Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I		t Identification Information	1						
For calenda	ar plan year 2017 or fi	iscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017				
A This return/report is for: X a single-employer plan						· ·			
		a one-participant plan	a foreign plan						
B This retu	ırn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check	oox if filing under:	Form 5558	automatic extension	า	DFVC progra	m			
		special extension (enter desc	. ,						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name THE LAW FI	•	FLETCHER PLLC 401(K) PLAN			1b Three-dig plan numb (PN) ▶				
					1c Effective of	date of plan 01/01/2015			
		oyer, if for a single-employer plan) om, apt., suite no. and street, or P.C) Box)			Identification Number 20-8968890			
City or	•	ce, country, and ZIP or foreign post	,	structions)	(EIN) 20-8968890 2c Sponsor's telephone number				
	TAMES OF THE TAMES OF THE	LETOTIER			845-810-0421				
479 WASHIN	IGTON AVENUE				2d Business code (see instructions) 541110				
KINGSTON,	NY 12401					341110			
3a Plan a	dministrator's name a	and address X Same as Plan Spo	nsor.		3b Administra	ator's EIN			
		–			30 Administra	storio tolonhono numbor			
					3C Administra	ator's telephone number			
4 If the r	name and/or EIN of th	an plan anapage of the plan name h	as shanged since the less	t raturn/rapart filed for	4b EIN				
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.									
a Sponsor's name C Plan Name									
C Plairin	ame								
5a Total number of participants at the beginning of the plan year				. 5a	4				
b Total number of participants at the end of the plan year				. 5b	3				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)					. 5c	3			
d(1) Total number of active participants at the beginning of the plan year									
d(2) Total number of active participants at the end of the plan year			5d(2)						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			. 5e	0					
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable ca					
SB or Sche		ther penalties set forth in the instru- and signed by an enrolled actuary, a aplete.							
SIGN	Filed with authorized	d/valid electronic signature.	04/02/2018	SHARON C. FLETCH	SHARON C. FLETCHER				
HERE	Signature of plan a	administrator	Date	Enter name of individ	lual signing as pla	an administrator			
SIGN HERE	Filed with authorized	d/valid electronic signature.	04/02/2018	SHARON C. FLETCHER					
	Signature of emplo	oyer/plan sponsor	Date	Enter name of individ	nter name of individual signing as employer or plan spo				

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						. X Yes No	
Da	rt III Financial Information							
_ <u> </u>			(a) Basinning	of Voor			/b) En	d of Voor
	Plan Assets and Liabilities Total plan assets	70	(a) Beginning	or Year 28383	+		(D) En	d of Year 66858
<u>а</u> b	Total plan assets	. 7a . 7b		20303				00030
	Net plan assets (subtract line 7b from line 7a)	76 7c		28383				66858
8	Income, Expenses, and Transfers for this Plan Year	1 10	(a) Amour			(b) Total		
_ a	Contributions received or receivable from:		(a) Amour				(5)	Total
	(1) Employers	. 8a(1)		19600	_			
	(2) Participants			15464				
	(3) Others (including rollovers)	. 8a(3)		0				
<u>b</u>	Other income (loss)	. 8b		5563				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c						40627
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d		1742				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	. 8e		0				
f	Administrative service providers (salaries, fees, commissions)	. 8f		410				
g	Other expenses	. 8g						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h				2152		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						38475
j	Transfers to (from) the plan (see instructions)	8j						
Pa	Part IV Plan Characteristics							
9a	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2G 2E 2J 3D 2T							
b								
Par	rt V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X			
C	Was the plan covered by a fidelity bond?			10c	X			25000
C	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X		2000	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)				X			
f	f Has the plan failed to provide any benefit when due under the plan? 10f					Χ		
9	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					X		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)				X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12		Yes X No				
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)		