## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan** 

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Annual Repor	t identification information	<u> </u>						
For calenda	ar plan year 2017 or	fiscal plan year beginning 01/01/	inning 01/01/2017 and ending 12/31/2017						
<b>A</b> This return/report is for:  a single-employer plan  a multiple-employer plan (not multiemployer) (Filers checking this box must attach list of participating employer information in accordance with the form instructions.									
D. Trick		a one-participant plan	a foreign plan						
<b>B</b> This retu	ırn/report is	the first return/report	the final return/report						
C Check b	oox if filing under:	Form 5558	automatic extension	DFVC program					
		special extension (enter desc	• /						
Part II	Basic Plan Inf	ormation—enter all requested in	formation						
1a Name of plan H 3 HARDY COLLABORATION ARCHITECTURE LLC 401 (K) PLAN					<b>1b</b> Three-digit plan number (PN) ▶				
				-	1c Effective da	ate of plan 01/01/2004			
		oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.0		tructions)	(EIN) 11-3722527				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) H 3 HARDY COLLABORATION ARCHITECTURE LLC				indetions)	2c Sponsor's telephone number 212-677-6030				
					2d Business code (see instructions)				
55 BROAD S NEW YORK,					541190				
new rorat,	141 10001								
3a Plan a	dministrator's name a	and address X Same as Plan Spo	nsor.		<b>3b</b> Administrat	or's EIN			
					<b>3c</b> Administrat	or's telephone number			
<b>A</b> 10 (b			and the second street that I are	and the second Classification	4h ru				
		ne plan sponsor or the plan name honsor's name, EIN, the plan name			4b EIN				
•	or's name				4d PN				
C Plan Name									
5a Total number of participants at the beginning of the plan year					5a	17			
<b>b</b> Total number of participants at the end of the plan year					5b	16			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)				5c	16				
d(1) Total number of active participants at the beginning of the plan year					5d(1)	17			
d(2) Total number of active participants at the end of the plan year				5d(2)	16				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested				5e	0				
Caution: A	penalty for the late	or incomplete filing of this retur	n/report will be assessed	l unless reasonable cau					
SB or Sche		other penalties set forth in the instru and signed by an enrolled actuary, aplete.							
SIGN	Filed with authorize	d/valid electronic signature.	04/20/2018	DONNA LONG	DONNA LONG				
HERE	Signature of plan	administrator	Date	Enter name of individu	ıal signing as pla	n administrator			
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	idual signing as employer or plan sponsor				

Form 5500-SF 2017 Page **2** 

b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No					Yes No Yes No Not determined			
							. (See instructions.)		
Pa	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year	
а	Total plan assets	al plan assets				1659067			
b	plan liabilities								
С	Net plan assets (subtract line 7b from line 7a)	7с	140	1400333			1659067		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount		(b) Total			
<u>а</u>	Contributions received or receivable from:  (1) Employers	8a(1)		0					
	(2) Participants	8a(2)	2	24513					
	(3) Others (including rollovers)	8a(3)		0					
<u>b</u>	Other income (loss)		24	243767					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					268280		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		9546					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f		0					
g	g Other expenses			0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					9546		
i_	Net income (loss) (subtract line 8h from line 8c)	8i						258734	
j_	Transfers to (from) the plan (see instructions)	8j		0					
Par	Part IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 3D	feature co	des from the List of Plant	an Cha	racteri	stic Co	des in the ins	tructions:	
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	10a		X			
b	Program)      Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	C Was the plan covered by a fidelity bond?			10c	Χ			200000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X		200000	
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X			
f	<b>f</b> Has the plan failed to provide any benefit when due under the plan?			10f		X			
	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	Χ			44175	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

Form 5500-SF 2017	Page <b>3-</b> 1		
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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
<b>b</b> Enter the minimum required contribution for this plan year						
C Enter the amount contributed by the employer to the plan for this plan year						
<b>d</b> Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [	Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			. Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	) to				
1	13c(1) Name of plan(s): 13c(2)			<b>13c(3)</b> PN(s)		