Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Annual Report Identification Information

Part I

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2017

OMB Nos. 1210-0110

1210-0089

This Form is Open to **Public Inspection**

For calendar plan year 2017 or fiscal plan year beginning 01/01/2017 and ending 12/31/2017									
A This ret	urn/report is for:	X a single-employer plan	a multiple-employer plan (not multiemployer) (Filers checking this box must attach a list of participating employer information in accordance with the form instructions.)						
		a one-participant plan	a foreign plan						
B This retu	irn/report is	the first return/report	the final return/report						
		an amended return/report	a short plan year return/report (less than 12 months)						
C Check b	oox if filing under:	Form 5558	automatic extension		DFVC program				
		special extension (enter desc	cription)						
Part II	Basic Plan Info	ormation—enter all requested in	nformation						
1a Name	•				1b Three-digit				
SYNOLOGY AMERICA CORP. 401(K) P/S PLAN					plan number (PN) ▶	001			
					1c Effective date of				
					01/01/2009				
2a Plan sp	oonsor's name (emplo	oyer, if for a single-employer plan)			2b Employer Identification Number				
		om, apt., suite no. and street, or P.0		ruotiona)	(EIN) 20-4770542				
City or town, state or province, country, and ZIP or foreign postal code (if foreign, see instructions) SYNOLOGY AMERICA CORP.					2c Sponsor's telephone number 425-818-1587				
					2d Business code (see instructions)				
3535 FACTO	RIA BLVD SE STE 2	00			423600				
BELLEVUE, '	WA 98006				420	000			
		_ _			01				
3a Plan administrator's name and address ☐ Same as Plan Sponsor.					3b Administrator's EIN 20-4770542				
SYNOLOGY	AMERICA CORP.	3535 FAC BELLEVI	CTORIA BLVD SE STE 200 UE, WA 98006)	3c Administrator's telephone number				
			•		425-818-1587				
		e plan sponsor or the plan name h			4b EIN				
		onsor's name, EIN, the plan name	and the plan number from the	ne last return/report.	4d PN				
a Sponsor's name C Plan Name									
5a Total number of participants at the beginning of the plan year					5a 89				
b Total number of participants at the end of the plan year					5b	107			
C Number of participants with account balances as of the end of the plan year (only defined contribution plans					5c	99			
complete this item)					5d(1) 59				
d(2) Total number of active participants at the end of the plan year					5d(2) 69				
Number of participants who terminated employment during the plan year with accrued benefits that were less			5e	6					
than '	100% vested								
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established. Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule									
SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN		d/valid electronic signature.	05/10/2018	DAVID CHO					
HERE	Signature of plan a	administrator	Date	Enter name of individ	lividual signing as plan administrator				
SIGN	-								

Date

Signature of employer/plan sponsor

HERE

Enter name of individual signing as employer or plan sponsor

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	Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)					X Yes				
	If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No If "Yes" is checked, enter the My PAA confirmation number from the PBGC premium filing for this plan year							Not dete		
Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning (of Year			(b) End	d of Year		
a	Total plan assets	7a	107	1076055			1476942			
b	Total plan liabilities	7b		0			0			
С	Net plan assets (subtract line 7b from line 7a)	7c	107	1076055			1476942			
8	Income, Expenses, and Transfers for this Plan Year		(a) Amoun	(a) Amount			(b) Total			
a	Contributions received or receivable from: (1) Employers	8a(1)	(60678						
	(2) Participants	8a(2)	16	162881						
	(3) Others (including rollovers)	8a(3)		11037						
b	Other income (loss)	8b	2	211127						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						445723		
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	13232							
е	Certain deemed and/or corrective distributions (see instructions)	8e	2	24054						
f	Administrative service providers (salaries, fees, commissions)	8f		7550						
g	g Other expenses			0						
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						44836		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i						400887		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2G 3D 2F 2E 2J 2K 2S 2T	feature co	des from the List of Pl	an Cha	racteris	stic Co	des in the ins	tructions:		
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Amount		
а	Was there a failure to transmit to the plan any participant contribut described in 29 CFR 2510.3-102? (See instructions and DOL's V	oluntary F	iduciary Correction	100		X				
b	Program) Were there any nonexempt transactions with any party-in-interest reported on line 10a.)	? (Do not	include transactions	10a 10b		X				
C	C Was the plan covered by a fidelity bond?			10c	X			1400	000	
d	d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	• Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service, or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X				
f	f Has the plan failed to provide any benefit when due under the plan?			10f		X				
g	g Did the plan have any participant loans? (If "Yes," enter amount as of year-end.)			10g	X			28	358	
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						

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Part	VI Pension Funding Compliance					
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)					
11a	Enter the unpaid minimum required contributions for all years from Schedule SB (Form 5500) line 40	11a				
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year						
lf y	you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year	12b				
С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part '	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes	X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?			Yes X No		
c If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s): 13c(2)	EIN(s)		13c(3) PN(s)		