Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2017

This Form is Open to Public Inspection

Part I		Identification Information						
For calend	lar plan year 2017 or f	iscal plan year beginning 01/01/201	7	and ending 1	2/31/2017			
A This re	turn/report is for:	a single-employer plan	¬ ' ' ~	an (not multiemployer) (aployer information in ac				
B This ret	urn/report is	a one-participant plan	a foreign plan					
the first return/report the final return/report the final return/report (less than 12 months)								
C Check	box if filing under:	Form 5558	automatic extension		DFVC program			
		special extension (enter descript	ion)					
Part II	Basic Plan Info	ormation—enter all requested inform	mation					
1a Name	of plan	·			1b Three-digit			
UROLOGY	NORTHWEST, P.S. 4	01(K) PROFIT SHARING PLA			plan number			
					(PN) •	001		
					1c Effective date of 01/0	of plan 1/2002		
Mailin	g address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. E		ustions)	2b Employer Ident (EIN) 91-1	ification Number 685391		
	NORTHWEST, P.S.	ce, country, and ZIP or foreign postal o	code (ii foreign, see insti	uctions)	2c Sponsor's telep			
					2d Business code	(see instructions)		
	I STREET SW STE 11 Œ TERRACE, WA 980				621111			
WOONTLAN	C TERRACE, WA 900	J43-3400						
3a Plan a	administrator's name a	nd address X Same as Plan Sponso	or.		3b Administrator's	EIN		
					3c Administrator's	telephone number		
						·		
		e plan sponsor or the plan name has onsor's name, EIN, the plan name and			4b EIN			
	sor's name	onson's name, Lint, the plan name and	tile plati flumber from ti	ie iast return/report.	4d PN			
C Plan N	Name							
5a Total	number of participants	s at the beginning of the plan year			5a	35		
b Total	number of participants	s at the end of the plan year			5b	29		
		account balances as of the end of the			5c 29			
d(1) Total number of active participants at the beginning of the plan year					5d(1) 17			
d(2) Total number of active participants at the end of the plan year				5d(2) 0				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested					5e	0		
Caution:	A penalty for the late	or incomplete filing of this return/re	eport will be assessed	unless reasonable ca				
SB or Sch		ther penalties set forth in the instruction and signed by an enrolled actuary, as wellete.						
SIGN		d/valid electronic signature.	02/27/2018	KARNY JACOBY, M.I	D.			
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as plan ad	ministrator		
SIGN								

Date

Signature of employer/plan sponsor

Enter name of individual signing as employer or plan sponsor

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_	Were all of the plan's assets during the plan year invested in eligib Are you claiming a waiver of the annual examination and report of	an indepe	ndent qualified public a	account	ant (IC	PA)			
С	under 29 CFR 2520.104-46? (See instructions on waiver eligibility of the your answered "No" to either line 6a or line 6b, the plan cann. If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the	n ot use Fo nsurance p	rm 5500-SF and mus program (see ERISA se	t instea ection 4	ad use 021)?	Form	15500.] Yes □ N	lo Not de	termined
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) E	nd of Year	
a	Total plan assets	. 7a	29	20406				2021114	ļ.
b	Total plan liabilities	. 7b							
С	Net plan assets (subtract line 7b from line 7a)	. 7c	29.	20406				2021114	1
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	nt			(1	o) Total	
а 	Contributions received or receivable from: (1) Employers	. 8a(1)		16298					
	(2) Participants	. 8a(2)	:	23466					
	(3) Others (including rollovers)	. 8a(3)							
b	Other income (loss)	. 8b	3	62895					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						402659)
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	12	86722					
e	Certain deemed and/or corrective distributions (see instructions)	. 8e							
f	Administrative service providers (salaries, fees, commissions)	. 8f		15229					
g	Other expenses	. 8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					1301951		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						-899292	2
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3B	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in the	instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acteris	tic Co	des in the ir	structions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	t? (Do not	include transactions	10b		X			
С	Was the plan covered by a fidelity bond?			10c	Х			350	0000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bo	nd, that was caused	10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	her person ne or all of	s by an insurance the benefits under	10e		X			
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X			
g		-	•	10g		Χ			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	•		10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	. Y	es No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of			es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter Year	ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No)
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3)	PN(s)

Multiple-Employer Plan Participating Employer Information

Urology Northwest, P.S. 401(k) Profit Sharing Plan

EIN: 91-1685391

PN: 001

Name of Participating Employer	EIN	Percent of Total Contributions
Urology Northwest, P.S.	91-1685391	93%
Integrity Medical Research, LLC	91-1986008	7%

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Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part i	Annual Repo	t Identification Information			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
For calend	dar plan year 2017 or	fiscal plan year beginning 01	/01/2017	and ending	12/31/2	017		
A This re	eturn/report is for:	a single-employer plan	a multiple-employer p list of participating e	lan (not multiemployer) mployer information in a	(Filers checking the	nis box must attach a		
D This	home to a control	a one-participant plan	a foreign plan		, - , ,	o verm moudouoner,		
D Inis rei	turn/report is		the final return/report					
C Charle	have to discuss of the	rn/report (less than 12 m	nonths)					
C Check	box if filing under:	Form 5558 special extension (enter description	automatic extension		DFVC program	m		
Part II	Basic Plan Int	ormation—enter all requested information						
1a Name		of mation—enter all requested information	ation		dl- =			
	•	P.S. 401(K) PROFIT SHARI	NG PLA		1b Three-digit plan numb (PN) ▶	1		
					1c Effective d			
Mailin	g address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.O. Bo ice, country, and ZIP or foreign postal co	X)	t' \	2b Employer I (EIN) 91-	dentification Number 1685391		
UROLOG	Y NORTHWEST,	P.S.	de (II foreign, see inst	ructions)	2c Sponsor's 425-275-	telephone number		
6005 244TH STREET SW STE 111					2d Business code (see instructions) 621111			
MOUNTLE	AKE TERRACE	WA 98043-5400						
3a Pian a	dministrator's name	and address X Same as Plan Sponsor.			3b Administrat	or's EIN		
	- 12//				3c Administrat	or's telephone number		
4 If the r	name and/or EIN of ti lan, enter the plan sp	ne plan sponsor or the plan name has chonsor's name, EIN, the plan name and th	anged since the last r le plan number from t	eturn/report filed for he last return/report.	4b EIN			
a Spons c Plan N	or's name			_	4d PN			
- 1 10.71	iame							
5a Total i	number of participant	s at the beginning of the plan year			5a	35		
b Total i	number of participant	s at the end of the plan year	***************************************		5b	29		
C Numb compi	lata thic itam)	account balances as of the end of the p	an year (only defined	contribution plans	5c	29		
		articipants at the beginning of the plan ye		<u> </u>	5d(1)	17		
d(2) Tota	al number of active p	articipants at the end of the plan year	•••••		5d(2)	0		
<u>th</u> an :	100% vested	terminated employment during the plan			5e	0		
Caution: A	penalty for the late	or incomplete filing of this return/repo	ort will be assessed	uniess reasonable cau	ise is established	1		
SB or Sche	atties of perjury and c edule MB completed a true, correct, and corr	ther penalties set forth in the instructions and signed by an enrolled actuary, as we uplete.	, I declare that I have I as the electronic ver	examined this return/report	port, including, if a i, and to the best o	pplicable, a Schedule of my knowledge and		
SIGN HERE		Jacob	22718	KARNY JACOBY,	M.D.			
SIGN	Signature of plan	administrator	Date	Enter name of individu	ual signing as plan	administrator		
HERE	Signature of empl	werinian enoncor	Dete	Estanta de la Companya de la Company		<u> </u>		
E. D	Cignature of empl	zyerzpian sponsor	Date	Enter name of individu	iai signing as emp	loyer or plan sponsor		

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6a b	Were all of the plan's assets during the plan year invested in eligit Are you claiming a waiver of the annual examination and report of under 29 CFR 2520.104-46? (See instructions on waiver eligibility	an indeperand	endent qualified public itions.)	accour	ntant (i	QPA)			∕es ∏ No
С	If you answered "No" to either line 6a or line 6b, the plan cannot the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the plan is a defined benefit plan, is it covered under the PBGC in the plan is a defined benefit plan, is it covered under the PBGC in the plan cannot be plan in the plan is a defined benefit plan.	n ot use F onsurance	orm 5500-SF and mu program (see ERISA s	st inste section	e <mark>ad us</mark> 4021)?	e Forr	7 n 5500. ☐ Yes ☐ No	☐ Not o	determined structions.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Yea	,		(b) End	of Year	
a	Total plan assets	. 7a		,920,			(D) Life		021,114
	Total plan liabilities			, , ,					021,11
	Net plan assets (subtract line 7b from line 7a)	7c	2	,920,	406			2.	021,114
8	Income, Expenses, and Transfers for this Plan Year	1	(a) Amou				/b) "	 Γotal	
a 	Contributions received or receivable from: (1) Employers	8a(1)			298		(6)	Otal	
	(2) Participants	8a(2)		23,	466				·
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b		362,	895		·		
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							402,659
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1,	.286,	722				
_	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		15,	229	•			
	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1,	301,951
	Net income (loss) (subtract line 8h from line 8c)	8i							899,292
j	Transfers to (from) the plan (see instructions)	8 j							
	t IV Plan Characteristics								
	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2T 3B								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Char	acteris	tic Cod	des in the instru	ictions:	
Part	t V Compliance Questions								
10	During the plan year:			* *	Yes	No	,	Amount	
a	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		Х			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not i	nclude transactions	10b		Х			
C	Was the plan covered by a fidelity bond?			10c	Х			-	350,000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?	fidelity bor	nd, that was caused	10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides somethe plan? (See instructions.)	er persons	s by an insurance the benefits upder	10e		х			
f	Has the plan failed to provide any benefit when due under the plan	າ?		10f		Х			
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-e	nd.)	10g		X			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	See instru	ctions and 29 CFR	10g 10h		X			
i	If 10h was answered "Yes," check the box if you either provided th exceptions to providing the notice applied under 29 CFR 2520.101	e required	notice or one of the	10i					