## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

**Annual Report Identification Information** 

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2016

This Form is Open to **Public Inspection** 

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

		scal plan year beginning 12/01/	2016	and ending 11	1/30/2017					
A This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers check list of participating employer information in accordance w										
	·	a one-participant plan	a foreign plan							
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report							
		an amended return/report	a short plan year retur	hort plan year return/report (less than 12 months)						
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program					
Dort II	Docio Dian Info	special extension (enter desc	• •							
Part II		ormation—enter all requested in	nformation		41					
1a Name (	of plan ARK HEMATOLOGY		1b Three-digit plan number (PN) ▶ 002							
					1c Effective date of plan 02/22/1971					
Mailing	address (include roo	oyer, if for a single-employer plan) m, apt., suite no. and street, or P.			2b Employer Identification Number (EIN) 13-2667055					
	town, state or province ARK HEMATOLOGY	ce, country, and ZIP or foreign pos & ONCOLOGY	stal code (if foreign, see insti	ructions)	2c Sponsor's telephone number 212-861-6660					
					2d Business code (see instructions)					
12 EAST 86T NEW YORK,					621	111				
3a Plan ad	dministrator's name a	nd address Same as Plan Spo	onsor.		3b Administrator's EIN					
NPPG FIDUC	CIARY SERVICES		NMOUTH STREET NK, NJ 07701		30-0835405					
		INED DAI	NIX, NO 07701		<b>3c</b> Administrator's telephone number 732-758-1577					
				702 700 1077						
4 If the r	name and/or EIN of the	e plan sponsor has changed since	e the last return/report filed f	or this plan, enter the	4b EIN					
name,	EIN, and the plan nu	e plan sponsor has changed since mber from the last return/report.	e the last return/report filed f	or this plan, enter the						
name, <b>a</b> Sponso	EIN, and the plan nu or's name	mber from the last return/report.	·	·	4c PN	62				
a Sponso	EIN, and the plan nu or's name number of participants	mber from the last return/report.								
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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes	No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  Yes  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.								No		
С	If the plan is a defined benefit plan, is it covered under the PBGC in						_		Not deter	mined	
Pa	rt III Financial Information						-				
7	Plan Assets and Liabilities		(a) Beginning	of Year				(b) End	of Year		
a	Total plan assets	7a		022014					4817643		
b	Total plan liabilities	7b									
С	Net plan assets (subtract line 7b from line 7a)	7c	4	022014	ļ				4817643		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amour	(a) Amount			(b) Total				
а	Contributions received or receivable from:			65574							
	(1) Employers	8a(1)									
	(2) Participants	8a(2)		175657							
	(3) Others (including rollovers)	8a(3)		590503							
	Other income (loss)	8b		390303							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					831734				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		35855	,						
е	Certain deemed and/or corrective distributions (see instructions).	8e									
f	Administrative service providers (salaries, fees, commissions)	8f		250	)						
q	Other expenses	8g									
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h		36105							
÷	Net income (loss) (subtract line 8h from line 8c)	8i		79					795629		
÷	Transfers to (from) the plan (see instructions)	8i									
	rt IV Plan Characteristics	l ol									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D	feature co	odes from the List of Pl	an Cha	racteri	stic Co	odes in	the insti	ructions:		
b	If the plan provides welfare benefits, enter the applicable welfare f	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	des in t	he instru	ctions:		
Par	t V   Compliance Questions										
10	During the plan year:				Yes	No	N/A		Amount		
а	Was there a failure to transmit to the plan any participant contributed described in 29 CFR 2510.3-102? (See instructions and DOL's Verogram)	oluntary F	Fiduciary Correction	10a		X					
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		X					
С	C Was the plan covered by a fidelity bond?			10c	X					482000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e	X					25613	
f	Has the plan failed to provide any benefit when due under the pla	ın?		10f		X					
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	X					11491	
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided to exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i							

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Part	VI	Pension Funding Compliance						
11		s a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and con 5500) and line 11a below)						Yes X No
		the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40			11a			
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or sect ERISA?						<b>│</b>	Yes X No
	(lf "\	es," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
	grant	aiver of the minimum funding standard for a prior year is being amortized in this plan year, see ins ing the waiver	/lonth _	s, and	d enter t Day		of the lette Year _	er ruling
If	you co	empleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line	13.	1		T		
<u>b</u>	Enter	the minimum required contribution for this plan year			12b			
С	Enter	he amount contributed by the employer to the plan for this plan year			12c			
d		act the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the l ive amount)			12d			
		ne minimum funding amount reported on line 12d be met by the funding deadline?				Yes	No	N/A
Part	VII	Plan Terminations and Transfers of Assets						
13a	Has a	resolution to terminate the plan been adopted in any plan year?				Yes	s X N	lo
	If "Ye	s," enter the amount of any plan assets that reverted to the employer this year			13a			
b		all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brougol of the PBGC?		er the		Yes X No		
С		ring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identi n assets or liabilities were transferred. (See instructions.)	ify the p	olan(s)	) to			
	13c(1)	Name of plan(s):	1	3c(2)	EIN(s)		13c(3	<b>3)</b> PN(s)
Part	VIII	Trust Information						
14a	Name	of trust			14b <sup>-</sup>	Trust's E	EIN	
14c	Name	of trustee or custodian					s or custod ne number	lian's
Par	t IX	IRS Compliance Questions						
15a	Is the	plan a 401(k) plan? If "No," skip b		Yes			No	
		id the plan satisfy the nondiscrimination requirements for employee deferrals under section (3) for the plan year? Check all that apply:	IШ		n-based narbor	<sup>d</sup> [	Prior ye test	ear" ADP
				"Curre	ent year test	"	N/A	
16a What testing method was used to satisfy the coverage requirements under section 410(b) for the plan year? Check all that apply:				entage Average N/A benefit test N/A			□ N/A	
<b>16b</b> Did the plan satisfy the coverage and nondiscrimination requirements of sections 410(b) and 401(a)(4) for the plan year by combining this plan with any other plan under the permissive aggregation rules?					S No			
	the le		-					
	letter	plan is an individually-designed plan that received a favorable determination letter from the IRS, en	nter the	date	of the m	nost rece	ent determi	nation
18	Were	ed Benefit Plan or Money Purchase Pension Plan Only: any distributions made during the plan year to an employee who attained age 62 and had not sepa e?		rom	Ye	s [	No	
19	Was a	any plan participant a 5% owner who had attained at least age 70 $^{1\!\!/}$ during the prior plan year?			Ye	s [	No	