## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t Identification Information			•				
For calenda	ar plan year 2017 or f	fiscal plan year beginning 01/01/20	)17	and ending 12	2/31/2017				
A This ret	urn/report is for:	x a single-employer plan		olan (not multiemployer) ( mployer information in ac					
D. Trib		a one-participant plan	a foreign plan						
<b>B</b> This retu	urn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)				
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC progra	am			
D ( II	Dania Blancia	special extension (enter descri	,						
Part II		ormation—enter all requested info	ormation		41 "				
1a Name SOUTHERN TRUST	•	L.L.C. EMPLOYEES' DEFERRED S.	AVINGS AND PROFIT S	HARING PLAN AND	<b>1b</b> Three-dig plan num (PN) ▶				
					<b>1c</b> Effective date of plan 07/01/1996				
Mailing	address (include roo	oyer, if for a single-employer plan) om, apt., suite no. and street, or P.O.		tructions)	<b>2b</b> Employer (EIN)	Identification Number 16-1578370			
	TIER ONCOLOGY,	ce, country, and ZIP or foreign posta L.L.C.	i code (ii ioreign, see ins	iructions)	2c Sponsor's telephone number 607-324-0061				
					2d Business	code (see instructions)			
PO BOX 555 HORNELL, N						621111			
3a Plan a	dministrator's name a	and address X Same as Plan Spon	sor.		<b>3b</b> Administr	ator's EIN			
						ator's telephone number			
this pl	an, enter the plan spo	ne plan sponsor or the plan name had onsor's name, EIN, the plan name ar			4b EIN				
C Plan N	or's name lame				4d PN				
<b>5a</b> Total r	number of participant	s at the beginning of the plan year			5a	4			
_		s at the end of the plan year			5b	2			
<b>C</b> Number	er of participants with	account balances as of the end of the			5c	2			
	,	articipants at the beginning of the pla			5d(1)	2			
		articipants at the end of the plan yea			5d(2)	2			
than '	100% vested	o terminated employment during the			5e	0			
Under pena SB or Sche	alties of perjury and o	e or incomplete filing of this return, other penalties set forth in the instruct and signed by an enrolled actuary, as polete	ions, I declare that I have	e examined this return/re	port, including, i	f applicable, a Schedule			
SIGN		d/valid electronic signature.	02/28/2018	PRADEEP SHARDA					
HERE	Signature of plan	administrator	Date	Enter name of individ	dividual signing as plan administrator				
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individ	individual signing as employer or plan sponse				

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<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> <li>If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.</li> <li>If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?</li></ul>							Yes No		
С	If "Yes" is checked, enter the My PAA confirmation number from th							Not determined (See instructions.)	
Pa	rt III   Financial Information	1							
_7_	Plan Assets and Liabilities		(a) Beginning	of Year			(b) En	d of Year	
<u>a</u>	Total plan assets	7a	152	26459				1601366	
<u>b</u>	Total plan liabilities	7b		0				0	
C	Net plan assets (subtract line 7b from line 7a)	7c	153	26459				1601366	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	nt			(b)	Total	
а	Contributions received or receivable from:  (1) Employers	8a(1)		0					
	(2) Participants	8a(2)		0					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	23	30051					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						230051	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	14	43665					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		11479					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					155144		
i	Net income (loss) (subtract line 8h from line 8c)	. 8i						74907	
j	Transfers to (from) the plan (see instructions)	8j		0					
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3B 3H 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in the in	structions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acteris	tic Cod	des in the ins	tructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			X			180000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X		100000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)  10e			X			7844		
f	f Has the plan failed to provide any benefit when due under the plan? 10f				X				
g	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-		10g		Χ			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	` 		10h		Χ			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part '	/I Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)	edule S	B 	Yes	No X
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	f 	Yes	x No		
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver.			of the letter ru Year	uling
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part \	/II Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		X Ye	s No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			C
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			X Yes I	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s which assets or liabilities were transferred. (See instructions.)	) to			
1	<b>3c(1)</b> Name of plan(s): 13c(2)	EIN(s)		<b>13c(3)</b> P	N(s)

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

For Paperwork Reduction Act Notice, see the Instructions for Form 5500-SF.

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

	t identification information				
For calendar plan year 2017 or	fiscal plan year beginning 01/01/			2/31/2017	
A This return/report is for:	X a single-employer plan		an (not multiemployer) (l ployer information in ac		
B This return/report is	a one-participant plan	a foreign plan			
inis return/report is	the first return/report	the final return/report			
	an amended return/report	a short plan year return	n/report (less than 12 mo	onths)	
C Check box if filing under:	Form 5558	automatic extension		DFVC program	m
	special extension (enter desc	cription)			
Part II Basic Plan Inf	ormation—enter all requested in	nformation			
1a Name of plan SOUTHERN TIER ONCOLOGY, TRUST	L.L.C. EMPLOYEES' DEFERRED	SAVINGS AND PROFIT SH	ARING PLAN AND	1b Three-digit plan numb (PN) ▶	8
				1c Effective d	ate of plan 07/01/1996
Mailing address (include ro	loyer, if for a single-employer plan) om, apt., suite no. and street, or P.			2b Employer I (EIN)	dentification Number 16-1578370
SOUTHERN TIER ONCOLOGY,	nce, country, and ZIP or foreign pos L.L.C.	tal code (if foreign, see instr	uctions)		telephone number 7-324-0061
PO BOX 555 HORNELL, NY 14843				2d Business o	code (see instructions) 621111
Sa Pian administrator's name	and address ⊠ Same as Plan Spo	onsor.		3b Administra  3c Administra	tor's telephone number
this plan, enter the plan sp	he plan sponsor or the plan name honsor's name, EIN, the plan name			4b EIN	
<ul><li>a Sponsor's name</li><li>c Plan Name</li></ul>				4d PN	
5a Total number of participan	ts at the beginning of the plan year			5a	4
	ts at the end of the plan year			5b	2
C Number of participants wit complete this item)	h account balances as of the end o	f the plan year (only defined	contribution plans	5c	2
	participants at the beginning of the p			5d(1)	2
	participants at the end of the plan ye			5d(2)	2
than 100% vested	no terminated employment during th			5e	0
Under penalties of perjury and	e or incomplete filing of this retuing of the return	ictions, I declare that I have	examined this return/re	port, including, if	applicable, a Schedule
SIGN Stades	estrato)	02/28/18	PRADEEP	SHARDY	)
HERE Signature of plan	administrator	Date	Enter name of individ	ual signing as pla	an administrator
SIGN					*
HERE Signature of emp	lover/plan sponsor	Date	Enter name of individ	ual signing as on	anloyer or plan enoneer

Form	<u> ፍፍ</u> ብብ.	.SE	2017	•

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b	<ul> <li>Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)</li> <li>Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)</li> <li>If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.</li> <li>If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No</li> </ul>								
Ū	If "Yes" is checked, enter the My PAA confirmation number from the								
Pa	rt III Financial Information						ATTENDED TO THE PARTY OF THE PA		
7	Plan Assets and Liabilities	A-15-12-2	(a) Beginning	of Year			(b) End of Year		
а	Total plan assets	7a		26459			1601366		
b	Total plan liabilities	7b		0			0		
С	Net plan assets (subtract line 7b from line 7a)	7c	15	26459			1601366		
_8_	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) Total		
a	Contributions received or receivable from: (1) Employers	8a(1)		0	- Open Leonards	nijei Grad			
	(2) Participants	8a(2)		0	op Indian				
	(3) Others (including rollovers)	8a(3)		0	0,000	erik eta			
	Other income (loss)	8b	2:	30051	2				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c	GENERAL EL ALMANDA (AL			our two waters.	230051		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1.	43665	in Vynas jino		ieraero en suprativado Esperativo. Esperativo		
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0	nZ, filmed.				
f	Administrative service providers (salaries, fees, commissions)	8f		11479	1				
g	Other expenses	8g		0	d,		Personalis vers personalis album versona variation		
	Total expenses (add lines 8d, 8e, 8f, and 8g)	nes 8d, 8e, 8f, and 8g)					155144		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					74907		
J Sancton son	Transfers to (from) the plan (see instructions)	8j		0	il apres				
9a b	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3B 3H 3D  If the plan provides welfare benefits, enter the applicable welfare f								
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	Was there a failure to transmit to the plan any participant contributes described in 29 CFR 2510.3-102? (See instructions and DOL's Norgram)	/oluntary f	iduciary Correction	10a		×			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		X			
С				10c	Х		180000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		x	180000		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.).			10e	x		7844		
f	f Has the plan failed to provide any benefit when due under the plan?			10f		Х	***************************************		
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		Х			
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	(See instr	uctions and 29 CFR	10h		Х			
i	If 10h was answered "Yes," check the box if you either provided t exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i					

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Part	VI Pension Funding Compliance					•
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete (Form 5500) and line 11a below)	Sch	edule S	В	Ye	es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40		11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or se ERISA?			f 	Y	es X No
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions granting the waiver	and	enter i Da		of the letter Year	ruling
lf :	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
	Enter the minimum required contribution for this plan year		12b			
С	Enter the amount contributed by the employer to the plan for this plan year		12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)		12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			Yes	No [	N/A
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?			X Yes	☐ No	1
	If "Yes," enter the amount of any plan assets that reverted to the employer this year		13a			0
<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?					X Yes	No
C	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plant which assets or liabilities were transferred. (See instructions.)					
1	3c(1) Name of plan(s):	c(2)	EIN(s)		13c(3)	PN(s)