Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2017

This Form is Open to Public Inspection

OMB Nos. 1210-0110

1210-0089

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

		identification information						
For calendar pla	n year 2017 or f	iscal plan year beginning 01/01/	20 <u>17</u>	and ending 12	2/31/2017			
A This return/re	a single-employer plan a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)							
D /		a one-participant plan	a foreign plan					
B This return/re	port is	the first return/report	the final return/repor	t				
		an amended return/report	a short plan year ret	urn/report (less than 12 mo	onths)			
C Check box if	filing under:	Form 5558	automatic extension		DFVC progra	m		
		special extension (enter desc	cription)	<u>'</u>				
Part II Ba	sic Plan Info	ormation—enter all requested in	nformation					
1a Name of pla					1b Three-digi	t		
CARMAN-DUNNE PC PROFIT SHARING PLAN AND 401K					plan numb			
					(PN) >	001		
					1c Effective date of plan 01/01/2000			
2a Plan sponsor's name (employer, if for a single-employer plan) Mailing address (include room, apt., suite no. and street, or P.O. Box)				2b Employer Identification Number (EIN) 11-0607690				
•		ce, country, and ZIP or foreign pos	tal code (if foreign, see in	structions)	2c Sponsor's telephone number			
CARMAN-DUNNE PC					516-599-5563			
					2d Business code (see instructions)			
2 LAKEVIEW AVENUE LYNBROOK, NY 11563					541330			
3a Plan adminis	strator's name a	nd address X Same as Plan Spo	onsor.		3b Administra	tor's EIN		
					3c Administra	tor's telephone number		
4 If the name and/or EIN of the plan sponsor or the plan name has changed since the last return/report filed for this plan, enter the plan sponsor's name, EIN, the plan name and the plan number from the last return/report.				4b EIN				
a Sponsor's name				,	4d PN			
C Plan Name								
5a Total number	er of participants	s at the beginning of the plan year			5a	6		
b Total number	er of participants	s at the end of the plan year			5b	6		
C Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)			· ·	5c	6			
d(1) Total number of active participants at the beginning of the plan year				5d(1)	6			
d(2) Total number of active participants at the end of the plan year				5d(2)	6			
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	0				
Caution: A pena	alty for the late	or incomplete filing of this return	n/report will be assesse	d unless reasonable cau	ıse is establishe	ed.		
Under penalties	of perjury and o	ther penalties set forth in the instru	ctions, I declare that I have	e examined this return/rep	oort, including, if	applicable, a Schedule		
SB or Schedule belief, it is true, o		and signed by an enrolled actuary, aplete.	as well as the electronic v	ersion of this return/report	i, and to the best	of my knowledge and		
0.0	d with authorized	d/valid electronic signature.	05/03/2018	JOHN J TOSCANO	,			
HERE Sign	nature of plan	administrator	Date	Enter name of individu	an administrator			
SIGN								
		oyer/plan sponsor	Date	Enter name of individu	ual signing as em	ployer or plan sponsor		
For Panerwork Re	equetion Act Noti	ce, see the Instructions for Form 550	0-SE			Form 5500-SF (2017)		

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes X Yes	∐ No		
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year					Not deter				
Part III Financial Information									
7 Plan Assets and Liabilities		(a) Beginning o	of Year (b) I				End of Year		
a Total plan assets					964778				
b Total plan liabilities	7b		0				0		
C Net plan assets (subtract line 7b from line 7a)	7c	83	837056			964778			
8 Income, Expenses, and Transfers for this Plan	Year	(a) Amoun	(a) Amount			(b) 1	(b) Total		
Contributions received or receivable from: (1) Employers	8a(1)		0						
(2) Participants	8a(2)	1	14084						
(3) Others (including rollovers)	8a(3)		0						
b Other income (loss)		11	113638						
C Total income (add lines 8a(1), 8a(2), 8a(3), an						127722			
	nefits paid (including direct rollovers and insurance premiums provide benefits)								
e Certain deemed and/or corrective distributions	(see instructions) 8e		0						
f Administrative service providers (salaries, fee	, ,		0						
g Other expenses	8g		0						
h Total expenses (add lines 8d, 8e, 8f, and 8g).						0			
	i Net income (loss) (subtract line 8h from line 8c)						127722		
	Transfers to (from) the plan (see instructions)								
9a If the plan provides pension benefits, enter th 2E 2G 2J 3D	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 3D								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Part V Compliance Questions									
10 During the plan year:				Yes	No		Amount		
described in 29 CFR 2510.3-102? (See inst	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
b Were there any nonexempt transactions with	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)				X				
C Was the plan covered by a fidelity bond?			10c	Χ			12500	00	
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		Χ				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		Χ				
i If 10h was answered "Yes," check the box if exceptions to providing the notice applied ur			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)				Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
C Enter the amount contributed by the employer to the plan for this plan year						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	13a Has a resolution to terminate the plan been adopted in any plan year?			s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			. Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)	