671	113,266	10/11/2041	5.80%	
BAKER HUGHES INC	15,000	19,418	17,834	1/15/2029	6.88%	
BAKER HUGHES INC	440,000	482,627	435,230	9/15/2040	5.13%	
BALL CORP	345,000	345,000	352,763	7/1/2025	5.25%	345,000
BALL CORP	100,000	100,000	101,563	12/15/2020	4.38%	100,000
BANK OF AMERICA CORP	1,606,000	2,009,684	1,874,331	2/7/2042	5.88%	
BANK OF AMERICA CORP	765,000	803,372	790,130	1/22/2024	4.13%	
BANK OF AMERICA CORP	2,675,000	2,995,519	2,791,871	1/21/2044	5.00%	303,000
BANK OF AMERICA CORP	294,000	306,119	300,680	4/1/2024	4.00%	
BANK OF AMERICA CORP	280,000	310,217	289,926	4/1/2044	4.88%	280,000
BANK OF AMERICA CORP	463,000	461,958	458,291	10/22/2026	4.25%	
BANK OF AMERICA CORP	127,000	126,234	124,324	1/22/2025	4.00%	22,712
BANK OF AMERICA CORP	445,000	443,687	433,328	4/21/2025	3.95%	
BANK OF AMERICA CORP	2,182,000	2,297,973	2,256,101	7/24/2023	4.10%	
BANK OF AMERICA CORP	1,080,000	1,525,284	1,465,322	5/14/2038	7.75%	
BANK OF AMERICA NA	730,000	729,314	729,591	11/14/2016	VAR	
BANK OF AMERICA NA	370,000	370,000	368,764	6/5/2017	VAR	
BANK OF MONTREAL	145,000	145,663	145,123	7/15/2016	VAR	
BANK OF NOVA SCOTIA/THE	405,000	405,000	403,984	6/11/2018	VAR	405,000
BANK OF TOKYO-MITSUBISHI 144A	390,000	388,054	389,489	3/10/2017	VAR	
BARCLAYS BANK PLC	305,000	305,250	304,829	2/17/2017	VAR	
BARCLAYS BANK PLC	780,000	803,985	795,031	5/15/2024	3.75%	335,000
BARCLAYS PLC	200,000	204,984	212,940	12/29/1949	VAR	
BARCLAYS PLC	360,000	344,477	355,698	6/29/1949	VAR	262,000
BAXALTA INC 144A	71,000	70,533	71,239	6/23/2045	5.25%	
BAYER US FINANCE LLC 144A	540,000	539,114	538,402	10/6/2017	VAR	
BAYER US FINANCE LLC 144A	200,000	203,514	201,424	10/8/2024	3.38%	
BB-UBS TRUST 2012- SHOW A 144A	250,000	254,373	248,703	11/5/2036	3.43%	
BEAZER HOMES USA INC	436,000	427,280	400,030	9/15/2021	7.50%	100,000
BEAZER HOMES USA INC	380,000	364,800	349,600	6/15/2019	5.75%	
BECTON DICKINSON AND CO	81,000	87,234	81,722	12/15/2044	4.69%	
BED BATH & BEYOND INC	86,000	88,742	76,726	8/1/2034	4.92%	
BED BATH & BEYOND INC	177,000	185,193	149,921	8/1/2044	5.17%	
BELDEN INC 144A	357,000	354,323	343,613	9/1/2022	5.50%	
BERKSHIRE HATHAWAY ENERGY CO	319,000	333,786	306,492	2/1/2045	4.50%	
BERKSHIRE HATHAWAY ENERGY CO	250,000	372,528	354,680	9/15/2028	8.48%	
BERKSHIRE HATHAWAY ENERGY CO	1,915,000	2,506,333	2,330,613	9/15/2037	6.50%	
BERKSHIRE HATHAWAY ENERGY CO	83,000	93,917	87,584	11/15/2043	5.15%	
BERKSHIRE HATHAWAY FINANCE COR	885,000	1,132,508	1,032,857	1/15/2040	5.75%	
BERKSHIRE HATHAWAY FINANCE COR	81,000	87,123	80,200	5/15/2042	4.40%	
BERKSHIRE HATHAWAY FINANCE COR	912,000	966,839	894,371	5/15/2043	4.30%	912,000
BERKSHIRE HATHAWAY FINANCE COR	180,000	180,592	180,101	8/15/2016	0.95%	
BERKSHIRE HATHAWAY FINANCE COR	500,000	505,645	507,435	8/15/2018	2.00%	500,000
BERKSHIRE HATHAWAY INC	1,520,000	1,582,654	1,601,943	1/31/2022	3.40%	
BHP BILLITON FINANCE USA LTD	624,000	609,954	492,554	2/24/2042	4.13%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
BHP BILLITON FINANCE USA LTD	690,000	\$ 782,129	\$ 620,172	9/30/2043	5.00%	
BLACKSTONE HOLDINGS FINAN 144A	73,000	72,497	68,516	7/15/2045	4.45%	
BOEING CO/THE	18,000	28,298	26,231	11/15/2031	8.63%	
BOEING CO/THE	425,000	619,608	582,369	3/15/2039	6.88%	
BOEING CO/THE	165,000	216,374	207,933	2/15/2040	5.88%	
BOISE CASCADE CO	220,000	231,000	226,600	11/1/2020	6.38%	
BOMBARDIER INC 144A	410,000	418,200	282,900	1/15/2023	6.13%	
BOMBARDIER INC 144A	655,000	661,550	459,155	10/15/2022	6.00%	
BOSTON GAS CO 144A	45,000	48,484	43,762	2/15/2042	4.49%	
BOYD GAMING CORP	153,000	153,000	157,208	5/15/2023	6.88%	
BP CAPITAL MARKETS PLC	185,000	189,013	188,704	11/1/2021	3.56%	100,000
BP CAPITAL MARKETS PLC	1,750,000	1,800,628	1,789,655	9/26/2023	3.99%	
BRANCH BANKING & TRUST CO	990,000	1,009,345	1,005,088	10/30/2026	3.80%	
BRANCH BANKING & TRUST CO	655,000	655,596	654,712	12/1/2016	VAR	
BREITBURN ENERGY PARTNERS LP /	371,000	286,598	66,780	4/15/2022	7.88%	
BRISTOL-MYERS SQUIBB CO	124,000	183,674	172,682	8/1/2097	6.88%	
BRITISH TELECOMMUNICATIONS PLC	345,000	541,667	503,410	12/15/2025	VAR	
BROOKFIELD RESIDENTIAL PR 144A	300,000	300,056	279,000	5/15/2025	6.38%	
BROOKFIELD RESIDENTIAL PR 144A	73,000	70,810	67,525	7/1/2022	6.13%	
BROWN-FORMAN CORP	100,000	98,122	103,418	7/15/2045	4.50%	
BUILDERS FIRSTSOURCE 7/15	349,198	345,706	344,833		COV-LITE TLB	
BUILDERS FIRSTSOURCE INC 144A	400,000	410,000	421,000	6/1/2021	7.63%	400,000
BUILDERS FIRSTSOURCE INC 144A	450,000	449,625	446,625	8/15/2023	10.75%	
BURLINGTON NORTHERN SANTA FE L	100,000	123,376	111,942	5/1/2040	5.75%	
BURLINGTON NORTHERN SANTA FE L	579,000	679,131	629,472	6/1/2041	5.40%	
BURLINGTON NORTHERN SANTA FE L	73,000	74,988	69,021	9/1/2042	4.38%	
BURLINGTON NORTHERN SANTA FE L	465,000	522,818	477,169	4/1/2044	4.90%	
BURLINGTON NORTHERN SANTA FE L	905,000	902,041	820,229	4/1/2045	4.15%	905,000
BURLINGTON NORTHERN SANTA FE L	79,000	78,546	78,829	9/1/2045	4.70%	
BURLINGTON NORTHERN SANTA FE L	180,000	251,779	231,453	6/1/2036	7.29%	
BURLINGTON NORTHERN SANTA FE L	260,000	329,378	318,557	8/1/2028	6.70%	
BURLINGTON NORTHERN SANTA FE L	250,000	314,120	308,090	3/15/2029	6.75%	
BURLINGTON RESOURCES FINANCE C	25,000	35,240	29,776	12/1/2031	7.40%	
BWAY 8/14 COV-LITE TLB	492,500	490,038	471,263			
CABLEVISION SYSTEMS CORP	449,000	493,900	466,960	4/15/2018	7.75%	
CABLEVISION SYSTEMS CORP	440,000	494,245	429,000	4/15/2020	8.00%	440,000
CABLEVISION SYSTEMS CORP	540,000	526,753	459,000	9/15/2022	5.88%	540,000
CALPINE 11/15 B-6 COV-LITE TLB	500,000	495,000	477,500			
CALPINE CORP	210,000	212,100	188,475	1/15/2023	5.38%	210,000
CANADIAN NATIONAL RAILWAY CO	265,000	383,633	350,272	10/15/2031	7.38%	
CANADIAN NATIONAL RAILWAY CO	135,000	180,357	169,980	6/1/2036	6.20%	
CANADIAN NATIONAL RAILWAY CO	955,000	1,302,248	1,239,332	11/15/2037	6.38%	
CANADIAN NATIONAL RAILWAY CO	485,000	485,083	481,120	11/14/2017	VAR	
CANADIAN NATURAL RESOURCES LTD	300,000	363,570	299,085	1/15/2032	7.20%	
CANADIAN NATURAL RESOURCES LTD	100,000	111,219	91,018	3/15/2038	6.25%	
CANADIAN PACIFIC RAILWAY CO	86,000	118,277	106,494	10/15/2031	7.13%	
CANADIAN PACIFIC RAILWAY CO	36,000	37,743	36,467	9/15/2115	6.13%	
CAPITAL AUTO RECEIV 3 A1A 144A	265,000	264,441	264,550	2/20/2018	1.39%	
CAPITAL AUTO RECEIVABLES 1 A2	138,132	138,292	138,091	4/20/2017	0.96%	
CAPITAL AUTO RECEIVABLES 1 A2	275,000	274,952	274,384	6/20/2018	1.42%	
CAPITAL ONE BANK USA NA	300,000	298,332	293,574	2/15/2023	3.38%	
CARDINAL HEALTH INC	95,000	97,817	91,694	11/15/2044	4.50%	
CARDINAL HEALTH INC	33,000	32,966	33,431	9/15/2045	4.90%	33,000
CARESTREAM 6/13 COV-LITE TL	402,734	400,217	360,113			
CARGILL INC 144A	170,000	247,495	218,834	11/1/2036	7.25%	
CARGILL INC 144A	247,000	258,594	250,631	11/23/2045	4.76%	
CARRIZO OIL & GAS INC	238,000	228,480	207,953	9/15/2020	7.50%	66,000

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
CATALENT PHARMA 5/14 (USD) TLB	985,002	\$ 975,152	\$ 975,152			
CATERPILLAR FINANCIAL SERVICES	400,000	403,316	401,312	9/6/2016	1.35%	
CATERPILLAR FINANCIAL SERVICES	121,000	128,379	125,878	11/24/2023	3.75%	
CATERPILLAR INC	200,000	244,476	220,678	9/15/2035	5.30%	
CATERPILLAR INC	2,975,000	2,923,652	2,662,833	8/15/2042	3.80%	
CATERPILLAR INC	541,000	613,064	521,124	5/15/2064	4.75%	
CATHOLIC HEALTH INITIATIVES	1,400,000	1,389,934	1,309,434	11/1/2042	4.35%	
CBL & ASSOCIATES LP	400,000	384,540	382,644	12/1/2023	5.25%	
CBS CORP	95,000	96,469	86,442	8/15/2044	4.90%	95,000
CBS CORP	54,000	53,299	46,326	1/15/2045	4.60%	54,000
CCO HOLDINGS LLC / CCO HOLDING	367,000	385,350	381,680	4/30/2021	6.50%	
CCO HOLDINGS LLC / CCO HOLDING	463,000	486,880	482,099	6/1/2020	7.38%	
CCO HOLDINGS LLC / CCO HOLDING	45,000	44,888	45,450	9/30/2022	5.25%	
CCO HOLDINGS LLC / CCO HOLDING	200,000	202,500	205,000	9/1/2023	5.75%	200,000
CCO SAFARI II LLC 144A	389,000	389,000	388,623	7/23/2025	4.91%	
CCOH SAFARI LLC 144A	46,000	46,000	46,115	2/15/2026	5.75%	
CELGENE CORP	67,000	69,497	63,288	5/15/2044	4.63%	
CELGENE CORP	545,000	543,316	547,120	8/15/2045	5.00%	545,000
CENOVUS ENERGY INC	152,000	173,502	144,535	11/15/2039	6.75%	
CENOVUS ENERGY INC	138,000	119,342	100,610	9/15/2042	4.45%	
CENTERPOINT ENERGY HOUSTON ELE	110,000	153,558	144,877	3/15/2033	6.95%	
CENTERPOINT ENERGY HOUSTON ELE	265,000	358,015	338,869	3/15/2021	9.15%	
CENTURYLINK INC	1,648,000	1,555,330	1,260,720	3/15/2042	7.65%	
CEQUEL/SUDDENLINK 2/12 TLB	469,573	470,747	461,553			
CERIDIAN HCM HOLDING INC 144A	459,000	479,895	360,315	3/15/2021	11.00%	
CHARIOT FDG LLC DISC	900,000	895,613	895,613	7/25/2016		
CHESAPEAKE ENERGY CORP	350,000	367,730	101,500	8/15/2020	6.63%	
CHESAPEAKE ENERGY CORP	196,000	185,750	55,272	2/15/2021	6.13%	
CHESAPEAKE ENERGY CORP	56,000	44,643	15,543	4/15/2022	4.88%	56,000
CHEVRON CORP	670,000	682,509	673,564	6/24/2023	3.19%	670,000
CHEVRON CORP	180,000	180,000	179,471	2/22/2017	VAR	
CHEVRON CORP	730,000	730,000	710,516	3/3/2022	2.41%	730,000
CHS/COMMUNITY HEALTH SYSTEMS I	731,000	780,343	736,483	11/15/2019	8.00%	731,000
CHS/COMMUNITY HEALTH SYSTEMS I	904,000	907,897	857,670	2/1/2022	6.88%	904,000
CIESCO LLC DISC	425,000	423,910	423,910	2/16/2016		
CIESCO LLC DISC	600,000	599,393	599,393	3/2/2016		
CIGNA CORP	510,000	603,111	559,139	2/15/2042	5.38%	
CISCO SYSTEMS INC	670,000	843,309	817,246	2/15/2039	5.90%	
CISCO SYSTEMS INC	1,495,000	1,821,777	1,753,246	1/15/2040	5.50%	
CISCO SYSTEMS INC	305,000	317,828	318,197	3/4/2024	3.63%	305,000
CISCO SYSTEMS INC	48,000	47,872	49,381	6/15/2025	3.50%	48,000
CIT EQUIPMENT COLL VT1 A2 144A	661,506	660,840	660,891	5/22/2017	0.86%	
CIT GROUP INC	115,000	121,544	120,463	5/15/2020	5.38%	
CIT GROUP INC 144A	450,000	474,750	470,250	2/15/2019	5.50%	
CITGO HOLDING INC 144A	560,000	542,094	543,200	2/15/2020	10.75%	
CITGO PETROLEUM CORP 144A	300,000	304,500	288,000	8/15/2022	6.25%	
CITIBANK CREDIT CARD ISS A3 A3	115,000	154,128	146,021	6/15/2039	6.15%	
CITIGROUP INC	100,000	127,495	119,573	12/1/2025	7.00%	
CITIGROUP INC	280,000	348,818	330,436	6/15/2032	6.63%	
CITIGROUP INC	650,000	774,846	738,998	8/25/2036	6.13%	
CITIGROUP INC	2,280,000	3,490,520	3,270,158	7/15/2039	8.13%	
CITIGROUP INC	22,000	27,673	25,243	1/30/2042	5.88%	
CITIGROUP INC	79,000	102,151	96,867	9/13/2043	6.68%	
CITIGROUP INC	1,240,000	1,288,856	1,281,441	10/25/2023	3.88%	
CITIGROUP INC	73,000	79,984	75,933	5/6/2044	5.30%	73,000
CITIGROUP INC	143,000	146,039	145,567	6/16/2024	3.75%	
CITIGROUP INC	667,000	666,033	676,872	7/30/2045	4.65%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
CITIGROUP INC	310,000	\$ 309,978	\$ 307,948	9/29/2027	4.45%	
CITYCENTER HOLDINGS 10/13	975,417	964,477	967,125			
CLAIRE'S STORES INC 144A	700,000	689,500	423,500	3/15/2019	9.00%	
CLEAR CHANNEL WORLDWIDE HOLDIN	929,000	969,583	858,164	3/15/2020	7.63%	
CLEAR CHANNEL WORLDWIDE HOLDIN	278,000	282,865	268,270	11/15/2022	6.50%	
CLEAR CHANNEL WORLDWIDE HOLDIN	575,000	592,250	560,625	11/15/2022	6.50%	
CLEVELAND CLINIC FOUNDATION/TH	835,000	858,171	809,666	1/1/2050	4.86%	
CME GROUP INC/IL	96,000	116,321	109,914	9/15/2043	5.30%	
CNH EQUIPMENT TRUST 2014- C A2	107,207	107,155	107,110	12/15/2017	0.63%	
CNH INDUSTRIAL CAPITAL LLC	125,000	124,553	120,938	7/16/2018	3.88%	
CNOOC FINANCE 2015 USA LLC	200,000	198,150	190,370	5/5/2025	3.50%	
COLLATERALIZED CP DISC	1,135,000	1,132,333	1,132,333	4/18/2016		
COLUMBIA PIPELINE GROUP I 144A	1,030,000	1,002,937	904,216	6/1/2045	5.80%	
COMCAST CABLE COMMUNICATIONS H	435,000	625,373	600,578	11/15/2022	9.46%	
COMCAST CABLE HOLDINGS LLC	235,000	329,336	311,713	4/15/2022	10.13%	
COMCAST CORP	880,000	1,174,791	1,102,913	11/15/2035	6.50%	
COMCAST CORP	150,000	199,563	186,687	3/15/2037	6.45%	
COMCAST CORP	135,000	190,202	176,159	8/15/2037	6.95%	
COMCAST CORP	945,000	1,261,764	1,172,934	5/15/2038	6.40%	
COMCAST CORP	2,545,000	3,443,105	3,158,650	3/1/2040	6.40%	
COMCAST CORP	3,700,000	3,675,321	3,676,061	1/15/2023	2.85%	2,659,000
COMCAST CORP	2,570,000	2,721,450	2,525,359	1/15/2033	4.25%	1,514,150
COMCAST CORP	630,000	702,066	654,387	3/1/2044	4.75%	
COMCAST CORP	1,393,000	1,456,591	1,379,892	8/15/2034	4.20%	
COMCAST CORP	190,000	189,858	192,379	8/15/2045	4.60%	
COMMONWEALTH BANK OF AUST 144A	470,000	469,732	467,904	9/8/2017	VAR	
COMMONWEALTH EDISON CO	190,000	247,847	229,237	3/15/2036	5.90%	
COMMONWEALTH EDISON CO	275,000	370,928	351,054	1/15/2038	6.45%	
COMMONWEALTH EDISON CO	241,000	238,720	222,298	10/1/2042	3.80%	
COMMONWEALTH EDISON CO	1,385,000	1,552,765	1,434,708	8/15/2043	4.60%	
COMMONWEALTH EDISON CO	685,000	780,284	720,517	1/15/2044	4.70%	
COMMONWEALTH EDISON CO	143,000	141,888	143,940	11/15/2045	4.35%	
COMMScope 8/15 TRANCHE 5 TL	498,750	497,503	494,700			
COMMUNICATIONS SALES & LEASING	100,000	97,055	84,500	10/15/2023	8.25%	100,000
CONAGRA FOODS INC	60,000	76,615	71,297	10/1/2026	7.13%	
CONAGRA FOODS INC	76,000	79,319	67,794	1/25/2043	4.65%	
CONCHO RESOURCES INC	311,000	312,462	287,675	4/1/2023	5.50%	
CONCORDIA 10/15 (USD) TL	200,000	189,000	191,626			
CONCORDIA HEALTHCARE CORP 144A	229,000	195,030	198,658	4/15/2023	7.00%	
CONNECTICUT LIGHT & POWER CO/T	75,000	102,927	95,338	6/1/2036	6.35%	
CONOCO FUNDING CO	180,000	250,825	207,166	10/15/2031	7.25%	
CONOCOPHILLIPS	1,690,000	2,101,616	1,652,583	5/15/2038	5.90%	
CONOCOPHILLIPS	460,000	611,179	484,753	2/1/2039	6.50%	
CONOCOPHILLIPS CANADA FUNDING	835,000	1,042,731	858,706	10/15/2036	5.95%	
CONOCOPHILLIPS HOLDING CO	690,000	919,204	790,319	4/15/2029	6.95%	
CONSOLIDATED EDISON CO OF NEW	1,750,000	2,116,223	1,950,620	3/1/2035	5.30%	
CONSOLIDATED EDISON CO OF NEW	255,000	326,525	302,830	3/15/2036	5.85%	
CONSOLIDATED EDISON CO OF NEW	1,225,000	1,620,626	1,492,467	8/15/2037	6.30%	
CONSOLIDATED EDISON CO OF NEW	390,000	468,121	442,985	12/1/2039	5.50%	
CONSOLIDATED EDISON CO OF NEW	350,000	349,069	323,271	3/1/2043	3.95%	
CONSTELLUM NV 144A	442,000	367,965	300,560	5/15/2024	5.75%	442,000
CONSUMERS ENERGY CO	1,200,000	1,239,060	1,226,820	8/15/2023	3.38%	
CONSUMERS ENERGY CO	232,000	242,189	219,815	8/31/2064	4.35%	
CONTINENTAL AIRLINES 1999-2 CL	35,460	39,184	38,297	9/15/2021	7.26%	
CONTINENTAL RESOURCES INC/OK	170,000	170,848	122,138	4/15/2023	4.50%	
CONTINENTAL RESOURCES INC/OK	290,000	280,954	204,285	6/1/2024	3.80%	290,000
CONTINENTAL RESOURCES INC/OK	400,000	332,937	241,192	6/1/2044	4.90%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
COOPERAT CENT DISC	1,000,000	\$ 998,184	\$ 998,184	4/13/2016		
COOPERATIEVE RABOBANK UA	525,000	626,567	586,856	12/1/2043	5.75%	
COOPERATIEVE RABOBANK UA	350,000	348,429	366,762	8/4/2045	5.25%	
COOPERATIEVE RABOBANK UA	250,000	254,658	253,055	11/9/2022	3.95%	250,000
COOPERATIEVE RABOBANK UA 144A	240,000	272,890	262,646	9/30/2039	5.80%	
COOPERATIEVE RABOBANK UA/NY	1,850,000	1,863,783	1,855,957	1/14/2019	2.25%	1,440,000
COOPERATIEVE RABOBANK UA/NY	655,000	656,959	654,908	3/18/2016	VAR	
COTT BEVERAGES INC	500,000	458,750	490,000	7/1/2022	5.38%	
COTT BEVERAGES INC	200,000	200,000	206,500	1/1/2020	6.75%	
COUNTRYWIDE ASSET-BACKED 5 AF5	485,496	512,708	489,579	2/25/1934	VAR	
COVERIS HOLDINGS SA 144A	400,000	404,150	349,000	11/1/2019	7.88%	
COVIDIEN INTERNATIONAL FINANCE	355,000	463,719	445,028	10/15/2037	6.55%	
COX COMMUNICATIONS INC 144A	670,000	925,219	738,313	3/1/2039	8.38%	
COX ENTERPRISES INC 144A	270,000	343,289	310,254	7/15/2027	7.38%	
CPPIB CAPITAL INC DISC	1,300,000	1,298,859	1,298,859	2/23/2016		
CRC FDG LLC DISC	350,000	349,168	349,168	3/21/2016		
CREDIT SUISSE GROUP AG 144A	550,000	572,000	577,407	12/29/1949	VAR	
CREDIT SUISSE GROUP FUNDI 144A	930,000	927,768	899,570	3/26/2025	3.75%	
CREDIT SUISSE GROUP FUNDI 144A	950,000	946,894	936,786	5/15/2045	4.88%	
CRIMSON MERGER SUB INC 144A	726,000	662,345	497,310	5/15/2022	6.63%	
CROWNE GROUP 9/14 TL	494,988	494,369	481,375			
CSI COMPRESSCO LP / COMPRESSCO	175,000	148,750	129,500	8/15/2022	7.25%	
CSM BAKERY SUPPLIES 5/13	492,451	480,140	479,524			COV-LITE TL
CSX CORP	275,000	358,210	323,934	4/30/2040	6.22%	
CSX CORP	105,000	126,673	115,662	4/15/2041	5.50%	
CSX CORP	350,000	366,559	317,534	8/1/2054	4.50%	
CSX CORP	740,000	733,325	625,907	5/1/2050	3.95%	425,000
CVS HEALTH CORP	69,000	67,926	71,242	7/20/2035	4.88%	
CVS HEALTH CORP	47,000	46,829	49,511	7/20/2045	5.13%	47,000
CVS PASS-THROUGH TRUST 144A	64,971	75,870	71,368	1/10/2034	5.93%	
CVS PASS-THROUGH TRUST 144A	501,599	678,407	620,693	7/10/2031	8.35%	
DAIMLER FINANCE NORTH AME 144A	535,000	542,506	535,808	8/1/2018	2.38%	
DAIMLER FINANCE NORTH AME 144A	470,000	469,065	467,490	3/10/2017	VAR	
DAIMLER FINANCE NORTH AME 144A	1,050,000	1,047,942	1,029,840	5/18/2020	2.45%	
DAIMLER FINANCE NORTH AMERICA	1,280,000	1,957,747	1,856,640	1/18/2031	8.50%	
DANAHER CORP	196,000	195,720	198,977	9/15/2025	3.35%	
DAVITA HEALTHCARE PARTNERS INC	275,000	291,500	283,250	8/15/2022	5.75%	
DBS BANK LTD DISC	600,000	599,612	599,612	2/11/2016		
DEERE & CO	145,000	207,789	187,091	3/3/2031	7.13%	
DEERE & CO	542,000	547,821	518,152	6/9/2042	3.90%	
DEL MONTE FOODS 11/13 COV-LITE	493,719	448,874	473,970		TL	
DELL 6/15 (USD) COV-LITE TLB2	989,987	987,017	982,028			
DELL EQUIPMENT FINAN 1 A2 144A	29,717	29,712	29,705	7/22/2016	0.64%	
DELL EQUIPMENT FINAN 1 A2 144A	190,000	189,993	189,641	7/24/2017	1.01%	
DENBURY RESOURCES INC	154,000	140,910	51,125	5/1/2022	5.50%	
DEUTSCHE BANK AG	200,000	192,000	195,000	12/29/1949	VAR	
DEUTSCHE BANK AG/LONDON	107,000	108,580	106,570	5/30/2024	3.70%	
DEUTSCHE TELEKOM INTERNATIONAL	345,000	509,620	478,322	6/15/1930	VAR	
DEVON ENERGY CORP	210,000	289,475	217,006	4/15/2032	7.95%	
DEVON ENERGY CORP	90,000	90,601	63,896	5/15/2042	4.75%	
DEVON FINANCING CO LLC	795,000	1,040,362	815,400	9/30/2031	7.88%	
DIAGEO CAPITAL PLC	580,000	725,615	677,846	9/30/2036	5.88%	
DIAGEO INVESTMENT CORP	325,000	471,855	436,319	4/15/2035	7.45%	
DIAMOND OFFSHORE DRILLING INC	481,000	409,774	292,010	11/1/2043	4.88%	481,000
DIRECTV HOLDINGS LLC / DIRECTV	390,000	437,104	399,099	8/15/2040	6.00%	
DIRECTV HOLDINGS LLC / DIRECTV	282,000	329,703	302,676	3/1/2041	6.38%	
DISCOVERY COMMUNICATIONS LLC	260,000	309,507	258,669	6/1/2040	6.35%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
DISCOVERY COMMUNICATIONS LLC	42,000	\$ 44,713	\$ 35,188	5/15/2042	4.95%	
DISH DBS CORP	165,000	185,575	179,438	9/1/2019	7.88%	
DISH DBS CORP	88,000	87,175	88,660	6/1/2021	6.75%	
DISH DBS CORP	475,000	486,875	442,938	7/15/2022	5.88%	
DISH DBS CORP	210,000	203,175	182,175	3/15/2023	5.00%	
DISH DBS CORP	160,000	161,200	158,400	5/1/2020	5.13%	
DISH DBS CORP	214,000	215,070	190,460	11/15/2024	5.88%	214,000
DJO FINCO INC / DJO FINAN 144A	275,000	280,793	244,063	6/15/2021	8.13%	
DNB NOR BANK DISC	925,000	923,867	923,867	3/21/2016		
DOLE FOOD 11/13 COV-LITE TLB	467,549	460,596	463,655			
DOLLAR TREE INC 144A	148,000	148,853	153,180	3/1/2023	5.75%	
DOLLAR TREE INC 144A	29,000	29,000	29,943	3/1/2020	5.25%	29,000
DOMINION RESOURCES INC/VA	230,000	269,894	238,940	8/1/2033	5.25%	
DOMINION RESOURCES INC/VA	144,000	157,225	140,913	8/1/2041	4.90%	
DOOSAN INFRACORE 5/14 COV-LITE	454,039	450,633	448,363		TLB	
DOW CHEMICAL CO/THE	885,000	1,178,130	1,093,019	11/1/2029	7.38%	
DOW CHEMICAL CO/THE	32,000	34,513	31,192	11/15/2041	5.25%	
DTE ELECTRIC CO	535,000	551,944	518,073	4/1/2043	4.00%	
DTE ELECTRIC CO	680,000	714,483	710,913	3/15/2024	3.65%	
DTE ELECTRIC CO	200,000	217,062	203,112	7/1/2044	4.30%	
DTE ELECTRIC CO	915,000	912,859	840,730	3/15/2045	3.70%	
DTE ELECTRIC CO	251,000	328,373	300,668	10/1/2037	5.70%	
DTE ELECTRIC CO	225,000	316,141	291,321	6/1/2036	6.63%	
DTE ELECTRIC CO	120,000	123,348	114,875	6/15/2042	3.95%	
DTE ENERGY CO	84,000	88,240	86,315	12/1/2023	3.85%	
DTE ENERGY CO	95,000	96,647	95,106	6/1/2024	3.50%	
DUKE ENERGY CAROLINAS LLC	25,000	31,380	29,982	12/1/2028	6.00%	
DUKE ENERGY CAROLINAS LLC	240,000	324,881	292,207	10/15/2032	6.45%	
DUKE ENERGY CAROLINAS LLC	50,000	65,038	60,461	6/1/2037	6.10%	
DUKE ENERGY CAROLINAS LLC	100,000	131,617	123,369	1/15/2038	6.00%	
DUKE ENERGY CAROLINAS LLC	90,000	117,031	111,734	4/15/2038	6.05%	90,000
DUKE ENERGY CAROLINAS LLC	430,000	532,512	493,386	2/15/2040	5.30%	
DUKE ENERGY CAROLINAS LLC	1,435,000	1,541,865	1,430,336	12/15/2041	4.25%	
DUKE ENERGY FLORIDA LLC	190,000	262,700	242,991	6/15/2038	6.40%	
DUKE ENERGY INDIANA LLC	365,000	510,945	465,572	8/15/2038	6.35%	
DUKE ENERGY INDIANA LLC	420,000	494,680	453,827	7/15/2043	4.90%	
DUKE ENERGY OHIO INC	3,227,000	3,431,527	3,369,278	9/1/2023	3.80%	
DUKE ENERGY PROGRESS LLC	73,000	77,403	70,775	5/15/2042	4.10%	
DUKE ENERGY PROGRESS LLC	405,000	429,847	393,085	3/15/2043	4.10%	
DUKE ENERGY PROGRESS LLC	966,000	1,060,678	981,224	3/30/2044	4.38%	
DUKE ENERGY PROGRESS LLC	722,000	767,486	705,358	12/1/2044	4.15%	
DUKE ENERGY PROGRESS LLC	1,289,000	1,282,465	1,269,962	8/15/2045	4.20%	
E.ON INTERNATIONAL FINANC 144A	200,000	261,828	224,718	4/30/2038	6.65%	
EATON CORP	79,000	80,356	75,292	11/2/2032	4.00%	
EBAY INC	890,000	792,376	703,323	7/15/2042	4.00%	
ECOLAB INC	120,000	144,448	130,561	12/8/2041	5.50%	
EI DU PONT DE NEMOURS & CO	372,000	452,497	384,503	12/15/2036	5.60%	
EI DU PONT DE NEMOURS & CO	141,000	154,509	134,936	1/15/2041	4.90%	
EI DU PONT DE NEMOURS & CO	69,000	69,507	60,237	2/15/2043	4.15%	69,000
ELECTRICITE DE FRANCE SA 144A	330,000	384,430	322,898	1/22/2039	6.00%	
ELM ROAD GENERATING STATI 144A	145,000	183,898	173,414	2/11/2040	6.09%	
ELWOOD ENERGY LLC	198,885	222,751	216,785	7/5/2026	8.16%	
EMERSON ELECTRIC CO	165,000	217,495	196,178	8/15/2032	6.00%	
ENCANA CORP	850,000	908,701	680,179	2/1/2038	6.50%	
ENDO LTD / ENDO FINANCE L 144A	200,000	200,000	199,000	7/15/2023	6.00%	200,000
ENEL FINANCE INTERNATIONA 144A	300,000	352,509	335,442	10/7/2039	6.00%	
ENERGY TRANSFER PARTNERS LP	235,000	256,500	183,371	6/1/2041	6.05%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31, 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
ENERGY TRANSFER PARTNERS LP	50,000	\$ 49,886	\$ 35,341	3/15/2045	5.15%	
ENI SPA 144A	245,000	280,006	219,878	10/1/2040	5.70%	
ENLINK MIDSTREAM PARTNERS LP	85,000	81,924	52,707	4/1/2045	5.05%	
ENSCO PLC	68,000	64,828	44,825	10/1/2044	5.75%	
ENSEMBLE S MERGER SUB INC 144A	100,000	96,568	96,625	9/30/2023	9.00%	
ENTERPRISE FLEET FIN 1 A2 144A	114,629	114,586	114,266	9/20/2019	0.87%	
ENTERPRISE PRODUCTS OPERATING	14,000	14,330	10,952	10/15/2054	4.95%	
ENTERPRISE PRODUCTS OPERATING	152,000	151,445	124,213	5/15/2046	4.90%	115,089
EOG RESOURCES INC	1,005,000	999,784	994,136	4/1/2020	2.45%	
EP ENERGY LLC / EVEREST ACQUIS	315,000	318,832	200,813	5/1/2020	9.38%	315,000
EPR PROPERTIES	495,000	540,723	519,681	8/15/2022	5.75%	
EQT CORP	125,000	150,696	135,024	6/1/2019	8.13%	
EQUINIX INC	331,000	332,176	340,930	1/15/2026	5.88%	
ERAC USA FINANCE LLC 144A	307,000	394,922	362,097	6/1/2034	6.70%	
ERAC USA FINANCE LLC 144A	1,100,000	1,482,371	1,340,559	10/15/2037	7.00%	
ERAC USA FINANCE LLC 144A	67,000	78,219	71,907	3/15/2042	5.63%	
ERAC USA FINANCE LLC 144A	140,000	138,387	130,187	2/15/2045	4.50%	
ERP OPERATING LP	250,000	260,548	250,753	7/1/2044	4.50%	
EXELON GENERATION CO LLC	223,000	251,582	215,585	10/1/2041	5.75%	
EXXON MOBIL CORP	1,385,000	1,385,000	1,354,308	3/6/2025	2.71%	1,385,000
EXXON MOBIL CORP	565,000	563,401	561,542	3/1/2018	VAR	
EXXON MOBIL CORP	67,000	67,000	62,970	3/6/2045	3.57%	67,000
FBG FINANCE PTY LTD 144A	385,000	470,566	447,917	6/15/2035	5.88%	
FEDERAL HOME LN BK CONS BD	400,000	548,572	519,592	7/15/2036	5.50%	
FEDERAL HOME LN BK CONS DISC	23,850,000	23,849,876	23,849,876	1/4/2016		
FEDERAL HOME LN BK CONS DISC N	25,000,000	24,998,611	24,998,611	1/20/2016		
FEDERAL NATL MTG ASSN STRIP	2,400,000	1,459,464	1,455,624	5/15/2030	0.00%	
FEDEX CORP	615,000	686,875	631,802	1/15/2034	4.90%	
FEDEX CORP	80,000	79,634	72,823	2/1/2035	3.90%	
FEDEX CORP	205,000	203,659	176,161	2/1/2065	4.50%	
FELCOR LODGING LP	300,000	297,000	304,500	3/1/2023	5.63%	
FERRELLGAS LP / FERRELLGA 144A	392,000	392,385	319,480	6/15/2023	6.75%	
FHLMC POOL #C4-6008	3,286	3,734	3,743	12/1/2030	6.50%	
FHLMC POOL #C9-0781	71,733	74,665	73,901	10/1/2023	3.50%	
FHLMC MULTICLASS MTG 2176 OJ	62,224	71,405	71,776	8/15/2029	7.00%	
FHLMC MULTICLASS MTG 2259 ZM	110,584	126,372	125,783	10/15/2030	7.00%	
FHLMC MULTICLASS MTG 2366 MD	7,015	7,241	7,129	10/15/2016	6.00%	
FHLMC MULTICLASS MTG 2412 SP	25,015	33,094	33,672	2/15/1932	VAR	
FHLMC MULTICLASS MTG 2953 LZ	1,902,926	2,359,480	2,332,112	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	457,911	499,347	5/15/1935	VAR	
FHLMC MULTICLASS MTG 3117 EO	113,137	106,215	105,006	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 3201 OG	107,697	100,882	98,884	8/15/2036	0.00%	
FHLMC MULTICLASS MTG 3211 SO	107,825	101,178	93,288	9/15/2036	0.00%	
FHLMC MULTICLASS MTG 3607 AO	139,294	129,778	127,593	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3607 BO	55,718	51,911	51,037	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3611 PO	78,283	72,585	71,658	7/15/2034	0.00%	
FHLMC MULTICLASS MTG 3632 BS	500,000	656,800	690,685	2/15/1940	VAR	
FHLMC MULTICLASS MTG 3841 JZ	621,629	715,480	711,007	4/15/2041	5.00%	
FHLMC MULTICLASS MTG 4048 CE	1,000,000	1,060,530	1,038,350	5/15/2042	4.00%	
FIAT CHRYSLER AUTOMOBILES NV	210,000	210,000	212,625	4/15/2020	4.50%	210,000
FIDELITY NATIONAL INFORMATION	630,000	628,293	647,319	10/15/2025	5.00%	630,000
FIFTH THIRD BANCORP	160,000	240,349	222,789	3/1/2038	8.25%	
FIFTH THIRD BANK/CINCINNATI OH	710,000	711,505	709,901	11/18/2016	VAR	
FIRST DATA 6/15 (USD) TL	500,000	496,250	492,375			
FIRST DATA CORP 144A	1,403,000	1,492,569	1,471,396	11/1/2020	6.75%	
FIRST DATA CORP 144A	151,000	151,000	151,000	12/1/2023	7.00%	
FIRST DATA CORP 144A	165,000	165,000	164,175	1/15/2024	5.00%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIRST DATA CORP 144A	225,000	\$ 225,000	\$ 221,625	1/15/2024	5.75%	
FLORIDA POWER & LIGHT CO	277,000	356,964	332,658	2/1/2037	5.65%	
FLORIDA POWER & LIGHT CO	720,000	957,002	896,818	2/1/2038	5.95%	100,000
FLORIDA POWER & LIGHT CO	110,000	147,291	137,309	4/1/2039	5.96%	
FLORIDA POWER & LIGHT CO	1,685,000	2,056,425	1,920,445	6/1/2041	5.13%	
FMG RESOURCES AUGUST 2006 144A	103,000	98,278	94,245	3/1/2022	9.75%	103,000
FMR LLC 144A	250,000	324,918	308,533	11/15/2039	6.45%	
FNMAPOOL #0465714	444,748	512,781	513,568	8/1/2028	4.68%	
FNMAPOOL #0490445	48,320	55,428	55,130	3/1/2029	6.00%	
FNMAPOOL #0888829	875,762	1,049,872	1,052,955	6/1/2037	5.83%	
FNMA GTD REMIC P/T	10,677	10,024	9,717	1/25/2033	0.00%	
FNMA GTD REMIC P/T 01-44 PU	68,244	78,038	77,762	9/25/2031	7.00%	
FNMA GTD REMIC P/T 03-35 EA	70,126	65,247	64,208	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	33,367	44,059	44,316	5/25/1933	VAR	
FNMA GTD REMIC P/T 03-69 NS	61,545	74,788	76,992	7/25/1933	VAR	
FNMA GTD REMIC P/T 03-W2 2A9	361,360	406,298	401,987	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-W10 A6	1,000,000	1,134,090	1,134,950	8/25/2034	5.75%	
FNMA GTD REMIC P/T 05-59 SU	258,672	357,303	390,954	6/25/1935	VAR	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,169,290	1,153,220	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,255,490	1,229,150	8/25/2036	6.50%	
FNMA GTD REMIC P/T 09-50 GX	400,000	473,644	459,116	7/25/2039	5.00%	
FNMA GTD REMIC P/T 09-84 WS	84,977	9,766	11,203	10/25/1939	VAR	
FNMA GTD REMIC P/T 09-99 SC	70,237	8,575	9,521	12/25/1939	VAR	
FNMA GTD REMIC P/T 10-122 CJ	38,042	37,187	37,714	11/25/2040	3.00%	
FNMA GTD REMIC P/T 10-2 LC	350,000	396,400	389,113	2/25/2040	5.00%	
FNMA GTD REMIC P/T 10-35 SJ	500,000	642,755	646,570	4/25/1940	VAR	
FNMA GTD REMIC P/T 10-60 WZ	532,038	609,743	653,539	6/25/2040	5.50%	
FNMA GTD REMIC P/T 10-80 PZ	1,710,512	2,025,517	1,942,407	7/25/2040	5.00%	
FNMA GTD REMIC P/T 11-111 PZ	361,742	411,182	410,418	11/25/2041	4.50%	
FNMA GTD REMIC P/T 11-84 PZ	627,417	749,170	729,994	9/25/2041	5.25%	
FORD MOTOR CO	135,000	162,999	152,065	2/1/2029	6.38%	
FORD MOTOR CO	720,000	977,472	888,487	7/16/2031	7.45%	
FREEPORT-MCMORAN INC	180,000	165,685	95,400	11/14/2034	5.40%	
FREEPORT-MCMORAN INC	1,210,000	946,125	692,725	11/14/2024	4.55%	1,005,000
FREESCALE SEMICONDUCTOR I 144A	578,000	604,010	605,455	1/15/2022	6.00%	
FRONTIER COMMUNICATIONS C 144A	179,000	179,000	178,329	9/15/2022	10.50%	
FRONTIER COMMUNICATIONS C 144A	82,000	82,000	81,180	9/15/2025	11.00%	
FRONTIER COMMUNICATIONS CORP	97,000	84,390	81,480	8/15/2031	9.00%	
FRONTIER COMMUNICATIONS CORP	800,000	824,000	672,000	4/15/2024	7.63%	
FRONTIER COMMUNICATIONS CORP	43,000	43,000	35,421	1/15/2025	6.88%	43,000
GE CAPITAL INTERNATIONAL 144A	2,602,000	2,572,738	2,580,299	11/15/2020	2.34%	
GE CAPITAL INTERNATIONAL 144A	14,172,000	13,994,668	14,462,243	11/15/2035	4.42%	
GENERAL DYNAMICS CORP	131,000	124,664	120,622	11/15/2042	3.60%	
GENERAL ELECTRIC CAPITAL CORP	225,000	225,837	225,005	1/8/2016	VAR	
GENERAL ELECTRIC CO	2,215,000	2,292,990	2,163,590	10/9/2042	4.13%	
GENERAL ELECTRIC CO	287,000	374,343	360,300	8/7/2037	6.15%	
GENERAL ELECTRIC CO	184,000	250,700	230,994	8/7/2037	6.15%	
GENERAL ELECTRIC CO	1,090,000	1,379,242	1,333,680	1/14/2038	5.88%	
GENERAL ELECTRIC CO	454,000	642,188	618,834	1/10/2039	6.88%	
GENERAL ELECTRIC CO	917,000	1,033,578	1,015,275	10/17/2021	4.65%	
GENERAL ELECTRIC CO	320,000	319,462	319,994	5/15/2017	VAR	
GENERAL ELECTRIC CO	2,178,000	2,974,887	2,845,797	3/15/2032	6.75%	
GENERAL MOTORS CO	350,000	357,000	353,514	10/2/2018	3.50%	
GENERAL MOTORS CO	1,665,000	1,989,009	1,759,139	10/2/2043	6.25%	
GENESIS ENERGY LP / GENESIS EN	393,000	387,612	334,050	8/1/2022	6.75%	
GENWORTH HOLDINGS INC	637,000	622,852	528,997	9/24/2021	7.63%	100,000
GENWORTH HOLDINGS INC	72,000	58,010	47,880	8/15/2023	4.90%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
GEORGIA POWER CO	675,000	\$ 708,858	\$ 623,437	3/15/2042	4.30%	
GILEAD SCIENCES INC	222,000	237,274	217,152	2/1/2045	4.50%	
GILEAD SCIENCES INC	2,012,000	2,017,926	2,036,204	3/1/2046	4.75%	1,883,541
GILEAD SCIENCES INC	540,000	542,434	549,088	9/1/2035	4.60%	
GLAXOSMITHKLINE CAPITAL INC	2,460,000	3,275,465	3,141,445	5/15/2038	6.38%	
GLAXOSMITHKLINE CAPITAL INC	710,000	729,518	716,674	3/18/2043	4.20%	
GLAXOSMITHKLINE CAPITAL PLC	325,000	326,411	326,368	5/8/2017	1.50%	
GLEN MEADOW PASS-THROUGH 144A	692,000	635,100	551,870	2/12/1967	VAR	214,000
GM FINANCIAL AUTOMO 2A A2 144A	187,300	187,380	187,070	2/20/2017	0.73%	
GNMA GTD REMIC P/T 03-67 FO	500,000	402,810	436,360	8/20/2033	0.00%	
GNMA GTD REMIC P/T 05-45 ZA	1,031,066	1,237,721	1,233,330	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,392,732	1,363,212	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-28 BO	36,798	34,517	33,160	5/20/2037	0.00%	
GNMA GTD REMIC P/T 07-71 SB	45,815	3,047	2,572	7/20/1936	VAR	
GNMA GTD REMIC P/T 09-1 KS	291,548	351,062	355,045	11/20/1934	VAR	
GNMA GTD REMIC P/T 09-10 SL	199,494	13,731	10,376	3/16/1934	VAR	
GNMA GTD REMIC P/T 09-32 ZA	207,582	237,436	234,414	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	875,996	1,133,503	1,132,864	8/16/2039	6.00%	
GNMA GTD REMIC P/T 10-14 CO	292,996	275,182	270,895	8/20/2035	0.00%	
GNMA GTD REMIC P/T 10-42 VZ	775,355	888,698	877,314	10/20/2039	5.50%	
GNMA GTD REMIC P/T 11-50 PZ	504,876	636,638	615,086	4/20/2041	5.00%	
GOLDMAN SACHS GROUP INC/THE	402,000	412,291	410,225	7/8/2024	3.85%	
GOLDMAN SACHS GROUP INC/THE	157,000	168,160	156,089	7/8/2044	4.80%	
GOLDMAN SACHS GROUP INC/THE	680,000	833,483	796,579	2/15/2033	6.13%	
GOLDMAN SACHS GROUP INC/THE	2,770,000	3,458,870	3,237,964	10/1/2037	6.75%	
GOLDMAN SACHS GROUP INC/THE	980,000	1,238,857	1,168,944	2/1/2041	6.25%	
GOLDMAN SACHS GROUP INC/THE	730,000	739,213	738,293	1/22/2023	3.63%	730,000
GOLDMAN SACHS GROUP INC/THE	104,000	107,968	106,744	3/3/2024	4.00%	
GOLDMAN SACHS GROUP INC/THE	36,000	35,748	35,725	10/21/2025	4.25%	
GOLDMAN SACHS GROUP INC/THE	92,000	91,157	91,391	10/21/2045	4.75%	
GOLDMAN SACHS GROUP INC/THE	408,000	409,392	400,987	1/23/2025	3.50%	
GOLDMAN SACHS GROUP INC/THE	742,000	737,177	720,771	5/22/2045	5.15%	115,000
GRIFFON CORP	575,000	538,344	548,406	3/1/2022	5.25%	575,000
GRUPO TELEvisa SAB	200,000	242,486	207,476	1/15/2040	6.63%	
GUGGENHEIM PARTNERS 7/13	984,887	974,053	976,269		COV-LITE TL	
HALLIBURTON CO	105,000	136,143	123,148	9/15/2038	6.70%	
HALLIBURTON CO	740,000	1,039,456	946,319	9/15/2039	7.45%	
HALLIBURTON CO	100,000	101,303	90,796	11/15/2041	4.50%	
HALLIBURTON CO	2,070,000	2,143,361	1,943,295	8/1/2043	4.75%	
HALLIBURTON CO	130,000	129,966	127,707	11/15/2035	4.85%	130,000
HALLIBURTON CO	402,000	401,875	397,393	11/15/2045	5.00%	
HALLIBURTON CO 144A	45,000	65,383	55,748	8/15/2096	7.60%	
HARTFORD FINANCIAL SERVICES GR	440,000	496,650	481,250		VAR	
HCA INC	505,000	507,038	508,788	3/15/2019	3.75%	505,000
HCA INC	350,000	350,875	351,313	2/15/2026	5.88%	
HCA INC	675,000	771,188	747,563	2/15/2022	7.50%	675,000
HCP INC	177,000	240,260	213,820	2/1/2041	6.75%	
HEALTHSOUTH CORP 144A	152,000	152,760	144,970	11/1/2024	5.75%	
HEALTHSOUTH CORP 144A	185,000	185,000	172,050	9/15/2025	5.75%	185,000
HEARTSIDE FOOD 4/14 COV-LITE	492,500	486,959	481,828		DELAYED TL	
HEINEKEN NV 144A	63,000	61,392	56,076	10/1/2042	4.00%	
HERTZ (COV-LITE 3/11)	1,125,000	1,110,938	1,102,500		SYNTHETIC LC	
HESS CORP	439,000	561,758	479,537	10/1/2029	7.88%	
HEWLETT PACKARD ENTERPRIS 144A	465,000	464,079	463,219	10/15/2022	4.40%	465,000
HEWLETT PACKARD ENTERPRIS 144A	675,000	673,144	661,912	10/15/2025	4.90%	
HEWLETT PACKARD ENTERPRIS 144A	515,000	514,650	488,910	10/15/2045	6.35%	
HEXION INC	125,000	125,000	103,125	4/15/2020	10.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
HEXION INC	864,000	\$ 822,100	\$ 671,760	4/15/2020	6.63%	
HISTORIC TW INC	285,000	387,631	369,770	2/1/2023	9.15%	
HOLOGIC INC 144A	225,000	227,911	229,500	7/15/2022	5.25%	
HOME DEPOT INC/THE	225,000	234,554	226,582	3/1/2016	5.40%	
HOME DEPOT INC/THE	875,000	1,142,750	1,066,485	12/16/2036	5.88%	
HOME DEPOT INC/THE	2,960,000	3,086,155	2,965,150	4/1/2043	4.20%	
HOME DEPOT INC/THE	1,682,000	1,954,972	1,852,353	2/15/2044	4.88%	
HOME DEPOT INC/THE	294,000	321,319	303,867	3/15/2045	4.40%	
HOME DEPOT INC/THE	366,000	365,081	374,158	4/1/2046	4.25%	
HOMER CITY GENERATION LP	281,250	287,227	225,000	10/1/2026	8.73%	
HONEYWELL INTERNATIONAL INC	120,000	154,531	144,820	3/15/2037	5.70%	
HONEYWELL INTERNATIONAL INC	270,000	337,713	320,293	3/1/2041	5.38%	
HONEYWELL INTERNATIONAL INC	334,000	346,408	341,234	12/1/2023	3.35%	
HOSTESS BRANDS 7/15 COV-LITE	498,750	497,503	495,633		TLB	
HP ENTERPRISE SERVICES LLC	205,000	259,016	237,759	10/15/2029	7.45%	
HP INC	190,000	213,703	165,895	9/15/2041	6.00%	
HSBC HOLDINGS PLC	2,185,000	2,807,485	2,621,388	9/15/2037	6.50%	
HSBC HOLDINGS PLC	2,785,000	3,693,384	3,466,629	6/1/2038	6.80%	
HSBC HOLDINGS PLC	200,000	226,080	222,352	4/5/2021	5.10%	
HSBC HOLDINGS PLC	265,000	353,876	335,450	1/14/2042	6.10%	
HSBC HOLDINGS PLC	5,390,000	5,736,577	5,658,260	3/30/2022	4.00%	
HSBC HOLDINGS PLC	745,000	834,519	773,027	3/14/2044	5.25%	
HSBC HOLDINGS PLC	288,000	285,638	285,814	8/18/2025	4.25%	
HSBC USA INC	605,000	620,536	613,682	9/24/2018	2.63%	605,000
HSBC USA INC	125,000	125,000	124,904		VAR	
HUGHES SATELLITE SYSTEMS CORP	277,000	304,700	293,620	6/15/2021	7.63%	
HUGHES SATELLITE SYSTEMS CORP	437,000	468,683	470,868	6/15/2019	6.50%	97,235
HYUNDAI AUTO LEASE S A A3 144A	275,436	275,053	275,293	4/17/2017	0.75%	
HYUNDAI AUTO RECEIVABLES A A2	155,426	155,423	155,336	10/16/2017	0.68%	
IASIS 2/13 TLB	492,386	487,053	482,046			
IHEARTCOMMUNICATIONS INC	110,000	97,350	76,725	3/1/2021	9.00%	
IHEARTCOMMUNICATIONS INC	154,000	154,000	108,031	3/15/2023	10.63%	
ILLINOIS TOOL WORKS INC	393,000	450,531	426,374	9/15/2041	4.88%	
ILLINOIS TOOL WORKS INC	773,000	778,720	732,858	9/1/2042	3.90%	
IMPERIAL BRANDS FINANCE P 144A	645,000	634,583	647,580	7/21/2022	3.75%	
INFOR US INC 144A	331,000	322,761	279,695	5/15/2022	6.50%	
INFOR US INC 144A	69,000	68,310	69,518	8/15/2020	5.75%	
INGERSOLL-RAND GLOBAL HOLDING	728,000	858,035	793,382	6/15/2043	5.75%	
INTEL CORP	307,000	337,160	320,041	10/1/2041	4.80%	
INTEL CORP	1,883,000	1,926,861	1,874,112	12/15/2032	4.00%	1,354,000
INTEL CORP	250,000	260,580	240,995	12/15/2042	4.25%	250,000
INTEL CORP	349,000	348,728	368,879	7/29/2045	4.90%	
INTELSAT JACKSON HOLDINGS SA	448,000	479,360	389,760	4/1/2021	7.50%	448,000
INTERNATIONAL BUSINESS MACHINE	115,000	151,663	147,501	10/30/2025	7.00%	65,000
INTERNATIONAL BUSINESS MACHINE	125,000	160,244	149,038	11/29/2032	5.88%	
INTERNATIONAL BUSINESS MACHINE	8,000	9,662	9,320	11/30/2039	5.60%	
INTERNATIONAL BUSINESS MACHINE	957,000	952,207	895,168	6/20/2042	4.00%	957,000
INTERNATIONAL BUSINESS MACHINE	1,390,000	1,339,765	1,355,459	5/15/2020	1.63%	1,321,000
INTERNATIONAL BUSINESS MACHINE	1,000,000	1,021,760	1,015,430	8/1/2023	3.38%	
INTERNATIONAL GAME TECHNO 144A	273,000	273,000	255,255	2/15/2022	6.25%	
INTERNATIONAL GAME TECHNO 144A	703,000	687,320	616,883	2/15/2025	6.50%	703,000
INTERNATIONAL PAPER CO	1,025,000	1,046,730	932,463	6/15/2044	4.80%	1,025,000
INTERNATIONAL PAPER CO	111,000	110,034	105,603	5/15/2046	5.15%	
INVESCO FINANCE PLC	150,000	180,374	164,321	11/30/2043	5.38%	
IRON MOUNTAIN INC 144A	302,000	302,000	318,610	10/1/2020	6.00%	
ISRAEL ST AID	300,000	228,501	229,932	11/1/2024	0.00%	
ISRAEL ST AID	200,000	268,700	258,246	9/18/2033	5.50%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
JBS USA LLC / JBS USA FIN 144A	285,000	\$ 293,550	\$ 282,863	6/1/2021	7.25%	
JEFFERIES FINANCE LLC / J 144A	357,000	332,010	314,160	4/15/2021	7.50%	
JEFFERIES GROUP LLC	320,000	314,486	295,603	1/15/2036	6.25%	
JEFFERIES GROUP LLC	140,000	145,256	147,960	6/8/2027	6.45%	
JOHN DEERE CAPITAL CORP	430,000	431,165	429,931	12/15/2016	1.05%	
JOHN DEERE CAPITAL CORP	363,000	372,050	368,866	6/12/2024	3.35%	363,000
JOHN SEVIER COMBINED CYCLE GEN	174,358	198,188	189,658	1/15/2042	4.63%	
JOHNSON & JOHNSON	1,446,000	1,619,665	1,594,909	12/5/2033	4.38%	
JOHNSON CONTROLS INC	265,000	320,894	276,612	1/15/2036	6.00%	
JOHNSON CONTROLS INC	35,000	41,759	34,165	3/1/2041	5.70%	
JPMORGAN CHASE & CO	325,000	325,644	325,026	2/26/2016	VAR	
JPMORGAN CHASE & CO	2,950,000	3,854,293	3,759,952	5/15/2038	6.40%	
JPMORGAN CHASE & CO	5,000	6,054	5,771	7/15/2041	5.60%	
JPMORGAN CHASE & CO	1,050,000	1,141,014	1,117,442	8/15/2021	4.35%	225,000
JPMORGAN CHASE & CO	1,380,000	1,365,248	1,356,292	5/1/2023	3.38%	890,000
JPMORGAN CHASE & CO	845,000	937,359	900,922	2/1/2044	4.85%	770,000
JPMORGAN CHASE & CO	1,325,000	1,326,338	1,321,343	12/15/2026	4.13%	
JPMORGAN CHASE BANK NA	430,000	430,000	428,302	6/14/2017	VAR	
K HOVNANIAN ENTERPRISES I 144A	750,000	776,250	645,000	10/15/2020	7.25%	
K HOVNANIAN ENTERPRISES I 144A	240,000	229,200	160,800	1/15/2019	7.00%	205,000
K HOVNANIAN ENTERPRISES I 144A	242,000	232,320	150,040	11/1/2019	8.00%	
KANSAS CITY POWER & LIGHT CO	465,000	536,359	505,209	10/1/2041	5.30%	
KB HOME	153,000	154,530	150,896	12/15/2021	7.00%	1,000
KB HOME	347,000	341,795	336,590	5/15/2019	4.75%	347,000
KB HOME	300,000	300,000	296,250	5/15/2023	7.63%	
KELLOGG CO	171,000	230,431	217,421	4/1/2031	7.45%	
KENTUCKY UTILITIES CO	256,000	293,166	269,299	11/15/2043	4.65%	
KENTUCKY UTILITIES CO	171,000	170,858	175,749	10/1/2045	4.38%	
KERR-MCGEE CORP	75,000	102,533	80,915	9/15/2031	7.88%	
KIK CUSTOM PRODUCTS 8/15	498,750	486,281	482,541		COV-LITE TL	
KIMBERLY-CLARK CORP	300,000	373,860	337,110	3/1/2041	5.30%	
KIMBERLY-CLARK CORP	1,725,000	1,675,976	1,592,658	6/1/2043	3.70%	
KINDER MORGAN ENERGY PARTNERS	515,000	573,138	424,030	9/1/2039	6.50%	
KINDER MORGAN ENERGY PARTNERS	160,000	181,736	136,030	9/15/2040	6.55%	
KINDER MORGAN ENERGY PARTNERS	490,000	491,005	370,308	9/1/2044	5.40%	
KINETIC CONCEPTS 1/14 (USD) E1	492,462	484,460	473,173		TL	
KONINKLIJKE PHILIPS NV	410,000	497,442	475,350	3/11/2038	6.88%	
KONINKLIJKE PHILIPS NV	35,000	44,348	42,431	6/1/2026	7.20%	
KRAFT FOODS GROUP INC	90,000	99,072	90,620	6/4/2042	5.00%	
KRAFT FOODS GROUP INC	345,000	443,453	402,180	2/9/2040	6.50%	100,000
KRAFT FOODS GROUP INC	1,085,000	1,385,892	1,285,942	1/26/2039	6.88%	
KRAFT HEINZ FOODS CO 144A	370,000	366,481	379,072	7/15/2035	5.00%	
KROGER CO/THE	145,000	195,034	190,156	6/1/2029	7.70%	
KROGER CO/THE	10,000	13,505	12,737	4/1/2031	7.50%	
KROGER CO/THE	85,000	113,107	105,022	4/15/2038	6.90%	
KROGER CO/THE	37,000	42,419	39,143	7/15/2040	5.40%	
KROGER CO/THE	265,000	294,577	271,095	4/15/2042	5.00%	
KRONOS 2/13 TL	492,866	488,145	483,935			
KRONOS ACQUISITION HOLDIN 144A	209,000	187,201	178,695	8/15/2023	9.00%	
KUBOTA CREDIT OWNER 1A A2 144A	216,067	216,067	215,659	12/15/2017	0.94%	
L BRANDS INC 144A	110,000	110,000	113,025	11/1/2035	6.88%	
LABORATORY CORP OF AMERICA HOL	137,000	135,953	125,171	2/1/2045	4.70%	
LANDESBANK HSN-TH DISC	1,350,000	1,349,113	1,349,113	1/19/2016		
LEVI STRAUSS & CO	873,000	938,475	934,110	5/1/2022	6.88%	
LIBERTY MUTUAL GROUP INC 144A	144,000	176,088	163,695	5/1/2042	6.50%	
LIBERTY MUTUAL GROUP INC 144A	835,000	848,753	773,235	8/1/2044	4.85%	
LIFEPOINT HEALTH INC	550,000	580,250	568,057	10/1/2020	6.63%	550,000

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
LIFEPOINT HEALTH INC	117,000	\$ 117,000	\$ 118,755	12/1/2023	5.88%	117,000
LINCOLN NATIONAL CORP	340,000	471,522	426,455	6/15/2040	7.00%	
LINN ENERGY LLC / LINN ENERGY	938,000	790,265	136,010	2/1/2021	7.75%	
LINN ENERGY LLC / LINN ENERGY	150,000	128,250	26,250	5/15/2019	6.50%	
LLOYDS BANKING GROUP PLC	1,020,000	1,029,323	1,035,433	11/4/2024	4.50%	1,020,000
LLOYDS BANKING GROUP PLC 144A	385,000	384,484	390,687	12/1/2045	5.30%	
LMA SA & LMA AMER DISC	950,000	949,696	949,696	1/11/2016		
LOCKHEED MARTIN CORP	3,017,000	3,044,394	2,791,992	12/15/2042	4.07%	
LOCKHEED MARTIN CORP	290,000	284,560	293,596	5/15/2036	4.50%	
LOCKHEED MARTIN CORP	1,145,000	1,128,043	1,172,904	5/15/2046	4.70%	
LOUISVILLE GAS & ELECTRIC CO	1,091,000	1,245,224	1,150,841	11/15/2043	4.65%	
LOUISVILLE GAS & ELECTRIC CO	171,000	170,858	174,706	10/1/2045	4.38%	
LOWE'S COS INC	290,000	375,676	369,414	2/15/2028	6.88%	
LOWE'S COS INC	320,000	405,354	383,453	4/15/2040	5.80%	
LOWE'S COS INC	771,000	901,654	853,674	11/15/2041	5.13%	
LOWE'S COS INC	26,000	28,918	27,502	4/15/2042	4.65%	
LOWE'S COS INC	202,000	197,217	207,612	9/15/2045	4.38%	
LOWE'S COS INC	380,000	536,286	490,569	5/15/2037	7.11%	
LYB INTERNATIONAL FINANCE BV	470,000	483,517	429,199	3/15/2044	4.88%	
MACY'S RETAIL HOLDINGS INC	205,000	259,407	207,452	3/15/2037	6.38%	205,000
MACY'S RETAIL HOLDINGS INC	49,000	53,111	42,120	1/15/2042	5.13%	
MACY'S RETAIL HOLDINGS INC	93,000	90,111	71,394	2/15/2043	4.30%	
MAGELLAN MIDSTREAM PARTNERS LP	67,000	71,027	57,451	10/15/2043	5.15%	
MALLINCKRODT INTERNATIONAL 144A	475,000	488,063	456,000	8/1/2022	5.75%	475,000
MALLINCKRODT INTERNATIONAL 144A	67,000	67,000	64,488	4/15/2020	4.88%	
MALLINCKRODT INTERNATIONAL FIN	500,000	480,000	442,500	4/15/2023	4.75%	
MARATHON OIL CORP	90,000	108,932	81,166	3/15/2032	6.80%	
MARATHON OIL CORP	80,000	79,953	56,941	6/1/2045	5.20%	
MARATHON PETROLEUM CORP	105,000	124,969	105,059	3/1/2041	6.50%	
MARATHON PETROLEUM CORP	111,000	108,780	103,434	9/15/2024	3.63%	111,000
MARATHON PETROLEUM CORP	450,000	424,985	367,830	9/15/2044	4.75%	450,000
MARSH & MCLENNAN COS INC	625,000	624,519	625,825	3/14/2026	3.75%	
MASSACHUSETTS ELECTRIC CO 144A	271,000	354,400	315,506	11/15/2039	5.90%	
MASSACHUSETTS INSTITUTE OF TEC	775,000	821,911	794,600	7/1/2038	3.96%	
MASSACHUSETTS MUTUAL LIFE 144A	195,000	314,633	283,160	6/1/2039	8.88%	
MASSACHUSETTS MUTUAL LIFE 144A	145,000	172,417	157,000	12/1/2041	5.38%	145,000
MASSMUTUAL GLOBAL FUNDING 144A	1,560,000	1,518,504	1,496,383	10/17/2022	2.50%	
MCDONALD'S CORP	210,000	274,403	246,341	10/15/2037	6.30%	
MCDONALD'S CORP	200,000	263,014	232,926	3/1/2038	6.30%	
MCDONALD'S CORP	850,000	847,085	828,878	1/15/2022	2.63%	35,000
MCDONALD'S CORP	900,000	833,634	740,718	5/1/2043	3.63%	
MCKESSON CORP	210,000	231,330	209,895	3/15/2044	4.88%	
MCKESSON CORP	55,000	68,039	63,318	3/1/2041	6.00%	
MEDIA GENERAL 7/13 TRANCHE B	462,485	462,485	455,691		DELAYED TL	
MEDTRONIC INC	678,000	719,263	685,350	3/15/2035	4.38%	
MEMORIAL SLOAN-KETTERING CANCER	68,000	68,877	65,463	7/1/2055	4.20%	
MEN'S WEARHOUSE INC/THE	315,000	279,340	223,650	7/1/2022	7.00%	229,000
MERCEDES BENZ AUTO LEASE B A2A	370,000	369,967	369,105	1/16/2018	1.00%	
MERCK & CO INC	435,000	435,548	434,796	5/18/2016	VAR	
MERCK & CO INC	2,990,000	2,969,220	2,975,200	5/18/2023	2.80%	
MERCK & CO INC	946,000	1,006,459	938,886	5/18/2043	4.15%	
MERCK & CO INC	421,000	418,579	388,773	2/10/2045	3.70%	
MERITAGE HOMES CORP	880,000	880,000	880,000	3/1/2018	4.50%	
MERITOR INC	114,000	115,425	104,880	6/15/2021	6.75%	
MERITOR INC	260,000	261,874	222,300	2/15/2024	6.25%	260,000
METLIFE INC	1,171,000	1,545,564	1,437,871	12/15/2032	6.50%	
METLIFE INC	475,000	591,940	548,996	6/15/2035	5.70%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
METLIFE INC	550,000	\$ 599,715	\$ 590,535	9/15/2023	VAR	
METLIFE INC	56,000	56,085	53,147	8/13/2042	4.13%	
METLIFE INC	335,000	378,332	351,452	11/13/2043	4.88%	
METLIFE INC	595,000	596,357	598,499	12/15/2017	VAR	95,000
METLIFE INC	203,000	201,987	188,382	3/1/2045	4.05%	142,000
METLIFE SHORT DISC	925,000	924,480	924,480	1/20/2016		
METROPOLITAN LIFE GLOBAL 144A	265,000	280,134	276,432	4/11/2022	3.88%	
METROPOLITAN LIFE GLOBAL 144A	1,375,000	1,367,836	1,368,056	1/10/2023	3.00%	
MGM RESORTS INTERNATIONAL	1,080,000	1,134,000	1,105,650	12/15/2021	6.63%	1,080,000
MGM RESORTS INTERNATIONAL	117,000	117,585	116,123	3/15/2023	6.00%	117,000
MGM RESORTS INTERNATIONAL	401,000	475,185	459,394	3/1/2018	11.38%	
MICROSOFT CORP	25,000	29,753	28,266	6/1/2039	5.20%	25,000
MICROSOFT CORP	77,000	85,318	80,065	10/1/2040	4.50%	
MICROSOFT CORP	109,000	133,727	125,567	2/8/2041	5.30%	
MICROSOFT CORP	2,710,000	2,568,457	2,385,776	11/15/2042	3.50%	
MICROSOFT CORP	1,500,000	1,494,600	1,388,400	5/1/2043	3.75%	
MICROSOFT CORP	1,370,000	1,466,092	1,439,692	12/15/2023	3.63%	15,000
MICROSOFT CORP	647,000	639,560	597,990	2/12/2035	3.50%	
MICROSOFT CORP	1,838,000	1,768,138	1,651,020	2/12/2055	4.00%	
MICROSOFT CORP	826,000	823,671	843,478	11/3/2035	4.20%	826,000
MICROSOFT CORP	420,000	418,551	433,112	11/3/2045	4.45%	
MICROSOFT CORP	1,005,000	1,001,251	1,040,979	11/3/2055	4.75%	
MIDAMERICAN ENERGY CO	275,000	319,303	294,234	9/15/2043	4.80%	
MIDAMERICAN ENERGY CO	345,000	344,524	341,271	5/1/2046	4.25%	
MISSION/NEXSTAR BROADCASTING	231,506	229,093	229,577		TLB 2	
MISSISSIPPI POWER CO	910,000	922,385	695,640	3/15/2042	4.25%	
MIZUHO BANK LTD 144A	210,000	213,377	212,493	9/25/2024	3.60%	
MONDELEZ INTERNATIONAL INC	155,000	162,172	159,774	2/1/2024	4.00%	
MONSANTO CO	220,000	229,240	189,193	7/15/2034	4.20%	
MONSANTO CO	68,000	71,299	51,634	7/15/2064	4.70%	
MORGAN STANLEY	183,000	195,283	194,264	11/24/2025	5.00%	
MORGAN STANLEY	685,000	689,096	687,240	9/8/2026	4.35%	
MORGAN STANLEY	390,000	533,918	511,115	4/1/2032	7.25%	
MORGAN STANLEY	4,981,000	5,109,609	5,101,889	2/25/2023	3.75%	821,000
MORGAN STANLEY	466,000	466,000	466,000	12/29/1949	VAR	466,000
MORGAN STANLEY	227,000	225,298	216,583	1/27/2045	4.30%	227,000
MORGAN STANLEY	170,000	173,373	170,818	10/23/2024	3.70%	
MORGAN STANLEY	93,000	92,665	90,264	4/23/2027	3.95%	93,000
MOSAIC CO/THE	107,000	109,907	93,136	11/15/2041	4.88%	
MOSAIC CO/THE	164,000	185,551	165,752	11/15/2033	5.45%	
MOSAIC CO/THE	68,000	81,613	65,178	11/15/2043	5.63%	68,000
MYLAN INC	520,000	538,944	515,637	11/29/2023	4.20%	
NATIONAL AUSTRALIA BANK L 144A	650,000	649,721	649,090	12/9/2016	VAR	
NATIONAL AUSTRALIA BANK L 144A	725,000	724,645	723,188	6/30/2017	VAR	
NATIONAL BK CANADA DISC	900,000	898,609	898,609	3/23/2016		
NATIONAL OILWELL VARCO INC	219,000	201,738	166,821	12/1/2042	3.95%	17,000
NATIONWIDE MUTUAL INSURAN 144A	290,000	402,100	372,737	4/1/2033	7.88%	
NATIONWIDE MUTUAL INSURAN 144A	220,000	349,297	319,317	8/15/2039	9.38%	
NAVIENT CORP	32,000	31,400	28,080	10/26/2020	5.00%	6,001
NAVIENT CORP	302,000	288,223	241,600	10/25/2024	5.88%	
NAVIENT CORP	185,000	189,163	172,975	1/15/2019	5.50%	123,000
NAVIENT CORP	210,000	210,609	193,200	6/17/2019	4.88%	210,000
NBCUNIVERSAL MEDIA LLC	212,000	284,036	264,171	4/30/2040	6.40%	
NBCUNIVERSAL MEDIA LLC	105,000	135,022	125,572	4/1/2041	5.95%	
NBCUNIVERSAL MEDIA LLC	2,575,000	2,728,496	2,522,882	1/15/2043	4.45%	
NCL CORP LTD 144A	405,000	405,113	396,584	11/15/2020	4.63%	
NEPTUNE FINCO CORP 144A	200,000	200,000	209,500	10/15/2025	10.88%	200,000

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
NEPTUNE FINCO CORP 144A	205,000	\$ 205,000	\$ 213,200	10/15/2025	6.63%	205,000
NEPTUNE FINCO CORP 144A	205,000	205,000	213,713	1/15/2023	10.13%	
NEVADA POWER CO	640,000	885,011	808,288	4/1/2036	6.65%	
NEVADA POWER CO	35,000	49,544	44,397	7/1/2037	6.75%	
NEVADA POWER CO	73,000	89,212	80,607	9/15/2040	5.38%	
NEVADA POWER CO	63,000	79,529	70,789	5/15/2041	5.45%	
NEW YORK LIFE INSURANCE C 144A	1,986,000	2,764,274	2,547,899	11/15/2039	6.75%	
NEXEN ENERGY ULC	65,000	76,330	70,298	3/10/2035	5.88%	
NEXEN ENERGY ULC	85,000	117,967	109,584	7/30/2039	7.50%	
NEXSTAR/MISSION BROADCASTING	262,531	259,795	260,344	10/1/2020	0.00%	
NIEUW AMSTERDAM DISC	600,000	599,619	599,619	2/1/2016		
NIEUW AMSTERDAM DISC	800,000	799,467	799,467	2/11/2016		
NISOURCE FINANCE CORP	100,000	128,913	118,356	12/15/2040	6.25%	
NISOURCE FINANCE CORP	90,000	103,170	95,616	2/15/2043	5.25%	
NISOURCE FINANCE CORP	102,000	108,675	103,672	2/15/2044	4.80%	
NISOURCE FINANCE CORP	550,000	658,389	632,154	2/1/2045	5.65%	
NISSAN AUTO LEASE TRUST A A2A	294,604	294,577	294,159	11/15/2017	0.99%	
NOBLE ENERGY INC	127,000	140,306	109,497	3/1/2041	6.00%	
NOBLE ENERGY INC	24,000	23,720	21,361	11/15/2024	3.90%	24,000
NOBLE ENERGY INC	59,000	58,317	47,637	11/15/2044	5.05%	
NOBLE HOLDING INTERNATIONAL LT	234,000	184,589	129,744	3/15/2042	5.25%	
NOBLE HOLDING INTERNATIONAL LT	171,000	168,657	109,420	4/1/1945	VAR	
NORBORD INC 144A	205,000	206,350	202,438	4/15/2023	6.25%	
NORFOLK SOUTHERN CORP	125,000	160,855	134,009	3/15/2039	6.00%	
NORFOLK SOUTHERN CORP	501,000	642,583	524,246	5/23/2111	6.00%	
NORFOLK SOUTHERN CORP	44,000	48,810	42,741	10/1/2041	4.84%	
NORFOLK SOUTHERN CORP	46,000	45,119	39,595	10/1/2042	3.95%	
NORTHERN STATES POWER CO/MN	270,000	328,339	308,289	7/15/2035	5.25%	
NORTHERN STATES POWER CO/MN	365,000	497,429	463,196	6/1/2036	6.25%	
NORTHERN STATES POWER CO/MN	137,000	172,315	157,920	11/1/2039	5.35%	
NORTHERN STATES POWER CO/MN	140,000	164,804	153,888	8/15/2040	4.85%	
NORTHERN STATES POWER CO/MN	1,210,000	1,280,156	1,201,373	5/15/2044	4.13%	
NORTHROP GRUMMAN SYSTEMS CORP	175,000	245,257	230,976	2/15/2031	7.75%	
NOVARTIS CAPITAL CORP	102,000	106,109	105,304	5/6/2024	3.40%	102,000
NOVARTIS CAPITAL CORP	890,000	997,370	928,243	5/6/2044	4.40%	
NOVELIS 5/15 COV-LITE TLB	398,000	398,000	378,669			
NRG ENERGY INC	310,000	330,925	300,700	9/1/2020	8.25%	310,000
NRG ENERGY INC	1,295,000	1,376,488	1,214,063	5/15/2021	7.88%	1,295,000
NRG ENERGY INC	410,000	417,175	344,482	5/1/2024	6.25%	410,000
NUCOR CORP	385,000	476,457	406,899	12/1/2037	6.40%	
NUMERICABLE-SFR SA 144A	420,000	416,325	416,325	5/15/2019	4.88%	
NUSTAR LOGISTICS LP	250,000	280,400	255,313	4/15/2028	VAR	
OASIS PETROLEUM INC	73,000	70,155	52,378	2/1/2019	7.25%	73,000
OASIS PETROLEUM INC	52,000	47,645	34,450	11/1/2021	6.50%	52,000
OASIS PETROLEUM INC	41,000	36,600	26,240	3/15/2022	6.88%	
OCCIDENTAL PETROLEUM CORP	109,000	107,833	105,729	6/15/2045	4.63%	
OHIO POWER CO	235,000	312,973	283,899	2/15/2033	6.60%	
OLD LINE FDG CORP DISC	475,000	473,839	473,839	3/2/2016		
OMEGA HEALTHCARE INVESTOR 144A	740,000	737,906	755,340	1/15/2026	5.25%	
ONCOR ELECTRIC DELIVERY CO LLC	360,000	519,484	461,653	1/15/2033	7.25%	
ONCOR ELECTRIC DELIVERY CO LLC	75,000	105,299	93,214	5/1/2032	7.00%	
ONEMAIN FINANCIAL HOLDING 144A	252,000	257,040	255,465	12/15/2019	6.75%	
ONEMAIN FINANCIAL HOLDING 144A	230,000	236,314	230,575	12/15/2021	7.25%	
ONEOK INC	249,000	245,363	207,293	9/1/2023	7.50%	
ONEOK PARTNERS LP	95,000	109,333	78,531	10/1/2036	6.65%	
ONEOK PARTNERS LP	170,000	169,643	143,181	3/15/2025	4.90%	170,000
ORACLE CORP	100,000	134,566	125,409	4/15/2038	6.50%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
ORACLE CORP	1,780,000	\$ 2,310,796	\$ 2,152,376	7/8/2039	6.13%	
ORACLE CORP	2,383,000	2,858,671	2,650,968	7/15/2040	5.38%	
ORACLE CORP	724,000	775,165	720,242	7/8/2034	4.30%	
ORACLE CORP	1,075,000	1,070,582	1,019,304	5/15/2045	4.13%	
ORACLE CORP	1,095,000	1,090,062	1,001,739	5/15/2055	4.38%	
ORANGE SA	205,000	312,703	289,343	3/1/1931	VAR	
OVERSEA CHINESE DISC	1,000,000	998,612	998,612	3/16/2016		
OVERSEA-CHINESE BKG IB NT	675,000	675,000	675,000	5/24/2016	VAR	
OWENS CORNING	340,000	421,209	372,732	12/1/1936	VAR	
OWENS-BROCKWAY GLASS CONT 144A	153,000	151,805	155,295	8/15/2023	5.88%	153,000
OWENS-BROCKWAY GLASS CONT 144A	76,000	76,000	78,090	8/15/2025	6.38%	76,000
PACCAR FINANCIAL CORP	280,000	280,314	279,950	2/8/2016	VAR	
PACIFIC GAS & ELECTRIC CO	2,200,000	2,800,820	2,592,128	3/1/2034	6.05%	
PACIFIC GAS & ELECTRIC CO	1,012,000	1,239,690	1,176,642	3/1/2037	5.80%	
PACIFIC GAS & ELECTRIC CO	399,000	471,634	450,734	1/15/2040	5.40%	
PACIFIC GAS & ELECTRIC CO	59,000	56,398	52,557	8/15/2042	3.75%	
PACIFIC GAS & ELECTRIC CO	2,496,000	2,662,683	2,536,186	6/15/2043	4.60%	
PACIFIC GAS & ELECTRIC CO	181,000	179,851	183,009	6/15/2025	3.50%	
PACIFIC GAS & ELECTRIC CO	284,000	278,689	275,193	3/15/2046	4.25%	284,000
PACIFIC LIFE INSURANCE CO 144A	1,039,000	1,634,347	1,509,314	6/15/2039	9.25%	
PACIFIC LIFECORP 144A	375,000	412,418	382,073	1/30/2043	5.13%	
PACIFICORP	655,000	971,273	896,132	11/15/2031	7.70%	
PACIFICORP	125,000	160,578	146,751	4/1/2037	5.75%	
PACIFICORP	275,000	372,158	340,167	10/15/2037	6.25%	
PACIFICORP	1,000,000	995,640	998,060	6/1/2023	2.95%	1,000,000
PARKER-HANNIFIN CORP	93,000	100,265	96,655	11/21/2044	4.45%	
PEABODY ENERGY CORP	110,000	95,425	15,125	9/15/2020	6.50%	
PEABODY ENERGY CORP	40,000	34,200	5,500	11/15/2021	6.25%	
PEABODY ENERGY CORP 144A	199,000	171,125	37,810	3/15/2022	10.00%	
PECO ENERGY CO	1,150,000	1,369,685	1,240,827	10/15/2043	4.80%	
PECO ENERGY CO	344,000	365,600	338,118	10/1/2044	4.15%	
PEPSICO INC	190,000	228,838	222,752	1/15/2040	5.50%	190,000
PEPSICO INC	835,000	938,072	892,189	11/1/2040	4.88%	
PEPSICO INC	478,000	476,303	505,791	7/17/2045	4.60%	
PEPSICO INC	389,000	387,969	401,818	4/14/2046	4.45%	
PERNOD RICARD SA 144A	1,060,000	1,232,939	1,067,844	1/15/2042	5.50%	
PETRO-CANADA	640,000	730,182	641,670	5/15/2035	5.95%	
PETRO-CANADA	1,380,000	1,763,776	1,508,864	5/15/2038	6.80%	
PETSMART 5/15 COV-LITE TLB	248,750	248,750	241,847			
PFIZER INC	580,000	843,175	798,753	3/15/2039	7.20%	
PFIZER INC	1,930,000	1,951,732	1,944,205	6/15/2023	3.00%	575,000
PHARMACIA LLC	100,000	131,887	128,044	12/1/2028	VAR	
PHILIP MORRIS INTERNATIONAL IN	2,665,000	3,407,362	3,361,365	5/16/2038	6.38%	
PHILLIPS 66	205,000	236,078	205,484	5/1/2042	5.88%	
PILGRIM'S PRIDE CORP 144A	143,000	143,000	139,068	3/15/2025	5.75%	
PINNACLE ENTERTAINMENT 8/13	166,524	159,520	165,847	8/13/2020	0.00%	
PINNACLE ENTERTAINMENT INC	330,000	344,022	344,025	4/15/2021	7.50%	
PLACER DOME INC	80,000	88,326	62,031	10/15/2035	6.45%	
PLAINS ALL AMERICAN PIPELINE L	730,000	907,135	644,860	1/15/2037	6.65%	
PLAINS ALL AMERICAN PIPELINE L	115,000	112,898	92,257	11/1/2024	3.60%	115,000
PLY GEM INDUSTRIES INC	441,000	414,540	403,515	2/1/2022	6.50%	
PNC BANK NA	590,000	565,019	573,858	11/1/2022	2.70%	
PNC BANK NA	440,000	440,000	437,906	6/1/2018	VAR	
POST HOLDINGS 6/14 INCREMENTAL	118,601	118,008	118,286		TL	
POST HOLDINGS INC	445,000	445,000	463,913	2/15/2022	7.38%	445,000
POST HOLDINGS INC 144A	65,000	65,000	68,900	7/15/2025	8.00%	65,000
POTASH CORP OF SASKATCHEWAN IN	490,000	604,709	533,801	12/1/2036	5.88%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
POTASH CORP OF SASKATCHEWAN IN	975,000	\$ 970,320	\$ 915,944	4/1/2025	3.00%	400,000
POTOMAC ELECTRIC POWER CO	605,000	841,991	777,419	11/15/2037	6.50%	
POTOMAC ELECTRIC POWER CO	250,000	407,063	365,103	12/15/2038	7.90%	
POTOMAC ELECTRIC POWER CO	540,000	618,667	572,589	11/15/2043	4.95%	
PPG INDUSTRIES INC	11,000	13,290	12,248	11/15/2040	5.50%	
PPL ELECTRIC UTILITIES CORP	140,000	171,354	158,614	7/15/2041	5.20%	
PPL ELECTRIC UTILITIES CORP	888,000	1,023,003	957,957	7/15/2043	4.75%	
PPL ELECTRIC UTILITIES CORP	377,000	394,440	371,541	6/15/2044	4.13%	
PRE PAID LEGAL 7/13 TLB	236,141	236,732	233,929			
PRECISION CASTPARTS CORP	235,000	233,583	233,731	6/15/2035	4.20%	
PRECISION CASTPARTS CORP	195,000	194,353	195,530	6/15/2045	4.38%	
PRESTIGE BRANDS 5/15 TLB3	0	0	-			
PRINCIPAL FINANCIAL GROUP INC	102,000	103,742	95,450	5/15/2043	4.35%	
PRINTPACK 5/14 TL	245,016	243,026	242,566			
PROCTER & GAMBLE CO/THE	160,000	224,597	214,114	9/1/2024	8.00%	
PROCTER & GAMBLE CO/THE	1,080,000	1,396,624	1,312,481	3/5/2037	5.55%	
PROGRESS ENERGY INC	182,000	263,563	234,543	3/1/2031	7.75%	
PROGRESS ENERGY INC	830,000	1,140,644	1,021,962	10/30/2031	7.00%	
PROGRESS ENERGY INC	895,000	1,156,170	1,035,748	12/1/2039	6.00%	
PROGRESSIVE CORP/THE	527,000	570,541	531,321	4/25/2044	4.35%	
PRUDENTIAL FINANCIAL INC	130,000	137,150	135,135	9/15/1942	VAR	130,000
PRUDENTIAL FINANCIAL INC	720,000	720,000	719,100	5/15/1942	VAR	720,000
PRUDENTIAL INSURANCE CO O 144A	475,000	641,521	605,915	7/1/2025	8.30%	
PRUDENTIAL PLC DISC	750,000	749,425	749,425	2/16/2016		
PSEG POWER LLC	55,000	81,011	68,458	4/15/2031	8.63%	
PUBLIC SERVICE CO OF COLORADO	70,000	99,730	92,250	8/1/2038	6.50%	
PUBLIC SERVICE CO OF COLORADO	1,570,000	1,819,567	1,690,388	8/15/2041	4.75%	
PUBLIC SERVICE CO OF COLORADO	42,000	44,331	40,899	3/15/2043	3.95%	
PUBLIC SERVICE CO OF COLORADO	1,231,000	1,325,172	1,255,632	3/15/2044	4.30%	
PUBLIC SERVICE CO OF NEW HAMPS	75,000	77,450	76,842	11/1/2023	3.50%	
PUBLIC SERVICE ELECTRIC & GAS	125,000	161,506	150,395	12/1/2036	5.70%	
PUBLIC SERVICE ELECTRIC & GAS	135,000	174,762	163,230	5/1/2037	5.80%	135,000
PUBLIC SERVICE ELECTRIC & GAS	195,000	245,681	224,927	11/1/2039	5.38%	
PUBLIC SERVICE ELECTRIC & GAS	150,000	146,780	138,456	9/1/2042	3.65%	
PUBLIC SERVICE ELECTRIC & GAS	100,000	101,113	94,168	1/1/2043	3.80%	
PUBLIC SERVICE ELECTRIC & GAS	2,205,000	2,120,571	2,111,001	5/15/2023	2.38%	
PUBLIC SERVICE ELECTRIC & GAS	330,000	340,101	321,163	6/1/2044	4.00%	
PUBLIC SERVICE ELECTRIC & GAS	770,000	766,165	753,938	5/1/2045	4.05%	
QUINCY NEWSPAPERS 10/15 TLB	249,000	247,133	245,058			
QWEST CORP	267,000	308,735	279,683	12/1/2021	6.75%	267,000
RAYTHEON CO	78,000	81,018	78,378	12/15/2044	4.20%	
REALOGY 3/14 TLB	492,462	481,382	487,946			
REGAL ENTERTAINMENT GROUP	250,000	230,000	241,250	2/1/2025	5.75%	
REGENCY ENERGY PARTNERS LP / R	830,000	832,075	810,835	9/1/2020	5.75%	830,000
REGENCY ENERGY PARTNERS LP / R	205,000	204,488	193,225	3/1/2022	5.88%	
REGENCY ENERGY PARTNERS LP / R	76,000	71,820	67,327	10/1/2022	5.00%	
REGIONAL CARE TERM B	493,750	488,813	484,700			
REPSOL OIL & GAS CANADA INC	95,000	109,428	102,372	6/1/2019	7.75%	
REPUBLIC SERVICES INC	95,000	117,656	111,162	3/15/2035	6.09%	
REPUBLIC SERVICES INC	835,000	1,079,563	978,503	3/1/2040	6.20%	
REPUBLIC SERVICES INC	238,000	293,449	266,220	5/15/2041	5.70%	
REVLON CONSUMER PRODUCTS CORP	606,000	606,405	586,305	2/15/2021	VAR	606,000
REYNOLDS GROUP ISSUER INC / RE	850,000	883,938	856,375	8/15/2019	9.88%	
REYNOLDS GROUP ISSUER INC / RE	555,000	574,425	546,675	4/15/2019	9.00%	
RGIS INVENTORY 5/12 COV LITE	492,327	445,070	338,475		ADD ON TLC	
RIO TINTO ALCAN INC	420,000	546,311	472,525	3/15/2031	7.25%	
RIO TINTO FINANCE USA LTD	450,000	586,188	530,591	7/15/2028	7.13%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
RIO TINTO FINANCE USA LTD	870,000	\$ 955,956	\$ 798,338	11/2/2040	5.20%	870,000
RIO TINTO FINANCE USA PLC	2,000,000	1,999,440	1,885,140	3/22/2022	3.50%	
RIO TINTO FINANCE USA PLC	580,000	563,151	453,804	8/21/2042	4.13%	
RITE AID 2/13 2ND LIEN TL	1,196,000	1,197,997	1,196,598			
RITE AID CORP 144A	174,000	174,000	180,090	4/1/2023	6.13%	
RIVERBED TECHNOLOGY 2/15 COVLT	198,000	197,010	196,834		TL	
RIVERBED TECHNOLOGY INC 144A	275,000	278,125	254,375	3/1/2023	8.88%	
ROGERS COMMUNICATIONS INC	50,000	72,326	67,594	5/1/2032	8.75%	
ROGERS COMMUNICATIONS INC	1,115,000	1,219,331	1,122,537	3/15/2044	5.00%	
ROSE ROCK MIDSTREAM LP / 144A	100,000	98,345	71,000	11/15/2023	5.63%	
ROYAL BANK OF CANADA	540,000	540,875	539,514		VAR	
SABINE PASS LIQUEFACTION LLC	175,000	172,594	161,000		VAR	
SABMILLER PLC 144A	135,000	178,570	160,396	8/15/2033	6.63%	
SAN DIEGO GAS & ELECTRIC CO	60,000	75,069	73,765	6/1/2026	6.00%	
SAN DIEGO GAS & ELECTRIC CO	58,000	64,551	60,664	8/15/2040	4.50%	
SAN DIEGO GAS & ELECTRIC CO	665,000	690,177	640,634	11/15/2041	3.95%	
SANMINA CORP 144A	425,000	421,813	427,125	6/1/2019	4.38%	425,000
SANOFI	305,000	312,399	306,299	3/29/2016	2.63%	
SANTANDER DRIVE AUTO RECE 1 A3	88,694	88,720	88,691	1/16/2018	0.87%	
SANTANDER UK GROUP HOLDIN 144A	600,000	598,344	592,086	9/15/2025	4.75%	600,000
SCHLUMBERGER INVESTMENT SA	192,000	200,707	194,790	12/1/2023	3.65%	
SCIENTIFIC GAMES INTERNAT 144A	93,000	94,163	88,815	1/1/2022	7.00%	
SCIENTIFIC GAMES INTERNATIONAL	589,000	552,194	418,190	12/1/2022	10.00%	
SEAGATE HDD CAYMAN	584,000	535,095	511,164	6/1/2023	4.75%	
SEMPRA ENERGY	440,000	543,580	493,363	10/15/2039	6.00%	
SEMPRA ENERGY	67,000	70,880	69,015	12/1/2023	4.05%	
SENSUS (5/11) TLB	738,404	719,478	721,790			
SERVICEMASTER 7/14 COV-LITE	248,116	247,495	245,014		TLB	
SHELL INTERNATIONAL FINANCE BV	1,155,000	1,552,112	1,365,441	12/15/2038	6.38%	
SHELL INTERNATIONAL FINANCE BV	215,000	261,808	232,331	3/25/2040	5.50%	
SHELL INTERNATIONAL FINANCE BV	2,300,000	2,237,302	2,202,779	8/21/2022	2.38%	
SHELL INTERNATIONAL FINANCE BV	960,000	990,826	954,470	8/12/2023	3.40%	
SHELL INTERNATIONAL FINANCE BV	1,575,000	1,723,003	1,530,947	8/12/2043	4.55%	
SHELL INTERNATIONAL FINANCE BV	260,000	260,705	259,337	11/15/2016	0.90%	
SHELL INTERNATIONAL FINANCE BV	1,060,000	1,051,160	1,011,802	5/11/2035	4.13%	
SHELL INTERNATIONAL FINANCE BV	190,000	189,622	179,373	5/11/2045	4.38%	
SIEMENS FINANCIERINGSMAAT 144A	120,000	148,885	147,139	8/17/2026	6.13%	120,000
SIEMENS FINANCIERINGSMAAT 144A	250,000	248,145	255,560	5/27/2045	4.40%	
SIMON PROPERTY GROUP LP	1,028,000	1,430,822	1,356,055	2/1/2040	6.75%	
SIMON PROPERTY GROUP LP	850,000	924,647	906,058	12/1/2021	4.13%	
SIMON PROPERTY GROUP LP	1,275,000	1,339,158	1,325,426	2/1/2024	3.75%	
SKANDINAVISKA ENS DISC	1,000,000	998,686	998,686	3/16/2016		
SKY GROUP FINANCE PLC 144A	219,000	269,718	262,001	10/15/2035	6.50%	
SLM PRIVATE EDUCATIO A A1 144A	156,680	156,586	156,048	7/15/2022	VAR	
SLM PRIVATE EDUCATIO B A1 144A	259,983	260,412	259,359	7/15/2022	VAR	
SLM PRIVATE EDUCATIO C A1 144A	42,017	42,247	42,044	8/15/2023	VAR	
SM ENERGY CO	416,000	403,520	309,920	11/15/2021	6.50%	416,000
SM ENERGY CO	165,000	165,000	108,900	6/1/2025	5.63%	165,000
SOLENIS 7/14 (USD) COV-LITE TL	987,500	960,344	944,919			
SOUTH CAROLINA ELECTRIC & GAS	560,000	690,110	616,756	2/1/2041	5.45%	
SOUTH CAROLINA ELECTRIC & GAS	850,000	925,395	853,230	6/15/2043	4.60%	
SOUTH CAROLINA ELECTRIC & GAS	345,000	372,907	321,188	6/1/2064	4.50%	
SOUTHERN CALIFORNIA EDISON CO	335,000	390,419	388,758	1/15/2036	5.55%	
SOUTHERN CALIFORNIA EDISON CO	575,000	754,935	712,281	3/15/2039	6.05%	
SOUTHERN CALIFORNIA EDISON CO	390,000	493,490	459,970	3/15/2040	5.50%	
SOUTHERN CALIFORNIA EDISON CO	1,110,000	1,151,836	1,084,359	3/15/2042	4.05%	
SOUTHERN CALIFORNIA EDISON CO	492,000	557,200	519,370	10/1/2043	4.65%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
SOUTHERN CALIFORNIA EDISON CO	442,000	\$ 440,303	\$ 400,302	2/1/2045	3.60%	
SOUTHERN POWER CO	255,000	296,104	235,934	9/15/2041	5.15%	
SPECTRA ENERGY CAPITAL LLC	25,000	30,174	24,765	9/15/2038	7.50%	
SPECTRA ENERGY PARTNERS LP	40,000	39,694	30,739	3/15/2045	4.50%	40,000
SPRINGLEAF FINANCE CORP	1,104,000	1,175,760	1,142,640	12/15/2017	6.90%	
SPRINGLEAF FINANCE CORP	200,000	196,000	190,000	12/15/2019	5.25%	200,000
SPRINT CAPITAL CORP	615,000	541,200	428,963	11/15/2028	6.88%	
SPRINT CAPITAL CORP	305,000	311,100	248,575	5/1/2019	6.90%	
SPRINT CAPITAL CORP	180,000	174,150	135,000	3/15/2032	8.75%	
SPRINT COMMUNICATIONS INC	205,000	205,000	158,363	8/15/2020	7.00%	
SPRINT COMMUNICATIONS INC	135,000	124,200	95,175	11/15/2022	6.00%	
SPRINT CORP	1,048,000	1,034,586	787,048	9/15/2023	7.88%	
SPRINT CORP	290,000	290,000	211,700	2/15/2025	7.63%	
STANDARD CHARTERED PLC 144A	200,000	207,812	205,628	1/26/2024	5.20%	200,000
STAR WEST GENERATION 5/13 TLB	-	-	-			
STARBUCKS CORP	268,000	266,923	277,983	6/15/2045	4.30%	268,000
STATE STREET CORP	330,000	325,350	326,106	5/15/2023	3.10%	
STATE STREET CORP	215,000	214,707	221,758	8/18/2025	3.55%	215,000
STATOIL ASA	320,000	441,920	410,339	1/15/2029	7.15%	
STATOIL ASA	125,000	170,841	160,270	9/23/2027	7.25%	
STATOIL ASA	138,000	159,616	145,633	8/17/2040	5.10%	
STATOIL ASA	210,000	215,248	197,858	11/23/2041	4.25%	
STATOIL ASA	820,000	801,402	741,247	5/15/2043	3.95%	
STATOIL ASA	830,000	943,669	841,803	11/8/2043	4.80%	
STATOIL ASA	530,000	528,940	527,297	11/9/2017	VAR	
STUDIO CITY FINANCE LTD 144A	500,000	516,250	482,500	12/1/2020	8.50%	500,000
SUNCOR ENERGY INC	185,000	216,112	185,361	12/1/2034	5.95%	
SUNCOR ENERGY INC	283,000	348,161	302,804	6/15/2038	6.50%	
SUNOCO LOGISTICS PARTNERS OPER	167,000	160,029	119,430	1/15/2043	4.95%	
SUNOCO LP / SUNOCO FINANC 144A	250,000	250,000	235,000	4/1/2023	6.38%	
SUNOCO LP / SUNOCO FINANC 144A	553,000	553,000	523,968	8/1/2020	5.50%	
SUNTRUST AUTO RECEI 1A A2 144A	290,000	289,994	289,835	6/15/2018	0.99%	
SUNTRUST BANKS INC	150,000	175,163	166,199	2/15/2026	6.00%	
SVENSKA HDLSBK AB DISC	900,000	897,241	897,241	5/20/2016		
SWEDBANK (FOR AB) DISC	1,100,000	1,096,941	1,096,941	5/16/2016		
SYSCO CORP	111,000	110,912	115,483	10/1/2045	4.85%	111,000
TARGA RESOURCES PARTNERS 144A	220,000	220,000	203,500	1/15/2018	5.00%	
TARGA RESOURCES PARTNERS 144A	175,000	175,000	149,188	3/15/2024	6.75%	
TARGET CORP	329,000	449,315	424,357	10/15/2037	6.50%	
TARGET CORP	340,000	352,978	352,808	7/1/2024	3.50%	
TCI COMMUNICATIONS INC	50,000	69,741	67,575	2/15/2026	7.88%	
TCI COMMUNICATIONS INC	435,000	585,166	562,529	2/15/2028	7.13%	
TEACHERS INSURANCE & ANNU 144A	880,000	980,628	888,879	9/15/2044	4.90%	
TECK RESOURCES LTD	148,000	93,425	62,160	8/15/2040	6.00%	
TECK RESOURCES LTD	344,000	276,508	151,360	7/15/2041	6.25%	
TECK RESOURCES LTD	97,000	77,236	44,863	2/1/2023	3.75%	97,000
TEKNI-PLEX 4/15 (USD) COV-LITE	497,500	495,013	488,794		TL	
TELEFONICA EMISIONES SAU	375,000	493,305	450,656	6/20/2036	7.05%	
TELEFONICA EUROPE BV	355,000	493,478	464,152	9/15/2030	8.25%	
TEMPUR SEALY INTERNATIONAL 144A	155,000	155,000	156,550	10/15/2023	5.63%	
TEMPUR SEALY INTERNATIONAL INC	147,000	156,188	153,983	12/15/2020	6.88%	
TENET HEALTHCARE CORP	500,000	558,750	498,750	4/1/2022	8.13%	
TENET HEALTHCARE CORP	153,000	156,443	144,203	3/1/2019	5.50%	
TENET HEALTHCARE CORP	143,000	143,330	132,633	6/15/2023	6.75%	143,000
TENNESSEE VALLEY AUTH BD	655,000	893,472	841,577	4/1/2036	5.88%	
TENNESSEE VALLEY AUTH BD	250,000	333,203	309,438	6/15/2038	5.50%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,430,931	1,350,936	9/15/2039	5.25%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
TENNESSEE VALLEY AUTH 8D	336,000	\$ 381,726	\$ 351,248	9/15/2060	4.63%	
TENNESSEE VALLEY AUTH STRIP	2,000,000	1,436,680	1,469,520	11/1/2025		1,954,486
TENNESSEE VALLEY AUTH STRIP	500,000	251,805	231,320	6/15/2035	0.00%	
TERRAFORM GLOBAL OPERATIN 144A	439,000	432,525	350,103	8/15/2022	9.75%	15,000
TEVA PHARMACEUTICAL FINANCE CO	6,000	7,422	6,560	2/1/2036	6.15%	6,000
TEXAS EASTERN TRANSMISSION LP	90,000	120,829	98,841	7/15/2032	7.00%	
TEXAS HEALTH RESOURCES	140,000	140,000	134,035	11/15/2055	4.33%	
THERMASYS (4/12) TL	480,769	471,154	417,067			
THERMO FISHER SCIENTIFIC INC	112,000	118,114	116,332	2/1/2024	4.15%	
THERMO FISHER SCIENTIFIC INC	92,000	105,662	98,304	2/1/2044	5.30%	
THOMSON REUTERS CORP	125,000	145,190	131,599	4/15/2040	5.85%	
THOMSON REUTERS CORP	95,000	95,063	82,627	5/23/2043	4.50%	
THUNDER BAY FDG DISC	500,000	498,768	498,768	3/7/2016		
TIME WARNER CABLE INC	425,000	586,011	460,768	7/1/2038	7.30%	
TIME WARNER ENTERTAINMENT CO L	300,000	362,529	353,913	7/15/2033	8.38%	
TIME WARNER INC	870,000	1,212,893	1,076,495	4/15/2031	7.63%	
TIME WARNER INC	1,060,000	1,497,610	1,323,050	5/1/2032	7.70%	
TIME WARNER INC	15,000	19,083	16,940	11/15/2036	6.50%	
TIME WARNER INC	9,000	11,176	9,995	3/29/2041	6.25%	
TIME WARNER INC	22,000	24,845	22,507	10/15/2041	5.38%	
TJX COS INC/THE	1,115,000	1,069,731	1,075,596	5/15/2023	2.50%	
T-MOBILE USA INC	305,000	313,006	316,438	4/28/2021	6.63%	
T-MOBILE USA INC	345,000	356,213	359,663	4/28/2020	6.54%	
T-MOBILE USA INC	476,000	492,406	496,230	4/28/2022	6.73%	
TOLEDO EDISON CO/THE	85,000	105,390	95,679	5/15/2037	6.15%	
TORONTO-DOMINION BANK/THE	315,000	314,194	314,294	5/2/2017	VAR	
TOSCO CORP	100,000	137,129	122,150	1/1/2027	7.80%	
TOSCO CORP	30,000	43,646	37,506	2/15/2030	8.13%	
TOTAL CAPITAL INTERNATIONAL SA	2,102,000	2,176,495	2,130,461	1/15/2024	3.70%	
TOYOTA AUTO RECEIVABLES 2 A A2	144,625	144,623	144,503	7/17/2017	0.71%	
TOYOTA MOTOR CREDIT CORP	540,000	545,211	543,056	10/24/2018	2.00%	540,000
TOYOTA MOTOR CREDIT CORP	330,000	329,135	328,832	5/16/2017	VAR	
TRANSCANADA PIPELINES LTD	1,510,000	2,075,102	1,850,973	1/15/2039	7.63%	
TRANSCANADA PIPELINES LTD	2,245,000	2,617,423	2,321,712	6/1/2040	6.10%	
TRANSCANADA PIPELINES LTD	310,000	354,067	318,866	3/15/2036	5.85%	
TRANSCANADA PIPELINES LTD	180,000	180,851	179,689	6/30/2016	VAR	
TRANSCANADA PIPELINES LTD	1,205,000	1,262,491	1,108,214	10/16/2043	5.00%	1,205,000
TRANSOCEAN INC	290,000	267,603	165,300	4/15/2031	7.50%	
TRANSOCEAN INC	62,000	58,464	42,780	11/15/2020	6.50%	62,000
TRANSOCEAN INC	12,000	10,812	6,840	12/15/1941	VAR	
TRANSOCEAN INC	553,000	474,170	357,376	12/15/2021	VAR	153,000
TRAVELERS COS INC/THE	785,000	881,249	824,909	8/1/2043	4.60%	785,000
TRAVELERS PROPERTY CASUALTY CO	200,000	270,260	261,850	4/15/2026	7.75%	
TRINITY ACQUISITION PLC	690,000	790,595	737,375	8/15/2043	6.13%	
TRIUMPH GROUP INC	262,000	258,746	210,910	6/1/2022	5.25%	
TRONOX FINANCE LLC 144A	300,000	300,000	174,000	3/15/2022	7.50%	
TYSON FOODS INC	43,000	47,170	43,887	8/15/2034	4.88%	
U S TREASURY BD CPN STRIP	6,450,000	4,139,804	4,135,805	8/15/2031	0.00%	
U S TREASURY BD CPN STRIP	3,295,000	2,090,798	2,076,147	2/15/2032	0.00%	
U S TREASURY BD CPN STRIP	500,000	311,220	309,725	8/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	244,880	243,104	2/15/2033	0.00%	
U S TREASURY BD CPN STRIP	2,300,000	1,389,637	1,372,042	8/15/2033	0.00%	
U S TREASURY BD CPN STRIP	800,000	469,632	459,296	8/15/2034	0.00%	
U S TREASURY BD CPN STRIP	1,350,000	886,883	887,855	11/15/2030	0.00%	
U S TREASURY BD CPN STRIP	3,735,000	2,417,143	2,418,151	5/15/2031	0.00%	
U S TREASURY BD CPN STRIP	700,000	445,949	445,438	11/15/2031	0.00%	
U S TREASURY BD CPN STRIP	1,150,000	721,418	717,554	5/15/2032	0.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
U S TREASURY BD CPN STRIP	5,850,000	\$ 3,612,902	\$ 3,582,131	11/15/2032	0.00%	
U S TREASURY BD CPN STRIP	800,000	479,896	472,392	11/15/2033	0.00%	
U S TREASURY BD CPN STRIP	1,150,000	670,232	654,511	11/15/2034	0.00%	234,000
U S TREASURY BD CPN STRIP	300,000	211,191	213,933	8/15/2028	0.00%	
U S TREASURY BD CPN STRIP	800,000	459,632	447,752	5/15/2035	0.00%	
U S TREASURY BD CPN STRIP	200,000	113,636	109,960	11/15/2035	0.00%	
U S TREASURY BD CPN STRIP	100,000	68,285	68,923	8/15/2029	0.00%	
U S TREASURY BD CPN STRIP	100,000	66,148	66,392	8/15/2030	0.00%	
U S TREASURY BD CPN STRIP	675,000	440,350	441,842	2/15/2031	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	560,120	542,830	5/15/2036	0.00%	1,000,000
U S TREASURY BD PRIN STRIP	250,000	132,460	124,230	8/15/2039	0.00%	
U S TREASURY BILL	19,000,000	18,999,889	18,999,889	1/7/2016	0.00%	
U S TREASURY BILL	215,000	214,867	214,867	3/31/2016	0.00%	
U S TREASURY BILL	300,000	299,749	299,749	2/11/2016	0.00%	
U S TREASURY BOND	5,085,000	7,160,943	6,740,015	2/15/2037	4.75%	
U S TREASURY BOND	1,225,000	1,670,536	1,678,777	5/15/2037	5.00%	1,225,000
U S TREASURY BOND	2,675,000	3,564,224	3,434,647	5/15/2038	4.50%	2,142,723
U S TREASURY BOND	3,680,000	4,745,642	4,627,158	11/15/2039	4.38%	
U S TREASURY BOND	7,840,000	7,832,003	7,475,283	11/15/2042	2.75%	
U S TREASURY BOND	670,000	805,414	771,706	11/15/2043	3.75%	670,000
U S TREASURY BOND	16,395,000	17,179,553	16,755,526	8/15/2044	3.13%	1,990,000
U S TREASURY BOND	2,575,000	2,817,447	2,565,653	11/15/2044	3.00%	170,000
U S TREASURY NOTE	12,615,000	12,601,695	12,596,708	3/15/2017	0.75%	
U S TREASURY NOTE	475,000	462,012	464,332	2/15/2025	2.00%	475,000
U S TREASURY NOTE	12,020,000	12,051,869	12,004,013	11/15/2016	0.63%	
ULTRA PETROLEUM CORP 144A	149,000	122,656	33,898	10/1/2024	6.13%	
UNION CARBIDE CORP	105,000	135,908	124,879	6/1/2025	7.50%	
UNION CARBIDE CORP	480,000	634,373	573,734	10/1/2096	7.75%	
UNION ELECTRIC CO	350,000	353,217	333,291	9/15/2042	3.90%	
UNION PACIFIC CORP	52,000	55,264	51,981	6/15/2042	4.30%	
UNION PACIFIC CORP	605,000	690,735	657,811	2/1/2044	4.82%	
UNION PACIFIC CORP	770,000	883,691	835,635	6/15/2044	4.85%	
UNION PACIFIC CORP	1,280,000	1,334,042	1,270,042	1/15/2045	4.15%	
UNITED AIRLINES 2013-1 CLASS A	204,677	212,202	211,841	2/15/2027	4.30%	
UNITED AIRLINES 2014-1 CLASS B	203,748	201,710	203,493	10/11/2023	4.75%	
UNITED CONTINENTAL HOLDINGS IN	500,000	528,750	520,310	6/1/2018	6.38%	
UNITED OVERSEAS DISC	450,000	448,981	448,981	2/24/2016		
UNITED PARCEL SERVICE INC	90,000	119,685	115,439	1/15/2038	6.20%	
UNITED PARCEL SERVICE OF AMERI	90,000	134,631	126,265	4/1/2030	STEP	
UNITED RENTALS NORTH AMERICA I	1,000,000	1,099,500	1,068,700	4/15/2022	7.63%	597,000
UNITED TECHNOLOGIES CORP	120,000	171,420	162,276	9/15/2029	7.50%	
UNITED TECHNOLOGIES CORP	1,850,000	2,238,130	2,056,442	5/1/2035	5.40%	
UNITED TECHNOLOGIES CORP	425,000	560,630	517,829	7/15/2038	6.13%	
UNITED TECHNOLOGIES CORP	595,000	746,576	697,441	4/15/2040	5.70%	
UNITED TECHNOLOGIES CORP	1,705,000	1,856,387	1,717,003	6/1/2042	4.50%	
UNITED TECHNOLOGIES CORP	4,200,000	4,285,386	4,274,550	6/1/2022	3.10%	1,210,000
UNITEDHEALTH GROUP INC	155,000	211,688	197,589	11/15/2037	6.63%	
UNITEDHEALTH GROUP INC	875,000	1,227,844	1,144,404	2/15/2038	6.88%	
UNITEDHEALTH GROUP INC	1,500,000	1,952,085	1,783,905	2/15/2041	5.95%	
UNITEDHEALTH GROUP INC	117,000	125,938	118,750	11/15/2041	4.63%	
UNITEDHEALTH GROUP INC	112,000	111,329	104,245	10/15/2042	3.95%	
UNITEDHEALTH GROUP INC	1,935,000	2,028,596	1,879,040	3/15/2043	4.25%	
UNITEDHEALTH GROUP INC	280,000	279,966	290,674	7/15/2035	4.63%	
UNITYMEDIA GMBH 144A	225,000	232,313	222,334	1/15/2025	6.13%	
UNIVISION COMMUNICATIONS 144A	1,150,000	1,219,133	1,191,688	9/15/2022	6.75%	
UPCB FINANCE IV LTD 144A	200,000	191,500	188,500	1/15/2025	5.38%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
US BANCORP	345,000	\$ 362,671	\$ 361,274	1/30/2024	3.70%	
US BANCORP	220,000	216,869	218,733	7/15/2022	2.95%	1,000
US BANK NA/CINCINNATI OH	770,000	770,293	769,654	1/30/2017	VAR	
US TREAS-CPI INFLAT	543,040	708,737	642,965	2/15/2041	2.13%	
US TREAS-CPI INFLAT	263,145	255,694	231,178	2/15/2042	0.75%	
VALEANT 3/15 F1 TLB	397,000	395,015	381,021			
VALEANT PHARMACEUTICALS I 144A	296,000	309,320	285,640	10/15/2020	6.38%	
VALEANT PHARMACEUTICALS I 144A	185,000	195,175	184,538	10/1/2020	7.00%	
VALEANT PHARMACEUTICALS I 144A	455,000	491,400	453,863	7/15/2021	7.50%	455,000
VALERO ENERGY CORP	75,000	118,515	99,779	3/15/2039	10.50%	
VENTAS REALTY LP	72,000	72,475	70,555	5/1/2024	3.75%	
VENTAS REALTY LP	24,000	23,880	21,984	2/1/2045	4.38%	
VENTAS REALTY LP	690,000	684,604	687,820	1/15/2026	4.13%	202,000
VERIZON COMMUNICATIONS INC	375,000	441,986	405,068	4/1/2041	6.00%	
VERIZON COMMUNICATIONS INC	2,155,000	2,213,681	2,040,203	8/21/2046	4.86%	
VERIZON COMMUNICATIONS INC	2,188,000	2,023,014	1,956,728	9/15/2048	4.52%	
VERIZON COMMUNICATIONS INC	1,082,000	1,062,557	939,392	3/15/2055	4.67%	
VERIZON NEW JERSEY INC	700,000	919,401	840,077	11/15/2029	7.85%	
VERIZON PENNSYLVANIA LLC	120,000	164,831	143,329	12/15/2030	8.35%	
VIACOM INC	20,000	19,498	14,998	2/27/2042	4.50%	
VIACOM INC	518,000	476,974	379,445	3/15/2043	4.38%	
VICTORY RECVBLS DISC	1,000,000	999,510	999,510	1/21/2016		
VIKING CRUISES LTD 144A	230,000	248,975	217,925	10/15/2022	8.50%	
VIKING CRUISES LTD 144A	199,000	199,000	163,180	5/15/2025	6.25%	
VIRGIN MEDIA FINANCE PLC 144A	300,000	314,250	304,500	4/15/2023	6.38%	
VIRGIN MEDIA FINANCE PLC 144A	500,000	466,250	481,250	1/15/2025	5.75%	
VIRGIN MEDIA SECURED FINA 144A	325,000	313,625	316,063	1/15/2026	5.25%	
VIRGINIA ELECTRIC & POWER CO	423,000	557,315	518,412	5/15/2037	6.00%	
VIRGINIA ELECTRIC & POWER CO	715,000	1,197,582	1,123,773	11/15/2038	8.88%	
VIRGINIA ELECTRIC & POWER CO	1,525,000	1,708,183	1,612,322	8/15/2043	4.65%	1,525,000
VIRGINIA ELECTRIC & POWER CO	32,000	32,949	32,669	2/15/2024	3.45%	
VIRGINIA ELECTRIC & POWER CO	962,000	1,050,802	989,446	2/15/2044	4.45%	
VISA INC	285,000	284,849	284,681	12/14/2017	1.20%	285,000
VISA INC	500,000	499,325	504,790	12/14/2035	4.15%	
VISA INC	2,010,000	2,006,643	2,039,266	12/14/2045	4.30%	
VODAFONE GROUP PLC	395,000	476,619	389,786	2/27/2037	6.15%	
WACHOVIA CORP	335,000	442,354	423,661	8/1/2026	STEP	
WALGREEN CO	72,000	71,932	61,464	9/15/2042	4.40%	
WALGREENS BOOTS ALLIANCE INC	110,000	114,554	100,452	11/18/2034	4.50%	
WALGREENS BOOTS ALLIANCE INC	190,000	186,802	171,872	11/18/2044	4.80%	
WAL-MART STORES INC	520,000	765,804	733,091	2/15/2030	7.55%	
WAL-MART STORES INC	100,000	120,286	113,908	9/1/2035	5.25%	
WAL-MART STORES INC	370,000	416,150	404,281	2/15/2018	5.80%	
WAL-MART STORES INC	2,725,000	3,749,655	3,519,746	8/15/2037	6.50%	
WAL-MART STORES INC	4,415,000	5,913,098	5,536,057	4/15/2038	6.20%	
WAL-MART STORES INC	37,000	43,712	40,702	10/25/2040	5.00%	
WAL-MART STORES INC	1,300,000	1,359,800	1,370,018	10/25/2020	3.25%	
WAL-MART STORES INC	575,000	734,258	683,997	4/15/2041	5.63%	
WAL-MART STORES INC	5,000,000	5,187,400	4,855,450	4/11/2043	4.00%	
WALT DISNEY CO/THE	67,000	65,903	62,404	12/1/2042	3.70%	
WALT DISNEY CO/THE	1,048,000	1,099,279	1,068,813	6/1/2044	4.13%	1,048,000
WASTE MANAGEMENT INC	36,000	35,940	33,523	3/1/2035	3.90%	
WASTE MANAGEMENT INC	150,000	149,691	139,505	3/1/2045	4.10%	
WASTEQUIP 8/13 TL	492,424	487,091	483,807			
WEA FINANCE LLC / WESTFIE 144A	810,000	863,849	793,630	9/17/2044	4.75%	
WEATHERFORD INTERNATIONAL LLC	163,000	119,930	114,100	6/15/2037	6.80%	
WEATHERFORD INTERNATIONAL LTD/	90,000	112,235	77,850	3/1/2039	9.88%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
WEATHERFORD INTERNATIONAL LTD/	151,000	\$ 122,511	\$ 106,455	9/15/2040	6.75%	
WEATHERFORD INTERNATIONAL LTD/	50,000	42,337	35,125	4/15/2042	5.95%	50,000
WELLS FARGO & CO	100,000	119,853	114,512	2/7/2035	5.38%	92,000
WELLS FARGO & CO	284,000	334,328	315,496	1/15/2044	5.61%	
WELLS FARGO & CO	1,480,000	1,646,737	1,613,392	4/1/2021	4.60%	
WELLS FARGO & CO	1,900,000	1,983,467	1,957,665	3/8/2022	3.50%	
WELLS FARGO & CO	2,885,000	3,028,038	2,996,275	8/15/2023	4.13%	60,000
WELLS FARGO & CO	3,497,000	3,978,572	3,742,664	11/2/2043	5.38%	3,497,000
WELLS FARGO & CO	784,000	801,295	791,362	6/3/2026	4.10%	
WELLS FARGO & CO	340,000	342,128	338,239	9/9/2024	3.30%	
WELLS FARGO & CO	285,000	294,080	277,271	11/4/2044	4.65%	
WELLS FARGO & CO	100,000	92,595	92,210	5/1/2045	3.90%	
WELLS FARGO & CO	1,217,000	1,212,375	1,243,202	7/22/2027	4.30%	
WELLS FARGO & CO	455,000	454,204	459,095	9/29/2025	3.55%	455,000
WELLS FARGO & CO	849,000	842,531	856,573	11/17/2045	4.90%	
WELLS FARGO BANK NA	1,500,000	2,077,485	1,936,005	1/15/2038	6.60%	
WESTPAC BANKING CORP	650,000	647,550	647,179	12/1/2017	VAR	
WHEELS SPV 2 LLC 1A A2 144A	159,192	159,206	158,461	3/20/2023	0.84%	
WHITING PETROLEUM CORP	336,000	311,640	244,944	3/15/2021	5.75%	336,000
WIND ACQUISITION FINANCE 144A	950,000	896,610	897,750	4/23/2021	7.38%	
WINDSTREAM SERVICES LLC	569,000	563,847	447,735	10/1/2021	7.75%	569,000
WISCONSIN POWER & LIGHT CO	75,000	104,041	95,552	8/15/2037	6.38%	
WISCONSIN PUBLIC SERVICE CORP	1,125,000	1,311,593	1,220,006	11/1/2044	4.75%	
WISE METALS GROUP LLC / W 144A	181,000	170,125	137,108	12/15/2018	8.75%	
WPX ENERGY INC	320,000	321,361	256,000	8/1/2023	8.25%	15,200
WTG HOLDINGS 12/13 COV-LITE TL	980,000	959,175	959,175			
WW GRAINGER INC	120,000	119,903	125,641	6/15/2045	4.60%	
WYETH LLC	60,000	76,960	71,342	4/1/2037	5.95%	
XCEL ENERGY INC	11,000	12,269	11,052	9/15/2041	4.80%	
XERIUM 5/13 COV-LITE TL	493,681	491,213	489,771			
XEROX CORP	100,000	121,911	96,219	12/15/2039	6.75%	
XTO ENERGY INC	100,000	146,678	137,335	8/1/2037	6.75%	
ZEBRA TECHNOLOGIES CORP	227,000	238,350	237,215	10/15/2022	7.25%	88,000
ZIMMER BIOMET HOLDINGS INC	505,000	501,677	470,791	8/15/2035	4.25%	
ZIMMER BIOMET HOLDINGS INC	845,000	838,206	777,079	8/15/2045	4.45%	845,000
ZOETIS INC	87,000	88,547	75,946	2/1/2043	4.70%	
TOTAL U.S. GOV'T SECURITIES						
AND CORPORATE DEBT		<u>920,756,863</u>	<u>874,851,642</u>			
COMMON/COLLECTIVE TRUSTS						
20+YEAR US HIGH QUALITY BOND	13	170,819,841	221,911,626			
5-20YEAR US HIGH QUALITY	12	71,104,720	80,480,892			
JPMCB EXTENDED DURATION FUND	20	10,910,685	17,195,129			
SIGMA ERISA LIQUIDATING POOL		1,386,022	-			
SSGA LONG US TREASURY INDEX NL	22	24,825,890	33,581,179			
TOTAL COMMON/COLLECTIVE TRUSTS		<u>279,047,158</u>	<u>353,168,826</u>			
OTHER INVESTMENTS						
AMERICAN MUNI PWR-OHIO INC OH	134	356,638	320,604	2/15/2050	7.50%	
BOA CAT 1 REPO REPO	100	4,094,987	4,094,987	1/4/2016	0.29%	
BZW CAT 1 REPO REPO	100	345,266	345,266	1/4/2016	0.32%	
CALIFORNIA ST	140	484,222	461,096	10/1/2039	7.30%	
CITIBANK CAT 1 REPO	100	15,608,988	15,608,988	01/04/2016	0.32%	
CREDIT AGRICOLE 1 REPO	100	3,336,601	3,336,601	01/04/2016	0.33%	
DIST OF COLUMBIA WTR & SWR AUT	99	104,638	90,143	10/1/2040	4.81%	
LOS ANGELES CA DEPT OF ARPTS	128	137,918	134,478	5/15/2039	6.58%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Par Value Restricted Under Lending Agreement
OTHER INVESTMENTS						
MEXICO GOVERNMENT INTERNATIONAL	93	\$ 612,750	\$ 531,525	10/12/2039	5.75%	
MEXICO GOVERNMENT INTERNATIONAL	91	281,475	245,970	3/8/2044	4.75%	
MEXICO GOVERNMENT INTERNATIONAL	103	411,525	362,850	1/21/2045	5.55%	
NEW YORK ST DORM AUTH ST PERSO	117	89,585	87,522	3/15/2033	5.29%	
NEW YORK ST DORM AUTH ST PERSO	119	203,434	190,155	3/15/2040	5.39%	
OHIO ST UNIV	96	664,579	595,845	6/1/2039	4.80%	
OHIO UNIV GEN RECPTS ATHENS	106	324,033	318,096	10/1/2114	5.59%	
POLAND GOVERNMENT INTERNATIONAL	105	114,615	113,616	1/22/2024	4.00%	
PORT AUTH OF NEW YORK & NEW JE	120	120,255	114,169	12/1/2029	6.04%	
PORT AUTH OF NEW YORK & NEW JE	118	435,280	420,068	11/1/2040	5.65%	
PORT AUTH OF NEW YORK & NEW JE	118	30,522	29,582	11/1/2040	5.65%	
PORT AUTH OF NEW YORK & NEW JE	95	116,757	104,738	10/1/2062	4.46%	
PORT AUTH OF NEW YORK & NEW JE	108	718,826	685,921	8/1/2046	4.96%	
PROVINCE OF QUEBEC CANADA	125	218,421	212,231	1/30/2026	6.35%	
RBS PLC CAT 1 REPO	100	21,770,155	21,770,155	1/4/2016	0.30%	
SOCIETE GENERALE REPO	100	29,557,596	29,557,596	1/4/2016	0.32%	
SOUTH AFRICA GOVERNMENT INTERN	90	379,194	323,100	7/24/2044	5.38%	359,000
UNIV OF CALIFORNIA CA REVENUES	94	95,992	89,181	5/15/2115	4.77%	
UNIV OF CALIFORNIA CA REVENUES	96	88,556	81,310	5/15/2039	4.86%	
US 5YR TREAS NTS FUTURE (CBT)	118	-	3,281	EXP MAR 16		
US ULTRA BOND (CBT)	159	-	93,078	EXP MAR 16		
TOTAL OTHER INVESTMENTS		80,702,808	80,322,152			
INTEREST BEARING CASH						
BANK OF NOVA SCOTIA INSTL C/D		521,542	520,630	6/20/2016	VAR	
BANK TOKYO-MITSUB TD		24,888,614	24,888,614	1/4/2016	0.10%	
BNY MELLON CASH RESERVE		141,602	141,602	12/31/2049	0.01%	
CAD (CANADIAN DOLLARS)		21,943	21,730			
CALYON BANK TD		14,112,575	14,112,575	1/4/2016	0.25%	
CHF (SWISS FRANC)		(24)	(23)			
DNB NOR BANK ASA TD		15,358,275	15,358,275	1/4/2016	0.10%	90,270,136
EUR (EURO)		(1)	(1)			
EUR (EURO)		170	170			
GBP (GREAT BRITISH POUNDS)		21	21			
LANDESBANK BADEN TD		30,189,683	30,189,683	1/4/2016	0.17%	
LANDESBANK HSN-TH TD		16,126,189	16,126,189	1/4/2016	0.17%	
MXN (MEXICAN PESO)		17,555	17,178			
NORDEA BANK FINLAND NY		600,000	599,819	10/27/2016	VAR	
SEK (SWEDISH KRONA)		1	1			
TOTAL INTEREST BEARING CASH		101,978,145	101,976,463			
INSURANCE COMPANY GENERAL						
PRUDENTIAL IPG GR2385 GENERAL ACCOUNT		1,886,994	1,886,994			
PRUDENTIAL IPG GR1835 GENERAL ACCOUNT		957,688	957,688			
TOTAL INSURANCE COMPANY GENERAL		2,844,682	2,844,682			
TOTAL ASSETS HELD FOR INVESTMENT PURPOSE		\$2,714,935,218	\$2,892,142,799			

*Party-in-interest.

See accompanying Independent Auditor's Report

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
ACADIA HEALTHCARE CO INC 144A	ACADIA HEALTHCARE CO INC 144A 5.625% 02/15/2023 DD 02/11/15	\$(15,000)	\$15,188
ALERE	ALERE (6/11) TLB	(999,219)	996,727
ALPINE SECR CORP DISC	ALPINE SECR CORP DISC 09/01/2015	(1,199,624)	1,199,624
ALTICE FINCO SA 144A	ALTICE FINCO SA 144A 7.625% 02/15/2025 DD 02/04/15	(200,000)	202,000
ALTICE SA	ALTICE SA 7.625% 02/15/2025 DD 02/04/15	(200,000)	202,500
ARGOS MERGER SUB INC 144A	ARGOS MERGER SUB INC 144A 7.125% 03/15/2023 DD 03/04/15	(102,000)	104,040
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 09/11/2015	(1,099,550)	1,099,557
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 04/20/2015	(424,949)	424,949
AVIS BUDGET CAR RENTAL LLC / A	AVIS BUDGET CAR RENTAL LLC / A 5.500% 04/01/2023 DD 04/03/13	(167,370)	172,830
BANCO ABC BRASIL SA	BANCO ABC BRASIL SA	-	1,105
BANCO ABC BRASIL SA PRF NPV	BANCO ABC BRASIL SA PRF NPV (S/R 02-FEB-2015)	-	746

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BANK OF AMERICA CORP	BANK OF AMERICA CORP VAR RT 12/29/2049 DD 03/17/15	\$(100,000)	\$100,375
BANK OF NOVA SCOTIA DISC	BANK OF NOVA SCOTIA DISC 05/14/2015	(634,654)	634,654
BANK OF NOVA SCOTIA/THE	BANK OF NOVA SCOTIA/THE VAR RT 12/13/2016 DD 12/13/13	(326,336)	326,053
BARCLAYS BANK PLC REPO	BARCLAYS BANK PLC REPO 0.060% 03/10/2015 DD 03/09/15	(306,718)	306,718
BASF AKTIENGESELL DISC	BASF AKTIENGESELL DISC 05/03/2016	(994,531)	994,531
BASF AKTIENGESELL DISC	BASF AKTIENGESELL DISC 09/28/2015	(1,498,783)	1,498,783
BERRY PLASTICS CORP 144A	BERRY PLASTICS CORP 144A 6.000% 10/15/2022 DD 10/01/15	(31,000)	31,310
BMW US CAP LLC DISC	BMW US CAP LLC DISC 09/14/2015	(899,685)	899,790
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(1,618)	1,618
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(3,168)	3,168
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(3,885)	3,885

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 06/03/2015 DD 06/02/15	\$(80,205)	\$80,205
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(174,989)	174,989
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 07/14/2015 DD 07/13/15	(3,791)	3,791
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/13/2015 DD 11/12/15	(83,487)	83,487
BNP PARIBAS DISC	BNP PARIBAS DISC 11/06/2015	(1,097,933)	1,097,933
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 01/15/2015 DD 01/14/15	(10,065)	10,065
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 01/16/2015 DD 01/15/15	(16,053)	16,053
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 02/09/2015 DD 02/06/15	(11,553)	11,553
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(14,494)	14,494
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.120% 10/01/2015 DD 09/30/15	(254,970)	254,970
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/25/2015 DD 02/24/15	(11,824)	11,824

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/26/2015 DD 02/25/15	\$(17,581)	\$17,581
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/27/2015 DD 02/26/15	(12,460)	12,460
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/09/2015 DD 03/06/15	(10,991)	10,991
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 03/03/2015 DD 03/02/15	(11,472)	11,472
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/06/2015 DD 03/05/15	(12,131)	12,131
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(13,270)	13,270
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 03/13/2015 DD 03/12/15	(15,945)	15,945
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/16/2015 DD 03/13/15	(11,040)	11,040
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(13,575)	13,575
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(11,283)	11,283
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 03/17/2015 DD 03/16/15	(16,173)	16,173

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 06/09/2015 DD 06/08/15	\$(154,876)	\$154,876
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 07/10/2015 DD 07/09/15	(106,170)	106,170
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(445,682)	445,682
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/07/2015 DD 10/06/15	(1,059,594)	1,059,594
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/08/2015 DD 10/07/15	(577,614)	577,614
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/09/2015 DD 10/08/15	(446,390)	446,390
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/19/2015 DD 10/16/15	(266,882)	266,882
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 10/14/2015 DD 10/13/15	(377,810)	377,810
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/15/2015 DD 10/14/15	(353,500)	353,500
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/16/2015 DD 10/15/15	(188,769)	188,769
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 10/20/2015 DD 10/19/15	(224,118)	224,118

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/22/2015 DD 10/21/15	\$(111,464)	\$111,464
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 10/23/2015 DD 10/22/15	(226,012)	226,012
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 10/26/2015 DD 10/23/15	(208,805)	208,805
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 10/29/2015 DD 10/28/15	(330,335)	330,335
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 11/04/2015 DD 11/03/15	(177,745)	177,745
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 11/09/2015 DD 11/06/15	(173,433)	173,433
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 11/10/2015 DD 11/09/15	(128,657)	128,657
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 12/08/2015 DD 12/07/15	(48,037)	48,037
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(13,108)	13,108
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 01/09/2015 DD 01/08/15	(7,154)	7,154
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 01/14/2015 DD 01/13/15	(10,116)	10,116

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 01/15/2015 DD 01/14/15	\$(11,767)	\$11,767
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 01/15/2015 DD 01/14/15	(15,543)	15,543
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(22,170)	22,170
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 02/10/2015 DD 02/09/15	(35,329)	35,329
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/05/2015 DD 08/04/15	(194,546)	194,546
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/07/2015 DD 08/06/15	(175,056)	175,056
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 08/13/2015 DD 08/12/15	(124,096)	124,096
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 08/17/2015 DD 08/14/15	(180,495)	180,495
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 08/18/2015 DD 08/17/15	(158,440)	158,440
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 08/20/2015 DD 08/19/15	(130,956)	130,956
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 08/24/2015 DD 08/21/15	(105,480)	105,480

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/25/2015 DD 08/24/15	\$(54,888)	\$54,888
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/26/2015 DD 08/25/15	(144,289)	144,289
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 09/02/2015 DD 09/01/15	(175,885)	175,885
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 09/11/2015 DD 09/10/15	(134,935)	134,935
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 09/14/2015 DD 09/11/15	(67,495)	67,495
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 09/17/2015 DD 09/16/15	(102,863)	102,863
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/21/2015 DD 09/18/15	(116,574)	116,574
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/24/2015 DD 09/23/15	(71,190)	71,190
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 09/28/2015 DD 09/25/15	(117,540)	117,540
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/30/2015 DD 09/29/15	(31,052)	31,052
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/02/2015 DD 10/01/15	(170,821)	170,821

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/25/2015 DD 02/24/15	\$(26,544)	\$26,544
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/26/2015 DD 02/25/15	(69,504)	69,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/27/2015 DD 02/26/15	(87,959)	87,959
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/06/2015 DD 03/05/15	(11,186)	11,186
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/09/2015 DD 03/06/15	(16,417)	16,417
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/03/2015 DD 03/02/15	(19,797)	19,797
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 03/03/2015 DD 03/02/15	(32,784)	32,784
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/04/2015 DD 03/03/15	(14,755)	14,755
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/05/2015 DD 03/04/15	(39,782)	39,782
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/06/2015 DD 03/05/15	(17,192)	17,192
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(23,365)	23,365

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/13/2015 DD 03/12/15	\$(32,463)	\$32,463
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/16/2015 DD 03/13/15	(55,599)	55,599
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(24,257)	24,257
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(20,644)	20,644
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/20/2015 DD 03/19/15	(20,714)	20,714
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 03/17/2015 DD 03/16/15	(84,081)	84,081
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 03/18/2015 DD 03/17/15	(27,059)	27,059
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/23/2015 DD 03/20/15	(19,358)	19,358
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 03/23/2015 DD 03/20/15	(28,039)	28,039
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/24/2015 DD 03/23/15	(12,337)	12,337
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 03/25/2015 DD 03/24/15	(17,292)	17,292

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 04/16/2015 DD 04/15/15	\$(49,850)	\$49,850
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 04/23/2015 DD 04/22/15	(152,176)	152,176
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 04/30/2015 DD 04/29/15	(366,943)	366,943
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 05/01/2015 DD 04/30/15	(158,593)	158,593
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/27/2015 DD 04/24/15	(169,373)	169,373
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/28/2015 DD 04/27/15	(342,253)	342,253
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/29/2015 DD 04/28/15	(228,640)	228,640
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/05/2015 DD 05/04/15	(134,943)	134,943
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 05/14/2015 DD 05/13/15	(218,995)	218,995
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/13/2015 DD 05/12/15	(120,852)	120,852
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/21/2015 DD 05/20/15	(154,402)	154,402

ROCKWELL COLLINS PENSION PLAN # 001
**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015**

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 05/15/2015 DD 05/14/15	\$(149,763)	\$149,763
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/19/2015 DD 05/18/15	(121,908)	121,908
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/22/2015 DD 05/21/15	(143,102)	143,102
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/26/2015 DD 05/22/15	(157,417)	157,417
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/04/2015 DD 06/03/15	(148,805)	148,805
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/05/2015 DD 06/04/15	(79,140)	79,140
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/10/2015 DD 06/09/15	(154,382)	154,382
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/29/2015 DD 06/26/15	(165,916)	165,916
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/08/2015 DD 07/07/15	(392,757)	392,757
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/06/2015 DD 07/02/15	(655,690)	655,690
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/07/2015 DD 07/06/15	(1,039,916)	1,039,916

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 07/09/2015 DD 07/08/15	\$(46,208)	\$46,208
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 07/10/2015 DD 07/09/15	(687,366)	687,366
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 07/13/2015 DD 07/10/15	(542,153)	542,153
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 07/14/2015 DD 07/13/15	(257,959)	257,959
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 07/22/2015 DD 07/21/15	(152,429)	152,429
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/21/2015 DD 07/20/15	(162,954)	162,954
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 07/23/2015 DD 07/22/15	(138,195)	138,195
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/24/2015 DD 07/23/15	(102,941)	102,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 07/27/2015 DD 07/24/15	(138,517)	138,517
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/04/2015 DD 08/03/15	(154,837)	154,837
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 07/31/2015 DD 07/30/15	(67,163)	67,163

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	\$(266,608)	\$266,608
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/06/2015 DD 10/05/15	(101,312)	101,312
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/07/2015 DD 10/06/15	(934,504)	934,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/08/2015 DD 10/07/15	(439,720)	439,720
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 10/19/2015 DD 10/16/15	(111,475)	111,475
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(400,085)	400,085
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/14/2015 DD 10/13/15	(286,389)	286,389
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/29/2015 DD 10/28/15	(273,538)	273,538
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/30/2015 DD 10/29/15	(1,187,732)	1,187,732
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/26/2015 DD 10/23/15	(104,883)	104,883
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/27/2015 DD 10/26/15	(1,560,841)	1,560,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(1,318,266)	\$1,318,266
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 11/02/2015 DD 10/30/15	(256,958)	256,958
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/03/2015 DD 11/02/15	(1,591,297)	1,591,297
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/04/2015 DD 11/03/15	(642,788)	642,788
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/12/2015 DD 11/10/15	(119,212)	119,212
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/09/2015 DD 11/06/15	(123,027)	123,027
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/10/2015 DD 11/09/15	(137,444)	137,444
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/25/2015 DD 11/24/15	(95,753)	95,753
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/20/2015 DD 11/19/15	(16,453)	16,453
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/02/2015 DD 12/01/15	(244,200)	244,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/27/2015 DD 11/25/15	(650,873)	650,873

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 11/30/2015 DD 11/27/15	\$(556,878)	\$556,878
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 12/01/2015 DD 11/30/15	(88,358)	88,358
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/03/2015 DD 12/02/15	(234,745)	234,745
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 12/04/2015 DD 12/03/15	(188,920)	188,920
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 12/09/2015 DD 12/08/15	(18,677)	18,677
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 12/11/2015 DD 12/10/15	(72,908)	72,908
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.310% 12/29/2015 DD 12/28/15	(33,643)	33,643
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.300% 12/31/2015 DD 12/30/15	(84,524)	84,524
BZW CAT 4 REPO	BZW CAT 4 REPO 0.060% 02/18/2015 DD 02/17/15	(124,905)	124,905
BZW CAT 4 REPO	BZW CAT 4 REPO 0.030% 02/20/2015 DD 02/19/15	(12,719)	12,719
BZW CAT 4 REPO	BZW CAT 4 REPO 0.090% 09/17/2015 DD 09/16/15	(10,081)	10,081

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 4 REPO	BZW CAT 4 REPO 0.040% 03/11/2015 DD 03/10/15	\$(154,518)	\$154,518
CAFCO LLC DISC	CAFCO LLC DISC 09/22/2015	(1,498,335)	1,498,335
CAFCO LLC DISC	CAFCO LLC DISC 11/05/2015	(998,416)	999,138
CAIXABANK SA	CAIXABANK SA	(3)	3
CARRIZO OIL & GAS INC	CARRIZO OIL & GAS INC 6.250% 04/15/2023 DD 04/28/15	(45,000)	45,675
CBBIB CAPITAL INC DISC	CBBIB CAPITAL INC DISC 06/22/2015	(1,199,608)	1,199,608
CERIDIAN 11/14 TL	CERIDIAN 11/14 TL	(746,250)	745,317
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 01/05/2016	(1,197,466)	1,197,466
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 09/10/2015	(324,540)	324,926
CHARTA LLC DISC	CHARTA LLC DISC 09/01/2015	(799,036)	799,787
CHARTA LLC DISC	CHARTA LLC DISC 09/02/2015	(299,557)	299,510

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CHEMOURS CO	CHEMOURS CO 7.000% 05/15/2025 DD 05/12/15	\$(91,000)	\$91,683
CHEVRON CORP DISC	CHEVRON CORP DISC 03/18/2015	(634,858)	634,858
CHEVRON CORP DISC	CHEVRON CORP DISC 04/13/2015	(499,817)	499,817
CIESCO LLC DISC	CIESCO LLC DISC 12/02/2015	(848,547)	848,741
CITGO 2/15 TLB	CITGO 2/15 TLB	(242,244)	258,351
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 01/14/2015 DD 01/13/15	(14,864)	14,864
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 01/15/2015 DD 01/14/15	(14,296)	14,296
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 02/09/2015 DD 02/06/15	(24,702)	24,702
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 02/10/2015 DD 02/09/15	(29,504)	29,504
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 08/11/2015 DD 08/10/15	(67,287)	67,287
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 08/21/2015 DD 08/20/15	(266,987)	266,987

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.110% 08/19/2015 DD 08/18/15	\$(164,616)	\$164,616
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 08/25/2015 DD 08/24/15	(180,073)	180,073
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 09/09/2015 DD 09/08/15	(208,939)	208,939
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 09/15/2015 DD 09/14/15	(180,178)	180,178
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 09/23/2015 DD 09/22/15	(147,233)	147,233
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 09/25/2015 DD 09/24/15	(145,160)	145,160
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/06/2015 DD 10/05/15	(110,486)	110,486
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/01/2015 DD 09/30/15	(433,491)	433,491
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(29,654)	29,654
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(40,188)	40,188
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(13,454)	13,454

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	\$(11,963)	11,963
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 03/04/2015 DD 03/03/15	(13,043)	13,043
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/05/2015 DD 03/04/15	(17,716)	17,716
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/06/2015 DD 03/05/15	(13,469)	13,469
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/13/2015 DD 03/12/15	(17,383)	17,383
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/16/2015 DD 03/13/15	(17,076)	17,076
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(30,331)	30,331
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(24,203)	24,203
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(18,575)	18,575
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	(30,483)	30,483
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(23,359)	23,359

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/23/2015 DD 03/20/15	\$(14,566)	\$14,566
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(16,343)	16,343
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 05/04/2015 DD 05/01/15	(137,403)	137,403
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 07/29/2015 DD 07/28/15	(71,860)	71,860
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.110% 07/28/2015 DD 07/27/15	(52,108)	52,108
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 07/30/2015 DD 07/29/15	(131,580)	131,580
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/13/2015 DD 10/09/15	(525,540)	525,540
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/07/2015 DD 10/06/15	(1,324,569)	1,324,569
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(773,732)	773,732
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/09/2015 DD 10/08/15	(553,532)	553,532
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/19/2015 DD 10/16/15	(386,666)	386,666

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/14/2015 DD 10/13/15	\$(445,511)	445,511
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 10/15/2015 DD 10/14/15	(409,288)	409,288
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/16/2015 DD 10/15/15	(253,050)	253,050
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(299,892)	299,892
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 10/20/2015 DD 10/19/15	(332,681)	332,681
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/22/2015 DD 10/21/15	(192,047)	192,047
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(313,477)	313,477
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/27/2015 DD 10/26/15	(153,858)	153,858
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 10/29/2015 DD 10/28/15	(409,922)	409,922
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 11/02/2015 DD 10/30/15	(116,374)	116,374
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 11/09/2015 DD 11/06/15	(177,428)	177,428

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/10/2015 DD 11/09/15	\$(137,153)	\$137,153
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/19/2015 DD 11/18/15	(221,674)	221,674
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(80,955)	80,955
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(55,451)	55,451
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/25/2015 DD 11/24/15	(248,461)	248,461
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 11/20/2015 DD 11/19/15	(187,112)	187,112
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	(172,059)	172,059
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 12/02/2015 DD 12/01/15	(177,794)	177,794
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 12/09/2015 DD 12/08/15	(118,824)	118,824
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 12/04/2015 DD 12/03/15	(183,601)	183,601
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 12/07/2015 DD 12/04/15	(150,964)	150,964

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.130% 12/10/2015 DD 12/09/15	\$(158,653)	\$158,653
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 12/11/2015 DD 12/10/15	(270,003)	270,003
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 12/14/2015 DD 12/11/15	(40,531)	40,531
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/22/2015 DD 12/21/15	(99,284)	99,284
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/18/2015 DD 12/17/15	(54,515)	54,515
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.320% 12/30/2015 DD 12/29/15	(186,778)	186,778
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/24/2015 DD 12/23/15	(59,752)	59,752
COCA COLA CO DISC	COCA COLA CO DISC 07/29/2015	(1,699,570)	1,699,570
COLLATERIZED CP DISC	COLLATERIZED CP DISC 10/21/2015	(424,004)	424,742
COMMScope INC 144A	COMMScope INC 144A 5.000% 06/15/2021 DD 05/30/14	(189,353)	198,208
COMMScope INC 144A	COMMScope INC 144A 5.500% 06/15/2024 DD 05/30/14	(52,324)	54,675

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
COMMScope TECHNOLOGIES FI 144A	COMMScope TECHNOLOGIES FI 144A 6.000% 06/15/2025 DD 06/11/15	\$(165,000)	\$164,794
COMWLTH BK AUST DISC	COMWLTH BK AUST DISC 08/19/2015	(1,099,522)	1,099,786
COMWLTH BK AUST DISC	COMWLTH BK AUST DISC 12/15/2015	(499,633)	499,633
COOPERATIEVE CENT DISC	COOPERATIEVE CENT DISC 11/12/2015	(998,467)	998,833
CPPIB CAPITAL INC DISC	CPPIB CAPITAL INC DISC 10/15/2015	(499,514)	499,830
CRC FDG LLC DISC	CRC FDG LLC DISC 09/21/2015	(479,280)	479,847
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/05/2015 DD 01/02/15	(128,455)	128,455
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/12/2015 DD 01/09/15	(262,534)	262,534
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/06/2015 DD 01/05/15	(37,540)	37,540
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/07/2015 DD 01/06/15	(44,608)	44,608
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/08/2015 DD 01/07/15	(61,403)	61,403

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/09/2015 DD 01/08/15	\$(227,888)	\$227,888
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 01/20/2015 DD 01/16/15	(95,403)	95,403
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/13/2015 DD 01/12/15	(230,695)	230,695
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/14/2015 DD 01/13/15	(320,817)	320,817
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/15/2015 DD 01/14/15	(307,656)	307,656
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 01/16/2015 DD 01/15/15	(76,726)	76,726
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/26/2015 DD 01/23/15	(72,062)	72,062
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/27/2015 DD 01/26/15	(129,072)	129,072
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/21/2015 DD 01/20/15	(285,957)	285,957
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/22/2015 DD 01/21/15	(103,912)	103,912
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/23/2015 DD 01/22/15	(118,215)	118,215

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/02/2015 DD 01/30/15	\$(43,669)	\$43,669
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/28/2015 DD 01/27/15	(83,513)	83,513
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/29/2015 DD 01/28/15	(57,335)	57,335
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/30/2015 DD 01/29/15	(168,113)	168,113
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/06/2015 DD 02/05/15	(315,502)	315,502
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/09/2015 DD 02/06/15	(721,187)	721,187
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/03/2015 DD 02/02/15	(38,764)	38,764
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/04/2015 DD 02/03/15	(125,381)	125,381
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/05/2015 DD 02/04/15	(132,272)	132,272
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 02/13/2015 DD 02/12/15	(81,708)	81,708
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/17/2015 DD 02/13/15	(34,584)	34,584

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 02/10/2015 DD 02/09/15	\$(688,697)	\$688,697
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/11/2015 DD 02/10/15	(402,420)	402,420
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/12/2015 DD 02/11/15	(42,686)	42,686
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/23/2015 DD 02/20/15	(490,860)	490,860
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/18/2015 DD 02/17/15	(318,779)	318,779
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/19/2015 DD 02/18/15	(258,623)	258,623
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/20/2015 DD 02/19/15	(76,165)	76,165
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/10/2015 DD 08/07/15	(42,919)	42,919
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/06/2015 DD 08/05/15	(79,046)	79,046
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/07/2015 DD 08/06/15	(248,554)	248,554
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 08/21/2015 DD 08/20/15	(2,494,870)	2,494,870

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 08/18/2015 DD 08/17/15	\$(413,086)	\$413,086
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/19/2015 DD 08/18/15	(354,609)	354,609
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/20/2015 DD 08/19/15	(267,204)	267,204
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/27/2015 DD 08/26/15	(2,386,122)	2,386,122
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/28/2015 DD 08/27/15	(3,563,818)	3,563,818
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/24/2015 DD 08/21/15	(2,031,827)	2,031,827
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/25/2015 DD 08/24/15	(2,203,630)	2,203,630
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/26/2015 DD 08/25/15	(902,552)	902,552
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 09/03/2015 DD 09/02/15	(470,762)	470,762
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/31/2015 DD 08/28/15	(2,480,779)	2,480,779
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 09/02/2015 DD 09/01/15	(549,130)	549,130

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/10/2015 DD 09/09/15	\$(231,212)	\$231,212
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/04/2015 DD 09/03/15	(585,952)	585,952
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/08/2015 DD 09/04/15	(477,293)	477,293
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/09/2015 DD 09/08/15	(444,411)	444,411
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 09/14/2015 DD 09/11/15	(286,681)	286,681
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 09/15/2015 DD 09/14/15	(165,860)	165,860
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/23/2015 DD 09/22/15	(181,491)	181,491
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 09/22/2015 DD 09/21/15	(83,032)	83,032
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/28/2015 DD 09/25/15	(146,972)	146,972
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/06/2015 DD 10/05/15	(201,425)	201,425
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 10/01/2015 DD 09/30/15	(319,308)	319,308

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/02/2015 DD 10/01/15	\$(173,190)	\$173,190
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/02/2015 DD 02/27/15	(57,650)	57,650
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/24/2015 DD 02/23/15	(467,883)	467,883
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/25/2015 DD 02/24/15	(632,339)	632,339
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/26/2015 DD 02/25/15	(897,533)	897,533
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/27/2015 DD 02/26/15	(741,388)	741,388
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/09/2015 DD 03/06/15	(352,964)	352,964
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/03/2015 DD 03/02/15	(622,348)	622,348
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/04/2015 DD 03/03/15	(366,040)	366,040
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/05/2015 DD 03/04/15	(487,866)	487,866
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/06/2015 DD 03/05/15	(299,180)	299,180

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/13/2015 DD 03/12/15	\$(380,122)	\$380,122
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/16/2015 DD 03/13/15	(453,718)	453,718
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/10/2015 DD 03/09/15	(307,792)	307,792
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/11/2015 DD 03/10/15	(237,582)	237,582
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/12/2015 DD 03/11/15	(532,460)	532,460
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/20/2015 DD 03/19/15	(764,406)	764,406
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 03/17/2015 DD 03/16/15	(795,078)	795,078
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/18/2015 DD 03/17/15	(881,816)	881,816
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 03/19/2015 DD 03/18/15	(330,878)	330,878
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/27/2015 DD 03/26/15	(257,217)	257,217
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/23/2015 DD 03/20/15	(438,408)	438,408

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 03/24/2015 DD 03/23/15	\$(606,947)	\$606,947
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/25/2015 DD 03/24/15	(364,425)	364,425
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 03/26/2015 DD 03/25/15	(654,688)	654,688
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/30/2015 DD 03/27/15	(6,137,159)	6,137,159
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/31/2015 DD 03/30/15	(7,606,920)	7,606,920
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 04/13/2015 DD 04/10/15	(39,886)	39,886
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 04/08/2015 DD 04/07/15	(41,930)	41,930
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 04/09/2015 DD 04/08/15	(22,836)	22,836
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 04/20/2015 DD 04/17/15	(33,512)	33,512
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/22/2015 DD 04/21/15	(233,791)	233,791
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 04/23/2015 DD 04/22/15	(187,785)	187,785

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 04/30/2015 DD 04/29/15	\$(4,005,920)	\$4,005,920
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 05/01/2015 DD 04/30/15	(1,074,666)	1,074,666
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/27/2015 DD 04/24/15	(474,529)	474,529
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 04/28/2015 DD 04/27/15	(2,925,270)	2,925,270
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/29/2015 DD 04/28/15	(4,251,758)	4,251,758
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 05/04/2015 DD 05/01/15	(1,880,894)	1,880,894
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/05/2015 DD 05/04/15	(1,693,935)	1,693,935
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/14/2015 DD 05/13/15	(1,941,021)	1,941,021
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/12/2015 DD 05/11/15	(562,903)	562,903
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 05/13/2015 DD 05/12/15	(953,798)	953,798
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/21/2015 DD 05/20/15	(714,161)	714,161

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/15/2015 DD 05/14/15	\$(1,186,623)	\$1,186,623
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 05/18/2015 DD 05/15/15	(253,462)	253,462
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/19/2015 DD 05/18/15	(830,028)	830,028
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/20/2015 DD 05/19/15	(983,032)	983,032
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/22/2015 DD 05/21/15	(509,645)	509,645
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/26/2015 DD 05/22/15	(614,592)	614,592
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 05/27/2015 DD 05/26/15	(3,469,981)	3,469,981
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/28/2015 DD 05/27/15	(312,690)	312,690
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/04/2015 DD 06/03/15	(2,633,120)	2,633,120
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/01/2015 DD 05/29/15	(1,885,255)	1,885,255
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/02/2015 DD 06/01/15	(3,723,818)	3,723,818

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/03/2015 DD 06/02/15	\$(2,079,382)	\$2,079,382
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/11/2015 DD 06/10/15	(1,050,349)	1,050,349
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/05/2015 DD 06/04/15	(1,179,701)	1,179,701
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/08/2015 DD 06/05/15	(1,529,183)	1,529,183
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/09/2015 DD 06/08/15	(2,248,012)	2,248,012
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/10/2015 DD 06/09/15	(1,137,479)	1,137,479
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/12/2015 DD 06/11/15	(994,578)	994,578
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/15/2015 DD 06/12/15	(626,635)	626,635
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/16/2015 DD 06/15/15	(999,683)	999,683
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/24/2015 DD 06/23/15	(195,754)	195,754
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/25/2015 DD 06/24/15	(329,388)	329,388

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/19/2015 DD 06/18/15	\$(198,501)	\$198,501
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/22/2015 DD 06/19/15	(178,489)	178,489
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/23/2015 DD 06/22/15	(311,092)	311,092
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/02/2015 DD 07/01/15	(52,205)	52,205
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/26/2015 DD 06/25/15	(691,902)	691,902
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/29/2015 DD 06/26/15	(441,608)	441,608
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/09/2015 DD 07/08/15	(2,447,372)	2,447,372
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/06/2015 DD 07/02/15	(7,320,770)	7,320,770
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/07/2015 DD 07/06/15	(6,622,371)	6,622,371
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/08/2015 DD 07/07/15	(2,711,664)	2,711,664
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/10/2015 DD 07/09/15	(3,051,198)	3,051,198

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/13/2015 DD 07/10/15	\$(1,407,164)	\$1,407,164
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/14/2015 DD 07/13/15	(683,050)	683,050
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/22/2015 DD 07/21/15	(245,267)	245,267
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/21/2015 DD 07/20/15	(533,903)	533,903
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/29/2015 DD 07/28/15	(1,084,895)	1,084,895
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/23/2015 DD 07/22/15	(620,234)	620,234
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/24/2015 DD 07/23/15	(403,490)	403,490
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/27/2015 DD 07/24/15	(449,073)	449,073
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/28/2015 DD 07/27/15	(868,672)	868,672
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/30/2015 DD 07/29/15	(1,070,507)	1,070,507
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 07/31/2015 DD 07/30/15	(739,830)	739,830

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 10/13/2015 DD 10/09/15	\$(1,419,750)	\$1,419,750
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/07/2015 DD 10/06/15	(3,678,551)	3,678,551
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/08/2015 DD 10/07/15	(2,129,758)	2,129,758
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/09/2015 DD 10/08/15	(1,894,757)	1,894,757
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 10/19/2015 DD 10/16/15	(1,951,598)	1,951,598
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/14/2015 DD 10/13/15	(1,254,467)	1,254,467
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 10/15/2015 DD 10/14/15	(1,319,714)	1,319,714
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 10/16/2015 DD 10/15/15	(1,924,286)	1,924,286
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/26/2015 DD 10/23/15	(1,348,944)	1,348,944
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 10/20/2015 DD 10/19/15	(1,659,600)	1,659,600
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/21/2015 DD 10/20/15	(1,251,357)	1,251,357

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/22/2015 DD 10/21/15	\$(1,471,917)	\$1,471,917
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/23/2015 DD 10/22/15	(1,902,092)	1,902,092
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/30/2015 DD 10/29/15	(3,188,842)	3,188,842
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/27/2015 DD 10/26/15	(3,101,867)	3,101,867
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/28/2015 DD 10/27/15	(3,041,955)	3,041,955
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/29/2015 DD 10/28/15	(3,683,648)	3,683,648
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/05/2015 DD 11/04/15	(2,363,294)	2,363,294
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 11/06/2015 DD 11/05/15	(1,923,716)	1,923,716
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/02/2015 DD 10/30/15	(861,453)	861,453
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/03/2015 DD 11/02/15	(2,003,513)	2,003,513
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/04/2015 DD 11/03/15	(1,886,068)	1,886,068

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/13/2015 DD 11/12/15	\$(1,698,559)	\$1,698,559
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/09/2015 DD 11/06/15	(2,403,524)	2,403,524
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/10/2015 DD 11/09/15	(1,481,658)	1,481,658
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/12/2015 DD 11/10/15	(1,566,692)	1,566,692
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/19/2015 DD 11/18/15	(757,910)	757,910
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/16/2015 DD 11/13/15	(1,094,845)	1,094,845
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/17/2015 DD 11/16/15	(917,118)	917,118
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/18/2015 DD 11/17/15	(690,180)	690,180
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/25/2015 DD 11/24/15	(845,793)	845,793
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 11/20/2015 DD 11/19/15	(676,460)	676,460
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 11/23/2015 DD 11/20/15	(682,278)	682,278

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/02/2015 DD 12/01/15	\$(983,853)	\$983,853
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/27/2015 DD 11/25/15	(1,672,463)	1,672,463
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/30/2015 DD 11/27/15	(1,174,718)	1,174,718
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 12/09/2015 DD 12/08/15	(339,424)	339,424
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/03/2015 DD 12/02/15	(705,754)	705,754
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 12/04/2015 DD 12/03/15	(887,241)	887,241
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 12/07/2015 DD 12/04/15	(569,490)	569,490
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 12/08/2015 DD 12/07/15	(448,991)	448,991
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/15/2015 DD 12/14/15	(192,250)	192,250
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 12/10/2015 DD 12/09/15	(541,042)	541,042
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 12/11/2015 DD 12/10/15	(646,733)	646,733

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 12/14/2015 DD 12/11/15	\$(248,953)	\$248,953
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/21/2015 DD 12/18/15	(189,727)	189,727
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/22/2015 DD 12/21/15	(247,297)	247,297
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 12/16/2015 DD 12/15/15	(399,065)	399,065
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/18/2015 DD 12/17/15	(225,649)	225,649
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.260% 12/23/2015 DD 12/22/15	(94,401)	94,401
CREDIT SUISSE GROUP AG	CREDIT SUISSE GROUP AG	-	15,246
CRESCENT POINT ENERGY CORP	CRESCENT POINT ENERGY CORP	(583,729)	108,173
CRESTWOOD MIDSTREAM PART 144A	CRESTWOOD MIDSTREAM PART 144A 6.250% 04/01/2023 DD 03/23/15	(100,000)	101,000
CS FOB CAT 1 REP	CS FOB CAT 1 REP 0.020% 02/27/2015 DD 02/26/15	(4,748)	4,748
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(11,143)	11,143

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 01/16/2015 DD 01/15/15	\$(3,129)	\$3,129
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 01/20/2015 DD 01/16/15	(11,027)	11,027
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 01/14/2015 DD 01/13/15	(13,921)	13,921
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 01/15/2015 DD 01/14/15	(10,106)	10,106
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 01/22/2015 DD 01/21/15	(15,031)	15,031
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 02/10/2015 DD 02/09/15	(11,144)	11,144
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/14/2015 DD 08/13/15	(86,298)	86,298
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/12/2015 DD 08/11/15	(62,371)	62,371
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 10/05/2015 DD 10/02/15	(33,668)	33,668
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/03/2015 DD 03/02/15	(3,964)	3,964
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/04/2015 DD 03/03/15	(13,169)	13,169

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/05/2015 DD 03/04/15	\$(14,790)	\$14,790
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/11/2015 DD 03/10/15	(5,874)	5,874
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/16/2015 DD 03/13/15	(12,584)	12,584
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/24/2015 DD 03/23/15	(13,620)	13,620
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 05/11/2015 DD 05/08/15	(124,959)	124,959
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 05/12/2015 DD 05/11/15	(112,624)	112,624
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 07/08/2015 DD 07/07/15	(98,596)	98,596
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/03/2015 DD 07/31/15	(63,072)	63,072
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	(4,615,081)	4,615,081
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 10/15/2015 DD 10/14/15	(147,854)	147,854
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.050% 10/30/2015 DD 10/29/15	(88,947)	88,947

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(146,049)	\$146,049
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 11/17/2015 DD 11/16/15	(103,486)	103,486
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 11/19/2015 DD 11/18/15	(53,894)	53,894
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 12/02/2015 DD 12/01/15	(33,837)	33,837
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 12/07/2015 DD 12/04/15	(24,317)	24,317
DBS BANK LTD DISC	DBS BANK LTD DISC 09/09/2015	(899,505)	899,650
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(10,349)	10,349
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 01/14/2015 DD 01/13/15	(12,384)	12,384
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 01/15/2015 DD 01/14/15	(19,494)	19,494
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(16,468)	16,468
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 09/14/2015 DD 09/11/15	(107,571)	107,571

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/25/2015 DD 02/24/15	\$(14,715)	\$14,715
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/26/2015 DD 02/25/15	(23,611)	23,611
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/27/2015 DD 02/26/15	(17,828)	17,828
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(37,552)	37,552
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/16/2015 DD 03/13/15	(33,621)	33,621
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(46,083)	46,083
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(38,898)	38,898
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 03/20/2015 DD 03/19/15	(27,788)	27,788
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/17/2015 DD 03/16/15	(54,563)	54,563
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 03/18/2015 DD 03/17/15	(35,140)	35,140
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/23/2015 DD 03/20/15	(25,224)	25,224

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/24/2015 DD 03/23/15	\$(15,680)	\$15,680
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/25/2015 DD 03/24/15	(23,610)	23,610
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 05/18/2015 DD 05/15/15	(118,721)	118,721
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 06/04/2015 DD 06/03/15	(123,494)	123,494
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 06/05/2015 DD 06/04/15	(125,952)	125,952
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 06/09/2015 DD 06/08/15	(163,785)	163,785
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.110% 06/29/2015 DD 06/26/15	(127,241)	127,241
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 07/10/2015 DD 07/09/15	(162,448)	162,448
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	(545,313)	545,313
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/06/2015 DD 10/05/15	(114,040)	114,040
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/07/2015 DD 10/06/15	(1,228,326)	1,228,326

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/08/2015 DD 10/07/15	\$(700,673)	\$700,673
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.120% 10/19/2015 DD 10/16/15	(312,687)	312,687
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(517,020)	517,020
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/14/2015 DD 10/13/15	(433,917)	433,917
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.110% 10/15/2015 DD 10/14/15	(411,448)	411,448
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.120% 10/16/2015 DD 10/15/15	(221,745)	221,745
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/23/2015 DD 10/22/15	(290,582)	290,582
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/20/2015 DD 10/19/15	(276,337)	276,337
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/22/2015 DD 10/21/15	(133,762)	133,762
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/29/2015 DD 10/28/15	(380,311)	380,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/26/2015 DD 10/23/15	(253,894)	253,894

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(153,774)	\$153,774
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 11/05/2015 DD 11/04/15	(17,325)	17,325
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 11/12/2015 DD 11/10/15	(124,643)	124,643
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 11/13/2015 DD 11/12/15	(132,648)	132,648
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 11/09/2015 DD 11/06/15	(233,841)	233,841
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 11/10/2015 DD 11/09/15	(174,246)	174,246
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 12/04/2015 DD 12/03/15	(116,867)	116,867
DNB NOR BANK DISC	DNB NOR BANK DISC 05/13/2015	(724,905)	724,850
DNB NOR BANK DISC	DNB NOR BANK DISC 11/09/2015	(998,400)	999,299
DNB NOR BANK DISC	DNB NOR BANK DISC 11/13/2015	(1,297,964)	1,297,964
ENERGY XXI GULF COAST INC 144A	ENERGY XXI GULF COAST INC 144A 11.000% 03/15/2020 DD 03/12/15	(136,764)	75,823

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
EXXON CORP DISC	EXXON CORP DISC 08/24/2015	\$(1,599,578)	\$1,599,578
FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 09/02/2015	(349,525)	349,939
FELCOR LODGING LP 144A	FELCOR LODGING LP 144A 6.000% 06/01/2025 DD 05/21/15	(15,000)	15,188
GOODYEAR TIRE & RUBBER CO/THE	GOODYEAR TIRE & RUBBER CO/THE 5.125% 11/15/2023 DD 11/05/15	(75,000)	76,125
GOOGLE INC DISC	GOOGLE INC DISC 09/30/2015	(2,698,873)	2,698,600
GRAND KOREA LEISURE CO LTD	GRAND KOREA LEISURE CO LTD	(494,976)	383,293
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 01/15/2015 DD 01/14/15	(12,008)	12,008
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/09/2015 DD 02/06/15	(11,149)	11,149
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 02/10/2015 DD 02/09/15	(19,686)	19,686
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/27/2015 DD 02/26/15	(19,315)	19,315
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/25/2015 DD 02/24/15	(19,397)	19,397

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/26/2015 DD 02/25/15	\$(36,173)	\$36,173
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/06/2015 DD 03/05/15	(8,512)	8,512
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 03/04/2015 DD 03/03/15	(14,413)	14,413
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 03/05/2015 DD 03/04/15	(12,503)	12,503
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/13/2015 DD 03/12/15	(15,516)	15,516
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/10/2015 DD 03/09/15	(24,095)	24,095
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/11/2015 DD 03/10/15	(21,060)	21,060
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/20/2015 DD 03/19/15	(15,537)	15,537
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/16/2015 DD 03/13/15	(15,242)	15,242
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 03/17/2015 DD 03/16/15	(26,814)	26,814
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 03/18/2015 DD 03/17/15	(18,063)	18,063

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/23/2015 DD 03/20/15	\$(12,098)	\$12,098
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/24/2015 DD 03/23/15	(10,504)	10,504
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 04/15/2015 DD 04/14/15	(15,872)	15,872
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 04/21/2015 DD 04/20/15	(63,773)	63,773
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/30/2015 DD 04/29/15	(295,226)	295,226
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/28/2015 DD 04/27/15	(273,900)	273,900
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/29/2015 DD 04/28/15	(354,526)	354,526
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 05/13/2015 DD 05/12/15	(117,317)	117,317
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 05/14/2015 DD 05/13/15	(216,691)	216,691
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 05/20/2015 DD 05/19/15	(122,719)	122,719
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 05/15/2015 DD 05/14/15	(145,374)	145,374

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
HALCON RESOURCES CORP 144A	HALCON RESOURCES CORP 144A 8.625% 02/01/2020 DD 05/01/15	\$(112,540)	\$97,125
HILL-ROM HOLDINGS INC 144A	HILL-ROM HOLDINGS INC 144A 5.750% 09/01/2023 DD 09/01/15	(31,000)	31,426
HONEYWELL INTL DISC	HONEYWELL INTL DISC 09/21/2015	(414,564)	414,892
ING FINL REPO	ING FINL REPO 0.080% 04/27/2015 DD 04/24/15	(8,086,115)	8,086,115
INTERNATIONAL GAME TECHNO 144A	INTERNATIONAL GAME TECHNO 144A 5.625% 02/15/2020 DD 02/13/15	(248,000)	248,000
JARDEN CORP 144A	JARDEN CORP 144A 5.000% 11/15/2023 DD 10/30/15	(38,000)	38,665
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 01/04/2016	(1,297,240)	1,297,333
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 11/05/2015	(1,098,313)	1,099,137
KRAFT HEINZ FOODS CO 144A	KRAFT HEINZ FOODS CO 144A 5.200% 07/15/2045 DD 07/02/15	(567,754)	580,442
LANDESBANK HSN-TH DISC	LANDESBANK HSN-TH DISC 10/20/2015	(699,510)	699,528
LEVI STRAUSS & CO 144A	LEVI STRAUSS & CO 144A 5.000% 05/01/2025 DD 04/27/15	(45,000)	45,619

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 09/24/2015	\$(1,798,942)	\$1,798,934
LMA SA & LMA AMER DISC	LMA SA & LMA AMER DISC 10/07/2015	(1,199,111)	1,199,004
MALLINCKRODT INTERNATIONAL 144A	MALLINCKRODT INTERNATIONAL 144A 5.500% 04/15/2025 DD 04/15/15	(44,000)	44,935
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 01/14/2016	(999,275)	999,275
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 10/16/2015	(549,863)	549,863
MASONITE INTERNATIONAL C 144A	MASONITE INTERNATIONAL C 144A 5.625% 03/15/2023 DD 03/23/15	(28,000)	28,350
MEDNAX INC 144A	MEDNAX INC 144A 5.250% 12/01/2023 DD 12/08/15	(62,000)	62,543
MERLIN PROPERTIES SOCIMI SA	MERLIN PROPERTIES SOCIMI SA	-	169,735
MOTA-ENGIL SGPS SA	MOTA-ENGIL SGPS SA	-	1,232
NATIONAL BK CANADA DISC	NATIONAL BK CANADA DISC 11/23/2015	(1,098,086)	1,098,665
NATIXIS NY BRH DISC	NATIXIS NY BRH DISC 08/11/2015	(1,099,353)	1,099,876

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
NEDERLANSE WATER DISC	NEDERLANSE WATER DISC 05/20/2015	\$(349,849)	\$349,849
NEDERLANSE WATER DISC	NEDERLANSE WATER DISC 08/03/2015	(1,664,457)	1,664,351
NETFLIX INC 144A	NETFLIX INC 144A 5.500% 02/15/2022 DD 02/05/15	(88,000)	90,828
NETFLIX INC 144A	NETFLIX INC 144A 5.875% 02/15/2025 DD 02/05/15	(118,000)	123,310
NIEUW AMSTERDAM DISC	NIEUW AMSTERDAM DISC 12/08/2015	(874,694)	874,694
NIEUW AMSTERDAM REC DISC	NIEUW AMSTERDAM DISC	(339,941)	339,941
NORDEA BANK AB DISC	NORDEA BANK AB DISC 09/16/2015	(449,363)	449,894
NORDEA BANK AB DISC	NORDEA BANK AB DISC 10/13/2015	(369,451)	369,835
NRW BANK DISC	NRW BANK DISC 01/07/2016	(1,549,079)	1,549,079
OLD LINE FDG CORP DISC	OLD LINE FDG CORP DISC 09/01/2015	(699,487)	699,813
OLD LINE FDG CORP DISC	OLD LINE FDG CORP DISC 09/03/2015	(299,592)	299,929

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
OVERSEA CHINESE DISC	OVERSEA CHINESE DISC 09/23/2015	\$(1,339,221)	\$1,339,221
PFIZER INC DISC	PFIZER INC DISC 09/21/2015	(399,622)	399,913
PHILIP MORRIS DISC	PHILIP MORRIS DISC 06/16/2015	(1,649,733)	1,649,733
PLAYA RESORTS HOLDING BV 144A	PLAYA RESORTS HOLDING BV 144A 8.000% 08/15/2020 DD 08/09/13	(156,750)	153,000
PORSCHE INNOVATIVE L 1 A2 144A	PORSCHE INNOVATIVE L 1 A2 144A 0.790% 11/21/2017 DD 05/20/15	(359,968)	358,875
PRUDENTIAL PLC DISC	PRUDENTIAL PLC DISC 11/02/2015	(848,397)	849,412
QUINTILES TRANSNATIONAL	QUINTILES TRANSNATIONAL 4.875% 05/15/2023 DD 05/12/15	(75,000)	75,281
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 01/05/2015 DD 01/02/15	(42,528)	42,528
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/09/2015 DD 01/08/15	(48,880)	48,880
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/12/2015 DD 01/09/15	(75,918)	75,918
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/08/2015 DD 01/07/15	(22,765)	22,765

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 01/16/2015 DD 01/15/15	\$(40,150)	\$40,150
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/14/2015 DD 01/13/15	(43,972)	43,972
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/15/2015 DD 01/14/15	(68,673)	68,673
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/26/2015 DD 01/23/15	(26,414)	26,414
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 01/20/2015 DD 01/16/15	(20,308)	20,308
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/21/2015 DD 01/20/15	(185,159)	185,159
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/23/2015 DD 01/22/15	(69,161)	69,161
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 02/13/2015 DD 02/12/15	(2,852)	2,852
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 02/09/2015 DD 02/06/15	(20,785)	20,785
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/12/2015 DD 02/11/15	(4,303)	4,303
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/23/2015 DD 02/20/15	(16,235)	16,235

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 02/17/2015 DD 02/13/15	\$(18,619)	\$18,619
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/18/2015 DD 02/17/15	(128,578)	128,578
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/19/2015 DD 02/18/15	(127,767)	127,767
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 08/21/2015 DD 08/20/15	(419,221)	419,221
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 08/27/2015 DD 08/26/15	(117,600)	117,600
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 08/24/2015 DD 08/21/15	(375,356)	375,356
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 08/25/2015 DD 08/24/15	(565,909)	565,909
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 08/28/2015 DD 08/27/15	(341,721)	341,721
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 08/31/2015 DD 08/28/15	(212,762)	212,762
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 09/10/2015 DD 09/09/15	(210,732)	210,732
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 09/03/2015 DD 09/02/15	(130,027)	130,027

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 09/04/2015 DD 09/03/15	\$(135,687)	\$135,687
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 09/08/2015 DD 09/04/15	(110,785)	110,785
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 09/18/2015 DD 09/17/15	(90,184)	90,184
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 09/28/2015 DD 09/25/15	(83,133)	83,133
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/05/2015 DD 10/02/15	(202,863)	202,863
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/01/2015 DD 09/30/15	(598,191)	598,191
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 10/01/2015 DD 09/30/15	(3,084,541)	3,084,541
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/02/2015 DD 10/01/15	(264,556)	264,556
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 02/24/2015 DD 02/23/15	(150,934)	150,934
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/06/2015 DD 03/05/15	(322,200)	322,200
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	(494,224)	494,224

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 03/04/2015 DD 03/03/15	\$(488,103)	\$488,103
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 03/05/2015 DD 03/04/15	(627,261)	627,261
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/13/2015 DD 03/12/15	(458,663)	458,663
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(393,563)	393,563
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(965,069)	965,069
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(755,521)	755,521
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/12/2015 DD 03/11/15	(732,420)	732,420
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 03/19/2015 DD 03/18/15	(1,606,906)	1,606,906
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(1,437,389)	1,437,389
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/16/2015 DD 03/13/15	(811,007)	811,007
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 03/17/2015 DD 03/16/15	(1,352,244)	1,352,244

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	\$(1,560,076)	\$1,560,076
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/26/2015 DD 03/25/15	(492,565)	492,565
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/27/2015 DD 03/26/15	(150,954)	150,954
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/23/2015 DD 03/20/15	(745,470)	745,470
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(1,149,171)	1,149,171
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/25/2015 DD 03/24/15	(613,449)	613,449
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/02/2015 DD 04/01/15	(769,257)	769,257
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/30/2015 DD 03/27/15	(4,936,342)	4,936,342
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/31/2015 DD 03/30/15	(3,822,100)	3,822,100
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 04/01/2015 DD 03/31/15	(35,041)	35,041
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/10/2015 DD 04/09/15	(216,255)	216,255

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/06/2015 DD 04/02/15	\$(55,625)	\$55,625
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/07/2015 DD 04/06/15	(47,947)	47,947
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/08/2015 DD 04/07/15	(451,174)	451,174
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/09/2015 DD 04/08/15	(450,226)	450,226
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 04/16/2015 DD 04/15/15	(96,149)	96,149
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/17/2015 DD 04/16/15	(449,279)	449,279
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/13/2015 DD 04/10/15	(174,152)	174,152
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/14/2015 DD 04/13/15	(88,477)	88,477
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/15/2015 DD 04/14/15	(165,525)	165,525
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/23/2015 DD 04/22/15	(2,697,271)	2,697,271
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/24/2015 DD 04/23/15	(12,726,079)	12,726,079

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/20/2015 DD 04/17/15	\$(290,473)	\$290,473
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/22/2015 DD 04/21/15	(1,623,886)	1,623,886
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/30/2015 DD 04/29/15	(12,214,720)	12,214,720
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/27/2015 DD 04/24/15	(12,010,637)	12,010,637
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/28/2015 DD 04/27/15	(14,264,898)	14,264,898
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/29/2015 DD 04/28/15	(15,203,934)	15,203,934
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/07/2015 DD 05/06/15	(6,555,416)	6,555,416
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 05/01/2015 DD 04/30/15	(12,645,054)	12,645,054
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 05/04/2015 DD 05/01/15	(5,617,905)	5,617,905
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/05/2015 DD 05/04/15	(8,433,022)	8,433,022
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/06/2015 DD 05/05/15	(10,578,905)	10,578,905

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/13/2015 DD 05/12/15	\$(2,686,108)	\$2,686,108
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/14/2015 DD 05/13/15	(5,227,146)	5,227,146
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/11/2015 DD 05/08/15	(3,443,583)	3,443,583
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/12/2015 DD 05/11/15	(1,845,898)	1,845,898
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(3,859,215)	3,859,215
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/21/2015 DD 05/20/15	(3,111,383)	3,111,383
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/15/2015 DD 05/14/15	(3,944,644)	3,944,644
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/18/2015 DD 05/15/15	(1,765,384)	1,765,384
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/19/2015 DD 05/18/15	(3,106,325)	3,106,325
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/28/2015 DD 05/27/15	(4,085,445)	4,085,445
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/22/2015 DD 05/21/15	(4,086,484)	4,086,484

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/26/2015 DD 05/22/15	\$(5,233,618)	\$5,233,618
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/27/2015 DD 05/26/15	(5,042,538)	5,042,538
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/29/2015 DD 05/28/15	(3,614,851)	3,614,851
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/11/2015 DD 06/10/15	(626,847)	626,847
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/08/2015 DD 06/05/15	(715,887)	715,887
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/17/2015 DD 06/16/15	(964,629)	964,629
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/18/2015 DD 06/17/15	(294,631)	294,631
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/12/2015 DD 06/11/15	(580,074)	580,074
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/15/2015 DD 06/12/15	(396,671)	396,671
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/16/2015 DD 06/15/15	(490,754)	490,754
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 06/24/2015 DD 06/23/15	(434,443)	434,443

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/19/2015 DD 06/18/15	\$(394,971)	\$394,971
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 06/22/2015 DD 06/19/15	(372,526)	372,526
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 06/23/2015 DD 06/22/15	(671,941)	671,941
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 07/01/2015 DD 06/30/15	(389,301)	389,301
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 06/25/2015 DD 06/24/15	(778,483)	778,483
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/26/2015 DD 06/25/15	(983,210)	983,210
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 07/08/2015 DD 07/07/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/15/2015 DD 07/14/15	(5,176,016)	5,176,016
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 07/09/2015 DD 07/08/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 07/13/2015 DD 07/10/15	(20,000,000)	20,000,000

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/14/2015 DD 07/13/15	\$(20,000,000)	\$20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 07/16/2015 DD 07/15/15	(442,745)	442,745
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 07/17/2015 DD 07/16/15	(95,161)	95,161
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/20/2015 DD 07/17/15	(466,683)	466,683
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/09/2015 DD 10/08/15	(3,196,182)	3,196,182
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/06/2015 DD 10/05/15	(263,482)	263,482
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/07/2015 DD 10/06/15	(5,548,677)	5,548,677
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(3,118,978)	3,118,978
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 10/19/2015 DD 10/16/15	(1,209,591)	1,209,591
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/13/2015 DD 10/09/15	(2,121,821)	2,121,821
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/14/2015 DD 10/13/15	(1,909,505)	1,909,505

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 10/15/2015 DD 10/14/15	\$(2,134,019)	\$2,134,019
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 10/16/2015 DD 10/15/15	(1,231,421)	1,231,421
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(1,784,756)	1,784,756
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/20/2015 DD 10/19/15	(961,824)	961,824
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/21/2015 DD 10/20/15	(2,078,048)	2,078,048
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/22/2015 DD 10/21/15	(1,454,071)	1,454,071
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/29/2015 DD 10/28/15	(1,016,147)	1,016,147
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/30/2015 DD 10/29/15	(423,464)	423,464
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(972,935)	972,935
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/27/2015 DD 10/26/15	(775,548)	775,548
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/28/2015 DD 10/27/15	(607,452)	607,452

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/05/2015 DD 11/04/15	\$(1,491,510)	\$1,491,510
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/04/2015 DD 11/03/15	(924,301)	924,301
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/12/2015 DD 11/10/15	(859,892)	859,892
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 11/13/2015 DD 11/12/15	(815,865)	815,865
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 11/06/2015 DD 11/05/15	(948,646)	948,646
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/09/2015 DD 11/06/15	(812,911)	812,911
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/10/2015 DD 11/09/15	(928,617)	928,617
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(392,699)	392,699
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(746,334)	746,334
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 11/17/2015 DD 11/16/15	(449,860)	449,860
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	(9,923)	9,923

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 11/30/2015 DD 11/27/15	\$(93,219)	\$93,219
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 12/08/2015 DD 12/07/15	(113,092)	113,092
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 12/03/2015 DD 12/02/15	(113,454)	113,454
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 12/16/2015 DD 12/15/15	(97,069)	97,069
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 12/17/2015 DD 12/16/15	(82,739)	82,739
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.310% 12/30/2015 DD 12/29/15	(61,583)	61,583
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.300% 12/31/2015 DD 12/30/15	(160,169)	160,169
RECKITT BECKISER DISC	RECKITT BECKISER DISC 11/12/2015	(998,736)	999,278
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 06/25/2015	(1,299,827)	1,299,814
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 09/16/2015	(524,924)	524,924
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 12/16/2015	(1,099,841)	1,099,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
ROYAL BANK OF SCOTLAND GROUP	ROYAL BANK OF SCOTLAND GROUP VAR RT 12/29/2049 DD 08/10/15	\$(222,000)	\$231,435
SABINE PASS LIQUEFACTION 144A	SABINE PASS LIQUEFACTION 144A 5.625% 03/01/2025 DD 03/03/15	(199,188)	191,531
SABRE GLBL INC 144A	SABRE GLBL INC 144A 5.375% 04/15/2023 DD 04/14/15	(50,000)	50,375
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(5,341)	5,341
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 02/27/2015 DD 02/26/15	(22,198)	22,198
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(10,253)	10,253
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	(10,534)	10,534
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(20,254)	20,254
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(10,977)	10,977
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/12/2015 DD 03/11/15	(11,678)	11,678
SALI CAT 1 REPO	SALI CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(12,270)	12,270

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	\$(14,228)	\$14,228
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(16,360)	16,360
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/23/2015 DD 03/20/15	(11,800)	11,800
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 05/05/2015 DD 05/04/15	(7,969)	7,969
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 05/14/2015 DD 05/13/15	(22,512)	22,512
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(54,903)	54,903
SAMSON INVESTMENT CO	SAMSON INVESTMENT CO VAR RT 02/15/2020 DD 08/15/14	(43,290)	36,270
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC 8.125% 10/15/2022 DD 10/15/12	(49,665)	56,210
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC 7.500% 02/15/2023 DD 08/20/12	(56,395)	67,680
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 01/12/2015 DD 01/09/15	(17,854)	17,854
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 01/14/2015 DD 01/13/15	(21,548)	21,548

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 01/15/2015 DD 01/14/15	\$(33,992)	33,992
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 01/20/2015 DD 01/16/15	(11,303)	11,303
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 02/09/2015 DD 02/06/15	(56,558)	56,558
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 02/10/2015 DD 02/09/15	(113,132)	113,132
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/23/2015 DD 02/20/15	(70,965)	70,965
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 02/18/2015 DD 02/17/15	(74,451)	74,451
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 02/19/2015 DD 02/18/15	(59,776)	59,776
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 08/07/2015 DD 08/06/15	(149,591)	149,591
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 08/21/2015 DD 08/20/15	(722,244)	722,244
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 08/27/2015 DD 08/26/15	(161,290)	161,290
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 08/24/2015 DD 08/21/15	(498,073)	498,073

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 08/25/2015 DD 08/24/15	\$(636,604)	\$636,604
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 09/02/2015 DD 09/01/15	(158,186)	158,186
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 08/28/2015 DD 08/27/15	(399,565)	399,565
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 08/31/2015 DD 08/28/15	(335,669)	335,669
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.130% 09/01/2015 DD 08/31/15	(11,576)	11,576
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/09/2015 DD 09/08/15	(171,459)	171,459
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 09/03/2015 DD 09/02/15	(143,319)	143,319
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 09/04/2015 DD 09/03/15	(176,383)	176,383
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/08/2015 DD 09/04/15	(139,393)	139,393
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 09/11/2015 DD 09/10/15	(140,871)	140,871
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 09/14/2015 DD 09/11/15	(186,521)	186,521

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/21/2015 DD 09/18/15	\$(119,546)	\$119,546
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/28/2015 DD 09/25/15	(155,147)	155,147
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/29/2015 DD 09/28/15	(94,363)	94,363
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/25/2015 DD 09/24/15	(135,804)	135,804
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/05/2015 DD 10/02/15	(136,428)	136,428
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/02/2015 DD 10/01/15	(359,401)	359,401
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/27/2015 DD 02/26/15	(119,428)	119,428
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/24/2015 DD 02/23/15	(85,415)	85,415
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(115,362)	115,362
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(161,158)	161,158
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 03/06/2015 DD 03/05/15	(54,047)	54,047

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	\$(56,075)	\$56,075
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/04/2015 DD 03/03/15	(47,860)	47,860
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/05/2015 DD 03/04/15	(61,526)	61,526
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/13/2015 DD 03/12/15	(117,061)	117,061
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 03/09/2015 DD 03/06/15	(50,118)	50,118
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/10/2015 DD 03/09/15	(276,754)	276,754
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/11/2015 DD 03/10/15	(219,733)	219,733
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/12/2015 DD 03/11/15	(11,985)	11,985
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(97,242)	97,242
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/16/2015 DD 03/13/15	(101,492)	101,492
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	(165,635)	165,635

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	\$(107,260)	\$107,260
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/23/2015 DD 03/20/15	(80,611)	80,611
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(50,861)	50,861
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/25/2015 DD 03/24/15	(75,277)	75,277
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.150% 04/01/2015 DD 03/31/15	(2,865)	2,865
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 04/10/2015 DD 04/09/15	(14,289)	14,289
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 04/08/2015 DD 04/07/15	(14,976)	14,976
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 04/20/2015 DD 04/17/15	(12,915)	12,915
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/22/2015 DD 04/21/15	(137,041)	137,041
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 04/30/2015 DD 04/29/15	(810,142)	810,142
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/27/2015 DD 04/24/15	(291,760)	291,760

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/28/2015 DD 04/27/15	\$(996,638)	\$996,638
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/29/2015 DD 04/28/15	(985,534)	985,534
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 05/05/2015 DD 05/04/15	(209,160)	209,160
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 05/13/2015 DD 05/12/15	(459,938)	459,938
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 05/14/2015 DD 05/13/15	(767,528)	767,528
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/12/2015 DD 05/11/15	(160,523)	160,523
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(365,031)	365,031
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 05/21/2015 DD 05/20/15	(243,161)	243,161
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 05/15/2015 DD 05/14/15	(682,975)	682,975
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 05/18/2015 DD 05/15/15	(12,065)	12,065
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/19/2015 DD 05/18/15	(400,008)	400,008

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/22/2015 DD 05/21/15	\$(204,768)	\$204,768
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 05/26/2015 DD 05/22/15	(230,892)	230,892
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/03/2015 DD 06/02/15	(119,484)	119,484
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/04/2015 DD 06/03/15	(404,318)	404,318
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 06/10/2015 DD 06/09/15	(236,767)	236,767
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 06/11/2015 DD 06/10/15	(564,777)	564,777
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/05/2015 DD 06/04/15	(279,775)	279,775
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/08/2015 DD 06/05/15	(879,788)	879,788
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/09/2015 DD 06/08/15	(550,761)	550,761
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/12/2015 DD 06/11/15	(492,501)	492,501
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/15/2015 DD 06/12/15	(269,331)	269,331

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/16/2015 DD 06/15/15	\$(274,243)	\$274,243
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 06/29/2015 DD 06/26/15	(270,342)	270,342
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/08/2015 DD 07/07/15	(259,613)	259,613
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/06/2015 DD 07/02/15	(362,039)	362,039
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/07/2015 DD 07/06/15	(588,580)	588,580
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/09/2015 DD 07/08/15	(1,350,151)	1,350,151
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(1,107,384)	1,107,384
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 07/13/2015 DD 07/10/15	(896,680)	896,680
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 07/14/2015 DD 07/13/15	(469,547)	469,547
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/21/2015 DD 07/20/15	(247,326)	247,326
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/28/2015 DD 07/27/15	(246,775)	246,775

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 07/23/2015 DD 07/22/15	\$(213,110)	\$213,110
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 07/24/2015 DD 07/23/15	(158,029)	158,029
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/27/2015 DD 07/24/15	(142,758)	142,758
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/29/2015 DD 07/28/15	(206,261)	206,261
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.150% 07/31/2015 DD 07/30/15	(230,519)	230,519
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/06/2015 DD 10/05/15	(414,126)	414,126
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/07/2015 DD 10/06/15	(8,329,637)	8,329,637
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(4,609,998)	4,609,998
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 10/19/2015 DD 10/16/15	(2,241,909)	2,241,909
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/13/2015 DD 10/09/15	(3,279,954)	3,279,954
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 10/14/2015 DD 10/13/15	(3,145,017)	3,145,017

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 10/15/2015 DD 10/14/15	\$(3,496,086)	\$3,496,086
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 10/16/2015 DD 10/15/15	(2,302,592)	2,302,592
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(2,769,346)	2,769,346
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 10/20/2015 DD 10/19/15	(1,930,353)	1,930,353
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 10/21/2015 DD 10/20/15	(1,361,609)	1,361,609
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 10/22/2015 DD 10/21/15	(1,696,859)	1,696,859
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(1,862,895)	1,862,895
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 10/27/2015 DD 10/26/15	(1,828,145)	1,828,145
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 10/28/2015 DD 10/27/15	(1,700,031)	1,700,031
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/05/2015 DD 11/04/15	(1,426,587)	1,426,587
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/03/2015 DD 11/02/15	(1,485,841)	1,485,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/04/2015 DD 11/03/15	\$(1,277,109)	\$1,277,109
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/12/2015 DD 11/10/15	(1,171,176)	1,171,176
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/13/2015 DD 11/12/15	(1,627,776)	1,627,776
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 11/06/2015 DD 11/05/15	(786,729)	786,729
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/09/2015 DD 11/06/15	(1,646,747)	1,646,747
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/10/2015 DD 11/09/15	(1,187,281)	1,187,281
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(708,864)	708,864
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(928,750)	928,750
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/17/2015 DD 11/16/15	(571,621)	571,621
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/19/2015 DD 11/18/15	(454,267)	454,267
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/20/2015 DD 11/19/15	(403,869)	403,869

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	\$(315,208)	\$315,208
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 12/02/2015 DD 12/01/15	(377,404)	377,404
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/25/2015 DD 11/24/15	(701,534)	701,534
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/27/2015 DD 11/25/15	(1,026,518)	1,026,518
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 11/30/2015 DD 11/27/15	(881,224)	881,224
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 12/03/2015 DD 12/02/15	(427,737)	427,737
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 12/04/2015 DD 12/03/15	(575,806)	575,806
SHEA HOMES LP/FNDG CP 144A	SHEA HOMES LP/FNDG CP 144A 5.875% 04/01/2023 DD 03/23/15	(29,000)	29,073
SHEA HOMES LP/FNDG CP 144A	SHEA HOMES LP/FNDG CP 144A 6.125% 04/01/2025 DD 03/23/15	(29,000)	29,109
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 05/19/2015	(299,881)	299,846
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 11/17/2015	(1,497,535)	1,498,547

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SOCIETE GENERALE DISC	SOCIETE GENERALE DISC 09/09/2015	\$(899,448)	\$899,678
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.050% 10/30/2015 DD 10/29/15	(1,995,473)	1,995,473
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.050% 10/29/2015 DD 10/28/15	(1,643,092)	1,643,092
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.090% 11/02/2015 DD 10/30/15	(643,910)	643,910
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/09/2015 DD 12/08/15	(257,673)	257,673
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.100% 12/07/2015 DD 12/04/15	(354,977)	354,977
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.120% 12/08/2015 DD 12/07/15	(308,609)	308,609
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.110% 12/15/2015 DD 12/14/15	(446,643)	446,643
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/10/2015 DD 12/09/15	(386,925)	386,925
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/11/2015 DD 12/10/15	(696,304)	696,304
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.100% 12/14/2015 DD 12/11/15	(268,824)	268,824

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.280% 12/21/2015 DD 12/18/15	\$(862,433)	\$862,433
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.250% 12/22/2015 DD 12/21/15	(765,552)	765,552
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/16/2015 DD 12/15/15	(528,280)	528,280
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.270% 12/18/2015 DD 12/17/15	(349,535)	349,535
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/29/2015 DD 12/28/15	(192,938)	192,938
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/30/2015 DD 12/29/15	(548,351)	548,351
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.250% 12/23/2015 DD 12/22/15	(182,930)	182,930
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.290% 12/28/2015 DD 12/24/15	(175,503)	175,503
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/31/2015 DD 12/30/15	(524,001)	524,001
SS&C TECH HOLDINGS INC 144A	SS&C TECH HOLDINGS INC 144A 5.875% 07/15/2023 DD 07/08/15	(15,000)	15,075
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 08/24/2015	(1,648,969)	1,648,969

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 12/17/2015	\$(399,690)	\$399,690
SUNGARD DATA SYSTEMS INC	SUNGARD DATA SYSTEMS INC 6.625% 11/01/2019 DD 05/01/13	(358,994)	369,200
SVENSKA HDLSBK DISC	SVENSKA HDLSBK DISC 08/24/2015	(334,559)	334,493
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 06/30/2015	(424,663)	424,615
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 10/06/2015	(899,235)	899,419
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 11/02/2015	(899,094)	899,283
THUNDER BAY FDG DISC	THUNDER BAY FDG DISC 09/03/2015	(928,734)	929,279
T-MOBILE USA INC	T-MOBILE USA INC 6.500% 01/15/2026 DD 11/05/15	(224,000)	227,080
TOYOTA MTR CR CP DISC	TOYOTA MTR CR CP DISC 04/15/2015	(449,844)	449,844
UNITED OVERSEAS DISC	UNITED OVERSEAS DISC 09/14/2015	(374,456)	374,906
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP JUN 15	-	(18,128)

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4I SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP SEP 15	\$-	\$7,881
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP DEC 15	-	35,508
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP JUN 15	-	22,589
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP SEP 15	-	(7,910)
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP DEC 15	-	11,302
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP JUN 15	-	(379,529)
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP SEP 15	-	165,168
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP DEC 15	-	(86,248)
USG CORP 144A	USG CORP 144A 5.500% 03/01/2025 DD 02/24/15	(15,000)	15,113
VALEANT PHARMACEUTICALS I 144A	VALEANT PHARMACEUTICALS I 144A 6.750% 08/15/2018 DD 07/12/13	(118,320)	111,360
VERIZON COMMUNIATIONS	VERIZON COMMUNIATIONS 4.522% 09/15/2048 DD 03/13/15	(654)	654

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
VERIZON COMMUNICATIONS IN 144A	VERIZON COMMUNICATIONS IN 144A 4.522% 09/15/2048 DD 03/13/15	\$(972,312)	\$1,082,411
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 08/28/2015	(999,489)	999,489
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 11/02/2015	(549,778)	549,731
VISANT 9/14 COV-LITE TL	VISANT 9/14 COV-LITE TL	(488,775)	486,281
VISANT HOLDING CORP 1L GTD SR	VISANT HOLDING CORP 1L GTD SR	488,775	(486,281)
VOLKSWAGEN GROUP OF AMERI 144A	VOLKSWAGEN GROUP OF AMERI 144A VAR RT 11/22/2016 DD 05/22/15	(445,000)	433,927
WELLS FARGO & CO	WELLS FARGO & CO VAR RT 10/28/2015 DD 10/28/05	(210,155)	209,995
WESTPAC BANKING DISC	WESTPAC BANKING DISC 01/04/2016	(798,126)	798,530
WHITING CANADIAN HOLDING CO UL	WHITING CANADIAN HOLDING CO UL 8.125% 12/01/2019 DD 06/01/12	(313,125)	313,500
WYNN MACAU LTD 144A	WYNN MACAU LTD 144A 5.250% 10/15/2021 DD 10/16/13	(188,000)	189,500
ZF NA CAPITAL 144A	ZF NA CAPITAL 144A 4.500% 04/29/2022 DD 04/29/15	(153,853)	155,775

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY PROCEEDS OF DISPOSITIONS	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	
ZF NA CAPITAL 144A	ZF NA CAPITAL 144A 4.750% 04/29/2025 DD 04/29/15	\$(148,530)	\$150,750
ZF NORTH AMERICA CAPITAL 144A	ZF NORTH AMERICA CAPITAL 144A 4.000% 04/29/2020 DD 04/29/15	(299,910)	302,625

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
 SCHEDULE OF REPORTABLE TRANSACTIONS
 FOR THE YEAR ENDED DECEMBER 31, 2015

SERIES OF TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF 5% OF THE CURRENT VALUE
 OF PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Net Gain (Loss)
The Bank of New York Mellon	BNY Mellon Cash Reserve*	316	\$ 81,272,893	\$ -	\$ -	\$ -
The Bank of New York Mellon	BNY Mellon Cash Reserve*			81,272,893	81,272,778	-
The Bank of New York Mellon	BNY Mellon Cash Reserve*	315	81,341,747	-	-	-
The Bank of New York Mellon	BNY Mellon Cash Reserve*		-	-	-	-

ROCKWELL COLLINS, INC.
Rockwell Collins Pension Plan

Attained Age	Under 1		1-4		5-9		10-14		15-19		20-24		25-29		30-34		35-39		40 & Over		Total	Avg. Accrued Benefit
	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit	No.	Avg. Accrued Benefit		
Under 25	6		13																		19	
25-29	30	173	51	1,355	42	4,035	4														127	2,045
30-34	151	305	334	1,353	109	3,853	31	6,867	1												626	1,816
35-39	92	378	222	1,709	421	4,722	54	7,173	54	10,260											843	3,966
40-44	83	395	177	2,080	355	5,467	171	8,734	72	10,808	5										863	5,425
45-49	74	411	171	2,248	352	6,105	167	9,682	278	11,479	67	12,705	35	17,112							1,144	7,712
50-54	84	523	182	2,519	458	6,679	205	10,680	354	13,665	357	17,112	148	13,551	2						1,790	10,473
55-59	49	527	139	2,962	352	6,807	148	9,955	218	14,248	220	19,443	429	20,751	50	18,405	41	20,925	18		1,664	13,681
60-64	23	419	70	2,810	184	7,393	88	11,067	130	12,390	89	15,807	169	22,628	116	28,102	27	21,759	77	21,550	973	15,668
65-69	10		21	3,295	63	7,168	11		26	14,908	11		41	27,000	22	36,889	12		30	22,221	247	17,171
70 & Over			2		6		3		8		1		3		2		2		7		34	16,569
Total	602	379	1,382	2,018	2,342	5,950	882	9,464	1,141	12,678	750	17,334	825	19,924	192	25,998	82	17,627	132	17,621	8,330	9,581

¹ Counts include participants who are active as of the valuation date and do not include participants on leave/layoff or transferred employees.

Note: Plan is primarily pay-related. All pay-related benefits are frozen. Accrued benefits for all active participants are shown in lieu of pay.

Attachment to 2015 Schedule SB, Part V
Statement of Actuarial Assumptions**ROCKWELL COLLINS, INC.**
ROCKWELL COLLINS PENSION PLAN**Plan Sponsor** Rockwell Collins**EIN/PN** 52-2314475/001**Economic Assumption**

1. Interest rate basis:

Applicable month	January
Interest rate basis	3-Segment Rates

2. Interest rates:

	Reflecting Corridors	Not Reflecting Corridors
First segment rate:	4.72%	1.22%
Second segment rate:	6.11%	4.11%
Third segment rate:	6.81%	5.20%
Effective interest rate	6.24%	

3. Annual rates of increase

Compensation:	N/A
Future Social Security wage bases	N/A
Statutory limits on compensation	N/A

4. Administrative expenses

Assumed administrative expenses are equal to \$2,500,000 plus the actual PBGC variable rate premium for 2015.

Demographic Assumptions

1. New or rehired employees It was assumed there will be no new or rehired employees.

2. Mortality (ERISA)

Healthy

Separate rates for non-annuitants (based on RP-2000 "Employees" table without collar or amount adjustments, projected to 2030 using Scale AA) and annuitants (based on RP-2000 "Healthy Annuitants" table without collar or amount adjustments, projected to 2022 using Scale AA).

Disabled

Separate rates for non-annuitants (based on RP-2000 "Employees" table without collar or amount adjustments, projected to 2030 using Scale AA) and annuitants (based on RP-2000 "Healthy Annuitants" table without collar or amount adjustments, projected to 2022 using Scale AA).

3. Termination

Rates varying by age, service and sub-plan.

Representative Termination Rates**Percentage leaving during the year**

	Salaried Sub-Plans	Collins Radio Production	Coralville	Electronics Offsite
<u>Vesting Service</u>	<u>Select Rates</u>			
0 to 1	N/A	0.050	0.300	N/A
1 to 2	N/A	0.050	0.300	N/A
2 to 3	N/A	0.050	0.100	N/A
3 to 4	N/A	N/A	0.075	N/A
4 to 5	N/A	N/A	0.050	N/A

Representative Termination Rates**Percentage leaving during the year**

	Salaried Sub-Plans	Collins Radio Production	Coralville	Electronics Offsite
<u>Attained Age</u>	<u>Ultimate Rates</u>			
20	0.150	0.050	0.050	0.050
25	0.150	0.050	0.040	0.040
30	0.075	0.020	0.030	0.030
35	0.050	0.020	0.030	0.030
40	0.040	0.020	0.020	0.020
45	0.040	0.020	0.020	0.020
50	0.040	0.020	0.020	0.020
55	-	-	-	-

4. Disability

The rates at which participants become disabled varies by age and sub-plan. See sample rates below:

Percentage becoming disabled during the year

Collins Radio Production Employees Sub-Plan

<u>Age</u>	<u>Rate</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	-

Percentage becoming disabled during the year

Certain Salaried Employees Sub-Plan

Coralville Hourly Employees Sub-Plan

Electronics Offsite Hourly Employees Sub-Plan

Electronics salaried Employees Sub-Plan

Kaiser Aerospace Employees Sub-Plan

<u>Age</u>	<u>Rates</u>
20	0.00043
30	0.00097
40	0.00219
50	0.00608
60	0.01596

5. Retirement

Rates varying by age, service and sub-plan.

For the purposes of determining the Funding Target and Target Normal Cost (both disregarding at-risk assumptions), the rates at which participants retire by age, service and sub-plan are shown below.

ROCKWELL COLLINS, INC.**U.S. Pension Plans**Annual Rate of Retirement - ActivesAerospace Salaried Employees Sub-Plan

<u>Age</u>	<u>Years of Service</u>		
	<u>10</u>	<u>20</u>	<u>30</u>
55	0.074	0.060	0.078
60	0.094	0.164	0.167
62	0.108	0.093	0.096
65	0.223	0.209	0.212

Certain Salaried Employees Sub-Plan

Electronics Salaried Employees Sub-Plan

Kaiser Aerospace Employees Sub-Plan

<u>Age</u>	<u>Age plus Years of Service</u>	
	<u>< 85</u>	<u>85 +</u>
55	0.020	0.075
60	0.050	0.150
62	0.125	0.200
65	0.150	0.150

Collins Radio Production Employees Sub-Plan

<u>Age</u>	<u>Years of Service</u>	
	<u>< 30</u>	<u>30 +</u>
55	0.040	0.070
60	0.040	0.100
62	0.100	0.250
65	0.200	0.400

Electronics Offsite Hourly Employees Sub-PlanCoralville Hourly Employees Sub-Plan

<u>Age</u>	
55	0.020
60	0.020
62	0.120
65	0.200

For all sub-plans, assumed retirement rate is 100% at age 70

6. Benefit commencement date:

Preretirement death benefit	The later of the death of the active participant or the date the participant would have attained age 55
Deferred vested benefit	For participants in the Certain Salaried, Electronics Salaried, Kaiser Salaried, Coralville, and Electronics Offsite sub-plans, 10% are assumed to retire at the earliest retirement eligibility and 90% are assumed to retire at age 62. In the Collins Production sub-plan, 60% of participants with 10 or more years of vested service are assumed to retire at the earliest eligibility, the remaining 40% are assumed to retire at age 62. Those participants with less than 10 years of vesting service are assumed to retire at age 65.
Disability benefit	Upon disablement
Retirement benefit	Upon termination of employment

7. Percent married

For the It is assumed that 85% of employees are married. For the Certain Salaried Employees Sub-Plan, Electronics salaried Employees Sub-Plan, and Kaiser Aerospace Employees Sub-Plan we assume 65% of employees elect survivor benefits. In the case of terminated vested employees, those in the Aerospace Hourly Sub-Plan, Aerospace Salaried Sub-Plan, Kaiser UAW Sub-Plan, and the Salaried Sub-Plans we assume 65% of employees elect survivor benefits. For the Ashtabula Sub-Plan, Centralia Sub-Plan, and Kenton Hourly Sub-Plan we assume 85% of employees elect survivor benefits. For all other sub-plans we assume no survivor benefits are elected.

8. Spouse age

Wife three years younger than husband.

9. At-risk assumptions

For at-risk calculations, all participants eligible to elect benefits during the current and subsequent ten plan years are assumed to commence benefits at the earliest possible date under the plan, but not before the end of the current plan year, except in accordance with the regular valuation assumptions. In addition, all participants (not just those eligible to begin benefits within the next 11 years) are assumed to elect the most valuable form of benefit under the plan, which is usually, depending on the sub-plan, the 50% or 60% joint and survivor form of payment.

10. Timing of benefit payments

Annuity payments are payable monthly at the beginning of the month.

Methods

- | | |
|---|---|
| 1. Valuation date | First day of plan year. |
| 2. Funding target | Present value of accrued benefits as required by regulations under IRC §430. |
| 3. Target normal cost | Present value of benefits expected to accrue during the plan year plus plan-related expenses expected to be paid from plan assets during the plan year as required by regulations under IRC §430. |
| 4. Actuarial value of assets [for determining minimum required contributions] | The actuarial value of assets is equal to the market value of assets as of the valuation date plus the discounted present value of contributions made after the valuation date for the 2014 plan year, discounted using the effective interest rate for the 2014 plan year. |
| 5. Benefits not valued | All benefits described in the Plan Provisions section of this report were valued. Towers Watson has reviewed the plan provisions with Rockwell Collins and, based on that review, is not aware of any significant benefits required to be valued that were not. |

Assumptions Rationale - Significant Economic Assumptions

- | | |
|----------------------------|---|
| 1. Discount rate | The basis chosen was selected by the plan sponsor from among choices prescribed by law, all of which are based on observed market data over certain periods of time. |
| 2. Administrative Expenses | Expected Administrative expenses for the plan are based on the expected VRP plus historical actual administrative expenses and input from Rockwell Collins on expected future expenses. |

Assumptions Rationale - Significant Demographic Assumptions

- | | |
|-------------------------------|---|
| 1. Healthy Mortality (ERISA) | Assumptions used for funding purposes are as prescribed by IRC §430(h). |
| 2. Disabled Mortality (ERISA) | Assumptions used for funding purposes are as prescribed by IRC §430(h). |

- | | |
|---|---|
| 3. Termination | Termination rates were based on an experience study conducted in 2014, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future. |
| 4. Disability | Disability rates are based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future. |
| 5. Retirement for Active and Terminated Vested Participants | Retirement rates were based on an experience study conducted in 2014, with annual consideration of whether any conditions have changed that would be expected to produce different results in the future. |
| 6. Benefit commencement date for deferred preretirement death benefit | Surviving spouses are assumed to begin benefits at the earliest permitted commencement date because ERISA requires benefits to start then unless the spouse elects to defer. If the spouse elects to defer, actuarial increases from the earliest commencement date must be given, so that a later commencement date is expected to be of approximately equal value, and experience indicates that most spouses do take the benefit as soon as it is available. |
| 7. Form of payment | The percentage of retiring participants assumed to take joint and survivor annuities, and the assumed survivor percentages, are based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results. |
| 8. Percent married | The assumed percentage married is based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results. |
| 9. Spouse age | The assumed age difference for spouses is based on past experience, with annual consideration of whether any conditions have changed that would be expected to produce different results. |

Source of Prescribed Methods

Funding methods

The methods used for funding purposes as described in Appendix A, including the method of determining plan assets, are "prescribed methods set by law", as defined in the actuarial standards of practice (ASOPs). These methods are required by IRC §430, or were selected by the plan sponsor from a range of methods permitted by IRC §430.

Source of Participant Data

Participant Data

Participant data was supplied by Rockwell Collins as of the census date.

Changes in Assumptions and Methods

1. Change in assumptions since prior valuation

The segment interest rates used to calculate the funding target and target normal cost were updated to the current valuation date as required by IRC 430.

The mortality table used to calculate the funding target and target normal cost was updated to include one additional year of projected mortality improvement, as required by IRC 430.

2. Change in methods since prior valuation

No changes.

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4j
SCHEDULE OF REPORTABLE TRANSACTIONS
FOR THE YEAR ENDED DECEMBER 31, 2015

SERIES OF TRANSACTIONS INVOLVING AN AMOUNT IN EXCESS OF 5% OF THE CURRENT VALUE
OF PLAN ASSETS AT THE BEGINNING OF THE PLAN YEAR

Identity of Party Involved	Description of Asset	No. of Transactions	Purchase Price	Selling Price	Cost of Asset	Net Gain (Loss)
The Bank of New York Mellon	BNY Mellon Cash Reserve*	316	\$ 81,272,893	\$ -	\$ -	\$ -
The Bank of New York Mellon	BNY Mellon Cash Reserve*			81,272,893	81,272,778	-
The Bank of New York Mellon	BNY Mellon Cash Reserve*	315	81,341,747	-	-	-
The Bank of New York Mellon	BNY Mellon Cash Reserve*		-	-	-	-

SCHEDULE SB (Form 5500) <small>Department of the Treasury Internal Revenue Service</small> <small>Department of Labor Employee Benefits Security Administration</small> <small>Pension Benefit Guaranty Corporation</small>	Single-Employer Defined Benefit Plan Actuarial Information This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code). ► File as an attachment to Form 5500 or 5500-SF.	OMB No. 1210-0110 2015 This Form is Open to Public Inspection
---	--	---

For calendar plan year 2015 or fiscal plan year beginning 01/01/2015 and ending 12/31/2015

► **Round off amounts to nearest dollar.**
 ► **Caution:** A penalty of \$1,000 will be assessed for late filing of this report unless reasonable cause is established.

A Name of plan ROCKWELL COLLINS PENSION PLAN	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">B Three-digit plan number (PN) ►</td> <td style="width: 30%; text-align: center;">001</td> </tr> </table>	B Three-digit plan number (PN) ►	001
B Three-digit plan number (PN) ►	001		
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF ROCKWELL COLLINS, INC.	D Employer Identification Number (EIN) 52-2314475		
E Type of plan: ☒ Single ☐ Multiple-A ☐ Multiple-B			
F Prior year plan size: ☐ 100 or fewer ☐ 101-500 ☒ More than 500			

Part I Basic Information			
1 Enter the valuation date: Month <u>01</u> Day <u>01</u> Year <u>2015</u>			
2 Assets:			
a Market value	2a	2,879,387,027	
b Actuarial value	2b	2,879,387,027	
3 Funding target/participant count breakdown	(1) Number of participants	(2) Vested Funding Target	(3) Total Funding Target
a For retired participants and beneficiaries receiving payment	13,805	1,792,921,900	1,792,921,900
b For terminated vested participants	7,127	314,034,378	314,034,378
c For active participants	9,490	619,540,157	642,148,596
d Total	30,422	2,726,496,435	2,749,104,874
4 If the plan is in at-risk status, check the box and complete lines (a) and (b) ☐			
a Funding target disregarding prescribed at-risk assumptions	4a		
b Funding target reflecting at-risk assumptions, but disregarding transition rule for plans that have been in at-risk status for fewer than five consecutive years and disregarding loading factor	4b		
5 Effective interest rate	5	6.24%	
6 Target normal cost	6	20,074,549	

Statement by Enrolled Actuary
 To the best of my knowledge, the information supplied in this schedule and accompanying schedules, statements and attachments, if any, is complete and accurate. Each prescribed assumption was applied in accordance with applicable law and regulations. In my opinion, each other assumption is reasonable (taking into account the experience of the plan and reasonable expectations) and such other assumptions, in combination, offer my best estimate of anticipated experience under the plan.

SIGN HERE	STEVE VERGULDI Signature of actuary	06/30/2016 Date 1407161 Most recent enrollment number 703-258-8036 Telephone number (including area code)
STEVE VERGULDI Type or print name of actuary TOWERS WATSON DELAWARE INC. Firm name 901 N GLEBE ROAD ARLINGTON VA 22203-8215 Address of the firm		

If the actuary has not fully reflected any regulation or ruling promulgated under the statute in completing this schedule, check the box and see instructions ☐

	(a) Carryover balance	(b) Prefunding balance
7 Balance at beginning of prior year after applicable adjustments (line 13 from prior year).....	0	129,650,576
8 Portion elected for use to offset prior year's funding requirement (line 35 from prior year)	0	48,400,000
9 Amount remaining (line 7 minus line 8).....	0	81,250,576
10 Interest on line 9 using prior year's actual return of <u>8.15%</u>	0	6,621,922
11 Prior year's excess contributions to be added to prefunding balance:		
a Present value of excess contributions (line 38a from prior year).....		43,815,366
b(1) Interest on the excess, if any, of line 38a over line 38b from prior year Schedule SB, using prior year's effective interest rate of <u>6.46%</u>		0
b(2) Interest on line 38b from prior year Schedule SB, using prior year's actual return		3,570,952
c Total available at beginning of current plan year to add to prefunding balance		47,386,318
d Portion of (c) to be added to prefunding balance.....		47,386,318
12 Other reductions in balances due to elections or deemed elections	0	0
13 Balance at beginning of current year (line 9 + line 10 + line 11d – line 12)	0	135,258,816

14	Funding target attainment percentage	14	99.81%
15	Adjusted funding target attainment percentage	15	104.73%
16	Prior year's funding percentage for purposes of determining whether carryover/prefunding balances may be used to reduce current year's funding requirement.....	16	99.10%
17	If the current value of the assets of the plan is less than 70 percent of the funding target, enter such percentage.	17	%

18 Contributions made to the plan for the plan year by employer(s) and employees:						
(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	(a) Date (MM-DD-YYYY)	(b) Amount paid by employer(s)	(c) Amount paid by employees	
10/05/2015	55,000,000	0				
			Totals ►	18(b)	55,000,000	18(c) 0

a Contributions allocated toward unpaid minimum required contributions from prior years.....	19a	0
b Contributions made to avoid restrictions adjusted to valuation date	19b	0
c Contributions allocated toward minimum required contribution for current year adjusted to valuation date	19c	52,523,608

a Did the plan have a "funding shortfall" for the prior year? ☒ Yes ☐ No

b If line 20a is "Yes," were required quarterly installments for the current year made in a timely manner? ☒ Yes ☐ No

c If line 20a is "Yes," see instructions and complete the following table as applicable:

Liquidity shortfall as of end of quarter of this plan year				
(1) 1st	(2) 2nd	(3) 3rd	(4) 4th	
0	0	0	0	

Part V Assumptions Used to Determine Funding Target and Target Normal Cost

21 Discount rate:			
a Segment rates:	1st segment: 4.72%	2nd segment: 6.11%	3rd segment: 6.81%
			☐ N/A, full yield curve used
b Applicable month (enter code)			21b 0
22 Weighted average retirement age			22 63
23 Mortality table(s) (see instructions) ☐ Prescribed - combined ☒ Prescribed - separate ☐ Substitute			

Part VI Miscellaneous Items

24 Has a change been made in the non-prescribed actuarial assumptions for the current plan year? If "Yes," see instructions regarding required attachment		☐ Yes ☒ No
25 Has a method change been made for the current plan year? If "Yes," see instructions regarding required attachment		☐ Yes ☒ No
26 Is the plan required to provide a Schedule of Active Participants? If "Yes," see instructions regarding required attachment		☒ Yes ☐ No
27 If the plan is subject to alternative funding rules, enter applicable code and see instructions regarding attachment	27	

Part VII Reconciliation of Unpaid Minimum Required Contributions For Prior Years

28 Unpaid minimum required contributions for all prior years	28	0
29 Discounted employer contributions allocated toward unpaid minimum required contributions from prior years (line 19a)	29	0
30 Remaining amount of unpaid minimum required contributions (line 28 minus line 29)	30	0

Part VIII Minimum Required Contribution For Current Year

31 Target normal cost and excess assets (see instructions):			
a Target normal cost (line 6)		31a	20,074,549
b Excess assets, if applicable, but not greater than line 31a		31b	0
32 Amortization installments:		Outstanding Balance	Installment
a Net shortfall amortization installment		4,976,663	0
b Waiver amortization installment		0	0
33 If a waiver has been approved for this plan year, enter the date of the ruling letter granting the approval (Month _____ Day _____ Year _____) and the waived amount		33	0
34 Total funding requirement before reflecting carryover/prefunding balances (lines 31a - 31b + 32a + 32b - 33)		34	20,074,549
	Carryover balance	Prefunding balance	Total balance
35 Balances elected for use to offset funding requirement	0	20,300,000	20,300,000
36 Additional cash requirement (line 34 minus line 35)		36	0
37 Contributions allocated toward minimum required contribution for current year adjusted to valuation date (line 19c)		37	52,523,608
38 Present value of excess contributions for current year (see instructions)			
a Total (excess, if any, of line 37 over line 36)		38a	52,523,608
b Portion included in line 38a attributable to use of prefunding and funding standard carryover balances		38b	52,523,608
39 Unpaid minimum required contribution for current year (excess, if any, of line 36 over line 37)		39	0
40 Unpaid minimum required contributions for all years		40	0

Part IX Pension Funding Relief Under Pension Relief Act of 2010 (See Instructions)

41 If an election was made to use PRA 2010 funding relief for this plan:			
a Schedule elected		☐ 2 plus 7 years ☒ 15 years	
b Eligible plan year(s) for which the election in line 41a was made		☐ 2008 ☒ 2009 ☒ 2010 ☐ 2011	
42 Amount of acceleration adjustment		42 0	
43 Excess installment acceleration amount to be carried over to future plan years		43 0	

EIN: 52-2314475
PN: 001

Attachment to 2015 Schedule SB, Line 19
Discounted Employer Contributions as of January 1, 2015

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Date	Applicable Plan Year	Contribution	Applicable Effective Rate	Interest Adjusted Contribution
10/05/2015	2015	\$55,000,000	6.24%	\$52,523,608

EIN: 52-2314475
PN: 001

Attachment to 2015 Schedule SB, Line 22
Description of Weighted Average Retirement Age

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

<u>Sub-Plan Name</u>	Active Participant Counts at <u>1/1/2015</u>	Weighted Average <u>Retirement Age</u>
Certain Salaried	62	63.040
Electronics Salaried	5,882	63.092
Collins Production	1,169	63.288
Coralville	523	64.818
Kaiser Ret Plan	202	63.869
Offsite Electronics	492	64.556
TOTAL	8,330	63.333

Weighted Average Retirement Age is determined using the actual active population (excluding participants in leave, layoff or transfer status as of January 1, 2015) and the Plan's retirement decrement assumptions.

Attachment to 2015 Schedule SB, Part V
Summary of Plan Provisions

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Plan Benefits Valued

The valuation is based on benefit provisions in effect on the valuation date, including future increases under existing bargaining agreements.

1. Active Employees:
 - a. Salaried Employees -

Entitled to vested, accrued benefit as of September 30, 2006. Accrue eligibility service toward early retirement benefits. Employees rehired after September 30, 2006 who terminated non-vested prior to the freeze can continue to earn eligibility service.

- b. Hourly Employees -

Accrue benefits under one of the sub-plans, descriptions of which are attached.

2. Inactive Participants:

Entitled to vested, accrued benefit determined as of the date of separation.

Changes from Prior Valuation

The plan was amended during 2014 to allow for a one-time election for immediate lump sum payment of benefits for certain terminated vested participants. The payment of lump sums was reflected in the census data as of January 1, 2015 and in the January 1, 2015 market value of assets.

Certain Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - December 31, 1940.
 - b. Latest Amendment - October 31, 2005.
Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Employees on certain salaried payrolls are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 Service	No Pre-1993 Service
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Certain Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Certain Salaried Employees Sub-Plan4. Retirement Benefits: (Cont'd)
a. Normal (Cont'd) -

- ii. The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following un-reduced retirement ages:

Benefit <u>Attributable To</u>	With Pre-1993 <u>Service</u>	No Pre-1993 <u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

b. Regular Early (Cont'd) -

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. $2\frac{2}{3}\%$ of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus $1\frac{1}{2}\%$ of such earnings per year in excess of 15 (maximum 20 years).

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 55 (Cont'd) -

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.

- ii. Sum of (A) plus (B):

- (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

- (B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

c. 55/Rule of 55 (Cont'd) -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Benefit Prior to Age 62: Greater of:

iii. Regular early retirement benefit including any supplement.

iv. Sum of (A) plus (B):

(A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) Amount determined in ii.(B) above.

d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

Certain Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
 - e. Disability - Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.
5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of determination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
 - a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006, or death benefit accrued as of December 31, 1971 if greater, is payable to the employee's designated beneficiary.
 - b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
 - i. On death after age 55, the greater of 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee) or the survivor benefit the spouse would have received if the employee had retired and elected the joint and survivor annuity in 7.c. below.

Certain Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
(Cont'd)
- b. Survivor Benefit - (Cont'd)
 - ii. On death after 10 years of service and prior to age 55, 25% of the Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced by 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - iii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Collins Radio Production Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Bargaining unit employees of Collins Radio.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before age 65.
 - c. Late - First day of any month after normal retirement date.
 - d. Disability - On total and permanent disability at any age after 10 years of vesting service.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals the post-4/30/92 benefit unit times post-4/30/92 service (maximum 30 years), plus the pre-5/1/92 benefit unit times total service (maximum 30 years) in excess of post-4/30/92 service, plus a lifetime supplement of \$50. 12/31/92 is used instead of 4/30/92 for any employee eligible to retire before 1/1/93.

Collins Radio Production Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

a. Normal (Cont'd) -

Post-4/30/92 benefit unit:

Labor Grade	Cedar Rapids IBEW 1362	Cedar Rapids IBT 238	Cedar Rapids IBEW 1429	Dallas IUE
<u>787</u>				
1	\$44.00			\$44.00
2	48.00			45.75
3	49.50			47.25
4	51.75			49.75
5	54.75		\$52.00	52.75
6	57.75	\$48.00		56.50
7	60.75	49.50	47.00	60.00
8	64.00	51.75		63.75
9	66.50	53.25		67.25
10	69.00	54.75		69.00
11		57.75		
12		60.75		

Pre-5/1/92 benefit unit: 102% of the greater of \$18 or 1/12 the sum of \$44.20 plus 1.9% of the highest average W-2 pay for 3 consecutive calendar years out of the 10 calendar years preceding the earlier of the date of termination or 5/1/92. Pre-5/1/92 benefit unit further increased by 6% for retirements between 5/1/2003 and 3/31/2010 and 3% for retirements on 4/1/2010 and thereafter.

b. Early -

Benefit determined as for normal retirement, based on credited service and labor grade at early retirement date, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

c. Late -

Greater of:
i) Benefit determined as for normal retirement, based on credited service and labor grade at actual retirement date and
ii) Benefit determined based on credited service and labor grade at normal retirement date, actuarially increased to account for payment at actual retirement date

d. Disability -

Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Collins Radio Production Employees Sub-Plan

- | | | |
|----|---|---|
| 5. | Termination Benefits: | On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and labor grade at date of termination. The deferred vested benefit is payable without reduction beginning at age 65 or with subsidized reduction from age 62 at any time after age 55 if the employee had at least 10 years of vesting service at termination. Lifetime supplement payable without reduction. |
| 6. | Death Benefits Before Retirement: | Upon death after 1 year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if the employee had retired on the first day of the month in which the employee died and had taken the joint and survivor annuity. If death occurs prior to the employee's earliest retirement date, the benefit will commence when the employee would have been eligible to retire and will be determined as if the employee had survived to that date and then died. |
| 7. | Death Benefits After Retirement: | |
| | a. Normal Form for Single Participants - | Single life annuity. |
| | b. Normal Form for Married Participants - | A married employee who does not elect a straight life annuity will automatically receive a benefit payable during the employee's lifetime consisting of the lifetime supplement plus 88% of the balance of the otherwise determined retirement benefit. On the employee's death, 50% of the reduced income will continue to the surviving spouse for the spouse's remaining lifetime. The employee's percentage is reduced 1/2% for each year that the employee's age exceeds the spouse's age, or increased 1/2% for each year that the spouse's age exceeds the employee's age (maximum increase is 10%). |
| | c. Optional Forms - | Actuarially equivalent joint and survivor annuity for 50%, 75% or 100% or Social Security leveling option. |
| 8. | Employee Contributions: | None. |

Coralville Hourly Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - April 1, 1986.
 - b. Latest Amendment - May 1, 2008.
2. Eligibility: Hourly payroll employees of the Coralville, Iowa Plant are covered by the sub-plan.
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 5 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None.
4. Retirement Benefits:
 - a. Normal - Monthly benefit equals \$32.00 per year of credited service (maximum 30 years).
 - b. Early - Monthly benefit determined as for normal retirement, based on service credited to early retirement date, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.
 - c. Late - Benefit determined as for normal retirement, based on employee's credited service to actual retirement date.
 - d. Disability - Benefit determined as for normal retirement, based on credited service and labor grade at date of disability, payable immediately with subsidized reduction from age 62. Lifetime supplement payable without reduction.

Coralville Hourly Employees Sub-Plan

5. Termination Benefits: On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to his date of termination. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.
6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 5 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 5 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Normal Form for Single Participants - Single life annuity.
 - b. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: None.

Electronics Salaried Employees Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Sub-Plan - January 1, 1947.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees at certain locations of Electronics Operations are covered by the sub-plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

	With Pre-1993 Service	No Pre-1993 Service
<u>Year of Birth</u>		
1937 & earlier	.455%	.480%
1938 thru 1954	.425%	.455%
1955 & later	.400%	.430%

Electronics Salaried Employees Sub-Plan

3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.
 - b. Regular Early - First day of any month after employee reaches age 55, but before age 65.
 - c. 55/Rule of 85 - First day of any month after employee with pre-1993 service reaches age 55 (but before age 62) with 85 "points" (i.e., the sum of age and service equals 85 or more). An employee with no pre-1993 service is not eligible.
 - d. Late - First day of any month after employee reaches normal retirement date.
 - e. Disability - On total and permanent disability and entitlement to disability benefits under the LTD plan.
4. Retirement Benefits:
 - a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued December 31, 1993 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

Alternate Benefit: For an employee with pre-1993 service, the annual benefit equals i. minus ii.:

 - i. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, benefit is determined as if the employee remained in covered employment until age 62 at the average annual earnings on the date of determination, and is multiplied by the ratio of actual service to service projected to age 62.

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)

a. Normal (Cont'd) -

- ii The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years). If date of determination is prior to age 62, the offset is determined as if employee remained in covered employment until the earlier of age 62 or 85 "points", and is multiplied by the ratio of actual service to service projected to earlier of age 62 or 85 "points".

For an employee with no pre-1993 service, the annual benefit equals 1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service earned prior to September 30, 2006 (no maximum).

b. Regular Early -

Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes the following unreduced retirement ages:

Benefit	With	No
<u>Attributable To</u>	<u>Pre-1993</u>	<u>Pre-1993</u>
	<u>Service</u>	<u>Service</u>
Pre-2003 Service	60	62
Post-2002 Service	62	62

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 -

PRE-2003 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

The Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years).

Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years).

POST-2002 RETIREMENTS

Lifetime Benefit: Greater of:

- i. Regular early retirement benefit.
- ii. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

Electronics Salaried Employees Sub-Plan

4. Retirement Benefits: (Cont'd)
 c. 55/Rule of 85 -

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and attainment of 85 "points", with the net result multiplied by the ratio of credited service at 12/31/2002 to such projected service.

(B) 2-2/3% of Average Annual Earnings per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years),

minus

the Offset Percentage of the Social Security Earnings Limit per year of credited service earned prior to September 30, 2006 (maximum 15 years) plus .25% of such limit per year in excess of 15 (maximum 20 years),

minus

the amount determined in (A) above, with the net result reduced by 1/2% for each month that benefit commencement precedes age 62.

Electronics Salaried Employees Sub-Plan4. Retirement Benefits: (Cont'd)
c. 55/Rule of 85 (Cont'd) -Benefit Prior to Age 62: Greater of:

- iii. Regular early retirement benefit including any supplement.
- iv. Sum of (A) plus (B):
 - (A) 2-2/3% of Average Annual Earnings per year of service earned prior to September 30, 2006 (maximum 15 years) plus 1/2% of such earnings per year in excess of 15 (maximum 20 years), based on credited service projected to the later of 12/31/2002 and the attainment of 85 points, the result multiplied by the ratio of credited service at 12/31/2002 to such projected service.
 - (B) Amount determined in ii.(B) above.

d. Late -

Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.

e. Disability -

Benefit determined as for normal retirement, based on service and earnings to earlier of date of disability and September 30, 2006; payable at normal retirement date. If employee recovers before normal retirement date and returns to work, period of disability is counted in determining vesting service and credited service.

5. Termination Benefits:

On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.

Electronics Salaried Employees Sub-Plan

6. Death Benefits Before Retirement:
- a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
 - b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
 - i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
 - ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.
 - d. Optional Forms - Surviving spouse option for 90%/75% or 85%/100%; joint annuitant option for 50%, 75% or 100% with approximate actuarial reduction.
8. Employee Contributions: None.

Kaiser Aerospace Retirement Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan - January 1, 1976.
 - b. Latest Amendment - October 31, 2004. Benefits frozen as of September 30, 2006.
2. Definitions:
 - a. Eligible Employee - Salaried employees of the Kaiser Aerospace operations acquired by Rockwell Collins are covered by the plan.
 - b. Earnings - Total salary or wages for the year (not in excess of \$220,000 for 2006, indexed thereafter) including overtime, accrued bonuses, commissions and elective deferrals of bonus, but excluding expense reimbursement, foreign service premium, performance awards, relocation payments, tuition payments and similar items. Earnings after September 30, 2006 are not used in determining the benefit.
 - c. Average Annual Earnings - Highest amount that can be determined by averaging the Earnings for any 5 consecutive calendar years within the 10 calendar years immediately preceding the date of determination.
 - d. Social Security Earnings Limit - The lesser of Average Annual Earnings, or the average of the Social Security wage bases during the 35 calendar years ending with the calendar year before the year in which employee reaches Social Security retirement age, assuming no change in the wage base after the calendar year of determination.
 - e. Offset Percentage -

<u>Year of Birth</u>	
1937 & earlier	.480%
1938 thru 1954	.455%
1955 & later	.430%
3. Retirement Dates:
 - a. Normal - First day of the month coincident with or next following the date employee reaches age 65.

Kaiser Aerospace Retirement Plan

3. Retirement Dates: (Cont'd)
- b. Early - First day of any month after employee reaches age 55, but before age 65.
 - c. Late - First day of any month after employee reaches normal retirement date.
 - d. Disability - None.
4. Retirement Benefits:
- a. Normal - Annual benefit equal to the greatest of:

Career-Average ("Tack-On") Benefit: Benefit accrued under the prior formula as of 12/31/2000 plus, for each year of service thereafter through September 30, 2006, 1-1/2% of Earnings.

1-1/2% of Average Annual Earnings minus Offset Percentage of the Social Security Earnings Limit, per year of credited service earned prior to September 30, 2006 (maximum 35 years).

Minimum Benefit - 1-1/2% of Average Annual Earnings up to a maximum of \$28,000 per year of credited service, earned prior to September 30, 2006 (no maximum).
 - b. Early - Benefit determined as for normal retirement, based on service and earnings to earlier of early retirement date and September 30, 2006; payable immediately with reduction of 1/2% for each month that benefit commencement precedes age 62.

Supplemental Benefit: If employee is at least age 60 and has 15 years of service or an employee is at least age 55 and has 30 years of service, a supplement of \$325 per month is payable until the earliest of age 62, eligibility for Social Security benefits, or death. If employee attained eligibility for supplemental benefit after September 30, 2006, the monthly supplement is multiplied by the ratio of service at September 30, 2006 to service at the date that eligibility for the supplemental benefit was attained.
 - c. Late - Benefit determined as for normal retirement, based on service and earnings to earlier of actual retirement date and September 30, 2006.
 - d. Disability - None.

Kaiser Aerospace Retirement Plan

5. Termination Benefits: On termination of employment after 5 years of service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on credited service and earnings to earlier of date of termination and September 30, 2006. The benefit is payable without reduction beginning at age 62, or with reduction beginning as early as age 55. If employee dies before benefits commence, the surviving spouse will receive a benefit (commencing when the employee would have attained age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died.
6. Death Benefits Before Retirement:
- a. Lump Sum - On death of employee, a lump-sum death benefit equal to one year's base salary at earlier of date of death and September 30, 2006 is payable to the employee's designated beneficiary.
- b. Survivor Benefit - In lieu of the lump-sum benefit in 6.a. above, the surviving spouse is entitled to the following annual benefit:
- i. On death after age 55, 25% of Average Annual Earnings at earlier of date of death and September 30, 2006 (reduced 1-1/2% for each year that the spouse is more than 5 years younger than the employee).
- ii. On death after 5 years but less than 10 years of service and prior to age 55, the survivor benefit the spouse would have received if the employee had survived to age 55, retired with the joint and survivor annuity in 7.c. below, and then died, with payments commencing when employee would have attained age 55.
7. Death Benefits After Retirement:
- a. Lump Sum - None.
- b. Normal Form for Single Participants - Single life annuity.
- c. Normal Form for Married Participants - Joint and survivor annuity providing an income of 95% of the benefit otherwise payable during the employee's lifetime and, after his death, 60% of the reduced benefit during the remaining lifetime of the surviving spouse.

d. Optional Forms -

Surviving spouse option for 90%/75% or 85%/100%;
joint annuitant option for 50%, 75% or 100% with
approximate actuarial reduction.

8. Employee Contributions:

None.

Off-Site Electronics Sub-Plan

Outline of Benefit Provisions

1. Effective Date:
 - a. Plan Merger - December 31, 1993.
 - b. Latest Amendment - October 31, 2005. Benefits frozen as of September 30, 2006.
2. Eligibility: Employees entitled to benefits under the following former retirement plans:

Bellevue, Iowa Hourly Payroll
Decorah, Iowa Hourly Payroll
Manchester, Iowa Hourly Payroll
Mason City, Iowa Hourly Payroll
Sumner, Iowa Hourly Payroll
Maine Electronics Inc. Hourly Payroll
Maine Electronics Inc. Salary Payroll
3. Retirement Dates:
 - a. Normal - First day of the month following the employee's 65th birthday.
 - b. Early - First day of any month after the employee reaches age 55 and completes 10 years of vesting service, but before normal retirement age.
 - c. Late - First day of any month following normal retirement date.
 - d. Disability - On total and permanent disability after 10 years of vesting service.

Off-Site Electronics Sub-Plan

4. Retirement Benefits:

a. Normal -

Monthly benefit equals the following benefit unit for each year of credited service earned prior to September 30, 2006 (maximum 30 years):

<u>Labor Grade</u>	Bellevue Decorah	Mason City
	<u>Manchester</u>	<u>Sumner</u>
A	\$18.00	\$12.00
B	19.00	13.00
C	20.00	14.00

For employees of the former Maine Hourly and Maine Salaried plans, the benefit unit is \$10.00 and the maximum service is 35 years.

b. Early -

Monthly benefit determined as for normal retirement, based on service credited to earlier of early retirement date and September 30, 2006, payable immediately with reduction of 1/2% for each month by which actual retirement precedes normal retirement date.

c. Late -

Benefit determined as for normal retirement, based on employee's credited service to earlier of his actual retirement date and September 30, 2006.

d. Disability -

Monthly benefit determined as for normal retirement, based on service credited to earlier of date of disability and September 30, 2006, payable without reduction after 6 months of disability.

5. Termination Benefits:

On termination of employment after 5 years of vesting service, an employee is entitled to a deferred vested benefit determined as for normal retirement, based on his service credited to earlier of his date of termination and September 30, 2006. The deferred vested benefit is payable without reduction at or after age 65, or beginning at any time after age 55 with reduction of 1/2% for each month by which benefit commencement precedes age 65. If the employee dies after one year of marriage and before benefits commence, his surviving spouse will receive a benefit (commencing at age 55 or at death, whichever is later) as if the employee had survived to age 55, retired with an actuarially reduced joint and 50% survivor annuity, and then died.

Off-Site Electronics Sub-Plan

6. Death Benefits Before Retirement: Upon death after one year of marriage and 5 years of vesting service, the surviving spouse will receive 50% of the benefit which would have been payable to the employee if he had retired on the first day of the month in which he died and had taken the joint and survivor annuity. If death occurs prior to age 55 and after 10 years of vesting service, the benefit will commence at age 55 and will be determined as if the employee had survived to age 55 and then died. If death occurs prior to age 65 with less than 10 years of vesting service, the benefit will commence at age 65 and will be determined as if the employee had survived to age 65 and then died.
7. Death Benefits After Retirement:
- a. Lump Sum - \$1,000 payable to the beneficiary of an early retiree and, if the retiree is not covered by group insurance, to the beneficiary of a disabled, late or normal retiree. The beneficiary of a terminated vested retiree will not receive the lump sum. If the former plan is Maine Salaried, the amount payable is \$1,000 to \$5,000 depending on pay. This benefit will be eliminated for active employees as of October 1, 2006 and retirees as of January 1, 2007.
 - b. Normal Form for Single Participants - Single life annuity.
 - c. Normal Form for Married Participants - Reduced joint and survivor annuity providing 50% (55% if former plan is Maine) of the employee's reduced benefit to the spouse after his death. Reduction is 10%, plus 1/24% for each month employee's age exceeds spouse's age by more than 60 months, or minus 1/24% for each month spouse's age exceeds employee's age by more than 60 months. Not effective during first year of marriage.
8. Employee Contributions: Non

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
PREFERRED STOCK				
ACE CAPITAL TRUST II	344,000	\$ 512,732	\$ 497,080	
BANCO ABC BRASIL SA	55,198	280,330	121,802	
BANK OF AMERICA CORP	375,000	402,656	381,563	
BANK OF AMERICA CORP	307,000	296,065	292,418	307,000
CITIGROUP INC	372,000	365,955	362,700	372,000
GMAC CAPITAL TRUST I	22,880	604,718	580,923	
GOLDMAN SACHS CAPITAL II	912,000	670,320	642,960	
GOLDMAN SACHS GROUP INC/THE	291,000	294,347	289,181	291,000
ILFC E-CAPITAL TRUST I 144A	1,017,000	945,810	925,470	
ILFC E-CAPITAL TRUST II 144A	243,000	233,280	223,560	
JPMORGAN CHASE & CO	271,000	291,677	275,878	271,000
JPMORGAN CHASE & CO	604,000	590,978	573,800	437,000
LINCOLN NATIONAL CORP	950,000	942,270	731,500	199,000
VOLKSWAGEN AG	39,369	7,923,315	5,720,024	
TOTAL PREFERRED STOCKS		<u>14,354,453</u>	<u>11,618,859</u>	
COMMON STOCK				
2U INC	16,160	438,480	452,157	16,160
AAC HOLDINGS INC	13,423	427,130	255,842	12,449
AALBERTS INDUSTRIES NV	33,350	993,181	1,151,691	
ABBOTT LABORATORIES	32,400	1,458,648	1,455,084	
ABBOTT LABORATORIES	21,590	971,982	969,607	
ABBVIE INC	22,520	1,433,451	1,334,085	
ABENGOA YIELD PLC	58,777	1,545,164	1,133,808	31,420
ABERCROMBIE & FITCH CO	43,374	959,709	1,171,098	41,439
ABIOMED INC	9,450	766,106	853,146	9,250
ACADIA HEALTHCARE CO INC	49,950	3,057,440	3,119,877	49,950
ACTIVISION BLIZZARD INC	18,200	368,655	704,522	18,200
ADEPTUS HEALTH INC	7,831	378,196	426,946	7,831
ADOBE SYSTEMS INC	39,700	2,886,951	3,729,418	
ADT CORP/THE	30,984	1,103,564	1,021,852	30,984
AECOM	15,350	467,337	460,961	15,350
AEROJET ROCKETDYNE HOLDINGS IN	61,027	1,157,834	955,683	1,709
AFFYMETRIX INC	55,800	550,746	563,022	55,800
AGILENT TECHNOLOGIES INC	18,000	736,920	752,580	
AINSWORTH GAME TECHNOLOGY LTD	124,600	266,853	207,595	74,676
AIR PRODUCTS & CHEMICALS INC	2,425	349,758	315,517	
AIR WATER INC	44,000	703,149	715,441	
AIRBUS GROUP SE	27,800	1,390,989	1,872,347	
AKZO NOBEL NV	101,560	7,087,340	6,804,823	
ALERE INC	24,525	1,048,247	958,682	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
ALEXION PHARMACEUTICALS INC	3,400	\$ 600,871	\$ 648,550	
ALGONQUIN POWER & UTIL CORP	135,862	1,130,766	1,067,061	
ALGONQUIN PWR & UTIL CO	30,100	250,519	236,406	
ALIBABA GROUP HOLDING LTD	15,200	1,236,006	1,235,304	15,200
ALIGN TECHNOLOGY INC	8,370	467,967	551,165	8,370
ALK-ABELLO A/S	7,540	883,021	961,460	2,000
ALLETE INC	26,153	1,442,076	1,329,357	300
ALLIANT ENERGY CORP	16,596	1,061,301	1,036,420	
ALLSTATE CORP/THE	23,100	1,395,845	1,434,279	
ALON USA ENERGY INC	81,500	1,500,672	1,209,460	81,400
ALPHABET INC	2,518	1,321,846	1,910,860	
ALPHABET INC	3,911	2,075,411	3,042,797	
ALPHABET INC	950	606,323	720,936	
ALTEN SA	18,920	809,308	1,097,725	
ALTRAN TECHNOLOGIES SA	193,620	1,839,644	2,595,465	
ALTRIA GROUP INC	35,830	1,765,344	2,085,664	
AMERICAN AIRLINES GROUP INC	29,975	1,495,182	1,269,441	
AMERICAN CAMPUS COMMUNITIES IN	28,340	1,024,173	1,171,576	27,798
AMERICAN EXPRESS CO	15,715	1,455,813	1,092,978	
AMERICAN FINANCIAL GROUP INC/O	33,582	2,048,349	2,420,591	
AMERICAN INTERNATIONAL GROUP I	87,100	4,864,475	5,397,587	
AMERISAFE INC	39,870	1,688,893	2,029,383	
AMGEN INC	33,800	5,384,002	5,486,754	
AMTRUST FINANCIAL SERVICES INC	24,291	1,366,369	1,495,840	23,440
ANALOG DEVICES INC	6,500	360,880	359,580	
ANHEUSER-BUSCH INBEV SA/NV	8,420	936,204	1,052,500	8,420
ANTHEM INC	15,900	2,096,045	2,217,096	
APARTMENT INVESTMENT & MANAGEM	33,896	1,344,414	1,356,857	
APOGEE ENTERPRISES INC	18,186	854,865	791,273	7,022
APPLE INC	37,580	4,148,080	3,955,671	
APPLIED MATERIALS INC	38,500	959,420	718,795	
ARCH CAPITAL GROUP LTD	37,950	2,252,231	2,647,013	37,163
ARGO GROUP INTERNATIONAL HOLDI	30,500	1,629,388	1,825,120	
ARISTOCRAT LEISURE LTD	154,575	829,817	1,148,227	
ARROW ELECTRONICS INC	27,930	1,653,655	1,513,247	
ARYZTA AG	49,410	2,779,872	2,517,393	39,962
ASAHI HOLDINGS INC	30,900	586,570	486,509	
ASALEO CARE LTD	368,410	507,371	427,519	131,215
ASCENA RETAIL GROUP INC	93,941	1,195,070	925,319	
ASHLAND INC	10,097	1,190,615	1,036,962	
ASSTEAD GROUP PLC	105,573	1,896,361	1,741,209	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
ASML HOLDING NV	6,400	\$ 649,187	\$ 568,128	6,400
ASSOCIATED BANC-CORP	114,000	2,123,820	2,137,500	62,062
ASSURANT INC	13,372	971,747	1,076,981	
AT&T INC	18,064	467,745	621,582	
ATMOS ENERGY CORP	20,343	1,178,607	1,282,423	
ATS AUTOMATION TOOLING SYS INC	53,241	687,937	436,169	14,697
AUTOMATIC DATA PROCESSING INC	3,670	305,968	310,922	
AVERY DENNISON CORP	19,756	1,053,852	1,237,911	7,409
AVISTA CORP	38,720	1,368,752	1,369,526	18,958
AVIVA PLC	676,825	5,089,820	5,147,473	
AZIMUT HOLDING SPA	75,506	1,658,269	1,891,431	
BABCOCK INTERNATIONAL GROUP PL	57,919	955,482	867,327	
BALFOUR BEATTY PLC	488,889	1,672,442	1,946,990	
BANCA GENERALI SPA	16,614	463,392	526,634	
BANCA MEDIOLANUM SPA	92,063	589,310	731,059	
BANCA POPOLARE DELL'EMILIA ROM	127,737	844,715	976,875	
BANCO POPOLARE SC	62,644	762,572	871,723	
BANCORPSOUTH INC	56,871	1,280,166	1,364,335	56,871
BANK OF AMERICA CORP	271,831	4,658,816	4,574,916	
BARCLAYS PLC	1,155,834	4,347,605	3,729,145	
BARNES GROUP INC	59,155	2,189,327	2,093,495	
BAYER AG	16,571	2,266,805	2,084,525	
BAYTEX ENERGY CORP	135,274	2,061,589	436,274	
BBCN BANCORP INC	76,955	1,106,613	1,325,165	
BED BATH & BEYOND INC	42,800	3,090,859	2,065,100	39,577
BERRY PLASTICS GROUP INC	31,213	1,037,152	1,129,286	
BILLERUDKORSNAS AB	59,260	860,864	1,104,983	
BIOGEN INC	15,900	5,397,255	4,870,965	
BIOMARIN PHARMACEUTICAL INC	15,900	1,437,360	1,665,684	
BLACKBERRY LTD	160,700	1,764,486	1,491,296	160,700
BLACKROCK INC	2,525	902,276	859,813	
BNP PARIBAS SA	52,159	3,071,740	2,959,369	
BOEING CO/THE	1,700	220,966	245,803	
BOEING CO/THE	8,900	1,156,822	1,286,851	
BOOT BARN HOLDINGS INC	47,422	964,838	582,816	47,275
BOSTON SCIENTIFIC CORP	32,300	427,975	595,612	
BOVIS HOMES GROUP PLC	69,576	1,044,380	1,040,863	
BRINKER INTERNATIONAL INC	33,335	1,956,431	1,598,413	33,335
BRITISH AMERICAN TOBACCO PLC	108,502	5,921,874	6,030,625	
BROOKS AUTOMATION INC	263,940	3,365,235	2,818,879	18,800
BRUKER CORP	54,082	1,061,089	1,312,570	2,200

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
BRUNSWICK CORP/DE	24,811	\$ 1,286,127	\$ 1,253,204	
BUZZI UNICEM SPA	38,850	494,080	700,566	38,849
BWX TECHNOLOGIES INC	39,698	1,027,104	1,261,205	
CACI INTERNATIONAL INC	11,806	1,157,446	1,095,361	
CAIXABANK SA	678,413	3,160,693	2,368,590	
CALAMOS ASSET MANAGEMENT INC	121,000	1,611,720	1,171,280	25,835
CALATLANTIC GROUP INC	86,668	3,471,537	3,286,451	80,892
CALERES INC	38,885	1,250,153	1,042,896	31,829
CAL-MAINE FOODS INC	-	-	-	14,258
CALLIDUS SOFTWARE INC	86,626	1,388,271	1,608,645	60,700
CALPINE CORP	170,700	3,330,562	2,470,029	55,926
CANADIAN PACIFIC RAILWAY LTD	7,545	1,453,846	962,742	
CAPITAL ONE FINANCIAL CORP	29,300	2,401,059	2,114,874	
CAPSTONE MINING CORP	298,100	522,463	94,424	
CARMAX INC	36,100	2,329,845	1,948,317	36,100
CARNIVAL CORP	56,300	2,552,079	3,067,224	
CARNIVAL PLC	61,163	2,775,625	3,485,127	
CASEY'S GENERAL STORES INC	9,864	890,916	1,188,119	
CATERPILLAR INC	19,930	1,774,068	1,354,443	19,930
CAVIUM INC	13,010	808,891	854,887	
CBS CORP	18,900	850,303	890,757	13,010
CCL INDUSTRIES INC	10,750	1,168,230	1,736,360	
CEB INC	3,628	263,139	222,723	
CELGENE CORP	7,300	815,897	874,248	
CENTURY TOKYO LEASING CORP	15,200	380,967	550,281	
CERUS CORP	110,980	584,897	701,394	110,980
CH ROBINSON WORLDWIDE INC	2,400	179,736	148,848	
CHARLES RIVER LABORATORIES INT	12,913	821,783	1,038,076	
CHARLES SCHWAB CORP/THE	103,787	3,133,330	3,417,706	85,887
CHEVRON CORP	18,720	2,100,010	1,684,051	
CHUBB LTD	14,200	1,581,143	1,659,270	14,200
CIE FINANCIERE RICHEMONT SA	32,963	2,767,665	2,374,258	
CIENA CORP	57,979	1,149,721	1,199,586	44,506
CISCO SYSTEMS INC	50,500	1,402,805	1,371,328	
CITIGROUP INC	89,316	4,780,034	4,622,103	
CITIZENS FINANCIAL GROUP INC	60,400	1,498,115	1,581,876	
CLARIANT AG	119,923	2,018,277	2,277,459	
CNH INDUSTRIAL NV	207,700	1,625,433	1,420,668	207,700
CNO FINANCIAL GROUP INC	273,540	4,710,359	5,221,879	251,750
COCA-COLA CO/THE	55,030	2,323,367	2,364,089	
COGNEX CORP	108,180	4,353,013	3,653,239	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
COMCAST CORP	27,500	\$ 1,693,793	\$ 1,551,825	
COMCAST CORP	21,460	1,244,917	1,210,988	
COMERICA INC	46,965	2,231,390	1,964,546	
COMMERCIAL METALS CO	104,000	1,694,160	1,423,760	
COMMSCOPE HOLDING CO INC	43,232	1,359,013	1,119,276	
CONFORMIS INC	28,163	458,053	486,938	
CONOCOPHILLIPS	14,450	997,917	674,671	14,450
CONSORT MEDICAL PLC	4,917	71,734	83,705	
CONSTELLIUM NV	20,900	298,003	160,930	20,900
CORNING INC	39,100	896,563	714,748	
CORNING INC	235,300	5,050,019	4,301,284	
COWEN GROUP INC	534,000	2,563,200	2,045,220	534,000
CRAMO OYJ	49,450	965,584	1,026,542	
CRAY INC	9,225	292,884	299,351	7,906
CREDITO VALTELLINESE SC	917,470	880,376	1,087,343	110,743
CREE INC	24,600	792,563	656,082	24,600
CSX CORP	11,000	398,530	285,450	
CUBESMART	35,238	777,703	1,078,988	
CUBIC CORP	40,875	2,151,660	1,931,344	
CUMMINS INC	33,900	4,363,837	2,983,539	33,900
CVB FINANCIAL CORP	185,000	2,963,700	3,130,200	182,742
CVS HEALTH CORP	4,800	462,288	469,296	
CYBERAGENT INC	6,900	260,991	288,516	
CYBERARK SOFTWARE LTD	28,460	1,348,999	1,284,684	28,460
CYNOSURE INC	43,243	1,225,600	1,931,665	29,004
CYPRESS SEMICONDUCTOR CORP	132,282	1,405,427	1,297,686	62,930
DAICEL CORP	92,600	1,095,182	1,396,371	
DAIFUKU CO LTD	91,800	1,174,646	1,583,482	
DARDEN RESTAURANTS INC	18,867	982,363	1,200,696	
DAWNRAYS PHARMACEUTICAL HOLDIN	930,118	686,566	722,478	
DDR CORP	63,303	1,141,862	1,066,023	63,303
DEERE & CO	6,700	522,581	511,009	6,700
DELTA AIR LINES INC	18,500	910,015	937,765	
DELUXE CORP	22,540	1,403,115	1,229,332	22,540
DEMANDWARE INC	37,460	2,130,939	2,021,716	33,460
DESCARTES SYSTEMS GROUP INC/TH	4,900	72,618	98,392	600
DIAGEO PLC	100,136	2,789,068	2,740,017	
DIAGEO PLC	5,275	601,825	575,344	
DIAMONDROCK HOSPITALITY CO	75,976	1,108,202	733,168	75,976
DIPLOMAT PHARMACY INC	32,790	903,952	1,122,074	30,885
DISCOVERY COMMUNICATIONS INC	28,700	778,758	765,716	28,700

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
DOMINO'S PIZZA GROUP PLC	65,410	\$ 716,484	\$ 1,014,210	
DOUTOR NICHIRE HOLDINGS CO LT	42,300	611,417	657,558	
DOW CHEMICAL CO/THE	6,875	314,869	353,925	
DR HORTON INC	35,950	923,099	1,151,479	
DTS CORP	44,900	965,821	1,030,167	
DUERR AG	3,850	341,296	307,814	
DUKE REALTY CORP	73,150	1,477,630	1,537,613	
DYCOM INDUSTRIES INC	4,110	299,847	287,536	
E*TRADE FINANCIAL CORP	7,600	210,564	225,264	
EAST JAPAN RAILWAY CO	52,500	4,312,224	4,997,090	
EAST WEST BANCORP INC	29,395	1,137,880	1,221,656	
EBAY INC	13,100	295,733	359,988	
EFG INTERNATIONAL AG	20,778	242,565	218,989	
ELECTRONIC ARTS INC	4,425	208,041	304,086	
ELEMENT FINANCIAL CORP	79,570	1,022,422	956,604	
ELI LILLY & CO	98,900	6,823,111	8,333,314	
ELIOR	59,350	1,079,939	1,244,308	
EMBRAER SA	17,800	620,694	525,812	
EMC CORP/MA	48,750	1,449,825	1,251,900	
EMCOR GROUP INC	26,434	1,180,163	1,269,889	
EMPEROR WATCH & JEWELLERY LTD	3,200,000	158,868	79,689	2,343,383
ENERSYS	11,556	733,084	646,327	
ENGIE SA	252,348	5,397,672	4,475,101	
ENTEGRIS INC	17,888	236,300	237,374	8,200
ENTELLUS MEDICAL INC	26,835	542,274	452,438	23,380
ENVESTNET INC	68,613	3,396,859	2,048,098	66,069
EOG RESOURCES INC	1,300	119,691	92,027	
ESTEE LAUDER COS INC/THE	12,655	964,311	1,114,399	
EUROFINS SCIENTIFIC SE	2,150	551,671	751,695	
EXACT SCIENCES CORP	10,045	253,444	92,715	10,045
EXAMWORKS GROUP INC	56,419	2,326,275	1,500,745	56,419
EXPEDIA INC	-	69	60	
EXXON MOBIL CORP	35,455	3,277,569	2,763,717	
F5 NETWORKS INC	1,600	208,744	155,136	
FACEBOOK INC	15,100	1,243,518	1,580,366	
FEDEX CORP	14,580	2,531,963	2,172,274	
FIESTA RESTAURANT GROUP INC	47,859	2,875,625	1,608,062	32,788
FINANCIAL ENGINES INC	18,610	680,196	626,599	18,610
FINISH LINE INC/THE	74,000	2,021,789	1,337,920	
FIRST BANCORP/PUERTO RICO	123,726	726,272	402,110	
FIRST REPUBLIC BANK/CA	16,905	1,032,191	1,116,744	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
FIVE BELOW INC	9,050	\$ 369,512	\$ 290,505	3,394
FLEETMATICS GROUP PLC	23,930	849,276	1,215,405	16,414
FLEXTRONICS INTERNATIONAL LTD	133,000	1,486,940	1,490,930	
FLIGHT CENTRE TRAVEL GROUP LTD	6,550	174,849	190,094	6,548
FNB CORP/PA	165,000	2,197,800	2,201,100	164,999
FNF GROUP	42,810	1,480,719	1,484,223	
FRANKLIN RESOURCES INC	11,065	612,669	407,413	
GATEGROUP HOLDING AG	24,840	709,964	1,094,350	17,886
GATX CORP	30,700	1,766,478	1,306,285	27,534
GENERAL MOTORS CO	62,100	2,131,237	2,112,021	
GENESEE & WYOMING INC	15,491	1,317,919	831,712	5,298
GENTHERM INC	11,250	412,285	533,250	
GERRESHEIMER AG	16,143	921,052	1,265,934	
G-III APPAREL GROUP LTD	20,980	1,087,770	928,575	20,980
GILEAD SCIENCES INC	7,790	734,285	788,270	
GIVAUDAN SA	363	656,115	661,088	
GLACIER BANCORP INC	52,160	1,448,483	1,383,805	
GLAUKOS CORP	30,325	815,323	748,724	30,325
GLAXOSMITHKLINE PLC	69,800	2,984,326	2,816,430	69,800
GLAXOSMITHKLINE PLC	174,011	3,787,677	3,521,399	
GLOBAL INDEMNITY PLC	65,520	1,858,802	1,901,390	16,200
GMO INTERNET INC	36,400	312,100	484,748	
GOLDMAN SACHS GROUP INC/THE	5,600	1,085,448	1,009,288	
GOODYEAR TIRE & RUBBER CO/THE	45,825	1,309,220	1,497,103	45,825
GRAN TIERRA ENERGY INC	264,385	1,017,882	573,715	
GRAND CANYON EDUCATION INC	25,560	1,192,630	1,025,467	8,400
GRAPHIC PACKAGING HOLDING CO	73,964	1,060,914	948,958	
GREENBRIER COS INC/THE	59,000	3,391,871	1,924,580	59,000
GROUP 1 AUTOMOTIVE INC	32,800	2,939,536	2,482,960	1,300
GRUPO AEROPORTUARIO DEL CENTRO	98,900	455,122	478,777	52,355
GUIDEWIRE SOFTWARE INC	16,474	834,079	991,076	13,978
HABIT RESTAURANTS INC/THE	51,344	1,516,954	1,183,993	40,823
HAIR CELESTIAL GROUP INC/THE	24,454	1,299,865	987,697	
HAKUHODO DY HOLDINGS INC	57,200	553,418	627,181	
HALMA PLC	80,550	863,483	1,026,951	
HANOVER INSURANCE GROUP INC/TH	15,388	1,173,628	1,251,660	
HARLEY-DAVIDSON INC	17,300	974,700	785,247	17,300
HAVAS SA	51,690	422,633	435,562	
HAYS PLC	541,500	1,229,350	1,164,452	
HEADWATERS INC	57,992	1,151,148	978,325	
HEALTH EQUITY INC	66,970	1,743,159	1,678,938	6,000

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
HEALTHSOUTH CORP	21,183 \$	815,913 \$	737,380	
HERA SPA	474,410	1,163,844	1,262,611	
HESS CORP	63,700	3,604,563	3,088,176	
HEWLETT PACKARD ENTERPRISE CO	252,700	4,426,288	3,841,040	252,700
HILLENBRAND INC	36,015	1,136,205	1,067,124	34,802
HILL-ROM HOLDINGS INC	22,188	1,012,217	1,066,355	22,188
HITACHI LTD	797,000	5,670,809	4,581,450	
HOME DEPOT INC/THE	3,550	372,644	469,488	
HONDA MOTOR CO LTD	56,700	1,695,329	1,810,431	25,800
HONEYWELL INTERNATIONAL INC	4,500	449,640	466,065	
HORTONWORKS INC	14,930	360,345	326,967	
HP INC	93,100	1,632,049	1,102,304	
HP INC	61,600	1,027,197	729,344	
HSBC HOLDINGS PLC	613,388	5,624,451	4,899,095	
HUBSPOT INC	28,933	1,154,551	1,629,217	23,604
HUMANA INC	6,000	861,780	1,071,060	
HUNTINGTON BANCSHARES INC/OH	219,818	2,342,568	2,431,187	101,224
HUNTINGTON INGALLS INDUSTRIES	16,325	1,914,236	2,070,826	
HUTCHISON TELECOMMUNICATIONS H	1,158,000	489,789	401,930	
IBJ LEASING CO LTD	18,800	376,800	387,112	
ICON PLC	13,390	708,802	1,040,403	13,390
ICU MEDICAL INC	17,500	1,433,250	1,973,650	
IDEX CORP	4,600	358,064	352,406	
ILLUMINA INC	1,750	323,015	335,904	
IMAX CORP	32,860	1,036,575	1,167,844	
IMPERIAL OIL LTD	70,820	2,921,962	2,298,298	
INC RESEARCH HOLDINGS INC	25,360	925,530	1,230,214	
INCHCAPE PLC	66,454	751,233	768,880	
INDUSTRIA MACCHINE AUTOMATICHE	9,300	427,305	484,823	9,299
INFINERA CORP	47,515	947,787	860,972	18,382
INFRASTRUTTURE WIRELESS ITALIA	296,455	1,356,282	1,623,077	
INOGEN INC	29,460	1,348,877	1,181,051	29,460
INSIGHT ENTERPRISES INC	92,965	2,401,672	2,335,281	5,739
INTEL CORP	66,475	2,410,967	2,290,064	56,704
INTERCONTINENTAL EXCHANGE INC	3,000	704,668	768,780	
INTERMEDIATE CAPITAL GROUP PLC	238,067	2,008,945	2,200,061	
INTERNATIONAL BUSINESS MACHINE	6,205	995,530	853,932	
INTERNATIONAL CONSOLIDATED AIR	245,372	1,859,801	2,207,896	
INTERNATIONAL PAPER CO	49,300	2,047,423	1,858,610	
INTERSECT ENT INC	30,699	640,218	690,728	3,530
INTERSIL CORP	86,053	1,210,565	1,098,036	86,053

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
INTERXION HOLDING NV	58,310 \$	1,631,087 \$	1,758,047	
INTUIT INC	11,175	1,030,223	1,078,388	
INVESTMENT TECHNOLOGY GROUP IN	42,357	881,873	720,916	
IPG PHOTONICS CORP	40,000	2,996,800	3,566,400	34,001
IPSOS	15,110	433,602	348,305	
JABIL CIRCUIT INC	15,000	327,450	349,350	15,000
JACK IN THE BOX INC	12,200	1,033,495	935,862	2,400
JACOBS ENGINEERING GROUP INC	22,075	975,872	926,046	13,975
JANUS CAPITAL GROUP INC	63,136	1,018,384	889,586	63,136
JAPAN AIRLINES CO LTD	69,800	2,538,265	2,527,526	
JAPAN LOGISTICS FUND INC	242	543,968	471,346	
JETBLUE AIRWAYS CORP	94,794	1,503,433	2,147,084	44,192
JOHN BEAN TECHNOLOGIES CORP	11,040	527,494	550,123	172
JOHNSON & JOHNSON	17,000	1,777,690	1,746,240	
JOHNSON & JOHNSON	5,020	524,941	515,654	
JOHNSON CONTROLS INC	61,200	2,924,879	2,416,788	
JPMORGAN CHASE & CO	23,600	1,486,262	1,558,308	
JPMORGAN CHASE & CO	56,800	3,526,564	3,750,504	
JPMORGAN CHASE & CO	24,990	1,563,874	1,650,090	
JSL SA	48,900	234,548	88,993	
JUST EAT PLC	88,346	566,591	642,862	
KAISER ALUMINUM CORP	11,823	866,216	989,112	
KANAMOTO CO LTD	6,900	188,477	176,379	6,899
KANSAI PAINT CO LTD	41,000	662,631	628,829	
KBC GROEP NV	17,178	966,456	1,076,149	
KDDI CORP	260,400	5,563,584	6,827,396	
KELLOGG CO	11,200	732,928	809,424	
KEYCORP	130,938	1,820,038	1,727,072	
KEYSIGHT TECHNOLOGIES INC	7,600	256,652	215,308	
KINDER MORGAN INC/DE	16,575	701,288	247,299	
KINGSPAN GROUP PLC	19,650	341,207	518,916	
KION GROUP AG	27,810	1,091,464	1,390,264	
KIRBY CORP	8,800	710,512	463,056	
KLA-TENCOR CORP	14,200	982,346	984,770	
KOHL'S CORP	24,745	1,296,726	1,178,604	4,909
KONINKLIJKE PHILIPS NV	22,823	663,826	580,845	
L BRANDS INC	40,830	3,533,837	3,912,331	15,341
LACLEDE GROUP INC/THE	35,275	1,876,630	2,095,688	35,275
LADBROKES PLC	508,600	919,853	897,302	
LANCASHIRE HOLDINGS LTD	22,201	193,855	205,495	22,200
LAVENDON GROUP PLC	161,300	433,850	352,450	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
LA-Z-BOY INC	38,055 \$	1,041,221 \$	929,303	
LEGRAND SA	64,232	3,378,611	3,642,267	
LENDINGTREE INC	14,839	1,500,256	1,324,826	14,839
LEOPALACE21 CORP	182,300	975,627	997,160	
LEXINGTON REALTY TRUST	103,332	1,134,585	826,656	103,332
LIBERBANK SA	1,465,907	1,173,036	926,785	
LIFEPOINT HEALTH INC	25,000	1,969,071	1,835,000	8,719
LIGAND PHARMACEUTICALS INC	14,500	1,072,164	1,572,090	4,842
LINDE AG	19,642	3,679,873	2,857,038	
LINDSAY CORP	13,730	1,177,210	994,052	11,628
LITHIA MOTORS INC	13,670	1,551,346	1,458,179	3,453
LITTELFUSE INC	10,882	1,051,963	1,164,483	400
LLOYDS BANKING GROUP PLC	3,395,869	3,985,139	3,657,279	
LOGMEIN INC	13,750	975,330	922,625	639
MACK-CALI REALTY CORP	59,185	1,126,703	1,381,970	59,185
MANITOWOC CO INC/THE	67,343	1,453,240	1,033,715	56,936
MANPOWERGROUP INC	5,000	340,850	421,450	
MANPOWERGROUP INC	16,177	1,415,996	1,363,559	
MARATHON OIL CORP	202,700	4,927,792	2,551,993	50,983
MARKETAXESS HOLDINGS INC	34,830	2,587,350	3,886,680	18,046
MARSH & MCLENNAN COS INC	19,025	1,088,991	1,054,936	
MATADOR RESOURCES CO	24,841	667,118	491,107	17,916
MATRIX SERVICE CO	64,095	1,333,773	1,316,511	
MAXIM INTEGRATED PRODUCTS INC	22,473	762,539	853,974	
MAXIMUS INC	5,090	279,136	286,313	
MCBC HOLDINGS INC	24,921	358,586	341,418	
MCDONALD'S CORP	9,920	929,504	1,171,949	
MCGRAW HILL FINANCIAL INC	5,110	454,688	503,744	
MEDCATH CORP	51,000	510	510	
MEDIASET ESPANA COMUNICACION S	51,380	641,892	559,815	
MEDICAL PROPERTIES TRUST INC	71,773	978,951	826,107	71,773
MEDTRONIC PLC	19,300	1,447,886	1,484,556	
MEDTRONIC PLC	24,300	1,786,390	1,869,156	
MEITEC CORP	11,600	346,370	400,665	
MELIA HOTELS INTERNATIONAL SA	75,723	811,830	1,001,901	
MERITOR INC	100,000	1,311,361	835,000	28,337
MERLIN PROPERTIES SOCIMI SA	140,310	1,606,205	1,759,675	
MICHAEL PAGE INTERNATIONAL PLC	262,114	1,683,440	1,870,995	
MICHAEL PAGE INTERNATIONAL PLC	116,060	745,401	828,448	
MICRO FOCUS INTERNATIONAL PLC	56,899	987,571	1,337,622	
MICRON TECHNOLOGY INC	10,900	381,609	154,344	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
MICROSOFT CORP	77,366 \$	3,593,651 \$	4,292,266	
MICROSOFT CORP	81,749	3,726,095	4,535,435	
MICROSOFT CORP	14,000	607,596	776,720	
MILACRON HOLDINGS CORP	43,371	838,636	542,571	43,029
MISUMI GROUP INC	88,800	1,005,774	1,244,580	
MONOLITHIC POWER SYSTEMS INC	11,820	575,327	753,052	
MONSANTO CO	17,880	2,136,124	1,761,538	
MORPHOSYS AG	9,618	891,840	602,329	8,934
MOTA-ENGIL SGPS SA	225,970	738,024	472,532	180,791
MOTORCAR PARTS OF AMERICA INC	36,261	1,138,818	1,225,984	
MULTI-COLOR CORP	12,970	854,586	775,736	12,970
MURPHY OIL CORP	79,500	3,978,019	1,784,775	74,913
NACHI-FUJIKOSHI CORP	142,000	744,330	658,681	60,000
NAGACORP LTD	686,000	514,609	433,721	
NATIONAL OILWELL VARCO INC	2,600	170,378	87,074	2,600
NATUS MEDICAL INC	19,410	897,146	932,651	
NESTLE SA	28,465	2,089,786	2,119,959	
NETAPP INC	63,625	2,436,775	1,687,971	61,825
NEUSTAR INC	17,900	497,620	429,063	17,900
NEVRO CORP	19,300	975,295	1,302,943	17,335
NEXITY SA	25,450	1,140,232	1,128,109	
NICHIAS CORP	92,000	528,696	611,064	
NIFCO INC/JAPAN	19,100	624,480	893,911	
NIKE INC	11,600	639,509	725,000	
NIKON CORP	260,600	3,486,404	3,520,304	260,599
NIMBLE STORAGE INC	600	16,500	5,520	
NINE ENTERTAINMENT CO HOLDINGS	577,430	647,945	800,308	577,429
NIPPON SHOKUBAI CO LTD	7,000	463,864	492,872	
NISHIO RENT ALL CO LTD	25,800	828,625	715,267	
NORDIC AMERICAN TANKERS LTD	100,000	1,538,980	1,554,000	89,200
NORFOLK SOUTHERN CORP	900	98,649	76,131	1,000
NORTHSTAR REALTY EUROPE CORP	67,800	785,525	800,718	67,800
NORTHSTAR REALTY FINANCE CORP	35,377	1,147,512	602,470	
NORWEGIAN CRUISE LINE HOLDINGS	4,200	196,377	246,120	
NOS SGPS SA	58,700	434,551	462,047	54,654
NOVARTIS AG	86,862	8,066,462	7,507,387	36,690
NOVO NORDISK A/S	28,485	1,205,485	1,654,409	28,485
NRG ENERGY INC	152,000	2,693,021	1,789,040	152,000
NUANCE COMMUNICATIONS INC	61,300	874,751	1,219,257	
NVIDIA CORP	46,750	937,338	1,540,880	46,750
NXSTAGE MEDICAL INC	79,324	1,388,760	1,737,989	24,097

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
OBIC CO LTD	32,000	\$ 1,108,400	\$ 1,713,122	
OCCIDENTAL PETROLEUM CORP	14,675	1,184,135	992,177	
OFG BANCORP	147,916	2,001,643	1,082,745	
OLIN CORP	114,330	2,529,021	1,973,336	
ON ASSIGNMENT INC	41,250	1,369,088	1,854,188	
ON SEMICONDUCTOR CORP	103,016	1,176,680	1,009,557	
ONTEX GROUP NV	17,920	516,553	637,722	
ORACLE CORP	5,875	264,199	214,614	
ORACLE CORP	90,200	4,032,227	3,295,006	
ORBITAL ATK INC	21,350	1,596,983	1,907,409	
OWENS & MINOR INC	44,000	1,571,407	1,583,120	44,000
OWENS CORNING	33,467	1,351,392	1,573,953	26,616
PACCAR INC	18,300	1,244,583	867,420	
PACIRA PHARMACEUTICALS INC/DE	5,970	433,098	458,436	5,270
PACT GROUP HOLDINGS LTD	147,124	523,735	538,412	
PARAGON GROUP OF COS PLC/THE	39,466	256,118	205,627	
PARKER-HANNIFIN CORP	16,500	2,027,978	1,600,170	
PARSLEY ENERGY INC	59,171	1,073,092	1,091,705	
PARTNERS GROUP HOLDING AG	1,040	303,004	375,325	
PAYCOM SOFTWARE INC	29,120	810,659	1,095,786	22,248
PAYLOCITY HOLDING CORP	21,095	556,659	855,402	21,095
PAYPAL HOLDINGS INC	13,100	439,439	474,220	
PDC ENERGY INC	13,130	715,556	700,879	13,130
PEPSICO INC	16,000	1,512,960	1,598,720	
PERKINELMER INC	4,200	183,666	224,994	
PHILIP MORRIS INTERNATIONAL IN	7,200	586,440	632,952	
PHILIP MORRIS INTERNATIONAL IN	38,450	3,133,617	3,380,140	
PINNACLE WEST CAPITAL CORP	31,098	2,070,350	2,005,199	
PLANET FITNESS INC	19,150	299,896	299,315	10,825
PLAYTECH PLC	154,400	1,658,755	1,894,522	
PLEXUS CORP	25,384	1,040,154	886,409	
PNM RESOURCES INC	36,602	1,063,430	1,118,923	10,000
POLYONE CORP	30,850	1,158,627	979,796	7,573
POSTNL NV	317,561	1,191,221	1,207,383	
POTASH CORP OF SASKATCHEWAN IN	4,900	173,068	83,888	
PPL CORP	32,300	1,063,124	1,102,399	
PRA GROUP INC	7,500	434,475	260,175	7,500
PRAXAIR INC	12,295	1,592,940	1,259,008	5,000
PREMIER OIL PLC	371,767	876,841	265,754	
PROCTER & GAMBLE CO/THE	10,765	980,584	854,849	
PROGRESS SOFTWARE CORP	80,000	2,161,600	1,920,000	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
PROGRESSIVE CORP/THE	11,650	\$ 313,203	\$ 370,470	3,941
PROOFPOINT INC	54,920	2,748,002	3,570,349	45,581
PROSIEBENSAT.1 MEDIA SE	23,150	975,681	1,176,039	
PTC INC	30,670	1,124,056	1,062,102	
PTC THERAPEUTICS INC	20,720	1,154,199	671,328	20,720
Q2 HOLDINGS INC	68,504	1,447,586	1,806,450	18,200
QIAGEN NV	28,800	675,648	796,320	8,331
QLIK TECHNOLOGIES INC	44,720	1,409,726	1,415,835	21,498
QUALCOMM INC	16,000	1,142,754	799,760	
QUALCOMM INC	8,445	627,717	422,123	
QUALYS INC	23,530	900,330	778,608	20,410
RADIUS HEALTH INC	15,046	1,019,912	925,931	15,046
RAI WAY SPA	79,700	307,581	408,476	
RAMBUS INC	3,400	37,706	39,406	3,400
RANGE RESOURCES CORP	1,000	53,450	24,610	1,000
RAPID7 INC	29,582	553,910	447,576	29,582
RELX NV	343,252	5,357,999	5,794,472	
REPLIGEN CORP	31,050	750,262	878,405	12,327
RESTAURANT GROUP PLC/THE	59,760	614,993	603,790	
RICE ENERGY INC	57,000	1,215,383	621,300	6,611
RINGCENTRAL INC	67,720	1,275,112	1,596,838	43,051
RITCHIE BROS AUCTIONEERS INC	25,100	674,939	605,161	
RITE AID CORP	181,527	1,319,127	1,423,172	
ROCHE HOLDING AG	6,251	1,697,927	1,726,050	
ROCHE HOLDING AG	17,917	4,869,340	4,947,311	
ROCHE HOLDING AG	37,450	1,271,393	1,292,624	
ROCKWELL AUTOMATION INC	600	66,720	61,566	600
ROSS STORES INC	31,200	1,470,456	1,678,872	
ROYAL CARIBBEAN CRUISES LTD	1,200	98,916	121,452	1,200
ROYAL DUTCH SHELL PLC	49,656	3,294,600	2,273,746	
RSP PERMIAN INC	36,037	918,441	878,942	36,037
RYANAIR HOLDINGS PLC	13,455	983,526	1,163,319	
RYMAN HOSPITALITY PROPERTIES I	23,216	1,224,412	1,198,874	21,705
SABMILLER PLC	12,345	647,629	740,465	
SAFT GROUPE SA	8,530	259,591	260,286	
SAGA PLC	246,250	768,524	731,340	
SANDISK CORP	28,425	2,659,536	2,160,016	
SANOFI	57,700	2,619,325	2,460,905	
SANOFI	37,165	3,401,700	3,173,266	
SAP SE	39,672	2,790,659	3,162,362	
SAVILLS PLC	58,230	798,766	760,411	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
SAWAI PHARMACEUTICAL CO LTD	15,800	\$ 973,669	\$ 1,091,467	
SCHLUMBERGER LTD	8,196	700,020	571,671	
SCHNEIDER ELECTRIC SE	59,615	4,411,530	3,403,774	
SCORPIO TANKERS INC	87,460	760,027	701,429	87,447
SCSK CORP	40,700	1,028,575	1,652,766	
SEATTLE GENETICS INC	6,075	208,569	272,646	6,075
SENSIENT TECHNOLOGIES CORP	17,235	1,039,960	1,082,703	8,222
SHIN-ETSU CHEMICAL CO LTD	28,900	1,897,897	1,589,686	
SIG PLC	265,262	806,649	561,432	
SKYCITY ENTERTAINMENT GROUP LT	288,550	874,922	875,108	
SKYLARK CO LTD	96,800	1,304,926	1,262,556	
SMURFIT KAPPA GROUP PLC	24,774	560,584	633,508	
SNAP-ON INC	11,395	1,558,152	1,953,445	
SOLAZYME INC	40,075	106,205	99,386	30,656
SONY CORP	104,500	2,139,115	2,571,745	64,278
SOPRA STERIA GROUP	15,417	1,326,913	1,813,753	
SOUTHERN CO/THE	23,600	1,029,449	1,104,244	
SOUTHWEST AIRLINES CO	97,600	4,130,432	4,202,656	
SOUTHWESTERN ENERGY CO	9,025	207,629	64,168	9,025
SPAREBANK 1 SMN	71,305	511,280	406,820	
SPECTRANETICS CORP/THE	104,490	2,964,417	1,573,619	75,559
SPORTSMAN'S WAREHOUSE HOLDINGS	70,340	854,328	907,386	
SPOTLESS GROUP HOLDINGS LTD	370,950	665,712	291,475	370,949
SPS COMMERCE INC	43,272	2,485,621	3,038,127	
SSE PLC	237,810	5,559,793	5,355,765	
ST MARC HOLDINGS CO LTD	20,224	585,323	565,724	
STATE STREET CORP	28,300	2,103,529	1,877,988	
STATE STREET CORP	13,940	1,083,546	925,058	
STHREE PLC	68,450	314,989	328,896	
STOCK SPIRITS GROUP PLC	212,284	726,287	436,475	
STROEER SE & CO KGAA	19,600	1,150,537	1,232,777	
SUMITOMO MITSUI FINANCIAL GROU	67,900	2,446,117	2,599,837	
SUNDRUG CO LTD	26,700	1,144,927	1,735,683	
SUNSTONE HOTEL INVESTORS INC	97,851	1,597,581	1,222,159	97,851
SUPERIOR ENERGY SERVICES INC	63,230	1,278,439	851,708	23,745
SURGE ENERGY INC	366,000	1,016,208	555,943	15,615
SURUGA BANK LTD	18,300	339,304	382,293	
SYNCHRONOSS TECHNOLOGIES INC	43,672	1,832,562	1,538,565	43,672
SYNERGY RESOURCES CORP	163,213	1,999,366	1,390,575	137,153
SYNNEX CORP	11,614	938,222	1,044,447	11,614
SYNOVUS FINANCIAL CORP	82,347	2,293,077	2,666,396	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
TAKE-TWO INTERACTIVE SOFTWARE	102,175 \$	2,750,717 \$	3,559,777	102,175
TARGET CORP	19,700	1,495,427	1,430,417	19,700
TARGET CORP	12,005	911,300	871,683	
TAYLOR WIMPEY PLC	270,208	580,581	808,865	
TEAM HEALTH HOLDINGS INC	6,680	384,300	293,185	
TECH DATA CORP	14,696	920,781	975,520	14,696
TECHNIP SA	45,060	2,655,285	2,238,668	
TECNICAS REUNIDAS SA	27,621	1,176,865	1,045,664	20,751
TEEKAY TANKERS LTD	578,000	3,211,973	3,976,640	526,400
TEGNA INC	63,720	1,649,659	1,626,134	63,720
TELADOC INC	36,217	913,360	650,457	36,217
TELEFONAKTIEBOLAGET LM ERICSSO	314,400	3,698,545	3,021,384	314,400
TEMENOS GROUP AG	30,090	1,075,021	1,561,614	
TEMP HOLDINGS CO LTD	117,600	1,244,055	1,842,770	
TERADYNE INC	54,140	1,043,448	1,119,074	
TESLA MOTORS INC	1,750	389,218	420,018	1,750
TEXAS INSTRUMENTS INC	93,383	4,992,723	5,118,322	606
THERMO FISHER SCIENTIFIC INC	8,500	1,064,965	1,205,725	
THOMAS COOK GROUP PLC	637,200	1,270,756	1,137,334	
TJX COS INC/THE	4,400	301,752	312,004	
TOKYO OHKA KOGYO CO LTD	14,200	438,217	456,237	
TOSHO CO LTD	10,200	283,172	275,149	
TOTAL SA	94,573	4,819,438	4,239,345	
TOYOTA MOTOR CORP	69,400	4,374,871	4,319,940	
TRANSOCEAN LTD	32,100	588,393	397,398	32,100
TRIBUNE MEDIA CO	20,100	1,137,356	679,581	20,100
TRIBUNE PUBLISHING CO	1,650	37,785	15,213	1,650
TRIMBLE NAVIGATION LTD	47,500	1,260,650	1,018,875	
TUESDAY MORNING CORP	131,000	2,842,700	851,500	131,000
TWENTY-FIRST CENTURY FOX INC	14,870	571,082	403,869	
TWENTY-FIRST CENTURY FOX INC	19,840	722,570	540,243	
TYLER TECHNOLOGIES INC	4,609	516,447	803,441	1,400
UBS GROUP AG	260,164	4,548,570	5,073,328	
UGI CORP	39,027	1,482,245	1,317,552	
ULTIMATE SOFTWARE GROUP INC/TH	4,547	667,568	888,984	4,547
ULTRAGENYX PHARMACEUTICAL INC	12,567	1,049,380	1,409,766	
UNION PACIFIC CORP	1,425	169,760	111,435	
UNION PACIFIC CORP	7,460	888,710	583,372	
UNITED CONTINENTAL HOLDINGS IN	32,300	2,160,547	1,850,790	
UNITED PARCEL SERVICE INC	6,709	745,840	645,607	
UNITED TECHNOLOGIES CORP	5,645	649,175	542,315	5,645

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
COMMON STOCK				
UNIVERSAL DISPLAY CORP	7,000 \$	194,250 \$	381,080	7,000
UNUM GROUP	45,600	1,590,528	1,518,024	
US BANCORP	2,325	98,763	99,208	
USG PEOPLE NV	83,750	986,516	1,562,996	
VCA INC	25,741	1,255,389	1,415,755	
VERISIGN INC	5,500	359,413	480,480	4,747
VESUVIUS PLC	91,380	635,765	448,636	
VISA INC	23,600	1,571,837	1,830,180	13,400
VMWARE INC	3,825	315,037	216,380	3,825
VODAFONE GROUP PLC	86,772	2,959,051	2,799,265	
VODAFONE GROUP PLC	966,953	3,356,942	3,149,674	
WAGEWORKS INC	42,778	2,336,716	1,940,838	
WALGREENS BOOTS ALLIANCE INC	11,350	864,870	966,509	
WAL-MART STORES INC	23,200	1,992,416	1,422,160	
WALT DISNEY CO/THE	19,900	2,015,851	2,091,092	19,900
WATERS CORP	2,200	247,984	296,076	
WELLCARE HEALTH PLANS INC	59,479	4,890,056	4,651,852	200
WELLS FARGO & CO	18,600	1,019,652	1,011,096	
WELLS FARGO & CO	25,281	1,385,904	1,374,275	
WELLS FARGO & CO	17,190	924,699	934,448	
WERNER ENTERPRISES INC	59,245	1,845,482	1,385,741	59,245
WESTAMERICA BANCORPORATION	23,950	1,114,606	1,119,663	23,950
WESTAR ENERGY INC	37,031	1,447,982	1,570,485	
WESTERN ALLIANCE BANCORP	68,672	1,909,082	2,462,578	
WESTERN REFINING INC	68,725	2,596,431	2,447,985	68,725
WESTFIELD CORP	212,043	1,479,022	1,467,126	
WESTROCK CO	24,850	1,358,365	1,133,657	
WHITECAP RESOURCES INC	126,320	1,265,478	824,795	125,448
WHITING PETROLEUM CORP	60,165	1,809,703	567,958	60,165
WHOLE FOODS MARKET INC	7,800	393,276	261,300	7,800
WILLIAM HILL PLC	104,950	588,961	612,556	
WILLIAM LYON HOMES	69,000	1,575,022	1,138,500	69,000
WINGSTOP INC	26,340	719,184	600,815	20,540
WINTRUST FINANCIAL CORP	43,000	2,150,782	2,086,360	
WORLD ONLINE INTL EURO.4	9,438	202,338	-	
WPX ENERGY INC	122,870	1,479,741	705,274	122,870
WYNDHAM WORLDWIDE CORP	13,473	1,155,444	978,813	13,064
XACTLY CORP	54,738	432,337	466,915	43,722
YAHOO! INC	3,100	137,711	103,106	
YAHOO! INC	6,700	338,417	222,842	
ZELTIQ AESTHETICS INC	21,660	709,682	617,960	21,660

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Number of Shares	Cost	Fair Value	Shares Restricted Under Lending Agreement
EQUITY INVESTMENTS				
ZENKOKU HOSHO CO LTD	26,100	\$ 801,939	\$ 873,291	
ZIMMER BIOMET HOLDINGS INC	700	79,394	71,813	
ZIMMER BIOMET HOLDINGS INC	16,700	1,860,103	1,713,253	
ZIONS BANCORPORATION	107,611	3,067,990	2,937,780	
ZOE'S KITCHEN INC	12,089	365,029	338,250	10,519
ZURICH INSURANCE GROUP AG	14,099	4,258,179	3,639,532	
TOTAL COMMON STOCKS		<u>911,774,556</u>	<u>884,935,622</u>	
PARTNERSHIP				
COMPASS TECHNOLOGY PARTNERS LP	54,903	55,544	54,903	
TOTAL PARTNERSHIP		<u>55,544</u>	<u>54,903</u>	
COMMON/COLLECTIVE TRUSTS				
EB DV LGE CAP GRWTH SIF	316,035	98,907,847	202,466,708	
EB DV STOCK INDEX FUND	41,918	55,957,768	116,333,892	
TOTAL COMMON/COLLECTIVE TRUST		<u>154,865,615</u>	<u>318,800,600</u>	
REGISTERED INVESTMENT COMPANIES				
CAUSEWAY EMERGING MKTS-INST	4,428,110	48,635,325	42,421,289	
VANGUARD INTL GROWTH-ADM	3,297,760	199,920,069	221,147,761	
TOTAL REGISTERED INVESTMENT COMPANIES		<u>248,555,394</u>	<u>263,569,050</u>	
TOTAL EQUITY INVESTMENTS		<u>\$1,329,605,562</u>	<u>\$1,478,979,034</u>	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
21ST CENTURY FOX AMERICA INC	65,000	\$ 87,562	\$ 85,026	4/26/2023	8.88%	
21ST CENTURY FOX AMERICA INC	450,000	578,102	538,965	4/30/2028	7.30%	
21ST CENTURY FOX AMERICA INC	90,000	117,464	103,665	12/15/2035	6.40%	
21ST CENTURY FOX AMERICA INC	580,000	726,920	646,578	3/1/2037	6.15%	
21ST CENTURY FOX AMERICA INC	900,000	1,201,734	1,045,854	11/15/2037	6.65%	
21ST CENTURY FOX AMERICA INC	140,000	210,602	182,459	12/1/2045	7.75%	
ABB FINANCE USA INC	319,000	345,420	316,630	5/8/2042	4.38%	
ABBVIE INC	304,000	313,628	283,912	11/6/2042	4.40%	
ABENGOA GREENFIELD SA 144A	240,000	205,200	26,400	10/1/2019	6.50%	
ACE INA HOLDINGS INC	140,000	147,042	136,984	3/13/2043	4.15%	
ACE INA HOLDINGS INC	787,000	785,033	799,694	11/3/2045	4.35%	
ACTAVIS FUNDING SCS	685,000	695,097	677,753	6/15/2044	4.85%	
ACTAVIS FUNDING SCS	45,000	45,159	43,734	3/15/2035	4.55%	
ACTAVIS INC	125,000	117,189	118,829	10/1/2042	4.63%	
ADVANCED DISPOSAL 2/14	475,324	460,618	459,282		TLB	
AEP TEXAS CENTRAL CO	45,000	59,320	54,850	2/15/2033	6.65%	
AERCAP IRELAND CAPITAL LTD / A	150,000	150,000	151,125	7/1/2020	4.25%	
AERCAP IRELAND CAPITAL LTD / A	150,000	150,000	151,688	7/1/2022	4.63%	
AETNA INC	110,000	145,076	138,493	12/15/2037	6.75%	
AETNA INC	704,000	745,346	691,581	5/15/2042	4.50%	212,000
AGL CAPITAL CORP	862,000	1,081,095	945,166	3/15/2041	5.88%	
AGRIUM INC	120,000	142,369	127,895	1/15/2041	6.13%	
AGRIUM INC	148,000	159,858	137,958	1/15/2045	5.25%	
AGRIUM INC	895,000	887,035	761,332	3/15/2035	4.13%	
AIG SUNAMERICA GLOBAL FIN 144A	1,344,000	1,850,594	1,725,078	3/15/2032	6.90%	
AIR CANADA 2013-1 CLASS A 144A	39,803	40,222	39,753	11/15/2026	4.13%	
AKORN 11/13 COV-LITE TLB	493,750	489,020	481,406	4/16/2021	0.00%	
ALABAMA POWER CO	356,000	473,024	433,035	5/15/2038	6.13%	
ALABAMA POWER CO	900,000	1,186,191	1,069,821	3/1/2039	6.00%	
ALABAMA POWER CO	815,000	1,016,012	919,353	3/15/2041	5.50%	
ALABAMA POWER CO	300,000	361,929	325,263	6/1/2041	5.20%	
ALABAMA POWER CO	45,000	45,667	41,307	12/1/2042	3.85%	45,000
ALABAMA POWER CO	1,203,000	1,268,058	1,237,550	12/1/2023	3.55%	1,203,000
ALABAMA POWER CO	595,000	624,673	566,006	8/15/2044	4.15%	
ALABAMA POWER CO	264,000	263,913	237,188	3/1/2045	3.75%	
ALBERTA ENERGY CO LTD	70,000	82,606	63,871	11/1/2031	7.38%	
ALERE 6/15 COV-LITE TLB	695,449	696,608	687,987			
ALLSTATE CORP/THE	150,000	185,889	173,511	5/9/2035	5.55%	88,000
ALLSTATE CORP/THE	481,000	512,471	488,725	6/15/2043	4.50%	
ALLY AUTO RECEIVABLES TRU 1 A2	255,000	254,997	254,518	2/15/2018	0.92%	
ALLY FINANCIAL INC	244,000	278,770	278,160	3/15/2020	8.00%	
ALLY FINANCIAL INC	801,000	939,128	906,131	9/15/2020	7.50%	
ALLY FINANCIAL INC	124,000	123,125	123,380	2/13/2018	3.25%	
ALLY FINANCIAL INC	174,000	172,373	176,175		SUB	
ALTICE FINANCING SA 144A	200,000	200,000	197,500	2/15/2023	6.63%	
ALTICE US FINANCE I CORP 144A	200,000	200,000	200,500	7/15/2023	5.38%	
ALTRIA GROUP INC	58,000	100,986	95,111	2/6/2039	10.20%	
ALTRIA GROUP INC	320,000	306,659	293,613	8/9/2042	4.25%	
ALTRIA GROUP INC	525,000	597,618	564,711	1/31/2044	5.38%	
AMAZON.COM INC	170,000	178,456	178,990	12/5/2034	4.80%	
AMERICA MOVIL SAB DE CV	293,000	346,681	322,332	3/30/2040	6.13%	
AMERICA MOVIL SAB DE CV	900,000	862,200	794,925	7/16/2042	4.38%	
AMERICAN AIRLINES 2013-2 144A	589,419	602,080	601,207	1/15/2022	5.60%	
AMERICAN AIRLINES GROUP I 144A	921,000	936,448	909,488	10/1/2019	5.50%	921,000
AMERICAN AIRLINES GROUP I 144A	142,000	142,000	135,255	3/1/2020	4.63%	
AMERICAN COMMERCIAL LINES	560,000	532,000	515,200		COV-LITE TL	
AMERICAN HONDA FINANCE CORP	335,000	335,000	334,230	7/13/2018	VAR	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
AMERICAN HONDA FINANCE CORP	190,000	\$ 190,000	\$ 189,787	9/20/2017	VAR	
AMERICAN INTERNATIONAL GROUP I	335,000	332,524	323,895	7/10/2045	4.80%	
AMERICAN TOWER CORP	106,000	112,410	112,203	2/15/2024	5.00%	106,000
AMERICAN WATER CAPITAL CORP	230,000	318,345	298,243	10/15/2037	6.59%	
AMERICAN WATER CAPITAL CORP	33,000	33,557	33,400	12/1/2042	4.30%	33,000
AMERIPRISE FINANCIAL INC	800,000	847,408	831,048	10/15/2023	4.00%	
AMGEN INC	950,000	1,195,718	1,106,323	6/1/2037	6.38%	
AMGEN INC	40,000	52,990	49,708	6/1/2038	6.90%	
AMGEN INC	165,000	185,980	167,513	11/15/2041	5.15%	
AMGEN INC	975,000	1,132,697	1,035,850	5/15/2043	5.38%	
AMNEAL PHARMACEUTICALS 10/13	496,250	496,250	486,077		COV-LITE TL	
ANADARKO FINANCE CO	130,000	170,960	138,143	5/1/2031	7.50%	
ANADARKO HOLDING CO	376,000	464,522	384,407	5/15/2028	7.15%	
ANADARKO PETROLEUM CORP	1,175,000	1,376,454	1,081,059	3/15/2040	6.20%	
ANCHOR GLASS 6/15 COV-LITE TL	905,922	895,350	901,392			
ANHEUSER-BUSCH COS LLC	325,000	461,328	418,444	10/1/2030	7.55%	
ANHEUSER-BUSCH COS LLC	100,000	122,697	108,531	4/1/2036	5.75%	
ANHEUSER-BUSCH INBEV FINANCE I	1,800,000	1,710,414	1,727,424	1/17/2023	2.63%	
ANHEUSER-BUSCH INBEV FINANCE I	360,000	373,957	367,276	2/1/2024	3.70%	360,000
ANHEUSER-BUSCH INBEV FINANCE I	370,000	402,438	367,758	2/1/2044	4.63%	
ANHEUSER-BUSCH INBEV WORLDWIDE	1,240,000	1,612,533	1,481,589	1/15/2040	6.38%	
ANHEUSER-BUSCH INBEV WORLDWIDE	1,575,000	2,431,517	2,270,284	1/15/2039	8.20%	
ANHEUSER-BUSCH INBEV WORLDWIDE	480,000	734,117	668,539	11/15/2039	8.00%	
ANR PIPELINE CO	335,000	459,379	433,637	11/1/2021	9.63%	
ANTERO RESOURCES CORP	123,000	119,003	98,400	11/1/2021	5.38%	77,999
ANTHEM INC	137,000	143,762	129,372	5/15/2042	4.63%	
ANTHEM INC	168,000	174,848	159,862	1/15/2043	4.65%	
AON CORP	62,000	78,819	74,155	9/30/2040	6.25%	
APACHE CORP	250,000	272,050	241,460	1/15/2037	6.00%	
APACHE CORP	157,000	160,641	134,205	9/1/2040	5.10%	
APACHE CORP	2,721,000	2,551,727	2,273,913	4/15/2043	4.75%	
APACHE CORP	87,000	76,033	68,108	1/15/2044	4.25%	
APACHE FINANCE CANADA CORP	135,000	185,514	172,001	12/15/2029	7.75%	
APPALACHIAN POWER CO	100,000	129,099	116,028	4/1/2036	6.38%	
APPALACHIAN POWER CO	775,000	1,078,258	966,045	4/1/2038	7.00%	
APPLE INC	900,000	900,009	899,712	5/3/2016	VAR	
APPLE INC	1,150,000	1,117,800	1,120,526	5/3/2023	2.40%	296,000
APPLE INC	4,683,000	4,700,347	4,314,495	5/4/2043	3.85%	
APPLE INC	495,000	493,268	494,579	5/5/2017	VAR	495,000
APPLE INC	300,000	330,591	302,568	5/6/2044	4.45%	
APPLE INC	1,006,000	997,077	866,126	2/9/2045	3.45%	
APPLE INC	2,565,000	2,555,638	2,589,906	5/13/2045	4.38%	1,855,000
ARCELORMITTAL	565,000	548,475	385,613	10/15/2039	STEP	
ARCELORMITTAL	21,000	20,580	16,905	2/25/2022	STEP	21,000
ARCHER-DANIELS-MIDLAND CO	424,000	466,960	434,799	3/26/2042	4.54%	424,000
ARCHER-DANIELS-MIDLAND CO	390,000	391,326	374,486	4/16/2043	4.02%	390,000
ARDAGH PACKAGING FINANCE 144A	430,000	414,950	420,325	12/15/2019	VAR	
ARIZONA PUBLIC SERVICE CO	56,000	65,831	61,227	9/1/2041	5.05%	
ARIZONA PUBLIC SERVICE CO	24,000	25,420	24,614	4/1/2042	4.50%	
ARIZONA PUBLIC SERVICE CO	770,000	877,107	818,079	1/15/2044	4.70%	
ARROW ELECTRONICS INC	95,000	116,425	111,955	1/15/2027	7.50%	
ASHTON WOODS USA LLC / AS 144A	600,000	571,500	510,000	2/15/2021	6.88%	
ASSOCIATED MATERIALS LLC / AMH	896,000	739,200	618,240	11/1/2017	9.13%	
ASTRAZENECA PLC	725,000	976,938	916,835	9/15/2037	6.45%	
ASTRAZENECA PLC	200,000	198,812	186,502	9/18/2042	4.00%	
AT&T INC	50,000	61,629	56,208	2/15/2039	6.55%	
AT&T INC	2,430,000	2,707,749	2,441,834	8/15/2041	5.55%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
AT&T INC	1,753,000	\$ 1,666,595	\$ 1,497,939	12/15/2042	4.30%	
AT&T INC	572,000	539,253	489,329	6/15/2045	4.35%	
AT&T INC	285,000	284,997	284,875	2/12/2016		
AT&T INC	825,000	822,616	763,010	5/15/2035	4.50%	
AT&T INC	3,841,000	4,159,112	3,793,833	9/1/2040	5.35%	
ATMOS ENERGY CORP	695,000	855,601	796,414	6/15/2041	5.50%	100,000
ATMOS ENERGY CORP	647,000	680,476	620,033	10/15/2044	4.13%	
AUDIO VISUAL SERVICES 1/14	738,722	731,948	708,250		COV-LITE TL	
AUSTRALIA & NZ BK DISC	900,000	898,740	898,740	3/24/2016		
AUTOPARTS HOLDINGS 7/11 TLB	285,699	285,756	210,703			
AVAGO 10/15 TLB	1,000,000	990,000	988,130	11/11/2022	0.00%	
AVIATION CAPITAL GROUP CO 144A	380,000	431,750	425,600	4/6/2021	6.75%	
BAE SYSTEMS PLC 144A	102,000	123,671	113,266	10/11/2041	5.80%	
BAKER HUGHES INC	15,000	19,418	17,834	1/15/2029	6.88%	
BAKER HUGHES INC	440,000	482,627	435,230	9/15/2040	5.13%	
BALL CORP	345,000	345,000	352,763	7/1/2025	5.25%	345,000
BALL CORP	100,000	100,000	101,563	12/15/2020	4.38%	100,000
BANK OF AMERICA CORP	1,606,000	2,009,684	1,874,331	2/7/2042	5.88%	
BANK OF AMERICA CORP	765,000	803,372	790,130	1/22/2024	4.13%	
BANK OF AMERICA CORP	2,675,000	2,995,519	2,791,871	1/21/2044	5.00%	303,000
BANK OF AMERICA CORP	294,000	306,119	300,680	4/1/2024	4.00%	
BANK OF AMERICA CORP	280,000	310,217	289,926	4/1/2044	4.88%	280,000
BANK OF AMERICA CORP	463,000	461,958	458,291	10/22/2026	4.25%	
BANK OF AMERICA CORP	127,000	126,234	124,324	1/22/2025	4.00%	22,712
BANK OF AMERICA CORP	445,000	443,687	433,328	4/21/2025	3.95%	
BANK OF AMERICA CORP	2,182,000	2,297,973	2,256,101	7/24/2023	4.10%	
BANK OF AMERICA CORP	1,080,000	1,525,284	1,465,322	5/14/2038	7.75%	
BANK OF AMERICA NA	730,000	729,314	729,591	11/14/2016	VAR	
BANK OF AMERICA NA	370,000	370,000	368,764	6/5/2017	VAR	
BANK OF MONTREAL	145,000	145,663	145,123	7/15/2016	VAR	
BANK OF NOVA SCOTIA/THE	405,000	405,000	403,984	6/11/2018	VAR	405,000
BANK OF TOKYO-MITSUBISHI 144A	390,000	388,054	389,489	3/10/2017	VAR	
BARCLAYS BANK PLC	305,000	305,250	304,829	2/17/2017	VAR	
BARCLAYS BANK PLC	780,000	803,985	795,031	5/15/2024	3.75%	335,000
BARCLAYS PLC	200,000	204,984	212,940	12/29/1949	VAR	
BARCLAYS PLC	360,000	344,477	355,698	6/29/1949	VAR	262,000
BAXALTA INC 144A	71,000	70,533	71,239	6/23/2045	5.25%	
BAYER US FINANCE LLC 144A	540,000	539,114	538,402	10/6/2017	VAR	
BAYER US FINANCE LLC 144A	200,000	203,514	201,424	10/8/2024	3.38%	
BB-UBS TRUST 2012- SHOW A 144A	250,000	254,373	248,703	11/5/2036	3.43%	
BEAZER HOMES USA INC	436,000	427,280	400,030	9/15/2021	7.50%	100,000
BEAZER HOMES USA INC	380,000	364,800	349,600	6/15/2019	5.75%	
BECTON DICKINSON AND CO	81,000	87,234	81,722	12/15/2044	4.69%	
BED BATH & BEYOND INC	86,000	88,742	76,726	8/1/2034	4.92%	
BED BATH & BEYOND INC	177,000	185,193	149,921	8/1/2044	5.17%	
BELDEN INC 144A	357,000	354,323	343,613	9/1/2022	5.50%	
BERKSHIRE HATHAWAY ENERGY CO	319,000	333,786	306,492	2/1/2045	4.50%	
BERKSHIRE HATHAWAY ENERGY CO	250,000	372,528	354,680	9/15/2028	8.48%	
BERKSHIRE HATHAWAY ENERGY CO	1,915,000	2,506,333	2,330,613	9/15/2037	6.50%	
BERKSHIRE HATHAWAY ENERGY CO	83,000	93,917	87,584	11/15/2043	5.15%	
BERKSHIRE HATHAWAY FINANCE COR	885,000	1,132,508	1,032,857	1/15/2040	5.75%	
BERKSHIRE HATHAWAY FINANCE COR	81,000	87,123	80,200	5/15/2042	4.40%	
BERKSHIRE HATHAWAY FINANCE COR	912,000	966,839	894,371	5/15/2043	4.30%	912,000
BERKSHIRE HATHAWAY FINANCE COR	180,000	180,592	180,101	8/15/2016	0.95%	
BERKSHIRE HATHAWAY FINANCE COR	500,000	505,645	507,435	8/15/2018	2.00%	500,000
BERKSHIRE HATHAWAY INC	1,520,000	1,582,654	1,601,943	1/31/2022	3.40%	
BHP BILLITON FINANCE USA LTD	624,000	609,954	492,554	2/24/2042	4.13%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
BHP BILLITON FINANCE USA LTD	690,000	\$ 782,129	\$ 620,172	9/30/2043	5.00%	
BLACKSTONE HOLDINGS FINAN 144A	73,000	72,497	68,516	7/15/2045	4.45%	
BOEING CO/THE	18,000	28,298	26,231	11/15/2031	8.63%	
BOEING CO/THE	425,000	619,608	582,369	3/15/2039	6.88%	
BOEING CO/THE	165,000	216,374	207,933	2/15/2040	5.88%	
BOISE CASCADE CO	220,000	231,000	226,600	11/1/2020	6.38%	
BOMBARDIER INC 144A	410,000	418,200	282,900	1/15/2023	6.13%	
BOMBARDIER INC 144A	655,000	661,550	459,155	10/15/2022	6.00%	
BOSTON GAS CO 144A	45,000	48,484	43,762	2/15/2042	4.49%	
BOYD GAMING CORP	153,000	153,000	157,208	5/15/2023	6.88%	
BP CAPITAL MARKETS PLC	185,000	189,013	188,704	11/1/2021	3.56%	100,000
BP CAPITAL MARKETS PLC	1,750,000	1,800,628	1,789,655	9/26/2023	3.99%	
BRANCH BANKING & TRUST CO	990,000	1,009,345	1,005,088	10/30/2026	3.80%	
BRANCH BANKING & TRUST CO	655,000	655,596	654,712	12/1/2016	VAR	
BREITBURN ENERGY PARTNERS LP /	371,000	286,598	66,780	4/15/2022	7.88%	
BRISTOL-MYERS SQUIBB CO	124,000	183,674	172,682	8/1/2097	6.88%	
BRITISH TELECOMMUNICATIONS PLC	345,000	541,667	503,410	12/15/2025	VAR	
BROOKFIELD RESIDENTIAL PR 144A	300,000	300,056	279,000	5/15/2025	6.38%	
BROOKFIELD RESIDENTIAL PR 144A	73,000	70,810	67,525	7/1/2022	6.13%	
BROWN-FORMAN CORP	100,000	98,122	103,418	7/15/2045	4.50%	
BUILDERS FIRSTSOURCE 7/15	349,198	345,706	344,833		COV-LITE TLB	
BUILDERS FIRSTSOURCE INC 144A	400,000	410,000	421,000	6/1/2021	7.63%	400,000
BUILDERS FIRSTSOURCE INC 144A	450,000	449,625	446,625	8/15/2023	10.75%	
BURLINGTON NORTHERN SANTA FE L	100,000	123,376	111,942	5/1/2040	5.75%	
BURLINGTON NORTHERN SANTA FE L	579,000	679,131	629,472	6/1/2041	5.40%	
BURLINGTON NORTHERN SANTA FE L	73,000	74,988	69,021	9/1/2042	4.38%	
BURLINGTON NORTHERN SANTA FE L	465,000	522,818	477,169	4/1/2044	4.90%	
BURLINGTON NORTHERN SANTA FE L	905,000	902,041	820,229	4/1/2045	4.15%	905,000
BURLINGTON NORTHERN SANTA FE L	79,000	78,546	78,829	9/1/2045	4.70%	
BURLINGTON NORTHERN SANTA FE L	180,000	251,779	231,453	6/1/2036	7.29%	
BURLINGTON NORTHERN SANTA FE L	260,000	329,378	318,557	8/1/2028	6.70%	
BURLINGTON NORTHERN SANTA FE L	250,000	314,120	308,090	3/15/2029	6.75%	
BURLINGTON RESOURCES FINANCE C	25,000	35,240	29,776	12/1/2031	7.40%	
BWAY 8/14 COV-LITE TLB	492,500	490,038	471,263			
CABLEVISION SYSTEMS CORP	449,000	493,900	466,960	4/15/2018	7.75%	
CABLEVISION SYSTEMS CORP	440,000	494,245	429,000	4/15/2020	8.00%	440,000
CABLEVISION SYSTEMS CORP	540,000	526,753	459,000	9/15/2022	5.88%	540,000
CALPINE 11/15 B-6 COV-LITE TLB	500,000	495,000	477,500			
CALPINE CORP	210,000	212,100	188,475	1/15/2023	5.38%	210,000
CANADIAN NATIONAL RAILWAY CO	265,000	383,633	350,272	10/15/2031	7.38%	
CANADIAN NATIONAL RAILWAY CO	135,000	180,357	169,980	6/1/2036	6.20%	
CANADIAN NATIONAL RAILWAY CO	955,000	1,302,248	1,239,332	11/15/2037	6.38%	
CANADIAN NATIONAL RAILWAY CO	485,000	485,083	481,120	11/14/2017	VAR	
CANADIAN NATURAL RESOURCES LTD	300,000	363,570	299,085	1/15/2032	7.20%	
CANADIAN NATURAL RESOURCES LTD	100,000	111,219	91,018	3/15/2038	6.25%	
CANADIAN PACIFIC RAILWAY CO	86,000	118,277	106,494	10/15/2031	7.13%	
CANADIAN PACIFIC RAILWAY CO	36,000	37,743	36,467	9/15/2115	6.13%	
CAPITAL AUTO RECEIV 3 A1A 144A	265,000	264,441	264,550	2/20/2018	1.39%	
CAPITAL AUTO RECEIVABLES 1 A2	138,132	138,292	138,091	4/20/2017	0.96%	
CAPITAL AUTO RECEIVABLES 1 A2	275,000	274,952	274,384	6/20/2018	1.42%	
CAPITAL ONE BANK USA NA	300,000	298,332	293,574	2/15/2023	3.38%	
CARDINAL HEALTH INC	95,000	97,817	91,694	11/15/2044	4.50%	
CARDINAL HEALTH INC	33,000	32,966	33,431	9/15/2045	4.90%	33,000
CARESTREAM 6/13 COV-LITE TL	402,734	400,217	360,113			
CARGILL INC 144A	170,000	247,495	218,834	11/1/2036	7.25%	
CARGILL INC 144A	247,000	258,594	250,631	11/23/2045	4.76%	
CARRIZO OIL & GAS INC	238,000	228,480	207,953	9/15/2020	7.50%	66,000

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
CATALENT PHARMA 5/14 (USD) TLB	985,002	\$ 975,152	\$ 975,152			
CATERPILLAR FINANCIAL SERVICES	400,000	403,316	401,312	9/6/2016	1.35%	
CATERPILLAR FINANCIAL SERVICES	121,000	128,379	125,878	11/24/2023	3.75%	
CATERPILLAR INC	200,000	244,476	220,678	9/15/2035	5.30%	
CATERPILLAR INC	2,975,000	2,923,652	2,662,833	8/15/2042	3.80%	
CATERPILLAR INC	541,000	613,064	521,124	5/15/2064	4.75%	
CATHOLIC HEALTH INITIATIVES	1,400,000	1,389,934	1,309,434	11/1/2042	4.35%	
CBL & ASSOCIATES LP	400,000	384,540	382,644	12/1/2023	5.25%	
CBS CORP	95,000	96,469	86,442	8/15/2044	4.90%	95,000
CBS CORP	54,000	53,299	46,326	1/15/2045	4.60%	54,000
CCO HOLDINGS LLC / CCO HOLDING	367,000	385,350	381,680	4/30/2021	6.50%	
CCO HOLDINGS LLC / CCO HOLDING	463,000	486,880	482,099	6/1/2020	7.38%	
CCO HOLDINGS LLC / CCO HOLDING	45,000	44,888	45,450	9/30/2022	5.25%	
CCO HOLDINGS LLC / CCO HOLDING	200,000	202,500	205,000	9/1/2023	5.75%	200,000
CCO SAFARI II LLC 144A	389,000	389,000	388,623	7/23/2025	4.91%	
CCOH SAFARI LLC 144A	46,000	46,000	46,115	2/15/2026	5.75%	
CELGENE CORP	67,000	69,497	63,288	5/15/2044	4.63%	
CELGENE CORP	545,000	543,316	547,120	8/15/2045	5.00%	545,000
CENOVUS ENERGY INC	152,000	173,502	144,535	11/15/2039	6.75%	
CENOVUS ENERGY INC	138,000	119,342	100,610	9/15/2042	4.45%	
CENTERPOINT ENERGY HOUSTON ELE	110,000	153,558	144,877	3/15/2033	6.95%	
CENTERPOINT ENERGY HOUSTON ELE	265,000	358,015	338,869	3/15/2021	9.15%	
CENTURYLINK INC	1,648,000	1,555,330	1,260,720	3/15/2042	7.65%	
CEQUEL/SUDDENLINK 2/12 TLB	469,573	470,747	461,553			
CERIDIAN HCM HOLDING INC 144A	459,000	479,895	360,315	3/15/2021	11.00%	
CHARIOT FDG LLC DISC	900,000	895,613	895,613	7/25/2016		
CHESAPEAKE ENERGY CORP	350,000	367,730	101,500	8/15/2020	6.63%	
CHESAPEAKE ENERGY CORP	196,000	185,750	55,272	2/15/2021	6.13%	
CHESAPEAKE ENERGY CORP	56,000	44,643	15,543	4/15/2022	4.88%	56,000
CHEVRON CORP	670,000	682,509	673,564	6/24/2023	3.19%	670,000
CHEVRON CORP	180,000	180,000	179,471	2/22/2017	VAR	
CHEVRON CORP	730,000	730,000	710,516	3/3/2022	2.41%	730,000
CHS/COMMUNITY HEALTH SYSTEMS I	731,000	780,343	736,483	11/15/2019	8.00%	731,000
CHS/COMMUNITY HEALTH SYSTEMS I	904,000	907,897	857,670	2/1/2022	6.88%	904,000
CIESCO LLC DISC	425,000	423,910	423,910	2/16/2016		
CIESCO LLC DISC	600,000	599,393	599,393	3/2/2016		
CIGNA CORP	510,000	603,111	559,139	2/15/2042	5.38%	
CISCO SYSTEMS INC	670,000	843,309	817,246	2/15/2039	5.90%	
CISCO SYSTEMS INC	1,495,000	1,821,777	1,753,246	1/15/2040	5.50%	
CISCO SYSTEMS INC	305,000	317,828	318,197	3/4/2024	3.63%	305,000
CISCO SYSTEMS INC	48,000	47,872	49,381	6/15/2025	3.50%	48,000
CIT EQUIPMENT COLL VT1 A2 144A	661,506	660,840	660,891	5/22/2017	0.86%	
CIT GROUP INC	115,000	121,544	120,463	5/15/2020	5.38%	
CIT GROUP INC 144A	450,000	474,750	470,250	2/15/2019	5.50%	
CITGO HOLDING INC 144A	560,000	542,094	543,200	2/15/2020	10.75%	
CITGO PETROLEUM CORP 144A	300,000	304,500	288,000	8/15/2022	6.25%	
CITIBANK CREDIT CARD ISS A3 A3	115,000	154,128	146,021	6/15/2039	6.15%	
CITIGROUP INC	100,000	127,495	119,573	12/1/2025	7.00%	
CITIGROUP INC	280,000	348,818	330,436	6/15/2032	6.63%	
CITIGROUP INC	650,000	774,846	738,998	8/25/2036	6.13%	
CITIGROUP INC	2,280,000	3,490,520	3,270,158	7/15/2039	8.13%	
CITIGROUP INC	22,000	27,673	25,243	1/30/2042	5.88%	
CITIGROUP INC	79,000	102,151	96,867	9/13/2043	6.68%	
CITIGROUP INC	1,240,000	1,288,856	1,281,441	10/25/2023	3.88%	
CITIGROUP INC	73,000	79,984	75,933	5/6/2044	5.30%	73,000
CITIGROUP INC	143,000	146,039	145,567	6/16/2024	3.75%	
CITIGROUP INC	667,000	666,033	676,872	7/30/2045	4.65%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
CITIGROUP INC	310,000	\$ 309,978	\$ 307,948	9/29/2027	4.45%	
CITYCENTER HOLDINGS 10/13	975,417	964,477	967,125			
CLAIRE'S STORES INC 144A	700,000	689,500	423,500	3/15/2019	9.00%	
CLEAR CHANNEL WORLDWIDE HOLDIN	929,000	969,583	858,164	3/15/2020	7.63%	
CLEAR CHANNEL WORLDWIDE HOLDIN	278,000	282,865	268,270	11/15/2022	6.50%	
CLEAR CHANNEL WORLDWIDE HOLDIN	575,000	592,250	560,625	11/15/2022	6.50%	
CLEVELAND CLINIC FOUNDATION/TH	835,000	858,171	809,666	1/1/2050	4.86%	
CME GROUP INC/IL	96,000	116,321	109,914	9/15/2043	5.30%	
CNH EQUIPMENT TRUST 2014- C A2	107,207	107,155	107,110	12/15/2017	0.63%	
CNH INDUSTRIAL CAPITAL LLC	125,000	124,553	120,938	7/16/2018	3.88%	
CNOOC FINANCE 2015 USA LLC	200,000	198,150	190,370	5/5/2025	3.50%	
COLLATERALIZED CP DISC	1,135,000	1,132,333	1,132,333	4/18/2016		
COLUMBIA PIPELINE GROUP I 144A	1,030,000	1,002,937	904,216	6/1/2045	5.80%	
COMCAST CABLE COMMUNICATIONS H	435,000	625,373	600,578	11/15/2022	9.46%	
COMCAST CABLE HOLDINGS LLC	235,000	329,336	311,713	4/15/2022	10.13%	
COMCAST CORP	880,000	1,174,791	1,102,913	11/15/2035	6.50%	
COMCAST CORP	150,000	199,563	186,687	3/15/2037	6.45%	
COMCAST CORP	135,000	190,202	176,159	8/15/2037	6.95%	
COMCAST CORP	945,000	1,261,764	1,172,934	5/15/2038	6.40%	
COMCAST CORP	2,545,000	3,443,105	3,158,650	3/1/2040	6.40%	
COMCAST CORP	3,700,000	3,675,321	3,676,061	1/15/2023	2.85%	2,659,000
COMCAST CORP	2,570,000	2,721,450	2,525,359	1/15/2033	4.25%	1,514,150
COMCAST CORP	630,000	702,066	654,387	3/1/2044	4.75%	
COMCAST CORP	1,393,000	1,456,591	1,379,892	8/15/2034	4.20%	
COMCAST CORP	190,000	189,858	192,379	8/15/2045	4.60%	
COMMONWEALTH BANK OF AUST 144A	470,000	469,732	467,904	9/8/2017	VAR	
COMMONWEALTH EDISON CO	190,000	247,847	229,237	3/15/2036	5.90%	
COMMONWEALTH EDISON CO	275,000	370,928	351,054	1/15/2038	6.45%	
COMMONWEALTH EDISON CO	241,000	238,720	222,298	10/1/2042	3.80%	
COMMONWEALTH EDISON CO	1,385,000	1,552,765	1,434,708	8/15/2043	4.60%	
COMMONWEALTH EDISON CO	685,000	780,284	720,517	1/15/2044	4.70%	
COMMONWEALTH EDISON CO	143,000	141,888	143,940	11/15/2045	4.35%	
COMMScope 8/15 TRANCHE 5 TL	498,750	497,503	494,700			
COMMUNICATIONS SALES & LEASING	100,000	97,055	84,500	10/15/2023	8.25%	100,000
CONAGRA FOODS INC	60,000	76,615	71,297	10/1/2026	7.13%	
CONAGRA FOODS INC	76,000	79,319	67,794	1/25/2043	4.65%	
CONCHO RESOURCES INC	311,000	312,462	287,675	4/1/2023	5.50%	
CONCORDIA 10/15 (USD) TL	200,000	189,000	191,626			
CONCORDIA HEALTHCARE CORP 144A	229,000	195,030	198,658	4/15/2023	7.00%	
CONNECTICUT LIGHT & POWER CO/T	75,000	102,927	95,338	6/1/2036	6.35%	
CONOCO FUNDING CO	180,000	250,825	207,166	10/15/2031	7.25%	
CONOCOPHILLIPS	1,690,000	2,101,616	1,652,583	5/15/2038	5.90%	
CONOCOPHILLIPS	460,000	611,179	484,753	2/1/2039	6.50%	
CONOCOPHILLIPS CANADA FUNDING	835,000	1,042,731	858,706	10/15/2036	5.95%	
CONOCOPHILLIPS HOLDING CO	690,000	919,204	790,319	4/15/2029	6.95%	
CONSOLIDATED EDISON CO OF NEW	1,750,000	2,116,223	1,950,620	3/1/2035	5.30%	
CONSOLIDATED EDISON CO OF NEW	255,000	326,525	302,830	3/15/2036	5.85%	
CONSOLIDATED EDISON CO OF NEW	1,225,000	1,620,626	1,492,467	8/15/2037	6.30%	
CONSOLIDATED EDISON CO OF NEW	390,000	468,121	442,985	12/1/2039	5.50%	
CONSOLIDATED EDISON CO OF NEW	350,000	349,069	323,271	3/1/2043	3.95%	
CONSTELLUM NV 144A	442,000	367,965	300,560	5/15/2024	5.75%	442,000
CONSUMERS ENERGY CO	1,200,000	1,239,060	1,226,820	8/15/2023	3.38%	
CONSUMERS ENERGY CO	232,000	242,189	219,815	8/31/2064	4.35%	
CONTINENTAL AIRLINES 1999-2 CL	35,460	39,184	38,297	9/15/2021	7.26%	
CONTINENTAL RESOURCES INC/OK	170,000	170,848	122,138	4/15/2023	4.50%	
CONTINENTAL RESOURCES INC/OK	290,000	280,954	204,285	6/1/2024	3.80%	290,000
CONTINENTAL RESOURCES INC/OK	400,000	332,937	241,192	6/1/2044	4.90%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
COOPERAT CENT DISC	1,000,000	\$ 998,184	\$ 998,184	4/13/2016		
COOPERATIEVE RABOBANK UA	525,000	626,567	586,856	12/1/2043	5.75%	
COOPERATIEVE RABOBANK UA	350,000	348,429	366,762	8/4/2045	5.25%	
COOPERATIEVE RABOBANK UA	250,000	254,658	253,055	11/9/2022	3.95%	250,000
COOPERATIEVE RABOBANK UA 144A	240,000	272,890	262,646	9/30/2039	5.80%	
COOPERATIEVE RABOBANK UA/NY	1,850,000	1,863,783	1,855,957	1/14/2019	2.25%	1,440,000
COOPERATIEVE RABOBANK UA/NY	655,000	656,959	654,908	3/18/2016	VAR	
COTT BEVERAGES INC	500,000	458,750	490,000	7/1/2022	5.38%	
COTT BEVERAGES INC	200,000	200,000	206,500	1/1/2020	6.75%	
COUNTRYWIDE ASSET-BACKED 5 AF5	485,496	512,708	489,579	2/25/1934	VAR	
COVERIS HOLDINGS SA 144A	400,000	404,150	349,000	11/1/2019	7.88%	
COVIDIEN INTERNATIONAL FINANCE	355,000	463,719	445,028	10/15/2037	6.55%	
COX COMMUNICATIONS INC 144A	670,000	925,219	738,313	3/1/2039	8.38%	
COX ENTERPRISES INC 144A	270,000	343,289	310,254	7/15/2027	7.38%	
CPPIB CAPITAL INC DISC	1,300,000	1,298,859	1,298,859	2/23/2016		
CRC FDG LLC DISC	350,000	349,168	349,168	3/21/2016		
CREDIT SUISSE GROUP AG 144A	550,000	572,000	577,407	12/29/1949	VAR	
CREDIT SUISSE GROUP FUNDI 144A	930,000	927,768	899,570	3/26/2025	3.75%	
CREDIT SUISSE GROUP FUNDI 144A	950,000	946,894	936,786	5/15/2045	4.88%	
CRIMSON MERGER SUB INC 144A	726,000	662,345	497,310	5/15/2022	6.63%	
CROWNE GROUP 9/14 TL	494,988	494,369	481,375			
CSI COMPRESSCO LP / COMPRESSCO	175,000	148,750	129,500	8/15/2022	7.25%	
CSM BAKERY SUPPLIES 5/13	492,451	480,140	479,524		COV-LITE TL	
CSX CORP	275,000	358,210	323,934	4/30/2040	6.22%	
CSX CORP	105,000	126,673	115,662	4/15/2041	5.50%	
CSX CORP	350,000	366,559	317,534	8/1/2054	4.50%	
CSX CORP	740,000	733,325	625,907	5/1/2050	3.95%	425,000
CVS HEALTH CORP	69,000	67,926	71,242	7/20/2035	4.88%	
CVS HEALTH CORP	47,000	46,829	49,511	7/20/2045	5.13%	47,000
CVS PASS-THROUGH TRUST 144A	64,971	75,870	71,368	1/10/2034	5.93%	
CVS PASS-THROUGH TRUST 144A	501,599	678,407	620,693	7/10/2031	8.35%	
DAIMLER FINANCE NORTH AME 144A	535,000	542,506	535,808	8/1/2018	2.38%	
DAIMLER FINANCE NORTH AME 144A	470,000	469,065	467,490	3/10/2017	VAR	
DAIMLER FINANCE NORTH AME 144A	1,050,000	1,047,942	1,029,840	5/18/2020	2.45%	
DAIMLER FINANCE NORTH AMERICA	1,280,000	1,957,747	1,856,640	1/18/2031	8.50%	
DANAHER CORP	196,000	195,720	198,977	9/15/2025	3.35%	
DAVITA HEALTHCARE PARTNERS INC	275,000	291,500	283,250	8/15/2022	5.75%	
DBS BANK LTD DISC	600,000	599,612	599,612	2/11/2016		
DEERE & CO	145,000	207,789	187,091	3/3/2031	7.13%	
DEERE & CO	542,000	547,821	518,152	6/9/2042	3.90%	
DEL MONTE FOODS 11/13 COV-LITE	493,719	448,874	473,970		TL	
DELL 6/15 (USD) COV-LITE TLB2	989,987	987,017	982,028			
DELL EQUIPMENT FINAN 1 A2 144A	29,717	29,712	29,705	7/22/2016	0.64%	
DELL EQUIPMENT FINAN 1 A2 144A	190,000	189,993	189,641	7/24/2017	1.01%	
DENBURY RESOURCES INC	154,000	140,910	51,125	5/1/2022	5.50%	
DEUTSCHE BANK AG	200,000	192,000	195,000	12/29/1949	VAR	
DEUTSCHE BANK AG/LONDON	107,000	108,580	106,570	5/30/2024	3.70%	
DEUTSCHE TELEKOM INTERNATIONAL	345,000	509,620	478,322	6/15/1930	VAR	
DEVON ENERGY CORP	210,000	289,475	217,006	4/15/2032	7.95%	
DEVON ENERGY CORP	90,000	90,601	63,896	5/15/2042	4.75%	
DEVON FINANCING CO LLC	795,000	1,040,362	815,400	9/30/2031	7.88%	
DIAGEO CAPITAL PLC	580,000	725,615	677,846	9/30/2036	5.88%	
DIAGEO INVESTMENT CORP	325,000	471,855	436,319	4/15/2035	7.45%	
DIAMOND OFFSHORE DRILLING INC	481,000	409,774	292,010	11/1/2043	4.88%	481,000
DIRECTV HOLDINGS LLC / DIRECTV	390,000	437,104	399,099	8/15/2040	6.00%	
DIRECTV HOLDINGS LLC / DIRECTV	282,000	329,703	302,676	3/1/2041	6.38%	
DISCOVERY COMMUNICATIONS LLC	260,000	309,507	258,669	6/1/2040	6.35%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
DISCOVERY COMMUNICATIONS LLC	42,000	\$ 44,713	\$ 35,188	5/15/2042	4.95%	
DISH DBS CORP	165,000	185,575	179,438	9/1/2019	7.88%	
DISH DBS CORP	88,000	87,175	88,660	6/1/2021	6.75%	
DISH DBS CORP	475,000	486,875	442,938	7/15/2022	5.88%	
DISH DBS CORP	210,000	203,175	182,175	3/15/2023	5.00%	
DISH DBS CORP	160,000	161,200	158,400	5/1/2020	5.13%	
DISH DBS CORP	214,000	215,070	190,460	11/15/2024	5.88%	214,000
DJO FINCO INC / DJO FINAN 144A	275,000	280,793	244,063	6/15/2021	8.13%	
DNB NOR BANK DISC	925,000	923,867	923,867	3/21/2016		
DOLE FOOD 11/13 COV-LITE TLB	467,549	460,596	463,655			
DOLLAR TREE INC 144A	148,000	148,853	153,180	3/1/2023	5.75%	
DOLLAR TREE INC 144A	29,000	29,000	29,943	3/1/2020	5.25%	29,000
DOMINION RESOURCES INC/VA	230,000	269,894	238,940	8/1/2033	5.25%	
DOMINION RESOURCES INC/VA	144,000	157,225	140,913	8/1/2041	4.90%	
DOOSAN INFRACORE 5/14 COV-LITE	454,039	450,633	448,363		TLB	
DOW CHEMICAL CO/THE	885,000	1,178,130	1,093,019	11/1/2029	7.38%	
DOW CHEMICAL CO/THE	32,000	34,513	31,192	11/15/2041	5.25%	
DTE ELECTRIC CO	535,000	551,944	518,073	4/1/2043	4.00%	
DTE ELECTRIC CO	680,000	714,483	710,913	3/15/2024	3.65%	
DTE ELECTRIC CO	200,000	217,062	203,112	7/1/2044	4.30%	
DTE ELECTRIC CO	915,000	912,859	840,730	3/15/2045	3.70%	
DTE ELECTRIC CO	251,000	328,373	300,668	10/1/2037	5.70%	
DTE ELECTRIC CO	225,000	316,141	291,321	6/1/2036	6.63%	
DTE ELECTRIC CO	120,000	123,348	114,875	6/15/2042	3.95%	
DTE ENERGY CO	84,000	88,240	86,315	12/1/2023	3.85%	
DTE ENERGY CO	95,000	96,647	95,106	6/1/2024	3.50%	
DUKE ENERGY CAROLINAS LLC	25,000	31,380	29,982	12/1/2028	6.00%	
DUKE ENERGY CAROLINAS LLC	240,000	324,881	292,207	10/15/2032	6.45%	
DUKE ENERGY CAROLINAS LLC	50,000	65,038	60,461	6/1/2037	6.10%	
DUKE ENERGY CAROLINAS LLC	100,000	131,617	123,369	1/15/2038	6.00%	
DUKE ENERGY CAROLINAS LLC	90,000	117,031	111,734	4/15/2038	6.05%	90,000
DUKE ENERGY CAROLINAS LLC	430,000	532,512	493,386	2/15/2040	5.30%	
DUKE ENERGY CAROLINAS LLC	1,435,000	1,541,865	1,430,336	12/15/2041	4.25%	
DUKE ENERGY FLORIDA LLC	190,000	262,700	242,991	6/15/2038	6.40%	
DUKE ENERGY INDIANA LLC	365,000	510,945	465,572	8/15/2038	6.35%	
DUKE ENERGY INDIANA LLC	420,000	494,680	453,827	7/15/2043	4.90%	
DUKE ENERGY OHIO INC	3,227,000	3,431,527	3,369,278	9/1/2023	3.80%	
DUKE ENERGY PROGRESS LLC	73,000	77,403	70,775	5/15/2042	4.10%	
DUKE ENERGY PROGRESS LLC	405,000	429,847	393,085	3/15/2043	4.10%	
DUKE ENERGY PROGRESS LLC	966,000	1,060,678	981,224	3/30/2044	4.38%	
DUKE ENERGY PROGRESS LLC	722,000	767,486	705,358	12/1/2044	4.15%	
DUKE ENERGY PROGRESS LLC	1,289,000	1,282,465	1,269,962	8/15/2045	4.20%	
E.ON INTERNATIONAL FINANC 144A	200,000	261,828	224,718	4/30/2038	6.65%	
EATON CORP	79,000	80,356	75,292	11/2/2032	4.00%	
EBAY INC	890,000	792,376	703,323	7/15/2042	4.00%	
ECOLAB INC	120,000	144,448	130,561	12/8/2041	5.50%	
EI DU PONT DE NEMOURS & CO	372,000	452,497	384,503	12/15/2036	5.60%	
EI DU PONT DE NEMOURS & CO	141,000	154,509	134,936	1/15/2041	4.90%	
EI DU PONT DE NEMOURS & CO	69,000	69,507	60,237	2/15/2043	4.15%	69,000
ELECTRICITE DE FRANCE SA 144A	330,000	384,430	322,898	1/22/2039	6.00%	
ELM ROAD GENERATING STATI 144A	145,000	183,898	173,414	2/11/2040	6.09%	
ELWOOD ENERGY LLC	198,885	222,751	216,785	7/5/2026	8.16%	
EMERSON ELECTRIC CO	165,000	217,495	196,178	8/15/2032	6.00%	
ENCANA CORP	850,000	908,701	680,179	2/1/2038	6.50%	
ENDO LTD / ENDO FINANCE L 144A	200,000	200,000	199,000	7/15/2023	6.00%	200,000
ENEL FINANCE INTERNATIONAL 144A	300,000	352,509	335,442	10/7/2039	6.00%	
ENERGY TRANSFER PARTNERS LP	235,000	256,500	183,371	6/1/2041	6.05%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
ENERGY TRANSFER PARTNERS LP	50,000	\$ 49,886	\$ 35,341	3/15/2045	5.15%	
ENI SPA 144A	245,000	280,006	219,878	10/1/2040	5.70%	
ENLINK MIDSTREAM PARTNERS LP	85,000	81,924	52,707	4/1/2045	5.05%	
ENSCO PLC	68,000	64,828	44,825	10/1/2044	5.75%	
ENSEMBLE S MERGER SUB INC 144A	100,000	96,568	96,625	9/30/2023	9.00%	
ENTERPRISE FLEET FIN 1 A2 144A	114,629	114,586	114,266	9/20/2019	0.87%	
ENTERPRISE PRODUCTS OPERATING	14,000	14,330	10,952	10/15/2054	4.95%	
ENTERPRISE PRODUCTS OPERATING	152,000	151,445	124,213	5/15/2046	4.90%	115,089
EOG RESOURCES INC	1,005,000	999,784	994,136	4/1/2020	2.45%	
EP ENERGY LLC / EVEREST ACQUIS	315,000	318,832	200,813	5/1/2020	9.38%	315,000
EPR PROPERTIES	495,000	540,723	519,681	8/15/2022	5.75%	
EQT CORP	125,000	150,696	135,024	6/1/2019	8.13%	
EQUINIX INC	331,000	332,176	340,930	1/15/2026	5.88%	
ERAC USA FINANCE LLC 144A	307,000	394,922	362,097	6/1/2034	6.70%	
ERAC USA FINANCE LLC 144A	1,100,000	1,482,371	1,340,559	10/15/2037	7.00%	
ERAC USA FINANCE LLC 144A	67,000	78,219	71,907	3/15/2042	5.63%	
ERAC USA FINANCE LLC 144A	140,000	138,387	130,187	2/15/2045	4.50%	
ERP OPERATING LP	250,000	260,548	250,753	7/1/2044	4.50%	
EXELON GENERATION CO LLC	223,000	251,582	215,585	10/1/2041	5.75%	
EXXON MOBIL CORP	1,385,000	1,385,000	1,354,308	3/6/2025	2.71%	1,385,000
EXXON MOBIL CORP	565,000	563,401	561,542	3/1/2018	VAR	
EXXON MOBIL CORP	67,000	67,000	62,970	3/6/2045	3.57%	67,000
FBG FINANCE PTY LTD 144A	385,000	470,566	447,917	6/15/2035	5.88%	
FEDERAL HOME LN BK CONS BD	400,000	548,572	519,592	7/15/2036	5.50%	
FEDERAL HOME LN BK CONS DISC	23,850,000	23,849,876	23,849,876	1/4/2016		
FEDERAL HOME LN BK CONS DISC N	25,000,000	24,998,611	24,998,611	1/20/2016		
FEDERAL NATL MTG ASSN STRIP	2,400,000	1,459,464	1,455,624	5/15/2030	0.00%	
FEDEX CORP	615,000	686,875	631,802	1/15/2034	4.90%	
FEDEX CORP	80,000	79,634	72,823	2/1/2035	3.90%	
FEDEX CORP	205,000	203,659	176,161	2/1/2065	4.50%	
FELCOR LODGING LP	300,000	297,000	304,500	3/1/2023	5.63%	
FERRELLGAS LP / FERRELLGA 144A	392,000	392,385	319,480	6/15/2023	6.75%	
FHLMC POOL #C4-6008	3,286	3,734	3,743	12/1/2030	6.50%	
FHLMC POOL #C9-0781	71,733	74,665	73,901	10/1/2023	3.50%	
FHLMC MULTICLASS MTG 2176 OJ	62,224	71,405	71,776	8/15/2029	7.00%	
FHLMC MULTICLASS MTG 2259 ZM	110,584	126,372	125,783	10/15/2030	7.00%	
FHLMC MULTICLASS MTG 2366 MD	7,015	7,241	7,129	10/15/2016	6.00%	
FHLMC MULTICLASS MTG 2412 SP	25,015	33,094	33,672	2/15/1932	VAR	
FHLMC MULTICLASS MTG 2953 LZ	1,902,926	2,359,480	2,332,112	3/15/2035	6.00%	
FHLMC MULTICLASS MTG 2979 BS	300,000	457,911	499,347	5/15/1935	VAR	
FHLMC MULTICLASS MTG 3117 EO	113,137	106,215	105,006	2/15/2036	0.00%	
FHLMC MULTICLASS MTG 3201 OG	107,697	100,882	98,884	8/15/2036	0.00%	
FHLMC MULTICLASS MTG 3211 SO	107,825	101,178	93,288	9/15/2036	0.00%	
FHLMC MULTICLASS MTG 3607 AO	139,294	129,778	127,593	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3607 BO	55,718	51,911	51,037	4/15/2036	0.00%	
FHLMC MULTICLASS MTG 3611 PO	78,283	72,585	71,658	7/15/2034	0.00%	
FHLMC MULTICLASS MTG 3632 BS	500,000	656,800	690,685	2/15/1940	VAR	
FHLMC MULTICLASS MTG 3841 JZ	621,629	715,480	711,007	4/15/2041	5.00%	
FHLMC MULTICLASS MTG 4048 CE	1,000,000	1,060,530	1,038,350	5/15/2042	4.00%	
FIAT CHRYSLER AUTOMOBILES NV	210,000	210,000	212,625	4/15/2020	4.50%	210,000
FIDELITY NATIONAL INFORMATION	630,000	628,293	647,319	10/15/2025	5.00%	630,000
FIFTH THIRD BANCORP	160,000	240,349	222,789	3/1/2038	8.25%	
FIFTH THIRD BANK/CINCINNATI OH	710,000	711,505	709,901	11/18/2016	VAR	
FIRST DATA 6/15 (USD) TL	500,000	496,250	492,375			
FIRST DATA CORP 144A	1,403,000	1,492,569	1,471,396	11/1/2020	6.75%	
FIRST DATA CORP 144A	151,000	151,000	151,000	12/1/2023	7.00%	
FIRST DATA CORP 144A	165,000	165,000	164,175	1/15/2024	5.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
FIRST DATA CORP 144A	225,000	\$ 225,000	\$ 221,625	1/15/2024	5.75%	
FLORIDA POWER & LIGHT CO	277,000	356,964	332,658	2/1/2037	5.65%	
FLORIDA POWER & LIGHT CO	720,000	957,002	896,818	2/1/2038	5.95%	100,000
FLORIDA POWER & LIGHT CO	110,000	147,291	137,309	4/1/2039	5.96%	
FLORIDA POWER & LIGHT CO	1,685,000	2,056,425	1,920,445	6/1/2041	5.13%	
FMG RESOURCES AUGUST 2006 144A	103,000	98,278	94,245	3/1/2022	9.75%	103,000
FMR LLC 144A	250,000	324,918	308,533	11/15/2039	6.45%	
FNMAPOOL #0465714	444,748	512,781	513,568	8/1/2028	4.68%	
FNMAPOOL #0490445	48,320	55,428	55,130	3/1/2029	6.00%	
FNMAPOOL #0888829	875,762	1,049,872	1,052,955	6/1/2037	5.83%	
FNMA GTD REMIC P/T	10,677	10,024	9,717	1/25/2033	0.00%	
FNMA GTD REMIC P/T 01-44 PU	68,244	78,038	77,762	9/25/2031	7.00%	
FNMA GTD REMIC P/T 03-35 EA	70,126	65,247	64,208	5/25/2033	0.00%	
FNMA GTD REMIC P/T 03-35 SW	33,367	44,059	44,316	5/25/1933	VAR	
FNMA GTD REMIC P/T 03-69 NS	61,545	74,788	76,992	7/25/1933	VAR	
FNMA GTD REMIC P/T 03-W2 2A9	361,360	406,298	401,987	7/25/2042	5.90%	
FNMA GTD REMIC P/T 04-W10 A6	1,000,000	1,134,090	1,134,950	8/25/2034	5.75%	
FNMA GTD REMIC P/T 05-59 SU	258,672	357,303	390,954	6/25/1935	VAR	
FNMA GTD REMIC P/T 06-53 WE	1,000,000	1,169,290	1,153,220	6/25/2036	6.00%	
FNMA GTD REMIC P/T 06-79 PL	1,000,000	1,255,490	1,229,150	8/25/2036	6.50%	
FNMA GTD REMIC P/T 09-50 GX	400,000	473,644	459,116	7/25/2039	5.00%	
FNMA GTD REMIC P/T 09-84 WS	84,977	9,766	11,203	10/25/1939	VAR	
FNMA GTD REMIC P/T 09-99 SC	70,237	8,575	9,521	12/25/1939	VAR	
FNMA GTD REMIC P/T 10-122 CJ	38,042	37,187	37,714	11/25/2040	3.00%	
FNMA GTD REMIC P/T 10-2 LC	350,000	396,400	389,113	2/25/2040	5.00%	
FNMA GTD REMIC P/T 10-35 SJ	500,000	642,755	646,570	4/25/1940	VAR	
FNMA GTD REMIC P/T 10-60 WZ	532,038	609,743	653,539	6/25/2040	5.50%	
FNMA GTD REMIC P/T 10-80 PZ	1,710,512	2,025,517	1,942,407	7/25/2040	5.00%	
FNMA GTD REMIC P/T 11-111 PZ	361,742	411,182	410,418	11/25/2041	4.50%	
FNMA GTD REMIC P/T 11-84 PZ	627,417	749,170	729,994	9/25/2041	5.25%	
FORD MOTOR CO	135,000	162,999	152,065	2/1/2029	6.38%	
FORD MOTOR CO	720,000	977,472	888,487	7/16/2031	7.45%	
FREEPORT-MCMORAN INC	180,000	165,685	95,400	11/14/2034	5.40%	
FREEPORT-MCMORAN INC	1,210,000	946,125	692,725	11/14/2024	4.55%	1,005,000
FREESCALE SEMICONDUCTOR I 144A	578,000	604,010	605,455	1/15/2022	6.00%	
FRONTIER COMMUNICATIONS C 144A	179,000	179,000	178,329	9/15/2022	10.50%	
FRONTIER COMMUNICATIONS C 144A	82,000	82,000	81,180	9/15/2025	11.00%	
FRONTIER COMMUNICATIONS CORP	97,000	84,390	81,480	8/15/2031	9.00%	
FRONTIER COMMUNICATIONS CORP	800,000	824,000	672,000	4/15/2024	7.63%	
FRONTIER COMMUNICATIONS CORP	43,000	43,000	35,421	1/15/2025	6.88%	43,000
GE CAPITAL INTERNATIONAL 144A	2,602,000	2,572,738	2,580,299	11/15/2020	2.34%	
GE CAPITAL INTERNATIONAL 144A	14,172,000	13,994,668	14,462,243	11/15/2035	4.42%	
GENERAL DYNAMICS CORP	131,000	124,664	120,622	11/15/2042	3.60%	
GENERAL ELECTRIC CAPITAL CORP	225,000	225,837	225,005	1/8/2016	VAR	
GENERAL ELECTRIC CO	2,215,000	2,292,990	2,163,590	10/9/2042	4.13%	
GENERAL ELECTRIC CO	287,000	374,343	360,300	8/7/2037	6.15%	
GENERAL ELECTRIC CO	184,000	250,700	230,994	8/7/2037	6.15%	
GENERAL ELECTRIC CO	1,090,000	1,379,242	1,333,680	1/14/2038	5.88%	
GENERAL ELECTRIC CO	454,000	642,188	618,834	1/10/2039	6.88%	
GENERAL ELECTRIC CO	917,000	1,033,578	1,015,275	10/17/2021	4.65%	
GENERAL ELECTRIC CO	320,000	319,462	319,994	5/15/2017	VAR	
GENERAL ELECTRIC CO	2,178,000	2,974,887	2,845,797	3/15/2032	6.75%	
GENERAL MOTORS CO	350,000	357,000	353,514	10/2/2018	3.50%	
GENERAL MOTORS CO	1,665,000	1,989,009	1,759,139	10/2/2043	6.25%	
GENESIS ENERGY LP / GENESIS EN	393,000	387,612	334,050	8/1/2022	6.75%	
GENWORTH HOLDINGS INC	637,000	622,852	528,997	9/24/2021	7.63%	100,000
GENWORTH HOLDINGS INC	72,000	58,010	47,880	8/15/2023	4.90%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
GEORGIA POWER CO	675,000	\$ 708,858	\$ 623,437	3/15/2042	4.30%	
GILEAD SCIENCES INC	222,000	237,274	217,152	2/1/2045	4.50%	
GILEAD SCIENCES INC	2,012,000	2,017,926	2,036,204	3/1/2046	4.75%	1,883,541
GILEAD SCIENCES INC	540,000	542,434	549,088	9/1/2035	4.60%	
GLAXOSMITHKLINE CAPITAL INC	2,460,000	3,275,465	3,141,445	5/15/2038	6.38%	
GLAXOSMITHKLINE CAPITAL INC	710,000	729,518	716,674	3/18/2043	4.20%	
GLAXOSMITHKLINE CAPITAL PLC	325,000	326,411	326,368	5/8/2017	1.50%	
GLEN MEADOW PASS-THROUGH 144A	692,000	635,100	551,870	2/12/1967	VAR	214,000
GM FINANCIAL AUTOMO 2A A2 144A	187,300	187,380	187,070	2/20/2017	0.73%	
GNMA GTD REMIC P/T 03-67 FO	500,000	402,810	436,360	8/20/2033	0.00%	
GNMA GTD REMIC P/T 05-45 ZA	1,031,066	1,237,721	1,233,330	6/16/2035	6.00%	
GNMA GTD REMIC P/T 05-92 PB	1,200,000	1,392,732	1,363,212	12/20/2035	6.00%	
GNMA GTD REMIC P/T 07-28 BO	36,798	34,517	33,160	5/20/2037	0.00%	
GNMA GTD REMIC P/T 07-71 SB	45,815	3,047	2,572	7/20/1936	VAR	
GNMA GTD REMIC P/T 09-1 KS	291,548	351,062	355,045	11/20/1934	VAR	
GNMA GTD REMIC P/T 09-10 SL	199,494	13,731	10,376	3/16/1934	VAR	
GNMA GTD REMIC P/T 09-32 ZA	207,582	237,436	234,414	5/20/2039	5.50%	
GNMA GTD REMIC P/T 09-61 ZQ	875,996	1,133,503	1,132,864	8/16/2039	6.00%	
GNMA GTD REMIC P/T 10-14 CO	292,996	275,182	270,895	8/20/2035	0.00%	
GNMA GTD REMIC P/T 10-42 VZ	775,355	888,698	877,314	10/20/2039	5.50%	
GNMA GTD REMIC P/T 11-50 PZ	504,876	636,638	615,086	4/20/2041	5.00%	
GOLDMAN SACHS GROUP INC/THE	402,000	412,291	410,225	7/8/2024	3.85%	
GOLDMAN SACHS GROUP INC/THE	157,000	168,160	156,089	7/8/2044	4.80%	
GOLDMAN SACHS GROUP INC/THE	680,000	833,483	796,579	2/15/2033	6.13%	
GOLDMAN SACHS GROUP INC/THE	2,770,000	3,458,870	3,237,964	10/1/2037	6.75%	
GOLDMAN SACHS GROUP INC/THE	980,000	1,238,857	1,168,944	2/1/2041	6.25%	
GOLDMAN SACHS GROUP INC/THE	730,000	739,213	738,293	1/22/2023	3.63%	730,000
GOLDMAN SACHS GROUP INC/THE	104,000	107,968	106,744	3/3/2024	4.00%	
GOLDMAN SACHS GROUP INC/THE	36,000	35,748	35,725	10/21/2025	4.25%	
GOLDMAN SACHS GROUP INC/THE	92,000	91,157	91,391	10/21/2045	4.75%	
GOLDMAN SACHS GROUP INC/THE	408,000	409,392	400,987	1/23/2025	3.50%	
GOLDMAN SACHS GROUP INC/THE	742,000	737,177	720,771	5/22/2045	5.15%	115,000
GRIFFON CORP	575,000	538,344	548,406	3/1/2022	5.25%	575,000
GRUPO TELEVISA SAB	200,000	242,486	207,476	1/15/2040	6.63%	
GUGGENHEIM PARTNERS 7/13	984,887	974,053	976,269		COV-LITE TL	
HALLIBURTON CO	105,000	136,143	123,148	9/15/2038	6.70%	
HALLIBURTON CO	740,000	1,039,456	946,319	9/15/2039	7.45%	
HALLIBURTON CO	100,000	101,303	90,796	11/15/2041	4.50%	
HALLIBURTON CO	2,070,000	2,143,361	1,943,295	8/1/2043	4.75%	
HALLIBURTON CO	130,000	129,966	127,707	11/15/2035	4.85%	130,000
HALLIBURTON CO	402,000	401,875	397,393	11/15/2045	5.00%	
HALLIBURTON CO 144A	45,000	65,383	55,748	8/15/2096	7.60%	
HARTFORD FINANCIAL SERVICES GR	440,000	496,650	481,250		VAR	
HCA INC	505,000	507,038	508,788	3/15/2019	3.75%	505,000
HCA INC	350,000	350,875	351,313	2/15/2026	5.88%	
HCA INC	675,000	771,188	747,563	2/15/2022	7.50%	675,000
HCP INC	177,000	240,260	213,820	2/1/2041	6.75%	
HEALTHSOUTH CORP 144A	152,000	152,760	144,970	11/1/2024	5.75%	
HEALTHSOUTH CORP 144A	185,000	185,000	172,050	9/15/2025	5.75%	185,000
HEARTHSIDE FOOD 4/14 COV-LITE	492,500	486,959	481,828		DELAYED TL	
HEINEKEN NV 144A	63,000	61,392	56,076	10/1/2042	4.00%	
HERTZ (COV-LITE 3/11)	1,125,000	1,110,938	1,102,500		SYNTHETIC LC	
HESS CORP	439,000	561,758	479,537	10/1/2029	7.88%	
HEWLETT PACKARD ENTERPRIS 144A	465,000	464,079	463,219	10/15/2022	4.40%	465,000
HEWLETT PACKARD ENTERPRIS 144A	675,000	673,144	661,912	10/15/2025	4.90%	
HEWLETT PACKARD ENTERPRIS 144A	515,000	514,650	488,910	10/15/2045	6.35%	
HEXION INC	125,000	125,000	103,125	4/15/2020	10.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
HEXION INC	864,000	\$ 822,100	\$ 671,760	4/15/2020	6.63%	
HISTORIC TW INC	285,000	387,631	369,770	2/1/2023	9.15%	
HOLOGIC INC 144A	225,000	227,911	229,500	7/15/2022	5.25%	
HOME DEPOT INC/THE	225,000	234,554	226,582	3/1/2016	5.40%	
HOME DEPOT INC/THE	875,000	1,142,750	1,066,485	12/16/2036	5.88%	
HOME DEPOT INC/THE	2,960,000	3,086,155	2,965,150	4/1/2043	4.20%	
HOME DEPOT INC/THE	1,682,000	1,954,972	1,852,353	2/15/2044	4.88%	
HOME DEPOT INC/THE	294,000	321,319	303,867	3/15/2045	4.40%	
HOME DEPOT INC/THE	366,000	365,081	374,158	4/1/2046	4.25%	
HOMER CITY GENERATION LP	281,250	287,227	225,000	10/1/2026	8.73%	
HONEYWELL INTERNATIONAL INC	120,000	154,531	144,820	3/15/2037	5.70%	
HONEYWELL INTERNATIONAL INC	270,000	337,713	320,293	3/1/2041	5.38%	
HONEYWELL INTERNATIONAL INC	334,000	346,408	341,234	12/1/2023	3.35%	
HOSTESS BRANDS 7/15 COV-LITE	498,750	497,503	495,633		TLB	
HP ENTERPRISE SERVICES LLC	205,000	259,016	237,759	10/15/2029	7.45%	
HP INC	190,000	213,703	165,895	9/15/2041	6.00%	
HSBC HOLDINGS PLC	2,185,000	2,807,485	2,621,388	9/15/2037	6.50%	
HSBC HOLDINGS PLC	2,785,000	3,693,384	3,466,629	6/1/2038	6.80%	
HSBC HOLDINGS PLC	200,000	226,080	222,352	4/5/2021	5.10%	
HSBC HOLDINGS PLC	265,000	353,876	335,450	1/14/2042	6.10%	
HSBC HOLDINGS PLC	5,390,000	5,736,577	5,658,260	3/30/2022	4.00%	
HSBC HOLDINGS PLC	745,000	834,519	773,027	3/14/2044	5.25%	
HSBC HOLDINGS PLC	288,000	285,638	285,814	8/18/2025	4.25%	
HSBC USA INC	605,000	620,536	613,682	9/24/2018	2.63%	605,000
HSBC USA INC	125,000	125,000	124,904		VAR	
HUGHES SATELLITE SYSTEMS CORP	277,000	304,700	293,620	6/15/2021	7.63%	
HUGHES SATELLITE SYSTEMS CORP	437,000	468,683	470,868	6/15/2019	6.50%	97,235
HYUNDAI AUTO LEASE S A A3 144A	275,436	275,053	275,293	4/17/2017	0.75%	
HYUNDAI AUTO RECEIVABLES A A2	155,426	155,423	155,336	10/16/2017	0.68%	
IASIS 2/13 TLB	492,386	487,053	482,046			
IHEARTCOMMUNICATIONS INC	110,000	97,350	76,725	3/1/2021	9.00%	
IHEARTCOMMUNICATIONS INC	154,000	154,000	108,031	3/15/2023	10.63%	
ILLINOIS TOOL WORKS INC	393,000	450,531	426,374	9/15/2041	4.88%	
ILLINOIS TOOL WORKS INC	773,000	778,720	732,858	9/1/2042	3.90%	
IMPERIAL BRANDS FINANCE P 144A	645,000	634,583	647,580	7/21/2022	3.75%	
INFOR US INC 144A	331,000	322,761	279,695	5/15/2022	6.50%	
INFOR US INC 144A	69,000	68,310	69,518	8/15/2020	5.75%	
INGERSOLL-RAND GLOBAL HOLDING	728,000	858,035	793,382	6/15/2043	5.75%	
INTEL CORP	307,000	337,160	320,041	10/1/2041	4.80%	
INTEL CORP	1,883,000	1,926,861	1,874,112	12/15/2032	4.00%	1,354,000
INTEL CORP	250,000	260,580	240,995	12/15/2042	4.25%	250,000
INTEL CORP	349,000	348,728	368,879	7/29/2045	4.90%	
INTELSAT JACKSON HOLDINGS SA	448,000	479,360	389,760	4/1/2021	7.50%	448,000
INTERNATIONAL BUSINESS MACHINE	115,000	151,663	147,501	10/30/2025	7.00%	65,000
INTERNATIONAL BUSINESS MACHINE	125,000	160,244	149,038	11/29/2032	5.88%	
INTERNATIONAL BUSINESS MACHINE	8,000	9,662	9,320	11/30/2039	5.60%	
INTERNATIONAL BUSINESS MACHINE	957,000	952,207	895,168	6/20/2042	4.00%	957,000
INTERNATIONAL BUSINESS MACHINE	1,390,000	1,339,765	1,355,459	5/15/2020	1.63%	1,321,000
INTERNATIONAL BUSINESS MACHINE	1,000,000	1,021,760	1,015,430	8/1/2023	3.38%	
INTERNATIONAL GAME TECHNO 144A	273,000	273,000	255,255	2/15/2022	6.25%	
INTERNATIONAL GAME TECHNO 144A	703,000	687,320	616,883	2/15/2025	6.50%	703,000
INTERNATIONAL PAPER CO	1,025,000	1,046,730	932,463	6/15/2044	4.80%	1,025,000
INTERNATIONAL PAPER CO	111,000	110,034	105,603	5/15/2046	5.15%	
INVESCO FINANCE PLC	150,000	180,374	164,321	11/30/2043	5.38%	
IRON MOUNTAIN INC 144A	302,000	302,000	318,610	10/1/2020	6.00%	
ISRAEL ST AID	300,000	228,501	229,932	11/1/2024	0.00%	
ISRAEL ST AID	200,000	268,700	258,246	9/18/2033	5.50%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
JBS USA LLC / JBS USA FIN 144A	285,000	\$ 293,550	\$ 282,863	6/1/2021	7.25%	
JEFFERIES FINANCE LLC / J 144A	357,000	332,010	314,160	4/15/2021	7.50%	
JEFFERIES GROUP LLC	320,000	314,486	295,603	1/15/2036	6.25%	
JEFFERIES GROUP LLC	140,000	145,256	147,960	6/8/2027	6.45%	
JOHN DEERE CAPITAL CORP	430,000	431,165	429,931	12/15/2016	1.05%	
JOHN DEERE CAPITAL CORP	363,000	372,050	368,866	6/12/2024	3.35%	363,000
JOHN SEVIER COMBINED CYCLE GEN	174,358	198,188	189,658	1/15/2042	4.63%	
JOHNSON & JOHNSON	1,446,000	1,619,665	1,594,909	12/5/2033	4.38%	
JOHNSON CONTROLS INC	265,000	320,894	276,612	1/15/2036	6.00%	
JOHNSON CONTROLS INC	35,000	41,759	34,165	3/1/2041	5.70%	
JPMORGAN CHASE & CO	325,000	325,644	325,026	2/26/2016	VAR	
JPMORGAN CHASE & CO	2,950,000	3,854,293	3,759,952	5/15/2038	6.40%	
JPMORGAN CHASE & CO	5,000	6,054	5,771	7/15/2041	5.60%	
JPMORGAN CHASE & CO	1,050,000	1,141,014	1,117,442	8/15/2021	4.35%	225,000
JPMORGAN CHASE & CO	1,380,000	1,365,248	1,356,292	5/1/2023	3.38%	890,000
JPMORGAN CHASE & CO	845,000	937,359	900,922	2/1/2044	4.85%	770,000
JPMORGAN CHASE & CO	1,325,000	1,326,338	1,321,343	12/15/2026	4.13%	
JPMORGAN CHASE BANK NA	430,000	430,000	428,302	6/14/2017	VAR	
K HOVNANIAN ENTERPRISES I 144A	750,000	776,250	645,000	10/15/2020	7.25%	
K HOVNANIAN ENTERPRISES I 144A	240,000	229,200	160,800	1/15/2019	7.00%	205,000
K HOVNANIAN ENTERPRISES I 144A	242,000	232,320	150,040	11/1/2019	8.00%	
KANSAS CITY POWER & LIGHT CO	465,000	536,359	505,209	10/1/2041	5.30%	
KB HOME	153,000	154,530	150,896	12/15/2021	7.00%	1,000
KB HOME	347,000	341,795	336,590	5/15/2019	4.75%	347,000
KB HOME	300,000	300,000	296,250	5/15/2023	7.63%	
KELLOGG CO	171,000	230,431	217,421	4/1/2031	7.45%	
KENTUCKY UTILITIES CO	256,000	293,166	269,299	11/15/2043	4.65%	
KENTUCKY UTILITIES CO	171,000	170,858	175,749	10/1/2045	4.38%	
KERR-MCGEE CORP	75,000	102,533	80,915	9/15/2031	7.88%	
KIK CUSTOM PRODUCTS 8/15	498,750	486,281	482,541		COV-LITE TL	
KIMBERLY-CLARK CORP	300,000	373,860	337,110	3/1/2041	5.30%	
KIMBERLY-CLARK CORP	1,725,000	1,675,976	1,592,658	6/1/2043	3.70%	
KINDER MORGAN ENERGY PARTNERS	515,000	573,138	424,030	9/1/2039	6.50%	
KINDER MORGAN ENERGY PARTNERS	160,000	181,736	136,030	9/15/2040	6.55%	
KINDER MORGAN ENERGY PARTNERS	490,000	491,005	370,308	9/1/2044	5.40%	
KINETIC CONCEPTS 1/14 (USD) E1	492,462	484,460	473,173		TL	
KONINKLIJKE PHILIPS NV	410,000	497,442	475,350	3/11/2038	6.88%	
KONINKLIJKE PHILIPS NV	35,000	44,348	42,431	6/1/2026	7.20%	
KRAFT FOODS GROUP INC	90,000	99,072	90,620	6/4/2042	5.00%	
KRAFT FOODS GROUP INC	345,000	443,453	402,180	2/9/2040	6.50%	100,000
KRAFT FOODS GROUP INC	1,085,000	1,385,892	1,285,942	1/26/2039	6.88%	
KRAFT HEINZ FOODS CO 144A	370,000	366,481	379,072	7/15/2035	5.00%	
KROGER CO/THE	145,000	195,034	190,156	6/1/2029	7.70%	
KROGER CO/THE	10,000	13,505	12,737	4/1/2031	7.50%	
KROGER CO/THE	85,000	113,107	105,022	4/15/2038	6.90%	
KROGER CO/THE	37,000	42,419	39,143	7/15/2040	5.40%	
KROGER CO/THE	265,000	294,577	271,095	4/15/2042	5.00%	
KRONOS 2/13 TL	492,866	488,145	483,935			
KRONOS ACQUISITION HOLDIN 144A	209,000	187,201	178,695	8/15/2023	9.00%	
KUBOTA CREDIT OWNER 1A A2 144A	216,067	216,067	215,659	12/15/2017	0.94%	
L BRANDS INC 144A	110,000	110,000	113,025	11/1/2035	6.88%	
LABORATORY CORP OF AMERICA HOL	137,000	135,953	125,171	2/1/2045	4.70%	
LANDESBANK HSN-TH DISC	1,350,000	1,349,113	1,349,113	1/19/2016		
LEVI STRAUSS & CO	873,000	938,475	934,110	5/1/2022	6.88%	
LIBERTY MUTUAL GROUP INC 144A	144,000	176,088	163,695	5/1/2042	6.50%	
LIBERTY MUTUAL GROUP INC 144A	835,000	848,753	773,235	8/1/2044	4.85%	
LIFEPOINT HEALTH INC	550,000	580,250	568,057	10/1/2020	6.63%	550,000

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
LIFEPOINT HEALTH INC	117,000	\$ 117,000	\$ 118,755	12/1/2023	5.88%	117,000
LINCOLN NATIONAL CORP	340,000	471,522	426,455	6/15/2040	7.00%	
LINN ENERGY LLC / LINN ENERGY	938,000	790,265	136,010	2/1/2021	7.75%	
LINN ENERGY LLC / LINN ENERGY	150,000	128,250	26,250	5/15/2019	6.50%	
LLOYDS BANKING GROUP PLC	1,020,000	1,029,323	1,035,433	11/4/2024	4.50%	1,020,000
LLOYDS BANKING GROUP PLC 144A	385,000	384,484	390,687	12/1/2045	5.30%	
LMA SA & LMA AMER DISC	950,000	949,696	949,696	1/11/2016		
LOCKHEED MARTIN CORP	3,017,000	3,044,394	2,791,992	12/15/2042	4.07%	
LOCKHEED MARTIN CORP	290,000	284,560	293,596	5/15/2036	4.50%	
LOCKHEED MARTIN CORP	1,145,000	1,128,043	1,172,904	5/15/2046	4.70%	
LOUISVILLE GAS & ELECTRIC CO	1,091,000	1,245,224	1,150,841	11/15/2043	4.65%	
LOUISVILLE GAS & ELECTRIC CO	171,000	170,858	174,706	10/1/2045	4.38%	
LOWE'S COS INC	290,000	375,676	369,414	2/15/2028	6.88%	
LOWE'S COS INC	320,000	405,354	383,453	4/15/2040	5.80%	
LOWE'S COS INC	771,000	901,654	853,674	11/15/2041	5.13%	
LOWE'S COS INC	26,000	28,918	27,502	4/15/2042	4.65%	
LOWE'S COS INC	202,000	197,217	207,612	9/15/2045	4.38%	
LOWE'S COS INC	380,000	536,286	490,569	5/15/2037	7.11%	
LYB INTERNATIONAL FINANCE BV	470,000	483,517	429,199	3/15/2044	4.88%	
MACY'S RETAIL HOLDINGS INC	205,000	259,407	207,452	3/15/2037	6.38%	205,000
MACY'S RETAIL HOLDINGS INC	49,000	53,111	42,120	1/15/2042	5.13%	
MACY'S RETAIL HOLDINGS INC	93,000	90,111	71,394	2/15/2043	4.30%	
MAGELLAN MIDSTREAM PARTNERS LP	67,000	71,027	57,451	10/15/2043	5.15%	
MALLINCKRODT INTERNATIONAL 144A	475,000	488,063	456,000	8/1/2022	5.75%	475,000
MALLINCKRODT INTERNATIONAL 144A	67,000	67,000	64,488	4/15/2020	4.88%	
MALLINCKRODT INTERNATIONAL FIN	500,000	480,000	442,500	4/15/2023	4.75%	
MARATHON OIL CORP	90,000	108,932	81,166	3/15/2032	6.80%	
MARATHON OIL CORP	80,000	79,953	56,941	6/1/2045	5.20%	
MARATHON PETROLEUM CORP	105,000	124,969	105,059	3/1/2041	6.50%	
MARATHON PETROLEUM CORP	111,000	108,780	103,434	9/15/2024	3.63%	111,000
MARATHON PETROLEUM CORP	450,000	424,985	367,830	9/15/2044	4.75%	450,000
MARSH & MCLENNAN COS INC	625,000	624,519	625,825	3/14/2026	3.75%	
MASSACHUSETTS ELECTRIC CO 144A	271,000	354,400	315,506	11/15/2039	5.90%	
MASSACHUSETTS INSTITUTE OF TEC	775,000	821,911	794,600	7/1/2038	3.96%	
MASSACHUSETTS MUTUAL LIFE 144A	195,000	314,633	283,160	6/1/2039	8.88%	
MASSACHUSETTS MUTUAL LIFE 144A	145,000	172,417	157,000	12/1/2041	5.38%	145,000
MASSMUTUAL GLOBAL FUNDING 144A	1,560,000	1,518,504	1,496,383	10/17/2022	2.50%	
MCDONALD'S CORP	210,000	274,403	246,341	10/15/2037	6.30%	
MCDONALD'S CORP	200,000	263,014	232,926	3/1/2038	6.30%	
MCDONALD'S CORP	850,000	847,085	828,878	1/15/2022	2.63%	35,000
MCDONALD'S CORP	900,000	833,634	740,718	5/1/2043	3.63%	
MCKESSON CORP	210,000	231,330	209,895	3/15/2044	4.88%	
MCKESSON CORP	55,000	68,039	63,318	3/1/2041	6.00%	
MEDIA GENERAL 7/13 TRANCHE B	462,485	462,485	455,691		DELAYED TL	
MEDTRONIC INC	678,000	719,263	685,350	3/15/2035	4.38%	
MEMORIAL SLOAN-KETTERING CANCER	68,000	68,877	65,463	7/1/2055	4.20%	
MEN'S WEARHOUSE INC/THE	315,000	279,340	223,650	7/1/2022	7.00%	229,000
MERCEDES BENZ AUTO LEASE B A2A	370,000	369,967	369,105	1/16/2018	1.00%	
MERCK & CO INC	435,000	435,548	434,796	5/18/2016	VAR	
MERCK & CO INC	2,990,000	2,969,220	2,975,200	5/18/2023	2.80%	
MERCK & CO INC	946,000	1,006,459	938,886	5/18/2043	4.15%	
MERCK & CO INC	421,000	418,579	388,773	2/10/2045	3.70%	
MERITAGE HOMES CORP	880,000	880,000	880,000	3/1/2018	4.50%	
MERITOR INC	114,000	115,425	104,880	6/15/2021	6.75%	
MERITOR INC	260,000	261,874	222,300	2/15/2024	6.25%	260,000
METLIFE INC	1,171,000	1,545,564	1,437,871	12/15/2032	6.50%	
METLIFE INC	475,000	591,940	548,996	6/15/2035	5.70%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
METLIFE INC	550,000	\$ 599,715	\$ 590,535	9/15/2023	VAR	
METLIFE INC	56,000	56,085	53,147	8/13/2042	4.13%	
METLIFE INC	335,000	378,332	351,452	11/13/2043	4.88%	
METLIFE INC	595,000	596,357	598,499	12/15/2017	VAR	95,000
METLIFE INC	203,000	201,987	188,382	3/1/2045	4.05%	142,000
METLIFE SHORT DISC	925,000	924,480	924,480	1/20/2016		
METROPOLITAN LIFE GLOBAL 144A	265,000	280,134	276,432	4/11/2022	3.88%	
METROPOLITAN LIFE GLOBAL 144A	1,375,000	1,367,836	1,368,056	1/10/2023	3.00%	
MGM RESORTS INTERNATIONAL	1,080,000	1,134,000	1,105,650	12/15/2021	6.63%	1,080,000
MGM RESORTS INTERNATIONAL	117,000	117,585	116,123	3/15/2023	6.00%	117,000
MGM RESORTS INTERNATIONAL	401,000	475,185	459,394	3/1/2018	11.38%	
MICROSOFT CORP	25,000	29,753	28,266	6/1/2039	5.20%	25,000
MICROSOFT CORP	77,000	85,318	80,065	10/1/2040	4.50%	
MICROSOFT CORP	109,000	133,727	125,567	2/8/2041	5.30%	
MICROSOFT CORP	2,710,000	2,568,457	2,385,776	11/15/2042	3.50%	
MICROSOFT CORP	1,500,000	1,494,600	1,388,400	5/1/2043	3.75%	
MICROSOFT CORP	1,370,000	1,466,092	1,439,692	12/15/2023	3.63%	15,000
MICROSOFT CORP	647,000	639,560	597,990	2/12/2035	3.50%	
MICROSOFT CORP	1,838,000	1,768,138	1,651,020	2/12/2055	4.00%	
MICROSOFT CORP	826,000	823,671	843,478	11/3/2035	4.20%	826,000
MICROSOFT CORP	420,000	418,551	433,112	11/3/2045	4.45%	
MICROSOFT CORP	1,005,000	1,001,251	1,040,979	11/3/2055	4.75%	
MIDAMERICAN ENERGY CO	275,000	319,303	294,234	9/15/2043	4.80%	
MIDAMERICAN ENERGY CO	345,000	344,524	341,271	5/1/2046	4.25%	
MISSION/NEXSTAR BROADCASTING	231,506	229,093	229,577		TLB 2	
MISSISSIPPI POWER CO	910,000	922,385	695,640	3/15/2042	4.25%	
MIZUHO BANK LTD 144A	210,000	213,377	212,493	9/25/2024	3.60%	
MONDELEZ INTERNATIONAL INC	155,000	162,172	159,774	2/1/2024	4.00%	
MONSANTO CO	220,000	229,240	189,193	7/15/2034	4.20%	
MONSANTO CO	68,000	71,299	51,634	7/15/2064	4.70%	
MORGAN STANLEY	183,000	195,283	194,264	11/24/2025	5.00%	
MORGAN STANLEY	685,000	689,096	687,240	9/8/2026	4.35%	
MORGAN STANLEY	390,000	533,918	511,115	4/1/2032	7.25%	
MORGAN STANLEY	4,981,000	5,109,609	5,101,889	2/25/2023	3.75%	821,000
MORGAN STANLEY	466,000	466,000	466,000	12/29/1949	VAR	466,000
MORGAN STANLEY	227,000	225,298	216,583	1/27/2045	4.30%	227,000
MORGAN STANLEY	170,000	173,373	170,818	10/23/2024	3.70%	
MORGAN STANLEY	93,000	92,665	90,264	4/23/2027	3.95%	93,000
MOSAIC CO/THE	107,000	109,907	93,136	11/15/2041	4.88%	
MOSAIC CO/THE	164,000	185,551	165,752	11/15/2033	5.45%	
MOSAIC CO/THE	68,000	81,613	65,178	11/15/2043	5.63%	68,000
MYLAN INC	520,000	538,944	515,637	11/29/2023	4.20%	
NATIONAL AUSTRALIA BANK L 144A	650,000	649,721	649,090	12/9/2016	VAR	
NATIONAL AUSTRALIA BANK L 144A	725,000	724,645	723,188	6/30/2017	VAR	
NATIONAL BK CANADA DISC	900,000	898,609	898,609	3/23/2016		
NATIONAL OILWELL VARCO INC	219,000	201,738	166,821	12/1/2042	3.95%	17,000
NATIONWIDE MUTUAL INSURAN 144A	290,000	402,100	372,737	4/1/2033	7.88%	
NATIONWIDE MUTUAL INSURAN 144A	220,000	349,297	319,317	8/15/2039	9.38%	
NAVIENT CORP	32,000	31,400	28,080	10/26/2020	5.00%	6,001
NAVIENT CORP	302,000	288,223	241,600	10/25/2024	5.88%	
NAVIENT CORP	185,000	189,163	172,975	1/15/2019	5.50%	123,000
NAVIENT CORP	210,000	210,609	193,200	6/17/2019	4.88%	210,000
NBCUNIVERSAL MEDIA LLC	212,000	284,036	264,171	4/30/2040	6.40%	
NBCUNIVERSAL MEDIA LLC	105,000	125,022	125,572	4/1/2041	5.95%	
NBCUNIVERSAL MEDIA LLC	2,575,000	2,728,496	2,522,882	1/15/2043	4.45%	
NCL CORP LTD 144A	405,000	405,113	396,584	11/15/2020	4.63%	
NEPTUNE FINCO CORP 144A	200,000	200,000	209,500	10/15/2025	10.88%	200,000

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
NEPTUNE FINCO CORP 144A	205,000	\$ 205,000	\$ 213,200	10/15/2025	6.63%	205,000
NEPTUNE FINCO CORP 144A	205,000	205,000	213,713	1/15/2023	10.13%	
NEVADA POWER CO	640,000	885,011	808,288	4/1/2036	6.65%	
NEVADA POWER CO	35,000	49,544	44,397	7/1/2037	6.75%	
NEVADA POWER CO	73,000	89,212	80,607	9/15/2040	5.38%	
NEVADA POWER CO	63,000	79,529	70,789	5/15/2041	5.45%	
NEW YORK LIFE INSURANCE C 144A	1,986,000	2,764,274	2,547,899	11/15/2039	6.75%	
NEXEN ENERGY ULC	65,000	76,330	70,298	3/10/2035	5.88%	
NEXEN ENERGY ULC	85,000	117,967	109,584	7/30/2039	7.50%	
NEXSTAR/MISSION BROADCASTING	262,531	259,795	260,344	10/1/2020	0.00%	
NIEUW AMSTERDAM DISC	600,000	599,619	599,619	2/1/2016		
NIEUW AMSTERDAM DISC	800,000	799,467	799,467	2/11/2016		
NISOURCE FINANCE CORP	100,000	128,913	118,356	12/15/2040	6.25%	
NISOURCE FINANCE CORP	90,000	103,170	95,616	2/15/2043	5.25%	
NISOURCE FINANCE CORP	102,000	108,675	103,672	2/15/2044	4.80%	
NISOURCE FINANCE CORP	550,000	658,389	632,154	2/1/2045	5.65%	
NISSAN AUTO LEASE TRUST A A2A	294,604	294,577	294,159	11/15/2017	0.99%	
NOBLE ENERGY INC	127,000	140,306	109,497	3/1/2041	6.00%	
NOBLE ENERGY INC	24,000	23,720	21,361	11/15/2024	3.90%	24,000
NOBLE ENERGY INC	59,000	58,317	47,637	11/15/2044	5.05%	
NOBLE HOLDING INTERNATIONAL LT	234,000	184,589	129,744	3/15/2042	5.25%	
NOBLE HOLDING INTERNATIONAL LT	171,000	168,657	109,420	4/1/1945	VAR	
NORBORD INC 144A	205,000	206,350	202,438	4/15/2023	6.25%	
NORFOLK SOUTHERN CORP	125,000	160,855	134,009	3/15/2039	6.00%	
NORFOLK SOUTHERN CORP	501,000	642,583	524,246	5/23/2111	6.00%	
NORFOLK SOUTHERN CORP	44,000	48,810	42,741	10/1/2041	4.84%	
NORFOLK SOUTHERN CORP	46,000	45,119	39,595	10/1/2042	3.95%	
NORTHERN STATES POWER CO/MN	270,000	328,339	308,289	7/15/2035	5.25%	
NORTHERN STATES POWER CO/MN	365,000	497,429	463,196	6/1/2036	6.25%	
NORTHERN STATES POWER CO/MN	137,000	172,315	157,920	11/1/2039	5.35%	
NORTHERN STATES POWER CO/MN	140,000	164,804	153,888	8/15/2040	4.85%	
NORTHERN STATES POWER CO/MN	1,210,000	1,280,156	1,201,373	5/15/2044	4.13%	
NORTHROP GRUMMAN SYSTEMS CORP	175,000	245,257	230,976	2/15/2031	7.75%	
NOVARTIS CAPITAL CORP	102,000	106,109	105,304	5/6/2024	3.40%	102,000
NOVARTIS CAPITAL CORP	890,000	997,370	928,243	5/6/2044	4.40%	
NOVELIS 5/15 COV-LITE TLB	398,000	398,000	378,669			
NRG ENERGY INC	310,000	330,925	300,700	9/1/2020	8.25%	310,000
NRG ENERGY INC	1,295,000	1,376,488	1,214,063	5/15/2021	7.88%	1,295,000
NRG ENERGY INC	410,000	417,175	344,482	5/1/2024	6.25%	410,000
NUCOR CORP	385,000	476,457	406,899	12/1/2037	6.40%	
NUMERICABLE-SFR SA 144A	420,000	416,325	416,325	5/15/2019	4.88%	
NUSTAR LOGISTICS LP	250,000	280,400	255,313	4/15/2028	VAR	
OASIS PETROLEUM INC	73,000	70,155	52,378	2/1/2019	7.25%	73,000
OASIS PETROLEUM INC	52,000	47,645	34,450	11/1/2021	6.50%	52,000
OASIS PETROLEUM INC	41,000	36,600	26,240	3/15/2022	6.88%	
OCCIDENTAL PETROLEUM CORP	109,000	107,833	105,729	6/15/2045	4.63%	
OHIO POWER CO	235,000	312,973	283,899	2/15/2033	6.60%	
OLD LINE FDG CORP DISC	475,000	473,839	473,839	3/2/2016		
OMEGA HEALTHCARE INVESTOR 144A	740,000	737,906	755,340	1/15/2026	5.25%	
ONCOR ELECTRIC DELIVERY CO LLC	360,000	519,484	461,653	1/15/2033	7.25%	
ONCOR ELECTRIC DELIVERY CO LLC	75,000	105,299	93,214	5/1/2032	7.00%	
ONEMAIN FINANCIAL HOLDING 144A	252,000	257,040	255,465	12/15/2019	6.75%	
ONEMAIN FINANCIAL HOLDING 144A	230,000	236,314	230,575	12/15/2021	7.25%	
ONEOK INC	249,000	245,363	207,293	9/1/2023	7.50%	
ONEOK PARTNERS LP	95,000	109,333	78,531	10/1/2036	6.65%	
ONEOK PARTNERS LP	170,000	169,643	143,181	3/15/2025	4.90%	170,000
ORACLE CORP	100,000	134,566	125,409	4/15/2038	6.50%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
ORACLE CORP	1,780,000	\$ 2,310,796	\$ 2,152,376	7/8/2039	6.13%	
ORACLE CORP	2,383,000	2,858,671	2,650,968	7/15/2040	5.38%	
ORACLE CORP	724,000	775,165	720,242	7/8/2034	4.30%	
ORACLE CORP	1,075,000	1,070,582	1,019,304	5/15/2045	4.13%	
ORACLE CORP	1,095,000	1,090,062	1,001,739	5/15/2055	4.38%	
ORANGE SA	205,000	312,703	289,343	3/1/1931	VAR	
OVERSEA CHINESE DISC	1,000,000	998,612	998,612	3/16/2016		
OVERSEA-CHINESE BKG IB NT	675,000	675,000	675,000	5/24/2016	VAR	
OWENS CORNING	340,000	421,209	372,732	12/1/1936	VAR	
OWENS-BROCKWAY GLASS CONT 144A	153,000	151,805	155,295	8/15/2023	5.88%	153,000
OWENS-BROCKWAY GLASS CONT 144A	76,000	76,000	78,090	8/15/2025	6.38%	76,000
PACCAR FINANCIAL CORP	280,000	280,314	279,950	2/8/2016	VAR	
PACIFIC GAS & ELECTRIC CO	2,200,000	2,800,820	2,592,128	3/1/2034	6.05%	
PACIFIC GAS & ELECTRIC CO	1,012,000	1,239,690	1,176,642	3/1/2037	5.80%	
PACIFIC GAS & ELECTRIC CO	399,000	471,634	450,734	1/15/2040	5.40%	
PACIFIC GAS & ELECTRIC CO	59,000	56,398	52,557	8/15/2042	3.75%	
PACIFIC GAS & ELECTRIC CO	2,496,000	2,662,683	2,536,186	6/15/2043	4.60%	
PACIFIC GAS & ELECTRIC CO	181,000	179,851	183,009	6/15/2025	3.50%	
PACIFIC GAS & ELECTRIC CO	284,000	278,689	275,193	3/15/2046	4.25%	284,000
PACIFIC LIFE INSURANCE CO 144A	1,039,000	1,634,347	1,509,314	6/15/2039	9.25%	
PACIFIC LIFECORP 144A	375,000	412,418	382,073	1/30/2043	5.13%	
PACIFICORP	655,000	971,273	896,132	11/15/2031	7.70%	
PACIFICORP	125,000	160,578	146,751	4/1/2037	5.75%	
PACIFICORP	275,000	372,158	340,167	10/15/2037	6.25%	
PACIFICORP	1,000,000	995,640	998,060	6/1/2023	2.95%	1,000,000
PARKER-HANNIFIN CORP	93,000	100,265	96,655	11/21/2044	4.45%	
PEABODY ENERGY CORP	110,000	95,425	15,125	9/15/2020	6.50%	
PEABODY ENERGY CORP	40,000	34,200	5,500	11/15/2021	6.25%	
PEABODY ENERGY CORP 144A	199,000	171,125	37,810	3/15/2022	10.00%	
PECO ENERGY CO	1,150,000	1,369,685	1,240,827	10/15/2043	4.80%	
PECO ENERGY CO	344,000	365,600	338,118	10/1/2044	4.15%	
PEPSICO INC	190,000	228,838	222,752	1/15/2040	5.50%	190,000
PEPSICO INC	835,000	938,072	892,189	11/1/2040	4.88%	
PEPSICO INC	478,000	476,303	505,791	7/17/2045	4.60%	
PEPSICO INC	389,000	387,969	401,818	4/14/2046	4.45%	
PERNOD RICARD SA 144A	1,060,000	1,232,939	1,067,844	1/15/2042	5.50%	
PETRO-CANADA	640,000	730,182	641,670	5/15/2035	5.95%	
PETRO-CANADA	1,380,000	1,763,776	1,508,864	5/15/2038	6.80%	
PETSMART 5/15 COV-LITE TLB	248,750	248,750	241,847			
PFIZER INC	580,000	843,175	798,753	3/15/2039	7.20%	
PFIZER INC	1,930,000	1,951,732	1,944,205	6/15/2023	3.00%	575,000
PHARMACIA LLC	100,000	131,887	128,044	12/1/2028	VAR	
PHILIP MORRIS INTERNATIONAL IN	2,665,000	3,407,362	3,361,365	5/16/2038	6.38%	
PHILLIPS 66	205,000	236,078	205,484	5/1/2042	5.88%	
PILGRIM'S PRIDE CORP 144A	143,000	143,000	139,068	3/15/2025	5.75%	
PINNACLE ENTERTAINMENT 8/13	166,524	159,520	165,847	8/13/2020	0.00%	
PINNACLE ENTERTAINMENT INC	330,000	344,022	344,025	4/15/2021	7.50%	
PLACER DOME INC	80,000	88,326	62,031	10/15/2035	6.45%	
PLAINS ALL AMERICAN PIPELINE L	730,000	907,135	644,860	1/15/2037	6.65%	
PLAINS ALL AMERICAN PIPELINE L	115,000	112,898	92,257	11/1/2024	3.60%	115,000
PLY GEM INDUSTRIES INC	441,000	414,540	403,515	2/1/2022	6.50%	
PNC BANK NA	590,000	565,019	573,858	11/1/2022	2.70%	
PNC BANK NA	440,000	440,000	437,906	6/1/2018	VAR	
POST HOLDINGS 6/14 INCREMENTAL	118,601	118,008	118,286		TL	
POST HOLDINGS INC	445,000	445,000	463,913	2/15/2022	7.38%	445,000
POST HOLDINGS INC 144A	65,000	65,000	68,900	7/15/2025	8.00%	65,000
POTASH CORP OF SASKATCHEWAN IN	490,000	604,709	533,801	12/1/2036	5.88%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
POTASH CORP OF SASKATCHEWAN IN	975,000	\$ 970,320	\$ 915,944	4/1/2025	3.00%	400,000
POTOMAC ELECTRIC POWER CO	605,000	841,991	777,419	11/15/2037	6.50%	
POTOMAC ELECTRIC POWER CO	250,000	407,063	365,103	12/15/2038	7.90%	
POTOMAC ELECTRIC POWER CO	540,000	618,667	572,589	11/15/2043	4.95%	
PPG INDUSTRIES INC	11,000	13,290	12,248	11/15/2040	5.50%	
PPL ELECTRIC UTILITIES CORP	140,000	171,354	158,614	7/15/2041	5.20%	
PPL ELECTRIC UTILITIES CORP	888,000	1,023,003	957,957	7/15/2043	4.75%	
PPL ELECTRIC UTILITIES CORP	377,000	394,440	371,541	6/15/2044	4.13%	
PRE PAID LEGAL 7/13 TLB	236,141	236,732	233,929			
PRECISION CASTPARTS CORP	235,000	233,583	233,731	6/15/2035	4.20%	
PRECISION CASTPARTS CORP	195,000	194,353	195,530	6/15/2045	4.38%	
PRESTIGE BRANDS 5/15 TLB3	0	0	-			
PRINCIPAL FINANCIAL GROUP INC	102,000	103,742	95,450	5/15/2043	4.35%	
PRINTPACK 5/14 TL	245,016	243,026	242,566			
PROCTER & GAMBLE CO/THE	160,000	224,597	214,114	9/1/2024	8.00%	
PROCTER & GAMBLE CO/THE	1,080,000	1,396,624	1,312,481	3/5/2037	5.55%	
PROGRESS ENERGY INC	182,000	263,563	234,543	3/1/2031	7.75%	
PROGRESS ENERGY INC	830,000	1,140,644	1,021,962	10/30/2031	7.00%	
PROGRESS ENERGY INC	895,000	1,156,170	1,035,748	12/1/2039	6.00%	
PROGRESSIVE CORP/THE	527,000	570,541	531,321	4/25/2044	4.35%	
PRUDENTIAL FINANCIAL INC	130,000	137,150	135,135	9/15/1942	VAR	130,000
PRUDENTIAL FINANCIAL INC	720,000	720,000	719,100	5/15/1942	VAR	720,000
PRUDENTIAL INSURANCE CO O 144A	475,000	641,521	605,915	7/1/2025	8.30%	
PRUDENTIAL PLC DISC	750,000	749,425	749,425	2/16/2016		
PSEG POWER LLC	55,000	81,011	68,458	4/15/2031	8.63%	
PUBLIC SERVICE CO OF COLORADO	70,000	99,730	92,250	8/1/2038	6.50%	
PUBLIC SERVICE CO OF COLORADO	1,570,000	1,819,567	1,690,388	8/15/2041	4.75%	
PUBLIC SERVICE CO OF COLORADO	42,000	44,331	40,899	3/15/2043	3.95%	
PUBLIC SERVICE CO OF COLORADO	1,231,000	1,325,172	1,255,632	3/15/2044	4.30%	
PUBLIC SERVICE CO OF NEW HAMPS	75,000	77,450	76,842	11/1/2023	3.50%	
PUBLIC SERVICE ELECTRIC & GAS	125,000	161,506	150,395	12/1/2036	5.70%	
PUBLIC SERVICE ELECTRIC & GAS	135,000	174,762	163,230	5/1/2037	5.80%	135,000
PUBLIC SERVICE ELECTRIC & GAS	195,000	245,681	224,927	11/1/2039	5.38%	
PUBLIC SERVICE ELECTRIC & GAS	150,000	146,780	138,456	9/1/2042	3.65%	
PUBLIC SERVICE ELECTRIC & GAS	100,000	101,113	94,168	1/1/2043	3.80%	
PUBLIC SERVICE ELECTRIC & GAS	2,205,000	2,120,571	2,111,001	5/15/2023	2.38%	
PUBLIC SERVICE ELECTRIC & GAS	330,000	340,101	321,163	6/1/2044	4.00%	
PUBLIC SERVICE ELECTRIC & GAS	770,000	766,165	753,938	5/1/2045	4.05%	
QUINCY NEWSPAPERS 10/15 TLB	249,000	247,133	245,058			
QWEST CORP	267,000	308,735	279,683	12/1/2021	6.75%	267,000
RAYTHEON CO	78,000	81,018	78,378	12/15/2044	4.20%	
REALOGY 3/14 TLB	492,462	481,382	487,946			
REGAL ENTERTAINMENT GROUP	250,000	230,000	241,250	2/1/2025	5.75%	
REGENCY ENERGY PARTNERS LP / R	830,000	832,075	810,835	9/1/2020	5.75%	830,000
REGENCY ENERGY PARTNERS LP / R	205,000	204,488	193,225	3/1/2022	5.88%	
REGENCY ENERGY PARTNERS LP / R	76,000	71,820	67,327	10/1/2022	5.00%	
REGIONAL CARE TERM B	493,750	488,813	484,700			
REPSOL OIL & GAS CANADA INC	95,000	109,428	102,372	6/1/2019	7.75%	
REPUBLIC SERVICES INC	95,000	117,656	111,162	3/15/2035	6.09%	
REPUBLIC SERVICES INC	835,000	1,079,563	978,503	3/1/2040	6.20%	
REPUBLIC SERVICES INC	238,000	293,449	266,220	5/15/2041	5.70%	
REVLON CONSUMER PRODUCTS CORP	606,000	606,405	586,305	2/15/2021	VAR	606,000
REYNOLDS GROUP ISSUER INC / RE	850,000	883,938	856,375	8/15/2019	9.88%	
REYNOLDS GROUP ISSUER INC / RE	555,000	574,425	546,675	4/15/2019	9.00%	
RGIS INVENTORY 5/12 COV LITE	492,327	445,070	338,475		ADD ON TLC	
RIO TINTO ALCAN INC	420,000	546,311	472,525	3/15/2031	7.25%	
RIO TINTO FINANCE USA LTD	450,000	586,188	530,591	7/15/2028	7.13%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
RIO TINTO FINANCE USA LTD	870,000	\$ 955,956	\$ 798,338	11/2/2040	5.20%	870,000
RIO TINTO FINANCE USA PLC	2,000,000	1,999,440	1,885,140	3/22/2022	3.50%	
RIO TINTO FINANCE USA PLC	580,000	563,151	453,804	8/21/2042	4.13%	
RITE AID 2/13 2ND LIEN TL	1,196,000	1,197,997	1,196,598			
RITE AID CORP 144A	174,000	174,000	180,090	4/1/2023	6.13%	
RIVERBED TECHNOLOGY 2/15 COVLT	198,000	197,010	196,834		TL	
RIVERBED TECHNOLOGY INC 144A	275,000	278,125	254,375	3/1/2023	8.88%	
ROGERS COMMUNICATIONS INC	50,000	72,326	67,594	5/1/2032	8.75%	
ROGERS COMMUNICATIONS INC	1,115,000	1,219,331	1,122,537	3/15/2044	5.00%	
ROSE ROCK MIDSTREAM LP / 144A	100,000	98,345	71,000	11/15/2023	5.63%	
ROYAL BANK OF CANADA	540,000	540,875	539,514		VAR	
SABINE PASS LIQUEFACTION LLC	175,000	172,594	161,000		VAR	
SABMILLER PLC 144A	135,000	178,570	160,396	8/15/2033	6.63%	
SAN DIEGO GAS & ELECTRIC CO	60,000	75,069	73,765	6/1/2026	6.00%	
SAN DIEGO GAS & ELECTRIC CO	58,000	64,551	60,664	8/15/2040	4.50%	
SAN DIEGO GAS & ELECTRIC CO	665,000	690,177	640,634	11/15/2041	3.95%	
SANMINA CORP 144A	425,000	421,813	427,125	6/1/2019	4.38%	425,000
SANOFI	305,000	312,399	306,299	3/29/2016	2.63%	
SANTANDER DRIVE AUTO RECE 1 A3	88,694	88,720	88,691	1/16/2018	0.87%	
SANTANDER UK GROUP HOLDIN 144A	600,000	598,344	592,086	9/15/2025	4.75%	600,000
SCHLUMBERGER INVESTMENT SA	192,000	200,707	194,790	12/1/2023	3.65%	
SCIENTIFIC GAMES INTERNAT 144A	93,000	94,163	88,815	1/1/2022	7.00%	
SCIENTIFIC GAMES INTERNATIONAL	589,000	552,194	418,190	12/1/2022	10.00%	
SEAGATE HDD CAYMAN	584,000	535,095	511,164	6/1/2023	4.75%	
SEMPRA ENERGY	440,000	543,580	493,363	10/15/2039	6.00%	
SEMPRA ENERGY	67,000	70,880	69,015	12/1/2023	4.05%	
SENSUS (5/11) TLB	738,404	719,478	721,790			
SERVICEMASTER 7/14 COV-LITE	248,116	247,495	245,014		TLB	
SHELL INTERNATIONAL FINANCE BV	1,155,000	1,552,112	1,365,441	12/15/2038	6.38%	
SHELL INTERNATIONAL FINANCE BV	215,000	261,808	232,331	3/25/2040	5.50%	
SHELL INTERNATIONAL FINANCE BV	2,300,000	2,237,302	2,202,779	8/21/2022	2.38%	
SHELL INTERNATIONAL FINANCE BV	960,000	990,826	954,470	8/12/2023	3.40%	
SHELL INTERNATIONAL FINANCE BV	1,575,000	1,723,003	1,530,947	8/12/2043	4.55%	
SHELL INTERNATIONAL FINANCE BV	260,000	260,705	259,337	11/15/2016	0.90%	
SHELL INTERNATIONAL FINANCE BV	1,060,000	1,051,160	1,011,802	5/11/2035	4.13%	
SHELL INTERNATIONAL FINANCE BV	190,000	189,622	179,373	5/11/2045	4.38%	
SIEMENS FINANCIERINGSMAAT 144A	120,000	148,885	147,139	8/17/2026	6.13%	120,000
SIEMENS FINANCIERINGSMAAT 144A	250,000	248,145	255,560	5/27/2045	4.40%	
SIMON PROPERTY GROUP LP	1,028,000	1,430,822	1,356,055	2/1/2040	6.75%	
SIMON PROPERTY GROUP LP	850,000	924,647	906,058	12/1/2021	4.13%	
SIMON PROPERTY GROUP LP	1,275,000	1,339,158	1,325,426	2/1/2024	3.75%	
SKANDINAVISKA ENS DISC	1,000,000	998,686	998,686	3/16/2016		
SKY GROUP FINANCE PLC 144A	219,000	269,718	262,001	10/15/2035	6.50%	
SLM PRIVATE EDUCATIO A A1 144A	156,680	156,586	156,048	7/15/2022	VAR	
SLM PRIVATE EDUCATIO B A1 144A	259,983	260,412	259,359	7/15/2022	VAR	
SLM PRIVATE EDUCATIO C A1 144A	42,017	42,247	42,044	8/15/2023	VAR	
SM ENERGY CO	416,000	403,520	309,920	11/15/2021	6.50%	416,000
SM ENERGY CO	165,000	165,000	108,900	6/1/2025	5.63%	165,000
SOLENIS 7/14 (USD) COV-LITE TL	987,500	960,344	944,919			
SOUTH CAROLINA ELECTRIC & GAS	560,000	690,110	616,756	2/1/2041	5.45%	
SOUTH CAROLINA ELECTRIC & GAS	850,000	925,395	853,230	6/15/2043	4.60%	
SOUTH CAROLINA ELECTRIC & GAS	345,000	372,907	321,188	6/1/2064	4.50%	
SOUTHERN CALIFORNIA EDISON CO	335,000	390,419	388,758	1/15/2036	5.55%	
SOUTHERN CALIFORNIA EDISON CO	575,000	754,935	712,281	3/15/2039	6.05%	
SOUTHERN CALIFORNIA EDISON CO	390,000	493,490	459,970	3/15/2040	5.50%	
SOUTHERN CALIFORNIA EDISON CO	1,110,000	1,151,836	1,084,359	3/15/2042	4.05%	
SOUTHERN CALIFORNIA EDISON CO	492,000	557,200	519,370	10/1/2043	4.65%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
SOUTHERN CALIFORNIA EDISON CO	442,000	\$ 440,303	\$ 400,302	2/1/2045	3.60%	
SOUTHERN POWER CO	255,000	296,104	235,934	9/15/2041	5.15%	
SPECTRA ENERGY CAPITAL LLC	25,000	30,174	24,765	9/15/2038	7.50%	
SPECTRA ENERGY PARTNERS LP	40,000	39,694	30,739	3/15/2045	4.50%	40,000
SPRINGLEAF FINANCE CORP	1,104,000	1,175,760	1,142,640	12/15/2017	6.90%	
SPRINGLEAF FINANCE CORP	200,000	196,000	190,000	12/15/2019	5.25%	200,000
SPRINT CAPITAL CORP	615,000	541,200	428,963	11/15/2028	6.88%	
SPRINT CAPITAL CORP	305,000	311,100	248,575	5/1/2019	6.90%	
SPRINT CAPITAL CORP	180,000	174,150	135,000	3/15/2032	8.75%	
SPRINT COMMUNICATIONS INC	205,000	205,000	158,363	8/15/2020	7.00%	
SPRINT COMMUNICATIONS INC	135,000	124,200	95,175	11/15/2022	6.00%	
SPRINT CORP	1,048,000	1,034,586	787,048	9/15/2023	7.88%	
SPRINT CORP	290,000	290,000	211,700	2/15/2025	7.63%	
STANDARD CHARTERED PLC 144A	200,000	207,812	205,628	1/26/2024	5.20%	200,000
STAR WEST GENERATION 5/13 TLB	-	-	-			
STARBUCKS CORP	268,000	266,923	277,983	6/15/2045	4.30%	268,000
STATE STREET CORP	330,000	325,350	326,106	5/15/2023	3.10%	
STATE STREET CORP	215,000	214,707	221,758	8/18/2025	3.55%	215,000
STATOIL ASA	320,000	441,920	410,339	1/15/2029	7.15%	
STATOIL ASA	125,000	170,841	160,270	9/23/2027	7.25%	
STATOIL ASA	138,000	159,616	145,633	8/17/2040	5.10%	
STATOIL ASA	210,000	215,248	197,858	11/23/2041	4.25%	
STATOIL ASA	820,000	801,402	741,247	5/15/2043	3.95%	
STATOIL ASA	830,000	943,669	841,803	11/8/2043	4.80%	
STATOIL ASA	530,000	528,940	527,297	11/9/2017	VAR	
STUDIO CITY FINANCE LTD 144A	500,000	516,250	482,500	12/1/2020	8.50%	500,000
SUNCOR ENERGY INC	185,000	216,112	185,361	12/1/2034	5.95%	
SUNCOR ENERGY INC	283,000	348,161	302,804	6/15/2038	6.50%	
SUNOCO LOGISTICS PARTNERS OPER	167,000	160,029	119,430	1/15/2043	4.95%	
SUNOCO LP / SUNOCO FINANC 144A	250,000	250,000	235,000	4/1/2023	6.38%	
SUNOCO LP / SUNOCO FINANC 144A	553,000	553,000	523,968	8/1/2020	5.50%	
SUNTRUST AUTO RECEI 1A A2 144A	290,000	289,994	289,835	6/15/2018	0.99%	
SUNTRUST BANKS INC	150,000	175,163	166,199	2/15/2026	6.00%	
SVENSKA HDLSBK AB DISC	900,000	897,241	897,241	5/20/2016		
SWEDBANK (FOR AB) DISC	1,100,000	1,096,941	1,096,941	5/16/2016		
SYSCO CORP	111,000	110,912	115,483	10/1/2045	4.85%	111,000
TARGA RESOURCES PARTNERS 144A	220,000	220,000	203,500	1/15/2018	5.00%	
TARGA RESOURCES PARTNERS 144A	175,000	175,000	149,188	3/15/2024	6.75%	
TARGET CORP	329,000	449,315	424,357	10/15/2037	6.50%	
TARGET CORP	340,000	352,978	352,808	7/1/2024	3.50%	
TCI COMMUNICATIONS INC	50,000	69,741	67,575	2/15/2026	7.88%	
TCI COMMUNICATIONS INC	435,000	585,166	562,529	2/15/2028	7.13%	
TEACHERS INSURANCE & ANNU 144A	880,000	980,628	888,879	9/15/2044	4.90%	
TECK RESOURCES LTD	148,000	93,425	62,160	8/15/2040	6.00%	
TECK RESOURCES LTD	344,000	276,508	151,360	7/15/2041	6.25%	
TECK RESOURCES LTD	97,000	77,236	44,863	2/1/2023	3.75%	97,000
TEKNI-PLEX 4/15 (USD) COV-LITE	497,500	495,013	488,794		TL	
TELEFONICA EMISIONES SAU	375,000	493,305	450,656	6/20/2036	7.05%	
TELEFONICA EUROPE BV	355,000	493,478	464,152	9/15/2030	8.25%	
TEMPUR SEALY INTERNATIONAL 144A	155,000	155,000	156,550	10/15/2023	5.63%	
TEMPUR SEALY INTERNATIONAL INC	147,000	156,188	153,983	12/15/2020	6.88%	
TENET HEALTHCARE CORP	500,000	558,750	498,750	4/1/2022	8.13%	
TENET HEALTHCARE CORP	153,000	156,443	144,203	3/1/2019	5.50%	
TENET HEALTHCARE CORP	143,000	143,330	132,633	6/15/2023	6.75%	143,000
TENNESSEE VALLEY AUTH BD	655,000	893,472	841,577	4/1/2036	5.88%	
TENNESSEE VALLEY AUTH BD	250,000	333,203	309,438	6/15/2038	5.50%	
TENNESSEE VALLEY AUTH BD	1,124,000	1,430,931	1,350,936	9/15/2039	5.25%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
TENNESSEE VALLEY AUTH BD	336,000	\$ 381,726	\$ 351,248	9/15/2060	4.63%	
TENNESSEE VALLEY AUTH STRIP	2,000,000	1,436,680	1,469,520	11/1/2025		1,954,486
TENNESSEE VALLEY AUTH STRIP	500,000	251,805	231,320	6/15/2035	0.00%	
TERRAFORM GLOBAL OPERATIN 144A	439,000	432,525	350,103	8/15/2022	9.75%	15,000
TEVA PHARMACEUTICAL FINANCE CO	6,000	7,422	6,560	2/1/2036	6.15%	6,000
TEXAS EASTERN TRANSMISSION LP	90,000	120,829	98,841	7/15/2032	7.00%	
TEXAS HEALTH RESOURCES	140,000	140,000	134,035	11/15/2055	4.33%	
THERMASYS (4/12) TL	480,769	471,154	417,067			
THERMO FISHER SCIENTIFIC INC	112,000	118,114	116,332	2/1/2024	4.15%	
THERMO FISHER SCIENTIFIC INC	92,000	105,662	98,304	2/1/2044	5.30%	
THOMSON REUTERS CORP	125,000	145,190	131,599	4/15/2040	5.85%	
THOMSON REUTERS CORP	95,000	95,063	82,627	5/23/2043	4.50%	
THUNDER BAY FDG DISC	500,000	498,768	498,768	3/7/2016		
TIME WARNER CABLE INC	425,000	586,011	460,768	7/1/2038	7.30%	
TIME WARNER ENTERTAINMENT CO L	300,000	362,529	353,913	7/15/2033	8.38%	
TIME WARNER INC	870,000	1,212,893	1,076,495	4/15/2031	7.63%	
TIME WARNER INC	1,060,000	1,497,610	1,323,050	5/1/2032	7.70%	
TIME WARNER INC	15,000	19,083	16,940	11/15/2036	6.50%	
TIME WARNER INC	9,000	11,176	9,995	3/29/2041	6.25%	
TIME WARNER INC	22,000	24,845	22,507	10/15/2041	5.38%	
TJX COS INC/THE	1,115,000	1,069,731	1,075,596	5/15/2023	2.50%	
T-MOBILE USA INC	305,000	313,006	316,438	4/28/2021	6.63%	
T-MOBILE USA INC	345,000	356,213	359,663	4/28/2020	6.54%	
T-MOBILE USA INC	476,000	492,406	496,230	4/28/2022	6.73%	
TOLEDO EDISON CO/THE	85,000	105,390	95,679	5/15/2037	6.15%	
TORONTO-DOMINION BANK/THE	315,000	314,194	314,294	5/2/2017	VAR	
TOSCO CORP	100,000	137,129	122,150	1/1/2027	7.80%	
TOSCO CORP	30,000	43,646	37,506	2/15/2030	8.13%	
TOTAL CAPITAL INTERNATIONAL SA	2,102,000	2,176,495	2,130,461	1/15/2024	3.70%	
TOYOTA AUTO RECEIVABLES 2 A A2	144,625	144,623	144,503	7/17/2017	0.71%	
TOYOTA MOTOR CREDIT CORP	540,000	545,211	543,056	10/24/2018	2.00%	540,000
TOYOTA MOTOR CREDIT CORP	330,000	329,135	328,832	5/16/2017	VAR	
TRANSCANADA PIPELINES LTD	1,510,000	2,075,102	1,850,973	1/15/2039	7.63%	
TRANSCANADA PIPELINES LTD	2,245,000	2,617,423	2,321,712	6/1/2040	6.10%	
TRANSCANADA PIPELINES LTD	310,000	354,067	318,866	3/15/2036	5.85%	
TRANSCANADA PIPELINES LTD	180,000	180,851	179,689	6/30/2016	VAR	
TRANSCANADA PIPELINES LTD	1,205,000	1,262,491	1,108,214	10/16/2043	5.00%	1,205,000
TRANSOCEAN INC	290,000	267,603	165,300	4/15/2031	7.50%	
TRANSOCEAN INC	62,000	58,464	42,780	11/15/2020	6.50%	62,000
TRANSOCEAN INC	12,000	10,812	6,840	12/15/1941	VAR	
TRANSOCEAN INC	553,000	474,170	357,376	12/15/2021	VAR	153,000
TRAVELERS COS INC/THE	785,000	881,249	824,909	8/1/2043	4.60%	785,000
TRAVELERS PROPERTY CASUALTY CO	200,000	270,260	261,850	4/15/2026	7.75%	
TRINITY ACQUISITION PLC	690,000	790,595	737,375	8/15/2043	6.13%	
TRIUMPH GROUP INC	262,000	258,746	210,910	6/1/2022	5.25%	
TRONOX FINANCE LLC 144A	300,000	300,000	174,000	3/15/2022	7.50%	
TYSON FOODS INC	43,000	47,170	43,887	8/15/2034	4.88%	
U S TREASURY BD CPN STRIP	6,450,000	4,139,804	4,135,805	8/15/2031	0.00%	
U S TREASURY BD CPN STRIP	3,295,000	2,090,798	2,076,147	2/15/2032	0.00%	
U S TREASURY BD CPN STRIP	500,000	311,220	309,725	8/15/2032	0.00%	
U S TREASURY BD CPN STRIP	400,000	244,880	243,104	2/15/2033	0.00%	
U S TREASURY BD CPN STRIP	2,300,000	1,389,637	1,372,042	8/15/2033	0.00%	
U S TREASURY BD CPN STRIP	800,000	469,632	459,296	8/15/2034	0.00%	
U S TREASURY BD CPN STRIP	1,350,000	886,883	887,855	11/15/2030	0.00%	
U S TREASURY BD CPN STRIP	3,735,000	2,417,143	2,418,151	5/15/2031	0.00%	
U S TREASURY BD CPN STRIP	700,000	445,949	445,438	11/15/2031	0.00%	
U S TREASURY BD CPN STRIP	1,150,000	721,418	717,554	5/15/2032	0.00%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of, Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
U S TREASURY BD CPN STRIP	5,850,000	\$ 3,612,902	\$ 3,582,131	11/15/2032	0.00%	
U S TREASURY BD CPN STRIP	800,000	479,896	472,392	11/15/2033	0.00%	
U S TREASURY BD CPN STRIP	1,150,000	670,232	654,511	11/15/2034	0.00%	234,000
U S TREASURY BD CPN STRIP	300,000	211,191	213,933	8/15/2028	0.00%	
U S TREASURY BD CPN STRIP	800,000	459,632	447,752	5/15/2035	0.00%	
U S TREASURY BD CPN STRIP	200,000	113,636	109,960	11/15/2035	0.00%	
U S TREASURY BD CPN STRIP	100,000	68,285	68,923	8/15/2029	0.00%	
U S TREASURY BD CPN STRIP	100,000	66,148	66,392	8/15/2030	0.00%	
U S TREASURY BD CPN STRIP	675,000	440,350	441,842	2/15/2031	0.00%	
U S TREASURY BD CPN STRIP	1,000,000	560,120	542,830	5/15/2036	0.00%	1,000,000
U S TREASURY BD PRIN STRIP	250,000	132,460	124,230	8/15/2039	0.00%	
U S TREASURY BILL	19,000,000	18,999,889	18,999,889	1/7/2016	0.00%	
U S TREASURY BILL	215,000	214,867	214,867	3/31/2016	0.00%	
U S TREASURY BILL	300,000	299,749	299,749	2/11/2016	0.00%	
U S TREASURY BOND	5,085,000	7,160,943	6,740,015	2/15/2037	4.75%	
U S TREASURY BOND	1,225,000	1,670,536	1,678,777	5/15/2037	5.00%	1,225,000
U S TREASURY BOND	2,675,000	3,564,224	3,434,647	5/15/2038	4.50%	2,142,723
U S TREASURY BOND	3,680,000	4,745,642	4,627,158	11/15/2039	4.38%	
U S TREASURY BOND	7,840,000	7,832,003	7,475,283	11/15/2042	2.75%	
U S TREASURY BOND	670,000	805,414	771,706	11/15/2043	3.75%	670,000
U S TREASURY BOND	16,395,000	17,179,553	16,755,526	8/15/2044	3.13%	1,990,000
U S TREASURY BOND	2,575,000	2,817,447	2,565,653	11/15/2044	3.00%	170,000
U S TREASURY NOTE	12,615,000	12,601,695	12,596,708	3/15/2017	0.75%	
U S TREASURY NOTE	475,000	462,012	464,332	2/15/2025	2.00%	475,000
U S TREASURY NOTE	12,020,000	12,051,869	12,004,013	11/15/2016	0.63%	
ULTRA PETROLEUM CORP 144A	149,000	122,656	33,898	10/1/2024	6.13%	
UNION CARBIDE CORP	105,000	135,908	124,879	6/1/2025	7.50%	
UNION CARBIDE CORP	480,000	634,373	573,734	10/1/2096	7.75%	
UNION ELECTRIC CO	350,000	353,217	333,291	9/15/2042	3.90%	
UNION PACIFIC CORP	52,000	55,264	51,981	6/15/2042	4.30%	
UNION PACIFIC CORP	605,000	690,735	657,811	2/1/2044	4.82%	
UNION PACIFIC CORP	770,000	883,691	835,635	6/15/2044	4.85%	
UNION PACIFIC CORP	1,280,000	1,334,042	1,270,042	1/15/2045	4.15%	
UNITED AIRLINES 2013-1 CLASS A	204,677	212,202	211,841	2/15/2027	4.30%	
UNITED AIRLINES 2014-1 CLASS B	203,748	201,710	203,493	10/11/2023	4.75%	
UNITED CONTINENTAL HOLDINGS IN	500,000	528,750	520,310	6/1/2018	6.38%	
UNITED OVERSEAS DISC	450,000	448,981	448,981	2/24/2016		
UNITED PARCEL SERVICE INC	90,000	119,685	115,439	1/15/2038	6.20%	
UNITED PARCEL SERVICE OF AMERI	90,000	134,631	126,265	4/1/2030	STEP	
UNITED RENTALS NORTH AMERICA I	1,000,000	1,099,500	1,068,700	4/15/2022	7.63%	597,000
UNITED TECHNOLOGIES CORP	120,000	171,420	162,276	9/15/2029	7.50%	
UNITED TECHNOLOGIES CORP	1,850,000	2,238,130	2,056,442	5/1/2035	5.40%	
UNITED TECHNOLOGIES CORP	425,000	560,630	517,829	7/15/2038	6.13%	
UNITED TECHNOLOGIES CORP	595,000	746,576	697,441	4/15/2040	5.70%	
UNITED TECHNOLOGIES CORP	1,705,000	1,856,387	1,717,003	6/1/2042	4.50%	
UNITED TECHNOLOGIES CORP	4,200,000	4,285,386	4,274,550	6/1/2022	3.10%	1,210,000
UNITEDHEALTH GROUP INC	155,000	211,688	197,589	11/15/2037	6.63%	
UNITEDHEALTH GROUP INC	875,000	1,227,844	1,144,404	2/15/2038	6.88%	
UNITEDHEALTH GROUP INC	1,500,000	1,952,085	1,783,905	2/15/2041	5.95%	
UNITEDHEALTH GROUP INC	117,000	125,938	118,750	11/15/2041	4.63%	
UNITEDHEALTH GROUP INC	112,000	111,329	104,245	10/15/2042	3.95%	
UNITEDHEALTH GROUP INC	1,935,000	2,028,596	1,879,040	3/15/2043	4.25%	
UNITEDHEALTH GROUP INC	280,000	279,966	290,674	7/15/2035	4.63%	
UNITYMEDIA GMBH 144A	225,000	232,313	222,334	1/15/2025	6.13%	
UNIVISION COMMUNICATIONS 144A	1,150,000	1,219,133	1,191,688	9/15/2022	6.75%	
UPCB FINANCE IV LTD 144A	200,000	191,500	188,500	1/15/2025	5.38%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
US BANCORP	345,000	\$ 362,671	\$ 361,274	1/30/2024	3.70%	1,000
US BANCORP	220,000	216,869	218,733	7/15/2022	2.95%	
US BANK NA/CINCINNATI OH	770,000	770,293	769,654	1/30/2017	VAR	
US TREAS-CPI INFLAT	543,040	708,737	642,965	2/15/2041	2.13%	455,000
US TREAS-CPI INFLAT	263,145	255,694	231,178	2/15/2042	0.75%	
VALEANT 3/15 F1 TLB	397,000	395,015	381,021			
VALEANT PHARMACEUTICALS I 144A	296,000	309,320	285,640	10/15/2020	6.38%	202,000
VALEANT PHARMACEUTICALS I 144A	185,000	195,175	184,538	10/1/2020	7.00%	
VALEANT PHARMACEUTICALS I 144A	455,000	491,400	453,863	7/15/2021	7.50%	
VALERO ENERGY CORP	75,000	118,515	99,779	3/15/2039	10.50%	202,000
VENTAS REALTY LP	72,000	72,475	70,555	5/1/2024	3.75%	
VENTAS REALTY LP	24,000	23,880	21,984	2/1/2045	4.38%	
VENTAS REALTY LP	690,000	684,604	687,820	1/15/2026	4.13%	202,000
VERIZON COMMUNICATIONS INC	375,000	441,986	405,068	4/1/2041	6.00%	
VERIZON COMMUNICATIONS INC	2,155,000	2,213,681	2,040,203	8/21/2046	4.86%	
VERIZON COMMUNICATIONS INC	2,188,000	2,023,014	1,956,728	9/15/2048	4.52%	1,525,000
VERIZON COMMUNICATIONS INC	1,082,000	1,062,557	939,392	3/15/2055	4.67%	
VERIZON NEW JERSEY INC	700,000	919,401	840,077	11/15/2029	7.85%	
VERIZON PENNSYLVANIA LLC	120,000	164,831	143,329	12/15/2030	8.35%	285,000
VIACOM INC	20,000	19,498	14,998	2/27/2042	4.50%	
VIACOM INC	518,000	476,974	379,445	3/15/2043	4.38%	
VICTORY RECVBLS DISC	1,000,000	999,510	999,510	1/21/2016		1,525,000
VIKING CRUISES LTD 144A	230,000	248,975	217,925	10/15/2022	8.50%	
VIKING CRUISES LTD 144A	199,000	199,000	163,180	5/15/2025	6.25%	
VIRGIN MEDIA FINANCE PLC 144A	300,000	314,250	304,500	4/15/2023	6.38%	285,000
VIRGIN MEDIA FINANCE PLC 144A	500,000	466,250	481,250	1/15/2025	5.75%	
VIRGIN MEDIA SECURED FINA 144A	325,000	313,625	316,063	1/15/2026	5.25%	
VIRGINIA ELECTRIC & POWER CO	423,000	557,315	518,412	5/15/2037	6.00%	1,525,000
VIRGINIA ELECTRIC & POWER CO	715,000	1,197,582	1,123,773	11/15/2038	8.88%	
VIRGINIA ELECTRIC & POWER CO	1,525,000	1,708,183	1,612,322	8/15/2043	4.65%	
VIRGINIA ELECTRIC & POWER CO	32,000	32,949	32,669	2/15/2024	3.45%	285,000
VIRGINIA ELECTRIC & POWER CO	962,000	1,050,802	989,446	2/15/2044	4.45%	
VISA INC	285,000	284,849	284,681	12/14/2017	1.20%	
VISA INC	500,000	499,325	504,790	12/14/2035	4.15%	1,048,000
VISA INC	2,010,000	2,006,643	2,039,266	12/14/2045	4.30%	
VODAFONE GROUP PLC	395,000	476,619	389,786	2/27/2037	6.15%	
WACHOVIA CORP	335,000	442,354	423,661	8/1/2026	STEP	1,048,000
WALGREEN CO	72,000	71,932	61,464	9/15/2042	4.40%	
WALGREENS BOOTS ALLIANCE INC	110,000	114,554	100,452	11/18/2034	4.50%	
WALGREENS BOOTS ALLIANCE INC	190,000	186,802	171,872	11/18/2044	4.80%	1,048,000
WAL-MART STORES INC	520,000	765,804	733,091	2/15/2030	7.55%	
WAL-MART STORES INC	100,000	120,286	113,908	9/1/2035	5.25%	
WAL-MART STORES INC	370,000	416,150	404,281	2/15/2018	5.80%	1,048,000
WAL-MART STORES INC	2,725,000	3,749,655	3,519,746	8/15/2037	6.50%	
WAL-MART STORES INC	4,415,000	5,913,098	5,536,057	4/15/2038	6.20%	
WAL-MART STORES INC	37,000	43,712	40,702	10/25/2040	5.00%	1,048,000
WAL-MART STORES INC	1,300,000	1,359,800	1,370,018	10/25/2020	3.25%	
WAL-MART STORES INC	575,000	734,258	683,997	4/15/2041	5.63%	
WAL-MART STORES INC	5,000,000	5,187,400	4,855,450	4/11/2043	4.00%	1,048,000
WALT DISNEY CO/THE	67,000	65,903	62,404	12/1/2042	3.70%	
WALT DISNEY CO/THE	1,048,000	1,099,279	1,068,813	6/1/2044	4.13%	
WASTE MANAGEMENT INC	36,000	35,940	33,523	3/1/2035	3.90%	1,048,000
WASTE MANAGEMENT INC	150,000	149,691	139,505	3/1/2045	4.10%	
WASTEQUIP 8/13 TL	492,424	487,091	483,807			
WEA FINANCE LLC / WESTFIE 144A	810,000	863,849	793,630	9/17/2044	4.75%	1,048,000
WEATHERFORD INTERNATIONAL LLC	163,000	119,930	114,100	6/15/2037	6.80%	
WEATHERFORD INTERNATIONAL LTD/	90,000	112,235	77,850	3/1/2039	9.88%	

ROCKWELL COLLINS PENSION PLAN
 EIN #: 52-2314475, PLAN #: 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
 ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
 2015

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
FIXED INCOME INVESTMENTS						
WEATHERFORD INTERNATIONAL LTD/	151,000	\$ 122,511	\$ 106,455	9/15/2040	6.75%	
WEATHERFORD INTERNATIONAL LTD/	50,000	42,337	35,125	4/15/2042	5.95%	50,000
WELLS FARGO & CO	100,000	119,853	114,512	2/7/2035	5.38%	92,000
WELLS FARGO & CO	284,000	334,328	315,496	1/15/2044	5.61%	
WELLS FARGO & CO	1,480,000	1,646,737	1,613,392	4/1/2021	4.60%	
WELLS FARGO & CO	1,900,000	1,983,467	1,957,665	3/8/2022	3.50%	
WELLS FARGO & CO	2,885,000	3,028,038	2,996,275	8/15/2023	4.13%	60,000
WELLS FARGO & CO	3,497,000	3,978,572	3,742,664	11/2/2043	5.38%	3,497,000
WELLS FARGO & CO	784,000	801,295	791,362	6/3/2026	4.10%	
WELLS FARGO & CO	340,000	342,128	338,239	9/9/2024	3.30%	
WELLS FARGO & CO	285,000	294,080	277,271	11/4/2044	4.65%	
WELLS FARGO & CO	100,000	92,595	92,210	5/1/2045	3.90%	
WELLS FARGO & CO	1,217,000	1,212,375	1,243,202	7/22/2027	4.30%	
WELLS FARGO & CO	455,000	454,204	459,095	9/29/2025	3.55%	455,000
WELLS FARGO & CO	849,000	842,531	856,573	11/17/2045	4.90%	
WELLS FARGO BANK NA	1,500,000	2,077,485	1,936,005	1/15/2038	6.60%	
WESTPAC BANKING CORP	650,000	647,550	647,179	12/1/2017	VAR	
WHEELS SPV 2 LLC 1A A2 144A	159,192	159,206	158,461	3/20/2023	0.84%	
WHITING PETROLEUM CORP	336,000	311,640	244,944	3/15/2021	5.75%	336,000
WIND ACQUISITION FINANCE 144A	950,000	896,610	897,750	4/23/2021	7.38%	
WINDSTREAM SERVICES LLC	569,000	563,847	447,735	10/1/2021	7.75%	569,000
WISCONSIN POWER & LIGHT CO	75,000	104,041	95,552	8/15/2037	6.38%	
WISCONSIN PUBLIC SERVICE CORP	1,125,000	1,311,593	1,220,006	11/1/2044	4.75%	
WISE METALS GROUP LLC / W 144A	181,000	170,125	137,108	12/15/2018	8.75%	
WPX ENERGY INC	320,000	321,361	256,000	8/1/2023	8.25%	15,200
WTG HOLDINGS 12/13 COV-LITE TL	980,000	959,175	959,175			
WW GRAINGER INC	120,000	119,903	125,641	6/15/2045	4.60%	
WYETH LLC	60,000	76,960	71,342	4/1/2037	5.95%	
XCEL ENERGY INC	11,000	12,269	11,052	9/15/2041	4.80%	
XERIUM 5/13 COV-LITE TL	493,681	491,213	489,771			
XEROX CORP	100,000	121,911	96,219	12/15/2039	6.75%	
XTO ENERGY INC	100,000	146,678	137,335	8/1/2037	6.75%	
ZEBRA TECHNOLOGIES CORP	227,000	238,350	237,215	10/15/2022	7.25%	88,000
ZIMMER BIOMET HOLDINGS INC	505,000	501,677	470,791	8/15/2035	4.25%	
ZIMMER BIOMET HOLDINGS INC	845,000	838,206	777,079	8/15/2045	4.45%	845,000
ZOETIS INC	87,000	88,547	75,946	2/1/2043	4.70%	
TOTAL U.S. GOV'T SECURITIES AND CORPORATE DEBT		<u>920,756,863</u>	<u>874,851,642</u>			
COMMON/COLLECTIVE TRUSTS						
20+YEAR US HIGH QUALITY BOND	13	170,819,841	221,911,626			
5-20YEAR US HIGH QUALITY	12	71,104,720	80,480,892			
JPMCB EXTENDED DURATION FUND	20	10,910,685	17,195,129			
SIGMA ERISA LIQUIDATING POOL		1,386,022	-			
SSGA LONG US TREASURY INDEX NL	22	24,825,890	33,581,179			
TOTAL COMMON/COLLECTIVE TRUSTS		<u>279,047,158</u>	<u>353,168,826</u>			
OTHER INVESTMENTS						
AMERICAN MUNI PWR-OHIO INC OH	134	356,638	320,604	2/15/2050	7.50%	
BOA CAT 1 REPO REPO	100	4,094,987	4,094,987	1/4/2016	0.29%	
BZW CAT 1 REPO REPO	100	345,266	345,266	1/4/2016	0.32%	
CALIFORNIA ST	140	484,222	461,096	10/1/2039	7.30%	
CITIBANK CAT 1 REPO	100	15,608,988	15,608,988	01/04/2016	0.32%	
CREDIT AGRICOLE 1 REPO	100	3,336,601	3,336,601	01/04/2016	0.33%	
DIST OF COLUMBIA WTR & SWR AUT	99	104,638	90,143	10/1/2040	4.81%	
LOS ANGELES CA DEPT OF ARPTS	128	137,918	134,478	5/15/2039	6.58%	

ROCKWELL COLLINS PENSION PLAN
EIN #: 52-2314475, PLAN #: 001

**FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (HELD AT END OF YEAR) AS OF DECEMBER 31,
2015**

Description of Investment Including Identity of Issue, Maturity Date, Rate of Interest	Par Value	Cost	Fair Value	Maturity Date	Interest Rate	Shares Restricted Under Lending Agreement
OTHER INVESTMENTS						
MEXICO GOVERNMENT INTERNATIONAL	93	\$ 612,750	\$ 531,525	10/12/2039	5.75%	
MEXICO GOVERNMENT INTERNATIONAL	91	281,475	245,970	3/8/2044	4.75%	
MEXICO GOVERNMENT INTERNATIONAL	103	411,525	362,850	1/21/2045	5.55%	
NEW YORK ST DORM AUTH ST PERSO	117	89,585	87,522	3/15/2033	5.29%	
NEW YORK ST DORM AUTH ST PERSO	119	203,434	190,155	3/15/2040	5.39%	
OHIO ST UNIV	96	664,579	595,845	6/1/2039	4.80%	
OHIO UNIV GEN RECPTS ATHENS	106	324,033	318,096	10/1/2114	5.59%	
POLAND GOVERNMENT INTERNATIONAL	105	114,615	113,616	1/22/2024	4.00%	
PORT AUTH OF NEW YORK & NEW JE	120	120,255	114,169	12/1/2029	6.04%	
PORT AUTH OF NEW YORK & NEW JE	118	435,280	420,068	11/1/2040	5.65%	
PORT AUTH OF NEW YORK & NEW JE	118	30,522	29,582	11/1/2040	5.65%	
PORT AUTH OF NEW YORK & NEW JE	95	116,757	104,738	10/1/2062	4.46%	
PORT AUTH OF NEW YORK & NEW JE	108	718,826	685,921	8/1/2046	4.96%	
PROVINCE OF QUEBEC CANADA	125	218,421	212,231	1/30/2026	6.35%	
RBS PLC CAT 1 REPO	100	21,770,155	21,770,155	1/4/2016	0.30%	
SOCIETE GENERALE REPO	100	29,557,596	29,557,596	1/4/2016	0.32%	
SOUTH AFRICA GOVERNMENT INTERN	90	379,194	323,100	7/24/2044	5.38%	359,000
UNIV OF CALIFORNIA CA REVENUES	94	95,992	89,181	5/15/2115	4.77%	
UNIV OF CALIFORNIA CA REVENUES	96	88,556	81,310	5/15/2039	4.86%	
US 5YR TREAS NTS FUTURE (CBT)	118	-	3,281	EXP MAR 16		
US ULTRA BOND (CBT)	159	-	93,078	EXP MAR 16		
TOTAL OTHER INVESTMENTS		<u>80,702,808</u>	<u>80,322,152</u>			
INTEREST BEARING CASH						
BANK OF NOVA SCOTIA INSTL C/D		521,542	520,630	6/20/2016	VAR	
BANK TOKYO-MITSUB TD		24,888,614	24,888,614	1/4/2016	0.10%	
BNY MELLON CASH RESERVE		141,602	141,602	12/31/2049	0.01%	
CAD (CANADIAN DOLLARS)		21,943	21,730			
CALYON BANK TD		14,112,575	14,112,575	1/4/2016	0.25%	
CHF (SWISS FRANC)		(24)	(23)			
DNB NOR BANK ASA TD		15,358,275	15,358,275	1/4/2016	0.10%	90,270,136
EUR (EURO)		(1)	(1)			
EUR (EURO)		170	170			
GBP (GREAT BRITISH POUNDS)		21	21			
LANDESBANK BADEN TD		30,189,683	30,189,683	1/4/2016	0.17%	
LANDESBANK HSN-TH TD		16,126,189	16,126,189	1/4/2016	0.17%	
MXN (MEXICAN PESO)		17,555	17,178			
NORDEA BANK FINLAND NY		600,000	599,819	10/27/2016	VAR	
SEK (SWEDISH KRONA)		1	1			
TOTAL INTEREST BEARING CASH		<u>101,978,145</u>	<u>101,976,463</u>			
INSURANCE COMPANY GENERAL						
PRUDENTIAL IPG GR2385 GENERAL ACCOUNT		1,886,994	1,886,994			
PRUDENTIAL IPG GR1835 GENERAL ACCOUNT		<u>957,688</u>	<u>957,688</u>			
TOTAL INSURANCE COMPANY GENERAL		<u>2,844,682</u>	<u>2,844,682</u>			
TOTAL ASSETS HELD FOR INVESTMENT PURPOSE		<u>\$2,714,935,218</u>	<u>\$2,892,142,799</u>			

*Party-in-interest.

See accompanying Independent Auditor's Report

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
ACADIA HEALTHCARE CO INC 144A	ACADIA HEALTHCARE CO INC 144A 5.625% 02/15/2023 DD 02/11/15	\$(15,000)	\$15,188
ALERE	ALERE (6/11) TLB	(999,219)	996,727
ALPINE SECR CORP DISC	ALPINE SECR CORP DISC 09/01/2015	(1,199,624)	1,199,624
ALTICE FINCO SA 144A	ALTICE FINCO SA 144A 7.625% 02/15/2025 DD 02/04/15	(200,000)	202,000
ALTICE SA	ALTICE SA 7.625% 02/15/2025 DD 02/04/15	(200,000)	202,500
ARGOS MERGER SUB INC 144A	ARGOS MERGER SUB INC 144A 7.125% 03/15/2023 DD 03/04/15	(102,000)	104,040
AUSTRALIA & NZ BK DISC	AUSTRALIA & NZ BK DISC 09/11/2015	(1,099,550)	1,099,557
AUTOBAHN FDG CO DISC	AUTOBAHN FDG CO DISC 04/20/2015	(424,949)	424,949
AVIS BUDGET CAR RENTAL LLC / A	AVIS BUDGET CAR RENTAL LLC / A 5.500% 04/01/2023 DD 04/03/13	(167,370)	172,830
BANCO ABC BRASIL SA	BANCO ABC BRASIL SA	-	1,105
BANCO ABC BRASIL SA PRF NPV	BANCO ABC BRASIL SA PRF NPV (S/R 02-FEB-2015)	-	746

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BANK OF AMERICA CORP	BANK OF AMERICA CORP VAR RT 12/29/2049 DD 03/17/15	\$(100,000)	\$100,375
BANK OF NOVA SCOTIA DISC	BANK OF NOVA SCOTIA DISC 05/14/2015	(634,654)	634,654
BANK OF NOVA SCOTIA/THE	BANK OF NOVA SCOTIA/THE VAR RT 12/13/2016 DD 12/13/13	(326,336)	326,053
BARCLAYS BANK PLC REPO	BARCLAYS BANK PLC REPO 0.060% 03/10/2015 DD 03/09/15	(306,718)	306,718
BASF AKTIENGESELL DISC	BASF AKTIENGESELL DISC 05/03/2016	(994,531)	994,531
BASF AKTIENGESELL DISC	BASF AKTIENGESELL DISC 09/28/2015	(1,498,783)	1,498,783
BERRY PLASTICS CORP 144A	BERRY PLASTICS CORP 144A 6.000% 10/15/2022 DD 10/01/15	(31,000)	31,310
BMW US CAP LLC DISC	BMW US CAP LLC DISC 09/14/2015	(899,685)	899,790
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(1,618)	1,618
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(3,168)	3,168
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(3,885)	3,885

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 06/03/2015 DD 06/02/15	\$(80,205)	\$80,205
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(174,989)	174,989
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.090% 07/14/2015 DD 07/13/15	(3,791)	3,791
BNP PARIBAS CAT 1 REPO	BNP PARIBAS CAT 1 REPO 0.080% 11/13/2015 DD 11/12/15	(83,487)	83,487
BNP PARIBAS DISC	BNP PARIBAS DISC 11/06/2015	(1,097,933)	1,097,933
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 01/15/2015 DD 01/14/15	(10,065)	10,065
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 01/16/2015 DD 01/15/15	(16,053)	16,053
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 02/09/2015 DD 02/06/15	(11,553)	11,553
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(14,494)	14,494
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.120% 10/01/2015 DD 09/30/15	(254,970)	254,970
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/25/2015 DD 02/24/15	(11,824)	11,824

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/26/2015 DD 02/25/15	\$(17,581)	\$17,581
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.030% 02/27/2015 DD 02/26/15	(12,460)	12,460
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/09/2015 DD 03/06/15	(10,991)	10,991
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 03/03/2015 DD 03/02/15	(11,472)	11,472
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/06/2015 DD 03/05/15	(12,131)	12,131
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(13,270)	13,270
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 03/13/2015 DD 03/12/15	(15,945)	15,945
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/16/2015 DD 03/13/15	(11,040)	11,040
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(13,575)	13,575
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(11,283)	11,283
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 03/17/2015 DD 03/16/15	(16,173)	16,173

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 06/09/2015 DD 06/08/15	\$(154,876)	\$154,876
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 07/10/2015 DD 07/09/15	(106,170)	106,170
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(445,682)	445,682
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/07/2015 DD 10/06/15	(1,059,594)	1,059,594
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/08/2015 DD 10/07/15	(577,614)	577,614
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/09/2015 DD 10/08/15	(446,390)	446,390
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/19/2015 DD 10/16/15	(266,882)	266,882
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 10/14/2015 DD 10/13/15	(377,810)	377,810
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/15/2015 DD 10/14/15	(353,500)	353,500
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.100% 10/16/2015 DD 10/15/15	(188,769)	188,769
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.090% 10/20/2015 DD 10/19/15	(224,118)	224,118

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 10/22/2015 DD 10/21/15	\$(111,464)	\$111,464
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 10/23/2015 DD 10/22/15	(226,012)	226,012
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 10/26/2015 DD 10/23/15	(208,805)	208,805
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.050% 10/29/2015 DD 10/28/15	(330,335)	330,335
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 11/04/2015 DD 11/03/15	(177,745)	177,745
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.070% 11/09/2015 DD 11/06/15	(173,433)	173,433
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.060% 11/10/2015 DD 11/09/15	(128,657)	128,657
BOA CAT 1 REPO REPO	BOA CAT 1 REPO REPO 0.110% 12/08/2015 DD 12/07/15	(48,037)	48,037
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(13,108)	13,108
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 01/09/2015 DD 01/08/15	(7,154)	7,154
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 01/14/2015 DD 01/13/15	(10,116)	10,116

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 01/15/2015 DD 01/14/15	\$(11,767)	\$11,767
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 01/15/2015 DD 01/14/15	(15,543)	15,543
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(22,170)	22,170
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 02/10/2015 DD 02/09/15	(35,329)	35,329
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/05/2015 DD 08/04/15	(194,546)	194,546
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/07/2015 DD 08/06/15	(175,056)	175,056
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 08/13/2015 DD 08/12/15	(124,096)	124,096
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 08/17/2015 DD 08/14/15	(180,495)	180,495
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 08/18/2015 DD 08/17/15	(158,440)	158,440
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 08/20/2015 DD 08/19/15	(130,956)	130,956
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 08/24/2015 DD 08/21/15	(105,480)	105,480

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/25/2015 DD 08/24/15	\$(54,888)	\$54,888
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 08/26/2015 DD 08/25/15	(144,289)	144,289
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.130% 09/02/2015 DD 09/01/15	(175,885)	175,885
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 09/11/2015 DD 09/10/15	(134,935)	134,935
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 09/14/2015 DD 09/11/15	(67,495)	67,495
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 09/17/2015 DD 09/16/15	(102,863)	102,863
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/21/2015 DD 09/18/15	(116,574)	116,574
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/24/2015 DD 09/23/15	(71,190)	71,190
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 09/28/2015 DD 09/25/15	(117,540)	117,540
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 09/30/2015 DD 09/29/15	(31,052)	31,052
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/02/2015 DD 10/01/15	(170,821)	170,821

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/25/2015 DD 02/24/15	\$(26,544)	\$26,544
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/26/2015 DD 02/25/15	(69,504)	69,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 02/27/2015 DD 02/26/15	(87,959)	87,959
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/06/2015 DD 03/05/15	(11,186)	11,186
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/09/2015 DD 03/06/15	(16,417)	16,417
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/03/2015 DD 03/02/15	(19,797)	19,797
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.040% 03/03/2015 DD 03/02/15	(32,784)	32,784
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/04/2015 DD 03/03/15	(14,755)	14,755
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/05/2015 DD 03/04/15	(39,782)	39,782
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/06/2015 DD 03/05/15	(17,192)	17,192
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(23,365)	23,365

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/13/2015 DD 03/12/15	\$(32,463)	\$32,463
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/16/2015 DD 03/13/15	(55,599)	55,599
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(24,257)	24,257
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(20,644)	20,644
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/20/2015 DD 03/19/15	(20,714)	20,714
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 03/17/2015 DD 03/16/15	(84,081)	84,081
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 03/18/2015 DD 03/17/15	(27,059)	27,059
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 03/23/2015 DD 03/20/15	(19,358)	19,358
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.030% 03/23/2015 DD 03/20/15	(28,039)	28,039
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 03/24/2015 DD 03/23/15	(12,337)	12,337
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 03/25/2015 DD 03/24/15	(17,292)	17,292

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 04/16/2015 DD 04/15/15	\$(49,850)	\$49,850
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 04/23/2015 DD 04/22/15	(152,176)	152,176
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 04/30/2015 DD 04/29/15	(366,943)	366,943
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 05/01/2015 DD 04/30/15	(158,593)	158,593
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/27/2015 DD 04/24/15	(169,373)	169,373
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/28/2015 DD 04/27/15	(342,253)	342,253
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 04/29/2015 DD 04/28/15	(228,640)	228,640
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/05/2015 DD 05/04/15	(134,943)	134,943
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 05/14/2015 DD 05/13/15	(218,995)	218,995
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/13/2015 DD 05/12/15	(120,852)	120,852
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/21/2015 DD 05/20/15	(154,402)	154,402

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 05/15/2015 DD 05/14/15	\$(149,763)	\$149,763
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/19/2015 DD 05/18/15	(121,908)	121,908
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 05/22/2015 DD 05/21/15	(143,102)	143,102
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 05/26/2015 DD 05/22/15	(157,417)	157,417
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/04/2015 DD 06/03/15	(148,805)	148,805
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/05/2015 DD 06/04/15	(79,140)	79,140
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 06/10/2015 DD 06/09/15	(154,382)	154,382
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 06/29/2015 DD 06/26/15	(165,916)	165,916
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/08/2015 DD 07/07/15	(392,757)	392,757
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/06/2015 DD 07/02/15	(655,690)	655,690
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/07/2015 DD 07/06/15	(1,039,916)	1,039,916

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 07/09/2015 DD 07/08/15	\$(46,208)	\$46,208
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 07/10/2015 DD 07/09/15	(687,366)	687,366
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 07/13/2015 DD 07/10/15	(542,153)	542,153
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 07/14/2015 DD 07/13/15	(257,959)	257,959
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 07/22/2015 DD 07/21/15	(152,429)	152,429
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/21/2015 DD 07/20/15	(162,954)	162,954
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 07/23/2015 DD 07/22/15	(138,195)	138,195
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 07/24/2015 DD 07/23/15	(102,941)	102,941
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 07/27/2015 DD 07/24/15	(138,517)	138,517
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 08/04/2015 DD 08/03/15	(154,837)	154,837
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.140% 07/31/2015 DD 07/30/15	(67,163)	67,163

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	\$(266,608)	\$266,608
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/06/2015 DD 10/05/15	(101,312)	101,312
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/07/2015 DD 10/06/15	(934,504)	934,504
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/08/2015 DD 10/07/15	(439,720)	439,720
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 10/19/2015 DD 10/16/15	(111,475)	111,475
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(400,085)	400,085
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/14/2015 DD 10/13/15	(286,389)	286,389
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 10/29/2015 DD 10/28/15	(273,538)	273,538
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/30/2015 DD 10/29/15	(1,187,732)	1,187,732
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 10/26/2015 DD 10/23/15	(104,883)	104,883
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 10/27/2015 DD 10/26/15	(1,560,841)	1,560,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(1,318,266)	\$1,318,266
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.080% 11/02/2015 DD 10/30/15	(256,958)	256,958
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/03/2015 DD 11/02/15	(1,591,297)	1,591,297
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/04/2015 DD 11/03/15	(642,788)	642,788
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/12/2015 DD 11/10/15	(119,212)	119,212
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/09/2015 DD 11/06/15	(123,027)	123,027
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.060% 11/10/2015 DD 11/09/15	(137,444)	137,444
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/25/2015 DD 11/24/15	(95,753)	95,753
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.050% 11/20/2015 DD 11/19/15	(16,453)	16,453
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/02/2015 DD 12/01/15	(244,200)	244,200
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 11/27/2015 DD 11/25/15	(650,873)	650,873

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.100% 11/30/2015 DD 11/27/15	\$(556,878)	\$556,878
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.110% 12/01/2015 DD 11/30/15	(88,358)	88,358
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.070% 12/03/2015 DD 12/02/15	(234,745)	234,745
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.090% 12/04/2015 DD 12/03/15	(188,920)	188,920
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 12/09/2015 DD 12/08/15	(18,677)	18,677
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.120% 12/11/2015 DD 12/10/15	(72,908)	72,908
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.310% 12/29/2015 DD 12/28/15	(33,643)	33,643
BZW CAT 1 REPO REPO	BZW CAT 1 REPO REPO 0.300% 12/31/2015 DD 12/30/15	(84,524)	84,524
BZW CAT 4 REPO	BZW CAT 4 REPO 0.060% 02/18/2015 DD 02/17/15	(124,905)	124,905
BZW CAT 4 REPO	BZW CAT 4 REPO 0.030% 02/20/2015 DD 02/19/15	(12,719)	12,719
BZW CAT 4 REPO	BZW CAT 4 REPO 0.090% 09/17/2015 DD 09/16/15	(10,081)	10,081

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
BZW CAT 4 REPO	BZW CAT 4 REPO 0.040% 03/11/2015 DD 03/10/15	\$(154,518)	\$154,518
CAFCO LLC DISC	CAFCO LLC DISC 09/22/2015	(1,498,335)	1,498,335
CAFCO LLC DISC	CAFCO LLC DISC 11/05/2015	(998,416)	999,138
CAIXABANK SA	CAIXABANK SA	(3)	3
CARRIZO OIL & GAS INC	CARRIZO OIL & GAS INC 6.250% 04/15/2023 DD 04/28/15	(45,000)	45,675
CBBIB CAPITAL INC DISC	CBBIB CAPITAL INC DISC 06/22/2015	(1,199,608)	1,199,608
CERIDIAN 11/14 TL	CERIDIAN 11/14 TL	(746,250)	745,317
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 01/05/2016	(1,197,466)	1,197,466
CHARIOT FDG LLC DISC	CHARIOT FDG LLC DISC 09/10/2015	(324,540)	324,926
CHARTA LLC DISC	CHARTA LLC DISC 09/01/2015	(799,036)	799,787
CHARTA LLC DISC	CHARTA LLC DISC 09/02/2015	(299,557)	299,510

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CHEMOURS CO	CHEMOURS CO 7.000% 05/15/2025 DD 05/12/15	\$(91,000)	\$91,683
CHEVRON CORP DISC	CHEVRON CORP DISC 03/18/2015	(634,858)	634,858
CHEVRON CORP DISC	CHEVRON CORP DISC 04/13/2015	(499,817)	499,817
CIESCO LLC DISC	CIESCO LLC DISC 12/02/2015	(848,547)	848,741
CITGO 2/15 TLB	CITGO 2/15 TLB	(242,244)	258,351
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 01/14/2015 DD 01/13/15	(14,864)	14,864
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 01/15/2015 DD 01/14/15	(14,296)	14,296
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 02/09/2015 DD 02/06/15	(24,702)	24,702
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 02/10/2015 DD 02/09/15	(29,504)	29,504
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 08/11/2015 DD 08/10/15	(67,287)	67,287
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 08/21/2015 DD 08/20/15	(266,987)	266,987

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.110% 08/19/2015 DD 08/18/15	\$(164,616)	\$164,616
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 08/25/2015 DD 08/24/15	(180,073)	180,073
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 09/09/2015 DD 09/08/15	(208,939)	208,939
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 09/15/2015 DD 09/14/15	(180,178)	180,178
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 09/23/2015 DD 09/22/15	(147,233)	147,233
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 09/25/2015 DD 09/24/15	(145,160)	145,160
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/06/2015 DD 10/05/15	(110,486)	110,486
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/01/2015 DD 09/30/15	(433,491)	433,491
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(29,654)	29,654
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(40,188)	40,188
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(13,454)	13,454

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	\$(11,963)	11,963
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 03/04/2015 DD 03/03/15	(13,043)	13,043
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/05/2015 DD 03/04/15	(17,716)	17,716
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/06/2015 DD 03/05/15	(13,469)	13,469
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/13/2015 DD 03/12/15	(17,383)	17,383
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/16/2015 DD 03/13/15	(17,076)	17,076
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(30,331)	30,331
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(24,203)	24,203
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(18,575)	18,575
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	(30,483)	30,483
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(23,359)	23,359

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/23/2015 DD 03/20/15	\$(14,566)	\$14,566
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(16,343)	16,343
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 05/04/2015 DD 05/01/15	(137,403)	137,403
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 07/29/2015 DD 07/28/15	(71,860)	71,860
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.110% 07/28/2015 DD 07/27/15	(52,108)	52,108
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 07/30/2015 DD 07/29/15	(131,580)	131,580
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/13/2015 DD 10/09/15	(525,540)	525,540
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/07/2015 DD 10/06/15	(1,324,569)	1,324,569
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(773,732)	773,732
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/09/2015 DD 10/08/15	(553,532)	553,532
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/19/2015 DD 10/16/15	(386,666)	386,666

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/14/2015 DD 10/13/15	\$(445,511)	445,511
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 10/15/2015 DD 10/14/15	(409,288)	409,288
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 10/16/2015 DD 10/15/15	(253,050)	253,050
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(299,892)	299,892
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 10/20/2015 DD 10/19/15	(332,681)	332,681
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 10/22/2015 DD 10/21/15	(192,047)	192,047
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(313,477)	313,477
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 10/27/2015 DD 10/26/15	(153,858)	153,858
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 10/29/2015 DD 10/28/15	(409,922)	409,922
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.080% 11/02/2015 DD 10/30/15	(116,374)	116,374
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 11/09/2015 DD 11/06/15	(177,428)	177,428

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/10/2015 DD 11/09/15	\$(137,153)	\$137,153
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/19/2015 DD 11/18/15	(221,674)	221,674
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(80,955)	80,955
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(55,451)	55,451
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.060% 11/25/2015 DD 11/24/15	(248,461)	248,461
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 11/20/2015 DD 11/19/15	(187,112)	187,112
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	(172,059)	172,059
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.070% 12/02/2015 DD 12/01/15	(177,794)	177,794
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 12/09/2015 DD 12/08/15	(118,824)	118,824
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 12/04/2015 DD 12/03/15	(183,601)	183,601
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.090% 12/07/2015 DD 12/04/15	(150,964)	150,964

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.130% 12/10/2015 DD 12/09/15	\$(158,653)	\$158,653
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.120% 12/11/2015 DD 12/10/15	(270,003)	270,003
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.100% 12/14/2015 DD 12/11/15	(40,531)	40,531
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/22/2015 DD 12/21/15	(99,284)	99,284
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/18/2015 DD 12/17/15	(54,515)	54,515
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.320% 12/30/2015 DD 12/29/15	(186,778)	186,778
CITIBANK CAT 1 REPO	CITIBANK CAT 1 REPO 0.270% 12/24/2015 DD 12/23/15	(59,752)	59,752
COCA COLA CO DISC	COCA COLA CO DISC 07/29/2015	(1,699,570)	1,699,570
COLLATERIZED CP DISC	COLLATERIZED CP DISC 10/21/2015	(424,004)	424,742
COMMSCOPE INC 144A	COMMSCOPE INC 144A 5.000% 06/15/2021 DD 05/30/14	(189,353)	198,208
COMMSCOPE INC 144A	COMMSCOPE INC 144A 5.500% 06/15/2024 DD 05/30/14	(52,324)	54,675

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
COMMSCOPE TECHNOLOGIES FI 144A	COMMSCOPE TECHNOLOGIES FI 144A 6.000% 06/15/2025 DD 06/11/15	\$(165,000)	\$164,794
COMWLTH BK AUST DISC	COMWLTH BK AUST DISC 08/19/2015	(1,099,522)	1,099,786
COMWLTH BK AUST DISC	COMWLTH BK AUST DISC 12/15/2015	(499,633)	499,633
COOPERATIEVE CENT DISC	COOPERATIEVE CENT DISC 11/12/2015	(998,467)	998,833
CPPIB CAPITAL INC DISC	CPPIB CAPITAL INC DISC 10/15/2015	(499,514)	499,830
CRC FDG LLC DISC	CRC FDG LLC DISC 09/21/2015	(479,280)	479,847
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/05/2015 DD 01/02/15	(128,455)	128,455
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/12/2015 DD 01/09/15	(262,534)	262,534
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/06/2015 DD 01/05/15	(37,540)	37,540
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/07/2015 DD 01/06/15	(44,608)	44,608
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/08/2015 DD 01/07/15	(61,403)	61,403

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/09/2015 DD 01/08/15	\$(227,888)	\$227,888
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 01/20/2015 DD 01/16/15	(95,403)	95,403
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/13/2015 DD 01/12/15	(230,695)	230,695
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/14/2015 DD 01/13/15	(320,817)	320,817
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 01/15/2015 DD 01/14/15	(307,656)	307,656
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 01/16/2015 DD 01/15/15	(76,726)	76,726
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/26/2015 DD 01/23/15	(72,062)	72,062
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/27/2015 DD 01/26/15	(129,072)	129,072
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 01/21/2015 DD 01/20/15	(285,957)	285,957
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/22/2015 DD 01/21/15	(103,912)	103,912
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/23/2015 DD 01/22/15	(118,215)	118,215

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/02/2015 DD 01/30/15	\$(43,669)	\$43,669
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/28/2015 DD 01/27/15	(83,513)	83,513
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/29/2015 DD 01/28/15	(57,335)	57,335
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 01/30/2015 DD 01/29/15	(168,113)	168,113
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/06/2015 DD 02/05/15	(315,502)	315,502
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/09/2015 DD 02/06/15	(721,187)	721,187
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/03/2015 DD 02/02/15	(38,764)	38,764
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/04/2015 DD 02/03/15	(125,381)	125,381
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/05/2015 DD 02/04/15	(132,272)	132,272
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 02/13/2015 DD 02/12/15	(81,708)	81,708
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/17/2015 DD 02/13/15	(34,584)	34,584

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 02/10/2015 DD 02/09/15	\$(688,697)	\$688,697
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/11/2015 DD 02/10/15	(402,420)	402,420
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/12/2015 DD 02/11/15	(42,686)	42,686
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/23/2015 DD 02/20/15	(490,860)	490,860
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 02/18/2015 DD 02/17/15	(318,779)	318,779
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 02/19/2015 DD 02/18/15	(258,623)	258,623
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/20/2015 DD 02/19/15	(76,165)	76,165
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/10/2015 DD 08/07/15	(42,919)	42,919
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/06/2015 DD 08/05/15	(79,046)	79,046
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/07/2015 DD 08/06/15	(248,554)	248,554
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 08/21/2015 DD 08/20/15	(2,494,870)	2,494,870

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 08/18/2015 DD 08/17/15	\$(413,086)	\$413,086
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 08/19/2015 DD 08/18/15	(354,609)	354,609
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/20/2015 DD 08/19/15	(267,204)	267,204
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/27/2015 DD 08/26/15	(2,386,122)	2,386,122
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/28/2015 DD 08/27/15	(3,563,818)	3,563,818
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/24/2015 DD 08/21/15	(2,031,827)	2,031,827
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/25/2015 DD 08/24/15	(2,203,630)	2,203,630
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 08/26/2015 DD 08/25/15	(902,552)	902,552
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 09/03/2015 DD 09/02/15	(470,762)	470,762
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 08/31/2015 DD 08/28/15	(2,480,779)	2,480,779
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 09/02/2015 DD 09/01/15	(549,130)	549,130

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/10/2015 DD 09/09/15	\$(231,212)	\$231,212
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/04/2015 DD 09/03/15	(585,952)	585,952
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/08/2015 DD 09/04/15	(477,293)	477,293
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/09/2015 DD 09/08/15	(444,411)	444,411
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 09/14/2015 DD 09/11/15	(286,681)	286,681
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 09/15/2015 DD 09/14/15	(165,860)	165,860
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 09/23/2015 DD 09/22/15	(181,491)	181,491
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 09/22/2015 DD 09/21/15	(83,032)	83,032
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 09/28/2015 DD 09/25/15	(146,972)	146,972
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/06/2015 DD 10/05/15	(201,425)	201,425
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 10/01/2015 DD 09/30/15	(319,308)	319,308

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/02/2015 DD 10/01/15	\$(173,190)	\$173,190
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/02/2015 DD 02/27/15	(57,650)	57,650
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/24/2015 DD 02/23/15	(467,883)	467,883
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/25/2015 DD 02/24/15	(632,339)	632,339
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.040% 02/26/2015 DD 02/25/15	(897,533)	897,533
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 02/27/2015 DD 02/26/15	(741,388)	741,388
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/09/2015 DD 03/06/15	(352,964)	352,964
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/03/2015 DD 03/02/15	(622,348)	622,348
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/04/2015 DD 03/03/15	(366,040)	366,040
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/05/2015 DD 03/04/15	(487,866)	487,866
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/06/2015 DD 03/05/15	(299,180)	299,180

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/13/2015 DD 03/12/15	\$(380,122)	\$380,122
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/16/2015 DD 03/13/15	(453,718)	453,718
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/10/2015 DD 03/09/15	(307,792)	307,792
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/11/2015 DD 03/10/15	(237,582)	237,582
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/12/2015 DD 03/11/15	(532,460)	532,460
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/20/2015 DD 03/19/15	(764,406)	764,406
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 03/17/2015 DD 03/16/15	(795,078)	795,078
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/18/2015 DD 03/17/15	(881,816)	881,816
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 03/19/2015 DD 03/18/15	(330,878)	330,878
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/27/2015 DD 03/26/15	(257,217)	257,217
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 03/23/2015 DD 03/20/15	(438,408)	438,408

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 03/24/2015 DD 03/23/15	\$(606,947)	\$606,947
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 03/25/2015 DD 03/24/15	(364,425)	364,425
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 03/26/2015 DD 03/25/15	(654,688)	654,688
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/30/2015 DD 03/27/15	(6,137,159)	6,137,159
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 03/31/2015 DD 03/30/15	(7,606,920)	7,606,920
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 04/13/2015 DD 04/10/15	(39,886)	39,886
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 04/08/2015 DD 04/07/15	(41,930)	41,930
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 04/09/2015 DD 04/08/15	(22,836)	22,836
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 04/20/2015 DD 04/17/15	(33,512)	33,512
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/22/2015 DD 04/21/15	(233,791)	233,791
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 04/23/2015 DD 04/22/15	(187,785)	187,785

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 04/30/2015 DD 04/29/15	\$(4,005,920)	\$4,005,920
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 05/01/2015 DD 04/30/15	(1,074,666)	1,074,666
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/27/2015 DD 04/24/15	(474,529)	474,529
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 04/28/2015 DD 04/27/15	(2,925,270)	2,925,270
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 04/29/2015 DD 04/28/15	(4,251,758)	4,251,758
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 05/04/2015 DD 05/01/15	(1,880,894)	1,880,894
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/05/2015 DD 05/04/15	(1,693,935)	1,693,935
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/14/2015 DD 05/13/15	(1,941,021)	1,941,021
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/12/2015 DD 05/11/15	(562,903)	562,903
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 05/13/2015 DD 05/12/15	(953,798)	953,798
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/21/2015 DD 05/20/15	(714,161)	714,161

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/15/2015 DD 05/14/15	\$(1,186,623)	\$1,186,623
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 05/18/2015 DD 05/15/15	(253,462)	253,462
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/19/2015 DD 05/18/15	(830,028)	830,028
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/20/2015 DD 05/19/15	(983,032)	983,032
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 05/22/2015 DD 05/21/15	(509,645)	509,645
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 05/26/2015 DD 05/22/15	(614,592)	614,592
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 05/27/2015 DD 05/26/15	(3,469,981)	3,469,981
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 05/28/2015 DD 05/27/15	(312,690)	312,690
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/04/2015 DD 06/03/15	(2,633,120)	2,633,120
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/01/2015 DD 05/29/15	(1,885,255)	1,885,255
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/02/2015 DD 06/01/15	(3,723,818)	3,723,818

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/03/2015 DD 06/02/15	\$(2,079,382)	\$2,079,382
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/11/2015 DD 06/10/15	(1,050,349)	1,050,349
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/05/2015 DD 06/04/15	(1,179,701)	1,179,701
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/08/2015 DD 06/05/15	(1,529,183)	1,529,183
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/09/2015 DD 06/08/15	(2,248,012)	2,248,012
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/10/2015 DD 06/09/15	(1,137,479)	1,137,479
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 06/12/2015 DD 06/11/15	(994,578)	994,578
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 06/15/2015 DD 06/12/15	(626,635)	626,635
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/16/2015 DD 06/15/15	(999,683)	999,683
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/24/2015 DD 06/23/15	(195,754)	195,754
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/25/2015 DD 06/24/15	(329,388)	329,388

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/19/2015 DD 06/18/15	\$(198,501)	\$198,501
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/22/2015 DD 06/19/15	(178,489)	178,489
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 06/23/2015 DD 06/22/15	(311,092)	311,092
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/02/2015 DD 07/01/15	(52,205)	52,205
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 06/26/2015 DD 06/25/15	(691,902)	691,902
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 06/29/2015 DD 06/26/15	(441,608)	441,608
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/09/2015 DD 07/08/15	(2,447,372)	2,447,372
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/06/2015 DD 07/02/15	(7,320,770)	7,320,770
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/07/2015 DD 07/06/15	(6,622,371)	6,622,371
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 07/08/2015 DD 07/07/15	(2,711,664)	2,711,664
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 07/10/2015 DD 07/09/15	(3,051,198)	3,051,198

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/13/2015 DD 07/10/15	\$(1,407,164)	\$1,407,164
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/14/2015 DD 07/13/15	(683,050)	683,050
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/22/2015 DD 07/21/15	(245,267)	245,267
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/21/2015 DD 07/20/15	(533,903)	533,903
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 07/29/2015 DD 07/28/15	(1,084,895)	1,084,895
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/23/2015 DD 07/22/15	(620,234)	620,234
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 07/24/2015 DD 07/23/15	(403,490)	403,490
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/27/2015 DD 07/24/15	(449,073)	449,073
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/28/2015 DD 07/27/15	(868,672)	868,672
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 07/30/2015 DD 07/29/15	(1,070,507)	1,070,507
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 07/31/2015 DD 07/30/15	(739,830)	739,830

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 10/13/2015 DD 10/09/15	\$(1,419,750)	\$1,419,750
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/07/2015 DD 10/06/15	(3,678,551)	3,678,551
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/08/2015 DD 10/07/15	(2,129,758)	2,129,758
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/09/2015 DD 10/08/15	(1,894,757)	1,894,757
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 10/19/2015 DD 10/16/15	(1,951,598)	1,951,598
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/14/2015 DD 10/13/15	(1,254,467)	1,254,467
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 10/15/2015 DD 10/14/15	(1,319,714)	1,319,714
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 10/16/2015 DD 10/15/15	(1,924,286)	1,924,286
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/26/2015 DD 10/23/15	(1,348,944)	1,348,944
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 10/20/2015 DD 10/19/15	(1,659,600)	1,659,600
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 10/21/2015 DD 10/20/15	(1,251,357)	1,251,357

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 10/22/2015 DD 10/21/15	\$(1,471,917)	\$1,471,917
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/23/2015 DD 10/22/15	(1,902,092)	1,902,092
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/30/2015 DD 10/29/15	(3,188,842)	3,188,842
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 10/27/2015 DD 10/26/15	(3,101,867)	3,101,867
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/28/2015 DD 10/27/15	(3,041,955)	3,041,955
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 10/29/2015 DD 10/28/15	(3,683,648)	3,683,648
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/05/2015 DD 11/04/15	(2,363,294)	2,363,294
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 11/06/2015 DD 11/05/15	(1,923,716)	1,923,716
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/02/2015 DD 10/30/15	(861,453)	861,453
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/03/2015 DD 11/02/15	(2,003,513)	2,003,513
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/04/2015 DD 11/03/15	(1,886,068)	1,886,068

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/13/2015 DD 11/12/15	\$(1,698,559)	\$1,698,559
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/09/2015 DD 11/06/15	(2,403,524)	2,403,524
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/10/2015 DD 11/09/15	(1,481,658)	1,481,658
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/12/2015 DD 11/10/15	(1,566,692)	1,566,692
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/19/2015 DD 11/18/15	(757,910)	757,910
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/16/2015 DD 11/13/15	(1,094,845)	1,094,845
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/17/2015 DD 11/16/15	(917,118)	917,118
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/18/2015 DD 11/17/15	(690,180)	690,180
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.060% 11/25/2015 DD 11/24/15	(845,793)	845,793
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 11/20/2015 DD 11/19/15	(676,460)	676,460
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.050% 11/23/2015 DD 11/20/15	(682,278)	682,278

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/02/2015 DD 12/01/15	\$(983,853)	\$983,853
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.070% 11/27/2015 DD 11/25/15	(1,672,463)	1,672,463
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 11/30/2015 DD 11/27/15	(1,174,718)	1,174,718
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 12/09/2015 DD 12/08/15	(339,424)	339,424
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/03/2015 DD 12/02/15	(705,754)	705,754
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 12/04/2015 DD 12/03/15	(887,241)	887,241
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.100% 12/07/2015 DD 12/04/15	(569,490)	569,490
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.110% 12/08/2015 DD 12/07/15	(448,991)	448,991
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.080% 12/15/2015 DD 12/14/15	(192,250)	192,250
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 12/10/2015 DD 12/09/15	(541,042)	541,042
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.120% 12/11/2015 DD 12/10/15	(646,733)	646,733

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.090% 12/14/2015 DD 12/11/15	\$(248,953)	\$248,953
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/21/2015 DD 12/18/15	(189,727)	189,727
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/22/2015 DD 12/21/15	(247,297)	247,297
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.130% 12/16/2015 DD 12/15/15	(399,065)	399,065
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.270% 12/18/2015 DD 12/17/15	(225,649)	225,649
CREDIT AGRICOLE 1 REPO	CREDIT AGRICOLE 1 REPO 0.260% 12/23/2015 DD 12/22/15	(94,401)	94,401
CREDIT SUISSE GROUP AG	CREDIT SUISSE GROUP AG	-	15,246
CRESCENT POINT ENERGY CORP	CRESCENT POINT ENERGY CORP	(583,729)	108,173
CRESTWOOD MIDSTREAM PART 144A	CRESTWOOD MIDSTREAM PART 144A 6.250% 04/01/2023 DD 03/23/15	(100,000)	101,000
CS FOB CAT 1 REP	CS FOB CAT 1 REP 0.020% 02/27/2015 DD 02/26/15	(4,748)	4,748
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(11,143)	11,143

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 01/16/2015 DD 01/15/15	\$(3,129)	\$3,129
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 01/20/2015 DD 01/16/15	(11,027)	11,027
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 01/14/2015 DD 01/13/15	(13,921)	13,921
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 01/15/2015 DD 01/14/15	(10,106)	10,106
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 01/22/2015 DD 01/21/15	(15,031)	15,031
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 02/10/2015 DD 02/09/15	(11,144)	11,144
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/14/2015 DD 08/13/15	(86,298)	86,298
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.140% 08/12/2015 DD 08/11/15	(62,371)	62,371
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 10/05/2015 DD 10/02/15	(33,668)	33,668
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/03/2015 DD 03/02/15	(3,964)	3,964
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/04/2015 DD 03/03/15	(13,169)	13,169

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 03/05/2015 DD 03/04/15	\$(14,790)	\$14,790
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/11/2015 DD 03/10/15	(5,874)	5,874
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/16/2015 DD 03/13/15	(12,584)	12,584
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 03/24/2015 DD 03/23/15	(13,620)	13,620
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 05/11/2015 DD 05/08/15	(124,959)	124,959
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 05/12/2015 DD 05/11/15	(112,624)	112,624
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 07/08/2015 DD 07/07/15	(98,596)	98,596
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.150% 08/03/2015 DD 07/31/15	(63,072)	63,072
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	(4,615,081)	4,615,081
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 10/15/2015 DD 10/14/15	(147,854)	147,854
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.050% 10/30/2015 DD 10/29/15	(88,947)	88,947

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(146,049)	\$146,049
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.070% 11/17/2015 DD 11/16/15	(103,486)	103,486
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.060% 11/19/2015 DD 11/18/15	(53,894)	53,894
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.080% 12/02/2015 DD 12/01/15	(33,837)	33,837
CS FOB CAT 1 REPO REPO	CS FOB CAT 1 REPO REPO 0.090% 12/07/2015 DD 12/04/15	(24,317)	24,317
DBS BANK LTD DISC	DBS BANK LTD DISC 09/09/2015	(899,505)	899,650
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 01/12/2015 DD 01/09/15	(10,349)	10,349
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 01/14/2015 DD 01/13/15	(12,384)	12,384
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 01/15/2015 DD 01/14/15	(19,494)	19,494
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 02/10/2015 DD 02/09/15	(16,468)	16,468
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 09/14/2015 DD 09/11/15	(107,571)	107,571

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/25/2015 DD 02/24/15	\$(14,715)	\$14,715
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/26/2015 DD 02/25/15	(23,611)	23,611
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.050% 02/27/2015 DD 02/26/15	(17,828)	17,828
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/13/2015 DD 03/12/15	(37,552)	37,552
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/16/2015 DD 03/13/15	(33,621)	33,621
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/10/2015 DD 03/09/15	(46,083)	46,083
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 03/11/2015 DD 03/10/15	(38,898)	38,898
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 03/20/2015 DD 03/19/15	(27,788)	27,788
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/17/2015 DD 03/16/15	(54,563)	54,563
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 03/18/2015 DD 03/17/15	(35,140)	35,140
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 03/23/2015 DD 03/20/15	(25,224)	25,224

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/24/2015 DD 03/23/15	\$(15,680)	\$15,680
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 03/25/2015 DD 03/24/15	(23,610)	23,610
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 05/18/2015 DD 05/15/15	(118,721)	118,721
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 06/04/2015 DD 06/03/15	(123,494)	123,494
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 06/05/2015 DD 06/04/15	(125,952)	125,952
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 06/09/2015 DD 06/08/15	(163,785)	163,785
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.110% 06/29/2015 DD 06/26/15	(127,241)	127,241
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 07/10/2015 DD 07/09/15	(162,448)	162,448
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/09/2015 DD 10/08/15	(545,313)	545,313
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/06/2015 DD 10/05/15	(114,040)	114,040
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/07/2015 DD 10/06/15	(1,228,326)	1,228,326

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 10/08/2015 DD 10/07/15	\$(700,673)	\$700,673
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.120% 10/19/2015 DD 10/16/15	(312,687)	312,687
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/13/2015 DD 10/09/15	(517,020)	517,020
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/14/2015 DD 10/13/15	(433,917)	433,917
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.110% 10/15/2015 DD 10/14/15	(411,448)	411,448
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.120% 10/16/2015 DD 10/15/15	(221,745)	221,745
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/23/2015 DD 10/22/15	(290,582)	290,582
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/20/2015 DD 10/19/15	(276,337)	276,337
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/22/2015 DD 10/21/15	(133,762)	133,762
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/29/2015 DD 10/28/15	(380,311)	380,311
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 10/26/2015 DD 10/23/15	(253,894)	253,894

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.060% 10/28/2015 DD 10/27/15	\$(153,774)	\$153,774
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 11/05/2015 DD 11/04/15	(17,325)	17,325
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 11/12/2015 DD 11/10/15	(124,643)	124,643
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.090% 11/13/2015 DD 11/12/15	(132,648)	132,648
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.080% 11/09/2015 DD 11/06/15	(233,841)	233,841
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.070% 11/10/2015 DD 11/09/15	(174,246)	174,246
DEUT CAT 1 REPO REPO	DEUT CAT 1 REPO REPO 0.100% 12/04/2015 DD 12/03/15	(116,867)	116,867
DNB NOR BANK DISC	DNB NOR BANK DISC 05/13/2015	(724,905)	724,850
DNB NOR BANK DISC	DNB NOR BANK DISC 11/09/2015	(998,400)	999,299
DNB NOR BANK DISC	DNB NOR BANK DISC 11/13/2015	(1,297,964)	1,297,964
ENERGY XXI GULF COAST INC 144A	ENERGY XXI GULF COAST INC 144A 11.000% 03/15/2020 DD 03/12/15	(136,764)	75,823

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
EXXON CORP DISC	EXXON CORP DISC 08/24/2015	\$(1,599,578)	\$1,599,578
FAIRWAY FIN CORP DISC	FAIRWAY FIN CORP DISC 09/02/2015	(349,525)	349,939
FELCOR LODGING LP 144A	FELCOR LODGING LP 144A 6.000% 06/01/2025 DD 05/21/15	(15,000)	15,188
GOODYEAR TIRE & RUBBER CO/THE	GOODYEAR TIRE & RUBBER CO/THE 5.125% 11/15/2023 DD 11/05/15	(75,000)	76,125
GOOGLE INC DISC	GOOGLE INC DISC 09/30/2015	(2,698,873)	2,698,600
GRAND KOREA LEISURE CO LTD	GRAND KOREA LEISURE CO LTD	(494,976)	383,293
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 01/15/2015 DD 01/14/15	(12,008)	12,008
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 02/09/2015 DD 02/06/15	(11,149)	11,149
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 02/10/2015 DD 02/09/15	(19,686)	19,686
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/27/2015 DD 02/26/15	(19,315)	19,315
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/25/2015 DD 02/24/15	(19,397)	19,397

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 02/26/2015 DD 02/25/15	\$(36,173)	\$36,173
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/06/2015 DD 03/05/15	(8,512)	8,512
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 03/04/2015 DD 03/03/15	(14,413)	14,413
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 03/05/2015 DD 03/04/15	(12,503)	12,503
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/13/2015 DD 03/12/15	(15,516)	15,516
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/10/2015 DD 03/09/15	(24,095)	24,095
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/11/2015 DD 03/10/15	(21,060)	21,060
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/20/2015 DD 03/19/15	(15,537)	15,537
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/16/2015 DD 03/13/15	(15,242)	15,242
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 03/17/2015 DD 03/16/15	(26,814)	26,814
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 03/18/2015 DD 03/17/15	(18,063)	18,063

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 03/23/2015 DD 03/20/15	\$(12,098)	\$12,098
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 03/24/2015 DD 03/23/15	(10,504)	10,504
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.100% 04/15/2015 DD 04/14/15	(15,872)	15,872
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.090% 04/21/2015 DD 04/20/15	(63,773)	63,773
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/30/2015 DD 04/29/15	(295,226)	295,226
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 04/28/2015 DD 04/27/15	(273,900)	273,900
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.060% 04/29/2015 DD 04/28/15	(354,526)	354,526
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.070% 05/13/2015 DD 05/12/15	(117,317)	117,317
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 05/14/2015 DD 05/13/15	(216,691)	216,691
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.050% 05/20/2015 DD 05/19/15	(122,719)	122,719
GREENWICH CAPITAL REPO	GREENWICH CAPITAL REPO 0.080% 05/15/2015 DD 05/14/15	(145,374)	145,374

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
HALCON RESOURCES CORP 144A	HALCON RESOURCES CORP 144A 8.625% 02/01/2020 DD 05/01/15	\$(112,540)	\$97,125
HILL-ROM HOLDINGS INC 144A	HILL-ROM HOLDINGS INC 144A 5.750% 09/01/2023 DD 09/01/15	(31,000)	31,426
HONEYWELL INTL DISC	HONEYWELL INTL DISC 09/21/2015	(414,564)	414,892
ING FINL REPO	ING FINL REPO 0.080% 04/27/2015 DD 04/24/15	(8,086,115)	8,086,115
INTERNATIONAL GAME TECHNO 144A	INTERNATIONAL GAME TECHNO 144A 5.625% 02/15/2020 DD 02/13/15	(248,000)	248,000
JARDEN CORP 144A	JARDEN CORP 144A 5.000% 11/15/2023 DD 10/30/15	(38,000)	38,665
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 01/04/2016	(1,297,240)	1,297,333
JUPITER SEC CORP DISC	JUPITER SEC CORP DISC 11/05/2015	(1,098,313)	1,099,137
KRAFT HEINZ FOODS CO 144A	KRAFT HEINZ FOODS CO 144A 5.200% 07/15/2045 DD 07/02/15	(567,754)	580,442
LANDESBANK HSN-TH DISC	LANDESBANK HSN-TH DISC 10/20/2015	(699,510)	699,528
LEVI STRAUSS & CO 144A	LEVI STRAUSS & CO 144A 5.000% 05/01/2025 DD 04/27/15	(45,000)	45,619

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
LLOYDS BK PLC DISC	LLOYDS BK PLC DISC 09/24/2015	\$(1,798,942)	\$1,798,934
LMA SA & LMA AMER DISC	LMA SA & LMA AMER DISC 10/07/2015	(1,199,111)	1,199,004
MALLINCKRODT INTERNATIONAL 144A	MALLINCKRODT INTERNATIONAL 144A 5.500% 04/15/2025 DD 04/15/15	(44,000)	44,935
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 01/14/2016	(999,275)	999,275
MANHATTAN AST FDG DISC	MANHATTAN AST FDG DISC 10/16/2015	(549,863)	549,863
MASONITE INTERNATIONAL C 144A	MASONITE INTERNATIONAL C 144A 5.625% 03/15/2023 DD 03/23/15	(28,000)	28,350
MEDNAX INC 144A	MEDNAX INC 144A 5.250% 12/01/2023 DD 12/08/15	(62,000)	62,543
MERLIN PROPERTIES SOCIMI SA	MERLIN PROPERTIES SOCIMI SA	-	169,735
MOTA-ENGIL SGPS SA	MOTA-ENGIL SGPS SA	-	1,232
NATIONAL BK CANADA DISC	NATIONAL BK CANADA DISC 11/23/2015	(1,098,086)	1,098,665
NATIXIS NY BRH DISC	NATIXIS NY BRH DISC 08/11/2015	(1,099,353)	1,099,876

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
NEDERLANSE WATER DISC	NEDERLANSE WATER DISC 05/20/2015	\$(349,849)	\$349,849
NEDERLANSE WATER DISC	NEDERLANSE WATER DISC 08/03/2015	(1,664,457)	1,664,351
NETFLIX INC 144A	NETFLIX INC 144A 5.500% 02/15/2022 DD 02/05/15	(88,000)	90,828
NETFLIX INC 144A	NETFLIX INC 144A 5.875% 02/15/2025 DD 02/05/15	(118,000)	123,310
NIEUW AMSTERDAM DISC	NIEUW AMSTERDAM DISC 12/08/2015	(874,694)	874,694
NIEUW AMSTERDAM REC DISC	NIEUW AMSTERDAM DISC	(339,941)	339,941
NORDEA BANK AB DISC	NORDEA BANK AB DISC 09/16/2015	(449,363)	449,894
NORDEA BANK AB DISC	NORDEA BANK AB DISC 10/13/2015	(369,451)	369,835
NRW BANK DISC	NRW BANK DISC 01/07/2016	(1,549,079)	1,549,079
OLD LINE FDG CORP DISC	OLD LINE FDG CORP DISC 09/01/2015	(699,487)	699,813
OLD LINE FDG CORP DISC	OLD LINE FDG CORP DISC 09/03/2015	(299,592)	299,929

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
OVERSEA CHINESE DISC	OVERSEA CHINESE DISC 09/23/2015	\$(1,339,221)	\$1,339,221
PFIZER INC DISC	PFIZER INC DISC 09/21/2015	(399,622)	399,913
PHILIP MORRIS DISC	PHILIP MORRIS DISC 06/16/2015	(1,649,733)	1,649,733
PLAYA RESORTS HOLDING BV 144A	PLAYA RESORTS HOLDING BV 144A 8.000% 08/15/2020 DD 08/09/13	(156,750)	153,000
PORSCHE INNOVATIVE L 1 A2 144A	PORSCHE INNOVATIVE L 1 A2 144A 0.790% 11/21/2017 DD 05/20/15	(359,968)	358,875
PRUDENTIAL PLC DISC	PRUDENTIAL PLC DISC 11/02/2015	(848,397)	849,412
QUINTILES TRANSNATIONAL	QUINTILES TRANSNATIONAL 4.875% 05/15/2023 DD 05/12/15	(75,000)	75,281
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 01/05/2015 DD 01/02/15	(42,528)	42,528
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/09/2015 DD 01/08/15	(48,880)	48,880
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/12/2015 DD 01/09/15	(75,918)	75,918
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/08/2015 DD 01/07/15	(22,765)	22,765

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 01/16/2015 DD 01/15/15	\$(40,150)	\$40,150
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/14/2015 DD 01/13/15	(43,972)	43,972
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/15/2015 DD 01/14/15	(68,673)	68,673
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/26/2015 DD 01/23/15	(26,414)	26,414
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 01/20/2015 DD 01/16/15	(20,308)	20,308
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/21/2015 DD 01/20/15	(185,159)	185,159
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 01/23/2015 DD 01/22/15	(69,161)	69,161
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 02/13/2015 DD 02/12/15	(2,852)	2,852
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 02/09/2015 DD 02/06/15	(20,785)	20,785
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/12/2015 DD 02/11/15	(4,303)	4,303
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/23/2015 DD 02/20/15	(16,235)	16,235

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 02/17/2015 DD 02/13/15	\$(18,619)	\$18,619
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/18/2015 DD 02/17/15	(128,578)	128,578
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 02/19/2015 DD 02/18/15	(127,767)	127,767
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 08/21/2015 DD 08/20/15	(419,221)	419,221
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 08/27/2015 DD 08/26/15	(117,600)	117,600
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 08/24/2015 DD 08/21/15	(375,356)	375,356
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 08/25/2015 DD 08/24/15	(565,909)	565,909
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 08/28/2015 DD 08/27/15	(341,721)	341,721
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 08/31/2015 DD 08/28/15	(212,762)	212,762
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 09/10/2015 DD 09/09/15	(210,732)	210,732
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 09/03/2015 DD 09/02/15	(130,027)	130,027

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 09/04/2015 DD 09/03/15	\$(135,687)	\$135,687
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 09/08/2015 DD 09/04/15	(110,785)	110,785
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 09/18/2015 DD 09/17/15	(90,184)	90,184
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 09/28/2015 DD 09/25/15	(83,133)	83,133
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/05/2015 DD 10/02/15	(202,863)	202,863
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/01/2015 DD 09/30/15	(598,191)	598,191
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 10/01/2015 DD 09/30/15	(3,084,541)	3,084,541
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/02/2015 DD 10/01/15	(264,556)	264,556
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 02/24/2015 DD 02/23/15	(150,934)	150,934
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/06/2015 DD 03/05/15	(322,200)	322,200
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	(494,224)	494,224

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 03/04/2015 DD 03/03/15	\$(488,103)	\$488,103
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 03/05/2015 DD 03/04/15	(627,261)	627,261
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/13/2015 DD 03/12/15	(458,663)	458,663
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(393,563)	393,563
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(965,069)	965,069
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(755,521)	755,521
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/12/2015 DD 03/11/15	(732,420)	732,420
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 03/19/2015 DD 03/18/15	(1,606,906)	1,606,906
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(1,437,389)	1,437,389
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/16/2015 DD 03/13/15	(811,007)	811,007
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 03/17/2015 DD 03/16/15	(1,352,244)	1,352,244

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	\$(1,560,076)	\$1,560,076
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/26/2015 DD 03/25/15	(492,565)	492,565
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/27/2015 DD 03/26/15	(150,954)	150,954
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/23/2015 DD 03/20/15	(745,470)	745,470
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(1,149,171)	1,149,171
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 03/25/2015 DD 03/24/15	(613,449)	613,449
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/02/2015 DD 04/01/15	(769,257)	769,257
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/30/2015 DD 03/27/15	(4,936,342)	4,936,342
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 03/31/2015 DD 03/30/15	(3,822,100)	3,822,100
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 04/01/2015 DD 03/31/15	(35,041)	35,041
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/10/2015 DD 04/09/15	(216,255)	216,255

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/06/2015 DD 04/02/15	\$(55,625)	\$55,625
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/07/2015 DD 04/06/15	(47,947)	47,947
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 04/08/2015 DD 04/07/15	(451,174)	451,174
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/09/2015 DD 04/08/15	(450,226)	450,226
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 04/16/2015 DD 04/15/15	(96,149)	96,149
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/17/2015 DD 04/16/15	(449,279)	449,279
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/13/2015 DD 04/10/15	(174,152)	174,152
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/14/2015 DD 04/13/15	(88,477)	88,477
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/15/2015 DD 04/14/15	(165,525)	165,525
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/23/2015 DD 04/22/15	(2,697,271)	2,697,271
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/24/2015 DD 04/23/15	(12,726,079)	12,726,079

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 04/20/2015 DD 04/17/15	\$(290,473)	\$290,473
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/22/2015 DD 04/21/15	(1,623,886)	1,623,886
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/30/2015 DD 04/29/15	(12,214,720)	12,214,720
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/27/2015 DD 04/24/15	(12,010,637)	12,010,637
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 04/28/2015 DD 04/27/15	(14,264,898)	14,264,898
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 04/29/2015 DD 04/28/15	(15,203,934)	15,203,934
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/07/2015 DD 05/06/15	(6,555,416)	6,555,416
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.110% 05/01/2015 DD 04/30/15	(12,645,054)	12,645,054
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 05/04/2015 DD 05/01/15	(5,617,905)	5,617,905
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/05/2015 DD 05/04/15	(8,433,022)	8,433,022
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/06/2015 DD 05/05/15	(10,578,905)	10,578,905

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/13/2015 DD 05/12/15	\$(2,686,108)	\$2,686,108
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/14/2015 DD 05/13/15	(5,227,146)	5,227,146
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/11/2015 DD 05/08/15	(3,443,583)	3,443,583
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/12/2015 DD 05/11/15	(1,845,898)	1,845,898
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(3,859,215)	3,859,215
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/21/2015 DD 05/20/15	(3,111,383)	3,111,383
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/15/2015 DD 05/14/15	(3,944,644)	3,944,644
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/18/2015 DD 05/15/15	(1,765,384)	1,765,384
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/19/2015 DD 05/18/15	(3,106,325)	3,106,325
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/28/2015 DD 05/27/15	(4,085,445)	4,085,445
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/22/2015 DD 05/21/15	(4,086,484)	4,086,484

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 05/26/2015 DD 05/22/15	\$(5,233,618)	\$5,233,618
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 05/27/2015 DD 05/26/15	(5,042,538)	5,042,538
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 05/29/2015 DD 05/28/15	(3,614,851)	3,614,851
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/11/2015 DD 06/10/15	(626,847)	626,847
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/08/2015 DD 06/05/15	(715,887)	715,887
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/17/2015 DD 06/16/15	(964,629)	964,629
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/18/2015 DD 06/17/15	(294,631)	294,631
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/12/2015 DD 06/11/15	(580,074)	580,074
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 06/15/2015 DD 06/12/15	(396,671)	396,671
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 06/16/2015 DD 06/15/15	(490,754)	490,754
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 06/24/2015 DD 06/23/15	(434,443)	434,443

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/19/2015 DD 06/18/15	\$(394,971)	\$394,971
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 06/22/2015 DD 06/19/15	(372,526)	372,526
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 06/23/2015 DD 06/22/15	(671,941)	671,941
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 07/01/2015 DD 06/30/15	(389,301)	389,301
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 06/25/2015 DD 06/24/15	(778,483)	778,483
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 06/26/2015 DD 06/25/15	(983,210)	983,210
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 07/08/2015 DD 07/07/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/15/2015 DD 07/14/15	(5,176,016)	5,176,016
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 07/09/2015 DD 07/08/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(20,000,000)	20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 07/13/2015 DD 07/10/15	(20,000,000)	20,000,000

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/14/2015 DD 07/13/15	\$(20,000,000)	\$20,000,000
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 07/16/2015 DD 07/15/15	(442,745)	442,745
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 07/17/2015 DD 07/16/15	(95,161)	95,161
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 07/20/2015 DD 07/17/15	(466,683)	466,683
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/09/2015 DD 10/08/15	(3,196,182)	3,196,182
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/06/2015 DD 10/05/15	(263,482)	263,482
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/07/2015 DD 10/06/15	(5,548,677)	5,548,677
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(3,118,978)	3,118,978
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 10/19/2015 DD 10/16/15	(1,209,591)	1,209,591
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/13/2015 DD 10/09/15	(2,121,821)	2,121,821
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/14/2015 DD 10/13/15	(1,909,505)	1,909,505

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 10/15/2015 DD 10/14/15	\$(2,134,019)	\$2,134,019
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 10/16/2015 DD 10/15/15	(1,231,421)	1,231,421
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(1,784,756)	1,784,756
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/20/2015 DD 10/19/15	(961,824)	961,824
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 10/21/2015 DD 10/20/15	(2,078,048)	2,078,048
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/22/2015 DD 10/21/15	(1,454,071)	1,454,071
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/29/2015 DD 10/28/15	(1,016,147)	1,016,147
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/30/2015 DD 10/29/15	(423,464)	423,464
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(972,935)	972,935
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 10/27/2015 DD 10/26/15	(775,548)	775,548
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 10/28/2015 DD 10/27/15	(607,452)	607,452

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/05/2015 DD 11/04/15	\$(1,491,510)	\$1,491,510
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/04/2015 DD 11/03/15	(924,301)	924,301
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/12/2015 DD 11/10/15	(859,892)	859,892
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 11/13/2015 DD 11/12/15	(815,865)	815,865
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.080% 11/06/2015 DD 11/05/15	(948,646)	948,646
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/09/2015 DD 11/06/15	(812,911)	812,911
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/10/2015 DD 11/09/15	(928,617)	928,617
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(392,699)	392,699
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(746,334)	746,334
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 11/17/2015 DD 11/16/15	(449,860)	449,860
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	(9,923)	9,923

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.090% 11/30/2015 DD 11/27/15	\$(93,219)	\$93,219
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.100% 12/08/2015 DD 12/07/15	(113,092)	113,092
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.070% 12/03/2015 DD 12/02/15	(113,454)	113,454
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.120% 12/16/2015 DD 12/15/15	(97,069)	97,069
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.160% 12/17/2015 DD 12/16/15	(82,739)	82,739
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.310% 12/30/2015 DD 12/29/15	(61,583)	61,583
RBS PLC CAT 1 REPO	RBS PLC CAT 1 REPO 0.300% 12/31/2015 DD 12/30/15	(160,169)	160,169
RECKITT BECKISER DISC	RECKITT BECKISER DISC 11/12/2015	(998,736)	999,278
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 06/25/2015	(1,299,827)	1,299,814
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 09/16/2015	(524,924)	524,924
REGENCY MRKTS #1 DISC	REGENCY MRKTS #1 DISC 12/16/2015	(1,099,841)	1,099,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
ROYAL BANK OF SCOTLAND GROUP	ROYAL BANK OF SCOTLAND GROUP VAR RT 12/29/2049 DD 08/10/15	\$(222,000)	\$231,435
SABINE PASS LIQUEFACTION 144A	SABINE PASS LIQUEFACTION 144A 5.625% 03/01/2025 DD 03/03/15	(199,188)	191,531
SABRE GLBL INC 144A	SABRE GLBL INC 144A 5.375% 04/15/2023 DD 04/14/15	(50,000)	50,375
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(5,341)	5,341
SALI CAT 1 REPO	SALI CAT 1 REPO 0.040% 02/27/2015 DD 02/26/15	(22,198)	22,198
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/09/2015 DD 03/06/15	(10,253)	10,253
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	(10,534)	10,534
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/10/2015 DD 03/09/15	(20,254)	20,254
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/11/2015 DD 03/10/15	(10,977)	10,977
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/12/2015 DD 03/11/15	(11,678)	11,678
SALI CAT 1 REPO	SALI CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(12,270)	12,270

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SALI CAT 1 REPO	SALI CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	\$(14,228)	\$14,228
SALI CAT 1 REPO	SALI CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	(16,360)	16,360
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 03/23/2015 DD 03/20/15	(11,800)	11,800
SALI CAT 1 REPO	SALI CAT 1 REPO 0.060% 05/05/2015 DD 05/04/15	(7,969)	7,969
SALI CAT 1 REPO	SALI CAT 1 REPO 0.080% 05/14/2015 DD 05/13/15	(22,512)	22,512
SALI CAT 1 REPO	SALI CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(54,903)	54,903
SAMSON INVESTMENT CO	SAMSON INVESTMENT CO VAR RT 02/15/2020 DD 08/15/14	(43,290)	36,270
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC 8.125% 10/15/2022 DD 10/15/12	(49,665)	56,210
SANDRIDGE ENERGY INC	SANDRIDGE ENERGY INC 7.500% 02/15/2023 DD 08/20/12	(56,395)	67,680
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 01/12/2015 DD 01/09/15	(17,854)	17,854
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 01/14/2015 DD 01/13/15	(21,548)	21,548

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 01/15/2015 DD 01/14/15	\$(33,992)	33,992
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 01/20/2015 DD 01/16/15	(11,303)	11,303
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 02/09/2015 DD 02/06/15	(56,558)	56,558
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 02/10/2015 DD 02/09/15	(113,132)	113,132
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/23/2015 DD 02/20/15	(70,965)	70,965
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 02/18/2015 DD 02/17/15	(74,451)	74,451
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 02/19/2015 DD 02/18/15	(59,776)	59,776
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 08/07/2015 DD 08/06/15	(149,591)	149,591
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 08/21/2015 DD 08/20/15	(722,244)	722,244
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 08/27/2015 DD 08/26/15	(161,290)	161,290
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 08/24/2015 DD 08/21/15	(498,073)	498,073

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 08/25/2015 DD 08/24/15	\$(636,604)	\$636,604
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 09/02/2015 DD 09/01/15	(158,186)	158,186
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 08/28/2015 DD 08/27/15	(399,565)	399,565
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 08/31/2015 DD 08/28/15	(335,669)	335,669
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.130% 09/01/2015 DD 08/31/15	(11,576)	11,576
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/09/2015 DD 09/08/15	(171,459)	171,459
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 09/03/2015 DD 09/02/15	(143,319)	143,319
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 09/04/2015 DD 09/03/15	(176,383)	176,383
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/08/2015 DD 09/04/15	(139,393)	139,393
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 09/11/2015 DD 09/10/15	(140,871)	140,871
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 09/14/2015 DD 09/11/15	(186,521)	186,521

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/21/2015 DD 09/18/15	\$(119,546)	\$119,546
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/28/2015 DD 09/25/15	(155,147)	155,147
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 09/29/2015 DD 09/28/15	(94,363)	94,363
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 09/25/2015 DD 09/24/15	(135,804)	135,804
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/05/2015 DD 10/02/15	(136,428)	136,428
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/02/2015 DD 10/01/15	(359,401)	359,401
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/27/2015 DD 02/26/15	(119,428)	119,428
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/24/2015 DD 02/23/15	(85,415)	85,415
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/25/2015 DD 02/24/15	(115,362)	115,362
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.040% 02/26/2015 DD 02/25/15	(161,158)	161,158
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 03/06/2015 DD 03/05/15	(54,047)	54,047

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/03/2015 DD 03/02/15	\$(56,075)	\$56,075
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/04/2015 DD 03/03/15	(47,860)	47,860
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/05/2015 DD 03/04/15	(61,526)	61,526
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/13/2015 DD 03/12/15	(117,061)	117,061
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 03/09/2015 DD 03/06/15	(50,118)	50,118
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/10/2015 DD 03/09/15	(276,754)	276,754
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/11/2015 DD 03/10/15	(219,733)	219,733
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/12/2015 DD 03/11/15	(11,985)	11,985
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/20/2015 DD 03/19/15	(97,242)	97,242
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/16/2015 DD 03/13/15	(101,492)	101,492
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 03/17/2015 DD 03/16/15	(165,635)	165,635

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 03/18/2015 DD 03/17/15	\$(107,260)	\$107,260
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/23/2015 DD 03/20/15	(80,611)	80,611
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 03/24/2015 DD 03/23/15	(50,861)	50,861
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 03/25/2015 DD 03/24/15	(75,277)	75,277
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.150% 04/01/2015 DD 03/31/15	(2,865)	2,865
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 04/10/2015 DD 04/09/15	(14,289)	14,289
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 04/08/2015 DD 04/07/15	(14,976)	14,976
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 04/20/2015 DD 04/17/15	(12,915)	12,915
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/22/2015 DD 04/21/15	(137,041)	137,041
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 04/30/2015 DD 04/29/15	(810,142)	810,142
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/27/2015 DD 04/24/15	(291,760)	291,760

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/28/2015 DD 04/27/15	\$(996,638)	\$996,638
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 04/29/2015 DD 04/28/15	(985,534)	985,534
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 05/05/2015 DD 05/04/15	(209,160)	209,160
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 05/13/2015 DD 05/12/15	(459,938)	459,938
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 05/14/2015 DD 05/13/15	(767,528)	767,528
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/12/2015 DD 05/11/15	(160,523)	160,523
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 05/20/2015 DD 05/19/15	(365,031)	365,031
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 05/21/2015 DD 05/20/15	(243,161)	243,161
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 05/15/2015 DD 05/14/15	(682,975)	682,975
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 05/18/2015 DD 05/15/15	(12,065)	12,065
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/19/2015 DD 05/18/15	(400,008)	400,008

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 05/22/2015 DD 05/21/15	\$(204,768)	\$204,768
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 05/26/2015 DD 05/22/15	(230,892)	230,892
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/03/2015 DD 06/02/15	(119,484)	119,484
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/04/2015 DD 06/03/15	(404,318)	404,318
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 06/10/2015 DD 06/09/15	(236,767)	236,767
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 06/11/2015 DD 06/10/15	(564,777)	564,777
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/05/2015 DD 06/04/15	(279,775)	279,775
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/08/2015 DD 06/05/15	(879,788)	879,788
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/09/2015 DD 06/08/15	(550,761)	550,761
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/12/2015 DD 06/11/15	(492,501)	492,501
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 06/15/2015 DD 06/12/15	(269,331)	269,331

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 06/16/2015 DD 06/15/15	\$(274,243)	\$274,243
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 06/29/2015 DD 06/26/15	(270,342)	270,342
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/08/2015 DD 07/07/15	(259,613)	259,613
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/06/2015 DD 07/02/15	(362,039)	362,039
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/07/2015 DD 07/06/15	(588,580)	588,580
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/09/2015 DD 07/08/15	(1,350,151)	1,350,151
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 07/10/2015 DD 07/09/15	(1,107,384)	1,107,384
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 07/13/2015 DD 07/10/15	(896,680)	896,680
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 07/14/2015 DD 07/13/15	(469,547)	469,547
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 07/21/2015 DD 07/20/15	(247,326)	247,326
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/28/2015 DD 07/27/15	(246,775)	246,775

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 07/23/2015 DD 07/22/15	\$(213,110)	\$213,110
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 07/24/2015 DD 07/23/15	(158,029)	158,029
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/27/2015 DD 07/24/15	(142,758)	142,758
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.120% 07/29/2015 DD 07/28/15	(206,261)	206,261
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.150% 07/31/2015 DD 07/30/15	(230,519)	230,519
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/06/2015 DD 10/05/15	(414,126)	414,126
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/07/2015 DD 10/06/15	(8,329,637)	8,329,637
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 10/08/2015 DD 10/07/15	(4,609,998)	4,609,998
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 10/19/2015 DD 10/16/15	(2,241,909)	2,241,909
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/13/2015 DD 10/09/15	(3,279,954)	3,279,954
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 10/14/2015 DD 10/13/15	(3,145,017)	3,145,017

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.100% 10/15/2015 DD 10/14/15	\$(3,496,086)	\$3,496,086
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 10/16/2015 DD 10/15/15	(2,302,592)	2,302,592
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 10/23/2015 DD 10/22/15	(2,769,346)	2,769,346
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 10/20/2015 DD 10/19/15	(1,930,353)	1,930,353
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 10/21/2015 DD 10/20/15	(1,361,609)	1,361,609
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 10/22/2015 DD 10/21/15	(1,696,859)	1,696,859
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 10/26/2015 DD 10/23/15	(1,862,895)	1,862,895
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 10/27/2015 DD 10/26/15	(1,828,145)	1,828,145
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 10/28/2015 DD 10/27/15	(1,700,031)	1,700,031
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/05/2015 DD 11/04/15	(1,426,587)	1,426,587
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/03/2015 DD 11/02/15	(1,485,841)	1,485,841

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/04/2015 DD 11/03/15	\$(1,277,109)	\$1,277,109
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/12/2015 DD 11/10/15	(1,171,176)	1,171,176
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/13/2015 DD 11/12/15	(1,627,776)	1,627,776
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 11/06/2015 DD 11/05/15	(786,729)	786,729
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/09/2015 DD 11/06/15	(1,646,747)	1,646,747
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/10/2015 DD 11/09/15	(1,187,281)	1,187,281
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/18/2015 DD 11/17/15	(708,864)	708,864
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 11/16/2015 DD 11/13/15	(928,750)	928,750
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/17/2015 DD 11/16/15	(571,621)	571,621
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/19/2015 DD 11/18/15	(454,267)	454,267
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/20/2015 DD 11/19/15	(403,869)	403,869

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/23/2015 DD 11/20/15	\$(315,208)	\$315,208
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.080% 12/02/2015 DD 12/01/15	(377,404)	377,404
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.050% 11/25/2015 DD 11/24/15	(701,534)	701,534
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.070% 11/27/2015 DD 11/25/15	(1,026,518)	1,026,518
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.110% 11/30/2015 DD 11/27/15	(881,224)	881,224
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.060% 12/03/2015 DD 12/02/15	(427,737)	427,737
SG AMERICAS CAT 1 REPO	SG AMERICAS CAT 1 REPO 0.090% 12/04/2015 DD 12/03/15	(575,806)	575,806
SHEA HOMES LP/FNDG CP 144A	SHEA HOMES LP/FNDG CP 144A 5.875% 04/01/2023 DD 03/23/15	(29,000)	29,073
SHEA HOMES LP/FNDG CP 144A	SHEA HOMES LP/FNDG CP 144A 6.125% 04/01/2025 DD 03/23/15	(29,000)	29,109
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 05/19/2015	(299,881)	299,846
SKANDINAVISKA ENS DISC	SKANDINAVISKA ENS DISC 11/17/2015	(1,497,535)	1,498,547

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SOCIETE GENERALE DISC	SOCIETE GENERALE DISC 09/09/2015	\$(899,448)	\$899,678
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.050% 10/30/2015 DD 10/29/15	(1,995,473)	1,995,473
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.050% 10/29/2015 DD 10/28/15	(1,643,092)	1,643,092
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.090% 11/02/2015 DD 10/30/15	(643,910)	643,910
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/09/2015 DD 12/08/15	(257,673)	257,673
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.100% 12/07/2015 DD 12/04/15	(354,977)	354,977
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.120% 12/08/2015 DD 12/07/15	(308,609)	308,609
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.110% 12/15/2015 DD 12/14/15	(446,643)	446,643
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/10/2015 DD 12/09/15	(386,925)	386,925
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/11/2015 DD 12/10/15	(696,304)	696,304
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.100% 12/14/2015 DD 12/11/15	(268,824)	268,824

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.280% 12/21/2015 DD 12/18/15	\$(862,433)	\$862,433
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.250% 12/22/2015 DD 12/21/15	(765,552)	765,552
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.140% 12/16/2015 DD 12/15/15	(528,280)	528,280
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.270% 12/18/2015 DD 12/17/15	(349,535)	349,535
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/29/2015 DD 12/28/15	(192,938)	192,938
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/30/2015 DD 12/29/15	(548,351)	548,351
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.250% 12/23/2015 DD 12/22/15	(182,930)	182,930
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.290% 12/28/2015 DD 12/24/15	(175,503)	175,503
SOCIETE GENERALE REPO	SOCIETE GENERALE REPO 0.320% 12/31/2015 DD 12/30/15	(524,001)	524,001
SS&C TECH HOLDINGS INC 144A	SS&C TECH HOLDINGS INC 144A 5.875% 07/15/2023 DD 07/08/15	(15,000)	15,075
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 08/24/2015	(1,648,969)	1,648,969

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
SUMITOMO MTSU BKG DISC	SUMITOMO MTSU BKG DISC 12/17/2015	\$(399,690)	\$399,690
SUNGARD DATA SYSTEMS INC	SUNGARD DATA SYSTEMS INC 6.625% 11/01/2019 DD 05/01/13	(358,994)	369,200
SVENSKA HDLSBK DISC	SVENSKA HDLSBK DISC 08/24/2015	(334,559)	334,493
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 06/30/2015	(424,663)	424,615
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 10/06/2015	(899,235)	899,419
SWEDBANK (FOR AB) DISC	SWEDBANK (FOR AB) DISC 11/02/2015	(899,094)	899,283
THUNDER BAY FDG DISC	THUNDER BAY FDG DISC 09/03/2015	(928,734)	929,279
T-MOBILE USA INC	T-MOBILE USA INC 6.500% 01/15/2026 DD 11/05/15	(224,000)	227,080
TOYOTA MTR CR CP DISC	TOYOTA MTR CR CP DISC 04/15/2015	(449,844)	449,844
UNITED OVERSEAS DISC	UNITED OVERSEAS DISC 09/14/2015	(374,456)	374,906
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP JUN 15	-	(18,128)

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP SEP 15	\$-	\$7,881
US 10YR TREAS NTS FUTURE (CBT)	US 10YR TREAS NTS FUTURE (CBT) EXP DEC 15	-	35,508
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP JUN 15	-	22,589
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP SEP 15	-	(7,910)
US 5YR TREAS NTS FUTURE (CBT)	US 5YR TREAS NTS FUTURE (CBT) EXP DEC 15	-	11,302
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP JUN 15	-	(379,529)
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP SEP 15	-	165,168
US ULTRA BOND (CBT)	US ULTRA BOND (CBT) EXP DEC 15	-	(86,248)
USG CORP 144A	USG CORP 144A 5.500% 03/01/2025 DD 02/24/15	(15,000)	15,113
VALEANT PHARMACEUTICALS I 144A	VALEANT PHARMACEUTICALS I 144A 6.750% 08/15/2018 DD 07/12/13	(118,320)	111,360
VERIZON COMMUNIATIONS	VERIZON COMMUNIATIONS 4.522% 09/15/2048 DD 03/13/15	(654)	654

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	PROCEEDS OF DISPOSITIONS
VERIZON COMMUNICATIONS IN 144A	VERIZON COMMUNICATIONS IN 144A 4.522% 09/15/2048 DD 03/13/15	\$(972,312)	\$1,082,411
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 08/28/2015	(999,489)	999,489
VICTORY RECVBLS DISC	VICTORY RECVBLS DISC 11/02/2015	(549,778)	549,731
VISANT 9/14 COV-LITE TL	VISANT 9/14 COV-LITE TL	(488,775)	486,281
VISANT HOLDING CORP 1L GTD SR	VISANT HOLDING CORP 1L GTD SR	488,775	(486,281)
VOLKSWAGEN GROUP OF AMERI 144A	VOLKSWAGEN GROUP OF AMERI 144A VAR RT 11/22/2016 DD 05/22/15	(445,000)	433,927
WELLS FARGO & CO	WELLS FARGO & CO VAR RT 10/28/2015 DD 10/28/05	(210,155)	209,995
WESTPAC BANKING DISC	WESTPAC BANKING DISC 01/04/2016	(798,126)	798,530
WHITING CANADIAN HOLDING CO UL	WHITING CANADIAN HOLDING CO UL 8.125% 12/01/2019 DD 06/01/12	(313,125)	313,500
WYNN MACAU LTD 144A	WYNN MACAU LTD 144A 5.250% 10/15/2021 DD 10/16/13	(188,000)	189,500
ZF NA CAPITAL 144A	ZF NA CAPITAL 144A 4.500% 04/29/2022 DD 04/29/15	(153,853)	155,775

ROCKWELL COLLINS PENSION PLAN # 001

FORM 5500, SCHEDULE H, PART IV, LINE 4i SCHEDULE OF
ASSETS (ACQUIRED AND DISPOSED OF WITHIN THE YEAR)
AS OF DECEMBER 31, 2015

IDENTITY OF ISSUE, BORROWER, LESSOR, OR SIMILAR PARTY PROCEEDS OF DISPOSITIONS	DESCRIPTION OF INVESTMENT, INCLUDING MATURITY DATE, RATE OF INTEREST, COLLATERAL, PAR OR MATURITY VALUE	COSTS OF ACQUISITIONS	
ZF NA CAPITAL 144A	ZF NA CAPITAL 144A 4.750% 04/29/2025 DD 04/29/15	\$(148,530)	\$150,750
ZF NORTH AMERICA CAPITAL 144A	ZF NORTH AMERICA CAPITAL 144A 4.000% 04/29/2020 DD 04/29/15	(299,910)	302,625

Attachment to 2015 Schedule SB, Line 32
Schedule of Amortization Bases as of January 1, 2015

ROCKWELL COLLINS, INC.
ROCKWELL COLLINS PENSION PLAN

Type of Base		Date Established	Remaining Amortization Period (Years)	Outstanding Balance	Amortization Payment
1	Shortfall	01/01/2015	7.00000	23,499,431	3,908,026
2	Shortfall	01/01/2014	6.00000	(210,232,978)	(39,573,043)
3	Shortfall	01/01/2013	5.00000	(107,911,646)	(23,617,496)
4	Shortfall	01/01/2012	4.00000	(92,723,453)	(24,808,287)
5	Shortfall	01/01/2011	3.00000	39,526,048	13,787,467
6	Shortfall	01/01/2010	10.00000	258,553,081	35,360,373
7	Shortfall	01/01/2009	8.00000	94,226,180	15,673,843
8	Shortfall	01/01/2008	0.00000	0	0
Total				4,976,663	0