## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal

Complete all entries in accordance with the instructions to the Form 5500-SF

Revenue Code (the Code).

2017

This Form is Open to **Public Inspection** 

OMB Nos. 1210-0110

1210-0089

Annual Report Identification Information For calendar plan year 2017 or fiscal plan year beginning and ending a multiple-employer plan (not multiemployer) (Filers checking this box must attach a a single-employer plan list of participating employer information in accordance with the form instructions.) **A** This return/report is for: a one-participant plan a foreign plan B This return/report is the first return/report the final return/report an amended return/report a short plan year return/report (less than 12 months) C Check box if filing under: DFVC program Form 5558 automatic extension special extension (enter description) Basic Plan Information—enter all requested information 1a Name of plan **1b** Three-digit plan number **BIOLIFE 401(K) PLAN** (PN) ▶ 001 1c Effective date of plan 06/01/2004 2a Plan sponsor's name (employer, if for a single-employer plan) 2b Employer Identification Number Mailing address (include room, apt., suite no. and street, or P.O. Box) 65-0959147 City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) **2c** Sponsor's telephone number BIOLIFE, LLC 941-360-1300 2d Business code (see instructions) 8163 25TH COURT EAST 325410 SARASOTA, FL 34243-2800 3b Administrator's EIN **3a** Plan administrator's name and address X Same as Plan Sponsor. 3c Administrator's telephone number If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for 4b EIN this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report. **4d** PN Sponsor's name C Plan Name 5a 35 5a Total number of participants at the beginning of the plan year ...... 5<sub>b</sub> 40 **b** Total number of participants at the end of the plan year..... Number of participants with account balances as of the end of the plan year (only defined contribution plans 26 5c complete this item)..... 5d(1) d(1) Total number of active participants at the beginning of the plan year...... 31 5d(2) d(2) Total number of active participants at the end of the plan year..... 37 Number of participants who terminated employment during the plan year with accrued benefits that were less  $\cap$ Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and

05/01/2018

Date

Date

TIMOTHY KELLY

Enter name of individual signing as plan administrator

Enter name of individual signing as employer or plan sponsor

Filed with authorized/valid electronic signature

Signature of plan administrator

Signature of employer/plan sponsor

belief, it is true, correct, and complete

SIGN **HERE** 

**SIGN HERE**  Form 5500-SF 2017 Page **2** 

	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes X	No No	
С	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.  C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No								nined ons.)
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning	of Year			(b) End	of Year	
<u>a</u>	Total plan assets	7a	130	63453				1463769	
b	Total plan liabilities	7b							
С	Net plan assets (subtract line 7b from line 7a)	7с	130	63453				1463769	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	t			(b) 1	Total	
<u>а</u>	Contributions received or receivable from:  (1) Employers	8a(1)	(	68664					
	(2) Participants	8a(2)	15	56167					
	(3) Others (including rollovers)	8a(3)		12006					
b	Other income (loss)	8b	18	38014					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						424851	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	3	16218					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	rtain deemed and/or corrective distributions (see instructions) 8e 6270							
f	Administrative service providers (salaries, fees, commissions)	8f		2047					
g	Other expenses	ther expenses							
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)					324535			
<u>i</u>	i Net income (loss) (subtract line 8h from line 8c)						100316		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j							
Par	t IV Plan Characteristics								
9a 	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	des from the List of Pl	an Cha	racteris	stic Co	des in the ins	tructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Pla	n Chara	acterist	ic Cod	es in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contributed in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction			V			
	Program)			10a		X			
	reported on line 10a.)			10b		Χ			
С	Was the plan covered by a fidelity bond?			10c	X			150000	)
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	e or all of	the benefits under	10e	X			6316	6
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year-	end.)	10g	X			4409	)
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h	X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	X				
_		·			_	_	· · · · · · · · · · · · · · · · · · ·		

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Part	VI Pension Funding Compliance				
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sch (Form 5500) and line 11a below)		В	Ye:	s No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a			
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 of		Ye	s X No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and granting the waiver	d enter t		of the letter r Year	uling
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.				
b	Enter the minimum required contribution for this plan year	12b			
С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A
Part '	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X	No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	) to			
1	<b>3c(1)</b> Name of plan(s): 13c(2)	EIN(s)		13c(3) F	PN(s)

## Form 5500-SF

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration
Pension Benefit Guaranty Corporation

Department of Labor

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

	t Identification Information										
For calendar plan year 2017 or f	iscal plan year beginning		/2017	and ending		1/2017					
A This return/report is for:	) (Filers checking this box must attach a accordance with the form instructions.)										
<b>B</b> This return/report is	a one-participant plan	a fo	reign plan	*							
b This return/report is	the first return/report	F	inal return/report								
an amended return/report a short plan year return/report (less than 12 months)  C Check box if filing under: Form 5558 automatic extension DFVC program											
O Check box it filling under.	Form 5558		matic extension		☐ DFVC pr	ogram					
Part II Basic Plan Information—enter all requested information											
1a Name of plan	Cital di l'aquadica ii				1b Three	-digit					
BIOLIFE 401(K) PLAN						number 001					
						ive date of plan					
	oyer, if for a single-employer plan)					oyer Identification Number					
	om, apt., suite no. and street, or P.0 ce, country, and ZIP or foreign pos		f foreian, see inst	ructions)		65-0959147					
BIOLIFE, LLC	, <b>,</b> , <b>,</b> p	(-		,		sor's telephone number					
						360-1300 ess code (see instructions)					
8163 25TH COURT EAS		325410									
SARASOTA	FL 34243-280	00									
3a Plan administrator's name a	and address X Same as Plan Spo	onsor			3b Admir	dministrator's EIN					
3c Administrator's telephone number											
	ne plan sponsor or the plan name honsor's name, EIN, the plan name				4b EIN	4b EIN					
a Sponsor's name	misor's marrie, Env, the plan hame	and the pi	an number nom t	ne last return/reports	4d PN						
c Plan Name											
5a Total number of participants	s at the beginning of the plan year.			***************************************	5a	35					
<b>b</b> Total number of participants	s at the end of the plan year				5b	40					
	account balances as of the end of			contribution plans	5c	26					
d(1) Total number of active pa	articipants at the beginning of the p	plan year			5d(1)	31					
d(2) Total number of active pa	articipants at the end of the plan ye	ear			5d(2)	37					
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested											
	or incomplete filing of this retur										
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.											
SIGN 9	9		5-1-18	TIMOTHY KELLY	KELLY						
HERE Signature of plan	administrator		Date	Enter name of indivi	dual signing a	s plan administrator					
SIGN 7	V		5-1-18	TIMOTHY KELLY	HY KELLY						
HERE Signature of empl	oyer/plan sponsor		Date	Enter name of indivi	dual signing a	s employer or plan sponsor					

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)  Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)  If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.						X Yes No		
	If the plan is a defined benefit plan, is it covered under the PBGC in If "Yes" is checked, enter the My PAA confirmation number from the						_	Not determined . (See instructions.)	
	rt III   Financial Information								
	Plan Assets and Liabilities		(a) Beginning (				(b) End		
<u>a</u>	Total plan assets	7a	1,	363,	453			1,463,769	
<u>b</u>	Total plan liabilities	7b _	1	262	4 5 2			1,463,769	
	Net plan assets (subtract line 7b from line 7a)	7c		363,	453				
<u>8</u> а	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amoun	ıt			(b) 1	otal	
	(1) Employers	8a(1)		68,	664				
	(2) Participants	8a(2)		156,	167				
	(3) Others (including rollovers)	8a(3)		12,	006				
b	Other income (loss)	8b		188,	014				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						424,851	
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		316,218					
е	Certain deemed and/or corrective distributions (see instructions) $\dots$	8e		6,270					
f	Administrative service providers (salaries, fees, commissions)	8f		2,047					
g	ther expenses								
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)					324,535			
<u> </u>	Net income (loss) (subtract line 8h from line 8c)	8i						100,316	
	Transfers to (from) the plan (see instructions)	8j							
	t IV Plan Characteristics								
9a 	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	odes from the List of Plant	an Chai	racteris	stic Co	des in the inst	ructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Pla	n Chara	acterist	ic Cod	es in the instr	uctions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu described in 29 CFR 2510.3-102? (See instructions and DOL's V Program)	oluntary F	iduciary Correction	10a		Х			
b	Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10b		Х			
С	Was the plan covered by a fidelity bond?			10c	Х			150,000	
d	Did the plan have a loss, whether or not reimbursed by the plan's by fraud or dishonesty?			10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth carrier, insurance service, or other organization that provides som the plan? (See instructions.)	ne or all of	the benefits under	10e	Х			6,316	
f	Has the plan failed to provide any benefit when due under the plan	n?	<u></u>	10f		Х			
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year-	end.)	10g	Х			4,409	
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h	Х				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i	Х				

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Part '	VI Pension Funding Compliance			
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sc (Form 5500) and line 11a below)	hedule S	В	Yes No
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a		
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?  (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)	on 302 o	f 	Yes 🛛 No
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver.	nd enter t		of the letter ruling Year
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			
b	Enter the minimum required contribution for this plan year	12b		
С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	□ No □ N/A
Part \	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	s X No
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	e 		Yes X No
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	s) to		
1	<b>3c(1)</b> Name of plan(s): 13c(2)	<b>2)</b> EIN(s)		<b>13c(3)</b> PN(s)