Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Parti	Alliluai Report	dentification information							
For calenda	lendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017								
A This ret	urn/report is for:	x a single-employer plan	a multiple-employer pl	an (not multiemployer) (F	_				
D. Till		a one-participant plan	a foreign plan						
B This retu	irn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths)				
C Check b	oox if filing under:	Form 5558	automatic extension	[DFVC progra	m			
		special extension (enter desc	ription)						
Part II	Basic Plan Info	ormation—enter all requested in	formation						
1a Name (PROFIT SHARING PLAN			1b Three-digi plan numb (PN) ▶				
					1c Effective d	late of plan 09/01/2001			
2a Plan sp	oonsor's name (emple	oyer, if for a single-employer plan)			2b Employer I	dentification Number			
		om, apt., suite no. and street, or P.C ce, country, and ZIP or foreign post		ructions)	(EIN)	86-1038577			
-	I. JONES, M.D., P.C.		ai code (ii loreign, see inst	ructions)	2c Sponsor's telephone number 509-667-2535				
					2d Business of	code (see instructions)			
	AN AVE., SUITE A E, WA 98801-6696				621111				
	_,								
3a Plan ad	dministrator's name a	and address X Same as Plan Spor	nsor.		3b Administra	tor's EIN			
					3c Administra	tor's telephone number			
		ne plan sponsor or the plan name h			4b EIN				
tnis pia a Sponso		onsor's name, EIN, the plan name a	and the plan number from t	ne last return/report.	4d PN				
C Plan N									
5a Total r	number of participants	s at the beginning of the plan year.			5a	4			
		s at the end of the plan year			5b	3			
		account balances as of the end of		-	5c	3			
d(1) Tota	al number of active pa	articipants at the beginning of the pl	lan year		5d(1)	3			
. ,	•	articipants at the end of the plan ye		F	5d(2)	3			
than 1	100% vested	terminated employment during the	•••••		5e	0			
Caution: A	penalty for the late	or incomplete filing of this return	n/report will be assessed	unless reasonable cau					
SB or Sche		ther penalties set forth in the instruand signed by an enrolled actuary, and lete.							
SIGN	Filed with authorized	d/valid electronic signature.	04/19/2018	KENNETH M. JONES	NNETH M. JONES				
HERE	Signature of plan	administrator	Date	Enter name of individu	Enter name of individual signing as plan administrator				
SIGN									
HERE	Signature of empl	oyer/plan sponsor	Date	Enter name of individu	ndividual signing as employer or plan sponsor				

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b	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No							
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r			. (See instructions.)
Pa	t III Financial Information							
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	of Year
а	Total plan assets	7a	1:	34830				139514
b	Total plan liabilities	7b		0				0
С	Net plan assets (subtract line 7b from line 7a)	7c	1;	34830				139514
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) ⁻	Total
<u>а</u>	Contributions received or receivable from: (1) Employers	8a(1)		0				
	(2) Participants	8a(2)		0				
	(3) Others (including rollovers)	8a(3)		0				
<u> b </u>	Other income (loss)	8b		8043				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						8043
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		1362				
е	Certain deemed and/or corrective distributions (see instructions) 8e 0							
f	Administrative service providers (salaries, fees, commissions)	8f		0				
g	Other expenses	8g		1997				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						3359
i_	Net income (loss) (subtract line 8h from line 8c)	8i						4684
j	Transfers to (from) the plan (see instructions)	8j						
Par	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	des in the ins	tructions:
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	tic Cod	es in the instr	uctions:
Par	t V Compliance Questions							
10	During the plan year:				Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		X		
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)			10b		Х		
С	Was the plan covered by a fidelity bond?			10c	X			250000
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		X		
f	Has the plan failed to provide any benefit when due under the plan?							
g	109							
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)	` 		10h		X		
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i				

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Part	VI Pension Funding Compliance							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40								
12								
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling			
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year	12b						
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d								
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A			
Part '	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?							
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to						
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)			

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Report Identification Information

Part I

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

For calendar plan year 2017 or fisc	cal plan year beginning 01/01/20	117	and ending 12/3	31/2017			
A This return/report is for:	X a single-employer plan		plan (not multiemployer) (employer information in ac				
	a one-participant plan	a foreign plan					
B This return/report is	the first return/report	the final return/report	:				
	an amended return/report	ım/report (less than 12 m	ionths)				
C Check box if filing under:	Form 5558	automatic extension		DFVC program	n		
	special extension (enter desc	· · ·					
	mation—enter all requested in	formation					
1a Name of plan				1b Three-digit			
Kenneth M. Jones, M.D., P.C. Profit	Sharing Plan			plan numb (PN) ▶	er 001		
				1c Effective d			
	, apt., suite no. and street, or P.0				dentification Number		
City or town, state or province Kenneth M. Jones, M.D., P.C.	, country, and ZIP or foreign pos	tal code (if foreign, see ins	structions)	2c Sponsor's	telephone number 509) 667-2535		
				·	ode (see instructions)		
526 N. Chelan Ave., Suite A				621111			
Wenatchee, WA 98801-6696 3a Plan administrator's name and	I address V Come to Block Com	***		2h 4			
Sa Pian administrators name and	address XI Same as Plan Spo	nsor.		3b Administrat	ors EIN		
				3c Administrat	or's telephone number		
	plan sponsor or the plan name hasor's name, EIN, the plan name a			4b EIN			
a Sponsor's name		·		4d PN			
C Plan Name							
5a Total number of participants a	t the beginning of the plan year			5a	4		
	t the end of the plan year			5b	3		
C Number of participants with ac	count balances as of the end of	the plan year (only defined	d contribution plans	5c	3		
d(1) Total number of active partic	cipants at the beginning of the pl	an year		5d(1)	3		
d(2) Total number of active parti			· · · · · · · · · · · · · · · · · · ·	5d(2)	3		
than 100% vested	erminated employment during the	***************************************		5e	0		
Caution: A penalty for the late or	incomplete filing of this return	n/report will be assessed	l unless reasonable cau	ise is establishe	d		
Under penalties of perjury and othe SB or Schedule MB completed and belief, it is true, correct, and completed	signed by an enrolled actuary, a	ctions, I declare that I have as well as the electronic ve	e examined this return/repertersion of this return/report	oort, including, if a , and to the best o	pplicable, a Schedule of my knowledge and		
	7	111. 1.=	Kenneth M. Jones				
HERE	bea	9//9//×	recinion w. conco				
Signature of plantade	ninistrator	4/19/18		ial signing as plan	administrator		
Signature of play add		9//9// 8 Date	Enter name of individu	ual signing as plar	administrator		

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6a							X Yes No		
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)								
С	If the plan is a defined benefit plan, is it covered under the PBGC in	nsurance p	rogram (see ERISA se	ection 4	021)?	П	Yes No Not determined		
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this p	lan yea	r		. (See instructions.)		
Pa	t III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End of Year		
а	Total plan assets	7a		13483	30		139514		
b	Total plan liabilities	7b			0		0		
С	Net plan assets (subtract line 7b from line 7a)	7c		13483	30	139514			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	ıt			(b) Total		
а	Contributions received or receivable from: (1) Employers	8a(1)			0				
	(2) Participants	8a(2)			0				
	(3) Others (including rollovers)	8a(3)			0				
b	Other income (loss)	8b		804	13				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					8043		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		136	32				
е	Certain deemed and/or corrective distributions (see instructions)	8e			0				
f	Administrative service providers (salaries, fees, commissions)	8f			0				
g	g Other expenses								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					3359		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					4684		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Paı	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 3D	feature co	des from the List of Pl	an Cha	racteri	stic Co	odes in the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	les in the instructions:		
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
а	Was there a failure to transmit to the plan any participant contr bu descr bed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	40-		Х			
h	Program) Were there any nonexempt transactions with any party-in-interest			10a					
	reported on line 10a.)			10b		Х			
С	Was the plan covered by a fidelity bond?			10c	Х		250000		
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e		Х			
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g		Х			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	he require	d notice or one of the	10i					