#### Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** Internal Revenue Service

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		: Identification Information							
For calend	lar plan year 2017 or fi	iscal plan year beginning 01/01/2	2017	and ending 0	6/30/2017				
<b>A</b> This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)									
		a one-participant plan	a foreign plan						
<b>b</b> This ret	urn/report is	the first return/report	the final return/report						
		an amended return/report	X a short plan year return/report (less than 12 months)						
C Check	box if filing under:	X Form 5558	automatic extension		DFVC progra	m			
	<u> </u>	special extension (enter desc	• /						
Part II	Basic Plan Info	ormation—enter all requested in	formation		T				
1a Name MCCAW VE	•	PSC 401(K) PROFIT SHARING PL	AN		1b Three-diging plan numb				
					1c Effective of	date of plan 09/01/1999			
2a Plan s	sponsor's name (emplo	oyer, if for a single-employer plan)			<b>2b</b> Employer	Identification Number			
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign pos		atructions)	(EIN)	61-1352535			
-	TERINARY CLINIC, F		tal code (il loreign, see ins	su ucuons)		telephone number 59-887-1188			
					2d Business code (see instructions)				
	MAIN STREET /ILLE, KY 40356				541940				
MONOLAGA	71LLL, 1(1 40000								
3a Plan a	administrator's name a	nd address X Same as Plan Spo	nsor.		<b>3b</b> Administra	ator's EIN			
					30 Administra	stor'o talanhana numbar			
					3C Administra	ator's telephone number			
		e plan sponsor or the plan name honsor's name, EIN, the plan name			<b>4b</b> EIN				
•	sor's name	moor o mamo, am, mo plan mamo (	and the plan name of her.	and lade rotally ropoliti	4d PN				
C Plan N	Name								
52 Total	number of portion onto	a at the hearing of the plan year			<b>5a</b> 11				
		s at the beginning of the plan year.			5b	0			
		s at the end of the plan year account balances as of the end of							
comp	lete this item)				5c	0			
` '	•	articipants at the beginning of the p	•		5d(1)	11			
		articipants at the end of the plan ye			5d(2)	0			
		terminated employment during th			5e	0			
Caution: /	A penalty for the late	or incomplete filing of this retur	n/report will be assesse	d unless reasonable ca					
SB or Sch		ther penalties set forth in the instru and signed by an enrolled actuary, a polete.							
SIGN		d/valid electronic signature.	03/22/2018	WILLIAM C. MCCAW	, DVM				
HERE	Signature of plan a	administrator	Date	Enter name of individ	of individual signing as plan administrator				
SIGN									
HERE	Signature of emplo	over/plan sponsor	n sponsor Date Enter name of individual signing as employer or plan spons						

Form 5500-SF 2017 Page **2** 

	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes	No No
С	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year								nined ons.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning (	of Year			(b) End	of Year	
а	Total plan assets	7a	128	56010				0	
<u>b</u>	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7с	125	56010			0		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b)	Γotal	
_а 	Contributions received or receivable from:  (1) Employers	8a(1)		5860					
	(2) Participants	8a(2)	,	14224					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	4	49374					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						69458	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d							
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f							
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)							0	
<u></u>	Net income (loss) (subtract line 8h from line 8c)	8i						69458	
j	Transfers to (from) the plan (see instructions)	ransfers to (from) the plan (see instructions)							
Pai	t IV Plan Characteristics								
9a 	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2T 3D	feature co	des from the List of Plant	an Cha	racteris	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the insti	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		X			
С				10c	X			120000	)
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					Χ			
f	Has the plan failed to provide any benefit when due under the plan	n? <sub>-</sub>		10f		X			
g				10g		Χ		_	
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

Form 5500-SF 2017	Page <b>3-</b> 1
-------------------	------------------

Part	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a							
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver		he date						
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b	Enter the minimum required contribution for this plan year	12b							
С	Enter the amount contributed by the employer to the plan for this plan year	12c							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
Part '	VII Plan Terminations and Transfers of Assets								
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Yes	N	lo				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			(				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes	No				
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to							
1	<b>3c(1)</b> Name of plan(s): 13c(2)	EIN(s)		13c(3	B) PN(s)				
COMM	ONWEALTH VETERINARY PARTNERS, PLLC 401(K) PROFIT SHARING PLAN 82-0920626	i		001					

### 2018-03-22 10:01

#### Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## McCaw Veterinary 18598819777 >> 859 239 9003

# Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

> Complete all entries in accordance with the Instructions to the Form 5500-SF,

P 3/5

OMB Nos. 1210-0110

1210-0089

2017

This Form is Open to Public Inspection

	rt Identification Information	1					
For calendar plan year 2017 or	fiscal plan year beginning	01/01/2017	and ending	06/30/2			
A This return/report is for:	a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach list of participating employer information in accordance with the form instructions.)					
D This was was done and in	a one-participant plan	a foreign plan					
B This return/report is	the first return/report	k the final return/report					
	an amended return/report	🔀 a short plan year return					
C Check box if filing under:	্য Form 6669 ি special extension (enter desc	automatic extension		DFVC program			
David III   David Discort					· · · · · · · · · · · · · · · · · · ·		
L	formation—enter all requested in	ntormation		I dh. Turri era			
1a Name of plan	TIME DEC 401/K) DEC	AUSTIN CHARLENC		1b Three-digit plan numbe	r		
	LINIC, PSC 401(K) PRO	FII SHAKING		(PN)	001		
PLAN				1c Effective da			
				09/01/1	•		
2a Plan sponsor's name (em	ployer, if for a single-employer plan)				lentification Number		
Mailing address (include r	oom, apt., suite no. and street, or P.	O. Box)		(EIN)61-1			
City or town, state or prov MCCAW VETERINARY	ince, country, and ZIP or foreign pos	stal code (if foreign, see insti	uctions)	2c Sponsor's t	elephone number		
HOCHW VEIGHTWART	LILITO, LOC			(859) 88	7-1188		
				2d Rusiness or	nde (see instructions)		
501 NORTH MAIN STE	REET						
NICHOLASVILLE		KY	40356	541940			
3a Plan administrator's name	and address X Same as Plan Sp	onsor.		3b Administrator's EIN			
					or's telephone number		
	the plan sponsor or the plan name i poncor's name, EIM, the plan name			4b EIN			
a Sponsor's name				4d PN			
C Plan Name				1			
En Tatal control of a dising				5a	11		
	nts at the beginning of the plan year			5b			
	nts at the end of the plan year			<b>'</b>	0		
	th account balances as of the end c		•	5c	0		
d(1) Total number of active	participants at the beginning of the	plan year		5d(1)	11		
	participants at the end of the plan y			5d(2)	0		
e Number of participants w then 100% vested		5c	C				
	te or incomplete filing of this retu						
SB or Schedule MB completer bellef, it is true, correct, and co	other penalties set forth in the instr d and signed by an enrolled actuary omolete.	actions, i declare that I have , as well as the electronic ve	rsion of this return/repo	ort, and to the best	of my knowledge and		
RIGN	r Chile Fiend AV	11/22/2018	WILLIAM C. MC	CAW, DVM			
HERE Signature of pla	n administrator	Date	Enter name of indivi	dual signing as pla	n administrator		
SIGN			,				
HERE Signature of em	ployer/plan sponsor	Dale	Enter name of indivi	dual signing as em	ployer or plan sponsor		

P 4/5

Form 5500-SF 2017

Page 2

þ	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							No No
U	If เหยายสกาษาขายปะเพื่อในโดยให้ทุกเล่ากร ถือเวนย่ายอ เกิบเกี่ยวย่ายอย่าก If "Yes" is checked, enter the My PAA confirmation number from th							
Pa	rt III Financial Information							
7	Plan Appets and Liabilities		(a) Boginning o	f Year		-	(b) End of Yoar	
a	Total plan assets	7a		256,0	10		(-)	0
	Total plan liabilities	7b		<u>'</u>				
_	Net plan assets (subtract line 7b from line 7a)	7¢	1,	256,0	120			0
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total		
a			(_,,,				(5) 10101	
	(1) Employers	8a(1)		5,8	360			
	(2) Participante	ชื่อ(7)		14.2	224			
	(3) Others (including rollovers)	8a(3)				<del></del>	·	
<u>b</u>	Other income (loss),,,,,	8b		49,3	374			
	Total Incomo (add linea 8a(1), 8a(2), 8a(3), and 8b)	¥¢.			-			69,45R
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d						
	Contain decined and/or corrective distributions (see instructions)	00						
	Administrative service providers (salaries, fees, commissions)	8f			$\neg$			
a	Other expenses	8g			T			
	Total expenses (add lines 8d, 8e, 8f, and 8g)	1			一十			0
_ <u>;;</u>	Net Income (loss) (subtract line 8h from line 8c)	81						69,458
	Transfers to (from) the plan (see instructions)		1	325,	160			03, 130
<u>,</u>	art IV Plan Characteristics	Oj		323,	168			
9a	<del></del>	feature co	ndes from the List of Pla	en Cha	racteri	stic Co	des in the instructions:	
	2E 2F 2G 2J 2T 3D		-·					
þ	If the plan provides welfare benefits, enter the applicable welfare f	eature co	des from the List of Pla	n Chara	acteris	tic Cod	es in the Instructions:	
Pa	rt V Compliance Questions							
10	During the plan year:		· <del>-</del> ·		Yes	No	Amount	
	Was there a failure to transmit to the plan any participant contribu				_			
	described in 29 CFR 2510.3-102? (See instructions and DOL's \	-	· · · · · · · · · · · · · · · · · · ·	10a				
-	Program)  D Were there any nonexempt transactions with any party-in-interes			108		Х		
	reported on line 10a.)			10b		х		
	C Was the plan covered by a fidelity bond?			10c	х		1	30,000
	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		х		
	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (Coe instructions.)					~		
	f Has the plan felled to provide any benefit when due under the plan?					x		
	g Did the plan have any participant leane? (If "Yes," enter amount as of year-end.)					Х		
	h If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h	L	х		
	If 10h was answered "Yes," check the box if you either provided exceptions to providing the notice applied under 29 CFR 2520.10			10i				

## 2018-03-22 10:02 McCaw Veterinary 18598819777 >> 859 239 9003 P 5/5

	Form 5500-SF 2017		Page 3-					
Part	VI Pension Funding Compliance							
11	Is this a defined benefit plan subject to minimum fur (Form 5500) and line 11a below)						Yes	∐ No
11a	Enter the unpaid minimum required contributions for	r all years from Schedule SB (I	form 5500) line 40		11a			
12	Is this a defined contribution plan subject to the mid ERISA?(If "Yes," complete line 12a or lines 12b, 12c, 12d,	• • • • • • • • • • • • • • • • • • • •		ode or section	302 of		Yes	X No
	If a waiver of the minimum funding standard for a pagranting the waiver.		<u> N</u>	Month	enter t Dey		the letter ruli Year	ing
	you completed line 12a, complete lines 3, 9, and							
<u>b</u>	Enter the minimum required contribution for this plan	ı year		··············	12b			
c	Enter the amount contributed by the employer to the	plan for this plan year	,,,		12c			
d	Subtract the amount in line 12c from the amount in negative amount)				12d			
е	Will the minimum funding amount reported on line	IZd be met by the funding dead	lline?			Yes [	No U	N/A
Part	VII Plan Terminations and Transfers	of Assets						
13a	Has a resolution to terminate the plan been adopted in	any plan year?				X Yes	No	
	If "Yes," enter the amount of any plan assets that re	everted to the employer this yes	<b>Э</b> Г		13a		<del></del>	0
b							Yes No	0
С	If, during this plan year, any assets or liabilities were which assets or liabilities were transferred. (See ins		another plan(s), iden	ify the plan(s)	to			
	13c(1) Name of plan(s):			13c(2)	EIN(s)		13c(3) PN	l(s)
COM	MONWEALTH VETERINARY PARTNERS, I	PLLC 401(K) PROFIT	SHARING PLAN	82-09	2062	6	001	