Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2016

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Parti	Annuai Report i	dentification information						
For calendar	olan year 2016 or fisc	cal plan year beginning 01/01/2	2016 and ending 1	2/31/2016				
A This return	n/report is for:	a single-employer plan a one-participant plan	list of participating employer information in accordance with the form instructions.)					
B This return	/report is	the first return/report an amended return/report	the final return/report a short plan year return/report (less than 12 m	nonths)				
C Check box	c if filing under:	Form 5558	automatic extension	DFVC program				
Part II	Basic Plan Infor	rmation—enter all requested inf	formation					
1a Name of	plan		EE RETIREMENT SAVINGS PLAN	1b Three-digit plan number (PN) ▶	001			
				1c Effective date	e of plan /01/1989			
Mailing a City or to	ddress (include room wn, state or province	rer, if for a single-employer plan) n, apt., suite no. and street, or P.C a, country, and ZIP or foreign postents CORPORATION	D. Box) al code (if foreign, see instructions)	2b Employer Ide (EIN) 91 2c Sponsor's tel	ntification Number -1158048			
01 SECOND A SEATTLE, WA	AVENUE, SUITE 110 98104-3805	ı			e (see instructions) 1990			
3a Plan adm	iinistrator's name and	d address ⊠ Same as Plan Spor	nsor.	3b Administrator 3c Administrator	's telephone number			
name, E	IN, and the plan num	plan sponsor has changed since her from the last return/report.	the last return/report filed for this plan, enter the	4b EIN				
a Sponsor's				4c PN 5a	93			
_				5b	90			
		cinants with account halprees as of the and of the plan year (only defined contribution plans			51			
complete	this item)			5c				
d(1) Total r	number of active part	icipants at the beginning of the pl	an year	5d(1)	75			
			ar	5d(2)	74			
		. ,	e plan year with accrued benefits that were less	5e	(
			n/report will be assessed unless reasonable ca					
SB or Schedu	es of perjury and oth le MB completed and e correct, and complete	d signed by an enrolled actuary, a	ctions, I declare that I have examined this return/reas well as the electronic version of this return/report	eport, including, if apport, and to the best of	olicable, a Schedule my knowledge and			

SIGN HERE	Filed with authorized/valid electronic signature.	03/14/2018	STEFFEN NELSON	STEFFEN NELSON			
	Signature of plan administrator	Date	Enter name of individ	dual signing as plan administrator			
HERE	Signature of employer/plan sponsor	Date	Enter name of individ	dual signing as employer or plan sponsor			
Preparer's name (including firm name, if applicable) and address (include room			mber)	Preparer's telephone number			

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	Were all of the plan's assets during the plan year invested in eligib		` ,						X Ye	es No	
b	b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Ye	es 🗌 No		
_	If you answered "No" to either line 6a or line 6b, the plan cann					_	-	_	□ N	ta marta a d	
	If the plan is a defined benefit plan, is it covered under the PBGC ir	nsurance p	orogram (see ERISA se	ection 4	021)?		Yes	∐ NO	☐ Not de	termined	
Pa	rt III Financial Information	1	Ι								
	Plan Assets and Liabilities	_	(a) Beginning	of Year 965317				(b) End	of Year 208422	07	
_ <u>a</u>	Total plan assets	7a	1	905517	+					12	
	Total plan liabilities	7b	1	965317	,				208418		
	Net plan assets (subtract line 7b from line 7a)	7c									
<u>8</u> a	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amour	<u>it</u>				(b) T	otal		
а	(1) Employers	8a(1)		48731							
	(2) Participants	8a(2)		124353							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b		96174							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				269258				58	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		131737	,						
е	Certain deemed and/or corrective distributions (see instructions).	8e		8574							
f	Administrative service providers (salaries, fees, commissions)	8f		10079)						
g	Other expenses	8g									
h	h Total expenses (add lines 8d, 8e, 8f, and 8g)							150390			
i	Net income (loss) (subtract line 8h from line 8c)	8i						118868			
j	Transfers to (from) the plan (see instructions)	8j									
Pa	Part IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D 2T 2A	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the inst	ructions:		
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	des from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	uctions:		
Par	t V Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amoun	t	
а	Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's Normal Program)	oluntary F	iduciary Correction	10a		X					
b	,	t? (Do not	include transactions	10b		X					
	C Was the plan covered by a fidelity bond?			10c	Χ					159000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X					
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X					
<u>_</u>	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X					24117	
h	2520.101-3.)	` 		10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							

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Part	VI	Pension Funding Compliance						
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)							∕es X No
		r the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12		is a defined contribution plan subject to the minimum funding requirements of section 412 of the Co A?					│	res X No
	(If "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	raiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	onth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you c	ompleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 1	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	the amount contributed by the employer to the plan for this plan year			12c			
d		ract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l tive amount)			12d			
		he minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	a resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	es," enter the amount of any plan assets that reverted to the employer this year			13a			
b		e all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougout of the PBGC?		er the			Yes	No
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identinassets or liabilities were transferred. (See instructions.)	ify the p	lan(s)) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3) PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b ⁻	Trust's E	EIN	
14c	Name	of trustee or custodian			14d Trustee's or custodian's telephone number			
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		did the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	- LL ;		n-based narbor	^d [Prior ye test	ear" ADP
			ΙП '	"Curre	ent year test	"	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:			entage	age Average N/A benefit test N/A				
16b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?				☐ No				
17a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter/ and the serial number								
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, er	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [No	
19	Was	any plan participant a 5% owner who had attained at least age 70 $\frac{1}{2}$ during the prior plan year?			Ye	s [No	