Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2017

This Form is Open to Public Inspection

Part I	Annual Repor	t Identification Information	<u>l</u>					
For calend	dar plan year 2017 or	fiscal plan year beginning 01/01/2	2017	and ending 1	2/31/2017			
A This re	eturn/report is for:		oyer) (Filers checking this box must attach a in in accordance with the form instructions.)					
		a one-participant plan	a foreign plan					
B This ret	turn/report is	the first return/report	the final return/repor	t				
		an amended return/report	a short plan year ret	urn/report (less than 12 m	nonths)			
C Check	box if filing under:	Form 5558	automatic extension	n	DFVC progra	m		
		special extension (enter desc	ription)		_			
Part II	Basic Plan Inf	ormation—enter all requested in	formation					
1a Name	of plan ON CHEVROLET, INC	C. 401(K) PLAN			1b Three-diging plan number (PN) ▶			
					1c Effective of	date of plan 01/01/2006		
		loyer, if for a single-employer plan) om, apt., suite no. and street, or P.C) Box)		2b Employer Identification Number			
City o	`	nce, country, and ZIP or foreign post	,	structions)	(EIN) 61-1394057 2c Sponsor's telephone number 270-826-7600			
						code (see instructions)		
2746 US 41	NORTH DN, KY 42420				441110			
TIENDERO	71, 11 12 120							
3a Plan a	administrator's name	and address X Same as Plan Spor	nsor.		3b Administra	ator's EIN		
					3c Administra	ator's telephone number		
						·		
		he plan sponsor or the plan name ha			4b EIN			
	olan, enter the plan sp sor's name	onsor's name, EIN, the plan name a	and the plan number from	the last return/report.	4d PN			
C Plan I								
5a Total	number of participant	es at the heginning of the plan year			5a	75		
	5a Total number of participants at the beginning of the plan yearb Total number of participants at the end of the plan year				5b	74		
C Numb	per of participants with	account balances as of the end of	the plan year (only define	ed contribution plans	5c	49		
	•	articipants at the beginning of the p			5d(1)	65		
d(2) Total number of active participants at the end of the plan year			5d(2)	(2) 59				
Number of participants who terminated employment during the plan year with accrued benefits that were less than 100% vested			5e	6				
Caution:	A penalty for the late	or incomplete filing of this return	n/report will be assesse	ed unless reasonable ca	use is establish	ed.		
SB or Sch		other penalties set forth in the instru and signed by an enrolled actuary, a nplete.						
SIGN	Filed with authorize	d/valid electronic signature.	04/23/2018	RONALD FAUPEL				
HERE	Signature of plan	administrator	Date	Enter name of individ	lual signing as pla	an administrator		
SIGN								
HERE	Signature of emp	lover/plan sponsor	Date	Enter name of individ	lual signing as en	nplover or plan sponsor		

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6a	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes No			
b	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)				X Yes □ No				
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					M 103 140			
С	If the plan is a defined benefit plan, is it covered under the PBGC in							Not determined	
	If "Yes" is checked, enter the My PAA confirmation number from the	e PBGC p	remium filing for this pl	lan yea	r		. <u>–</u>	(See instructions.)	
Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning o	of Year			(b) End	l of Year	
а	Total plan assets	7a		35161			`	1358083	
b	tal plan liabilities								
С	Net plan assets (subtract line 7b from line 7a)	7c	108	1085161				1358083	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b)	Total	
а	Contributions received or receivable from: (1) Employers	8a(1)		21847					
	(2) Participants	8a(2)		65758					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	21	10857					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					398462		
	Benefits paid (including direct rollovers and insurance premiums	04	14	445070					
_	Certain deemed and/or corrective distributions (see instructions)	provide benefits)		13070					
- f	,	8e 8f		9870					
	Administrative service providers (salaries, fees, commissions)			3070					
	 Other expenses Total expenses (add lines 8d, 8e, 8f, and 8g) 						125540		
		8h 8i						272922	
÷	Transfers to (from) the plan (see instructions)	and and to the other transfer to the state of the state o					212322		
Pai	oj l								
9a	Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:								
	2G 2J 2K 2E 2F 2T 3D								
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan	n Chara	cterist	tic Coc	les in the inst	ructions:	
Par	t V Compliance Questions								
10	During the plan year:				Yes	No		Amount	
а	Was there a failure to transmit to the plan any participant contribu								
	described in 29 CFR 2510.3-102? (See instructions and DOL's V	•	•	10a		X			
b	Program)								
	reported on line 10a.)			10b		X			
c				10c	X			250000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance						
	carrier, insurance service, or other organization that provides som the plan? (See instructions.)			10e		X			
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X			
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g		X			
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Sci (Form 5500) and line 11a below)	nedule S	B	[] Y	′es X No	
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	. 11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section ERISA?	n 302 o	f 	Y	′es X No	
а	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, ar granting the waiver			of the lette Year _	r ruling	
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
C Enter the amount contributed by the employer to the plan for this plan year						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?	. [Yes	No	N/A	
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X N	0	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
С	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)) to				
1	3c(1) Name of plan(s): 13c(2) EIN(s)		13c(3) PN(s)	