Form 5500-SF

Department of the Treasury

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Internal Revenue Service

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning and ending a multiple-employer plan (not multiemployer) (Filers checking this box must attach a a single-employer plan list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information Part II 1a Name of plan **1b** Three-digit RAIMA, INC. 401(K) PLAN plan number (PN) ▶ 001 1c Effective date of plan 01/01/2001 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 77-0543348 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number RAIMA, INC. 206-748-5353 2d Business code (see instructions) 720 THIRD AVENUE, SUITE 1100 541511 SEATTLE, WA 98104 3b Administrator's EIN **3a** Plan administrator's name and address X Same as Plan Sponsor. 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. **4d** PN Sponsor's name C Plan Name 5a 5a Total number of participants at the beginning of the plan year 5_b 10 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 9 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year..... 5d(2) d(2) Total number of active participants at the end of the plan year..... Number of participants who terminated employment during the plan year with accrued benefits that were less \cap Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete

04/09/2018

04/09/2018

Date

Date

ELLEN BERGLAND

ELLEN BERGLAND

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Filed with authorized/valid electronic signature

Filed with authorized/valid electronic signature.

Signature of plan administrator

Signature of employer/plan sponsor

SIGN **HERE**

SIGN HERE Form 5500-SF 2017 Page **2**

							X Yes	No No	
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							X Yes	NO
С	If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?							Not determin	ned
	If "Yes" is checked, enter the My PAA confirmation number from the		-					. (See instruction	
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year	
а	Total plan assets	7a		1035084			1245792		
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7с	103	1035084			1245792		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt		(b) Total			
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)							
	(2) Participants	8a(2)		7387					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	20	206593					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					213980		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d							
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f		3272					
g	Other expenses	8g							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					3272		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						210708	
j_	Transfers to (from) the plan (see instructions)	8j							
Par	Part IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D								
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	les in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a	X			2523	
b	Program)					X		2023	
	reported on line 10a.)			10b 10c	X	^		100000	
d								100000	
	by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)					X			
f	Has the plan failed to provide any benefit when due under the plan?					Χ			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ			
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i					

Form 5500-SF 2017	Page 3- 1
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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Month Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
С	C If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)					
13c(1) Name of plan(s): 13c(2)				13c(3) PN(s)		

Attachment to 2017 Form 5500-SF Part V - Line 10a - Schedule of Delinquent Participant Contributions

Plan Name:	Raima, Inc.		EIN:	77-0543348	
	401(k) Plan				
Plan Sponsor's Name:	Raima, Inc.		PN:	001	
			•		
	Total that Cons	titute Nonexempt Prohi	bited Transactions		
Participant					
Contributions		Contributions	Contributions	Total Fully Corrected	
Transferred	Contributions	Corrected	Pending Correction	Under VFCP and	
Late to Plan	Not Corrected	Outside VFCP	in VFCP	PTE 2002-51	
2 523	0	2 523	0	0	