| | rm 5500-SF | Short Form Annua | t of Small Employ | ee | OMB Nos. 1210-0110 1210-0089 | | | | |
|------------------------|--|--|-------------------------|---|-------------------------------------|---|--|--|--|
| Inte | Pepartment of Labor | This form is required to be filed Income Security Act of 1974 (| | | | 2017 | | | |
| | Benefits Security Administration | de). | 0.5 | This Form is Open to Public Inspection | | | | | |
| Part I | - | dentification Information | ccordance with the ins | tructions to the Form 5500- | -SF. | | | | |
| | lar plan year 2017 or fise | |)17 | and ending 12/31 | /2017 | | | | |
| A This re | turn/report is for: | blan (not multiemployer) (Filer mployer information in accord | | - | | | | | |
| B This ret | urn/report is | a one-participant plan | a foreign plan | | | | | | |
| | | the first return/report an amended return/report | the final return/report | : urn/report (less than 12 month | ne) | | | | |
| C Check | box if filing under: | | | | | | | | |
| • Check | box in hinng under. | Form 5558 | automatic extension | | DFVC pro | ogram | | | |
| Part II | Basic Plan Infor | mation—enter all requested info | · · · | | | | | | |
| 1a Name | | | Jiniation | 11 | b Three | -digit | | | |
| EDWARD S | CRANE, MD, PC 401 | V PROFIT SHARING PLAN & TRU | IST | | plan n (PN) | | | | |
| | | | | 10 | () | ve date of plan | | | |
| 2a Planis | sponsor's name (employ | er, if for a single-employer plan) | | 21 | | 01/01/2000 yer Identification Number | | | |
| Mailin | g address (include room | a, apt., suite no. and street, or P.O. country, and ZIP or foreign posta | | structions) | (EIN) | 13-2867654 | | | |
| | CRANE, MD, PC | | | 20 | c Spons | or's telephone number 212-472-1100 | | | |
| | | | | 20 | 2d Business code (see instructions) | | | | |
| 152 EAST 7 NEW YORK | 3RD STREET (, NY 10128 | | | | 621111 | | | | |
| 20 Dias | | | | 24 | b Aslasia | | | | |
| 3a Plan a | administrator's name and | d address X Same as Plan Spon | sor. | 31 | 3b Administrator's EIN | | | | |
| | | | | 30 | C Admin | istrator's telephone number | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | plan sponsor or the plan name has sor's name, EIN, the plan name ar | | - | b EIN | | | | |
| • | sor's name | | | 40 | d PN | | | | |
| C Plan N | vame | | | | | | | | |
| 5a Total | number of participants a | at the beginning of the plan year | | | 5a | 4 | | | |
| | | at the end of the plan year | | | 5b | 3 | | | |
| | | ccount balances as of the end of the | | - | 5c | 3 | | | |
| d(1) Tot | tal number of active part | icipants at the beginning of the pla | n year | | d(1) | 3 | | | |
| . , | | ticipants at the end of the plan yea | | and the destruction of the second | 5e | 3 | | | |
| than | Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested | | | | | 0 | | | |
| | | r incomplete filing of this return er penalties set forth in the instruct | | | | | | | |
| SB or Sch | SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete. | | | | | | | | |
| SIGN HERE | Filed with authorized/v | valid electronic signature. | 03/12/2018 | EDWARD S. CRANE | | | | | |
| | Signature of plan ad | Iministrator | Date | Enter name of individual s | signing as | s plan administrator | | | |
| SIGN HERE | Circular of any 1 | | Data | | -1 | | | | |
| | Signature of employ vork Reduction Act Notice | /er/plan sponsor e, see the Instructions for Form 5500- | SF. | Enter name of individual s | signing as | s employer or plan sponsor Form 5500-SF (2017) | | | |
| | | | | | | v.170203 | | | |

| 6a b c | | | | | | | | |
|--------------|---|-------|-----------------------|-----------------|--|--|--|--|
| Pa | rt III Financial Information | | | | | | | |
| 7 | Plan Assets and Liabilities | | (a) Beginning of Year | (b) End of Year | | | | |
| а | Total plan assets | 7a | 1387933 | 1594880 | | | | |
| b | Total plan liabilities | 7b | 0 | 0 | | | | |
| C | Net plan assets (subtract line 7b from line 7a) | 7c | 1387933 | 1594880 | | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | (b) Total | | | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | 24560 | | | | | |
| | (2) Participants | 8a(2) | 51000 | | | | | |
| | (3) Others (including rollovers) | 8a(3) | 0 | | | | | |
| b | Other income (loss) | 8b | 185461 | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | 261021 | | | | |

| b | Other income (loss) | 8b | 185461 | | | | | | |
|----|---|----|--------|--------|--|--|--|--|--|
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | 261021 | | | | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 54074 | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | 0 | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 0 | | | | | | |
| g | Other expenses | 8g | 0 | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | 54074 | | | | | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | 206947 | | | | | |
| j | Transfers to (from) the plan (see instructions) | 8j | 0 | | | | | | |
| Ра | Part IV Plan Characteristics | | | | | | | | |
| | | | | | | | | | |

| 9a | If the | plan j | provides pensior | n benefits, | enter the applicable | pension feature of | codes from the | List of Plan (| Characteristic Co | odes in the instru | ctions: |
|----|--------|--------|------------------|-------------|----------------------|--------------------|----------------|----------------|-------------------|--------------------|---------|
| | 2E | 2J | 3D | | | | | | | | |

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part | t V Compliance Questions | | | | |
|------|--|-------|-----|----|--------|
| 10 | During the plan year: | | Yes | No | Amount |
| а | Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | . 10a | | Х | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | . 10b | | Х | |
| С | Was the plan covered by a fidelity bond? | · 10c | X | | 160000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | . 10d | | X | |
| е | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | . 10e | | x | |
| f | Has the plan failed to provide any benefit when due under the plan? | · 10f | | X | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | · 10g | | X | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | . 10h | | Х | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | | | | |

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| Part | VIP | ension Funding Compliance | | | | | | |
|------|---|---|-------|---------------|--------|-----------|------|--------|
| 11 | | a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete 5500) and line 11a below) | Sche | edule S | SB | [| Ye | s 🗌 No |
| 11a | Enter | the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40 | | 11a | | | | |
| 12 | ERISA | a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ? | ctior | n 302 c | of | [| Ye | s X No |
| а | lf a wa | iver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ig the waiver | and | enter _ Da | | of the le | | uling |
| If y | you coi | npleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | - | | | | | |
| b | Enter th | e minimum required contribution for this plan year | | 12b | | | | |
| С | Enter th | e amount contributed by the employer to the plan for this plan year | | 12c | | | | |
| d | | ct the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a ve amount) | | 12d | | | | |
| е | Will th | e minimum funding amount reported on line 12d be met by the funding deadline? | | | Yes | No | | N/A |
| Part | VII F | Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a | resolution to terminate the plan been adopted in any plan year? | | | Ye | 6 X | No | |
| | If "Yes | ," enter the amount of any plan assets that reverted to the employer this year | | 13a | | | | |
| b | b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under th control of the PBGC? | | | | | | X | No |
| С | | ng this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the pla assets or liabilities were transferred. (See instructions.) | n(s) | to | | | | |
| 1 | 3c(1) N | lame of plan(s): 13 | c(2) | EIN(s) |) | 13 | c(3) | PN(s) |
| | | | | | | | | |

| For | m 5500-SF | Short Form Annual | • | t of Small Employ | /ee | OMB Nos. 1210-0110 1210-0089 | | | | |
|---------------------------------------|--|--|---|---|---|--|--|--|--|--|
| | tment of the Treasury nal Revenue Service | This form is required to be filed u | Benefit Plan | 4065 of the Employee Retir | rement | 2017 | | | | |
| | partment of Labor enefits Security Administration | Income Security Act of 1974 (E | This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code). | | | | | | | |
| Pension Be | nelit Guaranty Corporation | Complete all entries in acc | ordance with the inst | tructions to the Form 5500 | D-SF. | | | | | |
| Part I | Annual Report | Identification Information | | | | | | | | |
| For calenda | ar plan year 2017 or fi | scal plan year beginning 01/01/2017 | | and ending 12/31/2 | | | | | | |
| A This ret | urn/report is for: | X a single-employer plan | list of participating e | elan (not multiemployer) (File mployer information in acco | | | | | | |
| | | a one-participant plan | a foreign plan | | | | | | | |
| B This retu | urn/report is | | | | | | | | | |
| | | an amended return/report | a short plan year retu | rn/report (less than 12 mon | ths) | | | | | |
| C Check b | box if filing under: | Form 5558 | automatic extension | | DFVC pr | ogram | | | | |
| | | special extension (enter descript | ion) | | | | | | | |
| Part II | Basic Plan Info | prmation-enter all requested inform | mation | | | | | | | |
| 1a Name | of plan | | | 1 | b Three | | | | | |
| EDWARD S | . CRANE, MD, PC 40 | 1K PROFIT SHARING PLAN & TRUS | эт | | plan r (PN) | 001 | | | | |
| | | | | 1 | C Effect | ive date of plan | | | | |
| 2a Dian er | onsor's name (emple | over, if for a single-employer plan) | | | | | | | | |
| Mailing | address (include roo | m, apt., suite no. and street, or P.O. E | | | 2b Employer Identification Number (EIN) 13-2867654 | | | | | |
| | town, state or provinc . CRANE, MD, PC | ce, country, and ZIP or foreign postal of | code (if foreign, see ins | | 2c Spon | sor's telephone number (212) 472-1100 | | | | |
| | | | | 2 | | ess code (see instructions) | | | | |
| 152 EAST 73 | 3RD STREET | | | | 62111 | • • • • | | | | |
| | NV 10129 | | | | | | | | | |
| NEW YORK, 3a Plan ad | | nd address X Same as Plan Sponso | or. | | 3b Admir | histrator's EIN | | | | |
| | | | | - | C Admir | nistrator's telephone number | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 4 If the r | name and/or EIN of th | e plan sponsor or the plan name has | | return/report filed for | tb EIN | | | | | |
| this pl | an, enter the plan spo | onsor's name, EIN, the plan name and | | the last return/report. | | | | | | |
| a Spons c Plan N | or's name Iame | | | | 4d PN | | | | | |
| | ane | | | | | | | | | |
| 5a Total r | number of participants | at the beginning of the plan year | | | 5a | 4 | | | | |
| b Total r | number of participants | s at the end of the plan year | | | 5b | 3 | | | | |
| | | account balances as of the end of the | | | 5c | 3 | | | | |
| d(1) Tota | al number of active pa | articipants at the beginning of the plan | year | | 5d(1) | 3 | | | | |
| d(2) Tota | al number of active pa | articipants at the end of the plan year. | | | 5d(2) | 3 | | | | |
| | | terminated employment during the p | | | 5e | 0 | | | | |
| | | or incomplete filing of this return/r | | | e is estab | lished. | | | | |
| Under pena SB or Sche | alties of perjury and o edule MB completed a | ther penalties set forth in the instruction and signed by an enrolled actuary, as | ons, I declare that I have | e examined this return/repo | rt, includir | ng, if applicable, a Schedule | | | | |
| · · · · · · · · · · · · · · · · · · · | true, correct, and com | 0. (/ | 2/12/11 | EDWARD S. CRANE | | | | | | |
| SIGN HERE | | | 3/12/18 | | | | | | | |
| | Signature of plan a | administrator | Date | Enter name of individua | ii signing a | is plan administrator | | | | |
| SIGN HERE | | | | | | | | | | |
| | Signature of emplo ork Reduction Act Noti | oyer/plan sponsor ce. see the Instructions for Form 5500-S | Date | Enter name of individua | il signing a | Form 5500-SF (2017) | | | | |

Tor Paperwork Reduction

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| 6a | Were all of the plan's assets during the plan year invested in eligib | le assets? | (See instructions.) | | | X Yes 🗌 No | | | |
|----------|---|------------|--------------------------------|----------|-----------|--|--|--|--|
| b | Are you claiming a waiver of the annual examination and report of a | | | | | X Yes No | | | |
| | under 29 CFR 2520.104-46? (See instructions on waiver eligibility a If you answered "No" to either line 6a or line 6b, the plan cann | | | | | | | | |
| с | C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined | | | | | | | | |
| - | If "Yes" is checked, enter the My PAA confirmation number from th | | | | | | | | |
| | | | | | | | | | |
| Pa | rt III Financial Information | , | | | | | | | |
| 7 | Plan Assets and Liabilities | · | (a) Beginning of Year | | | (b) End of Year | | | |
| | Total plan assets | 7a | 138793 | | | 1594880 | | | |
| - | Total plan liabilities | 7b | | 0 | | 0 | | | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 138793 | 33 | | 1594880 | | | |
| 8 | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | , | (b) Total | | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | 2456 | 50 | `. | and the second | | | |
| | (2) Participants | | 510 | 00 | , , , | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | |
| b | Other income (loss) | 8b | 18540 | 61 | | a de la companya de la | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | 261021 | | | |
| d | Benefits paid (including direct rollovers and insurance premiums | | | | | | | | |
| | to provide benefits) | 8d | 5407 | | · · . | | | | |
| | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | • • • • • | na internet de la composition de la com Esta de la composition | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 0 | | | | | |
| <u>v</u> | Other expenses | 8g | | 0 | | | | | |
| <u>h</u> | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | 54074 | | | |
| <u> </u> | Net income (loss) (subtract line 8h from line 8c) | <u>8i</u> | | | | 206947 | | | |
| 1 | Transfers to (from) the plan (see instructions) | 8j | | 0 | | | | | |
| | rt IV Plan Characteristics | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2J 3D | feature co | des from the List of Plan Cha | racteri | stic Cod | les in the instructions: | | | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | es from the List of Plan Chara | acterist | ic Code | es in the instructions: | | | |
| Pa | rt V Compliance Questions | | | | | | | | |
| 10 | During the plan year: | | | Yes | No | Amount | | | |
| a | ······································ | | | | | | | | |
| | descr bed in 29 CFR 2510.3-102? (See instructions and DOL's V Program) | | | | × | | | | |

| | Program) | 10a | | ^ | |
|---|--|-----|---|---|--------|
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | 10b | | х | |
| С | Was the plan covered by a fidelity bond? | 10c | x | | 160000 |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | 10d | | x | |
| e | Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.) | 10e | | x | |
| f | Has the plan failed to provide any benefit when due under the plan? | 10f | | x | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year-end.) | 10g | | x | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | 10h | | x | |
| i | If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | |

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| Part | VI Pension Funding Compliance | | | | | | | | | |
|----------|--|---------|-------|----------|-------|--|--|--|--|--|
| 11 | Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below) | | | Yes | No No | | | | | |
| 11a | Enter the unpaid minimum required contr butions for all years from Schedule SB (Form 5500) line 40 | 11a | | | | | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sectio ERISA? | n 302 o | f | Yes | X No | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | _ | | | | | | | | |
| a | a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year | | | | | | | | | |
| lf | you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | | | |
| b | Enter the minimum required contribution for this plan year | 12b | | | | | | | | |
| C | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | | | |
| <u>e</u> | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No | N/A | | | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes | X No | | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? | | [| Yes X I | No | | | | | |
| c | If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.) |) to | | | | | | | | |
| 1 | I3c(1) Name of plan(s): 13c(2 | EIN(s) | | 13c(3) P | N(s) | | | | | |
| | | | | | | | | | | |
| | | _ | | | | | | | | |