Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2016

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2016 or fiscal plan year beginning 06/01/2016 and ending 05/31/2017

A This return/report is for:
- ☐ a multiemployer plan
- ☐ a multiple-employer plan (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)
- ☒ a single-employer plan
- ☐ a DFE (specify) ____

B This return/report is:
- ☐ the first return/report
- ☐ the final return/report
- ☐ an amended return/report
- ☐ a short plan year return/report (less than 12 months)

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under:
- ☒ Form 5558
- ☐ automatic extension
- ☐ the DFVC program
- ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1966

2a Plan sponsor's name (employer, if for a single-employer plan)
Mailing address (include room, apt., suite no. and street, or P.O. Box)
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions)
PAUL HASTINGS LLP

515 SOUTH FLOWER STREET, FLOOR 25
LOS ANGELES, CA 90071-2228

2b Employer Identification Number (EIN) 95-2209675

2c Plan Sponsor's telephone number 213-683-6000

2d Business code (see instructions) 541110

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	03/15/2018	CHRISTIE HOFMAN
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE			
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address (include room or suite number)

Preparer's telephone number

3a	Plan administrator's name and address [X] Same as Plan Sponsor	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4c**	PN

5	Total number of participants at the beginning of the plan year	**5**	2430
6	Number of participants as of the end of the plan year unless otherwise stated (welfare plans complete only lines **6a(1), 6a(2), 6b, 6c,** and **6d**).		
a(1)	Total number of active participants at the beginning of the plan year	**6a(1)**	1673
a(2)	Total number of active participants at the end of the plan year	**6a(2)**	1496
b	Retired or separated participants receiving benefits	**6b**	38
c	Other retired or separated participants entitled to future benefits	**6c**	990
d	Subtotal. Add lines **6a(2)**, **6b**, and **6c**.	**6d**	2524
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits.	**6e**	0
f	Total. Add lines **6d** and **6e**.	**6f**	2524
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	2331
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	0
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:

2A 2E 2F 2G 2J 2R 2T 3B 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]		**H** (Financial Information)
(2)	[ ]		**I** (Financial Information – Small Plan)
(3)	[ ]	0	**A** (Insurance Information)
(4)	[X]		**C** (Service Provider Information)
(5)	[X]		**D** (DFE/Participating Plan Information)
(6)	[ ]		**G** (Financial Transaction Schedules)

Part III Form M-1 Compliance Information (to be completed by welfare benefit plans)

11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

If "Yes" is checked, complete lines 11b and 11c.

11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.) ..... ☐ Yes ☐ No

11c Enter the Receipt Confirmation Code for the 2016 Form M-1 annual report. If the plan was not required to file the 2016 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)

Receipt Confirmation Code_____

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2016** **This Form is Open to Public Inspection.**

For calendar plan year 2016 or fiscal plan year beginning 06/01/2016 and ending 05/31/2017

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions). . . . . ☐ Yes ☒ No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

ONEAMERICA RETIREMENT SERVICES

46-5378846

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15 37 38 64 65	RECORDKEEPER	608409	Yes [X] No [ ]	Yes [X] No [ ]	41831	Yes [ ] No [X]

(a) Enter name and EIN or address (see instructions)

MERRILL LYNCH

13-3266276

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	87579	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

HALBERT HARGROVE RUSSELL

33-0301336

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	75177	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SCHWAB

94-2904463

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	55422	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

UBS FINANCIAL SERVICES

13-2638166

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	32725	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MONOGRAPH WEALTH ADVISORS

830 S SUPULVEDA BLVD.
SUITE 202
EL SEGUNDO, CA 90245

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	19030	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

SANDFORD BERNSTEIN

1999 AVENUE OF THE STARS
LOS ANGELES, CA 90067

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	18126	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

STONNINGTON INVESTMENT ADVISORS

20-0572663

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	16167	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TD AMERITRADE

47-0533629

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 33 51	INVESTMENT ADVISOR	13829	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

CITY NATIONAL BANK

36-3145972

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	13328	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

PERSHING

515 S. FLOWER STREET
OMAHA, NE 68103

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	13266	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

LPL FINANCIAL

20-3717839

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	10641	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

AVONDALE PARTNERS

315 MONTGOMERY STREET
SAN FRANCISCO, CA 94105

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	9393	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

TORTOISE INVESTMENT MANAGEMENT

239 CENTRAL AVE
2ND FLOOR
WHITE PLAINS, NY 10606

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
26 51	INVESTMENT ADVISOR	6990	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

MG TRUST CO DBA MATRIX TRUST CO

75-3182674

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
62 68	NONE	0	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

Part I Service Provider Information (continued)

3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
MG TRUST CO DBA MATRIX TRUST CO	62 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
MG TRUST CO DBA MATRIX TRUST CO 75-3182674	SEE ATTACHMENT "MATRIX TRUST COMPANY SCHEDULE C DISCLOSURES"

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
TD AMERITRADE, INC.	59 60 63 68	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
TD AMERITRADE, INC. 82-0543156	SEE ATTACHMENT "TDA PH SCHEDULE C DISCLOSURES"

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

a Name:	**b** EIN:
c Position:	
d Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 06/01/2016 and ending 05/31/2017

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: PRUDENTIAL STABLE VALUE FUND

b Name of sponsor of entity listed in (a): PRUDENTIAL TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
23-6991310-126	C	78715209

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection

For calendar plan year 2016 or fiscal plan year beginning 06/01/2016 and ending 05/31/2017

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	49736	720954
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	14066070	13981065
(2) Participant contributions	1b(2)	0	0
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	3174599	10239158
(2) U.S. Government securities	1c(2)	552679	206432
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	435139	351922
(B) All other	1c(3)(B)	34029	30982
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	228728	241867
(B) Common	1c(4)(B)	20358364	22088167
(5) Partnership/joint venture interests	1c(5)	2872122	3269856
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)	60000	0
(8) Participant loans	1c(8)	4091808	4016663
(9) Value of interest in common/collective trusts	1c(9)	78298365	78715209
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	411560411	466151970
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)	3245	3122

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	535785295	600017367
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j	184453	364
k	Total liabilities (add all amounts in lines 1g through1j)	1k	184453	364
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	535600842	600017003

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	27019591	
	(B) Participants	2a(1)(B)	13239752	
	(C) Others (including rollovers)	2a(1)(C)	5322334	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		45581677
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)	2786	
	(B) U.S. Government securities	2b(1)(B)	17417	
	(C) Corporate debt instruments	2b(1)(C)	25050	
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)	197877	
	(F) Other	2b(1)(F)	427711	
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		670841
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)	2814	
	(B) Common stock	2b(2)(B)	459476	
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	11713512	
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		12175802
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	12517773	
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)	12164911	
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		352862
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)	2948458	
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		2948458

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		44912668
c Other income	2c		1017943
d Total income. Add all **income** amounts in column (b) and enter total	2d		107660251
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	42370496	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		42370496
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	345275	
(3) Investment advisory and management fees	2i(3)	521487	
(4) Other	2i(4)	6832	
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		873594
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		43244090
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		64416161
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) ☐ Unqualified **(2)** ☐ Qualified **(3)** ☒ Disclaimer **(4)** ☐ Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? ☒ Yes ☐ No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MEIR & MEIR **(2)** EIN: 95-4359536

d The opinion of an independent qualified public accountant is **not attached** because:

(1) ☐ This form is filed for a CCT, PSA, or MTIA. **(2)** ☐ It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n			
o	Defined Benefit Plan or Money Purchase Pension Plan Only: Were any distributions made during the plan year to an employee who attained age 62 and had not separated from service?	4o			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

5c If the plan is a defined benefit plan, is it covered under the PBGC insurance program (See ERISA section 4021.)? ..... ☐ Yes ☐ No ☐ Not determined
If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year_____. (See instructions.)

Part V Trust Information

6a Name of trust	**6b** Trust's EIN

6c Name of trustee or custodian	**6d** Trustee's or custodian's telephone number

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2016

This Form is Open to Public Inspection.

For calendar plan year 2016 or fiscal plan year beginning 06/01/2016 and ending 05/31/2017

A Name of plan
PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
PAUL HASTINGS LLP

D Employer Identification Number (EIN)
95-2209675

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 75-3182674

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part.)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a The current year	**14a**	
b The plan year immediately preceding the current plan year	**14b**	
c The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a Enter the number of employers who withdrew during the preceding plan year	**16a**	
b If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

Part VII IRS Compliance Questions

20a Is the plan a 401(k) plan? If "No," skip b	☐ Yes ☐ No
20b How did the plan satisfy the nondiscrimination requirements for employee deferrals under section 401(k)(3) for the plan year? Check all that apply:	☐ Design-based safe harbor ☐ "Prior year" ADP test ☐ "Current year" ADP test ☐ N/A
21a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:	☐ Ratio percentage test ☐ Average benefit test ☐ N/A
21b Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?	☐ Yes ☐ No

22a If the plan is a master and prototype plan (M&P) or volume submitter plan that received a favorable IRS opinion letter or advisory letter, enter the date of the letter _____/_____/_____ and the serial number _____.

22b If the plan is an individually-designed plan that received a favorable determination letter from the IRS, enter the date of the most recent determination letter _____/_____/_____.

Paul Hastings LLP Defined Contribution Retirement Plan

Financial Statements and Supplemental Schedule

As of May 31, 2017 and 2016 and for the Year Ended May 31, 2017

Paul Hastings LLP Defined Contribution Retirement Plan

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Financial Statements and Supplemental Schedule

As of May 31, 2017 and 2016, and for the Year ended May 31, 2017

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Note: Schedules other than that listed above have been omitted because the information is otherwise disclosed, not applicable or not required by 29 CFR 2520.103-10 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, as amended.

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Report of Independent Auditors

To the Plan Administrative Committee
Paul Hastings LLP Defined Contribution Retirement Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Paul Hastings LLP Defined Contribution Retirement Plan, which comprise the statements of net assets available for benefits as of May 31, 2017 and 2016, and the related statement of changes in net assets available for benefits for the year ended May 31, 2017, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting our audits in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 10 which was certified by City National Bank and Matrix Trust Company, the trustees of the Plan, except for comparing the information with the related information included in the financial statements. We have been informed by the plan administrator that City National Bank held the Plan's investment assets and executed investment transactions for the period May 1, 2016 to March 31, 2017, and Matrix Trust Company held the Plan's investment assets and executed investment transactions for the period April 1, 2017, to May 31, 2017. The plan administrator has obtained a certification from the trustees as of and for the years ended May 31, 2017 and 2016, that the information provided to the plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter- Supplemental Schedule

The supplemental schedule of Schedule H, line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2017 is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Other Matter- Basis of Accounting

As discussed in Note 2, these financial statements and supplemental schedule were prepared on a modified cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Meirand Meir, LLP

Beverly Hills, California
March 12, 2018

Paul Hastings LLP Defined Contribution Retirement Plan

Statements of Net Assets Available for Benefits (Modified Cash Basis)

As of May 31,		**2017**		2016
Assets				
Investments at fair value	**$**	**502,995,715**	$	438,663,202
Investment at contract value		**78,715,209**		78,298,365
Receivables:				
Employer's contribution		**13,981,065**		14,066,070
Notes receivable from participants		**4,016,663**		4,112,625
		17,997,728		18,178,695
Net assets available for benefits	**$**	**599,708,652**	$	535,140,262

See accompanying notes.

Paul Hastings LLP Defined Contribution Retirement Plan

Statement of Changes in Net Assets Available for Benefits (Modified Cash Basis)

Year ended May 31,		**2017**
Additions		
Additions to net assets attributed to:		
Investment income:		
Net appreciation in fair value of investments	**$**	**48,958,495**
Interest		**472,964**
Dividends		**12,175,802**
Partnership distributions		**425,665**
Total investment income		**62,032,926**
Interest income on notes receivable from participants		**197,877**
Contributions:		
Participants		**13,239,752**
Employer		**27,019,591**
Rollovers		**5,322,334**
Total contributions		**45,581,677**
Total additions		**107,812,480**
Deductions		
Deductions from net assets attributed to:		
Benefits paid to participants		**42,370,496**
Administrative and other expenses		**873,594**
Total deductions		**43,244,090**
Net increase		**64,568,390**
Net assets available for benefits:		
Beginning of year		**535,140,262**
End of year	**$**	**599,708,652**

See accompanying notes.

Paul Hastings LLP Defined Contribution Retirement Plan

Notes to Financial Statements

1. Description Of Plan

The following description of Paul Hastings LLP Defined Contribution Retirement Plan ("Plan"), provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering substantially all full-time employees and partners of Paul Hastings LLP ("Company"). It is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

Contributions

The maximum annual employee contribution to the Plan is $18,000 for the calendar year 2017, under the Internal Revenue Code Section 402(g), subject to the limitations in the statutory anti-discrimination test contained in Code Section 401(k), statutory limitations with regard to annual deferrals and catch up deferrals, and any other limitations in the Plan or established by the plan administrator. Employees who are at least 50 years old on December 31, 2017 are able to make an additional contribution of $6,000.

Employees are eligible for an employer contribution if they have at least two years of service and are employed at the plan year-end. The employer contributes 8% of each eligible participant's salary plus 5.7% of the amount of the participant's salary, if any, which exceeds the social security wage base of $127,200 for the plan year ended May 31, 2017. Contributions to the Plan for partners are specified by the Company and are withheld from the partners' compensation.

All non-attorney employees who have been participants for at least 5 years and all attorney-employees who are otherwise eligible for the Plan are required to make automatic contributions. Automatic contributions begin on the first day of the month following completion of 5 years of participation for eligible non-attorneys or following commencement of employment for eligible attorney-employees. The automatic contributions rate for non-attorneys is 5% of compensation; the automatic contributions rate for attorneys is 8% of compensation.

1. Description Of Plan (Continued)

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of (a) the Company's contribution and, (b) Plan earnings, and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their contributions, Company's contributions, plus actual earnings thereon.

Notes Receivable from Participants

Participants may borrow from their fund account a minimum of $1,000 up to a maximum equal to the lesser of $50,000 or 50% of their account balance. Loan transactions are treated as a transfer to (from) the investment fund from (to) the participant loan fund. Loan terms range up to five years. The loans are secured by the balance in the participant's account and bear interest at rates commensurate with local prevailing rates as determined periodically by the plan administrator. Principal and interest are paid ratably through payroll deductions.

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive either a lump-sum amount equal to the value of the participant's vested interest in his or her account, or to delay the distribution till the age of 65. In such case, at the age of 65 there would be an automatic distribution. Hardship withdrawals are also available. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution.

Plan Administration

The Plan is administered by the Company. The plan administrator controls and manages the operation and administration of the Plan. Until September 1, 2014 City National Bank ("CNB") was the trustee and the recordkeeper of the Plan. On September 1, 2014 CNB sold

1. Description Of Plan (Continued)

their retirement services devision to One America, and that division was renamed One America Retierment Services LLC ("OARS"). OARS became the recordkeeper while CNB remained the trustee. On April 1, 2017, Matrix Trust Company became the trustee of the Plan.

Eligibility

All U.S. employees or partners are eligible to participate in the Plan upon becoming employees or partners of the Company.

Investments Options

Upon enrollment in the Plan, a participant may direct the investment of the Plan accounts in any of fourteen pool funds provided by the Company, or in a self-directed fund through the self-directed option. The fourteen pool funds and the self-directed fund are as follows:

Stable Value Fund (Prudential Capital Preservation Fund) – Promises a fixed rate of return that is announced before the beginning of the calendar quarter to which the rate applies.

Intermediate Term Bond Fund (Metropolitan West Total Return Bond Fund Class M) – Seeks to maximize long-term total return. The fund will maintain an average duration between 2 and 8 years. Investments can include government and corporate debt securities, mortgage-backed and asset-backed securities, money market instruments, and derivatives.

Inflation-Protected Bond Fund (BlackRock Inflation Protected Bond Fund Institutional) – Seeks to maximize real return, consistent with preservation of capital and prudent investment management.

High Yield Bond Fund (Principal High Yield Fund Institutional Class) – Seeks to provide a high level of current income and invests at least 80% of its net assets, plus any borrowings for investment purposes, in below investment grade bonds.

Balanced Fund (Janus Balanced Fund Class T) – Seeks to provide high current income and capital appreciation in up markets and preserve capital in down markets by investing in dividend paying stocks, bonds, preferred stocks and convertible securitites.

1. Description Of Plan (Continued)

Large Value Fund (Putnam Equity Income Fund Class Y) – Seeks capital growth and current income. Focuses on large companies whose stocks are priced below their long-term potential, and where there may be a catalyst for positive change.

Large Blend Fund (Vanguard Institutional Index Fund Institutional Shares) – Seeks to track the performance of a benchmark index that measures the investment return of large-capitalization stocks. The fund employs an indexing investment approach designed to track the performance of the Standard & Poor's 500 index.

Large Growth Fund (Pioneer Fundamental Growth Fund Class Y) – Seeks long-term growth by investing primarily in the equity securities of U.S. companies that management believes have better than average growth potential.

Small/ Mid Value Fund (AB Discovery Value Fund Class 1) – Seeks long-term growth of capital by investing in small to medium sized companies believed to be undervalued.

Small/ Mid-Growth Fund (AB Discovery Growth Fund Class 1) – Seeks unexpected long-term growth potential from small- and mid-cap stocks.

Small/ Mid-Growth Fund (Eaton Vance Atlanta Capital SMID-Cap Fund Class A) – Seeks companies that are in strong financial condition and that management believes are attractively valued relative to earnings and cash flow per share.

Foreign Large Growth Fund (American Funds EuroPacific Growth Fund Class R-6) – Seeks to provide long-term growth of capital by investing in companies based outside the U.S.

Diversified Emerging Mkts Fund (Oppenheimer Developing Markets Fund Class Y) – Seeks long term capital appreciation investing in equity securities located in countries with emerging securities markets believed to have growth potential.

Real Estate Fund (Vanguard REIT Index Fund Institutional Shares) – Seeks to provide a high level of income and moderate long-term capital appreciation by tracking the performance of a benchmark index that measures the performance of publicly traded equity REITs.

1. Description Of Plan (Continued)

Self-Directed Fund – Funds are invested in investments directed by participants, including stocks, bonds, life insurance, and other financial assets.

2. Summary of Significant Accounting Policies

Date of Management's Review

Subsequent events were evaluated through March 12, 2018, which is the date the financial statements were available to be issued.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting requires the plan administrator to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein, and disclosures of contingent assets and liabilities. Accordingly, actual results may differ from those estimates.

Basis of Accounting

These financial statements are prepared on the modified cash basis of accounting except for the investments which are adjusted to fair value (see Note 3), the accrual of Plan contributions, and accrued interest on notes receivable from participants; consequently, certain revenue is recognized when received rather than when earned, and expenditures are recognized when paid rather than when the obligation is incurred. Accordingly, the financial statements are not intended to present financial position and results of operations in conformity with generally accepted accounting principles.

Investments held by a defined contribution plan are required to be reported at fair value, except for fully benefit-responsive investment contracts. Contract value is the relevant measure for that portion of the net assets available for benefits of a defined contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants normally would receive if they were to initiate permitted transactions under the terms of the plan.

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid principal balance plus any accrued but unpaid interest. Interest income is recorded on the accrual basis. Related fees are charged directly to

2. Summary of Significant Accounting Policies (Continued)

the borrowing participant's account and are included in administrative expenses when incurred. As of May 31, 2017, and 2016, no allowance for credit losses has been recorded. If a participant does not make loan repayments and the plan administrator considers the participant loan to be in default, the loan balance is reduced, and the delinquent participant note receivable is recorded as a benefit payment based on the terms of the Plan document.

Investment Valuation and Income Recognition

Investments are reported at fair value, except for fully benefit-responsive investment contracts, which are reported at contract value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. The plan administrator determines the Plan's valuation policies. See Note 3 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Management fees and operating expenses charged to the Plan for its investments are deducted from income earned on a daily basis and are not separately reflected. Consequently, management fees and operating expenses are reflected as a reduction of investment return for applicable investments.

Payment of Benefits

Benefits are recorded when paid.

Operating Expenses

Certain expenses of maintaining the Plan are paid by the Company and are therefore excluded from these financial statements (see Note 5).

Net Appreciation (Depreciation) in Fair Value of Investments

Net appreciation (depreciation) in fair value of investments is based on the fair value of the assets at the beginning of the Plan year, or at the

2. Summary of Significant Accounting Policies (Continued)

time of purchase during the Plan year, and the related fair value on the day investment are sold with respect to realized appreciation (depreciation), or on the last day of the year with respect to unrealized appreciation (depreciation).

Realized and unrealized appreciation (depreciation) is recorded in the accompanying statement of changes in net assets available for benefits as net appreciation (depreciation) in fair value of investments.

New Accounting Pronouncements

During the year ended May 31, 2016, the Plan retrospectively adopted a new accounting standard that eliminated certain disclosure requirements, including: (i) net appreciation/depreciation of plan assets disaggregated by class of investment; (ii) identification of individual investments that represent 5% or more of the net assets available for benefits; (iii) certain disclosures regarding fully benefit-responsive investment contracts; and (iv) significant investment strategies for investments for which fair value is estimated using the net asset value per share provided by the fund manager as a practical expedient, such as the Plan's investments in collective trust funds. The new standard also specified that plan assets measured at fair value may be disaggregated by general type (including assets in self-directed brokerage accounts) and eliminated the requirement for disaggregation by nature and risk of investment (see Note 3, *Fair Value Measurements*). In addition, the new standard simplified the presentation of direct investments in fully benefit-responsive investment contracts by eliminating the requirement to disclose the fair value of such contracts with a corresponding adjustment from fair value to contract value in the Statements of Net Assets Available for Benefits. Investments in these contracts are now presented solely at contract value. The reporting requirements for indirect investments in fully benefit-responsive investment contracts (investments in collective trust funds that own fully benefit-responsive investment contracts) were eliminated.

During the year ended May 31, 2016, the Plan also retrospectively adopted a new accounting standard that eliminated the requirement to categorize within the fair value hierarchy investments for which fair value is estimated using the net asset value per share provided by the fund manager as a practical expedient, such as the Plan's investments

2. Summary of Significant Accounting Policies (Continued)

in collective trust funds. These investments are now shown as a reconciling item between the amount in the fair value hierarchy table and the total of the Plan's investments recognized at fair value in the statements of net assets available for benefits (see Note 3, *Fair Value Measurements*).

3. Fair Value Measurements

The Plan's investments are reported at fair value in the accompanying statements of net assets available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 2 inputs are used for investments for which Level 1 inputs were not available. Level 3 inputs would only be used if Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

Cash equivalents primarily consist of money market mutual funds. These assets are priced at par, based on publicly traded prices.

The fair value of mutual funds is based on quoted net asset values of the shares as reported by the fund. The mutual funds held by the Plan are open-end mutual funds registered with the U.S. Securities and Exchange Commission. The funds must publish their daily net asset

3. Fair Value Measurements (Continued)

value and transact at that price. The mutual funds held by the Plan are considered to be actively traded.

The fair values of exchange traded products, publicly traded preferred stocks, publicly traded common stocks, and publicly traded partnerships are based on the closing price reported on the active market where the individual securities are traded.

Level 2 Fair Value Measurements

The fair values of U.S. government securities, corporate obligations, and notes, mortgages, and contracts are based on the bid price of similar instruments in the market, as determined by the asset class.

Level 3 Fair Value Measurements

Closely held common or preferred stocks are valued based on a statement provided by the Company or a third party evaluation.

Notes, mortgages and contracts are valued at par value, as these assets are not traded on active markets.

The fair value of partnerships is based on the capital account balance as provided by the partnership to the Plan.

For those assets with fair value measured using Level 3 inputs, the Plan administrator determines the fair value measurement policies and procedures in consultation with the Plan custodian. Those policies and procedures are reassessed at least annually to determine if the current valuation techniques are still appropriate. At that time, the unobservable inputs used in the fair value measurement are evaluated and adjusted, as necessary, based on current market conditions and other third-party information.

Quantitative Information about Significant Unobservable Inputs Used in Level 3 Fair Value Measurements

The following tables represents the significant unobservable inputs and the ranges of values for those inputs used to measure fair value of the Plan's Level 3 financial instruments using the income approach:

3. Fair Values Measurement (Continued)

As of May 31, 2017

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	**Capital Acct. Balance**	**Capital Acct. Balance**	**N/A**	**N/A**
Closely Held Common Stocks	**Price of most recent sale**	**Price of most recent sale**	**N/A**	**N/A**
Closely Held Preferred Stocks	**Price of most recent sale**	**Price of most recent sale**	**N/A**	**N/A**

As of May 31, 2016

Type	Valuation Techniques	Significant Unobservable Input	Range	Weighted Average
Partnerships	Capital Acct. Balance	Capital Acct. Balance	N/A	N/A
Closely Held Common Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Closely Held Preferred Stocks	Price of most recent sale	Price of most recent sale	N/A	N/A
Notes, Mortgages, and Contracts	Par Value	Par Value	N/A	N/A

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value:

			Fair Value Measurements at the End of the Reporting Period Using:	
As of May 31, 2017	**Quoted Prices in Active Markets for Identical Assets (Level 1)**	**Significant Other Observable Inputs (Level 2)**	**Significant Unobservable Inputs (Level 3)**	**Fair Value**
Cash equivalents	**$ 11,053,618**	**$ -**	**$ -**	**$ 11,053,618**
Mutual funds	**448,739,119**	**-**	**-**	**448,739,119**
Exchange traded products	**17,537,357**	**-**	**-**	**17,537,357**
Preferred stocks	**-**	**-**	**329,225**	**329,225**
Common stocks	**21,171,238**	**-**	**639,186**	**21,810,424**
Partnerships	**134,929**	**-**	**2,801,881**	**2,936,810**
U.S. government securities	**-**	**165,331**	**-**	**165,331**
Corporate obligations	**-**	**390,122**	**-**	**390,122**
Notes, mortgages, and contracts	**-**	**33,709**	**-**	**33,709**
Total investment assets at fair value	**$498,636,261**	**$ 589,162**	**$ 3,770,292**	**$502,995,715**

3. Fair Value Measurements (Continued)

As of May 31, 2016	(Level 1)	(Level 2)	(Level 3)	Fair Value
Cash equivalents	$ 8,736,549	$ -	$ -	$ 8,736,549
Mutual funds	394,326,148	-	-	394,326,148
Exchange traded products	11,723,938	-	-	11,723,938
Preferred stocks	52,760	-	104,287	157,047
Common stocks	19,514,744	-	601,808	20,116,552
Partnerships	54,870	-	2,450,844	2,505,714
U.S. government securities	-	284,141	-	284,141
Corporate obligations	-	753,113	-	753,113
Notes, mortgages, and contracts	-	-	60,000	60,000
Total investment assets at fair value	$ 434,409,009	$ 1,037,254	$ 3,216,939	$ 438,663,202

The following tables reconcile the beginning and ending balances of the Level 3 fair value measurements:

As of May 31, 2017	**Common Stocks**	**Notes, Mortgages, and Contracts**	**Partnerships**	**Preferred Stocks**	**Total**
Beginning balance	**$ 601,808**	**$ 60,000**	**$ 2,450,844**	**$ 104,287**	**$ 3,216,939**
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	**19,578**	**(10,000)**	**(88,156)**	**121,558**	**42,980**
Purchases	**17,800**	**-**	**464,694**	**103,380**	**585,874**
Sales	**-**	**(50,000)**	**(25,501)**	**-**	**(75,501)**
Ending balance	**639,186**	**-**	**2,801,881**	**329,225**	**3,770,292**
Total gains or losses for the year included in changes in net assets available for benefits attributable to the change in unrealized gains or losses relating to investments still held at the reporting date	**$ 19,578**	**$ -**	**$ (79,889)**	**$ 121,558**	**$ 61,247**

As of May 31, 2016	Common Stocks	Notes, Mortgages, and Contracts	Partnerships	Preferred Stocks	Total
Beginning balance	$ 681,208	$ 110,000	$ 2,555,452	$ 108,814	$ 3,455,474
Total gains or losses (realized and unrealized) included in changes in net assets available for benefits	(24,596)	-	(719,646)	(79,496)	(823,738)
Purchases	-	-	681,649	74,969	756,618
Sales	(54,804)	(50,000)	(66,611)	-	(171,415)
Ending balance	601,808	60,000	2,450,844	104,287	3,216,939
Total gains or losses for the year included in changes in net assets available for benefits attributable to the change in unrealized gains or losses relating to investments still held at the reporting date	$ (24,596)	$ -	$ (715,718)	$ (79,496)	$ (819,810)

3. Fair Value Measurements (Continued)

Gains and losses (realized and unrealized) included in changes in net assets available for benefits for the year ended May 31, 2017 are reported in net appreciation in fair value of investments.

The Plan's policy is to recognize transfers between Level 1 and Level 2 and into and out of Level 3 at the end of the reporting period. For the years ended May 31, 2017 and 2016, there were no significant transfers between Level 1 and Level 2 or into or out of Level 3.

4. Guaranteed Investment Contract with Insurance Company

On February 8, 2005, the Plan invested an initial deposit of approximately $25,000,000 in a guaranteed investment contract with Prudential Retirement Insurance and Annuity Company ("Prudential"), which maintains the contributions in the Plan's designated trust account. The assets of this account are invested in the Prudential Core Conservative Fund (formerly the Prudential Enhanced Bonds Fund) ("Fund"), that is a part of the Prudential Trust Company Collective Trust. The fund invests primarily in corporate bonds, commercial mortgage-backed securities, U.S. government mortgage-backed securities, U.S. government treasury and agency obligations and money market funds.

Because the guaranteed investment contract meets the criteria to be considered fully benefit-responsive, contract value is the relevant measurement attribute for that portion of the net assets available for benefits attributable to the guaranteed investment contract. The guaranteed investment contract is presented on the face of the statements of net assets available for benefits at contract value. Contract value, as reported to the Plan by Prudential, represents contributions made under the contract, plus earnings, less participant withdrawals and administrative expenses. Participants may ordinarily direct the withdrawal or transfer of all or a portion of their investment at contract value.

There are no reserves against contract value for credit risk of the contract issuer or otherwise. The contract value of the investment contract was $78,715,209 and $78,298,365 at May 31, 2017 and 2016 respectively. The crediting interest rate is based on an agreed-upon formula with the issuer, but cannot be less than 0.00%. Such interest rates are reviewed on a quarterly basis for resetting.

Certain events limit the Plan's ability to transact at contract value with Prudential. Such events include the following: (a) amendments to the

4. Guaranteed Investment Contract With Insurance Company (Continued)

plan documents (including complete or partial plan termination or merger with another plan), (b) changes to the plan's prohibition on competing investment options or deletion of equity wash provisions, (c) bankruptcy of the plan sponsor or other plan sponsor events (for example, divestitures or spin-offs of a subsidiary) that cause a significant withdrawal from the plan, or (d) the failure of the trust to qualify for exemption from federal income taxes or any required prohibited transaction exemption under ERISA. Furthermore, certain events would allow the issuer to terminate the contract with the Plan and settle at an amount different from contract value. Examples of such events include (a) an uncured breach of the Plan's investment guidelines, (b) a material amendment to the contract without the issuer's consent, (c) a violation of a material obligation under the contract, or (d) a material misrepresentation. The Plan administrator does not believe that any events that would limit the Plan's ability to transact at contract value with Plan participants or the issuer are probable of occurring.

The guaranteed investment contract does not permit the insurance company to terminate the agreement prior to the scheduled maturity date.

5. Related Party Transactions

Certain plan investments are shares of mutual funds managed by City National Bank. City National Bank was the trustee until March 31, 2017 as defined by the Plan and, therefore, these transactions qualify as party-in-interest transactions.

Indirect compensation to City National Bank is reflected as a reduction of investment return for applicable investments.

Additionally, the Company provides to the Plan certain accounting and administrative services for which no fees are charged. As the Company is the Plan sponsor, these transactions qualify as party-in-interest transactions.

All of these party-in-interest transactions are exempt from the prohibited transaction rules of ERISA.

6. Plan Termination

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants would become 100% vested in their employer contributions.

7. Reconciliation of Financial Statements to Form 5500

The following is a reconciliation of net assets available for benefits per the financial statements to Schedule H of Form 5500:

As of May 31,	**2017**	2016
Net assets available for benefits per the financial statements	**$ 599,708,652**	$ 535,140,262
Adjustments: Assets values updated after year end	**308,351**	460,580
Net assets available for benefits per Form 5500	**$ 600,017,003**	$ 535,600,842

The following is a reconciliation of increase in net assets per the financial statements to increase in net assets per Form 5500:

Year ended May 31,		**2017**
Increase in net assets per the financial statements	**$**	**64,568,390**
Adjustments: Assets values updated after year-end: May 31, 2017		**308,351**
Adjustments: Assets values updated after year-end: May 31, 2016		**(460,580)**
Increase in net assets available for benefits per the Form 5500	**$**	**64,416,161**

The fair values of certain investments were updated after the year end. The Form 5500 was prepared based on the values that were available at year-end, while the financial statements were prepared based on the updated values.

Certain balances included on Schedule H Part I and II on the Annual Return/Report of Employee Benefits Plan (Form 5500) have been reclassified for purposes of presentation in the financial statements.

8. Tax Status

The Internal Revenue Service ("IRS") has determined and informed the Company by a letter dated October 3, 2016, that the Plan and related trust are designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). The plan administrator and the plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believe that the Plan is qualified and the related trust is tax-exempt.

Accounting principles generally accepted in the United States of America require the plan administrator to evaluate tax positions taken by the Plan and recognize a tax liability for any uncertain position that more likely than not would not be sustained upon examination by the IRS. The Plan is subject to routine audits by tax authorities; however, there are currently no audits for any tax periods in progress.

9. Risks and Uncertainties

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

The Plan is required to disclose significant concentrations of credit risk regardless of the degree of such risk. At May 31, 2017 and 2016, the financial instruments, which potentially subject the Plan to a concentration of credit risk, consisted principally of cash, investments and notes receivable from participants.

10. Trustee's Certification

The investment balance and related investment information included in the financial statements, accompanying notes and supplemental schedule are derived from statements which have been certified by City National Bank and Matrix Trust Company as complete and accurate in accordance with 29 CFR 2520.103-5 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

11. Plan Amendments

Effective November 2, 2015, the Plan was amended and restated to make minor changes to the Plan's loan provisions. Effective October 27, 2016 the Plan was amended and restated to add those changes retroactively and prospectively. Effective January 19, 2018, the Plan was amended and restated to liberalize distributions by permitting installment distributions to commence slightly later than the Plan previously had permitted.

Paul Hastings LLP Defined Contribution Retirement Plan

Form 5500 – Schedule H – Line 4i – Schedule of Assets (Held at End of Year) as of May 31, 2017

EIN: 95-2209675
Plan number: 001

Identity of Issue, Borrower, Lessor, or Similar Party	Description of Investment, including Maturity Date, Rate of Interest, Par or Maturity Value	Current Value

See attached Schedule of Assets Held for Investment Purposes as provided by the trustee.

* Represents a party-in-interest

Matrix Trust Company
Assets Held
As of May 31, 2017
Paul Hastings LLP Defined Contribution Retirement Plan

Shares	Security Type2	Security Name	Statement Market Value	
545	ADR	Advanced Semicondutor E ADR	$	3,466.20
493	ADR	Aia Group Ltd Spon ADR	$	13,991.34
200	ADR	Air Liquide Adr France Adr	$	4,888.00
122	ADR	Alfa Laval AB-Unspon Adr	$	2,453.42
349	ADR	Alibaba Group Holding-Sp ADR	$	42,738.54
276	ADR	Allianz SE - ADR	$	5,313.00
800	ADR	Anglogold Ltd Adr	$	9,112.00
22	ADR	Anheuser Busch Inbev	$	2,572.90
131	ADR	Asml Holding Nv	$	17,290.69
86	ADR	Aspen Pharmacare Hl Unsp ADR	$	1,944.46
80	ADR	Atlas Copco Ab-Spons Adr A	$	2,967.20
75	ADR	Axa Sa ADR	$	2,013.75
75	ADR	Baidu Inc	$	13,957.50
1847	ADR	Banco Bilbao Vizcaya ADR	$	15,182.34
50	ADR	BASF AG Spons ADR	$	4,722.50
70	ADR	BBA Aviation Plc-Unspon ADR	$	1,480.50
77	ADR	BMW Sponsored ADR	$	2,410.87
50	ADR	Bp Plc Spons Adr	$	1,807.50
129	ADR	Burberry Group Plc-Spon ADR	$	3,037.95
75	ADR	Canon Adr Inc.	$	2,611.50
361	ADR	China Mobile Ltd ADR	$	19,894.71
119	ADR	CKH Hldgs ADS	$	1,544.62
800	ADR	Compania De Minas Buen Adr Ser B	$	9,952.00
83	ADR	Continental Ag Spons Adr	$	3,694.33
287	ADR	Credit Suisse Group Spon Adr	$	4,058.18
60	ADR	CRH PLC-Sponsored ADR	$	2,167.20
70	ADR	CSL Ltd Sponsored ADR	$	3,376.80
120	ADR	Danone Spons ADR	$	1,787.40
79	ADR	Dassault Sys S.A.-ADR	$	7,312.24
134	ADR	Dbs Group Hldgs Ltd. Spon Adr	$	7,912.70
245	ADR	Diageo Plc Sposored Adr New	$	29,870.40
110	ADR	Ericsson L M Telephone Co ADR B	$	796.40
35	ADR	Essilor Intl - ADR	$	2,334.85
280	ADR	Fanuc Ltd Unsp ADR	$	5,514.60
102	ADR	Fresenius Med Care Ag	$	4,867.44
183	ADR	Fresenius Se & Co-Spn ADR	$	3,936.33
185	ADR	Fuchs Petrolub Se-Pref ADR	$	2,580.75
45	ADR	Glaxosmithkline Plc Ads	$	1,990.35
80	ADR	Gold Fields Ltd Spd Adr New	$	7,585.60
247	ADR	Grifols Sa ADR	$	5,300.62
256	ADR	Grupo Financiero Banorte SPONS ADR	$	7,360.00
136	ADR	Grupo Televisa (adr)	$	3,302.08
71	ADR	HDFC Bank Ltd Adr	$	6,233.80
60	ADR	Honda Motor Ltd Sponsored ADR	$	1,675.80
1646	ADR	Hong Kong & China Gas Ltd Spon Adr	$	3,580.05
590	ADR	Hsbc Hldgs PLC	$	25,659.10
227	ADR	Icici Bank Ltd - Spon Adr	$	2,251.84

Shares	Security Type2	Security Name	Statement Market Value
80	ADR	ING Groep N V	$ 1,350.40
257	ADR	ITAU Unibanco Banco Multi ADR	$ 2,803.87
59	ADR	JGC Corp-Unsponsored ADR	$ 1,785.93
79	ADR	Kao Corp Sponsored Adr	$ 4,988.46
54	ADR	Koninklijke Philips El	$ 1,907.28
29	ADR	Kubota Corp ADR	$ 2,301.44
123	ADR	L Oreal Co Adr	$ 5,264.40
180	ADR	Linde AG Sponsored ADR	$ 3,438.00
284	ADR	London Stock Exchg-Unsp ADR	$ 3,186.48
134	ADR	Lvmh Moet Hennessy Adr	$ 6,858.12
435	ADR	Mandiri Persero ADR	$ 4,062.90
87	ADR	Mitsubishi Estate Unspd Adr (mitey)	$ 1,629.08
1408	ADR	Mitsubishi UFJ Financial ADR	$ 8,757.76
261	ADR	Naspers LTD N Spons ADR (631512209 08/11/17)	$ 5,423.58
1523	ADR	Nestle SA Sponsored ADR Registered (NSRGY)	$ 129,698.68
275	ADR	Nidec Corp Sponsored ADR	$ 6,843.65
150	ADR	Nippon Teleg & Tel Corp ADR	$ 7,227.00
90	ADR	Nokia Corp ADR	$ 568.80
125	ADR	Nomura Holdings Inc Sponsored Adr	$ 748.75
576	ADR	Novartis Ag Sponsored Adr	$ 47,099.52
198	ADR	Novo-Nordisk As	$ 8,389.26
165	ADR	Pandora A/S Sponsored ADR	$ 3,905.55
87	ADR	Park24 Co Ltd-Spn ADR	$ 2,399.46
535	ADR	Ping An Insurance ADR	$ 6,928.25
20	ADR	Posco	$ 1,257.60
132	ADR	Prudential Corp Adr	$ 5,943.96
16	ADR	Rio Tinto PLC ADR	$ 644.96
393	ADR	Roche Hldg Ltd -Spons Adr	$ 13,511.34
397	ADR	Royal Dutch Shell PLC - ADR A	$ 21,600.77
221	ADR	Royal Dutch Shell PLC - ADR B	$ 12,422.41
118	ADR	Sap Aktiengesellschaft Adr	$ 12,692.08
150	ADR	Seven & I Holdings Unspn ADR	$ 3,187.50
70	ADR	Shire PLC ADR	$ 12,090.40
2000	ADR	Sibanye Gold - Spon ADR	$ 10,120.00
1555	ADR	Siemens AG ADR	$ 111,205.83
105	ADR	Silicon Motion Technology - ADR	$ 5,486.25
90	ADR	Sk Telecom Ltd Spon Adr	$ 2,260.80
75	ADR	Smith & Nephew Plc Spdn Adr New	$ 2,640.75
205	ADR	Softbank Corp Unspon ADR	$ 8,316.85
78	ADR	Sonova Holding-Unspon ADR	$ 2,581.80
139	ADR	Symrise AG ADR	$ 2,500.61
111	ADR	Sysmex Corp-Unspon Adr	$ 3,303.36
633	ADR	Taiwan Semiconductor	$ 22,382.88
143	ADR	Techtronic Industries Sp Adr (ttndy)	$ 3,366.94
80	ADR	Telefonica S A Sponsored Adr	$ 897.60
45	ADR	Tenaris Sa-Adr	$ 1,363.50
196	ADR	Tencent Holdings Ltd ADR	$ 6,752.20
70	ADR	Tesco Plc Spd Adr	$ 497.70
277	ADR	Toray Industries ADR	$ 4,636.98
20	ADR	Total Fina Elf Sa Adr	$ 1,046.00
300	ADR	Toyota Motor Corp. Sp Adr Rep2com	$ 32,262.00
50	ADR	Trend Micro Inc ADR	$ 2,520.00
770	ADR	Turkiye Garanti Bankasi ADR	$ 2,117.50

Shares	Security Type2	Security Name	Statement Market Value	
2403	ADR	Unilever N V - Ny Shares	$	136,442.34
744	ADR	Unilever Plc Spon Adr New	$	41,381.28
70	ADR	United Overseas Bank Ltd Spon Adr	$	2,334.50
2835	ADR	Vodafone Group PLC ADR	$	85,787.10
100	ADR	Wal Mart De Mexico Sa De Cv Cl V Spon Adr	$	2,289.00
167	ADR	Wirecard Ag - Unspon Adr	$	5,604.52
86	ADR	WPP PLC ADR	$	9,694.78
4000	Bond	US Treasury Note/Bond	$	3,705.63
	Cash Equivalent	Ameritrade Cash Account	$	99,135.71
	Cash Equivalent	Bernstein Cash	$	11,376.84
	Cash Equivalent	Cash	$	192,453.79
	Cash Equivalent	City National Rochdale Government Money Market Fund Svc (c	$	941,740.37
	Cash Equivalent	Dain Rauscher Cash	$	29.75
	Cash Equivalent	Funds-in-transfer Account	$	528,500.32
	Cash Equivalent	Merrill Lynch Bank Advantage	$	827,045.00
	Cash Equivalent	Merrill Lynch Cash Account	$	(363.72)
	Cash Equivalent	Pershing Money Market Account	$	401,590.70
90164.28	Cash Equivalent	RBC Bank Deposit Program	$	90,164.28
	Cash Equivalent	Schwab Cash Account	$	247.76
	Cash Equivalent	Schwab Money Market Fund	$	1,160,825.17
	Cash Equivalent	TD Asset Management Money Market Portfolio	$	6,641,066.90
	Cash Equivalent	UBS Bank USA Deposit Account	$	156,099.54
714	Common Stock	3-D Systems Corp	$	14,601.30
610	Common Stock	3m Co.	$	124,726.70
2443	Common Stock	Abbott Laboratories	$	111,547.38
468	Common Stock	Abbvie Inc	$	30,897.36
400	Common Stock	Activision Blizzard Inc	$	23,432.00
50	Common Stock	Actua Corp	$	700.00
11	Common Stock	Adient PLC ORD	$	753.83
445	Common Stock	Adobe Systems Inc.	$	63,127.70
500	Common Stock	Adtalem Global Education Inc	$	18,700.00
742	Common Stock	Advanced Micro Devices	$	8,302.98
4	Common Stock	AdvanSix Inc	$	115.08
140	Common Stock	Aetna Inc	$	20,280.40
2000	Common Stock	Agnico-Eagle Mines Ltd F	$	96,820.00
93	Common Stock	Akamai Technologies	$	4,384.95
500	Common Stock	Alcoa Inc	$	16,470.00
18	Common Stock	Alexion Pharmaceutical	$	1,764.54
102	Common Stock	Allergan plc	$	22,822.50
65	Common Stock	Alliance Data Sys Corp	$	15,673.45
90	Common Stock	Allied World Assurance Co (frfh.f 07/28/17)	$	4,731.30
196	Common Stock	Allstate Corp	$	16,922.64
393	Common Stock	Alphabet Inc CL A	$	387,926.37
647	Common Stock	Alphabet Inc Cl C	$	624,264.42
800	Common Stock	Altria Group Inc	$	60,352.00
433	Common Stock	Amazon Com Inc	$	430,670.46
50	Common Stock	AMC Networks Inc A	$	2,649.00
35	Common Stock	Amdocs Ltd	$	2,267.30
23	Common Stock	Ameri Holdings Inc	$	149.73
342	Common Stock	American Electric Power Co	$	24,548.76
20	Common Stock	American Financial Group	$	1,997.00
556	Common Stock	American International Group	$	35,378.28
27	Common Stock	American States Water Company	$	1,236.33

Shares	Security Type2	Security Name	Statement Market Value
1775	Common Stock	American Water Works Co Inc	$ 138,769.50
78	Common Stock	Ameriprise Financial Inc	$ 9,421.62
639	Common Stock	Amgen Inc	$ 99,198.36
1210	Common Stock	Amtrust Financial Services	$ 15,899.40
860	Common Stock	Anadarko Pete Corp.	$ 43,455.80
16	Common Stock	Ansys Inc.	$ 2,021.28
185	Common Stock	Anthem Inc	$ 33,734.75
15983.278	Common Stock	Apple Inc	$ 2,441,605.55
33	Common Stock	Applied Industrial Tech Inc	$ 2,037.75
400	Common Stock	Applied Material	$ 18,352.00
23	Common Stock	Aptargroup Inc	$ 1,955.23
471	Common Stock	Arthur J Gallagher	$ 26,719.83
37	Common Stock	Aspen Technology Inc	$ 2,262.92
6036.11	Common Stock	At&t Inc	$ 232,571.32
411	Common Stock	Atmos Energy Corp	$ 34,240.41
200	Common Stock	Autobytel Inc (auto 10/09/17)	$ 2,518.00
600	Common Stock	Autodesk Inc	$ 67,062.00
602	Common Stock	Automatic Data Processing	$ 61,626.74
21	Common Stock	Avery Dennison Corp	$ 1,769.46
5	Common Stock	Babcock & Wilcox Enterprises	$ 53.25
800	Common Stock	Baker Hughes Inc. (bhge 7/05/17)	$ 44,120.00
238	Common Stock	Ball Corp	$ 9,734.20
11882.776	Common Stock	Bank Of America Corp	$ 266,293.01
27	Common Stock	Bank Of Hawaii Corp	$ 2,098.71
445	Common Stock	Barrick Gold Corp.	$ 7,360.30
229	Common Stock	Baxter Intl Inc	$ 13,581.99
285	Common Stock	Bed Bath And Beyond Inc	$ 9,806.85
482	Common Stock	Bemis Inc.	$ 21,516.48
19	Common Stock	Berkley W R Corp	$ 1,310.81
2	Common Stock	Berkshire Hathaway Cl A	$ 496,880.00
1675	Common Stock	Berkshire Hathaway Inc Cl B	$ 276,844.00
42	Common Stock	Big Lots Inc	$ 2,050.86
288	Common Stock	Biogen Inc	$ 71,357.76
1800	Common Stock	Biomarin Pharmaceutical	$ 157,752.00
100	Common Stock	Bioverativ Inc	$ 5,509.00
140	Common Stock	Blackberry Ltd (bb 10/16/17)	$ 1,479.80
162	Common Stock	Blackrock 2001 Term Tr Inc	$ 66,296.88
35	Common Stock	Blucora Inc	$ 717.50
150	Common Stock	Boeing Company	$ 28,144.50
87	Common Stock	Bok Financial Corp	$ 7,006.11
906	Common Stock	Booz Allen Hamilton Holding	$ 35,732.64
200	Common Stock	Bottomline Tech Del	$ 5,002.00
4000	Common Stock	BP Capital Markets PLC	$ 3,991.00
34	Common Stock	Brinker Int'l Inc.	$ 1,333.82
2.999985	Common Stock	Broadcom Ltd	$ 718.44
40	Common Stock	Brown & Brown Inc	$ 1,737.20
62	Common Stock	Buffalo Wild Wings Inc	$ 8,909.40
10	Common Stock	BWX Technologies Inc	$ 486.00
267	Common Stock	C H Robinson Worldwide Inc	$ 17,891.67
40	Common Stock	Cabela's Inc-Cl A (acq/del 9/26/17)	$ 2,113.20
383	Common Stock	Cabot Oil & Gas Corp. Class A	$ 8,498.77
12	Common Stock	California Resources Corp	$ 130.68
1218	Common Stock	Cameco Corp	$ 11,229.96

Shares	Security Type2	Security Name	Statement Market Value
65	Common Stock	Canadian Natl Ry Co	$ 5,032.95
36	Common Stock	Canadian Pacific Railway	$ 5,696.28
114	Common Stock	Capital One Financial Corp Com	$ 8,768.88
501	Common Stock	Cardinal Health Inc.	$ 37,219.29
181	Common Stock	Carlisle Inc.	$ 18,340.73
175	Common Stock	Carter's Inc	$ 14,378.00
83	Common Stock	Cavium Inc	$ 6,056.51
572	Common Stock	CBS Corp Cl B	$ 34,954.92
510	Common Stock	Celgene Corp	$ 58,349.10
800	Common Stock	CenturyLinkl Inc	$ 19,960.00
212	Common Stock	Cerner Corp	$ 13,854.20
150	Common Stock	Charles Schwab Corporation	$ 5,812.50
19	Common Stock	Charter Communications New Cl A	$ 6,565.45
52	Common Stock	Check Point Software Techs Ltd	$ 5,826.60
30	Common Stock	Cheesecake Factory Inc	$ 1,769.40
128	Common Stock	Chemed Corp	$ 26,196.48
905	Common Stock	Chevron Corp	$ 93,649.40
20	Common Stock	Chipotle Mexican Grill - Cl A	$ 9,547.00
31	Common Stock	Choice Hotels Intl Inc	$ 2,013.45
367	Common Stock	Chubb Ltd	$ 52,550.73
83	Common Stock	Cigna Corp	$ 13,382.09
158	Common Stock	Cintas Corp	$ 19,889.04
6880	Common Stock	Cisco Systems Inc	$ 216,926.40
820.151	Common Stock	Citigroup Inc	$ 49,651.94
271	Common Stock	Citrix Sys Inc	$ 22,368.34
262	Common Stock	Clorox Co.	$ 35,561.26
146	Common Stock	CME Group Inc	$ 17,124.34
125	Common Stock	Coca Cola Eurpean Partners	$ 5,130.00
744	Common Stock	Coca-Cola Company	$ 33,829.68
36	Common Stock	Cohen & Steers Inc	$ 1,411.20
564	Common Stock	Colgate-Palmolive	$ 43,067.04
4559	Common Stock	Comcast Corp Cl A	$ 190,064.71
80	Common Stock	Comerica Inc.	$ 5,484.80
36	Common Stock	Commerce Bancshares	$ 1,927.08
9	Common Stock	CommerceHub Inc Ser A	$ 153.18
19	Common Stock	CommerceHub Inc Ser C	$ 326.42
465	Common Stock	Conagra Brands Inc	$ 17,921.10
2826	Common Stock	Conocophillips	$ 126,293.94
10	Common Stock	Cooper Cos Inc.	$ 2,187.50
2000	Common Stock	Corning Inc	$ 58,200.00
1	Common Stock	Costar Group Inc	$ 261.57
970	Common Stock	Costco Wholesale Corp-New	$ 175,017.10
183	Common Stock	Coty Inc-Cl A	$ 3,466.02
120	Common Stock	Cracker Barrel Old Country Store Inc	$ 20,017.20
80	Common Stock	Cree Inc	$ 1,907.60
63	Common Stock	Cullen Frost Bankers	$ 5,774.58
757	Common Stock	Cummins Inc	$ 119,378.90
62	Common Stock	CVB Financial Corp	$ 1,258.60
674	Common Stock	CVS Health Corp	$ 51,783.42
10000	Common Stock	Cypress Semiconductor Corp	$ 139,900.00
815	Common Stock	D R Horton Inc.	$ 26,642.35
455	Common Stock	Danaher Corp Del	$ 38,647.70
307	Common Stock	Delta Air Lines Inc	$ 15,082.91

Shares	Security Type2	Security Name	Statement Market Value	
904	Common Stock	Devon Energy Corp New	$	30,717.92
1326	Common Stock	Disney Walt Co	$	143,128.44
40	Common Stock	Dolby Laboratories Inc A	$	2,015.60
130	Common Stock	Dollar General Corp	$	9,540.70
15	Common Stock	Dominion Energy Inc	$	1,211.55
47	Common Stock	Donaldson Inc	$	2,254.12
29	Common Stock	Dorman Products Inc	$	2,418.60
75	Common Stock	Dover Corp	$	6,191.25
2440.586	Common Stock	Dow Chemical Corp (dwdp 09/01/17)	$	151,218.71
325	Common Stock	Dr Pepper Snapple Group Inc	$	30,163.25
16	Common Stock	Dril-Quip Inc	$	793.60
23	Common Stock	Dst Sys Inc Del	$	2,778.86
500	Common Stock	Dsw Inc - Class A	$	8,415.00
434.597	Common Stock	Duke Energy Corp	$	37,236.27
917.962	Common Stock	E I Du Pont De Nemours & Co (dwdp 09/01/17)	$	72,445.56
91	Common Stock	East West Bancorp Inc	$	4,980.43
83	Common Stock	Eastman Chemical Co.	$	6,649.13
1000	Common Stock	Eastman Kodak Co	$	9,250.00
590	Common Stock	Eaton Corp PLC	$	45,654.20
53	Common Stock	Eaton Vance Corp	$	2,468.21
1541	Common Stock	Ebay Inc	$	52,856.30
218	Common Stock	Ecolab Inc	$	28,959.12
324	Common Stock	Edison Intl	$	26,428.68
456	Common Stock	Edwards Lifesciences	$	52,471.92
610	Common Stock	Electronic Arts	$	69,131.30
260	Common Stock	Eli Lilly & Company	$	20,688.20
50	Common Stock	Ellie Mae Inc	$	5,478.00
434	Common Stock	Emcor Group Inc	$	27,350.68
2325	Common Stock	Emerson Electric Co	$	137,454.00
69	Common Stock	Enbridge Inc.	$	2,657.19
6	Common Stock	Engility Holdings Inc	$	157.98
223	Common Stock	Eog Resources Inc	$	20,139.13
151	Common Stock	Equifax Inc.	$	20,656.80
210	Common Stock	Estee Lauder Cos Cl A	$	19,769.40
44	Common Stock	Euronet Worldwide Inc	$	3,838.12
8	Common Stock	Everest Re Group Ltd	$	2,037.20
897	Common Stock	Exact Sciences Corp	$	32,713.59
200	Common Stock	Exelixis Inc	$	3,742.00
75	Common Stock	Expedia Inc	$	10,783.50
38	Common Stock	Expeditors Intl Wash	$	2,028.44
488	Common Stock	Exxon-Mobil Corporation	$	39,284.00
174	Common Stock	F5 Networks Inc.	$	22,294.62
1515	Common Stock	Facebook Inc-A	$	229,461.90
8	Common Stock	Factset Resh System Inc.	$	1,325.52
57	Common Stock	Federal Express Corp	$	11,048.88
400	Common Stock	Finisar Corp	$	9,864.00
2000	Common Stock	First Majestic Silver Corp	$	16,740.00
94	Common Stock	First Republic Bank/San Fran	$	8,657.40
15	Common Stock	First Solar Inc	$	577.65
286	Common Stock	Fiserv Inc.	$	35,830.08
104	Common Stock	Flowers Foods Inc	$	1,921.92
100	Common Stock	Fluor Corp	$	4,486.00
1996	Common Stock	Ford Motor Co New	$	22,195.52

Shares	Security Type2	Security Name	Statement Market Value
127	Common Stock	Fortive Corp	$ 7,931.15
1500	Common Stock	Fortuna Silver Mines Inc	$ 7,065.00
71	Common Stock	Forum Energy Technologies In	$ 1,153.75
110	Common Stock	Franco Nevada Corp	$ 8,208.20
33	Common Stock	Franklin Electric Inc.	$ 1,260.60
69	Common Stock	Franklin Resources Inc	$ 2,883.51
400	Common Stock	Freeport-Mcmoran Inc	$ 4,596.00
20	Common Stock	Freightcar America Inc	$ 335.20
24	Common Stock	Frontier Communications Corp (ftr 07/10/17)	$ 31.44
130	Common Stock	Gap Inc.	$ 2,925.00
46	Common Stock	General Dynamics Corp	$ 9,349.50
8298.491	Common Stock	General Electric Co	$ 227,212.68
793	Common Stock	General Motors Co	$ 26,906.49
16	Common Stock	Genesee & Wyoming A	$ 1,048.00
1752	Common Stock	Gentex Corp	$ 33,252.96
21	Common Stock	Gentherm Inc	$ 791.70
250	Common Stock	Genuine Parts Co.	$ 23,155.00
36	Common Stock	Geospace Technologies Corp	$ 539.64
538	Common Stock	Gilead Sciences Inc	$ 34,910.82
250	Common Stock	Goldcorp Inc.	$ 3,397.50
424.787	Common Stock	Goldman Sachs Group Inc	$ 89,740.50
207	Common Stock	Granite Construction Inc.	$ 9,700.02
95	Common Stock	Halliburton Company	$ 4,293.05
271	Common Stock	Hanesbrands Inc	$ 5,596.15
233	Common Stock	Hasbro Inc.	$ 24,525.58
199	Common Stock	Healthcare Svcs Group Inc	$ 9,526.13
195	Common Stock	Healthsouth Rehab Corp	$ 8,839.35
775	Common Stock	Henry Jack & Assoc Inc	$ 82,312.75
70	Common Stock	Hershey Co	$ 8,068.90
216	Common Stock	Hess Corp	$ 9,912.24
417	Common Stock	Hewlette Packard Enterprise	$ 7,843.77
152	Common Stock	Hexcel Corp New	$ 7,817.36
1500	Common Stock	Hillenbrand Inc	$ 53,550.00
54	Common Stock	Hilton Grand Vacations	$ 1,931.58
182.000333333	Common Stock	Hilton Worldwide Holdings Inc	$ 12,097.56
1128	Common Stock	Home Depot Incorporated	$ 173,159.28
1455	Common Stock	Honeywell International	$ 193,500.45
902	Common Stock	HP Inc	$ 16,921.52
18	Common Stock	Hubbell Inc B	$ 2,086.38
69	Common Stock	Hunt Jb Trans Svc Inc	$ 5,891.22
134	Common Stock	Huntington Ingalls Industries	$ 26,238.54
22	Common Stock	Hurco Companies Inc	$ 640.20
14	Common Stock	Idex Corp	$ 1,518.58
27	Common Stock	Idexx Laboratories Inc	$ 4,546.53
378	Common Stock	Illinois Tool Works Inc.	$ 53,381.16
100	Common Stock	Illumina Inc	$ 17,736.00
200	Common Stock	Incyte Corporation	$ 25,866.00
188	Common Stock	Ingersoll Rand PLC	$ 16,844.80
6176.195	Common Stock	Intel Corporation	$ 223,022.40
18	Common Stock	Interdigital Inc	$ 1,458.00
56	Common Stock	International Paper	$ 2,961.28
52	Common Stock	Interpublic Group Co	$ 1,296.36
660.962	Common Stock	Intl Business Machines Inc	$ 100,882.63

Shares	Security Type2	Security Name	Statement Market Value
17	Common Stock	Int'l Flavors & Fragrances	$ 2,344.13
671	Common Stock	Intrexon Corp	$ 14,305.72
75	Common Stock	Intuit	$ 10,548.00
42	Common Stock	Intuitive Surgical	$ 38,416.56
25	Common Stock	IPG Photonics Corp	$ 3,476.00
10	Common Stock	Jacobs Engr Group Del	$ 524.20
100	Common Stock	Jazz Pharmaceuticals PLC	$ 14,556.00
3155.089	Common Stock	Johnson & Johnson	$ 404,640.16
119	Common Stock	Johnson Controls International PLC	$ 4,969.44
17	Common Stock	Jones Lang Lasalle Inc	$ 1,962.99
2303.534	Common Stock	JPMorgan Chase & Co	$ 189,235.32
235	Common Stock	Juniper Networks Inc	$ 6,892.55
900	Common Stock	Kellogg Co.	$ 64,440.00
14	Common Stock	Kimberly Clark	$ 1,816.22
37	Common Stock	Knowles Corp	$ 633.81
572	Common Stock	Kroger Co	$ 17,034.16
144	Common Stock	L3 Technologies Inc	$ 24,276.96
168	Common Stock	Lam Research Corp	$ 26,068.56
13	Common Stock	Lands End Inc	$ 228.80
445	Common Stock	Leggett & Platt Inc	$ 23,148.90
1600	Common Stock	Leidos Holdings Inc	$ 88,896.00
195	Common Stock	Lennar Corp	$ 10,005.45
53	Common Stock	Lennox Intl Inc	$ 9,386.30
25	Common Stock	Liberty Braves Group - A	$ 593.00
51	Common Stock	Liberty Braves Group - C	$ 1,189.32
64	Common Stock	Liberty Broadband - A	$ 5,648.00
128	Common Stock	Liberty Broadband - C	$ 11,413.76
38	Common Stock	Liberty Expedia Holdings Ser A	$ 1,982.46
23	Common Stock	Liberty Global PLC	$ 703.34
3	Common Stock	Liberty Global PLC LILAC Series A	$ 63.33
3	Common Stock	Liberty Global PLC LILAC Series C	$ 62.88
23	Common Stock	Liberty Global PLC Series C	$ 683.56
400	Common Stock	Liberty Interactive Corp QVC Group	$ 9,384.00
64	Common Stock	Liberty Media Group Liberty Formula One A	$ 2,041.60
128	Common Stock	Liberty Media Group Liberty Formula One C	$ 4,262.40
514	Common Stock	Liberty Sirius Group - C	$ 21,418.38
257	Common Stock	Liberty SiriusXM Group	$ 10,709.19
40	Common Stock	Liberty Tripadvisor Hdg A	$ 474.00
57	Common Stock	Liberty Ventures - Ser A	$ 3,071.73
20	Common Stock	Lincoln Electric Cl A	$ 1,787.60
173.9998	Common Stock	Lions Gate Entertainment-B	$ 4,400.45
312	Common Stock	Lockheed Martin Corp	$ 87,712.56
6	Common Stock	Logmein Inc	$ 666.00
215	Common Stock	Lowes Co Inc	$ 16,935.55
1	Common Stock	Lumentum Holdings Inc	$ 57.05
66	Common Stock	M&T Bank Corp	$ 10,327.02
16	Common Stock	Madison Square Garden Co A	$ 3,126.56
625	Common Stock	Magna Int'l Inc.	$ 27,993.75
7	Common Stock	Mallinckrodt PLC	$ 301.91
354	Common Stock	Manulife Financial Corporation	$ 6,099.42
100	Common Stock	Marathon Oil Corp	$ 1,302.00
163	Common Stock	Marathon Petroleum Corp	$ 8,482.52
50	Common Stock	Markel Corp.	$ 48,862.50

Shares	Security Type2	Security Name	Statement Market Value
200	Common Stock	Marriot Vacations World	$ 23,304.00
51	Common Stock	Martin Marietta Materials	$ 11,429.10
18	Common Stock	Masimo Corporation	$ 1,566.72
1960	Common Stock	Mastercard Inc A	$ 240,844.80
20	Common Stock	Mcdermott Int'l	$ 124.20
447	Common Stock	Mcdonalds Corp	$ 67,447.83
72	Common Stock	Mckesson Hboc Inc	$ 11,742.48
80000	Common Stock	Medinah Minerals Inc	$ 336.00
20	Common Stock	Mednax Inc.	$ 1,086.00
317	Common Stock	Medtronic PLC	$ 26,716.76
2018	Common Stock	Merck & Company Inc	$ 131,391.98
436	Common Stock	Metlife Inc	$ 22,057.24
49	Common Stock	Mettler Toledo Intl	$ 28,557.69
100	Common Stock	Mgic Invst. Corp	$ 1,058.00
162	Common Stock	Microchip Technology	$ 13,494.60
2500	Common Stock	Micron Tech. Inc.	$ 76,925.00
2962	Common Stock	Microsoft Corporation	$ 206,866.08
119	Common Stock	Middleby Corp	$ 15,274.84
1000	Common Stock	Mindbody Inc - Class A	$ 28,050.00
17	Common Stock	Minerals Technologies	$ 1,223.15
524	Common Stock	Mondelez International Inc	$ 24,413.16
59	Common Stock	Monolithic Power Sys Inc	$ 5,793.80
158.338	Common Stock	Morgan Stanley	$ 6,609.03
261	Common Stock	Morningstar Inc	$ 19,099.98
50	Common Stock	MSG Networks Inc	$ 1,052.50
15	Common Stock	Murphy Oil Corp	$ 366.15
3	Common Stock	Murphy USA Inc	$ 204.09
120	Common Stock	Nasdaq Inc	$ 8,118.00
50	Common Stock	National Oilwell Varco Inc	$ 1,633.50
100	Common Stock	National Presto Inds	$ 10,645.00
41	Common Stock	Netapp Inc	$ 1,660.09
35	Common Stock	Netgear Inc	$ 1,468.25
1300	Common Stock	Nevsun Resources Ltd	$ 3,120.00
43	Common Stock	New Jersey Resources Corp	$ 1,801.70
250	Common Stock	Newmont Mining Corp	$ 8,537.50
12	Common Stock	News Corp CL A	$ 160.56
47	Common Stock	News Corp CL B	$ 643.90
247	Common Stock	Nextera Energy Inc	$ 34,935.68
218	Common Stock	Nielsen Holdings PLC	$ 8,388.64
336	Common Stock	Nike Inc.	$ 17,804.64
532	Common Stock	Nisource Inc	$ 13,869.24
20	Common Stock	Nordson Corp	$ 2,317.60
33	Common Stock	Nordstrom Inc.	$ 1,379.40
136	Common Stock	Norfolk Southern Co.	$ 16,868.08
263	Common Stock	Northern Trust Corp	$ 22,996.72
139	Common Stock	Northrop Grumman Corp	$ 36,031.58
12	Common Stock	Now Inc DE	$ 198.12
50	Common Stock	Nucor Inc	$ 2,905.00
524	Common Stock	Nvidia Corp	$ 75,639.40
12	Common Stock	Nvr Inc	$ 27,388.56
637	Common Stock	NXP Semiconductors Nv	$ 70,006.30
450	Common Stock	Oasis Petroleum Corp	$ 4,392.00
1300	Common Stock	Occidental Petroleum Corp.	$ 76,609.00

Shares	Security Type2	Security Name	Statement Market Value
34	Common Stock	Oceaneering Intl Inc	$ 828.92
81	Common Stock	Omnicom Group	$ 6,781.32
37	Common Stock	One Gas Inc	$ 2,614.05
1000	Common Stock	Opko Health Inc	$ 6,140.00
933.915	Common Stock	Oracle Corp	$ 42,390.40
258	Common Stock	Oshkosh Corp	$ 16,284.96
230	Common Stock	Osisko Gold Royalties Ltd	$ 2,428.80
41	Common Stock	Owens And Minor Inc Hldgs Co Inc	$ 1,307.08
1500	Common Stock	Oxford Industries	$ 80,610.00
40	Common Stock	Paccar Inc	$ 2,518.40
600	Common Stock	Pan American Silver Cap	$ 10,464.00
22	Common Stock	Parexel Intl Corp (acquired 10/02/17)	$ 1,778.04
517	Common Stock	Park Hotels & Resorts Inc	$ 13,307.58
333	Common Stock	Paychex Inc	$ 19,723.59
1390	Common Stock	Paypal Holdings Inc	$ 72,571.90
300	Common Stock	Peapack Gladstone Finl Corp	$ 9,117.00
50	Common Stock	Penske Auto Group Inc	$ 2,117.50
48	Common Stock	Pentair PLC	$ 3,178.56
884	Common Stock	Pepsico Inc	$ 103,313.08
3345	Common Stock	Pfizer Incorporated	$ 109,214.25
460	Common Stock	Pg&e Corp	$ 31,454.80
682	Common Stock	Philip Morris International	$ 81,703.60
24	Common Stock	Phillips 66	$ 1,826.64
51	Common Stock	Pioneer Natural Resources Co	$ 8,509.86
15	Common Stock	PJT Partners Inc A	$ 580.05
27	Common Stock	Plantronics Inc New	$ 1,428.84
165	Common Stock	Pnc Financial Svc Group	$ 19,585.50
12	Common Stock	Polaris Inds Inc.	$ 1,003.20
1200	Common Stock	Potash Corp	$ 19,824.00
24	Common Stock	Ppg Industries Inc.	$ 2,552.64
66	Common Stock	PRA Group Inc	$ 2,296.80
192	Common Stock	Praxair Inc.	$ 25,399.68
500	Common Stock	Pretium Resources Inc	$ 4,475.00
6	Common Stock	Priceline Group Inc/The	$ 11,262.54
440	Common Stock	Primero Mining Corp (pppm.f 08/15/17)	$ 176.31
4470.535	Common Stock	Procter & Gamble Co	$ 393,809.43
325	Common Stock	Progressive Corp	$ 13,789.75
2000	Common Stock	Providence Service Corp	$ 93,480.00
1020	Common Stock	Pulte Group Inc	$ 23,123.40
244	Common Stock	Pure Storage Inc - Class A	$ 3,157.36
1006.447	Common Stock	Qualcomm	$ 57,639.22
61	Common Stock	Quest Diagnostics Inc	$ 6,634.97
169	Common Stock	Raytheon Co	$ 27,717.69
112	Common Stock	Red Hat Inc	$ 10,031.84
238	Common Stock	Reliance Steel & Aluminum	$ 17,362.10
26	Common Stock	Resmed Inc	$ 1,848.60
400	Common Stock	Riverview Bancorp Inc	$ 2,728.00
25	Common Stock	Robert Half Intl Inc	$ 1,162.25
164	Common Stock	Rock Creek Pharmaceuticals Inc	$ 0.20
600	Common Stock	Rockwell International	$ 95,232.00
198	Common Stock	Ross Stores Inc	$ 12,656.16
83	Common Stock	Royal Gold Inc	$ 6,674.86
30	Common Stock	Rpm International Inc	$ 1,626.90

Shares	Security Type2	Security Name	Statement Market Value
1625	Common Stock	Salesforce.Com Inc	$ 145,665.00
46	Common Stock	Sally Beauty Co Inc	$ 828.92
1931	Common Stock	Schlumberger Ltd	$ 134,378.29
1175	Common Stock	Seagate Technology	$ 51,194.75
201	Common Stock	Sealed Air Corp	$ 8,928.42
19	Common Stock	Sears Canada Inc (srscq 07/10/17)	$ 18.05
46	Common Stock	Sears Holdings Corp	$ 339.94
2765	Common Stock	Seaspan Corp	$ 14,350.35
33	Common Stock	Sei Investments Co	$ 1,652.97
34	Common Stock	Sensata Technologies Holding	$ 1,374.62
65	Common Stock	Sherwin Williams	$ 21,565.05
16	Common Stock	Signature Bank	$ 2,288.32
58	Common Stock	Silgan Holding Inc	$ 1,844.98
400	Common Stock	Silver Standard Res. Inc (ssrm 08/03/17)	$ 3,800.00
186	Common Stock	Smith A O	$ 10,205.82
136	Common Stock	Smucker J. M. Co. New	$ 17,387.60
121	Common Stock	Snap On Inc	$ 19,560.86
123.991	Common Stock	Southern Company	$ 6,275.18
86	Common Stock	Southern Copper Corp	$ 3,006.56
75	Common Stock	Splunk Inc	$ 4,593.00
261	Common Stock	Sprint Corporaton	$ 2,215.89
100	Common Stock	Sprouts Farmers Markets Inc	$ 2,396.00
1000	Common Stock	Square Inc - A	$ 22,990.00
879	Common Stock	Starbucks Corp.	$ 55,913.19
75	Common Stock	State Street Corp	$ 6,109.50
18	Common Stock	Steris PLC	$ 1,396.08
32	Common Stock	Stratasys LTD	$ 860.80
250	Common Stock	Sun Bancorp Inc-NJ	$ 6,187.50
220	Common Stock	Suntrust Banks	$ 11,741.40
8000	Common Stock	Supervalu Inc (svu 08/02/17)	$ 30,800.00
12	Common Stock	SVB Financial Group	$ 2,046.00
701	Common Stock	Synchrony Financial	$ 18,821.85
14	Common Stock	Synovus Financial Corp	$ 572.32
46	Common Stock	Sysco Corp	$ 2,509.76
524	Common Stock	Target Corp	$ 28,898.60
123	Common Stock	TE Connectivity LTD	$ 9,698.55
14	Common Stock	Tech Data Corp	$ 1,357.58
54	Common Stock	TechnipFMC PLC	$ 1,563.30
765	Common Stock	TEGNA Inc	$ 18,161.10
21	Common Stock	Tenneco Inc	$ 1,193.85
49	Common Stock	Teradata Corp Del	$ 1,335.74
15	Common Stock	Texas Capital Bancshares Inc	$ 1,101.00
954	Common Stock	Texas Instruments Inc	$ 78,695.46
32	Common Stock	Texas Roadhouse Inc Cl A	$ 1,565.44
569	Common Stock	The Bank of New York Mellon Corp	$ 26,811.28
87	Common Stock	The Kraft Heinz Co	$ 8,021.40
886	Common Stock	Thermo Fisher Scientific Inc	$ 153,091.94
266	Common Stock	Tiffany & Co New	$ 23,131.36
21	Common Stock	Time Inc	$ 262.50
346	Common Stock	Time Warner Inc	$ 34,423.54
160	Common Stock	Tivity Health Inc	$ 5,432.00
241	Common Stock	Tjx Companies	$ 18,125.61
104	Common Stock	T-Mobile US Inc	$ 7,011.68

Shares	Security Type2	Security Name	Statement Market Value
26	Common Stock	Toro Co	$ 1,780.74
23	Common Stock	Transocean Ltd	$ 209.07
15	Common Stock	Travelers Cos Inc	$ 1,872.75
71	Common Stock	TreeHouse Foods Inc	$ 5,479.78
75	Common Stock	Tripadvisor Inc	$ 2,888.25
25	Common Stock	Tutor Perini Corp	$ 648.75
48	Common Stock	Twenty-First Century Fox Inc A	$ 1,301.76
190	Common Stock	Twenty-First Century Fox Inc CL B	$ 5,111.00
180	Common Stock	Twitter Inc	$ 3,297.60
32	Common Stock	Tyler Technologies Inc	$ 5,468.16
195	Common Stock	Tyson Foods Inc Cl A	$ 11,181.30
108	Common Stock	UBS Group AG	$ 1,716.12
25	Common Stock	Umb Financial Corp	$ 1,750.75
327	Common Stock	Under Armor Inc - Cl A	$ 6,265.32
252.999696	Common Stock	Under Armor Inc - CL C	$ 4,510.98
15	Common Stock	Unifirst	$ 2,125.50
18	Common Stock	Union Pacific Corp.	$ 1,985.40
50	Common Stock	United Insurance Holdings Co	$ 811.50
914	Common Stock	United Parcel Service	$ 96,856.58
782	Common Stock	United Technologies Corp.	$ 94,840.96
598	Common Stock	Unitedhealth Group Inc	$ 104,757.64
50	Common Stock	Universal Technical Institute	$ 183.50
3518.224	Common Stock	US Bancorp - Del	$ 179,042.42
100	Common Stock	Vail Resorts Inc.	$ 21,390.00
504	Common Stock	Validus Holdings Ltd	$ 26,913.60
10	Common Stock	Valmont Industries	$ 1,464.00
22	Common Stock	Varian Medical Systems	$ 2,178.44
71	Common Stock	Verisk Analytics Inc CL A	$ 5,743.19
4926	Common Stock	Verizon Communications	$ 229,748.64
50	Common Stock	Vertex Pharmaceuticals	$ 6,180.00
500	Common Stock	Viacom Inc Class B	$ 17,395.00
9	Common Stock	Viavi Solutions Inc	$ 101.07
1798	Common Stock	Visa Inc Class A Shares	$ 171,223.54
48	Common Stock	VMWare Inc Class A	$ 4,663.20
60	Common Stock	Vulcan Matls Co	$ 7,479.00
297	Common Stock	Wabtec	$ 24,279.75
121	Common Stock	Walgreens Boots Alliance Inc	$ 9,803.42
877	Common Stock	Wal-Mart Stores Inc	$ 68,932.20
136	Common Stock	Waste Management Inc	$ 9,915.76
16	Common Stock	Waters Corporation	$ 2,873.92
350	Common Stock	Weatherford International PLC	$ 1,680.00
1542	Common Stock	WEC Energy Group Inc	$ 96,775.92
5042.431	Common Stock	Wells Fargo & Co New	$ 257,869.92
435	Common Stock	Western Digital Corp	$ 39,176.10
510	Common Stock	Western New England Bancoerp Inc	$ 5,074.50
521	Common Stock	Western Union Co	$ 9,909.42
1000	Common Stock	Wheaton Precious Metals Corp	$ 20,510.00
76	Common Stock	Whole Foods Market Inc (acquired 08/29/17)	$ 2,659.24
87	Common Stock	Williams Co.	$ 2,488.20
88	Common Stock	Williams Sonoma Inc	$ 4,282.08
210	Common Stock	Wyndham Worldwide Corp	$ 21,207.90
140	Common Stock	Wynn Resorts Ltd	$ 18,018.00
1331	Common Stock	Xerox Corp (xrx 06/15/17)	$ 9,410.17

Shares	Security Type2	Security Name	Statement Market Value
661	Common Stock	Xilinx Inc	$ 44,095.31
2000	Common Stock	XPO Logistics Inc	$ 105,200.00
400	Common Stock	Yahoo Inc (aaba 06/19/17)	$ 20,128.00
1498	Common Stock	Yamana Gold Inc	$ 3,909.78
75	Common Stock	Yum China Holdings Inc	$ 2,880.75
75	Common Stock	Yum! Brands Inc.	$ 5,448.00
84	Common Stock	ZioPharm Oncology Inc	$ 491.40
432	Common Stock	Zoetis Inc	$ 26,904.96
4334	ETP	Alerian Mlp ETF	$ 52,008.00
300	ETP	Energy Bull 3X Shares	$ 7,674.00
1534	ETP	Energy Select Sector Spdr	$ 100,384.96
400	ETP	ETFS Palladium Trust	$ 31,340.00
1809	ETP	Fidelity Health Care ETF	$ 66,191.31
126	ETP	Financial Select Sector Spdr	$ 2,929.50
1291	ETP	First Trust Large Cap Value AlphaDEX Fund	$ 64,033.60
225	ETP	Ft-Preferred Secur & Inc Etf	$ 4,470.75
50	ETP	Global X Lithium & Battery Tech ETF	$ 1,490.50
1124	ETP	Guggenheim S&P 500 Equal Weight	$ 103,329.32
70	ETP	Guggenheim S&P 500 Equal Weight Healthcare	$ 11,680.20
550	ETP	Isha Curr Hedged MSCI Japan	$ 15,906.00
105	ETP	iShares 1-3 Year Treasury Bond	$ 8,885.10
285	ETP	iShares 20+ Year Treasury Bond	$ 35,454.00
1217	ETP	iShares 3-7 Year Treasury Bond	$ 151,041.87
300	ETP	iShares China Large-Cap ETF	$ 12,042.00
47	ETP	iShares Cohen & Steers REIT ETF	$ 4,700.94
2427	ETP	Ishares Core MSCI EAFE ETF	$ 149,551.74
800	ETP	Ishares Core Msci Emerging	$ 39,872.00
141.215	ETP	Ishares Core Msci Europe	$ 6,767.02
6473	ETP	Ishares Core S&P 500 ETF	$ 1,572,291.70
1698	ETP	Ishares Core S&P Mid-Cap ETF	$ 291,767.34
5975	ETP	Ishares Core S&P Small-Cap ETF	$ 408,271.75
4418.854	ETP	Ishares Core U.S. Aggregate Bond ETF	$ 485,013.42
716	ETP	Ishares Edge MSCI Min Vol Emerging Markets	$ 39,594.80
207	ETP	Ishares Edge MSCI USA Value	$ 15,150.33
6330	ETP	Ishares Gold Trust	$ 77,226.00
88	ETP	iShares iBoxx High Yield Corp	$ 7,801.20
1820	ETP	iShares iBoxx Investment Grade	$ 218,855.00
359	ETP	iShares International Select D	$ 11,911.62
6345.827	ETP	iShares MSCI EAFE ETF	$ 419,205.33
668	ETP	iShares MSCI EAFE Small-Cap ETF	$ 38,924.36
172	ETP	iShares MSCI Emerging Markets	$ 7,086.40
382	ETP	iShares MSCI Emerging Markets	$ 24,509.12
150	ETP	iShares MSCI India ETF	$ 4,882.50
1014	ETP	iShares MSCI Mexico Capped Investable Market Index Fund	$ 52,342.68
300	ETP	iShares Nasdaq Biotechnology ETF	$ 85,725.00
3688.705	ETP	iShares Russell 1000 ETF	$ 495,429.97
2098	ETP	iShares Russell 1000 Growth ETF	$ 250,375.32
2241	ETP	iShares Russell 1000 Value ETF	$ 256,661.73
290	ETP	iShares Russell 2000 ETF	$ 39,532.80
207	ETP	iShares Russell 2000 Growth ETF	$ 33,809.31
471	ETP	iShares Russell 2000 Value ETF	$ 54,150.87
536	ETP	iShares Russell Mid-Cap ETF	$ 102,016.88
600	ETP	ISHARES SILVER TRUST ISHARES	$ 9,834.00

Shares	Security Type2	Security Name	Statement Market Value
251	ETP	iShares TIPS Bond ETF	$ 28,784.68
1372	ETP	iShares U.S. Home Construction	$ 44,466.52
332	ETP	JPM Diversified Ret Intl Eq	$ 18,658.40
338	ETP	Oppenheimer Large Cap Revenue	$ 15,588.56
151	ETP	Oppenheimer Mid Cap Revenue	$ 8,264.23
536	ETP	Pacer Trendpilot US Large Cap	$ 13,823.44
344	ETP	Pacer Trendpilot US Mid Cap ETF	$ 9,607.92
422	ETP	Powershares Ftse Rafi US 150	$ 48,627.06
319	ETP	Powershares FTSE Rafi US 1K	$ 32,751.73
95	ETP	Powershares QQQ Nasdaq 100	$ 13,422.55
2350	ETP	PowerShares S&P 500 Quality Portfolio	$ 65,776.50
2150	ETP	Powershares Taxable Municiple Portfolio	$ 64,607.50
1000	ETP	Prosh Ultrapro Short S&P 500	$ 15,950.00
1490	ETP	Proshares Short QQQ	$ 58,944.40
85	ETP	Proshrs Rsl 2000 Dvd Grw Etf	$ 4,532.20
599	ETP	Schwab Fundamental Em L/C	$ 15,981.32
135	ETP	SPDR Biotech ETF	$ 9,140.85
1600	ETP	Spdr Bloomberg Barclays Short Term Corp Bond (spsb 10/16/1	$ 49,072.00
300	ETP	SPDR Dow Jones Emerging Small Cap	$ 13,815.00
41	ETP	SPDR Dow Jones Industrial Average ETF Trust	$ 8,610.00
3200	ETP	SPDR GOLD TRUST GOLD SHS	$ 385,984.00
3708	ETP	SPDR S&P 500 ETF Trust	$ 895,259.52
600	ETP	Spdr S&P Bank ETF	$ 24,678.00
5632	ETP	Spdr S&P Dividend	$ 499,783.68
12	ETP	Spdr S&P Midcap 400 EFT Trust	$ 3,760.44
1000	ETP	Spdr S&P Regional Banking ETF	$ 51,830.00
284	ETP	Sprott Physical Gold Trust	$ 2,936.56
739	ETP	Sprott Physical Platinum And	$ 5,808.54
1341	ETP	Sprott Physical Silver Trust	$ 8,796.96
40	ETP	VanEck Vectors Global Alt Energy	$ 2,337.20
1180	ETP	VanEck Vectors Gold Miners	$ 26,774.20
1000	ETP	VanEck Vectors Jr Gold Miners ETF	$ 31,430.00
169	ETP	Vanguard Dividend Apprec ETF	$ 15,705.17
100	ETP	Vanguard Energy ETF	$ 8,955.00
587	ETP	Vanguard FTSE All WO X US SC	$ 63,202.29
7223	ETP	Vanguard FTSE All-World Ex-US	$ 363,461.36
8497	ETP	Vanguard FTSE Developed Markets ETF	$ 352,965.38
2294.578	ETP	Vanguard FTSE Emerging Market	$ 93,481.11
2187	ETP	Vanguard Glbl Ex-Us Real Est	$ 123,423.35
2359	ETP	Vanguard Growth ETF	$ 301,763.28
3823	ETP	Vanguard High Dividend Yield	$ 297,697.01
10760	ETP	Vanguard Intermediate Term C	$ 944,943.20
17750	ETP	Vanguard International Bond	$ 970,925.00
920	ETP	Vanguard Mid-Cap ETF	$ 130,778.00
1841	ETP	Vanguard Reit ETF	$ 151,311.79
1207	ETP	Vanguard Russell 1000 Growth	$ 147,700.59
817	ETP	Vanguard S&P 500 ETF	$ 181,104.39
3050	ETP	Vanguard S/T Corp Bond Etf	$ 244,457.50
12538	ETP	Vanguard Short Term Bond ETF	$ 1,003,416.14
1639.007	ETP	Vanguard Small- Cap ETF	$ 217,873.20
87	ETP	Vanguard Small Cap Value ETF	$ 10,473.93
2895	ETP	Vanguard Total Bond Market	$ 237,274.20
10071	ETP	Vanguard Total Intl Stock Et	$ 525,706.20

Shares	Security Type2	Security Name	Statement Market Value
4688	ETP	Vanguard Total Stock Market ETF	$ 580,608.80
17839	ETP	Vanguard Value ETF	$ 1,701,840.60
5352.018	ETP	Wisdomtree EM Small Cap	$ 245,068.90
1125	ETP	Wisdomtree Europe Hedged Equ	$ 73,462.50
150	ETP	Wisdomtree India Earnings	$ 3,738.00
50	ETP	Wisdomtree JAP s/c DVD Fund	$ 3,473.00
1446	ETP	Wisdomtree Japan Dividend Fund	$ 73,731.54
3805	ETP	Xtrackers MSCI EAFE Hedged Equity ETF	$ 116,889.60
19086.229	Mutual Fund	AB Global Bond Fund A	$ 161,660.36
5837.821	Mutual Fund	AB Small Cap Core-Adv	$ 66,434.40
9250	Mutual Fund	Aberdeen Asia Pacific Income Fd	$ 46,527.50
24972.626	Mutual Fund	Acuitas Intl Small Cap-Ins	$ 253,971.61
18956.529	Mutual Fund	Acuitas US Microcap-Inst	$ 230,511.39
7080.566	Mutual Fund	AllianceBernstein Discovery Growth Fund, Inc. - Advisor	$ 74,487.55
3147.437	Mutual Fund	AllianceBernstein Discovery Value Fund - Advisor	$ 68,897.40
1423028.418	Mutual Fund	AllianceBernstein Discovery Value Fund I	$ 30,282,044.74
91469.485	Mutual Fund	Alliancebernstein Gl Bd Adv	$ 773,831.84
2556.293	Mutual Fund	Alliancebernstein Int Value R	$ 35,276.84
622.901	Mutual Fund	Alliancebernstein Large-Cap Growth Adv	$ 29,986.45
6918.348	Mutual Fund	Alliancebernstein Rea Est A	$ 100,316.05
74015.153	Mutual Fund	Alliancebernstein Real Ast-1	$ 609,884.86
7388.751	Mutual Fund	Alliancebernstein Reit Inst Fd Cl I	$ 80,094.06
1731.844	Mutual Fund	Alliancebernstein Value F AD	$ 25,492.74
48545.93	Mutual Fund	Alliancebernstein Wealth Adv	$ 764,598.40
4621.072	Mutual Fund	Am Beac Grosvnr Lng/Shrt-Ins	$ 50,184.84
1325.624	Mutual Fund	Amcent Equity Income Inv	$ 12,222.25
2485.239	Mutual Fund	Amcent Ultra Inv	$ 100,652.18
16391.291	Mutual Fund	Amer Funds Amer Bal A	$ 432,238.34
2746.599	Mutual Fund	Amer Funds Capwrldbd A	$ 54,492.52
479.791	Mutual Fund	Amer Funds Capwrldgi R2	$ 23,193.10
1015.265	Mutual Fund	Amer Funds Grth Fund R2	$ 45,829.06
3132.509	Mutual Fund	Amer Funds Grth Fund R5	$ 148,199.00
18031.932	Mutual Fund	Amer Funds Inc Fund A	$ 409,685.50
11429.873	Mutual Fund	Amer Funds Washington A	$ 497,656.67
5555.556	Mutual Fund	American Beacon Ionic St-Ins	$ 50,055.56
4830.918	Mutual Fund	American Beacon Sound Point Floating Rate Income Fund Instl	$ 50,000.00
3620.405	Mutual Fund	American Capital Income Builder A	$ 223,885.85
8088.607	Mutual Fund	American Europacific Growth A	$ 425,056.30
5787.941	Mutual Fund	American Europacific Growth F2	$ 303,519.63
2559.318	Mutual Fund	American Fundmntl Invst R5	$ 153,277.56
10656.27	Mutual Fund	American Funds Growth Fund of America A	$ 504,148.13
12098.205	Mutual Fund	American Funds Investment Co of America A	$ 470,257.23
1200.629	Mutual Fund	American Grw Fd Of Amer F2	$ 56,729.72
2680.453	Mutual Fund	American Small Cap World R5	$ 142,894.95
2401.484	Mutual Fund	American Wash Mut Inv F2	$ 104,512.58
5194.858	Mutual Fund	Angel Oak Multi-Strat Inc-Is	$ 58,649.95
4030.246	Mutual Fund	Apexcm Small/Mid Cap Growth	$ 71,536.87
7632.287	Mutual Fund	Aqr Equity Market Neutral-I	$ 92,427.00
5110.17	Mutual Fund	Aqr Long-Short Equity-I	$ 70,367.04
6385.957	Mutual Fund	Aqr Managed Futures Str-I	$ 57,473.61
8291.291	Mutual Fund	Aqr Mgd Futures St Hv-I	$ 73,212.10
31900.683	Mutual Fund	Aqr Mgd Futures St Hv-R6	$ 282,002.04
11014.813	Mutual Fund	Aqr Style Premia Alt-I	$ 110,478.57

Shares	Security Type2	Security Name	Statement Market Value
5356.821	Mutual Fund	Bernstein Emerg Mkts Val	$ 153,365.79
114613.535	Mutual Fund	Bernstein Intermediate Duration Portfolio	$ 1,517,483.20
36740.829	Mutual Fund	Bernstein Intl Val II	$ 626,063.73
38169	Mutual Fund	BIF Money Fund	$ 38,169.00
8481.894	Mutual Fund	Blackrock High Yield Portfolio	$ 66,158.77
25762.363	Mutual Fund	Blackrock Strat Inc Opp-Ins	$ 255,562.64
2807.097	Mutual Fund	Boston Partners Global Long/Short Fund Cls Instl	$ 31,720.20
1465.606	Mutual Fund	Boston Partners Long/Short Research Fd Cls Instl	$ 23,347.10
8656.306	Mutual Fund	Boston Partners Small Cap Value II Fund Cls Inv	$ 204,288.82
6568.548	Mutual Fund	Brandes Intl S/C Equity-I	$ 93,798.87
2305.457	Mutual Fund	Broadview Opportunity Fund	$ 81,474.85
1315.525	Mutual Fund	Calamos Convertible Fund-R	$ 22,679.65
3547.422	Mutual Fund	Cgm Realty	$ 111,779.27
8282.63	Mutual Fund	City National Rochdale Fixed Income Opportunities Fund Cl N	$ 215,348.38
25110.746	Mutual Fund	City Natl High Yield Bond-Sv	$ 201,890.40
1287.83	Mutual Fund	City Natl Rochdale Em Mkt-Y	$ 60,450.74
16334.8	Mutual Fund	City Natl Rochdale Gov Mm-Sv	$ 16,334.80
556.364	Mutual Fund	Cohen & Steers Pr Sec&Inc-I	$ 7,833.61
3968.254	Mutual Fund	Cohen & Steers Real Assets-I	$ 34,722.22
965.841	Mutual Fund	Cohen & Steers Realsh	$ 64,209.11
964.008	Mutual Fund	Cohen & Steers Realsh In	$ 42,204.27
2429.957	Mutual Fund	Columbia Acorn Fund A	$ 35,720.37
917.644	Mutual Fund	Columbia Contrarian Core R	$ 22,500.63
1648.002	Mutual Fund	Credit Suisse Comm Ret St CO	$ 7,959.85
25384.548	Mutual Fund	Davis NY Venture A	$ 830,074.72
8432.387	Mutual Fund	Davis Ny Venture Y	$ 281,557.40
816.497	Mutual Fund	Delaware Emerging Markets	$ 14,484.66
1357.455	Mutual Fund	Delaware Emerging Markets-R	$ 24,081.25
373.174	Mutual Fund	Delaware Small Cap Core Ins	$ 8,713.61
2711233.609	Mutual Fund	Delaware Value Fund-I	$ 54,170,447.51
30385.614	Mutual Fund	Deutsche Floating Rate Fund-S	$ 254,023.73
8314.43	Mutual Fund	DFA Commodity Strategy Port	$ 47,724.83
25818.373	Mutual Fund	DFA Emerging Markets Core Equity	$ 528,502.10
176.28	Mutual Fund	DFA Emerging Markets I	$ 4,752.51
2318.145	Mutual Fund	DFA Emerging Markets Small Cap	$ 50,187.84
165.118	Mutual Fund	DFA Emerging Markets Value	$ 4,631.56
9432.519	Mutual Fund	DFA Five Year Global Fixed Income	$ 103,946.36
4852.807	Mutual Fund	DFA Global Real Estate	$ 51,925.03
51908.324	Mutual Fund	DFA International Core Equity	$ 685,708.96
28847.161	Mutual Fund	DFA International Small Cap Value	$ 617,329.25
404.206	Mutual Fund	DFA International Value	$ 7,380.80
7849.984	Mutual Fund	DFA Short-Dur Infl Pro-Ins	$ 78,028.84
5994.006	Mutual Fund	DFA Targeted Credit Port-Ins	$ 60,119.88
26172.837	Mutual Fund	DFA US Core Equity 2 Portfolio	$ 508,538.22
5087.408	Mutual Fund	DFA US Large Cap Value	$ 185,029.03
1049.094	Mutual Fund	DFA US Micro Cap	$ 21,443.48
4638.735	Mutual Fund	DFA US Small Cap	$ 155,583.17
3246.753	Mutual Fund	DFA US Small Cap Value	$ 116,038.95
3743.126	Mutual Fund	Diamond Hill Long Short Fund I	$ 97,208.98
9232.708	Mutual Fund	Dodge & Cox Income	$ 127,688.35
1651.78	Mutual Fund	Dodge & Cox Intl Stock	$ 72,265.38
554.258	Mutual Fund	Dodge & Cox Stock	$ 105,624.95
11873.761	Mutual Fund	Doubleline Floating Rate-I	$ 117,787.71

Shares	Security Type2	Security Name	Statement Market Value
25241.929	Mutual Fund	Doubleline Total Return Bond I	$ 270,845.90
4712.779	Mutual Fund	Dreyfus Emerging Markets	$ 48,588.75
6362.341	Mutual Fund	Dreyfus Opportunistic Midcap Value	$ 211,038.85
373740.051	Mutual Fund	Eaton Vance Atlanta SMID A	$ 10,229,265.20
3288.045	Mutual Fund	Eaton Vance Atlanta SMID I	$ 98,707.11
8046.035	Mutual Fund	Eaton Vance Fl Rate Adv-I	$ 87,701.78
15316.307	Mutual Fund	Eaton Vance Global Macro I	$ 139,684.72
32941.243	Mutual Fund	Elements US Small Cap Port	$ 323,153.59
942064.46	Mutual Fund	EuroPacific Growth R6	$ 49,496,066.73
1525.522	Mutual Fund	Famco MLP & Energy Income I	$ 13,790.72
14963.59	Mutual Fund	Federated Strategic Value Dividend In	$ 95,766.98
7919.01	Mutual Fund	Federated Treas Oblig-AS	$ 7,919.01
11501.445	Mutual Fund	Fidelity Adv Intl Growth-I	$ 146,183.37
1330.132	Mutual Fund	Fidelity Adv Technology Fd-C	$ 57,967.15
5007.753	Mutual Fund	Fidelity Advisor Diversified International Fund Z	$ 112,023.43
16467.884	Mutual Fund	Fidelity Advisor Limited Term Bond-Class I	$ 190,039.38
3585.68	Mutual Fund	Fidelity Advisor New Insights-Class I	$ 109,076.39
870.328	Mutual Fund	Fidelity Advisor Real Estate Income-Class I	$ 10,635.41
6268.625	Mutual Fund	Fidelity Advisor Total Bd-Z	$ 66,948.92
4138.055	Mutual Fund	Fidelity Asset Manager 70%	$ 88,430.24
127364.05	Mutual Fund	Fidelity Cash Reserves	$ 127,364.05
50634.155	Mutual Fund	Fidelity Conservative Income Bond Instl	$ 508,366.92
3212.721	Mutual Fund	Fidelity Contrafund	$ 368,499.10
2252.304	Mutual Fund	Fidelity Emerging Markets Index Fund Advantage	$ 22,410.42
5319.366	Mutual Fund	Fidelity Floating Rate H/Inc	$ 51,438.27
44445.9	Mutual Fund	Fidelity Government Money Market Fund	$ 44,445.90
2122.432	Mutual Fund	Fidelity Low-Priced Stk	$ 112,446.45
5071.628	Mutual Fund	Fidelity New Millennium	$ 194,243.35
410.587	Mutual Fund	Fidelity Otc	$ 41,986.63
510.317	Mutual Fund	Fidelity Sel Biotech	$ 97,118.43
603.925	Mutual Fund	Fidelity Select Semiconductors Porfolio	$ 64,215.35
502.135	Mutual Fund	Fidelity Select Software and IT Services Portfolio	$ 75,968.00
469.323	Mutual Fund	Fidelty Total Bond Fund	$ 5,021.76
13276.433	Mutual Fund	First Eagle Overseas - I	$ 333,371.23
100	Mutual Fund	First Trust Intermediate Dur	$ 2,457.00
1730.205	Mutual Fund	Foundry Partners Fundamental Small Cap Value Fund – Institut	$ 39,258.35
37.263	Mutual Fund	Franklin Sm Cap Gr II C	$ 615.21
248.247	Mutual Fund	Gateway Fund Y	$ 7,966.25
2821.897	Mutual Fund	Global Long/Short Equity Fund Cl I	$ 32,451.82
49.222	Mutual Fund	Goldman Sachs S/M Cap Gth-C	$ 915.04
1252.203	Mutual Fund	Harbor Intl	$ 84,260.74
553.354	Mutual Fund	Harding Loevner Emerging Markets Advisor Class	$ 28,813.14
129.498	Mutual Fund	Hartford Equity Income Fd-C	$ 2,505.79
5445.676	Mutual Fund	Henderson Global Equity Inc I (hfqi.x 6/2/17)	$ 42,530.73
38.367	Mutual Fund	Income Fd Amer Inc Cl C	$ 860.19
6626.403	Mutual Fund	Intl Growth & Income Fd A	$ 212,773.80
4411.16	Mutual Fund	Invesco European Sm Co Fd-Y	$ 71,196.12
8231.822	Mutual Fund	Invesco Small Cap Value Fund A	$ 152,617.98
142.606	Mutual Fund	James Alpha Gl Real Est-I	$ 2,715.22
1185.064	Mutual Fund	Janus Henderson Flexible Bond Fund I Shares	$ 12,348.37
507.554	Mutual Fund	Janus Henderson Global Life Sciences Fund I Shares	$ 26,311.60
32.996	Mutual Fund	Janus Henderson Global Life Sciences Fund T Shares	$ 1,703.58
1072.178	Mutual Fund	Janus Henderson Global Select Fund T Shares	$ 15,975.45

Shares	Security Type2	Security Name	Statement Market Value
1887.356	Mutual Fund	Janus Henderson Research Fund T Shares	$ 83,666.49
830.895	Mutual Fund	John Hancock III-Int Grw-I	$ 20,332.00
57559.12	Mutual Fund	JP Morgan US Government Money Market Investor	$ 57,559.12
18836.172	Mutual Fund	JPMorgan Str Income Opp I	$ 220,006.49
8465.059	Mutual Fund	Loomis Sayles Bond Fund-Inst	$ 118,934.08
11429.394	Mutual Fund	Lord Abbett Floating Rate-A	$ 105,264.72
20804.438	Mutual Fund	Lord Abbett International Div Inc A	$ 152,496.53
43046.41	Mutual Fund	Lord Abbett Sht Dur Income F	$ 185,099.56
7571.167	Mutual Fund	Lord Abbett Sht Dur Incom-R2	$ 32,631.73
5288.534	Mutual Fund	Mairs & Power Small Cap Fund	$ 132,319.12
2067.074	Mutual Fund	Marsico Focus	$ 37,538.06
4752.073	Mutual Fund	Matthews Pacific Tiger	$ 130,111.76
2312.131	Mutual Fund	Merger Fund	$ 36,924.73
3260.981	Mutual Fund	Merger Fund-Inst	$ 51,816.99
3855217.0880000	Mutual Fund	Metro West Total Ret	$ 41,173,718.50
28579.464	Mutual Fund	Metro West Total Ret I	$ 305,228.68
1166.101	Mutual Fund	Mfs Value Fund R2	$ 44,195.23
5437.498	Mutual Fund	MFS Value I	$ 209,343.67
3508.127	Mutual Fund	Miller Convertible Bd Fd-I	$ 44,833.86
4081.399	Mutual Fund	Miller Opportunity Trust Class I	$ 93,953.80
30351.394	Mutual Fund	Neuberger Berman Long Sh Ins	$ 418,849.24
3424.501	Mutual Fund	New World Fund F2	$ 204,956.38
800728.67	Mutual Fund	Northern Inst Divs Assets Sh	$ 800,728.67
5500	Mutual Fund	Nuveen Build America Bond Op	$ 119,405.00
1000	Mutual Fund	Nuveen Build American Bond	$ 21,040.00
1000	Mutual Fund	Nuveen Mortgage Opportunity	$ 25,240.00
914.966	Mutual Fund	Nuveen Small Cap Value R3	$ 20,861.22
2174.112	Mutual Fund	Oakmark International I	$ 57,092.18
8038.847	Mutual Fund	Oppenheimer Developing Markets I	$ 301,778.32
488482.25	Mutual Fund	Oppenheimer Developing Markets Y	$ 18,332,738.84
26.09	Mutual Fund	Oppenheimer Glob Oppty C	$ 1,345.46
62382.687	Mutual Fund	Oppenheimer International Bond Fd-Y	$ 364,938.72
310.385	Mutual Fund	Oppenheimer Senior Floating Rate A	$ 2,529.64
30420.538	Mutual Fund	Pacific Financial Core Eq-Iv	$ 302,988.56
10527.837	Mutual Fund	Pacific Financial Explor-Iv	$ 110,437.01
18119.666	Mutual Fund	Pacific Financial Inter-Iv	$ 105,637.65
3558.444	Mutual Fund	Parnassus Mid Cap Fund	$ 109,955.92
17900.779	Mutual Fund	Pax Glbl Env Mkt-Ins	$ 271,375.81
486.176	Mutual Fund	Perrit Micro Cap Opportunities	$ 17,045.33
2106.532	Mutual Fund	Pimco All Asset Fund D	$ 25,046.67
43086.662	Mutual Fund	Pimco All Assets All Auth Is	$ 383,902.16
91288.043	Mutual Fund	Pimco All Assets All Authority P	$ 814,289.34
9724.586	Mutual Fund	Pimco Commodity RealReturn Strategy Inst	$ 65,835.45
4240.991	Mutual Fund	Pimco Commodity Rr Strat D	$ 28,117.77
30980.969	Mutual Fund	Pimco Emg Mkts Full Spec-Is	$ 240,412.32
10207.698	Mutual Fund	Pimco Foreign Bond D	$ 107,793.29
24804.782	Mutual Fund	Pimco High-Yield Instl	$ 223,739.13
4607.938	Mutual Fund	Pimco Income Fund-D	$ 56,861.95
5404.289	Mutual Fund	Pimco Income Fund-P	$ 66,688.93
10747.699	Mutual Fund	Pimco Income Funds Inst	$ 132,626.61
301.137	Mutual Fund	Pimco Low Duration Instl	$ 2,969.21
3894219.2210000	Mutual Fund	Pioneer Fundamental Growth Y	$ 85,439,169.71
30353.411	Mutual Fund	Principal Gl Mult Strat-P (psmi.x 6/23/17)	$ 340,868.81

Shares	Security Type2	Security Name	Statement Market Value
2541521.099	Mutual Fund	Principal High Yield Inst	$ 18,908,916.98
46715.947	Mutual Fund	Principal High Yield-P (phyt.x 6/23/17)	$ 349,902.44
12485.023	Mutual Fund	Prof Managed Osterweis Str I	$ 142,454.11
462.3547	Mutual Fund	Profunds Ultrabear Inv	$ 15,313.19
0.009	Mutual Fund	Profunds US Government Pl-IN	$ 0.49
2409672.197	Mutual Fund	Prudential Stable Value Fund	$ 78,715,208.34
244.121	Mutual Fund	Putnam Capital Spectrum Y	$ 8,993.42
633.479	Mutual Fund	Putnam Capital Spectrum-R	$ 22,792.57
6247.004	Mutual Fund	Putnam Diversified Inc Tr-R	$ 43,541.62
682.591	Mutual Fund	Putnam Equity Spectrum-Y	$ 27,822.41
10113.275	Mutual Fund	Rbc Emerging Markets Eq I	$ 118,729.85
7106.173	Mutual Fund	Riverpark/Wedgewood-Ins	$ 130,042.97
4013.642	Mutual Fund	Royce Total Return	$ 54,826.35
24001.817	Mutual Fund	Russell Emerging S	$ 447,873.91
21171.287	Mutual Fund	Russell Global Infrastr-S	$ 261,041.97
70798.957	Mutual Fund	Russell Multifactor International Equity Fund-Y	$ 678,962.00
109705.131	Mutual Fund	Russell Multifactor US Equity Fund-Y	$ 1,366,925.93
8105.011	Mutual Fund	Russell Real Estate Securities S	$ 276,705.08
31216.117	Mutual Fund	Russell Strat Call Overw-S	$ 401,439.26
2123.094	Mutual Fund	Sanford C Bern Overlay A-1	$ 26,708.52
1712.531	Mutual Fund	Sanford C Bern Overlay B-1	$ 18,409.71
6347.854	Mutual Fund	Schwab S&P 500 Index	$ 237,346.26
715.888	Mutual Fund	Skybridge Dividend Val-I	$ 8,010.79
65.738	Mutual Fund	Ssga S&p 500 Index	$ 2,308.72
27405.736	Mutual Fund	Stone Ridge All Asset Var Rk	$ 298,174.41
16083.41	Mutual Fund	Stone Ridge Alt Lending Risk	$ 166,463.29
29778.426	Mutual Fund	Stone Ridge Hy Reinsur-I	$ 300,464.32
27306.164	Mutual Fund	Stone Ridge Reinsur Risk Pre	$ 284,257.17
26417.457	Mutual Fund	Stone Ridge US Mstr Var-I	$ 294,290.47
4938.306	Mutual Fund	Symons Value Instl Fund	$ 57,086.82
4877.869	Mutual Fund	T Rowe Price Emer Mkts Bd Fd	$ 62,290.39
1579.465	Mutual Fund	T. Rowe Price Blue Chip	$ 136,055.12
2782.82	Mutual Fund	T. Rowe Price Euro Stock	$ 56,129.48
175.971	Mutual Fund	T. Rowe Price Intl Disc	$ 11,191.76
2478.304	Mutual Fund	TCW Galileo Emrg Mkts Income I	$ 20,991.23
5430.042	Mutual Fund	Templeton Global Bond Fd - Ad	$ 65,812.11
957.756	Mutual Fund	Thornburg Intl Value Fd R3	$ 25,246.45
1076.672	Mutual Fund	Thornburg Inv Income Builder R3	$ 22,577.81
4872.609	Mutual Fund	Thornburg Ltd Term Income R3	$ 65,634.04
106.588	Mutual Fund	Transamerica Asset Allocation - Growth C	$ 1,587.10
2690.996	Mutual Fund	Tweedy Browne Global Value	$ 75,024.97
6153.468	Mutual Fund	Tweedy Browne Value	$ 138,514.56
45975.297	Mutual Fund	US Vector Equity Portfolio	$ 814,222.51
10985.069	Mutual Fund	Vanguard 500 Index Adm	$ 2,453,844.71
146.667	Mutual Fund	Vanguard 500 Index Inv	$ 32,756.61
590451.551	Mutual Fund	Vanguard Balanced Index Institutional	$ 19,331,383.78
10705.551	Mutual Fund	Vanguard Developed Markets Index - Inv	$ 110,588.34
943.87	Mutual Fund	Vanguard Emerging Markets Adm	$ 31,940.56
229.2	Mutual Fund	Vanguard Energy Fund-Inv	$ 11,246.84
767.915	Mutual Fund	Vanguard Equity Income Admiral	$ 55,151.66
11478.92	Mutual Fund	Vanguard GNMA Adm	$ 121,561.76
3042.989	Mutual Fund	Vanguard Health Care	$ 623,934.46
6688.963	Mutual Fund	Vanguard High Yield Corp Admiral	$ 39,866.22

Shares	Security Type2	Security Name	Statement Market Value
3000.459	Mutual Fund	Vanguard Inflation-Protected Securities Invst	$ 39,636.06
252319.664	Mutual Fund	Vanguard Inst Idx	$ 55,608,730.75
39361.768	Mutual Fund	Vanguard Intm Bd Idx	$ 451,873.10
6139.697	Mutual Fund	Vanguard Large Cap Index	$ 274,751.44
1441.36	Mutual Fund	Vanguard Mid Cap Idx	$ 55,939.18
928.071	Mutual Fund	Vanguard Mid Cap Index Adm	$ 163,405.46
1004.655	Mutual Fund	Vanguard Reit Index	$ 27,447.17
466.164	Mutual Fund	Vanguard REIT Index Fund Adm	$ 54,336.08
1136206.469	Mutual Fund	Vanguard REIT Index Fund Ins	$ 20,497,164.70
2256.245	Mutual Fund	Vanguard S/T Infl Prot-Adm	$ 55,932.31
7541.556	Mutual Fund	Vanguard Short Term Bond Index Invst	$ 79,035.51
5720.49	Mutual Fund	Vanguard Short Term Invest Grade Admiral	$ 61,152.04
4810.044	Mutual Fund	Vanguard Short Term T/E Adm	$ 76,046.80
7945.781	Mutual Fund	Vanguard Sht-Tm Cp	$ 84,940.40
965.633	Mutual Fund	Vanguard Small Cap Index Adm	$ 61,501.17
796.29	Mutual Fund	Vanguard Smcp Idx	$ 50,683.86
787.372	Mutual Fund	Vanguard Tax Managed Small Cap Inv	$ 43,195.23
16748.932	Mutual Fund	Vanguard Total Bd Idx Inv	$ 180,720.98
131034.521	Mutual Fund	Vanguard Total Bond Index Adm	$ 1,413,862.48
2935.688	Mutual Fund	Vanguard US Gr (Liq TBA)	$ 98,815.26
3262.545	Mutual Fund	Vaughan Nelson Value-Opp-Y	$ 69,492.21
1264.197	Mutual Fund	Victory Global Natural Resources Fund Y	$ 27,433.07
1457.404	Mutual Fund	Victory RS Select Growth Fund Y	$ 72,709.89
5731.616	Mutual Fund	Virtus Seix Floating Rate High Income Fund CL I	$ 50,151.64
4364.496	Mutual Fund	Voya Floating Rate-R	$ 43,164.87
4552.737	Mutual Fund	Wells Fargo Absolute Return Fund - Cl Inst	$ 50,307.74
550.591	Mutual Fund	Wells Fargo Short Duration Government Bond Fund - Cl Inst	$ 5,445.34
6095.647	Mutual Fund	Westcore Small Cap Value Dividend Fund Instl	$ 72,538.20
9316.199	Mutual Fund	Western Asset Core Plus Bond Fund I	$ 109,372.18
2859.28	Mutual Fund	Western Asset Core Plus-R	$ 33,510.76
1373.522	Mutual Fund	Western Asset SMASh Series C Fund	$ 13,446.78
3770.046	Mutual Fund	Western Asset SMASh Series EC Fund	$ 35,438.43
3648.495	Mutual Fund	Western Asset SMASh Series M Fund	$ 39,987.51
9959.672	Mutual Fund	Western Ast Macro Opp-I	$ 112,345.10
6812.562	Mutual Fund	William Blair Macro Alloc-I	$ 80,251.98
25000	Partnership Shares	Crescent Development Opportunity Fund	$ 25,000.00
1001	Partnership Shares	Blackstone Group LP	$ 32,912.88
950	Partnership Shares	Cheniere Energy Partners LP	$ 30,542.50
1000	Partnership Shares	Energy Transfer Equity LP	$ 17,040.00
270	Partnership Shares	Enterprise Products Pptns LP	$ 7,238.70
62	Partnership Shares	Eqt Midstream Partners Lp	$ 4,573.12
396	Partnership Shares	Lazard Ltd	$ 17,622.00
535	REIT	AGNC Investment Corp	$ 11,122.65
18	REIT	American Campus Communities	$ 854.28
126	REIT	American Tower REIT Inc	$ 16,529.94
2000	REIT	Annaly Capital Management Inc	$ 23,960.00
26	REIT	Coresite Realty Corp	$ 2,737.28
4578.691	REIT	Digital Realty Trust Inc	$ 541,155.49
38	REIT	Dupont Farbros Technology (dlr 09/14/17)	$ 2,075.94
40	REIT	Eastgroup Properties	$ 3,257.60
90	REIT	Essex Property Trust Inc	$ 23,122.80
3300	REIT	Hannon Armstrong Sustainable	$ 72,303.00
53	REIT	Healthcare Trust Of America	$ 1,626.57

Shares	Security Type2	Security Name	Statement Market Value
1000	REIT	Host Hotels & Resorts Inc	$ 17,990.00
22	REIT	Lamar Advertising Co A	$ 1,540.22
105	REIT	Lasalle Hotel Properties	$ 2,987.25
3367	REIT	Lexington Realty Trust	$ 32,356.87
8	REIT	Mid America Apt Community	$ 815.52
18	REIT	National Health Investors Inc	$ 1,359.36
2309	REIT	New Senior Investment Group	$ 22,027.86
187	REIT	Outfront Media Inc	$ 4,272.95
1136.972	REIT	Prologis	$ 63,147.42
31	REIT	Ryman Hospitality Properties	$ 1,996.71
2121.809	REIT	Seniors Housing Properties Trust	$ 44,855.04
1000	REIT	Starwood Property Trust Inc	$ 22,020.00
54	REIT	Tanger Factory Outlet Centrs	$ 1,407.24
110	REIT	W P Carey Inc	$ 7,175.30
1112	REIT	Weyerhaeuser Co	$ 36,651.52
15000	Bond	CA Inc	$ 15,175.65
90000	Bond	Chevron Corp	$ 95,095.80
5000	Bond	FHLMC Note	$ 5,215.24
2000	Bond	FNMA Note	$ 2,872.84
3000	Bond	General Electric Capital Corp	$ 3,862.80
2000	Bond	Goldman Sachs Group Inc	$ 2,101.44
15000	Bond	IBM Corp	$ 16,188.15
3000	Bond	United Mexican Sts Mtn Be Sr Glbl Nt	$ 3,121.50
40000	Bond	United Parcels Service	$ 40,995.20
3000	Bond	Verizon Communications	$ 3,359.34
60000	Bond	Walmart Stores Inc	$ 61,715.94
2000	Corporate Bond	Comcast Corp	$ 2,669.54
2000	Corporate Bond	Enterprise Products Oper	$ 2,358.82
120000	Corporate Bond	Johnson & Johnson	$ 124,977.60
3000	Corporate Bond	JPmorgan Chase & Co	$ 3,198.59
2000	Corporate Bond	Wells Fargo & Company	$ 2,092.35
3000	DOMESTIC MTN	American Express Credit	$ 3,002.73
2000	DOMESTIC MTN	Bank Of America Corp	$ 2,118.34
39000	Government Bond	US Treasury Bond	$ 44,038.02
2057.82	Government Bond	US Treasury Inflation Indexed Bond	$ 2,099.78
4000	Government Bond	US Treasury Note/Bond	$ 4,109.36
6000	Government Note	FNMA Note	$ 6,048.06
2112.4	Government Note	US Treasury Inflation Indexed Bond	$ 2,118.67
67833.6	Government Note	US Treasury Inflation Indexed Bond	$ 69,401.98
35288.7	Government Note	US Treasury Inflation Indexed Note	$ 35,478.94
2000	Government Note	US Treasury Note/Bond	$ 2,035.94
6000	MBS	Fannie Mae Bm1285	$ 6,473.40
8260.84	MBS 30yr	Freddie Mac G08669	$ 8,728.76
3914.91	MBS 30yr	Freddie Mac G08737	$ 3,936.65
9791.15	MBS 30yr	Freddie Mac G60440	$ 10,169.09
75	Right	Sanofi Aventis Sa Cvr Rights	$ 30.00
2571	Right	Sibanye Gold-Adr Rts-Wi	$ 4,370.70
1	Warrant	Terabit Radio'S Inc Wts 12/16/18	$ 1.00
500	Common Stock	4Licensing Corp (Delisted 2/7/2017)	$ -
386.711	Common Stock	A&Q Multi-Strategy Fund	$ 375,707.91
2500	Common Stock	Alliance Pharmaceutical Cp (Delisted 10/18/2013)	$ -
5000	Common Stock	Coastalsouth Bancshares Inc Com	$ 8,950.00
332460	Common Stock	EarnUp, Inc	$ 17,799.57

Shares	Security Type2	Security Name	Statement Market Value
150000	Common Stock	Navagate Inc Com	$ -
1038	Common Stock	Nortel Networks (delisted 10/14/15)	$ -
167	Common Stock	Spot Mobile International (delisted 02/21/17)	$ -
2500	Limited Partnership	26 Brix LLC	$ 25,000.00
75000	Limited Partnership	737 E Fifth St, LLC	$ 75,000.00
100000	Limited Partnership	Advanced Group 16-111, LP	$ 100,000.00
2	Limited Partnership	Bear Stearns PrIVate Eqty Opp II LP	$ 13,140.00
1	Limited Partnership	Bear Stearns Venture Partners L P	$ 30,002.00
2501	Limited Partnership	California Community LLC Cl A LP	$ 27,543.51
200000	Limited Partnership	Credibility Capital SB I, LLC	$ 200,000.00
166225.16	Limited Partnership	Demeter Capital Group LP	$ 289,597.47
75000	Limited Partnership	DIVersified Pacific Opp Fund I LLC	$ 74,902.50
25000	Limited Partnership	Fenway Summer LLC	$ 25,000.00
1.348	Limited Partnership	Krg Capital Fund II L P	$ 1,088.39
2.208	Limited Partnership	Krg Capital Fund IV LP	$ 137,047.86
290810.52	Limited Partnership	KWK Merger Arbitrage Fund LP	$ 311,225.42
200000	Limited Partnership	Nimes Three Corners Investors, LLC	$ 200,000.00
50000	Limited Partnership	No IFS! LLC	$ 50,000.00
175000	Limited Partnership	NRE Austin Investors, LLC	$ 175,000.00
149215	Limited Partnership	Rosebud International Holdings LLC	$ 123,102.38
335454	Limited Partnership	Rosebud RLH II LLC	$ 354,004.61
152422.192	Limited Partnership	Rosebud Rlh III LLC	$ 180,010.61
122625	Limited Partnership	Rosebud Rlh LLC	$ -
225839	Limited Partnership	Rutherford CFL Holdings, LLC	$ 225,839.00
5000	Limited Partnership	Seven Hills Group LLC	$ 12,430.50
7.082	Limited Partnership	Tennenbaum Opportunities Fd V LLC	$ 47,908.03
280810.823	Limited Partnership	Tennenbaum Opportunities Fund Vi LLC	$ 194,629.98
107320.34	Limited Partnership	Tennenbaum Special Situations Fund IX, LLC	$ 113,072.71
65749.994	Limited Partnership	Westerly Partners LP	$ 130,184.99
77376	Limited Partnership	Westside Capital Partners LLC	$ 77,376.00
24012	Limited Partnership	Westside Sacramento Investors LLC	$ 24,012.00
50000	Preferred Stock	Bookingpal, Inc. Series A Preferred	$ 38,000.00
10802	Preferred Stock	Boostit, Inc. Series Seed Preferred Stock	$ 14,999.66
1020	Preferred Stock	Hello Digit, Inc. Preferred Stock	$ 10,003.45
84949	Preferred Stock	MetaBrite A-2 Preferred Stock	$ 25,484.70
5646	Preferred Stock	Scratch Services Inc Series A Preferred Stock	$ 19,998.70
8019	Preferred Stock	Sipree, Inc. Series Seed 3 Preferred Stock	$ 24,998.43
38208	Preferred Stock	Terabit Radios, Inc Ser A Pfd	$ 49,998.99
40.5732	Private Stock	Credibility Capital Inc	$ 49,999.98
32.9667	Private Stock	Credibility Capital, Inc. Series A-1 Preferred Stock	$ 58,383.41
7600	REIT	American Realty Capital New York City REIT	$ 167,200.00
	Loan	Master Participant Loan	$ 4,016,662.81
			$ 586,035,937.13



PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN
515 S FLOWER ST 25TH FL
LOS ANGELES CA 90071

TD Ameritrade
Institutional

June 12, 2017

Dear Plan Sponsor,

Re: 2017 Supplemental Form 5500 Schedule C

As part of our ongoing effort to assist you in efficiently offering TD Ameritrade brokerage to your plan participants, we are enclosing the "Supplemental Form 5500 Schedule C" for your plan.

This information represents year-end fee disclosure information for your plan's brokerage assets held at TD Ameritrade for plan year 2017, or for the portion of 2017 that TD Ameritrade provided services to your plan.

The enclosed Schedule C summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C. Please refer to the instructions for Form 5500, Schedule C, to determine whether your plan is required to file the form and for additional details of what should be reported.

We appreciate the opportunity to provide brokerage services to your plan. If you have any questions or need additional information, please contact our Partner Services team at 866-423-2683, or PartnerServices@tdameritrade.com. The team is available to assist you from 9 am to 5:30 pm ET, Monday through Friday (excluding market holidays).

Sincerely,

John V. Tovar
Managing Director, Brokerage Services
TD Ameritrade Institutional

Have comments, feedback or suggestions?

Visit: www.research.net/r/SDPSReportSurvey

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TD Ameritrade Institutional

Supplemental Form 5500 Information

Plan Name: PAUL HASTINGS LLP DEFINED CONTRIBUTION RETIREMENT PLAN
Plan ID: 731PHA

Reporting Period: 6/1/2016 through 5/31/2017

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I. SCHEDULE C

FORM 5500, SCHEDULE C

	PLAN YEAR START DATE	PLAN YEAR END DATE
For	**6/1/2016**	**5/31/2017**

This information summarizes the compensation paid to TD Ameritrade for services related to the self-directed brokerage accounts in your plan. Information provided is for the period shown above or for the portion of the plan year that TD Ameritrade provided services for your plan. Amounts paid from the plan may be reportable on the plan's annual Form 5500, Schedule C, if applicable. Please refer to the instructions for Form 5500, Schedule C to determine if your plan is required to file the form and for more details of what should be reported.

Direct Compensation

1. COMMISSIONS	5,183.12	
2. MISC FEES	564.90	
3. TRANSFER FEES	0.00	
4. TOTAL DIRECT COMPENSATION		**5,748.02**

Indirect Compensation

Mutual Fund Revenue

If a participant invests in one or more mutual funds, the following information applies to those investments. TD Ameritrade receives fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services with respect to mutual funds in which the plan invests. These fees may be paid by the fund, by the fund's distributor, transfer agent, administrator, or investment advisor (Fund Affiliate), by a third-party fund service provider, or by a combination of them, pursuant to TD Ameritrade, Inc.'s and/or TD Ameritrade Clearing, Inc.'s agreement with the particular fund, Fund Affiliate, and/or by a by a third-party fund service provider.

The amount of these fees may vary among mutual fund groups, and some mutual funds and Fund Affiliates do not make some or any of these payments available. The maximum amount of marketing and distribution fees that maybe paid to TD Ameritrade depends on the class of shares held by the plan but will not exceed the annual rate of 1.00% of the value of the plan's shares. Typically, shares held by a plan are subject to distribution and/or shareholder servicing fees that will not exceed the annual rate of 0.25% to 0.40%. Fees paid to TD Ameritrade for TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc.'s subaccounting, sub-transfer agency, or similar recordkeeping services may be based on the number of plan accounts (and range from $3.00 to $19.00 per account) or on the assets in the plan accounts (and range from an annual rate of 0.10% to 0.45%), depending on the agreement with the particular fund or Fund Affiliate. Funds may also be subject to a monthly minimum service fee payable to TD Ameritrade, Inc. of $300.

To the extent that fees for marketing and distribution, recordkeeping, subaccounting, sub-transfer agency, and/or shareholder servicing services are paid out of fund assets, they are included in the fund's annual expense ratio and are indirectly borne by the fund's shareholders. For more information regarding such payments made by a particular mutual fund or its Fund Affiliate and details on the particular services to which the payments relate, please go to the fund's website and review its prospectus and statement of additional information or request a copy of these documents from the fund.

In addition to fees paid from fund assets, TD Ameritrade may receive fees for these services from Fund Affiliates and/or third-party fund service providers. These fees may be paid out of the resources of the Fund Affiliate. This compensation may be at different annual rates up to a generally maximum annual rate of 0.15% on plan assets invested in the fund.

Fees paid by a mutual fund, Fund Affiliate, and/or third-party fund service provider are paid through TD Ameritrade Clearing, Inc., who collects these fees on behalf of TD Ameritrade, Inc.

These mutual funds are shown in the table below:

Fund Family	EIN
ADVANTAGE FUNDS INC	13-3851784
AMERICAN CENTURY MUTUAL FUNDS INC	44-6006315
ANGEL OAK FUNDS TRUST	NOT AVAILABLE
BROADVIEW FUNDS TRUST	NOT AVAILABLE
CGM TRUST	04-6014027
COHEN & STEERS REALTY SHARES INC	01-3335336
DFA INVESTMENT DIMENSIONS GROUP INC	36-3129984
DFA INVESTMENT TRUST CO	22-2370029
DODGE & COX FUNDS	94-6067274

Fund Family	EIN
DOUBLELINE FUNDS TRUST	NOT AVAILABLE
DREYFUS INTERNATIONAL FUNDS INC	13-3718039
EUROPACIFIC GROWTH FUND	95-1411037
FIDELITY ADVISOR SERIES VII	04-6444002
FIDELITY CHARLES STREET TRUST	04-3022712
FIDELITY CONTRAFUND	04-6056833
FIDELITY INCOME FUND	04-3022712
FIDELITY MT VERNON STREET TRUST	04-2778701
FIDELITY SECURITIES FUND	04-3022712
FIDELITY SELECT PORTFOLIOS	04-2732797
FRANKLIN STRATEGIC SERIES	13-3151768
GOLDMAN SACHS TRUST	13-4166989
HARBOR FUNDS	34-1953393
HARDING LOEVNER FUNDS INC	NOT AVAILABLE
HARRIS ASSOCIATES INVESTMENT TRUST	04-3200027
HARTFORD MUTUAL FUNDS INC	22-2937447
INCOME FUND OF AMERICA INC	06-0867264
JANUS INVESTMENT FUND	84-0592523
LOOMIS SAYLES FUNDS I	04-3219179
MAIRS & POWER FUNDS TRUST	23-2398112
MARSICO INVESTMENT FUND	NOT AVAILABLE
MATTHEWS INTERNATIONAL FUNDS	94-3250972
MERGER FUND	14-6117490
METROPOLITAN WEST FUNDS	95-4597302
OPPENHEIMER GLOBAL OPPORTUNITIES FUND	93-1036175
OPPENHEIMER SENIOR FLOATING RATE FUND	91-1986511
PARNASSUS FUNDS	94-6579180
PIMCO FUNDS	95-2632339
PROFUNDS	52-2035197
RBB FUND INC (ROBECO)	NOT AVAILABLE
ROYCE FUND	13-3139046
SCHWAB CAPITAL TRUST	94-3297102
SSGA FUNDS	42-1704580
T ROWE PRICE INTERNATIONAL FUNDS INC	52-1175211
T. ROWE PRICE BLUE CHIP GROWTH FUND, INC	52-1827505
THORNBURG INVESTMENT TRUST	06-1158764
TRANSAMERICA FUNDS	59-2649014
TWEEDY BROWNE FUND INC	13-3700410
VANGUARD BOND INDEX FUNDS	23-1945930
VANGUARD FIXED INCOME SECURITIES FUNDS	23-1899003
VANGUARD INDEX FUNDS	23-1999755
VANGUARD INTERNATIONAL EQUITY INDEX FUND	23-1945930
VANGUARD SPECIALIZED FUNDS	23-1945930
VANGUARD TAX-MANAGED FUNDS	23-1945930
VANGUARD WORLD FUND	04-6035483

Payment for Order-Flow. TD Ameritrade Clearing, Inc. receives, and shares with TD Ameritrade, payment for order flow some market centers. This involves a payment to TD Ameritrade for routing orders to that exchange or market maker. This payment is used to offset costs of doing business and ultimately helps to reduce the overall cost to our clients. The amount of order-flow payments received by TD Ameritrade currently range from approximately $.0005 to $.0040 per share, and $.40 to $.50 per option contract (each prior to offset from charges paid for removal of market liquidity). These amounts are received and paid pursuant to contractual arrangements with the market centers.

Deposit and Savings Account Fees. Under its agreement with TD Bank and TD Bank USA, TD Ameritrade, Inc,. and TD Ameritrade clearing, Inc received from TD Bank USA a fee for marketing and related recordkeeping services in connection with the IDA and Savings. The fee is derived by applying a formula, which results in the fee varying from month to month depending on the interest rate and other market conditions. For example, the marketing fee is generally less in a low interest environment. The rate of the fee may exceed the interest rate or effective yield the depositors receive from the deposit accounts. TD Ameritrade Clearing, Inc. retains 40% of the fee payable to TD Ameritrade Clearing, Inc. and TD Ameritrade, Inc for clearing services and remits the remaining 60% to TD Ameritrade, Inc. Further information regarding the IDA is available in your client agreement.

Sweep Vehicle Fees. If a plan fiduciary selects a TD Assets Management USA Funds, Inc. (TDAM), an affiliated money market fund as a sweep vehicle, or if a participant chooses to invest in a fund within TDAM, the following information is applicable. Pursuant to certain agreements by and among TDAM, its distributor, SEI Investments Distribution Co., TD Ameritrade Clearing, Inc. and TD Ameritrade, Inc., fee payments of up to an aggregate of approximately 80bps are paid by TDAM or its distributor to TD Ameritrade Inc. and TD Ameritrade Clearing, Inc. for sweep, subdistribution, shareholder services, subtransfer agency, recordkeeping, and administrative services. TDAM is managed by TDAM USA, Inc., an affiliate of TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. The amount paid to TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. includes 12b-1 fees, absent the voluntary waivers of such fees that are currently in place, and is calculated on net assets of TDAM distributed through TD Ameritrade, Inc. and TD Ameritrade Clearing Inc. TD Ameritrade, Inc. receives and retains the shareholder servicing fees. TD Ameritrade Clearing, Inc. receives and retains the subtransfer agency fees. TD Ameritrade Clearing Inc. receives the 12b-1 fees, to the extent they have not been waived as noted above, and remits them to TD Ameritrade, Inc.

Proceeds of Corrective Transactions. TD Ameritrade receives investment instructions from participants or fiduciaries, such as an investment advisor, of the plan. Although rare, occasional errors in the instructions themselves or the processing of instructions may occur. The causes of such errors may include, but are not necessarily limited to, entry of an erroneous trade ("buy" vs. "sell," or vice versa), dollar amount, or number of shares, incorrect identification of the security, duplication of orders (i.e., instruction entered more than once), or untimely transmittal of instructions.

When an error is discovered, action is taken to correct the transaction in a manner intended to avoid or minimize harm or disruption to the plan. This generally includes processing corrective transactions through a “trade error account” maintained by TD Ameritrade, apart from the plan’s account, for TD Ameritrade individually (in-house account) or on behalf of an investment advisor. In addition, when requested by a plan representative, TD Ameritrade may communicate with the broker-dealer and attempt to cancel a trade through similar corrective transactions.

Because the price of an affected security may change between the time of processing the erroneous instruction or transaction and the execution of corrective transactions, a gain or loss may occur in the TD Ameritrade trade error account. For example, in the case of a sell order that is corrected to a price lower than the original intended transaction, or a purchase order corrected at a higher price, the corrective transaction may result in a loss. If, on the other hand, the sell order is corrected to a price higher than the original intended transaction, or the purchase order corrected at a lower price, the corrective transaction may result in a gain.

SCHEDULE H, LINE 4i, SCHEDULE OF ASSETS (HELD AT END OF YEAR)*

MAY 31, 2017

*See attached financial statements with auditor's report.