Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

Part I		t Identification Information									
For calend	ar plan year 2017 or	fiscal plan year beginning 01/01/2	2017		and ending 1	2/31/2	2017				
A This re	turn/report is for:	a single-employer plan a multiple-employer plan (not multiemployer plan for:					· ·				
B This return/report is		a one-participant plan	a f	foreign plan							
b This ret	urn/report is	the first return/report	the								
		an amended return/report	as	hort plan year return	report (less than 12 m	12 months)					
C Check	box if filing under:	Form 5558	ш	tomatic extension		DFVC program					
special extension (enter description)											
Part II		ormation—enter all requested in	formation	on				1			
1a Name of plan THE W.J. BAKER COMPANY PROFIT SHARING 401 (K) PLAN				1b	Three-digit plan number (PN)	001					
						1c Effective date of plan 01/01/1999					
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)						2b Employer Identification Number (EIN) 61-0125460					
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) THE W.J. BAKER COMPANY, INC.				uctions)	2c Sponsor's telephone number 859-261-8482						
						2d		e (see instructions)			
120 SOUTH STREET WILDER, KY 41071					332300						
3a Plan a	idministrator's name a	and address X Same as Plan Spo	neor			3h	Administrator's	s FIN			
ou mand		and address A came as rian ope	11001.								
						3с	Administrator's	s telephone number			
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN							
a Sponsor's name					4d	PN					
C Plan N	Name										
5a Total	number of participant	s at the beginning of the plan year.				5	а	16			
b Total number of participants at the end of the plan year					5	b	16				
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			. 5c 1								
d(1) Total number of active participants at the beginning of the plan year			5d		16						
d(2) Total number of active participants at the end of the plan year			5d	(2)	16						
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5	е	0						
		or incomplete filing of this retur									
SB or Scho		other penalties set forth in the instru and signed by an enrolled actuary, a nplete.									
SIGN		d/valid electronic signature.		04/25/2018	MARI HENGELBROK						
HERE				_							

Date 04/25/2018

Date

Filed with authorized/valid electronic signature.

Signature of plan administrator

SIGN

HERE

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

MARI HENGELBROK

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						No			
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a		,					X Yes	No	
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No No						Not determin	ed			
	If "Yes" is checked, enter the My PAA confirmation number from the		-					. (See instruction		
Do				, , , , ,					- /	
	rt III Financial Information			• • • •						
7	Plan Assets and Liabilities	_	(a) Beginning					d of Year		
<u>a</u>	Total plan assets	7a 	/8	788189				1015101		
<u>b</u>	Total plan liabilities	7b	79	700100			1015101			
<u> </u>	Net plan assets (subtract line 7b from line 7a)	7c		788189						
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount			(b) Total				
a	(1) Employers	8a(1)	,	18998						
	(2) Participants	8a(2)	Ę	58631						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	15	156576						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					234205			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		7293						
е	Certain deemed and/or corrective distributions (see instructions)	8e		7200						
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						7293		
i	Net income (loss) (subtract line 8h from line 8c)	8i						226912		
j	Transfers to (from) the plan (see instructions)	8j								
Par	Part IV Plan Characteristics									
9a										
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction			,				
	Program)			10a		X				
	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Χ				
С	C Was the plan covered by a fidelity bond?			10c	X			85000		
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?					Χ				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)					Χ				
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.101	ne required	d notice or one of the	10i						

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?		Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to			
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)